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# A Hybrid Graph Model for Distant Supervision Relation Extraction : A Report

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# Erklärung

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**Abstract.**
Distant Supervision Relation Extraction (DSRE), supports users by providing automatically annotated data by using supervised and semi-supervised learning methods. A novel Hybrid Graph Model aids DSRE, by providing a framework for unsupervised learning methods. Limitations of other DSRE approaches are taken into consideration, and thereby, extended for different domains by incorporating heterogeneous background information. Previously, semi-supervised approaches incorporated, a specific type of background information depending, on a specific domain which achieved average results. As a downside, they cannot be extended to other domains and need customization. This novel approach generalizes the relation extraction problem. This approach outperforms, all other commonly used DSRE baseline approaches. It can be used with all models as it is flexible in embedding different kinds of domain information for relation extraction. Noisy data is gracefully handled in most cases using the built-in attention mechanism, that other approaches lack of. In *"A Hybrid Graph Model for Distant Supervision Relation Extraction"*, authors *Duan* et al., explores the benefits of using an Graph Convolution Networks with attention mechanism. Noisy data problem still persists and further evaluation of this method will yield, much better results in the future.

# Contents

# Chapter 1

# Introduction

From plain text, the task of extracting semantic relations between two or more entities, is known as Relation Extraction (RE). These relations can exist in different types. For example, *"Germany is in Europe"* states a *"is in"* relationship between *"Germany"* and *"Europe"*. With this information, a triple can be formed, *<Germany, is in, Europe>*. Efficient RE is useful for applications like Knowledge Graph (KG) completion and question answering, which are in turn responsible for dependent applications.

Traditionally, supervised RE techniques produce elevated performance for RE[S$^+$08]. They solely rely on labeled data that is manually annotated. Manual annotation is time consuming and need an army of annotators. It is generally annotated into entities and relationships(entities: *"Germany", "Europe"* relationship: *"is in"*). This limitations strongly suggest need for semi-supervised or unsupervised RE techniques that are reliable enough and can mimic manual annotation.

Distant Supervision (DS) aims at solving this limitation by automatic production of labeled data by aligning KGs and plain text. In this process, it makes an assumption that, if there exists a relationship between two entities (*e1, e2*) in Knowledge Base (KB), then all the sentences that consist *e1, e2* express that relationship in some way[ZLCZ15]. In the Fig.1.1, if there exists, a triplet *<John Doe, FounderOf, John Doe Inc.>* then, all the sentences in the plain text that contain *John Doe* and *John Doe Inc.* are considered as the training instances of *FounderOf* relation. From the Table1.1, if the following sentences are considered, DS cannot have better performance as it categorizes relations like, *Recalled*, *ResignedFrom* into *CreatorOf*, *FounderOf* relations respectively. This introduces noise problem. It can be countered using Deep Neural Network (DNN) models, which try to provide a significant improvement, but fail in making predictions due to lack of sufficient background information associated with entities and relationships. For example, from Fig.1.1, there are two sentences generated using DS, they both use *"create"* relation but corresponding relations do not match. They in fact, represent *FounderOf* and *AuthorOf* relations respectively.

DNNs create bias at each stage and especially with long-tail relations, background information tend to be unusable for making predictions. They are mostly constructed for customized models to join knowledge that is limited to incorporate heterogeneous background information in parallel. Some of the methods did not handle the side effect caused due to introduced noise.

Graph-based model for DSRE was proposed by Duan et al.[DGLQ19] to solve the problems by DSRE. It fuses heterogeneous background information, as well, reduces side effects due to brought in noisy data. Typical process for neural networks start with conversion of different types

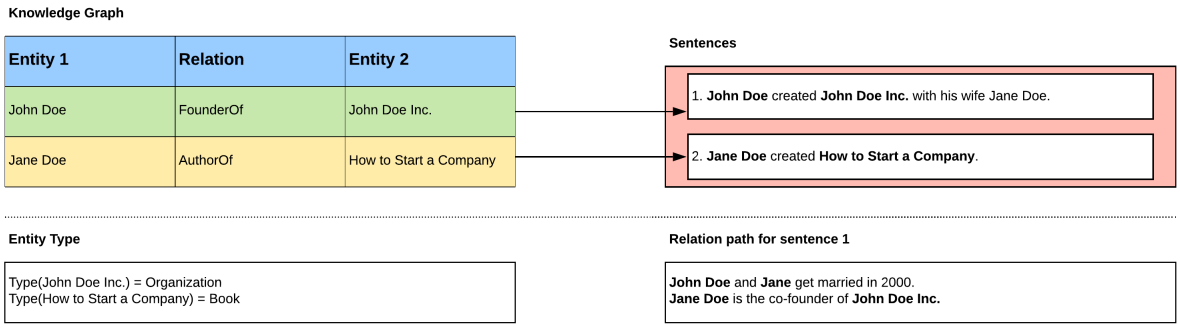



Figure 1.1: DS Example 1

| Entity 1 | Relation | Entity 2 |
|---|---|---|
| John Doe | FounderOf | John Doe Inc. |
| John Doe | CreatorOf | Doe Glasses. |
| John Doe | Recalled | Doe Glasses. |
| John Doe | ResignedFrom | John Doe Inc. |

Table 1.1: DS Example 2

data into vectors using various types of encoders and store each information in a graph node. Related nodes of graph are combined using a Graph Convolution Network(GCN). This model eases the incorporation of missing data and incorporate it with other graph nodes. Attention mechanism for the graph reduces the introduced noise problem by assigning higher weight for known true information.

# Chapter 2

# Concepts

## 2.1 Background Information for CNN and GCN

**Sentence Bag:** Sentence Bag(SB) is similar to Bag-of-Words(BoW) representation, with preserving the order of word occurrences. Many Deep Learning algorithms use BoW, but the word order is never stored, as a result, prediction of next word occurrence is hindered. This model generated a data set DS represented as $D = \{S_{(x_i,y_i)}|, i = 1, 2, ...\}$ and a sentence bag $S_{(x_i,y_i)}$ is a set of sentences with both entities $x_i$ and $y_i$. Yet, it does not store the relation of $x_i$ and $y_i$, and only the order in which entities occurred in a sentence.

**Knowledge Graph:** Knowledge Graph (KG) consists of triples $<x_i, r_i, y_i>$ where $r_i$ is the relationship of entities $x_i$ and $y_i$. This form of representation for KG represents a way to learn about vector embedding of both entities along with relation in a low-dimensional space.

**Entity Type:** An entity type $T_{e_i}$ for any entity $e$, helps distinguish $e$ from other entities. Fine-grained entity types suggested by Mintz et al.[MBSJ09] increase the chances for prediction of relation between two entities. For example, as shown in Fig.[**?** ], *"How to Start a Company"* is *"book"*. With the $T_{e_i}$ knowledge, prediction of relation between *Jane Doe* and *"How to Start a Company"* from *FounderOf* and *AuthorOf*.

**Relation Path:** Relation path $p$ in DSRE is defined as a path between a set of entities ($p = x, e_1, ...e_{ln}, y$) whose relationship flows between entities $x$ and $y$ via $ln$ entities. More the number of entities, larger the path. This is of a concern, when used in a Deep Neural Networks, which will be discussed later. Path $p$ is shown in the figure[**?** ]

## 2.2 Encoder

An encoder is a network (CNN, FC, RNN, etc.) that takes the input, and gives a feature map/vector/tensor as output. The feature vector contains the information and features, that represents the input. An encoder is often coupled with a decoder that takes feature vector as input and tries to produce output that is closest to the original input before encoding.

Encoder as a common usage pattern of RNN is utilized to explain encoder in brief. Specifically, an unrolled RNN is considered apt for the use-case.

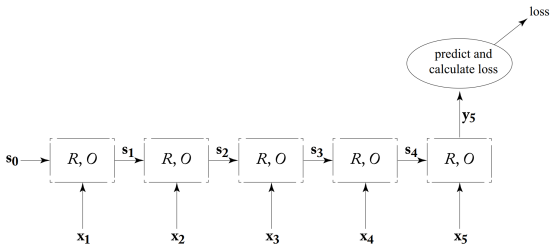



Figure 2.1: RNN Encoder

## 2.3 LSTM Cell: Long-Short-term Memory Cell

Long Short Term Memory networks(LSTMs)[HS97] are a type of RNN, enable to learn long-term dependencies. A simple LSTM cell consists of four gates, namely, Forget gate $f_t$, Input gate $i_t$, Gate gate $\widetilde{C_t}$ and Output gate $o_t$. $h_{(t-1)}$ is the output from previous state, $x_t$ is the input, $h_t$ is the output of present state, $\sigma$ represents a sigmoid function, $C_t$ and $C_{t-1}$ represent cell state. Equations eq. (2.1) represent the gate operations where $b$ and $W$ are model parameters.

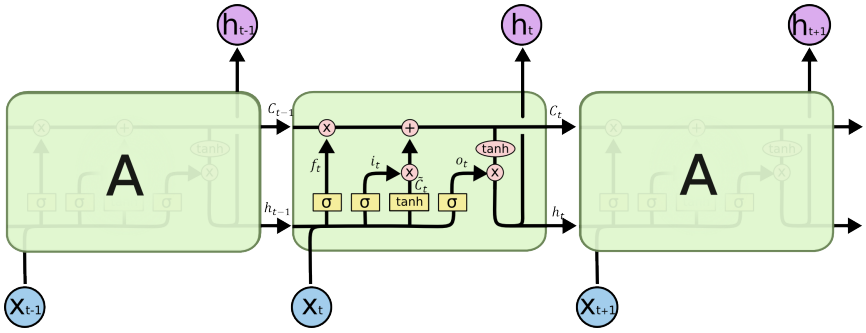



Figure 2.2: Simplified LSTM Example [Ola]

$$f_t = \sigma\left(W_f \cdot [h_{t-1}, x_t] + b_f\right) \tag{2.1a}$$
$$i_t = \sigma\left(W_i \cdot [h_{t-1}, x_t] + b_i\right) \tag{2.1b}$$
$$\widetilde{C_t} = \tanh\left(W_C \cdot [h_{t-1}, x_t] + b_C\right) \tag{2.1c}$$
$$C_t = f_t * C_{t-1} + i_t * \widetilde{C_t} \tag{2.1d}$$
$$o_t = \sigma\left(W_o \cdot [h_{t-1}, x_t] + b_o\right) \tag{2.1e}$$
$$h_t = o_t * \tanh\left(C_t\right) \tag{2.1f}$$

LSTMs are embedded into other networks in common to counter vanishing gradients problem and help learning long-term dependencies. This is done by enabling or disabling the gates depending on a model's use-case(target network). A more commonly used variant of LSTMs is Gate Recurrent Unit (GRU). Greff et al.,[GSK+16] discuss LSTMs in detail about the popular variants.

## 2.4 GCN : Graph Convolution Network

The extention of deep neural networks to deal with arbitrary graph-structured data are known as Graph Neural Networks (GNNs)[GMS05, SGT+08]. In recent years, convolutional operations on graph-structured data are generalized into graph convolutional deep neural networks. Graph Convolutional Networks (GCNs) for short[KW16]. They are categorically divided into two main types, spectral domain and non-spectral domain. Spectral approaches work on the basis of spectral representation of graphs. Kipf et al.[KW16] proposed a spectral approach based on work done by Joan Bruna et al.[BZSL13] Michäel et al.[DBV16], which designs a GCN with a localized first-order approximation of spectral graph convolutions. Non-spectral approaches, perform convolutions directly on the graph.

Commonly, GCNs have two or more hidden layers, where information in the graph is embedded into as eigen vectors by learning some non-linear function. Convolution is the process of applying some filter on the graph data so as to reduce the size of feature matrix into a smaller vector representation. Feature matrix(adjacency matrix) of a graph as shown in Fig. has many empty cells. It is easier to compute on small graphs, but memory overhead increases as real world data-sets are very large. Matrix multiplication with an identity matrix, will reduce the size of adjacency matrix to a vector meanwhile preserving the data. In a GCN, Input will be the first layer and output will be the last layer, in between, there can be many hidden convolution layers with individual non-linear functions. A very simple form of layer-wise propagation rule would be of the form[KW16], where $f$ is approximation , $\sigma(\cdot)$ is a non linear activation function, $H^{(l)}$ is the graph-level output and $W^{(l)}$ is the weight matrix for $l$-th neural network layer. $L$ is the number of layers and $l \in L$. $H^{(0)} = X$ is the input $H^{(L)} = Z$ is the output

$$f\left(H^{(l)}, A\right) = \sigma\left(AH^{(l)}W^{(l)}\right) \tag{2.2a}$$

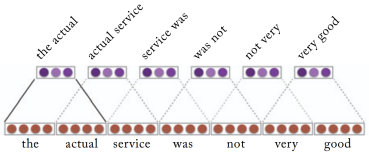



Figure 2.3: convolution Example

# Chapter 3

# Architecture

Architecture of Hybrid Graph Model is shown in Fig.3.1. This can be broadly divided into three segments. First segment consists of information encoders with individual encoders for various levels of data. Second or Middle segment consists of hybrid KG. This graph is constructed, by utilizing vector representations generated by encoders in previous step, and embedding them as, individual piece of information in a node that is relevant. In the Final segment, this hybrid graph is utilized by GCN with attention to extract features of the hybrid KG and final output is a probability distribution of the relations. The authors, S. Duan et al.[DGLQ19], proposes to predict the relation between any entity pair $S_{(x_i,y_i)}$ and learn the probability distribution $P(r_i|x_i, y_i; \theta)$ over all relations $r_i \in \mathbb{R}$, where $\theta$ denotes the parameters of the model.

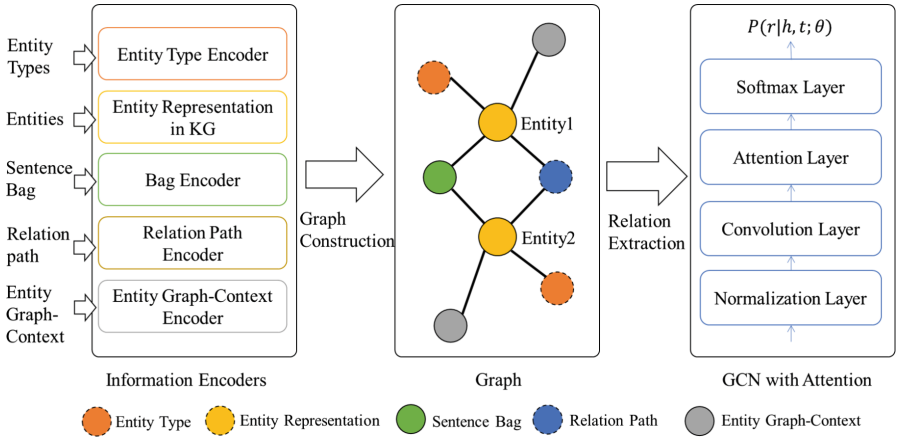



Figure 3.1: The Architecture

Before the process, from a given DS generated data set $D = \{S_{(x_i,y_i)}|, i = 1, 2, ...\}$, the background information from KG for every entity pair $(x_i, y_i)$ is extracted and stored in a different data set $\mathbb{I} = \{I_{(x_1,y_1)}, I_{(x_2,y_2)}, ...\}$. Label of each instance corresponds to the label of $S_{(x_i,y_i)}$ during the extraction.

## 3.1 Information Encoding

Following encoders3.2 are used to learn the features and create vectors. These feature vectors are useful in creation of hybrid graph.

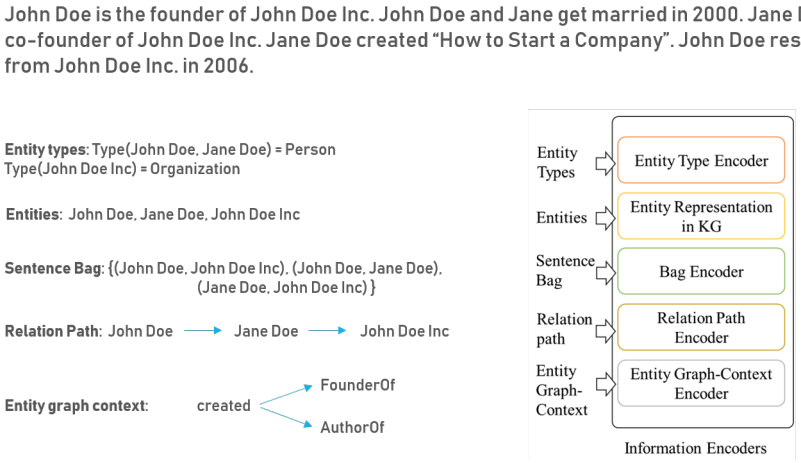



Figure 3.2: Information Encoding

### Entity Encoder

Every entity $e_i$ is given a real-value vector $\mathbf{e}_i$ representation with a dimension $d_e$. Pre-trained PTransE model was used for getting all entity embeddings, as it can capture a KG's path information efficiently. From the Fig.3.2 from the given sentence all the entities are extracted such as John Doe, Jane doe and John Doe Inc. In this example, this encoder identifies Jane and Jane Doe as a single entity. A single vector of all entities will be the output.

### Sentence Bag Encoder

Sentence Bag encoding is performed using a variant of LSTM, Bi-LSTM model to get the vector representation $\mathbf{s}_i \in \mathbb{R}^{d_s}$ for any sentence $s_i \in S_{h,t}$ where $(h,t)$ is the entity pair. $d_s$ is the sentence embedding size. Finally $\mathbf{S}_{(h,t)} \in \mathbb{R}^{d_s}$ is the sentence bag representation obtained by the process of summation of all vectors $\mathbf{s}_i$ that have different individual weights. The authors Duan et al.[DGLQ19] suggests to use a relevant model depending on the data, as long as it can represent the semantics of the given sentence.

### Entity Type Encoder

For each entity $e$, along with its entity type $y_e \in T$ is identified using one-hot encoding. $T$ is the set of all entity types. A representation matrix, $\mathbf{M}_y \in \mathbb{R}^{|T| \times d_t}$ is used dynamically, to store the entity type distribution representation. $d_t$ is the entity type embedding size. Unlike entity and sentence bag encoders, entity type encoder encodes each entity type as a whole vector. For $n$ entities, there will be $\mathbf{n}$ entity type vectors.

### Relation Path Encoder

All the relation paths are concatenated and given as an input to the LSTM model. Entity embedding and sentence bag embedding for a sentence is concatenated and given as input to an LSTM cell2.

$$\mathbf{x}_k = W_e(\mathbf{e}_k \oplus \mathbf{S}_{(e_k, e_{k+1})}) + b_e,$$

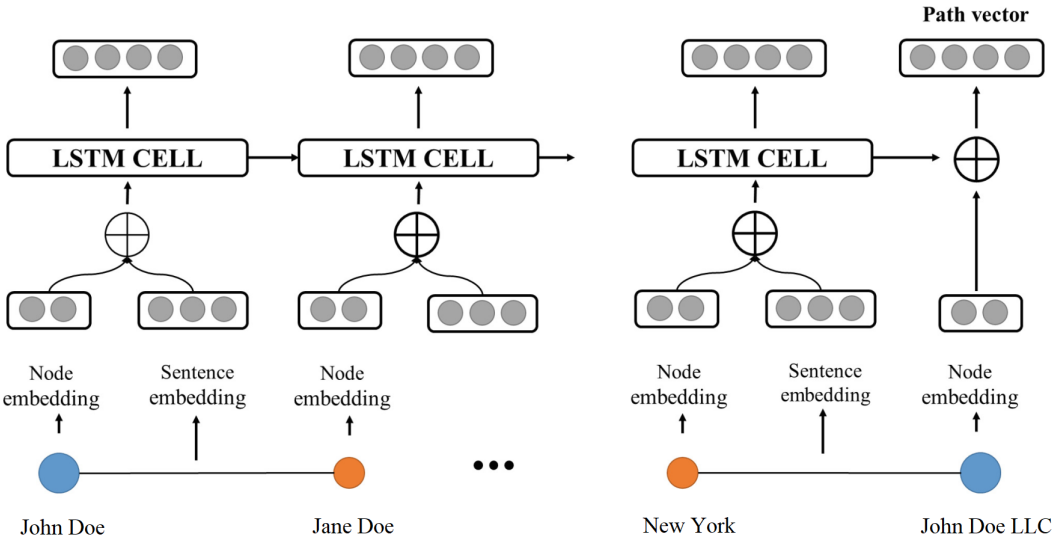



Figure 3.3: Relation Path Encoder

## 3.2 Hybrid Graph Construction

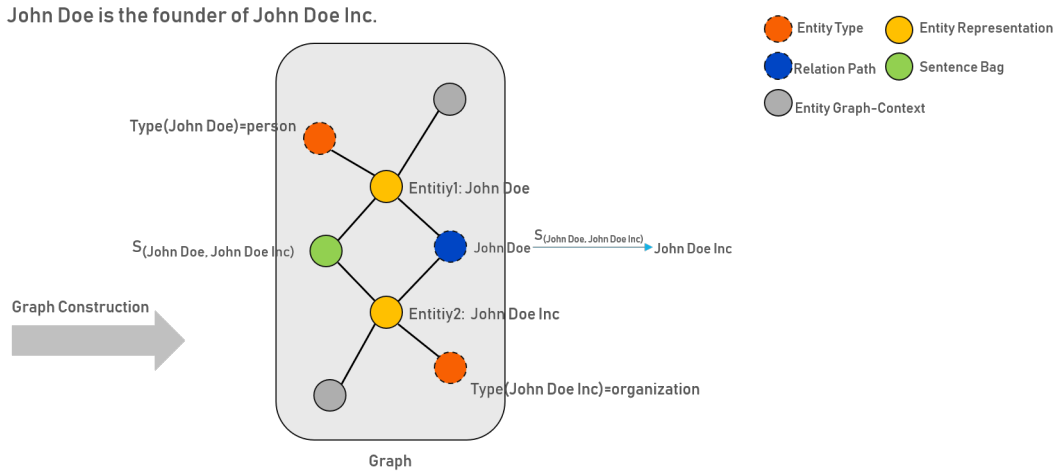



Figure 3.4: Hybrid Graph

By utilizing the vector information from previous stage, each vector can be embedded into a Hybrid Graph(HG) where each node represents a vector. From the Fig.3.4, different types of feature vectors are represented with a separate color. Given a sentence, Entity vectors for *JohnDoe* and *JohnDoeInc*. are connected using the sentence bag embedding. if there is a relation path embedding corresponding to FounderOf relation, then the node gets connected to its adjacent nodes. Similarly for entity types, if there exists a vector, then they get connected. This example is an abstract representation of HG and there may be empty nodes for those entities that do not have sufficient information. In real-time, the HG is much more complex and sparse. There are no suitable Machine Learning or Deep Learning techniques to calculate or analyze this HG. To counter this, authors Duan et al.[DGLQ19] uses a 3D matrix to represent the graph. First matrix is a degree matrix that represents the degree of each node. This matrix

controls the information propagation on the graph. Second matrix is a adjacent matrix that stores node feature. Node feature is a encoder vector in adjacent matrix which describes which nodes are connected.

## 3.3 GCN with attention

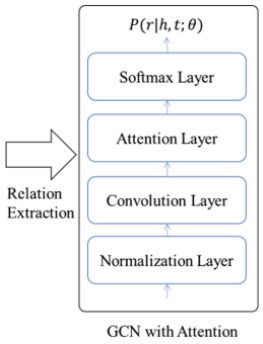



Figure 3.5: Layers of a GCN

# Chapter 4

# Discussion

Convolutional neural networks serve as a powerful tool to solve high dimensional problems. CNNs give good results when, used with euclidean data (images, videos, sounds) that are compositional. Compositional features can be extracted and fed to a classifier, etc. These can be represented using euclidean domain that are regular spatial structures (for Eg. distance of adjacent pixels in an image are the same). To learn from non-euclidean data (real world data) like social networks web, Knowledge Graphs, etc. which are in the form of graphs, CNNs are adapted into Graph Convolutional Neural Networks (GCNs). They are similar to CNNs, but, instead of aggregating individual weights (distance of a node to adjacent nodes), a single shared weight is used by approximation. This solves the limitations of CNNs when nodes have non uniform neighbors. Most DSRE approaches are aimed towards semi-supervised models[SCM18]. The authors Duan et al.[DGLQ19], aims to show that GCNs are useful for unsupervised DSRE. Limitations of semi-supervised model proposed by Kipf et al.[KW16], have been overcome with this HG model.

Proposed HG model is based on models proposed by Daojian Zeng et al.,[ZLL$^+$14] and Wenyuan Zeng et al.,[ZLLS16]. In a novel way, incorporating relation path information in neural RE show a baseline results of efficient DSRE. Common limitation in dealing with non-euclidean data is noise problem. There are standard methods to solve noise problem for euclidean data[ZSK12], but not for the later case. Authors Duan et al.,[DGLQ19] uses an attention mechanism by selecting more relevant features to reduce the affect of noise using weighted sum operation over all features. Higher weight is assigned to important features, and can be altered to select or deselect those features that have high noise. Noise is a vague concept. To understand noise, let us consider two features, entity types and relations. For a certain use-case, that has more information on relations, but not much information about entity types. Model is said to have more noise, if entity types feature is given more weight. Prediction based on such training data would be less efficient. This feature of GCNs is used in HG model, which yields higher precision compared previous approaches. Compared to previous approaches, feature information is encoded using various feature encoders and the resultant eigen vectors(simply vectors) are embedded into hybrid graph. This embedding also embeds noisy data during encoding phase, that gets carried on to next step. The only way of controlling the affects of noise is using weight mechanism in attention layer for a hidden layer of GCN. This improves the precision but does not completely eliminate the noise problem which is the suggested future work.

One major achievement in HG model is, fusing heterogeneous information from the feature encoders, into a single hybrid graph, as they have different vector embedding. Where each vector represents a node in the graph. An adjacency matrix is used to explain the correlation between

nodes. For each instance, and each vector embeddings, this varying structure is converted into a fixed structure using adjacent matrix. Then, high-level features are extracted by using GCN. The training phase for huge corpus takes a very large time. Decent sized dataset was used by aligning Wikidata relations with New York Times Corpus (NYT) for PCCNs[ZLLS16]. Wikidata has more than 80 million triple facts and 20 million entities which is a large sample size to do training. The experimental setup used for PCCNs is used as is, with small changes in parameters like learning rate for SGD, word embedding size, etc. This will not affect the overall results as main goal was comparison. Core advantage of deep learning techniques is, less time for testing phase. Other machine learning techniques which try to learn based on existing data take less training time and more testing time. Authors Duan et al.,[DGLQ19] discusses about run-time in less detail as it is prevalent that run-time depends on configuration of machine used, so not applicable in this case.

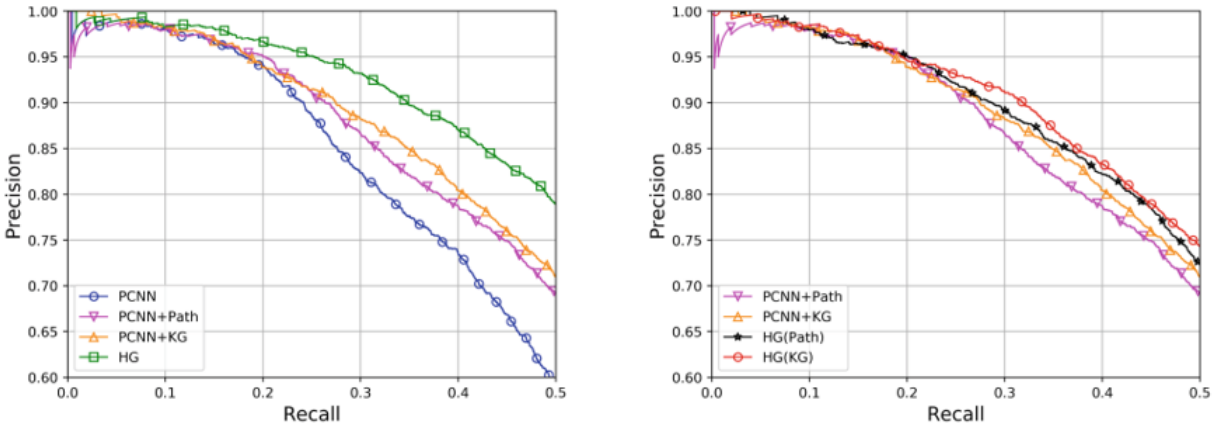
Authors Duan et al.,[DGLQ19] discusses about examples of testing dataset that do not occur. Using long-tail relations, HG model is able to out perform other models and find some score where other models fail. This proves authors' assumption that, embedding additional information apart from a specific feature will yield better results for unseen data.

# Chapter 5

# Results and Comparisons

### Experimental Settings

Experimental Settings used by PCCN model[ZLLS16] was utilized with small changes to the optimal parameters. Pre-trained word embeddings on NYT corpus with embedding size $d_w = 100$ was used. PTransE model was trained for entity embedding, with dimension $d_e = 50$. The learning rate $\lambda = 0.01$ for Stochastic Gradient Descent. Mini-batch size $B = 50$ was used for training. Dropout was applied on last layer with dropout rate = 0.5 to avoid overfitting problem.




(a) Overall results. (b) Effectiveness of the graph model.

Figure 5.1: Aggregate Precision-Recall curves using held-out evaluation. (a) shows the comparison of HG model with other baseline models. (b) shows the noise problem of HG model in comparison with others due to incorporation of extra information

### Results and Comparisons

Widely used benchmark for DSRE, NYT corpus was chosen and this models acts as a generator, by aligning a free base relation with the NYT corpus. The training data has approximately 200,000 entity types and heldout metrics was used to measure this model. This metrics approximates to the occurrences, if the predicted fact exists in this model, then the result can be accurate. Variants of HG model was compared to PCCN models. Fig.5.1 shows the Precision-Recall curves, where HG is Hybrid Graph model and PCCN is Piece-wise Convolutional Neural Network model with multi instance learning. PCCN+Path and PCCN+KG are the Path embedding and Knowledge Graph embedding respectively, which are using the single type information

embedding. Whereas HG model uses heterogeneous information by default. The experimental results in 5.1(a) show, as the recall grows, the precision of PCCN rapidly drops. When in comparison with HG, the accuracy dropped with slow decay as the recall increases. To infer, performance of HG model, achieve best overall performance. In 5.1(b) show variants of HG, HG+Path and HG+KG with specifically Path embedding and KG embedding respectively. This shows that with limiting HG model with same background information, it is still able to outperform with 5% improvement at recall of 0.5. This is due to efficient extraction of additional features in the graph by HG model. With the introduction of KG information, it performs better than relation path information because, relation path information comes from the plain text and may contain lot of noise. So, it cannot help to predict the relation but KG is more accurate that plain text.

# Chapter 6

# Conclusion and Future work

To conclude, authors *S. Duan* et al.[DGLQ19], in the work *"A Hybrid Graph Model for Distant Supervision Relation Extraction"* propose a novel and different approach to incorporate heterogeneous information for DSRE. For this model, the core principles of semi-supervised learning models borrowed and their limitations we eliminated to a great extent. The vector representation of data enable to hand-pick specific features, by a simple aggregated weight mechanism at each level. Memory over-head to store large graphs is completely eliminated. A real-world data-set was chosen, and this approach achieves better results. Authors claim that the approach works best for known good data, and manages to find a long-tail relation for unseen data. Claims were also made that, this approach is applicable to any type of unstructured data in all application domains. The proposed technique should be explored further over other application domains to prove the claims. The future work comprises of reduction of noisy data. Data-sets with particularly sparse graphs should be chosen, to find a specific pattern, to alleviate the noisy data completely. The authors intend to solve this problem by devising an efficient method, along with generalizing this approach to large unlabeled text for learning more confident information.

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