

Insertion Sort

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1. Logic

Insertion Sort builds the sorted array one element at a time by repeatedly picking the next element and inserting it into the correct position among the already sorted elements.

Key Idea

At the i^{th} iteration, the first i elements are sorted, and the $(i + 1)^{th}$ element is inserted into its correct position.

2. Number of Comparisons and Shifts

Let n be the number of elements.

Worst Case (Reverse Sorted)

- Comparisons: $\frac{n(n-1)}{2}$
- Shifts: $\frac{n(n-1)}{2}$

Best Case (Already Sorted)

- Comparisons: $n - 1$
- Shifts: 0

3. Optimal Behavior

Insertion sort is adaptive by default. It reduces unnecessary comparisons and shifts when the array is already or partially sorted.

4. Pseudocode

```
function insertionSort(arr):  
    n = length(arr)  
    for i = 1 to n-1:  
        key = arr[i]  
        j = i - 1  
        while j >= 0 and arr[j] > key:  
            arr[j+1] = arr[j]  
            j = j - 1  
        arr[j+1] = key
```

Stability Note

Insertion Sort is stable because it inserts elements in a way that preserves the relative order of equal elements.

5. Example Walkthrough

Given: [5, 1, 4, 2, 8]

Pass 1

[5, 1, 4, 2, 8] \Rightarrow [1, 5, 4, 2, 8]

Pass 2

[1, 5, 4, 2, 8] \Rightarrow [1, 4, 5, 2, 8]

Pass 3

[1, 4, 5, 2, 8] \Rightarrow [1, 2, 4, 5, 8]

Pass 4

[1, 2, 4, 5, 8] → Already in position → Done

6. Time & Space Complexity and Its Properties

Case	Complexity	Property	Value
Best Case	$O(n)$	Stable	Yes
Average Case	$O(n^2)$	In-place	Yes
Worst Case	$O(n^2)$	Adaptive	Yes
Space Complexity	$O(1)$ (in-place)	Recursive	No

7. Python Code with Explanation

```
def insertion_sort(arr):  
    # Traverse from 1 to len(arr)  
    for i in range(1, len(arr)):  
        key = arr[i]  
        j = i - 1  
  
        # Move elements greater than key to one position ahead  
        while j >= 0 and arr[j] > key:  
            arr[j + 1] = arr[j]  
            j -= 1  
  
        # Insert the key at the correct position  
        arr[j + 1] = key  
  
    return arr
```

8. Important Notes on Conditions

A. Difference Between $j \geq 0$ and $j > 0$

- $j \geq 0$ ensures that even the element at index 0 is compared and shifted if needed. It is the correct condition.
- $j > 0$ skips the element at index 0, which may result in incorrect sorting.

Example

Given [3, 2]:

- With $j \geq 0$: Result \rightarrow [2, 3] (Correct)
- With $j > 0$: Result \rightarrow [3, 2] (Incorrect)

B. How to Make Insertion Sort Unstable

- Insertion Sort is stable because it does not move equal elements.
- If you change the condition in the while loop from `arr[j] > key` to `arr[j] >= key`, equal elements can be shifted, reversing their original order.

Listing 1: Unstable Version

```
while j >= 0 and arr[j] >= key: # Unstable: may move equal elements
    arr[j + 1] = arr[j]
    j -= 1
```

Stability Impact

```
arr[j] > key  $\rightarrow$  Stable
arr[j] >= key  $\rightarrow$  Unstable
```

C. Summary of Conditions

Condition	Effect
<code>j >= 0</code>	Correct — includes index 0 in comparisons
<code>j > 0</code>	Incorrect — skips index 0, may miss minimum value
<code>arr[j] > key</code>	Stable — preserves order of equal elements
<code>arr[j] >= key</code>	Unstable — may shift equal elements, reversing order

9. Making `arr[j] >= key` Stable

Why it Becomes Unstable

The condition `arr[j] >= key` causes instability because:

- It shifts equal elements to the right.
- This breaks their original relative order.

Stability Violation

Using `arr[j] >= key` moves earlier equal elements after the current one, reversing their order.

How to Make it Stable Even with \geq

We can track the original positions of the elements by pairing each value with its index. This allows us to break ties using original position, preserving stability.

Listing 2: Stable Insertion Sort Using Index Tracking

```
def stable_insertion_sort(arr):
    # Attach original indices
    arr_with_index = [(val, idx) for idx, val in enumerate(arr)]

    for i in range(1, len(arr_with_index)):
        key = arr_with_index[i]
        j = i - 1

        while j >= 0 and (
            arr_with_index[j][0] > key[0] or
            (arr_with_index[j][0] == key[0] and arr_with_index[j][1] > key
             [1])
        ):
            arr_with_index[j + 1] = arr_with_index[j]
            j -= 1

        arr_with_index[j + 1] = key

    # Extract values without indices
    return [val for val, idx in arr_with_index]
```

Explanation

- Elements are stored as tuples: (value, original_index).
- If values are equal, we preserve their original order using the index.
- This approach works even when using \geq .

Result

The sort remains stable even when `arr[j] >= key` is used.

10. Step-by-Step Example with Index Tracking

We apply `stable_insertion_sort` on the input:

Input

[5a, 3, 5b, 2] *Here, 5a and 5b are equal values but come from different positions.*

Initial Array with Index

$[(5, 0), (3, 1), (5, 2), (2, 3)]$

Pass 1: $i = 1$ (key = (3,1))

Compare with (5,0):

$(5 > 3) \Rightarrow$ Shift (5,0) to right

$[(5, 0), (5, 0), (5, 2), (2, 3)] \Rightarrow$ Insert (3,1) at index 0

$[(3, 1), (5, 0), (5, 2), (2, 3)]$

Pass 2: $i = 2$ (key = (5,2))

Compare with (5,0):

$(5 == 5 \text{ and } 0 < 2) \Rightarrow$ Don't shift (5,0) \Rightarrow Insert (5,2) after (5,0)

$[(3, 1), (5, 0), (5, 2), (2, 3)]$

Pass 3: $i = 3$ (key = (2,3))

Compare with (5,2):

$(5 > 2) \Rightarrow \text{Shift}$

Compare with (5,0):

$(5 > 2) \Rightarrow \text{Shift}$

Compare with (3,1):

$(3 > 2) \Rightarrow \text{Shift}$

Insert (2,3) at index 0:

$[(2, 3), (3, 1), (5, 0), (5, 2)]$

—

Final Sorted Output (Without Index)

$[2, 3, 5a, 5b]$

Stability Verified

Even with `arr[j] >= key`, the relative order of equal elements (5a before 5b) is preserved.