Learning-Based Incentive Mechanism Design for Crowdsourcing

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Abstract

In crowdsourcing, incentive mechanisms are developed to theoretically ensure that workers will provide high-quality labels. Existing incentive mechanisms, which simply compares the reports of workers, cannot fully exploit the information contained in workers' reports. Meanwhile, they heavily rely on an impractical assumption that workers are all fully rational. On the other hand, various machine learning algorithms have empirically shown good performance in exploiting the information contained in workers' reports. However, due to the lack of theoretical supports, they cannot be used for incentive mechanism design.

In this paper, we propose a novel learning-based incentive mechanism to bridge these two disconnected communities. To achieve this objective, we develop a Bayesian inference algorithm to analyze workers' reports, and the incentives are determined based on the inference results. In addition, we develop a reinforcement learning algorithm to track workers' response to the incentives and adjust the incentives. Then, we prove that our learning-based incentive mechanism can also theoretically ensure that workers will provide high-quality labels. Besides, our empirical results show that the Bayesian inference algorithm can improve the robustness and stability of incentives. The reinforcement learning algorithm can adapt our mechanism to different worker models and thus boost the utilities of the data requester.

1. Introduction

The ability to quickly collect large scale and high quality labeled datasets is crucial for Machine Learning, and more generally Artificial Intelligence. Among all proposed solutions, one of the most promising ones is crowdsourcing (**???**). The idea is neat - instead of using a centralized amount of efforts, the to-be-labeled tasks are disseminated to a decentralized crowd of workers, leveraging the power of human computation, to parallelize the collection procedure. Nonetheless, it has been noted that crowdsourced data often suffers from quality issues, due to its unique feature of no monitoring and no ground-truth verification of workers' contributed data (**?**).

The above quality control challenge has been attempted to resolve by two rather disconnected research communities separately. From the more Machine Learning side, quite a few inference techniques have been developed for inferring true labels from crowdsourced and potentially noisy labels (**?????**). They often work as a one-shot, post-processing procedure facing a static set of workers, whose labeling accuracy is fixed and *informative*. Despite its nice theoretical contribution and empirical success, the above methods ignored the effects of *incentives* when dealing with human inputs. It has been observed both in theory and practice that xxx(**?**), without appropriate incentive, selfish and rational workers can easily chose to contribute low quality, uninformative, or even malicious data. Existing inference algorithms are very vulnerable in these cases - either much more redundant crowdsourced labels will need to be collected (low quality inputs), or the methods will simply fail to work (the case with uninformative and malicious inputs).

The above data quality control question has also been studied in the context of *incentive mechanism design*, mostly in a non-ML setting (except for (**?**)). In particular, a family of mechanisms, jointly called *peer prediction*, has been proposed towards addressing above incentive challenges (**??????**). Existing peer prediction mechanisms focus on achieving incentive compatibility (IC) defined as reporting a high quality data will maximize the expected payment issued to workers. They achieve IC via comparing the reports between the targeted worker, and a randomly selected reference worker.

We note several undesirable properties of existing peer prediction mechanisms. Firstly, from the label inference studies (**?**), we can know that all the collected labels are correlated and this correlation contains a wealth of information about the true labels and the quality of workers. However, existing peer prediction mechanisms purely rely on the reports of the reference worker, which only represents a tiny share of the information. This way of design will inevitably lower the robustness but meanwhile increase the variance of incentives. Secondly, existing peer prediction mechanisms simplify workers' responses to the incentive mechanism by assuming that workers are all fully rational and only follow

the utility-maximizing strategy. However, there have been many studies showing that human beings may be bounded rational and even keep improving their strategies in practice (**???**). Thus, these peer prediction mechanisms that are fancy in theory may yet perform extremely poor when facing the real human workers.

Currently, the connection between machine learning and incentive mechanism design is far from being satisfactory and unnecessary. In this paper, we propose a *learning-based incentive mechanism*, aiming to marry and extend the techniques in the two areas to address the caveats discussed above. The high level idea is as follows: we divide the large dataset into relatively small task packages. At each time step, we employ workers to handle one task package and use a certain inference algorithm to learn the true labels and worker models. Then, we use the learned worker models to determine the payments for workers. Meanwhile, driving in the background, we develop a reinforcement learning algorithm to adjust the payments based on workers' historical responses to incentives. By doing so, our incentive mechanism can be adapted to different types of workers.

However, as the first work to combine the label inference and reinforcement learning algorithms with incentive mechanism design, there is a line of challenges. Here, we summarize three core contributions of this paper as follows:

- Machine learning algorithms never consider incentive compatibility. Thus, starting from scratch, we prove the incentive compatibility of our incentive mechanism not only in each time step but also in the long term.
- Since workers' states and label accuracy both are unobservable, we need to estimate them via the label inference algorithm. However, these estimates, which are the means over all tasks, are approximately corrupted with Gaussian noise. Thus, we develop our reinforcement learning algorithm based on the data-driven Gaussian process regression.
- Existing inference algorithms are severely biased on estimating the label accuracy. This bias is allowed for existing studies because they only care the true labels. However, it will mislead the reinforcement learning algorithm in our mechanism. Thus, we develop a novel Bayesian inference algorithm by firstly deriving the explicit posterior distribution of true labels and then employing Gibbs sampling for inference.

Besides, we conduct empirical evaluation, and the results show that our mechanism can improve the robustness and lower the variance of payments. Meanwhile, in the long term, our mechanism significantly increase the utility of the data requester under different worker models, such as fully rational, bounded rational and learning agent models.

2. Related Work

There have been a few pioneering studies which improves incentive mechanisms by incorporating machine learning techniques. For example, to improve the long-term utility of the data requester in crowdsourcing, **?** develop a multi-armed bandit algorithm to adjust the state-of-the-art peer prediction mechanism, DG13 (**?**). However, both the bandit algorithm and DG13 still need to assume that workers are fully rational and will behave as we desired. Instead of randomly choosing a reference worker, **?** propose to use supervised learning algorithms to generate the reference reports based on the contextual information of tasks and derive the incentive compatibility conditions for the supervised-learning-based peer prediction mechanisms. In this paper, without assuming the contextual information about tasks, we develop an unsupervised-learning algorithm to learn the worker models and true labels from. Our payments are determined based on the learned worker models, and we derive the incentive compatibility conditions for our unsupervised-learning-based mechanism. Besides, in e-commerce, to be adapted to different kind of agents, **??** propose to build incentive mechanisms based on reinforcement learning. However, focusing on the empirical analysis, they never consider the theoretical incentive compatibility. In this paper, we also incorporate reinforcement learning to get rid of the assumption that workers are fully rational. When analyzing our incentive mechanism, we go one-step further by not only providing the empirical analysis but also present a novel proof for the incentive compatibility related with reinforcement learning.

3. Problem Formulation

This paper focuses on typical crowdsourcing problems. To be more specific, at each time step t, one data requester asks $N \geq 3$ candidate workers to label M tasks with binary answer space $\{1, 2\}$. We use $L_i^t(j)$ to denote the label worker i generates for task j at time t. For simplicity of computation, we reserve $L_i^t(j) = 0$ if j is not assigned to i.

The generated label $L_i^t(j)$ depends both on the ground-truth $\mathcal{L}(j)$ and worker i's internal state, which mainly consists of two components, effort level (high or low) and reporting strategy (truthful or deceitful). At any given time for any task, workers at their will adopt an arbitrary combination of effort level and report strategy. We thus define $e_i^t \in [0, 1]$ and $r_i^t \in [0, 1]$ as worker i's probability of exerting high efforts and reporting truthfully for task j respectively. Furthermore, following **??**, we assume that tasks are homogeneous and workers share the same probability of generating the correct labels if they exert the same level of efforts. We denote the probability as p_H and p_L respectively. Note we require $p_H > p_L \geq 0.5$, where 0.5 is used if workers randomly label the tasks. Worker i's probability of being

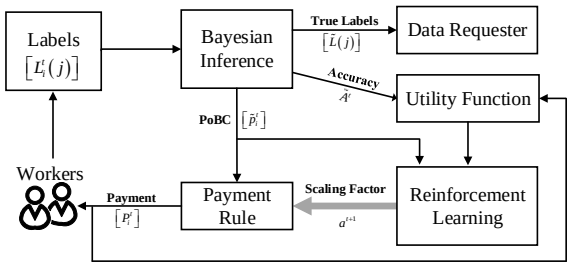


Figure 1. Layout of our incentive mechanism.

correct (PoBC) at time t for any given task is

$$\begin{aligned} p_i^t = \; & r_i^t e_i^t p_H + (1 - r_i^t) e_i^t (1 - p_H) \\ & + r_i^t (1 - e_i^t) p_L + (1 - r_i^t)(1 - e_i^t)(1 - p_L) \end{aligned} \tag{1}$$

At each time t, the data requester pays worker i some money P_i^t as the incentive for providing labels. At the beginning of each time step, the two parties mutually agree to a certain rule of payment determination, which would not be changed until the next time step. The workers are self-interested and may change their internal states according to the incentive. It is no surprise that workers' different internal sates would lead to different PoBCs and finally different label qualities. After collecting the generated labels, it is a common procedure for the data requester to infer true labels $\tilde{L}^t(j)$ by running some inference algorithm. Please refer **?** for a good survey of the existing inference algorithms. The aggregate accuracy A^t and the data requester's utility u^t satisfy

$$\begin{aligned} A^t &= \frac{1}{M} \sum\nolimits_{j=1}^{M} 1 \left[\tilde{L}^t(j) = \mathcal{L}(j) \right] \\ u^t &= F(A^t) - \eta \sum\nolimits_{i=1}^{N} P_i^t \end{aligned} \tag{2}$$

where $F(\cdot)$ is a non-decreasing monotonic function mapping accuracy to utility and η is a tunable parameter balancing label quality and costs. Intuitively, $F(\cdot)$ function is usually non-deceasing as higher accuracy is preferred.

The number of tasks in crowdsourcing is often very large, and the interaction between tasks and workers may last for hundreds of time steps. Thus, we introduce the cumulative utility $U(t)$ of the data requester from the current step t as

$$U(t) = \sum\nolimits_{k=t}^{\infty} \gamma^{k-t} u^t \tag{3}$$

where $0 \leq \gamma < 1$ is the discount rate for future utilities. The objective of our study is to maximize $U(t)$ by optimally designing the incentive mechanism.

4. Incentive Mechanism for Crowdsourcing

We present the overall layout of our mechanism in Figure 1, where estimated values are denoted with over-the-head tildes. In this section, we formally introduce the three main components of our design: payment rule, bayesian inference and reinforcement learning (RL).

The payment rule is designed to ensure that reporting truthfully and exerting high efforts is the payment-maximizing strategy for all workers at any given time. Besides, this is also the payment-maximizing strategy for all workers in the long run. This property prevents clever manipulations from workers, which bring more long-term benefits to them by sacrificing short-term ones, or the other way around. By doing so, we wish to induce workers to generate high-quality labels. The Bayesian inference algorithm is responsible for estimating the true labels, workers' PoBCs and the aggregate label accuracy from the collected labels at each time step. It utilizes soft Dirichlet priors and Gibbs sampling to prevent overestimation of accuracy when workers generate poor-quality labels. The reinforcement learning algorithm adjusts the payment rule based on the historical data of payments, workers' PoBCs and aggregate labels' accuracy, aiming to optimally balance the utility gain from high accuracy and loss from larg payments, which corresponds to $F(A^t)$ and $\sum_i P_i^t$ in Equation 2 respectively.

4.1. Payment Rule

Suppose, at time step t, worker i finishes M_i^t tasks. Then, the payment for worker i should be

$$P_i^t = M_i^t \cdot (a^t \phi_i^t + b) \;\; , \;\; \phi_i^t = \tilde{p}_i^t - 0.5 \tag{4}$$

where we call ϕ_i^t as worker i's score and $\tilde{p}_i^t$ will be calculated by our Bayesian inference algorithm. a^t is the scaling factor. It is determined by our reinforcement adjustment algorithm at the beginning of step t. We denote all the available values of a^t as set $\mathcal{A}$. Besides, $b \geq 0$ is the fixed base payment.

4.2. Bayesian Inference

In this subsection, we present the details of our inference algorithm. For the simplicity of notations, we omit the superscript t in this subsection. Our empirical studies reveal that popular inference algorithms may be heavily biased towards overestimating the accuracy when the quality of labels is very low. For example, when there are 10 workers and $q_i^t = 0.55$, the estimated label accuracy of the EM estimator (**??**) stays at around 0.9 while the real accuracy is only around 0.5.

The joint distribution of the collected labels $\mathcal{L} = [L_i(j)]$ and the true labels $\boldsymbol{L} = [L(j)]$ satisfies

$$\begin{aligned} & P(\mathcal{L}, \boldsymbol{L} | \boldsymbol{p}, \boldsymbol{\tau}) = \\ & \prod\nolimits_{j=1}^{M} \prod\nolimits_{k=1}^{K} \left\{ \tau_k \prod_{i=1}^{N} p_i^{\delta_{ijk}} (1 - p_i)^{\delta_{ij(3-k)}} \right\}^{\xi_{jk}} \end{aligned} \tag{5}$$

where $\boldsymbol{p} = [p_i]_N$ and $\boldsymbol{\tau} = [\tau_1, \tau_2]$. τ_1 and τ_2 denote the distribution of answer 1 and 2 among all tasks, respectively.

Algorithm 1 Gibbs sampling for crowdsourcing

1: **Input:** the collected labels $\mathcal{L}$, the number of samples W
2: **Output:** the sample sequence $\mathcal{S}$
3: $\mathcal{S} \leftarrow \emptyset$, Initialize $\boldsymbol{L} = [L(j)]_M$ with the uniform distribution
4: **for** $s = 1$ **to** W **do**
5: **for** $j = 1$ **to** M **do**
6: Set $L(j) = 1$ and compute $x_1 = B(\hat{\boldsymbol{\beta}}) \prod_{i=1}^{N} B(\hat{\boldsymbol{\alpha}}_i)$
7: Set $L(j) = 2$ and compute $x_2 = B(\hat{\boldsymbol{\beta}}) \prod_{i=1}^{N} B(\hat{\boldsymbol{\alpha}}_i)$
8: $L(j) \leftarrow$ Sample $\{1, 2\}$ with $P(1) = x_1/(x_1 + x_2)$
9: **end for**
10: Append $\boldsymbol{L}$ to the sample sequence $\mathcal{S}$
11: **end for**

Besides, $\delta_{ijk} = \mathbb{1}(L_i(j) = k)$ and $\xi_{jk} = \mathbb{1}(L(j) = k)$. Here, we assume Dirichlet priors $\mathrm{Dir}(\cdot)$ for p_i and $\boldsymbol{\tau}$ as

$$[p_i, 1 - p_i] \sim \mathrm{Dir}(\alpha_1, \alpha_2) \ , \ \boldsymbol{\tau} \sim \mathrm{Dir}(\beta_1, \beta_2). \quad (6)$$

Then, the joint distribution of $\mathcal{L}$ and $\boldsymbol{L}$ satisfies

$$P(\mathcal{L}, \boldsymbol{L}) = \int_{\boldsymbol{p}, \boldsymbol{\tau}} P(\mathcal{L}, \boldsymbol{L} | \boldsymbol{p}, \boldsymbol{\tau}) \cdot P(\boldsymbol{p}, \boldsymbol{\tau}) \mathrm{d}\boldsymbol{p} \mathrm{d}\boldsymbol{\tau}. \quad (7)$$

Following Bayes' theorem, we can know that

$$P(\boldsymbol{L}|\mathcal{L}) = P(\mathcal{L}, \boldsymbol{L})/P(\mathcal{L}) \propto B(\hat{\boldsymbol{\beta}}) \prod_{i=1}^{N} B(\hat{\boldsymbol{\alpha}}_i). \quad (8)$$

where $\hat{\boldsymbol{\alpha}} = [\hat{\alpha}_1, \hat{\alpha}_2]$, $\hat{\boldsymbol{\beta}} = [\hat{\beta}_1, \hat{\beta}_2]$ and

$$\begin{aligned} \hat{\alpha}_{i1} &= \sum\nolimits_{j=1}^{M} \sum\nolimits_{k=1}^{K} \delta_{ijk} \xi_{jk} + 2\alpha_1 - 1 \\ \hat{\alpha}_{i2} &= \sum\nolimits_{j=1}^{M} \sum\nolimits_{k=1}^{K} \delta_{ij(3-k)} \xi_{jk} + 2\alpha_2 - 1 \\ \hat{\beta}_k &= \sum\nolimits_{j=1}^{M} \xi_{jk} + 2\beta_k - 1. \end{aligned} \quad (9)$$

Besides, $B(x, y) = (x-1)!(y-1)!/(x+y-1)!$ denotes the beta function. The convergence of our inference algorithm requires $\alpha_1 > \alpha_2$. To simplify the theoretical analysis, we set $\alpha_1 = 1.5$ and $\alpha_2 = 1$ in this paper.

Based on the joint posterior distribution $P(\boldsymbol{L}|\mathcal{L})$, we cannot derive an explicit formulation for the true label distribution of task j. Hence, we resort to Gibbs sampling for the inference based on $P(\boldsymbol{L}|\mathcal{L})$. More specifically, according to Bayes' theorem, we can know the conditional distribution of the true label of task j satisfies $P[L(j)|\mathcal{L}, \boldsymbol{L}(-j)] \propto P(\boldsymbol{L}|\mathcal{L})$. In this case, we can generate the samples of the true label vector $\boldsymbol{L}$ by using Algorithm 1. At each step of sampling (line 6-8), Algorithm 1 calculates the conditional distribution and generate a new sample of $L(j)$ to replace the old one in $\boldsymbol{L}$. Through traversing all tasks, Algorithm 1 generates a new sample of the true label vector $\boldsymbol{L}$. Repeating this process for W times, we can get the required posterior samples of $\boldsymbol{L}$, which is sequentially recorded in $\mathcal{S}$. Here, we write the s-th sample as $\boldsymbol{L}^{(s)}$. Since Gibbs sampling requires a burn-in process, we need to discard the first b samples in $\mathcal{S}$. Thus, we can estimate worker i's PoBC p_i as

$$\tilde{p}_i = \frac{\sum_{s=b+1}^{W} \left[2\alpha_1 - 1 + \sum_{j=1}^{M} \mathbb{1}(L^{(s)}(j) = L_i(j)) \right]}{(W - b) \cdot (2\alpha_1 + 2\alpha_2 - 2 + M)} \quad (10)$$

and the distribution of true labels $\boldsymbol{\tau}$ as

$$\tilde{\tau}_k = \frac{\sum_{s=b+1}^{W} \left[2\beta_1 - 1 + \sum_{j=1}^{M} \mathbb{1}(L^{(s)}(j) = k) \right]}{(W - b) \cdot (2\beta_1 + 2\beta_2 - 2 + M)}. \quad (11)$$

Furthermore, we define the log-ratio of task j as

$$\tilde{\sigma}_j = \log \frac{P[L(j) = 1]}{P[L(j) = 2]} = \log \left(\frac{\tilde{\tau}_1}{\tilde{\tau}_2} \prod_{i=1}^{N} \tilde{\lambda}_i^{\delta_{ij1} - \delta_{ij2}} \right) \quad (12)$$

where $\tilde{\lambda}_i = \tilde{p}_i/(1 - \tilde{p}_i)$. Then, we decide the true label estimate $\tilde{L}(j)$ as 1 if $\tilde{\sigma}_j > 0$ and as 2 if $\tilde{\sigma}_j < 0$. Correspondingly, the label accuracy A can be estimated as

$$\tilde{A} = \mathbb{E}A = \frac{1}{M} \sum\nolimits_{j=1}^{M} e^{|\tilde{\sigma}_j|} \left(1 + e^{|\tilde{\sigma}_j|} \right)^{-1}. \quad (13)$$

Note that, both W and b should be large values, and in this paper, we set $W = 1000$ and $b = 100$.

4.3. Reinforcement Incentive Adjustment

In this subsection, we formally introduce our reinforcement learning (RL) algorithm, which adjusts the incentive scaling level at each time step t. Stepping back and viewing it under the large picture, the reinforcement learning serves as the glue to connect each other component in our framework.

In an RL problem, an agent interacts with an unknown environment and attempts to maximize its utility. The environment is commonly formalized as a Markov Decision Process (MDP) defined as $\mathcal{M} = \langle \mathcal{S}, \mathcal{A}, \mathcal{R}, \mathcal{P}, \gamma \rangle$ (). At time t the agent is in state $s_t \in \mathcal{S}$ where it takes an action $a_t \in \mathcal{A}$ leading to the next state $s_{t+1} \in \mathcal{S}$ according to the transition probability kernel $\mathcal{P}$, which encodes $Pr(s_{t+1} \mid s_t, a_t)$. In most RL problems, P is unknown to the agent. The agent's goal is to learn the optimal policy, a conditional distribution $\pi(a \mid s)$ that maximizes the sate value function

$$V^{\pi}(s) = \mathbb{E}\left[\sum_{k=1}^{\infty} \gamma^k r_{t+k} \mid s = s_t \right].$$

The crowdsourcing problem we aim to tackle in this paper can perfectly fit into this RL formalization. To be more specific, the data requester is the agent and it interacts with workers (i.e. the environment); incentive scaling levels are actions; the implicit utility after paying workers (see Formula **??**) is reward; how workers respond to different

incentives and potentially change their effort levels and reporting strategies thereafter forms the transition kernel, which is unknown to the data requester; which incentive scaling level to be picked at each time t given workers' labelling constructs the policy, which needs to be learned by the data requester.

Figure **??** visualizes how our RL algorithm interacts with the environment and the rest of the framework; it takes as input workers' PoBC, reward signal, and internally its action history, and outputs the current incentive scaling level. The latest determined incentive scaling level gets plugged back into the payment rule, and by following formula (4), the exact payment to each worker is decided.

As a critical step towards improving a given, it is a standard practice for RL algorithms to learn a state-action value function (i.e. Q-function), denoted:

$$Q^{\pi}(s,a) = \mathbb{E}\left[\mathcal{R}(s_t, a_t, s_{t+1}) + \gamma V^{\pi}(s_{t+1}) \mid s = s_t, a = a_t\right]$$

In real-world problems, in order to achieve a better generalization, instead of learning a value for each state-action pair, it is more common to learn an approximate value function: $Q^{\pi}(s,a;\theta) \approx Q^{\pi}(s,a)$. A standard approach is to learn a feature-based state representation $\phi(s)$ of the state s. Due to the popularity of Deep Reinforcement learning, it has been a trend to deploy neural networks to automatically extract high-level features(). However, most deep RL methods' success is only demonstrated in the domains where the environment is very high-dimensional(). Unfortunately, this prerequisite does not hold in most crowdsourcing problems, where the number of workers are limited to be fewer than thousands. Due to this fact, we turn our attention to designing a high-quality human-engineered feature representation, which embodies our knowledge of this domain. Several studies also reveal that a carefully designed static feature representation can achieve performance as good as the most sophisticated state-of-the-art deep RL models, even in those most challenging problems. ()

Recall that the data requester's implicit utility at each time t only depends on the aggregate probability of being correct averaged across the whole worker body. Such observation already points out to a representation design which guarantees generalization. To be more specific, we design our state representations as

$$\phi(s) = \frac{1}{N} \cdot \sum_{k=1}^{2} Pr(L=k) \cdot \sum_{i=1}^{N} c_{ikk}.$$

Further recall, when deciding the scaling level a_t the data requester does not observe the latest labelling and thus cannot estimate the current $\phi(s_t)$ via Bayesian inference. Due to this one-step delay, we have to build our state representation using the previous observation. Since most workers would only change their effort levels and reporting strategies after receiving a new incentive, there exists some imperfect mapping function $\phi(s_t) \approx f(\phi(s_{t-1}), a_{t-1})$. Putting into another perspective, the combination of $\phi(s_{t-1}, a_{t-1}$ also reflects our best knowledge of the current state. Utilizing this implicit function, we formally introduce an augmented state representation for our RL algorithm

$$\hat{s_t} = \langle \phi(s_{t-1}), a_{t-1} \rangle.$$

Since the data requester never possesses the ground truth for each task, the utility u_t is not accurately observed. Also $\hat{s_t}$ is not accurate, as the mapping function can not be perfect. Combining both together, it would not be a surprise that some noise that cannot be directly learned exists in our state-action value function. As section 3 mentions, according to the central limit theorem, these noise can be modeled using Gaussian process. To be more specific, we calculate our temporal difference (TD) as

$$r_t \approx Q^{\pi}(\hat{s_t}, a_t) - \gamma V^{\pi}(\hat{s_{t+1}}) + \epsilon_t$$

where the noise ϵ follows a Gaussian process $\mathcal{N}(\hat{s_t}, \hat{s_{t+1}})$. Note we gain two benefits doing so. First, it greatly simplifies our derivation of the update for the Q-function. Secondly, our empirical results later show that this Gaussian approximation has achieved robust performance under different worker models.

Under the Gaussian process approximation, we can put all the observed rewards and the corresponding Q-function up to the current step t together and obtain

$$\boldsymbol{r} = \boldsymbol{H}\boldsymbol{Q} + \boldsymbol{N} \tag{14}$$

where $\boldsymbol{r}$, $\boldsymbol{Q}$ and $\boldsymbol{N}$ denote the collection of rewards, Q values, and residual values up to step t, respectively. Due to the Gaussian process assumption of the residual, $\boldsymbol{N} \sim \mathcal{N}(\boldsymbol{0}, \boldsymbol{\sigma}^2)$, where $\boldsymbol{\sigma}^2 = \text{diag}(\sigma^2, \ldots, \sigma^2)$. The hat matrix $\boldsymbol{H}$ satisfies that $\boldsymbol{H}(k,k) = 1$ and $\boldsymbol{H}(k,k+1) = -\gamma$ for $k = 1, \ldots, t$. Then, the Q-function can be learned effectively using the online Gaussian process regression algorithm (**?**). Furthermore, we use the classic ϵ-greedy method to construct policy from the learned Q-function.

5. Game-Theoretic Analysis

In this section, we present the game-theoretic analysis on our incentive mechanism. Our main results are as follows:

Proposition 1. *When $M \gg 1$ and $(2p_H)^{2(N-1)} \geq M$, in any time step t, reporting truthfully ($r_i^t = 0$) and exerting high efforts ($e_i^t = 1$) is the payment-maximizing strategy for any worker i if the other workers all follow this strategy. In other words, reporting truthfully and exerting high efforts is a Nash equilibrium for all workers in any time step.*

Proposition 2. *Suppose the conditions in Proposition 1 are satisfied. In our reinforcement learning algorithm, when $\tilde{Q}(s,a)$ approaches the real $Q(s,a)$ and*

$$\eta M(N-1)p_H \min_{a,b\in\mathcal{A}} |a-b| > \frac{F(1)-F(1-\psi)}{1-\rho} \qquad (15)$$
$$\psi = 2(\tau_1\tau_2^{-1}+\tau_1^{-1}\tau_2)[4p_H(1-p_H)]^{\frac{N-1}{2}}$$

always reporting truthfully ($r_i^t \equiv 0$) and exerting high efforts ($e_i^t \equiv 1$) is the payment-maximizing strategy for any worker i in the long term if the other workers all follow this strategy. In other words, always reporting truthfully and exerting high efforts is a Nash equilibrium for all workers.

The proof of Proposition 1 relies on the convergence of our Bayesian inference algorithm, namely $\tilde{p}_i^t \to p_i^t$. To prove Proposition 2, we need to bound the effects of a single worker on our reinforcement learning algorithm. This analysis provides a novel tool to prevent self-interested agents from manipulating reinforcement learning algorithm.

5.1. Proof for Proposition 1

After the workers report their labels, the payment in our incentive mechanism is only decided by $\tilde{p}_i^t$ which only depends on the labels in the current step. Thus, in this subsection, we focus on analyzing our Bayesian inference algorithm and omit the superscript t in all equations for the simplicity of notations. From Equation 8, we can know the posterior distribution of the true labels satisfies

$$P(\boldsymbol{L}|\mathcal{L}) = \frac{B(\hat{\boldsymbol{\beta}})\prod_{i=1}^N B(\hat{\boldsymbol{\alpha}}_i)}{C_p \cdot P(\mathcal{L})} \qquad (16)$$

where C_p is the nomalization constant. Denote the labels generated by N workers for one task as vector $\boldsymbol{x}$. Then, we can compute the distribution of $\boldsymbol{x}$ as

$$P_{\boldsymbol{\theta}}(\boldsymbol{x}) = \sum_{k=1}^2 \tau_k \prod_{i=1}^N p_i^{1(x_i=k)}(1-p_i)^{1(x_i=3-k)} \qquad (17)$$

where $\boldsymbol{\theta} = [\tau_1, p_1, \ldots, p_N]$ denotes all the parameters. For the denominator in Equation 16, we can have

Proposition 3. *When $M \to \infty$,*

$$P(\mathcal{L}) \to C_L(M) \cdot \prod_{\boldsymbol{x}} [P_{\boldsymbol{\theta}}(\boldsymbol{x})]^{M\cdot P_{\boldsymbol{\theta}}(\boldsymbol{x})} \qquad (18)$$

where $C_L(M)$ denotes a constant that depends on M.

We put the detailed proof in the supplementary file. Our main idea is to connect $P(\mathcal{L})$ with $\prod_{j=1}^M P_{\boldsymbol{\theta}}(\boldsymbol{x}_j)$, where $\boldsymbol{x}_j$ denotes all the labels for task j. Then, we move our focus to the posterior true label vector $\boldsymbol{L}$ generated by $P(\boldsymbol{L}|\mathcal{L},\boldsymbol{\alpha},\boldsymbol{\beta})$. We introduce n and m to denote the number of tasks of which the posterior true label is correct and wrong, respectively. Besides, for the simplicity of notations, we employ the convention that $\bar{p} = 1-p$, $\hat{p} = \max\{p,\bar{p}\}$ and $p_0 = \tau_1$. Hence, we can derive the upper bound of wrong labels as

Proposition 4. *When $M \gg 1$,*

$$\mathbb{E}[m/M] \lesssim (1+e^\delta)^{-1}(\varepsilon+e^\delta)(1+\varepsilon)^{M-1} \qquad (19)$$
$$\mathbb{E}[m/M]^2 \lesssim (1+e^\delta)^{-1}(\varepsilon^2+e^\delta)(1+\varepsilon)^{M-2} \qquad (20)$$

where $\varepsilon^{-1} = \prod_{i=0}^N (2\hat{p}_i)^2$, $\delta = O[\Delta\cdot\log(M)]$ and

$$\Delta = \sum_{i=1}^N [1(p_i<0.5) - 1(p_i>0.5)].$$

We also put the detailed proof in the supplementary file. Our main idea is to introduce a set of variables to describe the collected labels at first. Among the n tasks of which the posterior true label is correct, x_i and y_i denote the number of tasks of which worker i's label is correct and wrong, respectively. Among the remaining m tasks, w_i and z_i denote the number of tasks of which worker i's label is correct and wrong, respectively. Then, we calculate the approximation of $P(m)$ based on the conditional probabilities $P(x_i,y_i,w_i,z_i|m)$ and $P(\boldsymbol{L}|\mathcal{L})$. Due to Proposition 3, we focus on the numerator in Equation 16 when calculating $P(\boldsymbol{L}|\mathcal{L})$. The upper bounds of $\mathbb{E}[m/M]$ and $\mathbb{E}[m/M]^2$ can be obtained by calculating $\sum_m mP(m)$ and $\sum_m m^2P(m)$.

Lastly, focusing on worker i, we calculate the difference between the estimated PoBC $\tilde{p}_i$ and the real PoBC p_i when the other workers all exert high efforts and report truthfully. When $M \gg 1$, according to Equation 10, we can know that $\tilde{p}_i \approx \mathbb{E}_{\boldsymbol{L}}(x_i+z_i)/M$, where $\mathbb{E}_{\boldsymbol{L}}$ denotes the expectation based on the posterior distribution $P(\boldsymbol{L}|\mathcal{L})$. Meanwhile, in the proof of Proposition 4, according to the law of large numbers, $p_i \approx (x_i+w_i)/M$. Thus, we can have

$$|\tilde{p}_i - p_i| \approx \mathbb{E}_{\boldsymbol{L}}|w_i-z_i|/M \le \mathbb{E}_{\boldsymbol{L}}[m/M]. \qquad (21)$$

If workers except for worker i all report truthfully and exert high efforts, then $\Delta \le -1$ in Proposition 4 because we require $N \ge 3$ in Section 3. Considering $M \gg 1$, we can make the approximation that $e^\delta \approx 0$. In addition, considering $2\hat{p}_i \ge 1$,we can have $\varepsilon^{-1} \ge (2p_H)^{2(N-1)}$. When $(2p_H)^{2(N-1)} \ge M$, $\varepsilon \le M^{-1}$. Thus, the upper bound in Proposition 4 can be further calculated as

$$\mathbb{E}\left[\frac{m}{M}\right] \lesssim \frac{C_1}{M\cdot C_2} \quad , \quad \mathbb{E}\left[\frac{m}{M}\right]^2 \lesssim \frac{C_1}{M^2\cdot C_2^2} \qquad (22)$$

where $C_1 = (1+M^{-1})^M \approx e$ and $C_2 = 1+M^{-1} \approx 1$. Then, $m/M \approx 0$ because $\mathbb{E}[m/M] \approx 0$ and $\mathrm{Var}[m/M] = \mathbb{E}[m/M]^2 - (\mathbb{E}[m/M])^2 \approx 0$. In this case, $\tilde{p}_i \approx p_i$. Thereby, worker i can only get the maximal payment when reporting truthfully and exerting high efforts, namely, when $p_i = p_H$, which concludes Proposition 1.

5.2. Proof for Proposition 2

To prove Proposition 2, we need to analyze worker i's effects on our reinforcement learning algorithm. If worker

i wishes to get higher payments in the long term, he/she must push our reinforcement learning algorithm to at least increase the scaling factor from a to $b > a$ at a certian state s. In the ϵ-greedy strategy used by our reinforcement learning algorithm, the random selection part is independent of worker i. Thus, worker i must mislead the greedy part by letting $\tilde{Q}(s,a) \leq \tilde{Q}(s,b)$. In this proof, we will show that, under the condition defined in Equation 15, there does not exist $b \in \mathcal{A}$ that can achieve this objective. In other words, our reinforcement learning algorithm will never increase the scaling factor to please a single worker. On the other hand, in any time step t, worker i will loss some money if $p_i^t < p_H$. Thereby, the payment-maximizing strategy for worker i is to report truthfully and exert high efforts in all time steps, which concludes Proposition 2.

Since Proposition 2 requires $\tilde{Q}(s,a) \approx Q(s,a)$ as one of the conditions, we now focus on proving that $Q(s,a) - Q(s,b) > 0$ always holds. Suppose all workers except for worker i report truthfully and exert high efforts in all time steps. According to Equations **??** and **??**, we can have $Q(s,a) - Q(s,b) \geq X(a) - X(b) + Y$, where

$$X(a) = \sum\nolimits_{k=0}^{\infty} \rho^k \cdot \mathbb{E}F(\tilde{A}^{k+t} | s_t = s^*, a^t = a) \quad (23)$$

denotes the expected long-term utility that we get from the labels. $Y = \eta M(N-1)p_H(b-a) > 0$ denotes the payment increment for workers except worker i. To attract our reinforcement learning algorithm to increase the scaling factor, worker i must increase p_i^t when a^t is increased from a to b. Otherwise, we will get less accurate labels with higher payments, which is impossible for the greedy strategy used in our reinforcement learning algorithm. In this case, the payment for worker i will also increase. However, we do not know p_i. Thus, we regard the payment increment as 0 when deriving the lower bound of $Q(s,a) - Q(s,b)$.

Here, to bound $X(a) - X(b)$, we analyze the effects of worker i on the estimated accuracy $\tilde{A}$. Since our analysis is satisfied in all time steps, we omit the time step t for the simplicity of notations. From Equation 13, we can know that, when $M \gg 1$, the estimated accuracy $\tilde{A}$ satisfies

$$\tilde{A} \approx 1 - \mathbb{E}g(\tilde{\sigma}_j) \ , \ g(\tilde{\sigma}_j) = 1/(1 + e^{|\tilde{\sigma}_j|}). \quad (24)$$

From the proof of Proposition 1, we can know that $\tilde{p}_i^t \approx p_i^t$. In this case, according to Equation 12, we can have

$$\tilde{\sigma}_j(p_i) \approx \log\left(\frac{\tau_1}{\tau_2} \lambda_i^{\delta_{ij1} - \delta_{ij2}} \prod\nolimits_{k \neq i} \lambda_H^{\delta_{kj1} - \delta_{kj2}}\right). \quad (25)$$

where $\lambda_i = p_i/(1-p_i)$ and $\lambda_H = p_H/(1-p_H)$.

Considering the case that worker i exert low efforts and reports randomly, namely $p_i = 0.5$, we can eliminate λ_i from Equation 25 because $\lambda_i = 1$. Furthermore, according to Lemma **??** in the supplementary file, we can know that $g(\tilde{\sigma}_j) < e^{\tilde{\sigma}_j}$ and $g(\tilde{\sigma}_j) < e^{-\tilde{\sigma}_j}$ both hold. Thus, we build a more tight upper bound of $g(\tilde{\sigma}_j)$ by dividing all the combinations of δ_{kj1} and δ_{kj2} in Equation 25 into two sets and using the smaller one of $e^{\tilde{\sigma}_j}$ and $e^{-\tilde{\sigma}_j}$ in each set. By using this method, if the true label is 1, we can have $\mathbb{E}_{[L(j)=1]} g(\tilde{\sigma}_j) < q_1 + q_2$, where

$$q_1 = \frac{\tau_2}{\tau_1} \sum\nolimits_{n=K+1}^{N-1} C_{N-1}^n (\frac{1}{\lambda_H})^{n-m} p_H^n (1-p_H)^m$$
$$q_2 = \frac{\tau_1}{\tau_2} \sum\nolimits_{n=0}^{K} C_{N-1}^n {\lambda_H}^{n-m} p_H^n (1-p_H)^m$$
$$n = \sum\nolimits_{k \neq i} \delta_{kj1} \ , \ m = \sum\nolimits_{k \neq i} \delta_{kj2} \ , \ K = \lfloor (N-1)/2 \rfloor.$$

Here, we use $e^{-\tilde{\sigma}_j}$ and $e^{\tilde{\sigma}_j}$ as the upper bound of $g(\tilde{\sigma}_j)$ when $n \in (K, N-1]$ and $n \in [0, K]$, respectively. By using Lemma **??** in the supplementary file, we can thus get

$$\mathbb{E}_{[L(j)=1]} g(\tilde{\sigma}_j) < c_\tau [4 p_H (1-p_H)]^{\frac{N-1}{2}}. \quad (26)$$

where $c_\tau = \tau_1 \tau_2^{-1} + \tau_1^{-1} \tau_2$. Similarly,

$$\mathbb{E}_{[L(j)=2]} g(\tilde{\sigma}_j) < c_\tau [4 p_H (1-p_H)]^{\frac{N-1}{2}}. \quad (27)$$

Thereby, $\tilde{A} > 1 - 2c_\tau [4 p_H (1-p_H)]^{\frac{N-1}{2}} = 1 - \psi$.

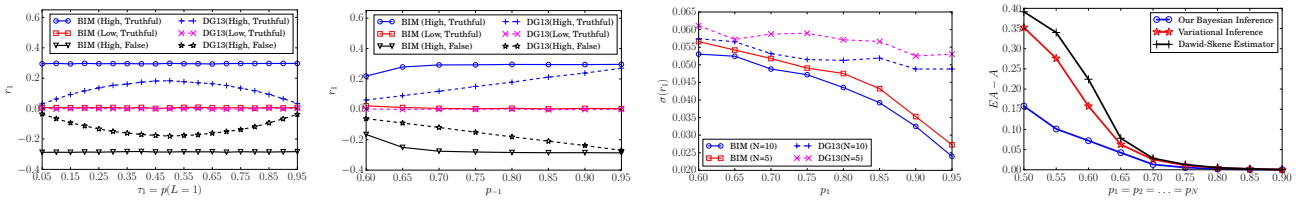
We then consider another case where worker i exerts high efforts but reports falsely, namely $p_i = 1 - p_H$. In this case, we can rewrite Equation 25 as

$$\tilde{\sigma}_j(1-p_H) \approx \log\left(\frac{\tau_1}{\tau_2} \lambda_H^{x-y} \prod\nolimits_{k \neq i} \lambda_H^{\delta_{kj1} - \delta_{kj2}}\right). \quad (28)$$

where $x = \delta_{ij2}$ and $y = \delta_{ij1}$. Since $p_i = 1 - p_H$, x and y actually has the same distribution as δ_{kj1} and δ_{kj2}. Thus, the distribution of $\tilde{\sigma}_j(1-p_H)$ is actually the same as $\tilde{\sigma}_j(p_H)$. In other words, since Proposition 1 ensures p_i to be accurately estimated, our Bayesian inference algorithm uses the information provided by worker i via flipping the label when $p_i < 0.5$. Thus, $p_i = 0.5$ actually lowers $\tilde{A}$ to the utmost because worker i provides no information about the true label in this case. Thus, $\tilde{A} \geq 1 - \psi$ always holds. On the other hand, $\tilde{A} \leq 1.0$ also always holds. Considering $F(\cdot)$ is a non-decreasing monotonic function, we can get $X(a) \geq (1-\rho)^{-1} F(1-\psi)$ while $X(b) \leq (1-\rho)^{-1} F(1)$. Thereby, when Equation 15 is satisfied, $X(a) - X(b) + Y > 0$ always holds, which concludes Proposition 2.

6. Empirical Experiments

In this section, we firstly test the one-step performance of our incentive mechanism by comparing it with the state-of-the-art incentive mechanism. Then, we show the advantages of including the reinforcement algorithm via conducting experiments on three representative worker models, including fully rational, bounded rational and self-learning agents.



(a) (b) (c) (d)

Figure 2. One-step performance of our reinforcement incentive mechanism (RIM) (a) payment variation with the distribution of true labels (c) payment variation as the PoBC of other workers (d) the standard variance of the payment (d) the inference bias on label accuracy

6.1. One-Step Peroformance Analysis

In Figures 2a-c, we compare the average payments per task for worker 1 in our incentive mechanism with DG13, the state-of-the-art peer prediction mechanism for binary labels (**??**). In all these experiments, we fix the scaling factor $a^t = 1$ and set $M = 100$, $N = 10$, $p_H = 0.8$ and $b = 0$. The labels are generated by simulating workers' observation process. We firstly generate the true label for task j based on the true label distriubtion (τ_1, τ_2). Then, we generate worker i's label for task j based on worker i's PoBC p_i and the true label $L(j)$. For each point in these figures, we run the experiments for 1000 rounds and present the means.

In Figure 2a and b, we show the variation of the payment for worker 1 with the distribution of true labels and the strategies of other workers, respectively. More specifically, in Figure 2a, we let all the other workers report truthfully and exert high efforts ($p_{i\neq 1} = p_H$), and meanwhile increase τ_1 from 0.05 to 0.95. In Figure 2b, we let $\tau_1 = 0.5$, and increase $p_{i\neq 1}$ from 0.6 to 0.95. From these two figures, we can find that the payment for worker 1 in our mechanism almost only depend on worker 1's own strategy. By contrast, the payments in DG13 is severely affected by the distribution of true labels and the strategies of other workers. Furthermore, in Figure 2c, we present the standard variance of the payment for worker 1. We let $\tau_1 = 0.5$, $p_{i\neq 1} = p_H$ and meanwhile increase p_1 from 0.6 to 0.95. Form the figure, we can find that the payment vairance of our mechanism is much smaller than that of DG13. All in all, our mechanism is much fairer and more stable than DG13. This is because we can fully exploit the information provided by all workers while traditional peer prediciton mechanisms only compare the labels of two workers.

In Figure 2d, we compare our Bayesian inference algorithm with two popular inference algorithms in the studies of crowdsourcing, that is, the Dawid-Skene estimator (**??**) and the variational inference estimator (**??**). Here, we set workers' PoBC p_i to be equal and increase the value of p_i from 0.5 to 0.9, which means the quality of labels is gradually improved. The other settings are the same as Figure 2b. From the figure, we can find that, when the quality of labels is very low, the inference bias of the Dawid-Skene and variational inference estimators on the label accuracy can be larger than 0.3 while the range of the label accuracy is only $[0.5, 1.0]$. This observation shows that these two estimators become over-optimistic for low-quality labels, which will be disastrous for our reinforcement algorithm. Thus, we develop a novel Bayesian inference algorithm which reduces the inference bias for low-quality labels by considering the connection between tasks.