

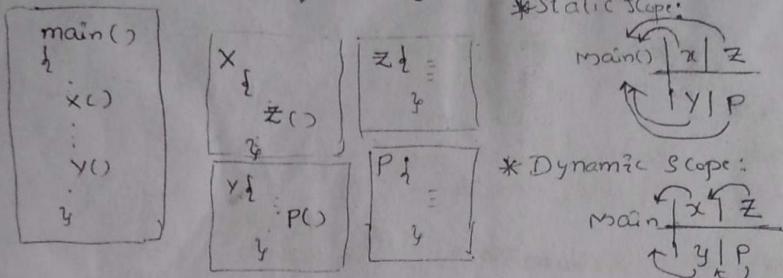
# GATE NOTES BY DEVA SIR



# Programming & Data Structure:

Sir's

## \* Scoping [Static & Dynamic]:



## \* Parameters passing:

Call by value: "Actuals copied to formal, but not formal to actual"

Call by reference: "Actuals & formals use same address space."

Call by Value Result: "Actual copied to formal & Formal copied to Actuals (Restore)"

Call by Result: "Actuals not copied to formal, but Formal copied to Actual."

Call by Constant: Formal values are never changed. 45

Call by Text: Actuals appended as <sup>replaces</sup> formula's expressions, will ~~be used~~

[Replaces formal by text] - variable name in formal's function [called function]

↳ If Actual appended directly, then uses same name in the formal's function.

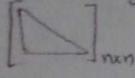
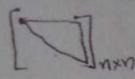
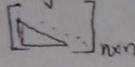
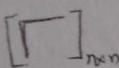
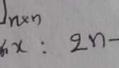
Call by Name: Same as Call by text.

Call by need: Memory allocates only if formals are used in function.

↳ "Call by reference" & "Call by name" parameter passing ale gives different result when passing address of an array as parameters.

↳ "Call by Reference" & "Call by Value Result" parameter passing gives different results when the presence of loops.

↳ Call by name: 1) If the actual parameter is scalar variable, then same as call by reference.  
2) If the actual parameter is constant expression, then same as call by value.

Lower Triangular : $n + \frac{n(n-1)}{2} = \frac{n(n+1)}{2}$ 	Row major : $(j=1) + \frac{i(i-1)}{2}$ Column major : $(i-j) + [(j-1)n - \frac{(j-1)(j-2)}{2}]$	
Upper $\Delta^L$ : $n + \frac{n(n-1)}{2} = \frac{n(n+1)}{2}$ 	Row major : $(j-i) + [(i-1)n - \frac{(i-1)(i-2)}{2}]$ Column major : $(i-1) + \frac{j(j-1)}{2}$	
Strictly lower $\Delta^L$ : $\frac{n(n-1)}{2}$ 	Row major : $(j-1) + \frac{(i-1)(i-2)}{2}$ Column major : $(i-1) + \frac{(j-1)(j-2)}{2}$	
Toeplitz : $2n-1$ 	Tridiagonal : $3n-2$ Row : $2^i + j - 3$ Column : $i + 2^j - 3$	C-Matrix : $3n-2$  X-matrix : $2n-1$

Stack : Push  $\Rightarrow$  Increment & Store.  
 (LIFO)  
 Pop  $\Rightarrow$  Load & Decrement

Applications of Stack : Subroutines, Evaluation of Expressions (Postfix), Converting Arithmetic Expressions from infix to postfix [Prefix/Postfix], Searching trees & graphs, Creating Expression trees, balancing of Symbols, Recursive functions, Backtracking algorithms, DFS trees.

Queue : Front  $\Rightarrow$  Deletion of an Element  
 Rear  $\Rightarrow$  Insertion of an Element.

Applications of Queues : Multiprogramming, Resource Scheduling, BFS, CPU Scheduling, Radix Sort, Real time systems & Storing operands in Evaluation of prefix Expressions.

\* Converting Expressions:  
 1) Prefix  $\Rightarrow$  Postfix : Prefix  $\xrightarrow{\text{[Right to left]}} \text{Expression Tree} \Rightarrow$  Postfix

Ex:  $\bullet + a * b c$

2) Postfix  $\Rightarrow$  Prefix : Postfix  $\xrightarrow{\text{[left to right]}} \text{Expression Tree} \Rightarrow$  Prefix.

Ex:  $b c * a +$

A tree can never be empty, but binary tree may be empty.

- \* Max no. of nodes possible in a binary tree of height  $h$  is:  $2^h - 1$
- For non-empty binary tree, If  $n$  is no. of nodes &  $e$  is no. of edges, then  $n = e + 1$ .
- For non-empty binary tree, No. of leaf nodes = No. of non-leaf nodes + 1  

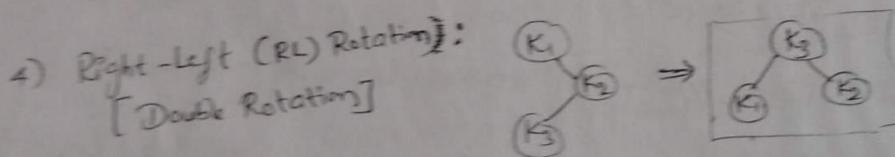
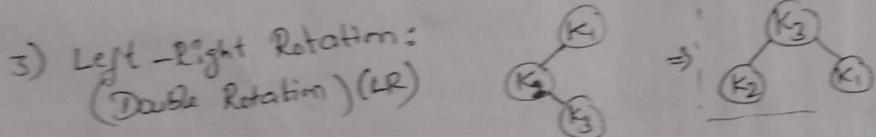
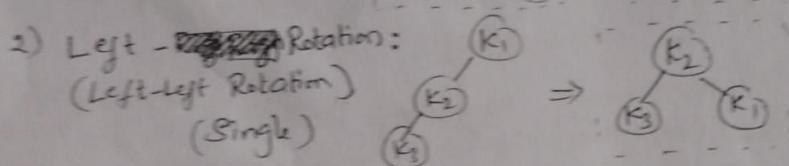
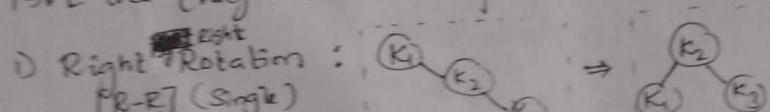
$$\text{Degree} = 0 \quad \text{Degree} = 2$$
- Height of complete binary tree with  $n$  no. of nodes =  $\lceil \log_2(n+1) \rceil$
- Total no. of binary trees possible with  $n$ -nodes:  $\frac{2n}{n+1}$
- \* In a binary tree of height  $h$ , contains  $\leq 2^{h-1}$  leaf nodes.
- Maximum level of binary tree with  $n$ -nodes:  $l_{\max} = n - 1$
- Minimum level " " " " :  $l_{\min} = \lceil \log_2(n+1) - 1 \rceil$
- \*\* In an  $m$ -ary tree ( $\text{degree} = m$ ), If  $n_i$  is no. of nodes of degree  $i$  ( $i = 0, 1, \dots, m$ ) then  $n_0 = 1 + \sum_{i=2}^m (i-1)n_i$  ac  
 leaf nodes
- Maximum size of array to store a binary tree (with  $n$ -nodes) =  $2^n - 1$
- Minimum size of array " " " " =  $2^{\lceil \log_2(n+1) \rceil} - 1$
- \* Maximum height possible for a binary tree with  $n$ -nodes =  $n$
- \* Minimum height ( $h_{\min}$ ) " " " " =  $\lceil \log_2(n+1) \rceil$
- In a linked representation of binary tree, if there are  $n$ -no. of nodes then No. of null links =  $n + 1$
- \* In binary tree, Rank( $\infty$ ) Index of any node is equal to no. of nodes in left subtree of ~~getrootnode~~ plus 1.  

$$\text{Rank}(i) = (\text{No. of nodes in left subtree of } i) + 1$$

\* **Binary Sort**: Inorder traversal of binary search tree called "binary sort". [Sorted Order of data in ascending order]

- In binary Search tree : \* Recursion of left child from the root gives the minimum Element. & \* Recursion of Right child from the root gives the maximum Element.
- complexity for B.S.T : Avg case =  $O(\log_2 n)$  | To visit root:  $\Theta(1)$  [Search, Insert & Delete] worst case =  $O(n)$

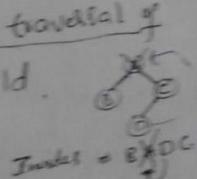
\* AVL tree [height balanced binary search tree] [balance factor = 0, or 1, or -1]



\* "Deletion of a node" in Binary Search tree :

- 1) Deletes that node; if it has no children
- 2) Deletes that node & Replaces with child ; if it has only one child.

3) Deletes that node & Replaces with Inorder traversal of that node; if it has more than one child.



- Heaptree : Complete binary tree with heap property
- HeapSort : By deleting the root node from <sup>(Minheap/Maxheap)</sup> heaptree & placing the deleted node in O/P, until all the nodes are deleted. It gives <sup>(Minheap)</sup> <sup>(Maxheap)</sup> ~~Binary~~ in ascending or descending order.
- Priority Queue can be implemented using Circular Queue - array, Linked list, & Heap tree.
- For any node  $i$  ( $i=1, 2, \dots, n$ ), the ~~left child/right child~~ of node  $i$  is at  $2i$  location and In heap array, the Left child is at  $2i$  location and Right child is at  $(2i+1)^{th}$  location.
- In heap array, Parent of any node  $i$  is at:  $\lfloor \frac{i}{2} \rfloor$
- Balance factor (bf) of AVL tree (height balanced BST) is:  

$$|bf| = |h_L - h_R| \leq 1$$
- ~~Full binary tree~~: At each level, max no. of nodes contained in a binary tree.
- Complete binary tree: At each level, max no. of nodes contained, & except at last level in a binary tree.
- Strict binary tree: At each node, the no. of children may contain exactly either 0 or 2 children.
- A complete  $n$ -ary tree is one in which Every node has 0 to  $n$  sons. If  $x$  is the no. of internal nodes of a complete  $n$ -ary tree, the no. of leaves is  

$$\text{It is: } x(n-1) + 1$$
- Let  $T(n)$  be the no. of different binary search trees on  $n$ -distinct elements, Then  $T(n) = \sum_{k=1}^n T(k-1)T(n-k+1)$

## Algorithms:

- \* If  $f(n) \leq K \cdot g(n)$  then  $f(n) \underset{=}^{\sim} O(g(n))$
- \* If  $f(n) \geq K \cdot g(n)$  then  $f(n) = \Omega(g(n))$
- \* If  $K_1 \cdot g(n) \leq f(n) \leq K_2 \cdot g(n)$  then  $f(n) = \Theta(g(n))$
- \* If  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$  then  $f(n) = o(g(n))$  [i.e.,  $f(n) < g(n)$ ]
- \* If  $\lim_{n \rightarrow \infty} \frac{g(n)}{f(n)} = 0$  then  $f(n) = \omega(g(n))$  [i.e.,  $f(n) > g(n)$ ]

## \* Greedy Approach:

It works in stage wise by considering one ip at a time.  
[based on criteria]

i) Subset paradigm: order determined with Selection procedure.

- by "optimization" technique.

ii) ordering Paradigm: order determined by "decision", that  
- already made but not selection.

without sorting with sorting

\* KnapSack problem:  $[O(n) + O(n \log n)]$

Maximize  $\sum_{i=1}^n p_i x_i$ , Subject to  $\sum_{i=1}^n w_i x_i \leq m$  &  $0 \leq x_i \leq 1$

i) maximum profit, ii) minimum weight, iii) maximum profit per unit weight( $p/w$ )

\* Job Sequencing with deadlines:  $[\Theta(n^2)]$

It gives maximum profit, by taking the sum of profits (maximum) of jobs, which are completed in its deadline.  $[\sum_{i=1}^n p_i]$

\* Minimum Cost Spanning trees:

. Prim's Algorithm:  $[O(n^2)]$   
with heap:  $O(e \log n)$   
with fibonacci heap:  $O(e + \log n)$

. Kruskal's Algorithm:  $[O(e \log e)]$   
with heap:  $O(e \log n)$

. Optimal Randomized Algorithm:  $(\text{minimum cost of all spanning trees}) [O(V \alpha)]$

. Vertex splitting.  $[O(n)]$  . . . .

\* Optimal storage on tapes:  $[O(n \log n)]$

- No. of permutations for ordering n-programs =  $n!$

- (Minimize) Mean Retrieval Time =  $\sum_{j=1}^n \left( \sum_{k=1}^{j-1} l_{ik} \right)$

[ where ' $i$ ' is like  $i^{th}$  permutation order, which contains the lengths of program in "increasing order"]

Ex:-  $(l_1, l_2, l_3) = (5, 10, 3) \Rightarrow$  Increasing order = 3, 5, 10

$$MRT = 3 + (3+5) + (3+5+10) = 29$$

Optimal order is (3, 5, 10).

- Large files with smaller records  $\Rightarrow$  Insertion Sort users.
- Small files with larger records  $\Rightarrow$  Selection Sort users.

\* Optimal merge pattern [Huffman Coding]  $[O(n \log n)]$ .

The no. of bits per message =  $\sum \left( \frac{q_i}{\text{frequency of external}} \right) * \left( \frac{\text{No. of bits required for } q_i}{q_i} \right)$

Weighted External Path length =  $\sum q_i d_i$   
 ↓ distance from root to External node 'i'  
 [or Equivalent decimal]

\* Coins change problem

\* Single Source Shortest Path [Dijkstra's Algorithm]  $[O(e \log n)]$

(with weights) [with heap -  $O(e + n \log n)$ ]

~~\* Coins change problem~~

\* Union & find operations for disjoint sets:

Time Complexity for union:  $O(n)$

Time Complexity for find(i):  $O(i)$

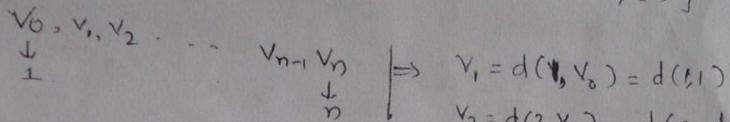
Time Complexity for find(<sup>element</sup>i) at different levels.

To find all the 'n' elements at different levels, the time complexity become:  $[O(\sum_{i=1}^n i) = O(n^2)]$

# Dynamic Programming

- Multistage graph:  $[0 \dots n+e]$   $\rightarrow$  k-stage

$$Cost(i, j) = \min_{l \in V_{i+1}} \{ C(j, l) + Cost(i+1, l) \}$$



All pairs shortest path: (Floyd's-Warshall's)  $\Theta(n^3)$  [with heap:  $O(n^2 \log n)$ ]  $v_2 = d(2, v_1) = d(2, d(1, 1))$   
 $v_3 = d(3, v_2)$

$$A^{\circ}(i,j) = C(i,j)$$

$$A^k(i,j) = \min \{ A^{k-1}(i,j), A^{k-1}(i,k) + A^{k-1}(k,j) \}$$

## \*Single Source Shortest Path

Source Shortest Path (Bellman - Ford) will take weights  $O(n^3)$  with Adjacency matrix

$$\text{dist}'[u] = c[1, u]$$

$$\text{dist}^k[u] = \min\left\{\text{dist}^{k-1}[u], \min_i \{\text{dist}^{k-1}[i] + c(i, u)\}\right\}$$

Optimal Binary Search tree:  $[O(n \log n)]$   
(Lexically ordered tree)

$$\begin{cases} i-j=1 & \text{cost}(i,j) = \min_{i \leq k \leq j} \{ \text{cost}(i,k-1) + \text{cost}(k,j) + \omega(i,j) \} \\ i-j=2 & \end{cases}$$

$$\begin{array}{l} \text{if } i = j \\ \omega(i, j) = p(j) + q(j) + \omega(i, j-1) \\ \omega(i, i) = q(i) \quad \text{and} \quad \omega(i, i) = 0 \end{array}$$

ShortCut:  
Select max frequency  
node as a root  
and similarly for  
left & right subtrees  
until all completes

## String Editing [oemn])

Q1 knapsack problem  $[O(n^r)]$

$$e^0 = \{e_0, \emptyset\} : \quad e_i^k = S^{k-1} + (p_k, w_k) \xrightarrow{\text{Addition}}$$

$$P^K = E^K \cup S^{K-1} \Rightarrow \text{Mölding}$$

$\mathcal{S}^i \rightarrow (\mathcal{P}_j, \omega_j)$  if  $(\mathcal{P}_j \leq \mathcal{P}_K) \wedge (\omega_j > \omega_K)$  then Discard  $(\mathcal{P}_j, \omega_j)$

$$g_i^1 \Rightarrow (p_k, w_k)$$

\* Kr  
• D  
→ T  
1)  
Else 2)  
Else 5)

- Travelling Salesperson problem:  $O(n^2 2^n)$ , Exponential time  
 $|S|=0 \quad g(i, \emptyset) = c_{ii}$   
 $|S|=1 \quad g(i, \{j\}) = \min_{j \in S} \{c_{ij} + g(j, S - \{i, j\})\}$   
 $|S|=2 \quad \vdots$
- Reliability design :  $[O(n \log n)]$

\* Divide & Conquer :

Splitting the inputs into  $K$  distinct subsets ;  $1 \leq K \leq n$

$$\begin{aligned} T(n) &= a \cdot T\left(\frac{n}{b}\right) + f(n) & n > 1 \\ &= \text{base case} & n = 1 \end{aligned}$$

- Binary Search :  $\left[ \begin{array}{l} T(n) = T(n/2) + c \\ (\text{time}) \end{array} \right] \left[ \begin{array}{l} S(n) = n + \log n \\ (\text{space}) \end{array} \right] [O(\log n)]$
- Minimum & Maximum items :  $\left[ \begin{array}{l} T(n) = 2 \cdot T(n/2) + 2 \\ (\text{O}(n)) \end{array} \right] \left[ \begin{array}{l} S(n) = C + n \log n \\ = \frac{2n}{2} \end{array} \right] [O(n \log n)]$
- Merge Sort :  $\left[ \begin{array}{l} T(n) = 2 \cdot T(n/2) + cn \\ (\text{stable}) \end{array} \right] \left[ \begin{array}{l} S(n) = 2n + \log n + C \end{array} \right] [O(n \log n)]$
- Quick Sort  $\left[ \begin{array}{l} T(n) = 2 \cdot T(n/2) + n \\ (\text{unstable}) \end{array} \right] \left[ \begin{array}{l} S(n) = n + \log n \\ = T(n-k) + T(k) + cn \end{array} \right] \left[ \begin{array}{l} O(n \log n) - \text{avg} \\ O(n^2) - \text{worst} \end{array} \right]$
- Strassen's Matrix Multiplication :  $\left[ \begin{array}{l} T(n) = 7 \cdot T(n/2) + \alpha \cdot n^2 \\ (7 - \text{multiplications} \neq 18 - \text{additions required}) \end{array} \right] \left[ \begin{array}{l} O(n^{2.8}) \\ = O(n^{1.58}) \end{array} \right]$
- Convex Hull :  $[O(n \log n)]$

. Towers of hanoi

\* Knapsack problem  $[O(2^{n/2})]$

. Problem of multiply large integers.

$$\rightarrow T(n) = a \cdot T(n/b) + f(n) \quad ; n \geq d \quad d > 1, a > 0, b > 1, c > 0, \epsilon > 0, \delta > 1$$

$; n \leq d$

1) If  $n^{\log_b a} > f(n) \Rightarrow T(n) = O(n^{\log_b a}) \quad \& \quad f(n) = O(n^{\log_b a - \delta})$

Else 2) If  $n^{\log_b a} \log^k n > f(n) \Rightarrow T(n) = O(n^{\log_b a \log^k n}) \quad \& \quad f(n) = O(n^{\log_b a \log^k n - \epsilon})$

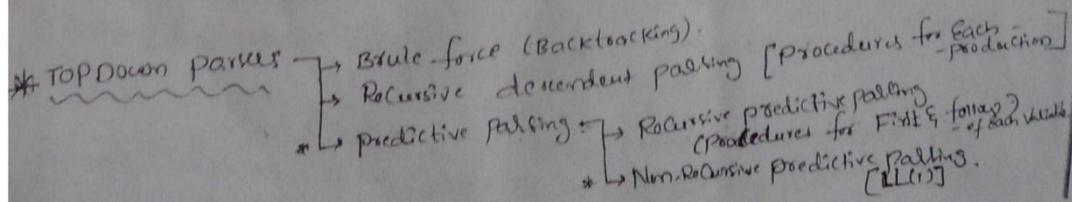
Else 3)  $a \cdot f(n/b) \leq \delta \cdot f(n) \Rightarrow T(n) = O(f(n)) \quad \& \quad f(n) = O(n^{\log_b a + \epsilon})$

- \* **DFS/DFT** : Preorder traversal of ordered tree
  - Stack & Backtracking technique  $[O(n+e)]$
  - Computes the no. of paths b/w two vertices  $[O(n^2)]$  - worst  $\in [O(n^2) \text{ Adj matrix}]$
  - Computing a cycle & TO find articulation points
  - Biconnected Components & Strong Components
  - Euler paths & No. of Connected Components
  - Whether the graph is Connected or not.
- \* **BFS/BFT** : Level by level [level order] traversal of ordered tree
  - Queue & Greedy technique.  $[O(n+e)]$
  - Used for Topological Sort & Dijkstra's Algorithm
  - Prim's Algorithm.
  - Whether the graph is Connected or not.
  - NO. of Connected Components
- \* Transitive Closure of a graph
  - Computing a cycle.
- \* **Prim's Algorithm** :  $[O(n^2)]$  &  $[O(e \log n)]$  with heap
  - meba
  - It Starts with vertex [min cost vertex]
  - Intermediate result "tree"
  - Greedy technique.
- \* **Kruskal's Algorithm**:  $[O(e \log e)]$  &  $[O(e \log m)]$  with heap
  - It Starts with Edge [minimum cost edge]
  - Intermediate result may be kcl tree or forest
  - Greedy technique.
- \* Collision Resolution Techniques:
  - 1) **Closed Hashing** [Linear probing] [primarily clustering]
    - $i = H(k) = (k \bmod h) + 1$  & Searches the locations  $i_1, i_1+1, i_1+2, \dots, h, i_1+1$
    - until free location is found (no collision occurs).
  - 2) **Random probing**:  $i = (i+m) \bmod h + 1$  repeats until the empty location found.
  - 3) **Double Hashing**:  $i = H_1(k) = (k \bmod h) + 1$  &  $m = H_2(k) = [k \bmod (h-1)] + 1$  repeats until empty location found
  - 4) **Quadratic probing**:  $i = (i+x^2) \bmod h$  for  $x = 1, 2, 3, \dots$  probing sequence  $i+1, i+2, i+3, \dots$  [atmost  $(h+1)/2$  positions probed]
  - 5) **Open Hashing (Chaining) (Separate chaining)**.

*	Selection Sort (Inplace)	$O(n^2)$	$n(n-1)$
*	Insertion Sort	$O(n^2)$	$\frac{n(n+1)}{2}$
*	Bubble Sort (Inplace)	"	"
*	Quick Sort (Extra log <sub>2</sub> entries)	$O(n \log n)$	$1.4 n \log n$
*	Merge Sort (stable)	$O(n^2)$	$n \log n$
*	Heap Sort (Inplace) (Elegant Sort)	$O(n \log n)$	$3n \log n$
*	Shell Sort (Diminishing Increment)	$O(n)$	$O(n^{1.2})$
*	Binary Sort	$O(\cancel{n \log n})$	$O(n \log n)$
*	Radix Sort [Bucket] (Extra space for link)	$O(m+n)$	$O(m+n)$
*	Address Calculation Sort (Extra space for link)	$O(n)$	$O(n^2)$
*	Comparison Baked Sorting:	$O(n \log n)$	$O(n^2)$
*	Non-Comparison Baked Sorting: (Radix, Shell, Count, Binary, etc)	$O(n)$	$\leq O(n^2)$
*	Linear Search :	$O(n)$	$O(n)$
*	Binary Search :	$O(\log n)$	$O(\log n)$
<b>* Hashing:</b> <ul style="list-style-type: none"> <li>1) Division Method: <math>H(K) = K \bmod h</math>; if indices starts from 0 [if <math>K \bmod h + 1</math>; if starts from 1]</li> <li>2) Mid square Method: Selecting appropriate number of digits from the middle of square of key. [Even positions from right most digit]. Keysize 24 <math>[K=1234 \Rightarrow K^2 = 1522756 \Rightarrow H(K) = 525]</math></li> <li>3) Folding Method:  <ul style="list-style-type: none"> <li>a) pure folding <math>[H(K) = K_1 + K_2 + K_3 + \dots + K_n]</math> [<math>K = \underline{\underline{1}}\underline{\underline{5}}\underline{\underline{2}}\underline{\underline{2}}\underline{\underline{7}}\underline{\underline{5}}\underline{\underline{6}}</math> <math>\Rightarrow H(K) = 01 + 52 + 27 + 56 = 136</math> ] [Ignore carry]</li> <li>b) Fold Shifting: Even number parts are reversed before addition. [<math>K = \underline{\underline{1}}\underline{\underline{5}}\underline{\underline{2}}\underline{\underline{2}}\underline{\underline{7}}\underline{\underline{5}}\underline{\underline{6}}</math> <math>\Rightarrow H(K) = 01 + 25 + 27 + 65 = 130</math> ] [Ignore carry]</li> <li>c) Fold Boundary: First &amp; last parts are reversed before addition. [<math>H(K) = (0 + 52 + 27 + 65) = 54</math> ] [Ignore carry]</li> </ul> </li> <li>4) Digit Analysis Method: Extracting the digits from key <math>K = (6)(3)(2)(5)(4) \Rightarrow H(K) = 423</math> [Even positions digits extracted and reversed it]</li> </ul>	52		

## Compiler Design :

- Ambiguous grammar: For a given i/p string if there exists "more than one parse tree" or "more than one LMD" or "more than one RMD", then the grammar is called "Ambiguous".
- Left Recursive:  $A \rightarrow A\alpha / \beta \Rightarrow [A \rightarrow \beta A' \ \& \ A' \rightarrow \alpha A' / \epsilon]$   
- Elimination.
- Left factoring:  $[A \rightarrow \alpha B_1 / \alpha B_2] \Rightarrow [A \rightarrow \alpha A' \ \& \ A' \rightarrow B_1 / B_2]$
- Problems with Left recursive grammar is that if such a grammar is used by the parser, that Left most derivation (LMD) in deriving a string, then there is a danger of going into an infinite loop.
- Left factoring avoids backtracking in parser, but not ~~error~~.
- Solution for eliminating ambiguity  
 $\xrightarrow{\text{left most derivation}}$
- \* TOP-DOWN Parsing:  $\llbracket \text{LL(1) Parser} \rrbracket$   
↳ left to right scanning
- \* LL(1) grammar is not Ambiguous. & It must be left factored, and also it should be free from Left Recursion.
- First() & Follow() sets are used to construct LL(1) Parsing-table.
- For each rule  $A \rightarrow \alpha$  in Grammar:
  - For each terminal 'a' contained in First( $\alpha$ ), add  $A \rightarrow \alpha$  to  $[A, a]$  entry in parsing-table
  - If First(A) contains ' $\epsilon$ ', For each terminal 'b' in follow(A), Add  $A \rightarrow \epsilon$  to  $[A, b]$  entry in parsing-table.
- To check given grammar is LL(1) or not;
  - If  $A \rightarrow \alpha_1 / \alpha_2 \Rightarrow \{ \text{First}(\alpha_1) \cap \text{First}(\alpha_2) \} = \emptyset$
  - If  $A \rightarrow \alpha / \epsilon \Rightarrow \{ \text{First}(\alpha) \cap \text{Follow}(A) \} = \emptyset$
- TOP down parser follows Left most derivation.



- \* Bottom up parsers : [Shift-Reduce Parsers]
- \* OPParser : [TOPP] :
  - Operator Precedence Parsers uses "operator precedence grammar" which "no  $\epsilon$ -Rules & does not contain two adjacent non-terminals on Right Side of any production" with precedence rules called "operator precedence grammar".
  - Operator precedence Parsing Algorithm:
    - Let 'a' is top of stack symbol & 'b' is symbol pointed by i/p pointer.
    - 1) If  $a=b=\$$ , Successful completion of parsing
    - 2) If  $a < b$  or  $a \geq b$  then push 'b' onto stack and advance i/p pointer to next symbol.
    - 3) If  $a > b$  then pop 'a' & reduction takes place for 'a'.
  - Operator precedence grammar may be either ambiguous or unambiguous grammar. But LR Parsers are contained - only unambiguous grammar.
- \* LR Parsers : - [LR(0), SLR(1), LALR(1) & CLR(1)]  
 ↳ Left to right scanning.
- \* LR parsing Algorithm:
  - Let S is state on top of stack & 'a' is symbol pointed by input pointer. Initially push 0 [I0 is initial state of DFA] on top of stack & advance i/p pointer to next symbol.
  - 1) If action  $[S, a] = S_i$ , push 'a' & then 'i' onto stack and advance i/p pointer to the next symbol.
  - 2) If action  $[S, a] = \gamma_i$ , If  $\gamma_i$  is:  $A \rightarrow \beta$ , Pop off  $2 \times |\beta|$  symbols from stack and replace by A. If  $S'$  is state below A in stack, then push goto  $[S', A]$  onto stack.
  - 3) If action  $[S, a] = \text{accept}$ , Successful completion.
  - 4) If action  $[S, a] = \text{blank}$ , Error.
- \* Bottom up parser follows Right most derivation in reverse.
- \* Closure() & goto() functions are used to create canonical collection of LR items.

## \* LR(0) parser:

- Shift Reduced Conflicts:  $A \rightarrow \alpha, xB$  (Shift)       $B \rightarrow \beta.$  (Reduced) } are in same state.
- Reduce - Reduce Conflict:  $A \rightarrow \alpha.$  (Reduced)       $B \rightarrow \beta.$  (Reduced) } are in same state.
- LR(0) Parsing table Construction:
  - If  $\text{goto}(I_i, a) = I_j$  then Set action  $[i, a] = S_j$  <sup>shift entry</sup>
  - If  $\text{goto}(I_i, A) = I_j$ , then Set action  $[i, A] = j$  <sup>state entry</sup>
  - If  $I_i$  contains  $A \rightarrow \alpha.$  (Reduced production) then the action  $[i, \text{all entries}] = R_p$ , where  $p$  is production number. <sup>compute</sup> <sup>Reduced entry</sup>
  - If  $S' \rightarrow S_0$  is in  $I_i$ , then Set action  $[i, \$]$  to accept.

. The state, it contains either Shift-reduced or Reduce - Reduce Conflicts - then that State is called Inadequate-state.

## \* SLR(1) Parser:

- Shift Reduced(SR) Conflicts:  $A \rightarrow \alpha, xB$        $B \rightarrow \beta.$  }  
If follow(B) contains 'x'
- Reduce - Reduce (RR) Conflicts:  $A \rightarrow \alpha.$        $B \rightarrow \beta.$  }  
If  $\text{follow}(A) \cap \text{follow}(B) \neq \emptyset$

. SLR(1) Parsing table Construction:

"SLR(1) Parsing table Construction same as LR(0) Parsing, - Except Reduced Entries:"

If  $I_i$  contains  $A \rightarrow \alpha.$  (Reduced production), then find  $\text{follow}(A)$ , & for each  $a \in \text{follow}(A)$ , set action  $[i, a] = R_p$  <sup>(Production number)</sup> <sup>P: A → α</sup>

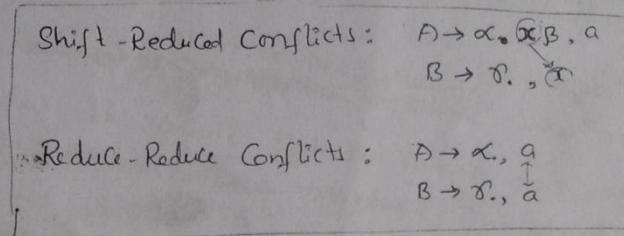
\* CLR(1) [LR(1)] Parsing table Construction:

"Except Reduced Entries, Remaining Entries are same as SLR(1). If  $I_i$  contains  $A \rightarrow \alpha, \$|a,b$  then Reduced Entry( $R_p$ )  $A \rightarrow a$  in row 'i' under the terminals given by lookahead ( $\$, a, b$ ) in LR(1) items. [i.e.,  $R_p$  entry entered into  $[i, \$], [i, a] \& [i, b]$ ] }

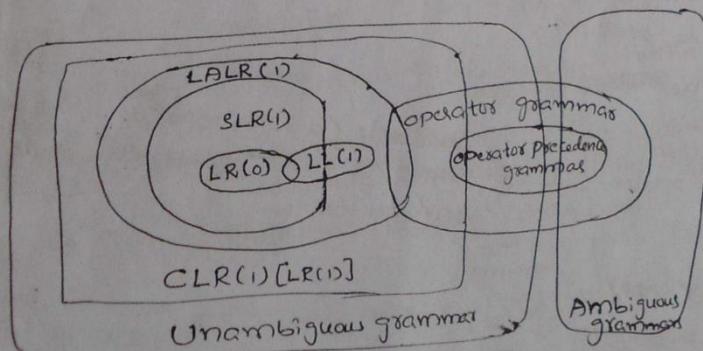
\* LALR(1) Parser:

"It is constructed from CLR(1). If two states has same productions & that contains same core but different lookahead, those two states of CLR(1) combined into single state in LALR(1)." [ Hence there is no chance of Shift-reduced Conflicts, but there may be chance to get reduced-reduced Conflicts in LALR(1) ]

\* CLR(1) & LALR(1) parser:



- $DPP \subset LL(1) \subset LR(0) \subset SLR(1) \subset LALR(1) \subseteq CLR(1)$  [Power of Parsers]
- The no. of States (SLR(1)) = No. of States (LALR(1))  $\leq$  No. of States (CLR(1))



$$\begin{aligned} LR(0) &\subset SLR(1) \subset LALR(1) \subset CLR(1) \\ LL(1) &\subset LALR(1) \subset CLR(1) \end{aligned}$$

Shift actions: All Edges labeled with terminals in transition diagram  
(or) No. of Shift Entries in the passing table [LR(0), SLR(1), CLR(1) & LALR(1)]

- Reduced actions:
- LR(0): No. of Productions except padding.
  - SLR(1): Total no. of Elements in the follows of each & every completed production items.
  - CLR(1): Total no. of Lookaheads of all completed items.
  - LALR(1): "

## \* Runtime Environments:

Static: Definition of a procedure,  
Declaration of a name, &  
Scope of declaration

Dynamic: Activation of a procedure  
Binding of a name  
Lifetime of a binding

Lifetime of objects

Storage :      Static → Target Code & static data objects  
                  Heap → Dynamic data objects  
                  Stack → Automatic data objects.

## • Activation Record :

- It Contains (in stack) : Temporary data, Local data, Machine status, optional access link, optional control link, Actual Parameters & Returned Value.

## \* Code optimization :

Machine dependent : optimizations applied on target code.

Machine Independent : optimizations applied on 3-Address Code.

- After applying optimization, there is no guarantee that generated code is optimal.

- Basic Block : Sequence of consecutive statements, in which control enters at beginning and leaves at end without halt or possibility of branching except at the end.

## \* Machine Independent optimizations:

- 1) Loop optimization:
  - a) Code motion/Loop invariant Elimination/frequency-reduction.
  - b) Loop unrolling [duplication of body]
  - c) Loop Jamming/Loop fusion/Loop Combining.

- 2) Folding / Constant folding :  $[3+5 \Rightarrow 8]$

- 3) Constant Propagation :  $[pi/180 \Rightarrow 3.1415/180]$

- 4) Copy propagation :  $[x=y \& z=1.0+x \Rightarrow x=y \& z=1.0+y]$

- 5) Dead Code Elimination : [Removes instructions without changing the behavior] (using DAG)

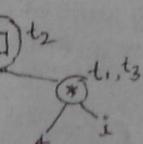
- 6) Common Sub Expression Elimination :  $[a(a+b)-(ab)/4 \Rightarrow [c=a+b, x=c-c/4]]$  (Redundancy Elimination) (using DAG)

- 7) Strength Reduction : (Replacing costlier operation by cheaper one)  $[x^{**}2 = x*x]$

- 8) Algebraic Simplification :  $[x=x+1, y=y+0]$  (Eliminates - Exponential)

- \* DAG [Directed Acyclic graph] : Minimized temp usage & eliminates common subexpressions.

$$\left. \begin{array}{l} t_1 = 4 * i \\ t_2 = a[t_1] \\ t_3 = 4 * i \end{array} \right\} \Rightarrow$$



## Operating Systems:

- Arrival time = Submission time [A.T]
- CPU Burst time = Service time [B.T]
- Completion time = Finished time [C.T]
- Turn Around Time [T.A.T] = C.T - A.T
- Waiting time [W.T] = T.A.T - B.T
- Response time = First Response time - Arrival Time.
- Highest Response Ratio Next (Non-preemptive) selects the highest response ratio process.  $\left[ \text{Response Ratio} = \frac{\text{Waiting time} + \text{Service time}}{\text{Service time}} \right]$
- First Fit: Allocation of first available free space.
- Best Fit: best available free space.
- Worst Fit: largest available free space.
- Next Fit: same as first fit, but it starts from last allocated process.
- Logical Address Space (LAS)  $\rightarrow$  Size of Physical Address Space (PAS).
- Logical Address Space  $<$  Virtual Address Space (VAS)
- Overlays: Do not require any special support from operating system.  
[Keeping instructions & data in memory, that are needed at any time.]
- Dynamic Loading: A routine is not loaded until called & all routines are kept in "relocatable, loadable format". It does not require any special support from OS, but storing libraries taken support from OS.
- Dynamic Linking: "Rather than loading being postponed until execution time, linking is postponed". [It requires support from OS]
- Static Loading: All modules of programs are stored in memory before calling.
- $$\lceil \gamma \geq P(n-1) + 1 \rceil \rightarrow n \rightarrow \text{no. of available resources (for deadlock free)} \\ p \rightarrow \text{no. of processes}$$
- Convey Effect: At time  $T_0$ , all processes are in ready queue & at time  $T_1$ , all processes are blocked [in block/device queue]. This gives poor utilization of resources. (In FCFS CPU scheduling). External fragmentation can be avoided by "compaction".

$$\text{No. of Pages} = \frac{\text{L.A.S}}{\text{Pagesize}}$$

( ~~N~~ )

$$\begin{aligned} \text{Page offset} &= \left\lfloor \log_2 \frac{\text{Pagesize}}{\text{frame size}} \right\rfloor \\ \text{Page Number} &= \left\lfloor \log_2 N \right\rfloor \end{aligned}$$

- frame size = page size
- No. of frames ( $M$ ) =  $\frac{\text{P.A.S}}{\text{Pagesize}}$  &  $\text{frame number} = \left\lceil \log_2 M \right\rceil$

- Page table size = (No. of pages)  $\times$  (Page table entry size)
- Effective Access time =  $P * \text{Page fault rate} + (1-P) * T_m$ 
  - $P \rightarrow$  probability of page fault
  - $T_m \rightarrow$  memory access time.

- Ageing: It is a solution for starvation problem of -  
[Indefinite block]
  - low-priority process, e.g. -
  - It increases the priority of process periodically.

- Bounded waiting: A process should not wait forever to enter the critical section.

- Deadlock: If the two processes are in sleep state.

- Belady's Anomaly: No two processes must be in critical section simultaneously.

- Belady's Anomaly: (FIFO page replacement)
  - If no. of frames increased, the no. of page faults may increase sometimes. Results the "Belady's Anomaly".

- When the result of a computation depends on the speed of the process involved, called "Race condition."

- To avoid, the race condition, Mutual Exclusion is used.  
(No two processes in CS simultaneously)

- Deadlock prevention: Mutual Exclusion, Hold & Wait, Preemption and No Circular Wait.

- Necessary Conditions for deadlock: No mutual exclusion, Circular wait, Hold & Wait, No Preemption

- Deadlock avoidance: [Using Resource Allocation graph]  
(Claim edges)  
(Future)

- Single instance of resources: [Using Resource Allocation graph]  
(Claim edges)  
(Future)

- 2) Multiple instances of resources: [Banker's Algorithm]
  - a) Resource Allocation [Request  $\leq$  Available & Request  $\leq$  Need]
  - b) Safety Algorithm [Available, Max, Allocation & Need]
    - $\hookrightarrow$  needs available  $\Rightarrow$  available + allocation

- Deadlock detection:
  - 1) Single instance of resources [Wait for graph (Evaluation of Resource Allocation graph)]
  - 2) Multiple instances of resources i) Available, Allocation, Request & Work
    - ↳ safety: If (Request  $\leq$  Work)  $\Rightarrow$  Work = Work + Allocation
    - ↳ new request: If (Request  $\leq$  Work + Allocation)  $\Rightarrow$  Work = Work + Allocation

- To prevent circular wait
  - ↳ to prevent circular wait, we need to check if the current process has requested all the resources it needs.

- \* Recovery from deadlock :
  - 1) Resource Termination:
    - a) Abort all deadlock processes.
    - b) Abort one process at a time until the deadlock cycle is eliminated.
  - 2) Resource Preemption:
    - a) Selecting a victim
    - b) Rollback
    - c) Starvation (Fixing upper limit of processes)

- Valid/Invalid bit :

Valid  $\rightarrow$  Associated page is legal and in memory

Invalid  $\rightarrow$  Either the page is not valid or the page is valid but currently on the disk.

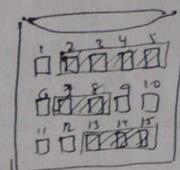
- Thrashing : High page fault rate [i.e, A process spending more time for paging than executing].

- Dirty bit : To indicate that the page has been modified (Dirtybit=1). Otherwise, the page is not modified if Dirtybit=0.

### \* File Allocation Methods :

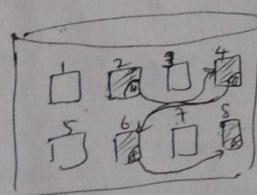
#### 1) Contiguous Allocation:

Directory :		
Filename	Starting Block	Ending Block
X	2	4
Y	7	9
Z	13	15



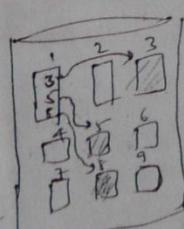
#### 2) Linked Allocation:

Directory :		
Filename	Starting block Address	Ending block
X	2	8



#### 3) Indexed Allocation:

Filename	Index block Address
X	*1



Disadvantage: Index block may not be sufficient for large files.

x) D  
i) F  
ii) C

\* Free Space Management:

- 1) Bitmap / Bit vector:
- track of free disk space. It records all the free disk blocks.
  - In Bitmap, Each block is represented by 1-bit, Either 0 or 1; If block is free, the bit is 1; If block is allocated, the bit is 0.

Ex:- Free diskblocks are: 2, 3, 4, 5, 8, ...

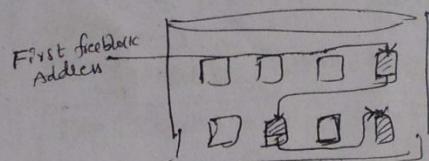
Then Free Space bitmap: 001111001...  
↑↑↑↑↑↑↑↑

2) Calculation of First free block number:

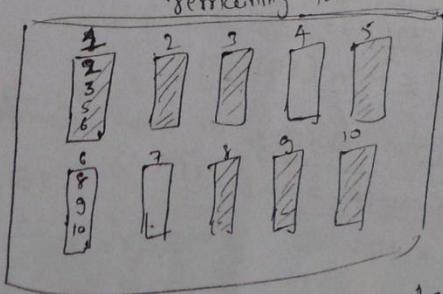
$$= (\text{No. of bits Per word}) \times (\text{No. of 0-value words}) + \text{offset of first 1-bit.}$$

2) Linked list:

- Address of first free block is placed in cache;
- remaining free block addresses are pointed by previous of last free block.

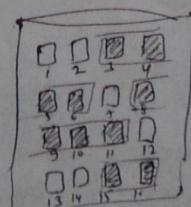


- \* 3) Grouping: First free block contains next 'n' free blocks address in it, and in next free block remaining free blocks addresses from first free block.



- 4) Counting: It maintains a table, in which, contiguous free blocks are counted and gives the first address of free block from nos.

First free block	count
3	4
8	4
11	2



- 10) Disk Scheduling Algorithms:
- 1) FCFS
  - 2) SSTF
  - 3) SCAN (Elevator)
  - 4) C-SCAN
  - 5) LOOK-C

## Databases :

- DDL commands: CREATE, ALTER, DROP, TRUNCATE, GRANT, REVOKE & COMMENT.
- DCL Commands: COMMIT, SAVEPOINT, ROLLBACK & SET TRANSACTION.
- DML Commands: SELECT, INSERT, UPDATE, DELETE, CALL, ~~LOCKTABLE~~ and EXPLAIN PLAN.

\* Selection operation [ $\sigma$ ] :  $\deg(\sigma_c(R)) = \deg(R)$  [No. of attributes]  
 (Restriction)  $0 \leq |\sigma_c(R)| \leq |P|$  [No. of tuples]

$$\sigma_{C_1}(\sigma_{C_2}(R)) = \sigma_{C_2}(\sigma_{C_1}(R)) = \sigma_{C_1 \wedge C_2}(R)$$

\* Projection operation [ $\Pi$ ] :  $0 \leq \deg(\Pi_A(R)) \leq \deg(R)$

$$|\Pi_{A_i}(R)| = |R|$$

- Possible no. of projections over Relations with n-attributes =  $2^n$

\* Rename [ $\rho$ ] :  $\deg(\rho_s(R)) = \deg(R)$  &  $|\rho_s(R)| = |R|$

\* Union operation [ $\cup$ ] : [Two relations are union compatible]  
 (Same degree & domain) same

$$\deg(R \cup S) = \deg(R) + \deg(S)$$

$$\max(|R|, |S|) \leq |(R \cup S)| \leq (|R| + |S|)$$

\* Intersection operation [ $\cap$ ] : [Two relations are union compatible].

$$\deg(R \cap S) = \deg(R) = \deg(S)$$

$$0 \leq |(R \cap S)| \leq \min(|R|, |S|)$$

\* Set Difference [-] : [Two Relations are used for:  $\cup, \cap, \neq$ ]

$$\deg(R - S) = \deg(R) = \deg(S)$$

$$0 \leq |(R - S)| \leq |R|$$

\* Union compatible of two relations are used for:  $\cup, \cap, \neq$

\* Cartesian product [Cross product or Cross Join] [X]

$$\deg(R \times S) = \deg(R) + \deg(S)$$

$$|(R \times S)| = |R| * |S|$$

\* No. of Superkeys formed over  $R(P_1, P_2, \dots, P_n)$  having:  
 (1)  $P_i$  as Key =  $2^{n-1}$  Superkeys, (2)  $P_i, P_j$  as Key =  $2^{n-2}$  Superkeys  
 (3)  $P_i \& P_j$  as Key =  $2^{n-2} \cdot 2^{n-2} = 3/4 \cdot 2^{n-2}$ , (4)  $P_1, P_2, \dots, P_n$  as Keys =  $2^{n-2} \cdot 2^{n-2} \cdot \dots \cdot 2^{n-2} = 2^{n-2}$

$$\therefore 1 + 2 + \dots + 2^{n-1} = 2^{n-2} + 2^{n-2} = 2^{n-2}$$

\* **Natural Join**: It eliminates "superfluous attributes" & "spurious tuples".

$$\boxed{R_1 \bowtie R_2 \text{ (or) } R_1 \bowtie_{\substack{\text{common attributes} \\ \text{join attributes}}} R_2 \text{ (or) } R_1 \bowtie_{\substack{\text{join condition} \\ \text{with Equality Computation}}} R_2}$$

\* **EQUI JOIN**:  $\deg(R_1 \bowtie R_2) = \deg(R_1) + \deg(R_2) - \text{No. of Common Attributes}$

Natural Join same as Equijoin, but it eliminates (common) superfluous attributes [it contains only one attribute in two relations]

\* **EQUI JOIN**: [Join Condition with Equality Computation]

$$\boxed{R_1 \bowtie_{\substack{\text{Join Condition} \\ \text{with Equality Computation}}} R_2 \text{ (or) } R_1 \bowtie_{\substack{\text{Join Condition} \\ \text{with Equality Computation}}} R_2}$$

$\deg(R_1 \bowtie_{\substack{\text{Join Condition} \\ \text{with Equality Computation}}} R_2) = \deg(R_1) + \deg(R_2)$

\* Cardinality is same for all joins:

$$\boxed{0 \leq |\text{Result of Join}| \leq |R_1| * |R_2|}$$

\* Degree is same for all joins except natural joins.

$$\boxed{\deg(\text{Result}) = \deg(R_1) + \deg(R_2)}$$

\*  **$\Theta$ -JOIN**:  $R_1 \bowtie_{\Theta} R_2 = \sigma_{\Theta}(R \times S)$ ; where  $\Theta$  is condition

. Relationally Complete set: { $\sigma, \pi, \cup, -, \times$ }

$$R \setminus S = R - (R \cap S) = (R \times S) - ((R \times S) \cap (S \times R))$$

$$R \bowtie S = R \bowtie_{\Theta} S = \pi_{R \times S}(\sigma_{\Theta}(R \times S)) \quad [\text{Natural Join}]$$

$$T(Y) = R(Z)/S(X)$$

$$Y = Z - X$$

$$T_1 \leftarrow \pi_Y(R)$$

$$T_2 \leftarrow \pi_Y(S \times T_1) - R$$

$$T \leftarrow T_1 - T_2$$

No. of n-ary relations that can be formed over n-domains having n-elements are:  $n^n$

No. of equivalent representations of a relation having degree m and cardinality n are  $m! \times n!$

\* **Recoverable**: If  $T_2$  reads a value (x) previously written by  $T_1$ , then  $C_1$  appears before  $C_2/a_2$

\* **Cascade Rollback**: If  $T_2$  reads a value (x) previously written by  $T_1$ , then  $a_1$  appears before  $C_2$  [It is not recoverable].

\* **Cascadeless**: If  $T_2$  reads a value (x) previously written by  $T_1$ , then  $C_1/a_1$  appears before  $R_2(x)$ .

\* **Strict Schedule**: If  $T_2$  reads a value (x) previously written by  $T_1$ , then  $C_1/a_1$  appears before  $R_2(x)$  or  $W_2(x)$ .

\* **Basic 2PL**: Locking is growing phase & unlocking is shrinking phase.

\* **Strict 2PL**: All the locks are released after commit / abort operation.

\* **Rigorous 2PL**: All the locks [read & write] are released after commit / abort operation.

\* **Consecutive 2PL**: Locks all the items it acquires before the transaction begins execution by predetermining its read set & write set.

\* **Conflict Operations**: Read-write, write-read & write-write.

\* **Consistent Schedule**: In which, Before the read or write or both operations it locks over the same data item and after those operation(s), the unlock must be contained.

\* **Legal Schedule**: In which, Before locking, there must be unlock over the same data item by other transaction.

\* **Time Stamp Based Protocol**:

If  $T_1 \rightarrow T_2$  is order of transactions based on timestamp.  
~~(T1)~~  $T_2 \rightarrow T_1(\text{request})$   
 $W \rightarrow W$ ; Rollbacks  $T_1$  in Basictimestamp, (BTS)  
But Ignores in Thomaswrite Rule, (TWR)

$R \rightarrow W$ ; Rollbacks in BTS & TWR

$W \rightarrow R$ ; Rollbacks in BTS & TWR

$R \rightarrow R$ ; Ignores Allows in both.

\* Basic 2PL, Strict 2PL & Rigorous 2PL are always Conflict Serializable  
and C. Deadlock can't be avoided in Strict Schedules. [deadlock may occur].

• Multiversion Time Stamp :  $(T_1 \rightarrow T_2)$

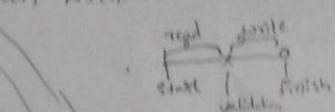
$T_2$	$T_1$	ignores
$W \rightarrow W$		Rollback $\sim T_1$
$R \rightarrow W$		Rollback $\sim T_2$

\* Validation Based protocol:  
[ write, Read, Validation, Write phases]

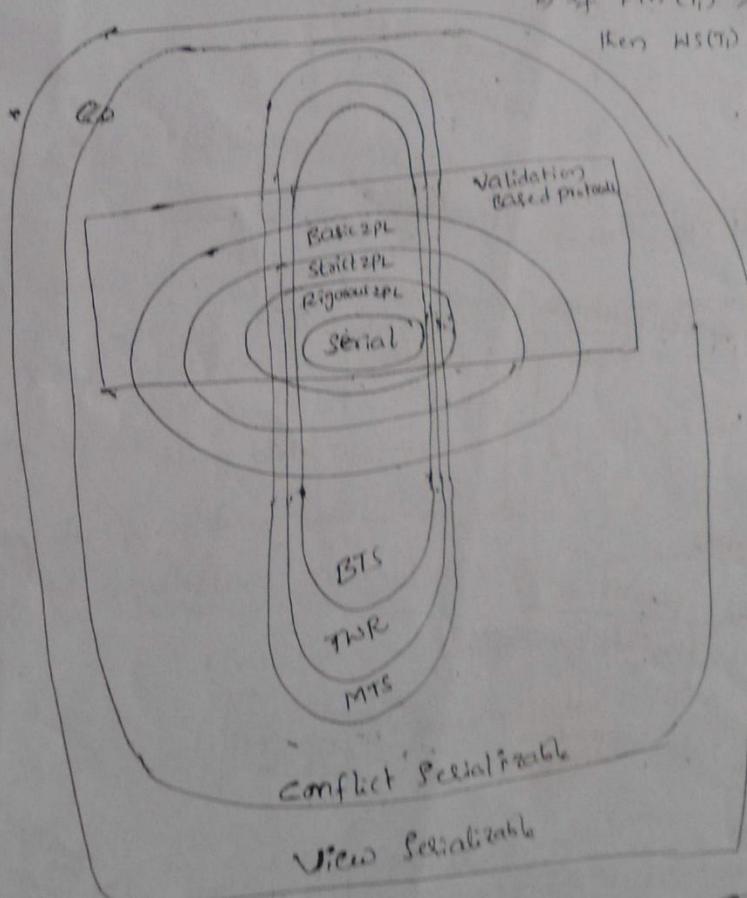
If  $T_2$  validates first &  $T_1$  validates next,

then  $\cap T_1$  is allowed, & then

- $T_2$  is allowed if  $\text{Fin}(T_1) > \text{Start}(T_2)$ ,  
then  $\text{HS}(T_1) \cap \text{RS}(T_2) = \emptyset$
- If  $\text{Fin}(T_1) > \text{Val}(T_2)$ ,  
then  $\text{HS}(T_1) \cap \text{HS}(T_2) = \emptyset$



BTS  $\subseteq$  TWR  $\subseteq$  MTS



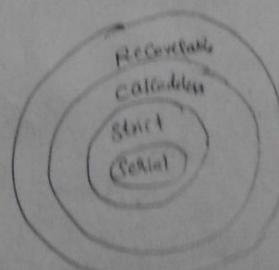
BTS  $\subseteq$  TWR  $\subseteq$  MTS

Rigorous 2PL  $\subseteq$  Strict 2PL  $\subseteq$  Basic 2PL

strict & causal  $\subseteq$  Recoverable

\* No of serial schedules over n transactions =  $n!$

\* No of concurrent schedules over  $n_1, n_2$  training  
 $n_1, n_2$  operations respectively =  $(n_1+n_2)!$



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### \* Indexing : 1) Single level Index

Primary Index  
Clustered Index (Sparse)  
Secondary Index (Dense)

### 2) Multi-level Index

Induced Sequential access method  
B-Tree Index  
B+ - Tree Index

- Primary Index [Ordered with key field]
- Clustered Index [Ordered with non-key field]
- Secondary Index [ordered with either key or non-key field]

### \* Sequential file :

$$\text{No. of records per block} = \text{Blocking factor} = \left\lceil \frac{\text{Block size}}{\text{Record size}} \right\rceil$$

$$\text{No. of blocks} = \left\lceil \frac{\text{total no. of records}}{\text{Blocking factor}} \right\rceil$$

No. of block accesses by linear search:

$$= \left\lceil \frac{\text{No. of blocks} + 1}{2} \right\rceil$$

No. of block accesses by Binary Search:

$$= \left\lceil \log_2 \frac{\text{No. of blocks}}{\text{No. of records}} \right\rceil$$

### \* Indexed File :

#### 1) Primary Index:

$$\text{Index blocking factor} = \left\lceil \frac{\text{Block size}}{\text{Key field size} + \text{Block pointer size}} \right\rceil$$

$$\text{First (single) level index blocks} = \left\lceil \frac{\text{No. of blocks}}{\text{Index blocking factor}} \right\rceil$$

$$\text{No. of block accesses} = \left\lceil \log_2 (\text{First level index blocks}) \right\rceil + 1$$

(Com  
First level)

### 2) Clustered Index:

• Index blocking factor =  $\left\lceil \frac{\text{Block size}}{\text{Keyfield size} + \text{Block pointer size}} \right\rceil$

• Single (first) level index blocks =  $\left\lceil \frac{\text{No. of distinct values over non-key field (Clustered index)}}{\text{Index Blocking factor}} \right\rceil$

• No. of block accesses =  $\left\lceil \log_2 (\text{single level index blocks}) \right\rceil + 1$

(~~For multiple blocks, add  
Access cost (constant)~~)

### 3) Secondary Index:

• Index blocking factor =  $\left\lceil \frac{\text{Block size}}{\text{Keyfield size} + \text{Block pointer size}} \right\rceil$

\* Single Level Index blocks (first) =  $\left\lceil \frac{\text{Total no. of Records}}{\text{Index Blocking factor}} \right\rceil$

No. of block accesses =  $\left\lceil \log_2 (\text{single level index blocks}) \right\rceil + 1$

→ Index blocking factor same for all indexes.

4) Multi Level Index: (It is same for Primary, Clustered & Secondary Indexes)

Level 1 = "First level Index blocks" computed by given index (Primary, Clustered or Secondary)

Level 2 =  $\left\lceil \frac{\text{No. of blocks in Level 1}}{\text{Index Blocking factor}} \right\rceil$

Level n =  $\left\lceil \frac{\text{No. of blocks in Level } (n-1)}{\text{Index Blocking factor}} \right\rceil = 1$

• No. of levels = n

• No. of blocks =  $\sum_{i=1}^n (\text{No. of blocks in level } i)$

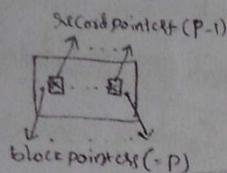
• No. of block accesses = n + 1

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\* B-Tree: (Bayer's / Balanced Search-tree).

Degree / Order "n" :

- 1) Root node : 2 to n children [Pointers]
- 2) Internal node :  $\lceil \frac{n}{2} \rceil$  to n children [Pointers]
- 3) Leaf nodes are all at the same level.



$$\text{Blocksize} \geq P * (\text{Size of block pointers}) + (P-1) * (\text{Size of Keyfield} + \text{Size of Record Pointers})$$

• Minimum No. of nodes =  $1 + \left( \frac{2^{\lceil \log_{\frac{P}{2}} h \rceil} - 1}{P-1} \right)$   $P \rightarrow$  Order of B-Tree / Total no. of pointers.  
 $h \rightarrow$  height  
 $(\min)$

• Maximum No. of nodes =  $\frac{P^{h+1} - 1}{P-1}$

• Minimum Possible height ( $h_{\min}$ ) =  $\lceil \log_P l \rceil$   $l \rightarrow$  no. of leaves (Leafnodes)

• Maximum Possible height ( $h_{\max}$ ) =  $\left\lfloor 1 + \log_{\frac{P}{2}} l \right\rfloor$

\*  $B^+$ -Tree:

It is same as B-Tree, except

- 1) All the ~~leaf~~ records are available at Leaf (last) level & some of them are duplicated in one non-leaf level [above the leaf level].
- 2) It allows both random & sequential access, but in B-Tree only random access allowed.
- 3) All the leaf nodes are connected to next leaf node by block pointers. [Every leaf node has one block pointer].

\* Order of Internal (non-leaf) node :

$$[P * \text{Size of Block Pointers}] + [(P-1) * \text{Size of Key Field}] \leq \text{Block size}$$

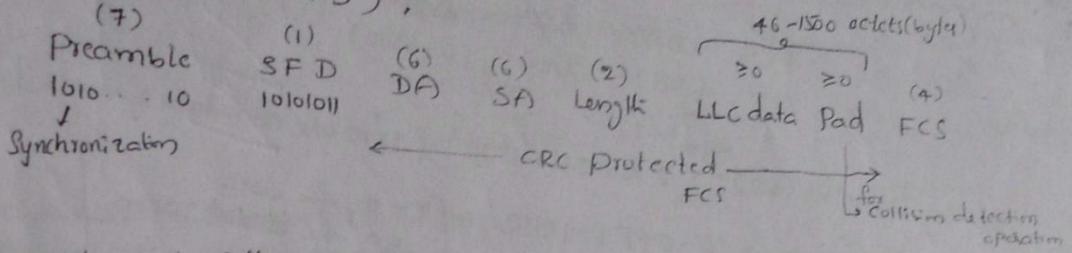
$P \rightarrow$  Order of  $B^+$ -Tree (Non-leaf)

. Order of Leaf node :

$$[P * \text{Record} * (\text{Size of Keyfield} + \text{Size of record pointer}) + \text{Size of block pointers}] \leq \text{Block size}$$

# Computer Networks:

\* Ethernet (IEEE 802.3):



$$\text{Channel Efficiency (utilization)} = \frac{1}{1 + 2BLe/vf} = \frac{1}{1 + 5.44a} = \frac{P}{P + 2T/A}$$

$2T \rightarrow$  Duration of each slot  
 $(=2 * \text{propagation delay})$

$\beta \rightarrow$  Slot Probability  
 $(=v_e)$

$$a = \frac{\text{Propagation delay}}{\text{Transmission delay}} = \frac{d/v}{f/B}$$

$f \rightarrow$  frame length

$v \rightarrow$  Speed of Signal propagation

$e \rightarrow$  Contention slots/frame

$L \rightarrow$  Cable Length (=d)

$B \rightarrow$  N/w bandwidth

$a \rightarrow$  Propagation delay/transmission time

$P \rightarrow$  mean time to transmit a frame  
( $P = f/B$ ) [Transmission time].

- Minimum length of frame is 64 bytes (or) 64 bytes
- Minimum data is 46 bytes. & Maximum data is 1500 bytes

\* CSMA/CD for Ethernet:

~~$$\text{Utilization} = \frac{(1 - a)(1 - \beta)}{(1 - a) + (\beta - \beta a)} = \frac{1}{1 + 3.44a} ; = \frac{1}{1 + 6.44a}$$~~

$$\text{Utilization} = \frac{T.P}{T.P + C.P} = \frac{\left(\frac{1}{2a}\right)}{\left(\frac{1}{2a}\right) + \left(\frac{1-a}{A}\right)} ; \quad \begin{aligned} \frac{1}{2a} &\rightarrow \text{Transmission time} \\ \frac{1-a}{A} &\rightarrow \text{Contention interval} \end{aligned}$$

$$A = \left(1 - \frac{1}{N}\right)^{N-1}$$

$N \rightarrow$  No. of stations.

• It offers Connection less communication  
(Ethernet)

• No acknowledgements in Ethernet.

• No Error Control & flow control.

• Maximum duration for contention slot =  $2 * \text{propagation delay}$

$$T_{\text{Contention}} = \frac{2 * \text{propagation delay}}{A} ; A = e$$

\* Token Ring (IEEE 802.5)

bytes → SD (1) AC (1) FC (1) DA (2/6) SA (2/6) Data (0-4500) checksum (4) ED (1) FS (1)

Starting Delimiter (SD): JK0JK000 [J & K are non-data symbols]

Access Control (AC): PPPTMRRR  $[T = 1 \text{ for data}$   
 $= 0 \text{ for } \cancel{\text{reserved}} \text{ TOKEN}]$

Ending Delimiter (ED): JK1JK1IE  $\begin{cases} I \rightarrow \text{Intermediate frame indication} \\ E \rightarrow \text{Error detection bit} \end{cases}$

Frame Status (FS): ACxxACxx

- Max data is 4500 octets
- Min frame length is 3 bytes

TOKEN frames:  $\boxed{\begin{matrix} SD & AC & ED \\ (1) & (1) & (1) \end{matrix}}$

0 → un-used bit
$\begin{matrix} A & \xrightarrow{\text{definition}} \\ C & \xrightarrow{\text{Frame Acceptance}} \end{matrix}$
0 0 → Destination not present & Frame not accepted.
0 1 → Not Possible
1 0 → Destination present & Frame not accepted
1 1 → Destination present & Frame accepted

\* Ring latency : (Time taken for a bit to travel around Ring.)  
(R.L.)

$$R.L. = \frac{d}{v} + \frac{Mb}{R} \quad (\text{seconds})$$

d → length of link (m)  
v → velocity (m/s)  
M → no. of stations  
R → Data rate of link (Mbps)

$$= \frac{dR}{v} + Mb \quad (\text{bits})$$

f → frame length  
B → channel capacity or Bandwidth  
t<sub>2</sub> → token obtaining time  
t<sub>1</sub> → Ring latency time.

$$\therefore \text{Length of Link (bit)} = R \times \frac{d}{v}$$

t<sub>1</sub> → Avg. time to transmit a data frame

$$\begin{aligned} \text{Utilization} &= \frac{T_1}{T_1 + T_2} \\ &= \frac{1}{1 + \frac{a}{N}} ; \text{ if } a < 1 \\ &= \frac{1}{a(1 + \frac{1}{N})} ; \text{ if } a > 1 \end{aligned}$$

t<sub>2</sub> → Avg. time to pass a token  
N → No. of stations

\* Stop & Wait ARQ:

$$\begin{aligned} \text{Utilization (Efficiency)} &= \frac{(t_{\text{transmit}})}{(t_{\text{transmit}}) + (t_{\text{propagation}})} = \frac{t_{\text{transmit}}}{t_{\text{transmit}} + \text{Round trip time (RTT)}} \\ &= \frac{\frac{f/B}{1-P}}{f/B + RTT} = \frac{1}{1+2a} : \left[ \because a = \frac{t_{\text{propagation}}}{t_{\text{transmit}}} \right] \end{aligned}$$

$$\begin{aligned} P &= 10^{-3} \\ P &\rightarrow \text{Probability of single frame error.} \end{aligned}$$

- Positive ACK's are numbered in Stop & Wait.
- Negative ACK's are not numbered.
- Throughput = 1 Data/RTT = 1 Packet/RTT;
- \* Go-Back N ARQ: [Accepts in order of packets].

$$\text{Efficiency} = (2^k - 1) * \frac{t_{\text{transmit}}}{t_{\text{transmit}} + R.T.T}$$

$$\frac{W_s + W_R}{\text{Available sequence number}} \leq A.S.N = \frac{1-p}{1-p+p^k} ; \quad p \rightarrow \text{Packet loss probability.}$$

$W_s \rightarrow \text{Sender window size}$   
 $k \rightarrow \text{No. of bits for sequence number of frames.}$

- Sender window size =  $N \leq \text{Received window size} = 1$  (RWS)

- Uses Cumulative / Piggybacking acknowledgements.
- Go-back N is called as "Conserving protocol".

\* Selective Repeat : [Selective Reject]

- It accepts out of order of packets.

$$\text{Efficiency} = (2^{k-1}) * \frac{t_{\text{transmit}}}{t_{\text{transmit}} + R.T.T}$$

$$\begin{cases} t_{\text{transmit}} = f/B \\ R.T.T = 2 \times t_{\text{prop}} \\ a = \frac{t_{\text{propagation}}}{t_{\text{transmit}}} \\ \text{Throughput} = \frac{\text{out packets}}{R.T.T} \end{cases}$$

- It uses Piggybacking / Cumulative / Independent Acknowledgements.

$$W_s = W_R = \frac{N}{2} ; N \rightarrow \text{Max Available seq. number.}$$

- \* RTT (Round Trip Time): It is minimum acknowledgement waiting time.  
 $(RTT = 2 \times \text{Propagation delay})$

- \* Timeout: It is the maximum acknowledgement waiting time.  
 $[\text{Timeout} = 2 \times RTT]$

- Stop & Wait ARQ is an example of Closed Loop Control protocol  
 [Based on o/p, the input is adjusted, it is reliable & connection oriented.]

$$\text{Throughput} = \frac{1 \text{ packet}}{R.T.T} \leq \text{With Piggyback (Throughput)} = \frac{2 \text{ packets}}{R.T.T}$$

- Repeater: Physical layer, Bit, Nearest LANs of Same type, Fully H/w [Amplifier]

- Bridges: Data link layer, Frame, Nearest different LANs, Much H/w & less S/w [Frame Convergence]

- Routers: Network layer (Logical Address), packet, Far away LANs, Len H/w & Much S/w [Routing]

- Gateways: All layers, message, Different WANs, fully S/w [Protocol Conversion]

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\* Asynchronous frame format [character format]:

bits →	1	1	1/2
	Start	data	Parity
	Zero	5 to 8 odd/even	one/s

\* Synchronous frame format:

Flag	Control field	Data field	Control field	Flag
(8)	ff	ff	ff	(8) bits

\* Routing Algorithms:

1) Flooding: Every incoming packet is sent out on every outgoing line except the one it arrived on.

2) Broadcast Routing: a) Flooding, b) multidimensional routing c) sink tree (spanning tree) and d) Reverse path forwarding.

It sends the packets from a host to all other -

- remaining hosts in the network simultaneously.

3) Multicast Routing:

It sends the packets from a host to a specific group.

a) Shared tree, b) source based tree, c) least cost path tree

d) Reverse path multicasting. [uses prune message]

4) Distance Vector routing: (RIP, IGRP) [uses split-horizon technique].

In which, Routing only to neighbours & knowledge about -  
whole network.

5) Link State Routing (OSPF) [Dijkstra's Algorithm].

It discovers the neighbours of each router and learns  
(cost of reaching each neighbour).

- their n/w addresses, Sends the packet to all other routers.

6) Flow-based Routing:

$$T = \frac{1}{\mu C - \lambda} ; \quad \begin{aligned} T &\rightarrow \text{mean delay time} \\ \mu &\rightarrow \text{mean packet size (bits)} \\ C &\rightarrow \text{capacity (bps)} \\ \lambda &\rightarrow \text{mean flow (packets/sec)} \end{aligned}$$

7) Hierarchical Routing: (Intra region & Inter region routing):

Routing is based on regions, & It maintains the two tables  
namely Full table [for all routers] & Hierarchical table [for all routers <sup>with</sup> in all regions]

- Within the region & knows about other regions but not ~~out of~~ of other regions

- for the gateway routers.

- 8) Random Routing : Outgoing link is chosen randomly by aligning probability to each link.  $P_i = \frac{R_i}{\sum R_i}$
- 9) Path-Vector Routing : [BGP] :

It lists all of Autonomous Systems visited in order to reach the destination n/w by this route.

#### \* Congestion Control:

- 1) Back Pressure : Connection-oriented
- 2) Choke Packet : [ICMP source quench packet] [Back to Source node]
- 3) Implicit Congestion Signalling : [End systems]

- Connection Oriented & Connection-less.

- 4). Explicit Congestion Signalling [Forward / Backward]  
- Connection oriented.

- 5) Policing / Reservation : [End Systems].

$$\left| \begin{array}{l} \text{Leaky Bucket:} \\ S = \frac{C}{M-P} \\ (\text{spill}) \\ C \rightarrow \text{Capacity} \end{array} \right.$$

#### \* Application Layer protocols:

- FTP - 20 for data  
21 for Control }  $\Rightarrow$  TCP

Telnet - 23

SMTP - 25  $\Rightarrow$  TCP

DNS - 53  $\Rightarrow$  ~~TCP~~ UDP

HTTP - 80  $\Rightarrow$  TCP

POP3 - 110  $\Rightarrow$  UDP [130  $\rightarrow$  query, 131  $\rightarrow$  report, 132  $\rightarrow$  termination]

ICMP  $\Rightarrow$  ~~110~~ UDP [Bit transmission]:

Physical Layer [Bit transmission].

#### \* Data Link Layer : [Framing]

- Physical Address (48-bit) / NIC / IEEE / Ethernet / Hardware / MAC address. [NIC card] stores at-
- Access Control, Flow Control & Error Control
- Synchronization
- $\Rightarrow$  Node - Node data transfer. ex:- HDLC, LLC  $\hookrightarrow$  Bit oriented

#### \* Network Layer : [Packetizing]

- Logical Address (32-bit) / IP / Internet / Subnet Address [Hard disk] stores at-
- Routing & Congestion Control, Switching & Relaying.

- Source - Destination data transfer ex:- CCITT X.25, X.75 Gateway

- \* Transport Layer: [Segmentation]
  - Port Address, [Stored at Main memory], (16-bit)
  - ~~Flow & Connection oriented & Connection less service~~
  - Segmentation & Reassembly
  - End - End data transfer.

### \* Session Layer: [Sessions]

- Synchronization, Dialog Control, Token management & Activity Management
- Mapping Logical names to physical address & vice-versa
- Grouping & Recovery (checkpoints).

### \* Presentation Layer: [Formatting].

- Encryption / Decryption & Code translation  
(cipher text)                                  (plain text)
- Compression / Decompression.

### \* Application Layer:

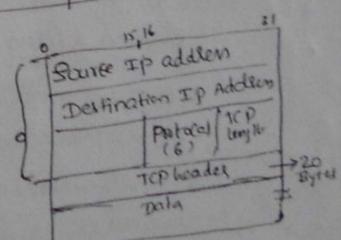
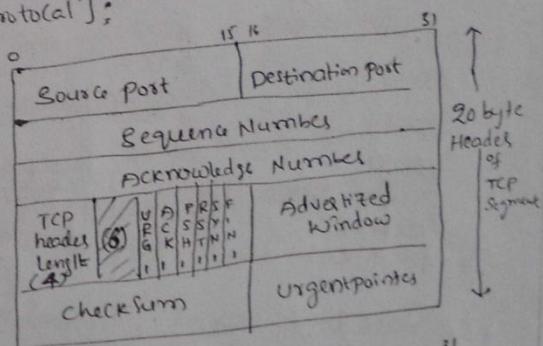
- Client-Server, platform-independent, synchronization b/w end users.
- Network management.
- ~~SNMP~~, FTP, Telnet, SMTP, DNS, HTTP, POP3 & ICMP.

### \* TCP [Transmission Control Protocol]:

- Connection oriented & Reliable
- Byte orient
- Transport peer to peer protocol.
- Cumulative Acknowledgment used
- Uses Sliding Window protocol (GBN & SR)
- Not useful for Broadcast & Multicasting
- It is also called "Slow start protocol".
- HTTP, HTTPS, FTP, Telnet & SMTP uses TCP.

Congestion window always starts MSS=1 and increases exponentially upto threshold value, then increases linearly upto  $2 \times MSS$ .  
 and when timeout occurs, congestion window reduced to 1 MSS & threshold value reduced to half of congestion window size.

- Data Link layer uses static RTT for timeout & Transport layer uses dynamic RTT for timeout calculation.  
 $(\text{round trip time})$



\* UDP (User Datagram Protocol) :

- Connectionless & unreliable.
- Message oriented.
- Used for Broadcasting & Multicasting.
- It is Iterative Compared to Concurrent TCP.
- NFS, SNMP, RIP, DNS, TFTP uses UDP.
- Pseudo header of UDP same as TCP.

\* IP v4 :

Version	IHL	Type of Service	Total Length			
Identification		DF MF Fragment Offset (13)		20 bytes for first fragment		
Time to Live	Protocol	Header (Checksum)				
		Source Address				
		Destination Address				
		Data				

scale factor =  $\frac{20}{4} \text{ to } \frac{60}{4}$   $\Rightarrow [5 \text{ to } 15]$   
 where 20 is min header length 8  
 60 is max header length 16

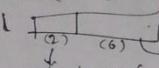
\* IP v4 :  
 20 byte Header of TCP segment  
 20 bytes

- IP uses variable header, minimum length 20 bytes & maximum length is 60 bytes.
- It is connectionless & unreliable protocol.
- class A : [0.0.0.0, 127.255.255.255]  $\Rightarrow$  N/w (9-bit) & Host (24-bit)
- class B : [128.0.0.0, 191.255.255.255]  $\Rightarrow$  N/w (14) & Host (16)
- class C : [192.0.0.0, 223.255.255.255]  $\Rightarrow$  N/w (21) & Host (8)
- class D : [224.0.0.0, 239.255.255.255]  $\Rightarrow$  Multicast
- class E : [240.0.0.0, 247.255.255.255]  $\Rightarrow$  Future Use
- \* 0.x.x.x is default route & 127.x.x.x is Loopback Address (localhost)
- \* If Host contains all 0's or all 255's, then such address is called "Direct Broadcast Address".
- \* 255.255.255.255 is limited broadcast address, to send the data to all other hosts within the network only. ①
- \* ARP (Address Resolution Protocol) : IP  $\rightarrow$  MAC conversion.
- \* RARP (Reverse ARP) : MAC  $\rightarrow$  IP conversion.
- \* At source, Header length is divided by 4 & at destination multiplied by 4.
- \* During fragmentation, Constant scale factor "8" must be used (fragments must be divisible by 8 except last fragment).

\* In token ring;

- 1) No stations can keep the token beyond THT (Token Hold Time).  
(It solves monopolization problem)
- 2) Monitor maintains MinTRT & MaxTRT  
 $\text{MinTRT} = \text{Propagation delay} + (\text{delay introduced by staying to No. of stations})$

$$\text{MaxTRT} = \text{Propagation delay} + \text{No. of stations} * \text{THT}$$

- 3) Beacon frame : (000010) To find major faults in ring.
  - Claim token : (000011) Used in election process of monitors.
  - Purge : (000100) Used to clear unwanted signals.
  - Active monitor present : (000101) To inform all the stations that monitor is alive.
  - Standby monitor present : (000110) To carryout neighbor identification process.
- Frame Control  Type of Control frame.  
Data / Control frame 00000 → Duplicate address frame

For Minimum Token Size :  $\boxed{\text{Propagation delay} = \text{Transmission delay}}$

### 5) Delay Token Ring Reinsertion (DTR)

- Token is reinserted after getting the entire data packet.
- Efficiency is low & always only one packet is available on the link.
- Reliability is high. THT = 10ms
- It is used under no load conditions.
- Cycle time =  $a + b + c + d$

### Early token ring Reinsertion Strategy (ETR)

- Token is reinserted as soon as data transmission is over.
- Efficiency is high & so many packets can be available on the link.
- Reliability is low, no meaning of THT.
- It is used under high load conditions.

$$\text{Cycle time} = a + c + f$$

$a \rightarrow$  Packet transmission time  
 $b \rightarrow$  Ring latency  
 $c \rightarrow$  Token transmission time  
 $d \rightarrow$  Propagation delay b/w stations.

## Mathematical Logic :

Tautology : Compound proposition always true.

Contradiction : False.

Satisfiable : Most of the times compound proposition is True.

Logical Equivalence : If  $P \Leftrightarrow Q$  is tautology, then  
(formula) P and Q are logically equivalent.

Functionally Complete : If any formula can be written

as an equivalent formula containing only  $\{\neg, \vee, \wedge, \rightarrow, \Leftrightarrow\}$   
connectives.

e.g.  $\{\neg, \vee\}$ ,  $\{\neg, \wedge\}$ ,  $\{\neg, \vee, \wedge\}$ ,  $\{\neg, \vee, \wedge, \rightarrow\}$  are functionally complete.

Consistent :  $H_1 \wedge H_2 \wedge H_3 \wedge \dots \wedge H_n$  is true.

Inconsistent : If the set of formula is not consistent.

$$\ast \ast (P \rightarrow Q) \wedge (P \rightarrow R) \Leftrightarrow P \rightarrow (Q \wedge R)$$

$$\ast P \vee (P \wedge Q) \Leftrightarrow P. \quad \ast P \rightarrow Q \Leftrightarrow \neg P \vee Q$$

$$\ast P \wedge (P \vee Q) \Leftrightarrow P. \quad \ast P \rightarrow Q \Leftrightarrow \neg Q \rightarrow \neg P$$

$$\ast \ast (P \rightarrow Q) \wedge (Q \rightarrow R) \Rightarrow (P \rightarrow R)$$

$$\ast P \leftrightarrow Q \Leftrightarrow (P \rightarrow Q) \wedge (Q \rightarrow P)$$

$$\ast P \leftrightarrow Q \Leftrightarrow (P \wedge Q) \vee (\neg P \wedge \neg Q) \quad [\equiv \overline{P \oplus Q} \equiv P \otimes Q]$$

$$\ast \ast P \rightarrow (Q \rightarrow R) \Leftrightarrow (P \wedge Q) \rightarrow R$$

$$\ast \neg(P \leftrightarrow Q) \Leftrightarrow P \leftrightarrow (\neg Q) \Leftrightarrow (P) \leftrightarrow Q$$

Principle conjunctive normal form : (Product of Sums) (Maxterm)  
(PCNF)

$$\text{PCNF: } [P(x_1) \vee P(x_2)] \wedge [P(x_3) \vee P(x_4)] \quad \textcircled{8}$$

Principle Disjunctive normal form : (Sum of products) (Minterm)  
(PDNF)

$$\text{PDNF: } [P(x_1) \wedge P(x_2)] \vee [P(x_3) \wedge P(x_4)]$$

→ Number of non-equivalent propositional functions w.r.t  
n-propositional variables are:  $2^{2^n}$

$$\begin{array}{ccc}
 \forall x \forall y \underline{P(x,y)} & \longleftrightarrow & \forall y \forall x \underline{P(x,y)} \\
 \downarrow & & \downarrow \\
 \exists y \forall x P(x,y) & & \exists x \forall y P(x,y) \\
 \downarrow & & \downarrow \\
 \forall x \exists y \underline{P(x,y)} & & \forall y \exists x \underline{P(x,y)} \\
 \downarrow & & \downarrow \\
 \exists y \exists x P(x,y) & \longleftrightarrow & \exists x \exists y P(x,y)
 \end{array}$$

$$* \quad \forall x P(x) \wedge \exists x Q(x) \Leftrightarrow \forall x \exists y [P(x) \wedge Q(y)]$$

$$* \quad \forall x P(x) \vee \exists x Q(x) \Leftrightarrow \forall x \exists y [P(x) \vee Q(y)]$$

$$* \quad \exists x [P(x) \rightarrow Q(x)] \Leftrightarrow \forall x P(x) \rightarrow \exists x Q(x)$$

$$* \quad \exists x [P(x) \rightarrow Q(x)] \Leftrightarrow \exists x P(x) \rightarrow \cancel{\forall x} Q(x)$$

$$* \quad \exists x (P(x) \vee Q(x)) \Leftrightarrow \exists x P(x) \vee \exists x Q(x)$$

$$* \quad \forall x (P(x) \wedge Q(x)) \Leftrightarrow \cancel{\forall x} P(x) \wedge \forall x Q(x)$$

## Probability:

$$\text{Mean : } \bar{x} = \frac{\sum_{i=1}^n x_i}{n} = \frac{\sum_{i=1}^n f_i x_i}{\sum f_i} = A + \frac{\sum f_i d_i}{\sum f_i} = A + h \cdot \frac{\sum f_i u_i}{\sum f_i}$$

$A \rightarrow$  midvalue of class pt.  
 $d_i = x_i - A$  frequency  
 $u_i = \frac{x_i - A}{h}$

$$\text{Median : } \frac{n}{2} + \left( \frac{n}{2} + 1 \right) \quad ; \quad n \text{ is Even}$$

$$; \quad \frac{n+1}{2} \quad ; \quad n \text{ is odd}$$

$$= L + \frac{h}{C_f} \left( \frac{N}{2} - C_p \right) \quad ; \quad L \rightarrow \text{Lower limit of median class}$$

$N \rightarrow \sum f_i$

$C_f \rightarrow$  Cumulative frequency of  $\left( \frac{N}{2} \text{ contained} \right)$  median class.

$C_p \rightarrow$  Cumulative frequency of preceding class.

Mode : Value of  $x$  corresponding to max. frequency.

$$= L + \frac{f_m - f_1}{(f_m - f_1) + (f_m - f_2)} \times h \quad ; \quad L \rightarrow \text{Lower limit of modal class}$$

$f_m \rightarrow$  max. frequency (modal class)

$f_1 \rightarrow$  preceding frequency of modal class.

$$\text{Variance} = \sigma^2 = \frac{\sum (x - \bar{x})^2}{n} \quad ; \quad \bar{x} \rightarrow \text{mean}$$

$f_2 \rightarrow$  following frequency of modal class.

$$\text{Sample Variance} = \frac{\sum (x - \bar{x})^2}{n-1}$$

Mode = 3. Median - 2. Mean [Asymmetric distribution].

Mean = Mode = Median [Symmetric distribution].

$$P(\bar{A}) = 1 - P(A)$$

$$P(\phi) = 0$$

$$P(A-B) = P(A) - P(A \cap B)$$

$$P(A \cap \bar{B}) = P(A-B)$$

$$P(A \cup B) = P(A) + P(B) - P(A \cap B)$$

$$P(A \cup B) = P(A) + P(B) \quad ; \quad \text{mutually exclusive events (A \& B)}$$

$$P(A \cup B) = P(A) + P(B) \quad ; \quad \text{mutually exclusive events (A \& B)}$$

$$P(A \cap B) = \phi \quad ; \quad \text{mutually exclusive events (A \& B)}$$

$$P(A \cap B) = P(A) \cdot P(B) \quad ; \quad \text{independent events (A \& B)} \quad [\text{arbitrary Events}]$$

$$P(S) = 1$$

$$P(A_1 \cap A_2 \cap \dots \cap A_n) \geq \sum_{i=1}^n P(A_i) - (n-1)$$

$$* P(A_1 \cup A_2 \cup \dots \cup A_n) \leq \sum_{i=1}^n P(A_i)$$

$$P(A|B) = \frac{P(A \cap B)}{P(B)}$$

$$P(A|B) \cdot P(B) = P(A \cap B)$$

$$* P(A \cap B) = P(A) \cdot P(B); A \& B \text{ are independent Events}$$

$$P(A|B) = P(A) ; A \& B \text{ are independent Events.}$$

$$P(E_1 \cap E_2 \cap \dots \cap E_n) = P(E_1) \cdot P(E_2) \cdot \dots \cdot P(E_n); \text{ mutually independent.}$$

$$P(E_2|x) = \frac{P(x|E_2) \cdot P(E_2)}{P(x|E_1) \cdot P(E_1) + P(x|E_2) \cdot P(E_2) + P(x|E_3) \cdot P(E_3)}$$

$$P(E_i|x) = \frac{P(x|E_i) \cdot P(E_i)}{\sum_{j=1}^n P(x|E_j) \cdot P(E_j)}$$

$$* \text{ Bernoulli Trials (Jacob Bernoulli)} : P(r) = {}^n C_r p^r q^{n-r}$$

Random variable (Stochastic Variable ~~ักษณ~~) : It assigns a real number to each possible outcome.

Let  $X$  be a <sup>discrete</sup> random variable, then

$$* 1) F(x) = P(X \leq x) \text{ is called distribution function of } X$$

$$* 2) \text{ Mean or Expectation of } X = \mu = E(X) = \sum_{i=1}^n x_i P(x_i)$$

$$* 3) \text{ Variance of } X = \sigma^2 = E(X^2) - [E(X)]^2 = \sum_{i=1}^n (x_i - \mu)^2 P(x_i)$$

$$* 4) \text{ Standard deviation of } X = \sigma = \sqrt{\text{Variance}}$$

$$* 5) \sum_{i=1}^n P(x_i) = 1$$

Def  
fun  
num

Random Variable :

1) Discrete Random Variable :

"Finite Set of values" or "Countably infinite"

2) Continuous Random Variable: (Non-discrete)

"Infinite no. of Uncountable Values."

Discrete distributions; (Binomial & Poisson)

\* \* Binomial Distributions: [Fixed no. of trials 'n' & Probability of success 'p']

$$P(X=x) = P(x) = \sum_{x=0}^n c_x \cdot p^x \cdot q^{n-x} \quad (\text{Binomial / Bernoulli distribution})$$

$x=0, 1, \dots, n$   
 $q=1-p$

$$E(X) = \text{mean} = np$$

$$V(X) = \text{variance} = npq$$

\* Poisson Distribution: ( $n \rightarrow \infty, p \rightarrow 0$ )

$$P(X=x) = \sum \frac{e^{-\lambda} \cdot \lambda^x}{x!} ; x = 0, 1, 2, \dots, \infty$$

$$\begin{aligned} \lambda &= \text{mean} (\mu) \\ &= \text{variance} (\sigma^2) \\ \boxed{\lambda = np} ; q &= 1 \end{aligned}$$

Continuous Distribution: (Uniform, Exponential & Normal distributions)

Density functions:

$$* 1) P(X \leq a) = \int_{-\infty}^a f(x) dx$$

$\rightarrow$  Continuous Random Variable

$$* 2) P(a \leq X \leq b) = \int_a^b f(x) dx$$

$$* 3) E(X) = \text{Mean} = \int_{-\infty}^{\infty} x \cdot f(x) dx$$

(10)

$$* 4) \text{Variance of } X = V(X) = \int_{-\infty}^{\infty} [x - E(X)]^2 \cdot f(x) dx$$

$$* 5) \int_{-\infty}^{\infty} f(x) dx = 1.$$

\* Uniform Distribution: (Rectangular Distribution)

Density function:  $f(x) = \frac{1}{b-a}$ ;  $a \leq x \leq b$

$$= 0 \quad ; \text{ otherwise}$$

Cumulative function:  $F(x) = P(X \leq x)$

$$= \int_{-\infty}^x f(r).dr.$$

$$P(X \leq x) = \int_{-\infty}^x f(r).dr = \begin{cases} 0 & ; \text{ if } x \leq a \\ \frac{x-a}{b-a} & ; \text{ if } a \leq x \leq b \\ 1 & ; \text{ if } x > b \end{cases}$$

$$\text{Mean} = \frac{a+b}{2}; \text{ Variance} = \frac{(b-a)^2}{12}$$

\* Exponential Distribution:

Density function:  $f(x) = \lambda e^{-\lambda x}$ ;  $x > 0$

$$= 0 \quad ; \text{ otherwise}$$

$$\text{Mean} = \frac{1}{\lambda}; \text{ Variance} = \frac{1}{\lambda^2} \quad [\text{S.D.} = \frac{1}{\lambda}]$$

\* Normal Distribution:

Density function:  $f(x) = \frac{1}{\sigma \sqrt{2\pi}} e^{-\frac{1}{2} \left(\frac{x-\mu}{\sigma}\right)^2}$

- Normal Distribution is Symmetrical

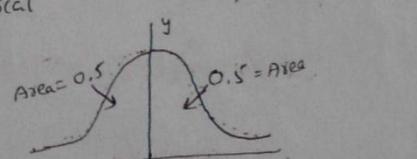
- Mean =  $\mu$ ; Variance =  $\sigma^2$

- Mean = median = mode

- $f(x) \geq 0$  for all  $x$

- $\int_{-\infty}^{\infty} f(x).dx = 1 \Leftrightarrow \text{Variance} = \frac{\sigma^2}{\sigma^2} = \frac{\mu-\mu}{\sigma^2} = \frac{0}{\sigma^2}$

- $P(z) = \frac{1}{\sqrt{2\pi}} e^{-\frac{z^2}{2}}$ ;  $-\infty \leq z \leq \infty$   $\Leftrightarrow z = \frac{x-\mu}{\sigma}$



$$\int_{-\infty}^{\mu} P(z) = 0.5$$

$$\int_{\mu}^{\infty} P(z) = 0.5$$

$Z \rightarrow \text{Standard Normal Variable}$

## Sets :

Set is a collection of objects & objects are definite and distinguishable.

Symmetric Difference [  $A \oplus B$  or  $A \Delta B$  ]:

$$A \Delta B = (A - B) \cup (B - A) = (A \cup B) - (A \cap B)$$

$$= \{ x / x \in A \text{ or } x \in B \text{ but not both} \}$$



Cardinality : Number of Elements in the Set  $|A|$

Powerset : Set of all Subsets of any finite set.  $(P(A))$

\* If  $|A|=m$  then  $|P(A)| = 2^m$  elements; &  $|\emptyset|=0$   
 $\Rightarrow |P(\emptyset)| = 1$ , i.e.,  $P(\emptyset) = \{\emptyset\}$  &  $P(\{\emptyset\}) = \{\emptyset, \{\emptyset\}\}$

Comparable : If  $A \subseteq B$  or  $B \subseteq A$  then  $A \& B$  are Comparable.

\* If  $|A|=n$  then  $|P(A \times A)| = 2^{n^2}$  elements.

\* If  $|A|=m$  &  $|B|=n$  then no. of relations from A to B =  $2^{mn}$   
 $\text{or } |P(A \times B)| = 2^{mn}$

\* A, B, C & D are four sets then the no. of regions of Universal Set 'U' divided into :  $2^4$  regions

$$A - (B \cup C) = (A - B) \cap (A - C) \quad \& \quad A - (B \cap C) = (A - B) \cup (A - C)$$

\* Principle of Extension : Two sets A & B are equal iff they have same members (elements).

\* Principle of Abstraction : Given Set 'U' and property 'P', there is Set 'A' such that the elements of Set 'A' are exactly those members (elements) of U which have property 'P'. (1)

\* Inclusion - Exclusion principle:

$$n(A_1 \cup A_2 \cup \dots \cup A_n) = \sum_{1 \leq i \leq n} n(A_i) - \sum_{1 \leq i < j \leq n} n(A_i \cap A_j) + \sum_{1 \leq i < j < k \leq n} n(A_i \cap A_j \cap A_k) - \dots + (-1)^{n-1} n(A_1 \cap A_2 \cap \dots \cap A_n)$$

\*  $A - B = A \setminus B = A$  but not B [Relative Complement of two Sets]

\* For any set A :  $A \subseteq A$  &  $\emptyset \subseteq A$  [A &  $\emptyset$  are called Trivial Subsets]

\*  $A \cup \sim A = U$ ;  $\sim \emptyset = U$ ;  $A \times (B \cap C) = (A \times B) \cap (A \times C)$

## Relations :

If  $n(A)=p$ ,  $n(B)=q$  Then total no. of relations =  $2^{pq}$

If  $n(A) = p$ , then No. of relations from A to A =  $2^p$

Inverse Relation  $R^{-1} = \{(b,a) / (a,b) \in R\}$

Diagonal Relation  $\Delta_A = \{(a,a) / a \in A\}$

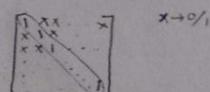
Composition of relations R & S (RS or RoS):

$$\left. \begin{array}{l} R \subseteq A \times B \\ S \subseteq B \times C \end{array} \right\} RS = R \circ S = \{(a,c) / \exists b \in B \text{ for which } (a,b) \in R \text{ & } (b,c) \in S\}$$

\*  $(R \circ S) \circ T = R \circ (S \circ T)$

Reflexive Relation: If  $(a,a) \in R, \forall a \in A$

i.e., If  $\boxed{\Delta_A \subseteq R}$

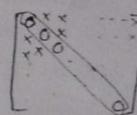


If  $i=j$  then  $M_{ii}=1$

Irreflexive Relation:

If  $(a,a) \notin R, \forall a \in A$

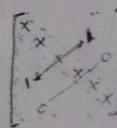
If  $i=j$  then  $M_{ii}=0$



Symmetric Relation:

If  $(a,b) \in R$  then  $(b,a) \in R, \forall a, b \in R$

i.e., If  $\boxed{R=R^{-1}}$



If  $M_{ij}=1$  then  $M_{ji}=1$

Anti-Symmetric Relation:

def: If  $(a,b) \in R$  &  $(b,a) \in R$  then  $a=b, \forall a, b \in R$

[Notes: If  $(a,b) \in R$  then  $(b,a) \notin R, \forall a, b \in R$  [Asymmetric Relation]

i.e., If  $\boxed{R \cap R^{-1} \subseteq \Delta_A}$  Antisymmetric

\* If  $M_{ii}=1 \& M_{ji}=1$  then  $i=j$  [Antisymmetric]



\* If  $M_{ii}=1 \& M_{ji}=1$  then  $i=j$  [Antisymmetric]

Transitive Relation : If  $R \circ R \subseteq R$

If  $(a,b) \in R$  &  $(b,c) \in R$  then  $(a,c) \in R$

No. of Reflexive Relations	$\frac{n^n - n}{2}$	$\frac{n(n-1)}{2}$
Irreflexive	$\frac{n^n - n}{2}$	$\frac{n(n-1)}{2}$
" Symmetric "	$\frac{n^2 - n}{2} \cdot 2$	$\frac{n(n+1)}{2} \cdot 2$
" Asymmetric " <del>Reflexive &amp; Irreflexive</del>	$\frac{n^2 - n}{2}$	$\frac{n(n-1)}{2} \cdot 3$
" Antisymmetric "	$\frac{n^2 - n}{2} \cdot 2$	$\frac{n(n-1)}{2} \cdot 3 \cdot 2$
" Reflexive & Symmetric "	$\frac{n^2 - n}{2}$	$\frac{n(n-1)}{2} \cdot 2$
" Neither Reflexive Nor Irreflexive "	$2^n - 2 \cdot 2$	$n(n-1)$

\* Comparability : Reflexive & Symmetric

\* Quasiorder : Irreflexive & Transitive

\* Partial order : Reflexive, Antisymmetric & transitive.

\* Equivalence : Reflexive, Symmetric & Transitive. ②

•  $\emptyset$  is not reflexive [Empty Relation]

•  $A \times A$  is Reflexive [Universal relation].

\* Partition: Partition of 'S' is a collection  $P = \{A_i\}$  of non-empty subsets of 'S', such that each  $a$  in  $S$  belongs to exactly one of the  $A_i$  [cell].

1) Such that each  $a$  in  $S$  belongs to exactly one of the  $A_i$ .

2) The sets of  $P$  are mutually disjoint. If  $A_i \neq A_j$

then  $A_i \cap A_j = \emptyset$

Eg:-  $S = \{1, 2, 3, 4, 5, 6\} \Rightarrow P_1 = \{1, 2, 3, 4, 5, 6\}$

\* Each element of 'S' belongs to exactly one of the cells in  $P$ .

\*  $\emptyset$  does not belong to a partition.

\* No. of partitions of set  $\{1, 2, 3\}$ :  $P_1 = \{1, 2, 3\}, P_2 = \{1, 2\}, \{3\}, P_3 = \{1, 3\}, \{2\}, P_4 = \{1\}, \{2, 3\} \Rightarrow 5$  partitions

Let  $P_1$  &  $P_2$  are two partitions of  $S$  then  
 Intersection of each cell in  $P_1$  with each cell  
 in  $P_2$  is called "Cross partition" is also a partition.

$$\text{Ex:- } P_1 = [\{1, 2, 3\}, \{4, 5, 6\}]$$

$$P_2 = [\{1, 3, 4, 6\}, \{2, 5\}]$$

$$P = \text{Cross partition of } P_1 \& P_2 = [\{1, 3\}, \{2\}, \{4\}, \{5\}]$$

\*\* Let  $P$  be cross partition of  $P_1 \& P_2$  of set 'S' and  
 $P_1$  has  $x$ -cells,  $P_2$  has  $y$ -cells then No. of cells in  $P$   
 are :  $\max(x, y) \leq n \leq xy$

\* Let  $f(n, k)$  represents the no. of partitions of set 'S'  
 of  $n$ -elements into  $k$ -cells ( $k=1, 2, \dots, n$ )

$$f(n, 1) = 1$$

$$f(n, n) = 1$$

$$f(n, k) = f(n-1, k-1) + k \cdot f(n-1, k)$$

	no. of partitions
$n=0$	$1$
$n=1$	$1$
$n=2$	$2$
$n=3$	$5$
$n=4$	$15$
$n=5$	$52$
$n=6$	$203$

\* No. of Equivalence Relations of set 'S' with  $n$ -elements:

$$P(n) = \sum_{j=0}^{n-1} {}^{n-1}C_j P(n-1-j) ; P(0) = 1$$

$P(1) = 1$
$P(2) = 2$
$P(3) = 5$
$P(4) = 15$
$P(5) = 52$
$P(6) = 203$

\* Every equivalence relation induces a partition.

\*  $x \equiv y \pmod{m}$  is an equivalence relation  $\begin{cases} x \text{ is congruent to } y \\ \text{mod } m \\ \text{iff } x-y \text{ is divisible by } m \\ \text{iff } x-y = km \text{ } \& k \text{ is integer} \end{cases}$

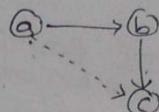
\*  $(a, b) \sim (c, d)$ , whenever  $ad = bc$  then  $\sim$  is an equivalence relation on  $A \times A$ .

\*  $(a, b) \sim (c, d)$ ; If  $a+d = b+c$  then  $\sim$  is an equivalence relation on  $A \times A$ .

- \* Let  $R$  be a relation on set 'A'

  - 1) Reflexive closure of  $R = R \cup \Delta_A$
  - 2) Symmetric closure of  $R = R \cup R^{-1}$
  - 3) Transitive closure of  $R = R^*$

If  $(a,b) \in (b,c)$  belongs to  $R$ , and hence to  $R^*$   
 Then  $(a,c)$  is belongs to  $R^*$ , for all Pairs of  $(x,y)$



\*\* If A has n-elements;  $A = \{1, 2, 3, \dots, n\}$  & Relation R on A with n-pairs  $R = \{(1,2), (2,3), \dots, (m-n), (n,1)\}$   
 Then transitive closure of R ( $R^*$ ) is the "universal Relation  $A \times A$ " containing  $n^2$  pairs.

## Functions :

$f: A \rightarrow B$  is a function from  $A$  to  $B$ :

\*  $f \subseteq (A \times B)$  "Reflexive"

\*  $\forall a \in A, \exists b \in B, \exists (a, b) \in f$

$f(a) = b$  "Existence"

\* If  $(a, b) \in f \notin (a, c) \in f$  then  $b=c$

- Number of functions form a relation  $A$  to  $B = |B|^{|A|}$  "Uniqueness"

- Into function :  $f: A \rightarrow B$ ; If  $f(A) \subset B$

\* Onto [Surjective] function: If  $f(A) = B$ ;  $[n(A) \geq n(B)]$   
[Atleast one image in 'B' is used for more than one element in A.]

\* [Injective] One-one function: If  $a_1 \neq a_2$  then  $f(a_1) \neq f(a_2)$

$[n(A) \leq n(B)]$  If  $f(a_1) = f(a_2)$  then  $a_1 = a_2$   
[distinct elements in A have distinct images in B]  
 $\forall a_1, a_2 \in A$

\* Bijection :  $f: A \rightarrow B$ ; If 'f' is onto & one-one

$$\boxed{n(A) = n(B)}$$

\* Number of functions  $f: A \rightarrow B = |B|^{|A|}$

\* Number of onto functions  $f: A \rightarrow B = \sum_{i=1}^{|B|} (-1)^{i-1} |B| C_i |A|^{i-1}$

\* Number of one-one functions  $f: A \rightarrow B = |B| P_{|A|}$

\* Number of Bijection [let  $n(A) = n(B) = n$ ] functions  $f: A \rightarrow B = n!$

. Constant function: If  $f(a) = b ; \forall a \in A$

. Identity function: If  $f(a) = a ; \forall a \in A$

. If  $f: A \rightarrow B$  is bijective then  $f^{-1}: B \rightarrow A$  is also bijective.  
and the Inverse mapping of 'f' is unique.

- If  $f: A \rightarrow B$  &  $g: B \rightarrow C$  are injective (one-one) then  
 $\circ f: A \rightarrow C$  is also injective.
- If  $f: A \rightarrow B$  &  $g: B \rightarrow C$  are surjective (onto) then  
 $\circ f: A \rightarrow C$  is also surjective.
- If  $f: A \rightarrow B$  &  $g: B \rightarrow C$  are functions,  $\exists f: A \rightarrow C$  is  
 injective then  $f$  is also injective (one-one).
- If  $f: A \rightarrow B$  &  $g: B \rightarrow C$  are functions,  $\exists f: A \rightarrow C$  is  
 surjective then  $f$  is also surjective (onto)

$N \rightarrow$  natural numbers  $(1, 2, 3, \dots)$

$Z \rightarrow$  Integers  $(\dots, -2, -1, 0, 1, 2, \dots)$

$Q \rightarrow$  Rational numbers

$R \rightarrow$  Real numbers

$C \rightarrow$  Complex numbers

$$\boxed{N \subseteq Z \subseteq Q \subseteq R \subseteq C}$$

## Groups :

- Closure [Binary operation] ( $*$ ) :  $[A \text{ is a function } * \text{ from } A \times A \text{ into } A]$   
 $A \text{ is closed under } *, \text{ if } a * b \in A, \forall a, b \in A$

\*\* Number of binary operations on a set 'G' :  $|G|^{1 \times |G|}$

- Closure :  $a * b \in G \quad \exists \quad \forall a, b \in G$
- Associative :  $(a * b) * c = a * (b * c) \quad \exists \quad \forall a, b, c \in G$
- Identity :  $a * e = e * a = a \quad \exists \quad \forall a \in G \Rightarrow e \text{ is identity}$
- Inverse :  $a * b = b * a = e \quad \Rightarrow \bar{a} = b \text{ & } \bar{b} = a$
- Commutative :  $a * b = b * a ; \quad \exists \quad \forall a, b \in G$

\* Groupoid : closure

\* Semigroup : closure + associative

\* Monoid : closure + associative + identity

\* Group : closure + associative + identity + inverse

\* Abelian (commutative) : group + commutative.

Groupoid	Semigroup	Monoid	Group	Abelian
$a * b \in G$ $\hookrightarrow$ (closure) $\forall a, b \in G$	$(a * b) * c = a * (b * c)$ $\hookrightarrow$ (associative) $\forall a, b, c \in G$	$a * e = e * a = a$ $\forall a \in G$ $\hookrightarrow$ (identity)	$a * b = b * a = e$ $\hookrightarrow$ (inverse)	$a * b = b * a ; \forall a, b \in G$ $\hookrightarrow$ (commutative)
$(\mathbb{N}, +, \star)$ $\hookrightarrow$ (multiplication)	$(\mathbb{N}, +, \star)$	$(\mathbb{N}, \star)^{\text{closed}}$	Non-singular matrices closed under $\star$ (multiplication)	$(\{1, 2, 3\}, +_4)$
$(\mathbb{Z}, +, -, \star)$	$(\mathbb{Z}, +, \star)$	$(\mathbb{Z}, +, \star)$		$(\mathbb{Z}, +)$
$(\mathbb{R}, +, -)$	$(\mathbb{R}, +)$	$(\{0, 1, 2\}, \star)$		$(\mathbb{R}, +)$
$(\mathbb{R}-\{0\}, \star, 1)$ $\hookrightarrow$ $\star$ is always not associative	$(\mathbb{R}-\{0\}, \star)$	$(\{a, b\}^*, +)$		$(\mathbb{R}-\{0\}, \star)$
				$(\mathbb{Q}, +)$
				$(\mathbb{Q}-\{0\}, \star)$
				$(\{1, -1, i, -i\}, \star)$
				$(\{1, \omega, \omega^2\}, \star)$
				$(\{1, -1\}, \star)$
				$(\{1, i\}, \star)$

\* Lexicographical order / Dictionary order:

Let  $A_1 \times A_2$  are Partial ordered sets, if  $a \leq c$  and  $b \leq d$   
 defined as:

$$1) (a_1, a_2) < (b_1, b_2)$$

Either "if  $a_1 < b_1$ " or "both  $a_1 = b_1$  &  $a_2 < b_2$ "  
 (or)

$$2) (a_1, a_2) \leq (b_1, b_2)$$

Either "if  $a_1 < b_1$ " or "both  $a_1 = b_1$  &  $a_2 \leq b_2$ ".

\* Well-ordered set:

An ordered set 'A' is well-ordered if Every

- Subset of 'A' contains a "first element" [least Element].

\* Finite linearly ordered set is well-ordered.

\* Every well-ordered set must be linearly ordered. (chain) Ex:-  $(\mathbb{N}, \leq)$  is well-ordered  
 $(\mathbb{Z}, \leq)$  is not well-ordered.

First Element: Let 'A' be an ordered set, The Element  
 (Least) 'a' in 'A' is first Element of A if, for every  
 Element 'x' in A,  $a \leq x$ .

Last Element: Let 'A' be an ordered set, The Element  
 (greatest) 'b' in 'A' is last Element of A if, for every Element  
 'x' in A,  $x \leq b$ . (16)

Ex:- 1) Let N be the set of Natural numbers  
 Then, First Element of N=1 & there is no last-Element.

2) Let 'A' be any set and Let  $P(A)$  be the

- Set of all Subsets of A [Power set of A].

Then, First Element of  $P(A) = \emptyset$

Last Element of  $P(A) = A$ .

- $O(G) \leq 5$  is always "Abelian group"
- Every order of prime is cyclic group & Every cyclic group is Abelian group. [Ex:-  $O(G) = 5(\text{prime})$ ]
- $(\{0, 1, 2, \dots, m-1\}, +_m)$  Addition modulo is Abelian group.
- $(\{1, 2, 3, \dots, 2-1\}, \times_2)$  Multiplication modulo is Abelian group.
- If  $O(G) = 2n$  then there exist atleast one element other than identity element which is "Self Invertible".
- Set of all non-singular matrices is a group under matrix multiplication, but not abelian.  
(commutative, not satisfied)
- Order of an Element  $O(a) = n$ ; &  $O(a) = O(a')$   
where  $a \in G$  &  $a^n = e$   
[Ex:-  $\{1, -1, i, -i\} \Rightarrow e=1$   $(-1)^2 = 1 = e \Rightarrow O(-1)=2$  &  $i^4 = 1 = e \Rightarrow O(i)=4$ ]
- $a \oplus_m b = \gamma\left(\frac{a+b}{m}\right)$ ; Identity  $e=0$
- $a \otimes_p b = \gamma\left(\frac{a+b}{p}\right)$ ; Identity  $e=1$
- Order of "group" is the no. of elements in the group.

**Partial order :** (Reflexive, Antisymmetric & Transitive)

→ Set 'P' with a partial order is called a "Poset"

Ex:-  $(N, \leq)$ ,  $(N, |)$ ,  $(P(S), \subseteq)$

[ordered set]

\* ① Partially order on a set 'S' to be a Relation R.

\* Reflexive :  $aRa$ ,  $\forall a \in S$

\* Antisymmetric : If  $aRb$  &  $bRa$  then  $a=b$

\* Transitive : If  $aRb$  &  $bRc$  then  $aRc$ .

② Partially order on a set 'S' to be a Relation R.

\* Irreflexive :  $a \not Ra$ ,  $\forall a \in S$

\* Asymmetric : If  $aRb$  then  $b \not Ra$

\* Transitive : If  $aRb$  &  $bRc$  then  $aRc$ .

$\leq$  satisfies ① if and only if,  $<$  satisfies ②,

If R satisfies ② then  $R \cup \Delta$  satisfies ① of  
a partial order.

\* Hasse diagram [upward allow diagram] :

Any two vertices are adjacent iff one is -  
covered by the other. [Never contains any cycles in it].

\*\*\* Cover of an element is called 'Immediate Successor'.

\* Linearly / totally ordered Set [chain] :

If every pair of elements in 'S' are Comparable,  
then such ordered set 'S' is said to be 'Linearly ordered'.  
 $\therefore$  If  $a, b \in S$ ; if  $a$  &  $b$  are comparable.

Ex:-  $(N, \leq)$

Any set consisting of one element is 'Linearly ordered'.

Any pair of Comparable Elements is 'Linearly ordered'.

Element of linearly ordered set can have atmost one immediate - Predecessor.

\* Each

Minimal :

Elements which are not having Predecessor.

Maximal :

Elements which are not having Successors.

\* Many minimal & maximals may Exist.

Least :

'a' is a least Element of 'P' ; if  $a \leq x$ ;  $\forall x \in P$

Greatest :

'b' is a greatest Element of 'P' ; if  $x \leq b$ ;  $\forall x \in P$

\* Lower bound : Let  $A \subseteq P$ , a is a lower bound of A,  
if  $a \in P$  and  $a \leq x$   $\forall x \in A$ .

\* Upper bound : Let  $A \subseteq P$ , b is an upper bound of A,  
if  $b \in P$  and  $x \leq b$ ,  $\forall x \in A$ .

Greatest Lower bound [Infimum] :

'y' is infimum of A, if y is a lower bound  
of 'A' and if z is any other lower bound then  $z \leq y$ .

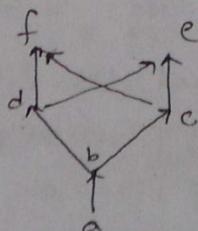
Least Upper bound [Supremum] :

'x' is supremum of A, if x is an upper  
bound of 'A' and if z is any other upper bound,  
then  $x \leq z$ .

- If only one minimal exist then it is always 'least'.

- If only one maximal Exist then it is always 'greatest'.

$$\text{Ex:- } P = \{a, b, c, d, e, f\}$$



Minimal = a

Maximal = e, f

Least = a

No greatest

Let  $S = \{b, c, d\}$

$S \subseteq P$

Lower bound of S = b, a

Upper bound of S = e, f

Infimum = b

No supremum.

\* Immediate Successors of Lower bound are called "atoms".

Ex:- If b is lower bound,  
d, c are atoms  
from above diagram.  
If b is lower bound, then a is atom.

## Lattice :

Let  $(P, \leq)$  is a poset, In which for Every two Elements there Exist <sup>(a)</sup>infimum / Greatest Lower bound / meet and <sup>(b)</sup>Supremum / Least upper bound / Join( $\vee$ ) Then Such Poset is called a "Lattice".

(08)

Let 'L' be a non empty set closed under two binary operations called <sup>(a)</sup>meet and <sup>(b)</sup>Join. Then 'L' is a "lattice" if for any elements  $a, b$ , and  $c$  of 'L' the following ~~axioms~~ axioms hold.  $[(L, \wedge, \vee) \text{ is lattice}]$

1) Commutative Laws:

$$(i) a \wedge b = b \wedge a \quad (ii) a \vee b = b \vee a$$

2) Associative Laws:

$$(i) (a \wedge b) \wedge c = a \wedge (b \wedge c), \quad (ii) (a \vee b) \vee c = a \vee (b \vee c)$$

3) Absorption Laws:

$$(i) a \wedge (a \vee b) = a \quad (ii) a \vee (a \wedge b) = a$$

(17)

Ex:  $(\mathbb{N}, 1, \text{gcd, lcm})$ 

is a lattice

 $(\mathbb{N}, \leq, \text{min, max})$ 

is a lattice

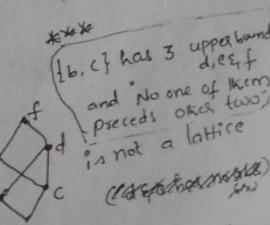
 $(L, \leq, \wedge, \vee)$ 

is a lattice

 $(P(S), \subseteq, \cap, \cup)$ 

is a lattice

are lattices



~~Ex:  $(\mathbb{N}, \leq, \text{min, max})$  is a lattice~~

~~$(L, \leq, \wedge, \vee)$  is a lattice~~

~~$(P(S), \subseteq, \cap, \cup)$  is a lattice~~

~~Every chain is a lattice [i.e., linearly ordered set is a lattice]~~

~~Let 'L' be a lattice. Then  $a \wedge b = a$  if and only if  $a \leq b$~~

~~$x \wedge y = \inf(x, y) \quad x \vee y = \sup(x, y)$~~

~~is a lattice.~~

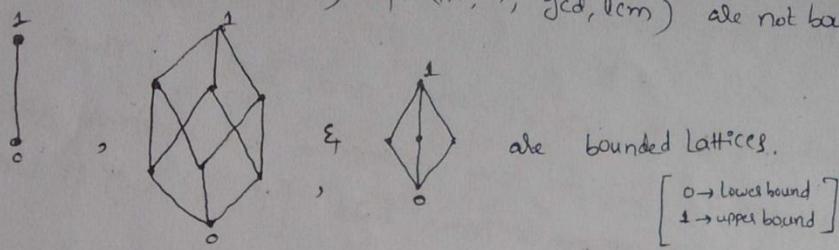
### \* Bounded lattice:

If there exist lower bound  $(l)$  & upper bound  $(u)$  in a lattice, such lattice is called "Bounded lattice".

Eg:- i.e., If  $l \in L$  &  $u \in L$  then  $l \leq x \leq u, \forall x \in L$

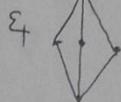
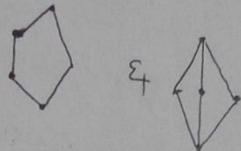
$(L, \leq, \wedge, \vee)$  &  $(P(S), \subseteq, \cap, \cup)$  are bounded lattices.

$(\mathbb{N}, \leq, \text{Min}, \text{Max})$  &  $(\mathbb{N}, /, \text{gcd}, \text{lcm})$  are not bounded.



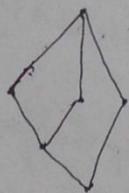


A lattice  $L$  is non-distributive if and only if it contains a sublattice isomorphic to the following lattices:



[Non-Distributive lattices]

Ex:-

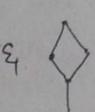


Ex:-



are non-distributive lattices.  
(isomorphic to above lattice)

Ex:-



are distributive (5-elements)

\*\* Modular lattice: If a lattice  $L$  satisfies:  
 $a \vee (b \wedge c) = (a \vee b) \wedge c$ ;  $\forall a, b, c \in L$   
and  $a \leq c$ .

Ex:-



is modular (non-distributive)



is non-modular (non-distributive).

③

Every distributive lattice is modular.

\* Sublattices: A lattice  $L$  is called "Sublattice", if under same meet( $\wedge$ ) & same join( $\vee$ ),

Ex:-  $(D_2, \mid, \gcd, \text{lcm})$  is Sublattice.

\* Dual order:  $(P, \leq)$  is Poset then  $(P, \geq)$  is also a poset. Such poset is called "dual order".

\* Dual lattice:  $(L, \leq, \wedge, \vee)$  is a lattice  $(L, \geq, \vee, \wedge)$  is also a lattice, such lattice is called

"Dual lattice".

\* Complete lattice: A lattice  $L$  is said to be complete, if every subset of  $L$  has infimum & supremum in  $L$ .

**Boolean Algebra:**  $(B, \leq, \wedge, \vee, ')$

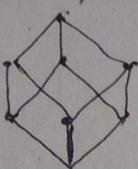
If a lattice is Complemented & Distributive

- lattice.

Ex:-  $(P(S), \subseteq, \cap, \cup)$

- Let  $B$  be a Boolean Algebra. Then  $B$  is a partially-ordered set, where  $a \leq b$  is defined by  $a+b = b$ .

Ex:-



is a Boolean Algebra (Complemented & Distributive)

is not Boolean Algebra (not complemented)

- Boolean Algebra Satisfies : Lattice [Poset, meet, join], -  
- Bounded [lower, upper], Distributed & Complemented lattices.

\* Let  $B$  be a finite boolean algebra having n-atoms.

Then  $B$  has  $2^n$  Elements. and Every non-zero Element of  $B$  is the sum of unique set of atoms.

Ex:-  $B$  is boolean algebra with less than 100 Elements.  
Then  $B$  can have  $2^1, 2^2, 2^3, 2^4, 2^5$  or  $2^{(n \text{ no. of atoms})} < 100$  Elements.

\* Let  $a, b, c$  be any elements in a Boolean Algebra  $(B, +, *, ', 0, 1)$

1) Commutative Laws:  $a+b=b+a$  &  $a*b=b*a$

2) Distributive Laws:  $a+(b*c)=(a+b)* (a+c)$  &  $a*(b+c)=(a*b)+(a*c)$

3) Identity Laws:  $a+0=a$  &  $a*1=a$

4) Complement Laws:  $a+a'=1$  &  $a*a'=0$

5) Idempotent Laws:  $a+a=a$  &  $a*a=a$

6) Boundedness Laws:  $a+1=1$  &  $a*0=0$

7) Absorption Laws:  $a+(a*b)=a$  &  $a*(a+b)=a$

8) Associative Laws:  $a+(b+c)=a+(b+c)$  &  $(a+b)*c=a*(b*c)$

9) Involution Law  $(a')'=a$ :  $a'=1$  &  $1'=0$

\* 10) Uniqueness of Complement:

If  $a+x=1$  &  $a*x=0$  then  $\boxed{x=a'}$

11) De Morgan's Laws:

$$(a+b)' = a' * b' \quad \text{&} \quad (a*b)' = a' + b'$$

•  $(B, \leq, \wedge, \vee, ')$  is Boolean Algebra:

1)  $a \leq b$

2)  $a \vee b = b \quad (a+b=b)$

3)  $a \wedge b = a \quad (a+b=a)$

4)  $a \wedge b' = 0 \quad (a+b'=0)$

5)  $a' \vee b = 1 \quad (a'+b=1)$

6) If  $a \leq b$  then  $a' \geq b'$

Let  $B$  be a Boolean algebra; Then  $B$  is a partially ordered set, ~~closure~~ set  $x$  precedes set  $y$  if  $x$  is subset of  $y$ . This follows from the fact that  $x \cup y = y$  if and only if  $x \subseteq y$ .

**Permutations:** [Ordered Selection / Arrangement]

$$P(n, r) = \frac{n!}{(n-r)!}$$

The number of permutations of  $n$ -objects:

1. Taken ' $r$ ' at a time  $\equiv {}^n P_r = {}_nP_r = P(n, r) = (n)_r$

2. Taken ' $r$ ' at a time  $= n^r$  [with repetition]

3. Taken all at a time  $= n!$

4. Taken not more than ' $r$ '  $= \frac{n \cdot (n-1) \cdots (n-r+1)}{r!}$  [with repetition]

5. Taken ' $r$ ' (at least one repeated)  $= n^r - {}^n P_r$

6. Taken all at a time, in which ' $r$ ' of them are alike (identical)

$$= \frac{n!}{r!} = (n-r+1)!$$

7. Taken all at a time, in which  $n_1$  are alike,

$n_2$  are alike, ...,  $n_r$  are alike.

$$= \frac{n!}{n_1! n_2! \cdots n_r!}$$

8. Taken ' $r$ ' at a time, in which  $m$ -particular

-objects are: a) Never Included  $= (n-m)P_r$

b) Always included  $= (n-m)P_{(r-m)} \cdot rP_m$   
( $n \geq m \geq r \geq m$ )

The number of circular permutations of  $n$ -objects:

1. Taken all at a time  $= (n-1)!$  ; (If ~~not~~ direction)

$$= \frac{(n-1)!}{2}; \text{ (If direction is given)}$$

2. Taken ' $r$ ' at a time  $= \frac{{}^n P_r}{r}$ ; (if <sup>no</sup> direction)

$$= \frac{1}{2} \cdot \frac{{}^n P_r}{r} \quad (\text{direction})$$

$$n_{P_\gamma} = \frac{n!}{(n-\gamma)!} = n(n-1)(n-2) \dots (n-\gamma+1)$$

$$n_{P_\gamma} = P(n-1, \gamma) + \gamma \cdot P(n-1, \gamma-1)$$

$$n_{P_\gamma} = \gamma! \cdot n_{C_\gamma} = \frac{n!}{\gamma!} \cdot n \times \frac{(n-1)}{(\gamma-1)} P_{(\gamma-1)}$$

\* Derangements:

Number of objects for  
Number of derangements for  $n$ -objects =  $n! e^{-1}$

$$(a+b)^n = n_c_0 a^n + n_{C_1} a^{n-1} b + n_{C_2} a^{n-2} b^2 + \dots + n_{C_{n-1}} a^{n-1} b^{n-1} + n_{C_n} a^n b^n$$

$$= \sum_{\gamma=0}^n n_{C_\gamma} a^{n-\gamma} b^\gamma$$

(60)

$$\binom{n}{n_1, n_2, \dots, n_r} = \frac{n!}{n_1! n_2! \dots n_r!}$$

\* No. of ways distributing  $\gamma$  apples to the  $n$ -persons :  $\frac{n!}{n_1! n_2! \dots n_r!}$

The no. of permutations of  $(n+r)$  objects taken all at a time, in which  $n_1$  objects are alike &  $n_2$  objects are alike :  $= \frac{(n+r)!}{n_1! n_2!}$

## Combinations: [unordered Selection]

$$C(n, r) = \frac{n!}{(n-r)! r!} ; \quad C(n+1, r) = C(n, r-1) + C(n, r)$$

The Number of Combinations of  $n$ -objects:

1). Taken ' $r$ ' at a time  $= {}^n C_r$   
(Selecting)

\* 2. Taken all at a time  $= 2^n$

3. Taken one or more at a time  $= 2^n - 1$

4. Divided into  $P$ -objects of one type,  $q$ -objects of Second-type and so on :  $= [(P+1)(q+1)\dots] - 1$

5. Divided into  $m$ -groups of  $x_1$  objects,  $x_2$  objects, ...,  $x_m$  objects :

$$= \frac{n!}{x_1! x_2! \dots x_m!}$$

6. Divided into equal  $m$ -groups of  $x_1 = x_2 = x_3 = \dots = x_m$  objects.

$$= \frac{n!}{(x!)^m \cdot m!} \quad [ \because \text{let } x = x_1 = x_2 = \dots = x_m ]$$

7. Taken ' $r$ ' at a time, in which  $m$ -particular objects : a) <sup>Always</sup> included  $= C(n-m, r-m)$   
b) ~~Never~~ included  $= C(n-m, r)$

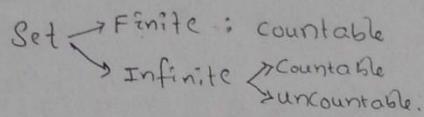
No. of subsets of a set  $X$  containing  $n$ -elements  $= 2^n$   
( $n_{e_0} + n_{e_1} + \dots + n_{e_n}$ )

No. of diagonals for a regular polygon with  $n$ -sides  $= {}^n C_2 - n$

No. of triangles formed by vertices of a polygon with  $n$ -sides :  
 $\left[ \begin{array}{l} n_{e_2} = \text{no. of diagonals \& sides (both)} \\ n = \text{no. of sides or no. of points only} \end{array} \right] = \frac{n(n-3)}{2}$

No. of triangles formed by vertices of a polygon with  $n$ -sides, if the sides of polygon are not to be sides of any triangle :  
 $= {}^n C_3 - n(n-4) - n$ , with 2-side on polygon

## Counting:



- Union of two countable sets is countable.
- Union of countable no. of countable sets is countable.
- The set of all bit strings is countable.
- The set of real numbers that are solutions to quadratic equations  $ax^2+bx+c=0$ , where  $a,b,c$  are integers is countable.
- The set of all computer programs in a particular programming language is countable.
- The set of lines through the origin is uncountable.

\* Counting Principle [Inclusion-Exclusion]:

$$n(A \cup B) = n(A) + n(B) - n(A \cap B)$$

$$\begin{aligned} n(A \cup B \cup C) &= n(A) + n(B) + n(C) \\ &\quad - n(A \cap B) - n(A \cap C) - n(B \cap C) \\ &\quad + n(A \cap B \cap C) \end{aligned}$$

Let  $A_1, A_2, \dots, A_n$  be finite sets:

$$n(A_1 \cup A_2 \cup \dots \cup A_n) = \sum_{1 \leq i \leq n} n(A_i) - \sum_{1 \leq i < j \leq n} n(A_i \cap A_j)$$

$$+ \sum_{1 \leq i < j < k \leq n} n(A_i \cap A_j \cap A_k) - \dots + (-1)^{m!} n(A_1 \cap A_2 \cap \dots \cap A_n)$$

If  $A \cap B$  are disjoint,  $n(A \cup B) = n(A) + n(B)$ .

\* The set of functions "from the positive integers to the

$\{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$ " is "uncountable".

\* Pigeonhole-principle: At least  $(n+1)$  pigeons are contained in  $n$ -pigeon holes.

$$= [K^{n+1}]_{(k)} = [K(m+1)+1]$$

\* Fundamental principle of Counting:  
If some event can occur in  $n_1$  different ways, and if, following this event, a second event occurs in  $n_2$  different ways, ... then the no. of ways the event can occur in the order  $= n_1 \cdot n_2 \cdot n_3 \dots$

## Summation:

$$\sum n = \frac{n(n+1)}{2}$$

$$*\sum n^r = \frac{n(n+1)(2n+1)}{6}$$

$$\sum n^3 = \frac{n(n+1)^2}{4} = [\sum n]^2$$

$$\sum_{k=0}^n a \cdot r^k = \frac{a[r^{n+1}-1]}{r-1}; r \neq 1.$$

\* Suppose  $n_1 & n_2$  are any integers such that  $n_1 \leq n_2$ .

$$1) S_n = \sum_{k=1}^{n+1} f(k) = f(1) + f(2) + \dots + f(n-1) + f(n)$$

(or)

$$S_n = S_{n-1} + f(n)$$

$$2) \sum_{k=n_1}^{n_2} f(k) = f(n_1) + f(n_1+1) + f(n_1+2) + \dots + f(n_2); n_1 \leq n_2$$

\* If For  $n_2 \leq n_1$ ,  $\sum_{k=n_1}^{n_2} f(k) = 0$

$$*\sum_{k=1}^n [f(k) + g(k)] = \sum_{k=1}^n f(k) + \sum_{k=1}^n g(k)$$

## Asymptotics:

Let  $f(x) & g(x)$  be functions from the set of real numbers, we say  $f & g$  are "asymptotic"  $[f(x) \sim g(x)]$

if  $\lim_{x \rightarrow \infty} \frac{f(x)}{g(x)} = 1$

## Generating functions:

$$G(x) = \sum_{k=0}^{\infty} a_k \cdot x^k = a_0 + a_1 x + a_2 x^2 + \dots + a_k x^k + \dots$$

$$(1+ax)^n = \sum_{k=0}^n n_k \cdot a^k \cdot x^k = \sum_{k=0}^n n_k (ax)^k$$

$$(1+x^r)^n = \sum_{k=0}^n n_k \cdot x^{rk}$$

$$\frac{1-x^{n+1}}{1-x} = \sum_{k=0}^n x^k$$

$$e^x = \sum_{k=0}^{\infty} \frac{x^k}{k!} = 1 + \frac{x}{1!} + \frac{x^2}{2!} + \dots$$

$$\log(1+x) = \sum_{k=0}^{\infty} \frac{(-1)^{k+1} \cdot x^k}{k!} = -1 + \frac{x}{1!} - \frac{x^2}{2!} + \frac{x^3}{3!} - \dots$$

$$\frac{1}{1-ax} = \sum_{k=0}^{\infty} (ax)^k = \sum_{k=0}^{\infty} a^k x^k$$

(22)

$$\frac{1}{1-x^r} = \sum_{k=0}^{\infty} (x^r)^k = \sum_{k=0}^{\infty} x^{rk}$$

$$\frac{1}{(1-ax)^n} = \sum_{k=0}^{\infty} \frac{n+k-1}{k} c_k \cdot (ax)^k$$

$$\frac{1}{(1+ax)^n} = \sum_{k=0}^{\infty} \frac{n+k-1}{k} c_k \cdot (-1)^k \cdot (ax)^k$$

$$\sin nx = \sum_{k=0}^{\infty} (-1)^k \frac{x^{2k+1}}{(2k+1)!} = x - \frac{x^3}{3!} + \frac{x^5}{5!} - \dots$$

$$\sinh x = \sum_{k=0}^{\infty} \frac{x^{2k+1}}{(2k+1)!} = x + \frac{x^3}{3!} + \frac{x^5}{5!} + \dots$$

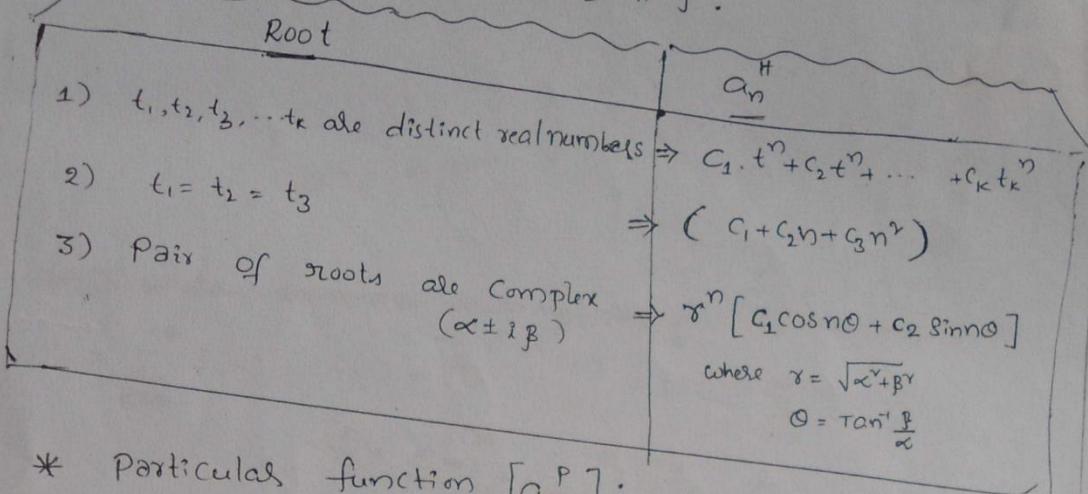
$$\cos x = \sum_{k=0}^{\infty} (-1)^k \frac{x^{2k}}{(2k)!} = 1 - \frac{x^2}{2!} + \frac{x^4}{4!} - \dots$$

$$\cosh x = \sum_{k=0}^{\infty} \frac{x^{2k}}{(2k)!} = 1 + \frac{x^2}{2!} + \frac{x^4}{4!} + \dots$$

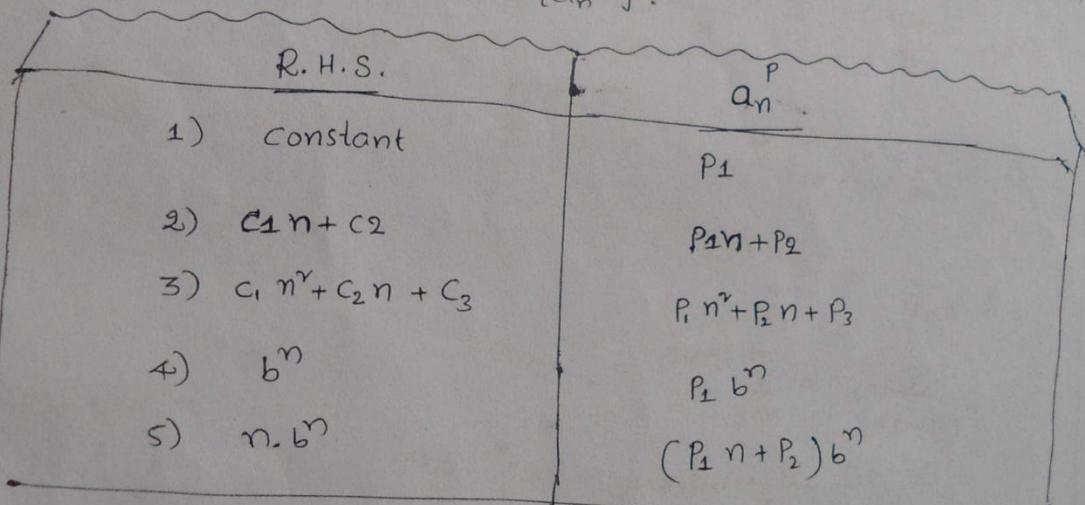
## Recurrence Relation:

$$a_n = a_n^H + a_n^P$$

\* Complementary function  $[a_n^H]$ :



\* Particular function  $[a_n^P]$ :



$P_1, P_2, \& P_3$  values are obtained by substituting  $a_n^P$  in given recurrence relation.

Ex:-  $a_n - 3a_{n-1} = n+2$   $\quad [a_{n+1} + c_1 \cdot a_{n+1-1} + c_2 \cdot a_{n+1-2} + \dots + c_n a_n = 0]$

$a_n^H$ :  $a_n - 3a_{n-1} = 0 \Rightarrow a_{n+1} - 3a_n = 0 \Rightarrow t-3=0 \Rightarrow t=3$

$\therefore a_n^H = c_1 3^n \rightarrow ①$

$a_n^P$ :  $P_1 n + P_2$  is substitute in recurrence relation

$$(P_1 n + P_2) - 3(P_1(n-1) + P_2) = n+2$$

Compare co-eff's of  $n$  & Constants then we get  $P_1 = \frac{1}{2}, P_2 = -\frac{3}{4}$

Solution:  $a_n = a_n^H + a_n^P = c_1 \cdot 3^n + \underline{\underline{(-\frac{n}{2} - \frac{3}{4})}}_{A_n}$

→ If R.H.S  $[f(n)]$  is an Exponential function,

$$a_n^P = \frac{f(n)}{\Phi(E)} ; \boxed{\Phi(E) = (E-b)^k}$$

$$a_n^P = \frac{b^n}{\Phi(b)} = \frac{b^n}{\Phi(b)} ; \text{ if } \Phi(b) \neq 0$$

$$= \frac{b^n}{(E-b)^k} = n_{c_k} \cdot b^{n-k} ; \text{ if } \Phi(b) = 0$$

Ex:-  $x_n - 2x_{n-1} + x_{n-2} = 2^n$  [Non-Homogeneous]  $\{x_0 = 2, x_1 = 1\}$

Substitute  $n = n+2$

$$\Rightarrow x_{n+2} - 2x_{n+1} + x_n = 2^{n+2}$$

$$\Rightarrow (E^2 - 2E + 1)x_n = 4 \cdot 2^n$$

$$\Rightarrow (E-1)^2 x_n = 4 \cdot 2^n$$

$$\Rightarrow t_1 = t_2 = 1 \quad a_n^H = \text{complementary function} = (C_1 + n C_2) 1^n$$

$$a_n^P = \frac{4 \cdot 2^n}{(E-1)^2} = \frac{4 \cdot 2^n}{(2-1)^2} ; \left[ b=2 \Rightarrow \Phi(b) = (b-1)^2 \Rightarrow \Phi(2)=1 \right]$$

$$= 4 \cdot 2^n$$

$$\therefore x_0 = 2 \Rightarrow x_n = (C_1 + n C_2) 1^n + 4 \cdot 2^n \quad [1^n = 1]$$

$$2 = C_1 + 0 + 4 \Rightarrow C_1 = -2$$

$$x_1 = 1 \Rightarrow 1 = C_1 + C_2 + 4 \cdot 2 \Rightarrow C_2 = -5$$

$$\boxed{x_n = 4 \cdot 2^n - (2 + 5n)}$$

## Graph Theory :

**Network:** A Graph will only one Source & Sink.

**Isolated vertex:** A vertex with degree(valence) zero.

**Pendent vertex:** A vertex with degree one.

**Pendent Edge:** An Edge incident with pendent vertex.

**Path:** Sequence of edges, without vertex repetition.

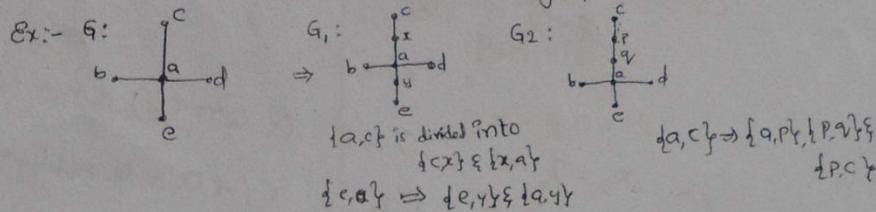
**Total (Tour):** Sequence of edges, without edge repetition.

**Walk:** Sequence of edges, where vertex & edges may repeat.

**Isomorphism:** Two graphs  $G$  &  $G^*$  are isomorphic, if there is a function  $f: V(G) \rightarrow V(G^*)$  such that  $f$  is bijection and for each pair of vertices  $u$  &  $v$  of  $G$ ,  $\{u, v\} \in E(G)$  iff  $\{f(u), f(v)\} \in E(G^*)$ .

**Homomorphism:** Given any graph  $G$ , we can obtain a new graph  $(G^*)$  by dividing an edge of  $G$  with additional vertex.

Two graphs  $G$  &  $G'$  are said to be homeomorphic if they can be obtained from the same graph.



$G_1$  &  $G_2$  are homeomorphic, because they are obtained from  $G$ .

**Cut vertex [Articulation point]:** Removal of a vertex 'v', the graph becomes disconnected.

**Bridge:** Removal of an edge 'e', the graph becomes disconnected.

**Spanning tree:** It is a tree and Subgraph to given Graph ' $G$ ' and includes all vertices of ' $G$ ' in it.

**Covering [Edge Covering]:** Every vertex of graph incident with at least one edge in ' $G$ ' [ $d(v_i) \geq 1$ ];  $\forall v_i$

**minimal covering:** No edge can be removed, without destroying covering property.

**matching:** It is a set of edges with at most one edge  
 - can incident on every vertex.  $[d(v) \leq 1; \forall v]$

**Maximum matching:** Matching with maximum no. of edges.

**Perfect Matching:** Every vertex is matched.  $[d(v) = 1; \forall v]$

**Complete matching:** In a bipartite graph having a vertex  
 - Partition  $V_1$  &  $V_2$ . A complete matching of vertices in a set  $V_1$   
 into those in  $V_2$  is a matching, such that every vertex  
 in  $V_1$  is matched against some certain <sup>vertex</sup> in  $V_2$ ,  
 such that no two vertices of  $V_1$  is matched against a single vertex in  $V_2$ .

**Independent Set:** It is subset of  $V$  in which no two vertices  
 are adjacent in  $G(V, E)$ .

**Independent Number:** No. of vertices in largest independent set.

**Colouring:** Vertices are coloured, such that no two vertices  
 - have same colour.

**Chromatic Number:** Minimum no. of colors to paint the graph.  
 $x(G)$

**planar graph:** A "graph" or a "multigraph" that can be  
 drawn in a plane or sphere so that its edges -  
 do not cross is called a planar graph.

**map:** A particular planar representation of a finite planar  
 - multigraph is called a "map".

**Diameter of a graph:** Maximum distance b/w any two of  
 - vertices in a graph.

**Connected graph(multigraph):** A graph(multigraph) is connected.  
 - if there is a path between any two of its vertices.

**Cutset:** It is a set of edges, whose removal from  
 - graph leaves the graph becomes disconnected,  
 provided no proper subset of these edges disconnects  
 - the graph.

- one or more cutsets exist in the connected graph.

\* Edge Connectivity: The number of edges in the smallest cut set is called "Edge Connectivity".

Vertex Connectivity: Minimum no. of vertices whose removal results a disconnected

K-connected graph: On removal of k-vertices, the connected graph becomes disconnected.

\* Non-separable graph: Graph with no cut vertices & no bridges.

Bipartite graph: Vertices of a graph divided into two sets, such that  $V = V_1 \cup V_2$  &  $V_1 \cap V_2 = \emptyset$

Complete Bipartite graph ( $K_{m,n}$ ): Every vertex in  $V_1$  is adjacent to all other vertices in  $V_2$ .

Traversable multigraph: If there is a path which includes all the vertices and uses each edge exactly once. ["traversable-total"]

Eulerian graph: If there exist closed traversable trail. [it may repeat vertices] (Eulerian trial)

Hamiltonian path: If there is a path uses each vertex - exactly once. [it may skip edges].

Strongly connected: In digraph, a path exists b/w any vertex to any other vertex.

Unilaterally Connected: In digraph, for any vertices v and u, there is a path from u to v or from v to u. (not necessarily both).

Non-planar graph: A graph is nonplanar if and only if it contains a subgraph homeomorphic to  $K_{3,3}$  or  $K_5$ .

Pseudo graph : A graph with self-loops.

Regular graph : Every vertex in a graph has same degree (All)

Complete graph : Every two vertices in a graph are adjacent.

Self-Complementary graph : A graph with  $n$ -vertices contains  $\frac{n(n-1)}{2}$  edges in it. ( $G \cong G'$ )

Universal graph : It is traversable multigraph. (Open trial)

Trivial graph : A graph with one vertex & no edges.

Discrete graph : A graph with only isolated vertices.

~~Biconnected graph~~ : A graph with no cut vertices [cut points] & ~~no bridges~~.

Weakly Connected : If a digraph is not strongly connected then the whose removal of directions in the graph becomes "undirected connected graph" is called "weakly connected".

Decomposition :  $G_1 \cup G_2 = G$  &  $G_1 \cap G_2 = \emptyset$  is the decomposition of  $G$  into  $G_1$  &  $G_2$ .

Degenerate tree : A tree with a single vertex & no edges.

"One or more" Bi-connected Components exists in a graph.

(25)

\* For planar graph:  $V-E+R = 2 \Rightarrow V+R = E+2$

$\sum \text{deg}(v) = 2E$   $\Rightarrow \frac{1}{2} \sum \text{deg}(v) = E$

3) If degree of each region  $\geq k$ , then  $k \cdot R \leq 2E$

4)  $\Rightarrow 3R \leq 2E$  ( $\text{as } k \geq 3$ )

5)  $E \leq 2V - 6$

6)  $V \geq 3$

\* For polyhedral graph [planar graph with edges  $\geq 3$ ]:

1.  $V \geq \frac{E}{2}$
2.  $E \leq 3V - 6$
3.  $E \leq 3V - 6$

$E \leq 2R - 6$        $E \leq 3R + \frac{V}{2}$        $E \leq 2 + \frac{V}{2}$

$E \leq 3R - 6$        $E \geq 2E \geq 3R$

\* For digraph:

\*.  $\sum \text{deg}(v) = E = \sum \text{deg}(v)$  [Indegree = Outdegree = No. of edges]

\*.  $V \geq \frac{E}{2}$

\* For undirected graph:

1.  $\sum \text{deg}(v) = 2E$
2. Even no. of vertices of odd degree.
3.  $\sum \text{deg}(v) = 2E$   $\Rightarrow \text{min degree of vertex}$
4. For  $\mu$ -regular graph:  $\mu \cdot V = \sum \text{deg}(v) = 2E$

\* Planar graph:  $[\text{deg}(v) \geq 3] \Rightarrow V \geq 3$

- 1)  $\sum_{i=1}^n \text{deg}(v_i) = 2E \Rightarrow \sum_{i=1}^k \text{deg}(v_i) = 2E$
- 2)  $E \leq 3V - 6$
- 3)  $2E \geq 3R \Rightarrow 2E \geq 3V$
- 4)  $V+R = E+2$
- 5) There exist at least one vertex  $v$  of  $G$ , such that  $\text{deg}(v) \leq 5$

Polyhedral graph:

$$\begin{aligned} V &\geq \frac{E}{2} \Rightarrow R \geq 2 + \frac{V}{2} \\ E &\leq 3V - 6 \\ E &\leq 2R - 6 \end{aligned}$$

For  $K$ -Components:

\*  $E \leq 3V - 6K$

\*  $V+R = E+1+K$

\*  $2E \geq 2R + 2$

Given:  $\rightarrow$  Maximum degree of vertex among all vertices

$\boxed{V \leq 2E}$

$\hookrightarrow$  max degree of vertex

- \* No. of Edges in Complete graph ( $K_n$ ) =  $n c_2$  edges.
- \* In  $K_n$ , Every vertex has degree  $(n-1)$ .
- \* A Bi-partite graph with  $n$ -vertices have:  $\leq \frac{n^2}{4}$  edges.
- \* A Graph with  $n$ -vertices,  $k$ -Components have:  

$$E \leq \frac{1}{2} (v-k)(v-k+1) \leq \frac{1}{2}(n-k)(n-k+1)$$
 edges.
- \* A Complete bipartite graph ( $K_{m,n}$ ) has:  $(m+n)$  vertices &  $mn$ -edges.
- \* A Self-Complementary graph with  $n$ -vertices have:  $\frac{n c_2}{2}$  edges.
- \* No. of Subgraphs are possible for  $K_n = \sum_{i=1}^n n c_2 \times 2^{c_i}$
- \* No. of Perfect matchings for  $K_{2n}$ :  $\frac{(2n)!}{2^n n!} = \frac{(2n)!}{2^n n!}$
- \* A graph with  $e$ -edges decomposed into  $(2^{e-1} + 1)$  ways
- \* No. of Spanning trees for  $K_n$ :  $n^{n-2}$
- \* No. of binary trees with  $n$ -vertices:  $\frac{2^n c_n}{n+1}$
- \* Tree with  $n$ -vertices have:  $(n-1)$  edges
- \*  $K$ -trees with total  $n$ -vertices have:  $(n-k)$  edges.  
 [Forest]
- \* A graph  $G$  with  $n$ -vertices &  $e$ -edges then the no. of edges in  $\bar{G}$  =  $(n c_2 - e)$  edges
- \* No. of edges must be removed from connected graph with  $n$ -vertices &  $e$ -edges to produce a spanning tree i.e. called "Circuit Rank of graph": Circuit Rank =  $e - (n-1)$  edges.
- \* No. of edges must be removed to produce Spanning forest of graph with  $n$ -vertices,  $e$ -edges &  $k$ -Components: =  $e - (n-k)$  edges.
- \* Minimum no. of edges contained in a simple graph with  $n$ -vertices to be connected:  $\frac{(n-1)(n-2)}{2} = n-1 c_2$
- \* No. of cutsets (bridges) are possible for a spanning tree with  $n$ -edges =  $n-1$  bridges

- \* Transitive closure by
  - a) Warshall's algorithm :  $2n^3$  bit operations.
  - b)  $n$ -boolean powers of matrices :  $2n^3(n-1)$  bit operations.
- \* Matrix multiplication  $[A_{n \times n} \& B_{n \times n} \Rightarrow (AB)_{n \times n}]$  :
  - a) Contains  $n^2$  entries
  - b) To find each entry  $n$ -multiplications &  $(n-1)$  additions required.
  - c) Total  $n^3$ -multiplications &  $n^2(n-1)$  additions.
- \* Equivalence relation (of vertex) gives component partition.
- \*  $K_n$  has at least  $(n-1)(\left[\frac{n}{2}\right] - 1)$  cycles.
- \* Bipartite graph contains even cycles.
- \* A multigraph with more than two odd vertices is not traversable. [Euler path not contains]
  - (start at one odd vertex & end at other)  
odd vertex for Euler path
- \* A finite connected graph is Eulerian iff each vertex has even degree.
- \* Chromatic number of  $K_n$   $[X(K_n) = n] = n$ .
- \* Bipartite graph is 2-colorable.
- \* Any planar graph is 4-colorable.
- \* Tree is 2-colorable.
- \* Equivalence relation in a connected graph gives like -
  - chromatic partition.
- \*  $K$ -cycle graph is 2-chromatic [2-colorable] ;  $K > 3$  ~~if K is even~~ -  
 $(K \rightarrow \text{Even})$  (Even length cycle.)  
  - ~~Covering of a graph~~ [If K is odd, then 3-colorable] with vertices have ~~at most~~  $\frac{K}{2}$  edges.
- \* A graph has hamiltonian cycles, if a graph has  $n$ -vertices then no. of edges:  $\geq \frac{1}{2}(n^2 - 3n + 2)$ 
 $\geq \frac{1}{2}(n-1)(n-2)$ 
 $E \geq (n-1)c_2$

- Two graphs are isomorphic, iff their complements are isomorphic.
- \* If  $G \& G'$  are isomorphic then
  1. The no. of vertices in  $G \& G'$  are same.
  2. The no. of edges in  $G \& G'$  are same.
  3. The degree sequence of  $G \& G'$  are same.
- \* The no. of different trees with vertex set  $\{v_1, v_2, \dots, v_n\}$  in which the vertices  $v_1, v_2, \dots, v_n$  has degrees  $d_1, d_2, \dots, d_n$  respectively : 
$$\frac{(n-2)!}{(d_1-1)!(d_2-1)!\dots(d_n-1)!}$$
- \* Multigraph is a "graph", if it has neither multiple edges nor loops.
- \* \* In multigraph, with  $n$ -vertices, the no. of edges is maximum not computable; because, multigraph contains multiple edges & they may finite or infinite. (22)
- \* The path b/w two vertices of a graph is an "Equivalence relation".
- \* The bridges of Konigsberg Problem is not traversable.
- \* \* Addition or deletion of loops from a multigraph results, ~~sometimes~~ the graph remains traversable or non-traversable.
- \* The complete bipartite graph  $K_{m,m}$  is a regular graph of degree  $m$ .
- \* A finite tree (with at least one edge) has atleast two vertices of degree 1.

~~Connected~~

Graph with  $n$ -vertices has at least  $(2^{n-1})$  Spanning trees.

~~Complete graph~~

~~with  $n$  vertices has  $(2^{n-1})$  Spanning trees.~~

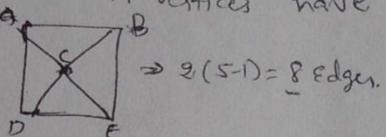
\* For a Complete graph with  $n$ -vertices, the maximum no. of different pairs possible b/w a pair of vertices is:  $\underline{(n-1)!}$

\*

Wheel graph

Ex:-

with  $n$ -vertices have:  $2(n-1)$  Edges



$$\Rightarrow 2(5-1) = 8 \text{ Edges.}$$

\*  $E(G) \cup E(\bar{G}) = K_n$  [ union of a graph & Complement of it is a (2 edges) Complete graph. ]

## Linear Algebra:

SIR'S

**Principle Diagonal:** In a Square matrix all elements  $a_{ij}$  for which  $i=j$  are called the Elements of Principle diagonal.

**Upper Δ<sup>le</sup> matrix:** A Square matrix in which all the Elements below the principle diagonal are Equal to zero.

**Lower Δ<sup>le</sup> matrix:** A Square matrix in which all the Elements above the principle diagonal are Equal to zero.

**Diagonal matrix:** Both Upper & Lower Δ<sup>le</sup> matrix  
i.e., All the Elements other than Elements of principle diagonal are zero.

**Scalar matrix:** A diagonal matrix with all Elements in principle diagonal are Equal.

**Idempotent matrix:** A is Square matrix  $\Rightarrow A^2 = A$  (28)

**Involutory matrix:** A is Square matrix  $\Rightarrow A^2 = I$

**Nil potent matrix:** A is Square matrix  $\Rightarrow A^m = 0$   
where m is the least positive integer and  
m is also called Index of nil potent matrix

- If A is any matrix:
  - 1)  $(A^T)^T = A$
  - 2)  $(A+B)^T = A^T + B^T$ ; A & B are same type
  - 3)  $(kA)^T = k \cdot A^T$ ; k is complex number
  - 4)  $(AB)^T = B^T A^T$ ; A & B are multiplicable.

**Symmetric matrix:** A is a Square matrix  $\Rightarrow A^T = A$

**Skew-Symmetric matrix:** A is square matrix;  $\Rightarrow A^T = -A$

**Conjugate matrix of A:** A is any matrix and replacing the elements by the corresponding Conjugate Complex numbers.

$$A = \begin{bmatrix} 2+3i & 4+7i & 5 \\ 2i & 3 & 9-i \end{bmatrix} \Rightarrow B = \begin{bmatrix} 2-3i & 4-7i & 5 \\ -2i & 3 & 9+i \end{bmatrix}$$

- Transposed conjugate of a matrix :  $(\bar{A})^T$   
 $(A^\theta \text{ or } A^*)$
- Hermitian matrix :  $A^* = A$   
 \* Every diagonal Elements of Hermitian matrix is real number.
- Skew-Hermitian matrix :  $A^* = -A$   
 \* Diagonal Elements of Skew-Hermitian matrix must be either pure imaginary numbers or zero
- Unitary Matrix :  $A^\theta \cdot A = I$  ; [Square matrix 'A' with Complex numbers]  
 \* Elements
- Orthogonal Matrix :  $A^T \cdot A = I$  ; A is Square matrix  $[A^T = \bar{A}^1]$
- Boolean Matrix : Any matrix with Elements either 0 or 1.
- Sparse matrix : More no. of Elements in matrix are zeros.
- Dense matrix : Otherwise it is not sparse matrix.
- If  $AB$  exists then  $BA$  may or may not exist.
- If  $AB \neq BA$  exists then they need not be equal [Not Commutative].
- If  $AB=0$  then either A or B need not be equal to 0.

Cofactor : If  $A_{ij}$  is an element of a square matrix A, then  
 minor  
 the product of  $(-1)^{i+j}$  and  $A_{ij}$  is called "Cofactor of  $A_{ij}$ ".

If A is square matrix : then  $|A| = |AT|$  (determinant)

Singular matrix :  $|A|=0$  [determinant of A = 0]

Non-Singular matrix :  $|A| \neq 0$ .

\* If A & B are square matrix of same order then  $|AB| = |A| \cdot |B|$

Adjoint matrix : Transpose of cofactors matrix.

An invertible matrix has unique inverse.

An invertible matrix has unique inverse ;  $(B^{-1})^{-1} = B$

If A is invertible then  $A^{-1}$  is also invertible ;  $(A^{-1})^{-1} = A$

- $A \& B$  are two invertible matrices of same type :  $(AB)^{-1} = B^{-1}A^{-1}$
- $A^{-1} = \text{Adj} A / |A|$ ;  $A$  is non-singular matrix.
- If  $A$  is invertible, then  $|A^{-1}| = \frac{1}{|A|}$  (determinant)
- \* If  $A \& B$  are non-singular matrices of same type then  
 $\text{Adj}(AB) = (\text{Adj}B) * (\text{Adj}A)$
- \* If  $A$  is a squarematrix of type  $n$  then  $|\text{Adj}A| = (\det A)^{n-1}$   
and  $|\text{Adj}(\text{Adj}A)| = |A|^{(n-1)^2} = |A|^{n-1}$
- Subset of linearly independent set is linearly independent.
- $A$  is a matrix of order  $m \times n$ , if there is a minor of order  $k$ . [ $k \leq m \& k \leq n$ ], which is not zero then  $\rho(A) \geq k$
- \* Rank of a matrix does not change by pre-multiplication or post-multiplication with a non-singular matrix.
- The System  $AX=B$  :
  - 1) If  $\rho(A) = \rho(AB) = \text{no. of variables}$ ; Unique solution.
  - 2) If  $\rho(A) = \rho(AB) < \text{no. of variables}$ , Infinite Solutions.
  - 3) If  $\rho(A) \neq \rho(AB)$ ; No Solution.  
[Inconsistent].  $\textcircled{D}$
- \* The System  $AX=0$  :
  - 1) If  $\rho(A) = \text{no. of variables}$ ; Unique Solution (Trivial/zero)
  - 2) If  $\rho(A) < \text{no. of variables}$ ;  
 $\begin{cases} \infty \\ \text{(Linearly independent vectors)} \end{cases}$  Infinite Solutions [non-trivial]  
 $\begin{cases} \infty \\ \text{(Linearly independent vectors)} \end{cases}$   $\begin{cases} \infty \\ \text{(Linearly independent vectors)} \end{cases}$   $\begin{cases} \infty \\ \text{(Linearly independent vectors)} \end{cases}$
- The System  $AX=B$  is Consistent, if  $\rho(A) = \rho(AB)$ .
- $|A - \lambda I| = 0$  is called "Characteristic Equation of  $A$ ".  
(squarematrix)
- The roots of Characteristic Equation are called "Eigenvalues"  
[latent/characteristic roots]
- The Set of Eigen values of matrix called "Spectrum of matrix".
- The matrix order  $n$  will have  $n$ . latent roots.
- The matrix equation  $(A - \lambda I)x = 0$  satisfies the matrix equation  $(A - \lambda I)x = 0$
- \*  $x = \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{bmatrix}$ , which is called corresponding "Eigen vector of the matrix  $A$ ".

- \* Sum of Eigen values of a matrix is equal to the sum of elements of principal diagonal.
- \* Product of Eigen values = determinant of its matrix.
- If  $\lambda$  is an Eigen value of  $A$  then  $\lambda^m$  is the Eigen value of  $A^m$ .
- If  $\lambda$  is Eigen value of an orthogonal matrix then  $\lambda$  is also its Eigen value. [ $A^T = A^{-1}$ ] [Eigen values of  $A$  and  $A^T$  are same].
- \* If  $\lambda_1, \lambda_2, \dots, \lambda_n$  are Eigen values of matrix  $A$ , then  $A^m$  has Eigen values  $\lambda_1^m, \lambda_2^m, \dots, \lambda_n^m$ .
- \* If a matrix is either lower or upper triangular then the principal diagonal elements are called "Eigen values".
- The Eigen values of Symmetric matrix are "purely real".
- The Eigen values of Skew-Symmetric matrix are called "purely imaginary" or "zero's".
- Matrix Inverse method : If  $AX=B$  then  $X=A^{-1}B$
- Crammer's Rule : If  $AX=B$  then  $x = \frac{1}{\Delta} \begin{vmatrix} b_1 & a_{12} & a_{13} \\ b_2 & a_{21} & a_{23} \\ b_3 & a_{31} & a_{33} \end{vmatrix}, y = \frac{1}{\Delta} \begin{vmatrix} a_{11} & b_1 & a_{13} \\ a_{21} & b_2 & a_{23} \\ a_{31} & b_3 & a_{33} \end{vmatrix}$   
and  $z = \frac{1}{\Delta} \begin{vmatrix} a_{11} & a_{12} & b_1 \\ a_{21} & a_{22} & b_2 \\ a_{31} & a_{32} & b_3 \end{vmatrix}; X = \begin{bmatrix} x \\ y \\ z \end{bmatrix} \xrightarrow{\Delta \neq 0}$
- Gauss-Jordan Method : If  $AX=B$ , then  $[A|B]$  can be reduced to the form  $[I|B_1]$  by using Elementary row transformation then  $X=B_1$ .
- \* If  $A$  is a ~~order~~ matrix with order  $m \times n$  then  $p(A) \leq \min(m, n)$ .
- \* If  $A$  is a ~~order~~ matrix with order  $m \times n$  then  $p(A) \leq \min(m, n)$ .
- Rank of a matrix is the largest order of any non-vanishing minor of ~~order~~ matrix.

\* If  $A$  is square matrix then 1)  $A+A^T$  is symmetric

2)  $A-A^T$  is skew-symmetric

3)  $A \cdot A^T$  is symmetric

4)  $A = \frac{1}{2}(A+A^T) + \frac{1}{2}(A-A^T)$

If  $A$  &  $B$  are symmetric then:

1)  $AB+BA$  is symmetric

2)  $AB-BA$  is skew-symmetric

3)  $A^n$  &  $B^n$  are symmetric

If  $A$  is skew-symmetric then:

1)  $A^n$  is symmetric if  $n$  is even

2)  $A^n$  is skew-symmetric if  $n$  is odd.

The Eigen values of  $A$  &  $A^T$  are same.

The Determinant of orthogonal matrix  $(A)$  has absolute value 1  
 $|A| = \pm 1$

If  $\lambda_1, \lambda_2, \dots, \lambda_m$  are eigen values of  $A$  then

1. Eigen value of  $KA$  are  $k\lambda_1, k\lambda_2, \dots, k\lambda_m$

2. Matrix  $B^n$  has eigen values  $\lambda_1^n, \lambda_2^n, \dots, \lambda_m^n$

3. Matrix  $A+kI$  has eigen values  $\lambda_1+k, \lambda_2+k, \dots, \lambda_n+k$

4.  $(A-kI)^n$  has eigen values  $(\lambda_1-k)^n, (\lambda_2-k)^n, \dots, (\lambda_n-k)^n$

If  $A$  is non-singular, then  $\text{rank}(\text{adj}(A)) = \text{rank}(A)$

If  $\rho(A) = n-1$  then  $\rho(A^T A) = 1$

If  $\rho(A) \leq n-2$  then  $\rho(\text{adj} A) = 0$ .

If  $A$  is square matrix of order  $n$ , then  $|KA| = k^n |A|$

If  $A$  is square matrix of order  $n$ , then product  $AB$  requires:  
 1)  $mnp$  multiplications  
 2)  $mgn - DP$  Additions  
 3) For each entry,  
 $n \cdot$  multiplications  
 $(n-1)$  Additions.

$A_{m \times n} \in B_{n \times p}$

## Numerical Methods:

\* LU Decomposition of Systems of Linear Equations:  
 [Crout's triangulation / Cholesky's Method]

$$\begin{aligned} a_{11}x_1 + a_{12}x_2 + a_{13}x_3 &= b_1 \\ a_{21}x_1 + a_{22}x_2 + a_{23}x_3 &= b_2 \\ a_{31}x_1 + a_{32}x_2 + a_{33}x_3 &= b_3 \end{aligned}$$

$$A = \begin{bmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{bmatrix}, \quad X = \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix}, \quad B = \begin{bmatrix} b_1 \\ b_2 \\ b_3 \end{bmatrix}$$

\* Principle minors of A are non-singular

$$\boxed{AX = B} \Rightarrow \boxed{A = LU}$$

$$\Rightarrow \boxed{LUX = B}$$

$$\text{Let } \boxed{UX = V} \Rightarrow \boxed{LV = B}$$

$$A = LU \Rightarrow \begin{bmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 \\ l_{21} & 1 & 0 \\ l_{31} & l_{32} & 1 \end{bmatrix} \begin{bmatrix} u_{11} & u_{12} & u_{13} \\ 0 & u_{22} & u_{23} \\ 0 & 0 & u_{33} \end{bmatrix}$$

Order of Computation:

(i) First row of U  $\Rightarrow u_{11} = a_{11}; u_{12} = a_{12}; u_{13} = a_{13}$

(ii) First Column of L  $\Rightarrow l_{21} = \frac{a_{21}}{a_{11}}; l_{31} = \frac{a_{31}}{a_{11}}$

(iii) Second row of U  $\Rightarrow u_{22} = a_{22} - \frac{a_{21}}{a_{11}} \cdot a_{12}$

(iv) Second Column of L  $\Rightarrow l_{32} = \frac{1}{u_{22}} \left[ a_{32} - \frac{a_{31}}{a_{11}} \cdot a_{12} \right]$

$u_{33} = a_{33} - l_{31} \cdot u_{13} - l_{32} \cdot u_{23}$

$$\text{Ex:- } 3x + 2y + 7z = 4$$

$$2x + 3y + z = 5 \Rightarrow \boxed{A = LU} \Rightarrow \begin{bmatrix} 3 & 2 & 7 \\ 2 & 3 & 1 \\ 3 & 4 & 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 \\ l_{21} & 1 & 0 \\ l_{31} & l_{32} & 1 \end{bmatrix} \begin{bmatrix} u_{11} & u_{12} & u_{13} \\ 0 & u_{22} & u_{23} \\ 0 & 0 & u_{33} \end{bmatrix}$$

$$3x + 4y + z = 7$$

(i) First row of U:  $u_{11} = 3, u_{12} = 2, u_{13} = 7$

(ii) First Column of L:  $l_{21} u_{11} = 2 \Rightarrow l_{21} = \frac{2}{3} \quad \& \quad l_{31} u_{11} = 3 \Rightarrow l_{31} = 1$

(iii) Second row of U:  $l_{21} u_{12} + u_{22} = 3 \Rightarrow u_{22} = \frac{5}{3} \quad \& \quad l_{21} u_{13} + u_{23} = 1 \Rightarrow l_{23} = \frac{1}{2}$

(iv) Second Column of L:  $l_{31} u_{12} + l_{32} u_{22} = 4 \Rightarrow l_{32} = \frac{6}{5}$

(v) Third row of U:  $l_{31} u_{13} + l_{32} u_{23} + u_{33} = 1 \Rightarrow u_{33} = -\frac{8}{5}$

$$\Rightarrow A = LU \Rightarrow \begin{bmatrix} 3 & 2 & 7 \\ 2 & 3 & 1 \\ 3 & 4 & 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 \\ \frac{2}{3} & 1 & 0 \\ \frac{1}{3} & \frac{6}{5} & 1 \end{bmatrix} \begin{bmatrix} 3 & 2 & 7 \\ 0 & \frac{5}{3} & \frac{-1}{3} \\ 0 & 0 & -\frac{8}{5} \end{bmatrix}$$

$$\Rightarrow \boxed{LV = B} \Rightarrow \begin{bmatrix} 1 & 0 & 0 \\ 2/3 & 1 & 0 \\ 1 & 6/5 & 1 \end{bmatrix} \begin{bmatrix} V_1 \\ V_2 \\ V_3 \end{bmatrix} = \begin{bmatrix} 4 \\ 5 \\ 7 \end{bmatrix} \Rightarrow V_1 = 4$$

$$V_2 = \frac{7}{3}$$

$$V_3 = \frac{1}{5}$$

$$\Rightarrow \boxed{UX = V} \Rightarrow \begin{bmatrix} 3 & 2 & 7 \\ 0 & 5/3 & -11/3 \\ 0 & 0 & -8/5 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \end{bmatrix} = \begin{bmatrix} 4 \\ 7/3 \\ 1/5 \end{bmatrix} \Rightarrow x = 7/8$$

$$y = 9/8$$

$$z = -1/8$$

\* Regular False Method : [Method of false position]

- Successive iteration takes last two iterations, one is +ve and other is -ve.

\* We cannot find complex roots. B1

\* Order of convergence = 1 (Linear / First order)

$$x_i = \frac{a \cdot f(b) - b \cdot f(a)}{f(b) - f(a)} ; \text{ If } f(a) \cdot f(b) < 0.$$

\* Secant Method : [Modified version of Regula falsi / Interpolation]

- Successive iteration takes last two iterations ; i.e., both may be +ve, or both may be -ve, or one is +ve and other is -ve.

\* We can find complex roots.

- There is no guarantee to convergence

- Faster convergence.

$$x_3 = \frac{x_1 \cdot f(x_2) - x_2 \cdot f(x_1)}{f(x_2) - f(x_1)}$$

\* Order of convergence = 1.62

### \* Bisection / Binary Chopping / Half-Interval / Bolzano method :

- Successive iteration takes last two iterations, where  
One is +ve & other is -ve.  
 $f(x_1)$                     $f(x_2)$
- Convergence is slow, but sure
- \* Rate of convergence = 1 [Linear/First order]
- One function evaluated per iteration
- \* It is not used for finding complex roots of equation
- After n-bisections, the length of subinterval, which contains  $x_n = \frac{b-a}{2^n}$
- If the error is to be made less than a small quantity " $\epsilon$ ",  $\frac{b-a}{2^n} < \epsilon \Rightarrow 2^n > \frac{b-a}{\epsilon}$
- No. of iterations "n" should be  $> \frac{\log(b-a)}{\log 2}$

If  $f(x_1) \cdot f(x_2) < 0$ ; 
$$x_i = \frac{x_1+x_2}{2}$$

### \* Newton Raphson Method : [Tangent Method]

- \* Order of convergence = 2 [quadratic]
- \* is best method, to find complex roots of equation
- Two functions are evaluated per iteration
- \* - Choice of  $x_0$  is very important for convergence
- If  $f(a) \cdot f(b)$  are of opposite signs, a root of  $f(x)=0$  lies b/w "a" and "b". This idea can be used to fix the approximate root.
- $|f(x) \cdot f''(x)| < |f'(x)|^2$ ; condition for convergence.

- When  $f'(x)$  is very large, the correct value of root can be found out with minimum no. of iterations.
- The error at any stage is proportional to the square of error of previous stage.

\* If  $f'(x_0) \neq 0 \& f''(x_0) \neq 0$

$$\boxed{x_{n+1} = x_n - \frac{f(x_n)}{f'(x_n)}}$$

$$* \sqrt[p]{N} \Rightarrow x_{n+1} = \frac{1}{p} \left[ (p-1)x_n + \frac{N}{x_n^p} \right]$$

$$* \frac{1}{\sqrt[p]{N}} \Rightarrow x_{n+1} = \frac{x_n}{p} \left[ (p+1) - N \cdot x_n^p \right]$$

(2)

\* In general,

If  $\alpha$  is exact root,  $e_i = x_i - \alpha$   
 $e_{i+1} = x_{i+1} - \alpha$

$$\text{If } p \geq 1 : \boxed{|e_{i+1}| \leq |e_i|^p \cdot k}$$

$k \rightarrow$  Positive Constant for every  $i$

$p=1 \Rightarrow$  Convergence is linear.  $p \rightarrow$  Order of Convergence

$p=2 \Rightarrow$  Convergence is quadratic.

## Numerical Integration:

$$\int_{x_0}^{x_n} y \cdot dx = n \cdot h \left[ y_0 + \frac{h}{2} \Delta y_0 + \frac{n(2n-3)}{12} \Delta^2 y_0 + \frac{n(n-2)}{24} \Delta^3 y_0 + \dots \right]$$

\* Trapezoidal Method [n=1] :  $\int_{x_0}^{x_n} = \int_{x_0}^{x_1} + \int_{x_1}^{x_2} + \dots + \int_{x_{n-1}}^{x_n}$

$$\int_{x_0}^{x_n} y \cdot dx = \frac{h}{2} [(y_0 + y_n) + 2(y_1 + y_2 + \dots + y_{n-1})]$$

- It gives Exact result when the integrand is polynomial of degree 0 or 1.

- Error =  $-\frac{h^3}{12} \cdot f''(0) - \frac{h^4}{24} \cdot f'''(0)$

- Error is order of  $h^3$  & Error term is  $h^3$ .

- If tabulation is halved then Error is reduced by factor 8.

## \* Simpson's Rule:

- It gives Exact result when Polynomial of degree  $\leq 3$ .

- Error =  $-\frac{h^5}{90} \cdot f'''(0)$

- Trapezoidal with  $2n$  points equal to Simpson with  $n$  points.

\* Simpson's Rule: [n=2;  $\int_{x_0}^{x_n} = \int_{x_0}^{x_2} + \int_{x_2}^{x_4} + \int_{x_4}^{x_6} + \dots + \int_{x_{n-2}}^{x_n}$ ]

$$\int_{x_0}^{x_n} y \cdot dx = \frac{h}{3} [(y_0 + y_n) + 2(y_2 + y_4 + \dots + y_{n-2}) + 4(y_1 + y_3 + y_5 + \dots + y_{n-1})]$$

\* Simpson's Rule: [n=3;  $\int_{x_0}^{x_n} = \int_{x_0}^{x_3} + \int_{x_3}^{x_6} + \dots + \int_{x_{n-3}}^{x_n}$ ]

$$\int_{x_0}^{x_n} y \cdot dx = \frac{3h}{8} [(y_0 + y_n) + 2(y_3 + y_6 + \dots + y_{n-3}) + 3(y_1 + y_2 + y_4 + \dots + y_{n-2})]$$

## Calculus:

\* Continuity:  $\lim_{x \rightarrow a^+} f(x) = \lim_{x \rightarrow a^-} f(x) = f(a)$

\* Differentiability:  $\lim_{h \rightarrow 0^+} \frac{f(a+h) - f(a)}{h} = f'(a) = \lim_{h \rightarrow 0^-} \frac{f(a-h) - f(a)}{-h}$ ; at  $x=a$

\* Limit Existant at a point:

$$\lim_{x \rightarrow a^-} f(x) = \lim_{h \rightarrow 0} f(a-h) \quad \& \quad \lim_{x \rightarrow a^+} f(x) = \lim_{h \rightarrow 0} f(a+h)$$

$\therefore \lim_{x \rightarrow a} f(x)$  exists, iff both L.H.L & R.H.L exists.

\* If  $f(x)$  is differentiable at  $(a, b)$ , then it always  
continuous at  $[a, b]$ , but need not be converse.

(3)

\* Rolle's Mean Value Theorem:

If 1)  $f$  is continuous in  $[a, b]$ .

2)  $f$  is derivable in  $(a, b)$

3)  $f(a) = f(b)$

and, then  $\exists c \in (a, b), \exists [f'(c) = 0]$

\* Lagrange's Mean Value Theorem:

If 1)  $f$  is continuous in  $[a, b]$

2)  $f$  is derivable in  $(a, b)$

3)  $f(a) \neq f(b)$

then  $\exists c \in (a, b), \exists$

$$[f'(c) = \frac{f(b) - f(a)}{b - a}]$$

\* Cauchy's Mean Value Theorem:

Let  $f(x)$  &  $g(x)$  are two functions.

If 1)  $f$  &  $g$  are continuous in  $[a, b]$

2)  $f$  &  $g$  are derivable in  $(a, b)$

\* 3)  $g'(x) \neq 0$ ,  $\forall x \in (a, b)$

then

$$\exists c \in (a, b), \exists \boxed{\frac{f'(c)}{g'(c)} = \frac{f(b)-f(a)}{g(b)-g(a)}}$$

\* Taylor's Mean Value Theorem:

Let  $f(x)$  be any function, ~~sufficiently~~

If 1)  $f^{n-1}$  is continuous in  $[a, a+h]$

2)  $f^{n-1}$  is derivable in  $(a, a+h)$

then  $\exists \theta \in (0, 1), \exists$

$$f(a+h) = f(a) + h \cdot f'(a) + \frac{h^2}{2!} f''(a) + \dots + \frac{h^{n-1}}{(n-1)!} f^{n-1}(a) + \frac{h^n}{n!} f^n(\theta)$$

$$f(x) = f(0) + x \cdot f'(0) + \frac{x^2}{2!} f''(0) + \dots$$

## \*Derivatives:

$$\frac{d}{dx}(uv) = u \cdot \frac{dv}{dx} + v \cdot \frac{du}{dx}$$

$$\frac{d}{dx}\left(\frac{u}{v}\right) = \frac{v \cdot \frac{du}{dx} - u \cdot \frac{dv}{dx}}{v^2}$$

$$\frac{du}{dx} = \frac{du}{dy} \cdot \frac{dy}{dx} \quad [\text{chain Rule}]$$

$$\frac{d(ax+b)^n}{dx} = n \cdot (ax+b)^{n-1} \cdot a.$$

$$\frac{d}{dx}[f(x)]^n = n \cdot [f(x)]^{n-1} \cdot f'(x)$$

$$\frac{d}{dx} e^x = e^x$$

$$\frac{d}{dx} a^x = a^x \cdot \log a$$

$$\frac{d}{dx} \log_e^x = \frac{1}{x}$$

$$\frac{d}{dx} \log_a^x = \frac{1}{x \log_e^a} = \frac{1}{x} \log_e^a$$

$$\frac{d}{dx} \sinh x = \cosh x$$

$$\frac{d}{dx} \cosh x = \sinh x.$$

$$\frac{d}{dx} (\sin x) = \cos x$$

$$\frac{d}{dx} (\cos x) = -\sin x$$

$$\frac{d}{dx} (\tan x) = \frac{1}{1+x^2}$$

$$\frac{d}{dx} (\cot x) = \sec^2 x$$

$$\frac{d}{dx} (\csc x) = -\operatorname{cosec}^2 x$$

$$\frac{d}{dx} (\sec x) = \sec x \tan x$$

$$\frac{d}{dx} (\operatorname{cosec} x) = -\operatorname{cosec} x \cot x$$

$$\frac{d}{dx} (\sin^{-1} x) = \frac{1}{\sqrt{1-x^2}}$$

$$\frac{d}{dx} (\cos^{-1} x) = \frac{-1}{\sqrt{1-x^2}} \quad (Q4)$$

$$\frac{d}{dx} (\cot^{-1} x) = \frac{-1}{1+x^2}$$

$$\frac{d}{dx} \sec^{-1} x = \frac{1}{x \sqrt{x^2-1}}$$

$$\frac{d}{dx} \operatorname{cosec}^{-1} x = \frac{-1}{x \sqrt{x^2-1}}$$

$$\begin{aligned} \sinh x &= \frac{e^x - e^{-x}}{2} \\ \cosh x &= \frac{e^x + e^{-x}}{2} \end{aligned}$$

\* Integrals :

$$\int x^n \cdot dx = \frac{x^{n+1}}{n+1} ; (n \neq -1) ; \int \frac{1}{a^x+x^y} = \frac{1}{a} \cdot \tan^{-1} \frac{x}{a}$$

$$\int \frac{1}{x} \cdot dx = \log_e x ; \int \frac{1}{a^x-x^y} = \frac{1}{2a} \cdot \log \left[ \frac{a+x}{a-x} \right]$$

$$\int e^x \cdot dx = e^x ; \int \frac{1}{x-a^y} = \frac{1}{2a} \cdot \log \left[ \frac{x-a}{x+a} \right]$$

$$\int a^x = \frac{a^x}{\log a} ; \int \frac{dx}{\sqrt{a^x-x^y}} = \sin^{-1} \frac{x}{a}$$

$$\int \sin x = -\cos x ; \int \frac{1}{\sqrt{a^x+x^y}} = \sinh^{-1} \frac{x}{a}$$

$$\int \cos x = \sin x$$

$$\int \tan x = -\log \cos x = \log \sec x ; \int \frac{1}{\sqrt{x^y-a^y}} = \cosh^{-1} \frac{x}{a}$$

$$\int \cot x = \log \sin x$$

$$\int \sinh x = \cosh x$$

$$\int \sec x = \log(\sec x + \tan x)$$

$$\int \cosh x = \sinh x$$

$$* \int \operatorname{cosec} x = \log(\operatorname{cosec} x - \cot x)$$

$$\int e^{ax} \sin bx = \frac{e^{ax}}{a^2+b^2} (a \sin bx - b \cos bx)$$

$$\int \sec^y x = \tan x$$

$$\int e^{ax} \cos bx = \frac{e^{ax}}{a^2+b^2} (a \cos bx + b \sin bx)$$

$$\int \operatorname{cosec}^y x = -\cot x$$

$$\int \sqrt{a^x-x^y} = \frac{x}{2} \cdot \sqrt{a^x-x^y} + \frac{a^y}{2} \sin^{-1} \frac{x}{a}$$

$$\int \sqrt{a^x+x^y} = \frac{x}{2} \cdot \sqrt{a^x+x^y} + \frac{a^y}{2} \sinh^{-1} \frac{x}{a}$$

$$\int \sqrt{x^y-a^y} = \frac{x}{2} \cdot \sqrt{x^y-a^y} - \frac{a^y}{2} \cosh^{-1} \frac{x}{a}$$

$$\int \frac{f'(x)}{f(x)} \cdot dx = \log [f(x)]$$

$$r \int [f(x)]^n \cdot f'(x) \cdot dx = \frac{[f(x)]^{n+1}}{n+1}$$

## \* Definite Integrals :

1) If  $f(x)$  is continuous in  $[a, b]$  and  $F(x)$  is any antiderivative of  $f(x)$  in  $[a, b]$  then:

$$\int_a^b f(x) dx = F(b) - F(a)$$

2) If  $f(x)$  is continuous on  $[a, b]$  then  $F(x) = \int_a^x f(t) dt$  is differentiable at every point of  $x$  in  $[a, b]$  and

$$\frac{dF}{dx} = \frac{d}{dt} \int_a^b f(t) dt = f(x)$$

i.e., If  $f(x)$  is continuous on  $[a, b]$  then  $\exists$  a function  $F(x)$  whose derivative on  $[a, b]$  is  $f(x)$ .

3). If  $f(x)$  is continuous on  $[a, b]$  and  $u(x)$  and  $v(x)$  are differentiable functions of  $x$  whose values

lie in  $[a, b]$  then

$$\frac{d}{dx} \int_{u(x)}^{v(x)} f(t) dt = f(v(x)) \cdot \frac{dv}{dx} - f(u(x)) \cdot \frac{du}{dx}$$

(35)

$$\int_a^b f(x) dx = - \int_b^a f(x) dx$$

$$\int_a^b f(x) dx = \int_a^c f(x) dx + \int_c^b f(x) dx ; \text{ if } a < c < b$$

$$* \int_0^a f(x) dx = \int_0^{a-x} f(a-x) dx ; \text{ If } f(x) \text{ is Even function} \\ f(-x) = f(x)$$

$$* \int_{-a}^a f(x) dx = 2 \cdot \int_0^a f(x) dx ; \text{ If } f(x) \text{ is Odd function} \\ f(-x) = -f(x)$$

$$* \int_a^b f(x) dx = \int_a^{b-a} f(a+b-x) dx ; \text{ If } f(2a-x) = f(x)$$

$$* \int_0^{2a} f(x) dx = 2 \int_0^a f(x) dx ; \text{ If } f(2a-x) = -f(x)$$

$$\int_0^{na} f(x) dx = n \cdot \int_0^a f(nx) dx ; \text{ If } f(x+a) = f(x)$$

[ $f(x)$  is periodic function with period  $a$ ]

$$*\int_0^a x \cdot f(x) dx = \frac{a}{2} \cdot \int_0^a f(x) dx ; \text{ If } f(a-x) = f(x)$$

$$*\int_0^{\pi/2} \sin^n x dx = \int_0^{\pi/2} \cos^n x dx = \frac{(n-1)(n-3)(n-5)\dots \cancel{(n-3)}}{n(n-2)(n-4)\dots} \times K$$

Where  $K = 1$  ; If  $n$  is odd

$K = \frac{\pi}{2}$  ; If  $n$  is even

$$*\int_0^{\pi/2} \sin^m x \cdot \cos^n x dx = \frac{[(m-1)(m-3)(m-5)\dots][n-1)(n-3)(n-5)\dots]}{(m+n)(m+n-2)(m+n-4)\dots} \times K$$

Where  $K = \frac{\pi}{2}$  ; If both  $m$  &  $n$  are even

$K = 1$  ; Otherwise.

## Improper Integrals:

•  $\int_a^b f(x) dx$  is "improper integral";

1) If  $a = -\infty$  or  $b = \infty$  or both

2) If  $f(x) = \infty$  for one or more values of  $x$  in  $[a, b]$ .

•  $\int_a^b f(x) dx$  is "convergent", if the value of integral is finite.

•  $\int_a^b f(x) dx$  is "Divergent", if the value of integral is infinite.

\* If  $0 \leq f(x) \leq g(x)$  ;  $\forall x$  and  $\int_a^\infty g(x) dx$  is converges  
then  $\int_a^\infty f(x) dx$  is also converges.

\* If  $0 \leq g(x) \leq f(x)$  ;  $\forall x$  and  $\int_a^\infty g(x) dx$  is diverges

then  $\int_a^\infty f(x) dx$  is also diverges.

If  $f(x)$  &  $g(n)$  are two functions,  $\exists \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = K$

(where  $K = \text{finite} \neq \infty$ ) then  $\int_a^\infty f(x) dx \approx \int_a^\infty g(x) dx$  are

"Converge" or "diverge" together.

$\int_1^\infty \frac{dx}{x^p}$  is "converges", if  $p > 1$  & "diverges", if  $p \leq 1$

$\int_a^\infty e^{-px} dx$  &  $\int_b^\infty e^{px} dx$  are converges for any constant  $p > 0$

and diverges for  $p \leq 0$ .

$\int_a^b \frac{1}{(b-x)^p} dx$  is convergent iff  $p < 1$

$\int_a^b \frac{1}{(x-a)^p} dx$  is converges iff  $p < 1$

## Partial Derivatives:

- If  $u = f(x, y)$ , then  $\frac{\partial u}{\partial x} = \lim_{h \rightarrow 0} \frac{[f(x+h, y) - f(x, y)]}{h}$

and  $\frac{\partial u}{\partial y} = \lim_{k \rightarrow 0} \frac{[f(x, y+k) - f(x, y)]}{k}$

- If  $u = f(x, y)$ , where  $x = g(s, t)$  and  $y = h(s, t)$  then

$$\frac{\partial u}{\partial s} = \frac{\partial u}{\partial x} \cdot \frac{\partial x}{\partial s} + \frac{\partial u}{\partial y} \cdot \frac{\partial y}{\partial s}$$

$$\frac{\partial u}{\partial t} = \frac{\partial u}{\partial x} \cdot \frac{\partial x}{\partial t} + \frac{\partial u}{\partial y} \cdot \frac{\partial y}{\partial t}$$

- If  $u = f(x, y)$  is a homogeneous function of degree  $n$ , then:  $x \cdot u_x + y \cdot u_y = n \cdot u$ .

- If  $u = f(x, y, z)$  is a homogeneous function of degree  $n$ ,

then:  $x \cdot u_x + y \cdot u_y + z \cdot u_z = n \cdot u$ .

$x^2 u_{xx} + 2xy \cdot u_{xy} + y^2 u_{yy} = n(n-1) u$ .

- If  $u = f(x, y)$  is ~~not~~ homogeneous function, but  $F(u)$  is a homogeneous function of degree  $n$ .

then:  $x \cdot u_x + y \cdot u_y = n \cdot \left[ \frac{F(u)}{F'(u)} \right] = G(u)$

$$x^2 u_{xx} + 2xy \cdot u_{xy} + y^2 u_{yy} = G(u) [G'(u)-1]$$

- If  $u = f(x, y) \pm g(x, y) \pm h(x, y)$ , where  $f, g$  &  $h$  are homogeneous functions of degree  $m, n$  &  $p$  respectively,

then:  $x \cdot u_x + y \cdot u_y = m \cdot f \pm n \cdot g \pm p \cdot h$

$$x^2 u_{xx} + 2xy \cdot u_{xy} + y^2 u_{yy} = m(m-1)f \pm n(n-1)g \pm p(p-1)h$$

- If  $u = f(r)$  &  $r = f(x, y, z)$  then

$$u_{xx} + u_{yy} + u_{zz} = f''(r) + \frac{2}{r} \cdot f'(r)$$

## Total Derivatives:

- \* If  $u = f(x, y)$ , where  $x$  &  $y$  are functions of  $t$   
then the total derivative of  $u$  w.r.t  $t$  is:

$$\boxed{\frac{du}{dt} = \frac{\partial u}{\partial x} \left( \frac{dx}{dt} \right) + \frac{\partial u}{\partial y} \left( \frac{dy}{dt} \right)}$$

Let  $x=t$ ,

$$\boxed{\frac{du}{dx} = \frac{\partial u}{\partial x} + \frac{\partial u}{\partial y} \cdot \frac{dy}{dx}} ; \text{ where } x \text{ & } y \text{ are connected-} \\ \text{-by some relation.}$$

## Maxima & Minima: [Extremum]

(3A)

- \* 1)  $f'(x) \neq 0$  ; Find stationary points:  $(x_i)$

- \* (i)  $f'(x) = 0$  & find at  $x_i$  is minimum.  $[f''(x_i) > 0]$
- (ii) If  $f''(x_i) > 0$  then at  $x_i$  is maximum.  $[f''(x_i) < 0]$
- (iii) If  $f''(x_i) = 0$  then at  $x_i$  is not Extremum.

- \* 2)  $f'(x) = 0 \text{ & } f''(x) = 0$  ;

- (i)  $x=a$  is minimum, If  $f'''(a) = 0 \text{ & } f''''(a) > 0$
- (ii)  $x=a$  is maximum, If  $f'''(a) = 0 \text{ & } f''''(a) < 0$

Case 1: If  $f(x)$  changes sign from +ve to -ve  
as  $x$  passes through 'c' then  $f(c)$  is maximum.

Case 2: If  $f(x)$  changes sign from -ve to +ve  
as  $x$  passes through 'c' then  $f(c)$  is minimum.

Case 3: If  $f(x)$  does not change sign as  $x$  passes  
through 'c' then  $f(c)$  is not Extremum.

\* For 2-variable functions :

- \* (i)  $f_x = 0 \text{ & } f_y = 0$ ; Find Stationary points Simutaneously.
- (ii) If  $f_{xx} f_{yy} - f_{xy}^2 > 0$  & a) If  $f_{xx} > 0$  then  $f(x)$  is min at  $\alpha$   
b) If  $f_{xx} < 0$  then  $f(x)$  is maximum  
c) If  $f_{xx} = 0$  then  $x = \alpha$  is Saddle point.  
(Neither min nor max)
- (iii) If  $f_{xx} f_{yy} - f_{xy}^2 < 0$  then

Ex:

\* To find Extremum of  $f(x, y, z)$ , where  $x, y \text{ & } z$  are connected with relation  $\phi(x, y, z) = 0$ .

then (i)  $F = f(x, y, z) + \lambda \cdot [\phi(x, y, z)]$

(ii) Find  $x, y \text{ & } z$  values as follows :

Take  $F_x = 0, F_y = 0 \text{ & } F_z = 0$  relations (in  $x, y \text{ & } z$ )

Substitute those relations in  $\phi(x, y, z)$  to find the values of  $x, y \text{ & } z$

(iii) Extremum gets by substituting  $x, y \text{ & } z$  in given function  $f(x, y, z)$ .

## Theory of Computation:

- Expressive powers:** DFA  $\cong$  NFA, DPDA  $\neq$  NPDA, NTM  $\equiv$  DTM
- $\text{DFA}_{(\text{DFA})} = (\mathbb{Q}, \Sigma, \delta, q_0, F)$ ,  $\text{PDA}_{(\text{NPDA})} = (\mathbb{Q}, \Sigma, T, \delta, q_0, z_0, F)$ ,  $\text{TM} = (\mathbb{Q}, \Sigma, T, \delta, q_0, B, F)$
- $\delta(\text{FA}) : \mathbb{Q} \times \Sigma \rightarrow \mathbb{Q}$ ,  $\delta(\text{PDA}) : \mathbb{Q} \times \Sigma \cup \{\epsilon\} \times T \rightarrow \mathbb{Q} \times T^*$
- $\delta(\text{TM}) : \mathbb{Q} \times T \rightarrow \mathbb{Q} \times T \times \{L, R\}$
- Chomsky Hierarchy:** Type0 [R.E.L], Type1 [CSL], Type2 [CFL] & Type3 [R.L]
- $(\text{FA} + 2\text{stack}) \equiv (\text{FA} + 3\text{stack}) \equiv (\text{FA} + 4\text{stack}) \equiv \dots$
- All formal languages are recognized by TM.
- $k$ -length strings over  $\Sigma$  contains  $2^k$  strings [ $= \Sigma^k$ ]
- No. of possible FA's with  $q$ -states over  $l$ -length I/P alphabet =  $2^{q^l}$
- For  $n$ -length string (including  $\epsilon$ ):  
 - No. of prefixes =  $n+1$   $\nearrow$   
 - No. of suffixes =  $n+1$   
 - No. of substrings =  $\frac{n(n+1)}{2} + 1$
- Minimal FA:**  
 $(a+b)^n$ : Exactly  $n$  :  $(n+2)$  states & Atmost  $n$  :  $(n+2)$  states (at  $\epsilon$ )  
 $(a+b)^n (a+b)^*$ : Atleast  $n$  :  $(n+1)$  states & Divisible by  $n$  :  $n$ -states ( $a+b$ )  
 Congruent  $m$ -modulo  $n$  contains:  $n$ -states
- $n^k$  symbol from L.H.S :  $(n+2)$  states  
 $n^k$  symbol from R.H.S :  $2^n$  states
- $(a+b)^* = (a^* b^*)^* = (a^* b^*)^* = (a^* + b^*)^* = (a^* + b^*)^* = (a^* b^* a^*)^* = (b^* a^* b^*)^*$
- $\phi^* = \epsilon^* = (\epsilon)^* = \epsilon^+ = \{\epsilon\}^+$ ;  $\phi^+ = \phi$ ;  $\epsilon^* = (\epsilon^+)^* = (\epsilon^+)^* = (\epsilon^*)^+ = (\epsilon^*)^*$
- \*  $a(ba)^* = (ab)^* a$ ;  $\Sigma^* = \Sigma^+ \cup \{\epsilon\}$
- Moore :  $(\mathbb{Q}, \Sigma, \delta, q_0, \Delta, \lambda)$ ;  $\delta : \mathbb{Q} \times \Sigma \rightarrow \mathbb{Q}$ ;  $\lambda : \mathbb{Q} \rightarrow \Delta$  definition of output symbols
- Mealy :  $(\mathbb{Q}, \Sigma, \delta, q_0, \Delta, \lambda)$ ;  $\delta : \mathbb{Q} \times \Sigma \rightarrow \Delta$ ;  $\lambda : \mathbb{Q} \times \Sigma \rightarrow \Delta$
- In Moore; For  $n$ -length I/P, O/P generates  $(n+1)$  length
- In Mealy; For  $n$ -length I/P, O/P generates  $n$  length (interior nodes)
- No. of productions for  $\Sigma$ -length string in CNF :  $(2x-1)$  productions
- For given CFG (without  $\epsilon$  & unit productions), there must an equivalent CNF (Binary standard form) maximum of  $((K-1)|P| + |T|)$  productions where,  $K \rightarrow \max$  no. of symbols on R.H.s of any production  $|P| \rightarrow$  no. of productions [CFG]

- For given CNF, If string has its yield length ( $l$ ) is always  $\leq 2^{k-1}$  for any  $k$ -length yield string. The height of derivation tree:  $\log_2 + 1$
- Self Embedded Variable  $A$ :  $A \xrightarrow{*} \alpha, A \alpha_1 \& \alpha_1 \alpha_2 \in (V+T)^*$
- For the given  $q$ -state mealy m/c over  $k$ -length o/p alphabet, the equivalent moore m/c contains  $\leq qk$  states.
- For i/p symbol ' $\epsilon$ ', the o/p generated by moore m/c is the "o/p associated with starting state", but the o/p generated by mealy m/c is ' $\underline{\epsilon}$ '
- "Complete DFA" is contained all states which are reachable from initial state.
- "Reduced/Non-redundant CFG" contains no useless symbols.
- "Simplified CFG" contains no  $\epsilon$ -productions, no unit productions & no useless symbols.

If the given language is non-self embedded CFG then the language is "regular".

TM acts as language generator/ Enumerator, language - recognizes & Transducer.

For  $t$ - tape symbols &  $q$ -states in any TM, we can construct equivalent turing m/c (TM) :
 

- With  $2^t$  states &  $(4tq+t)$  symbols
- With  $2$  symbols &  $8tq$  states

CFL is "inherently ambiguous", iff all of its grammars are "ambiguous". [If even one grammar for CFL is unambiguous, then CFL is unambiguous language].

$\epsilon$ -NFA  $\Rightarrow$  NFA :  $d^*(q, a) = \epsilon\text{-closure}(\delta(\epsilon\text{-closure}(q), a))$   
 $[$ No. of states in NFA equal to No. of states in  $\epsilon$ -NFA $]$ .

$\epsilon$ -NFA  $\Rightarrow$  DFA :  $d^*(q, a) = \epsilon\text{-closure}(\delta(q, a))$   
 $[$ Initial state of DFA is  $\epsilon\text{-closure}(\text{initial state of } \epsilon\text{-NFA})$  $]$

Rank of a variable (production) : length of longest path from that variable to another variable.

- There is  $O(n^3)$  algorithm that takes a PDA 'P' whose representation has length  $\leq n$  & produces a CFG of length at most ( $\leq$ ) :  $O(n^3)$

\* Testing emptiness of CFL's takes "O(n) time" for a grammar G of length  $\leq n$ .

- Running time of cyclic algorithm is  $O(n^3)$  for n-length substring.

\* For a grammar G of length  $\leq n$ , we can find an equivalent CNF grammar (G') for given G in time of  $O(n^3)$  & the resulting grammar G' has length :  $O(n^3)$

\* If DFA with n-states  $\Rightarrow$  NFA or ε-NFA takes  $O(n)$

. If NFA or ε-NFA with n-states  $\Rightarrow$  DFA takes  $O(n^3 \cdot 2^n)$

. If DFA with n-states  $\Rightarrow$  R.E (Regular Expression) takes  $O(n^3 + 2^n)$

. If NFA with n-states  $\Rightarrow$  R.E takes  $O(n^3 + 2^n)$

. If R.E has length  $\leq n$   $\Rightarrow$  DFA takes  $O(2^n \cdot n^3)$

. If R.E has length  $\leq n$   $\Rightarrow$  NFA takes  $O(n^3)$

. If R.E has length  $\leq n$   $\Rightarrow$  ε-NFA takes  $O(n)$

\* Regular languages & Non-regular languages:

(R.L) R.L's are not closed under "Subset" & "Infinite union" operations.

R.L's are closed under finite Subset & finite Union operations.

{  $(a^2)^*$ ,  $a^{2n}$ ,  $a^m b^n$  } are regular.

{  $a^n$ ,  $a^{2^n}$ ,  $a^{2^n}$ ,  $a^{2^n} p^n$  } are non-reg & non-CFL, but CSL

\* DCFL's & CFL's:

Set of all balanced parenthesis is CFL, but not regular.

Set of all valid expressions are CFL, but not regular.

Set of all valid propositional functions are CFL, but not regular.

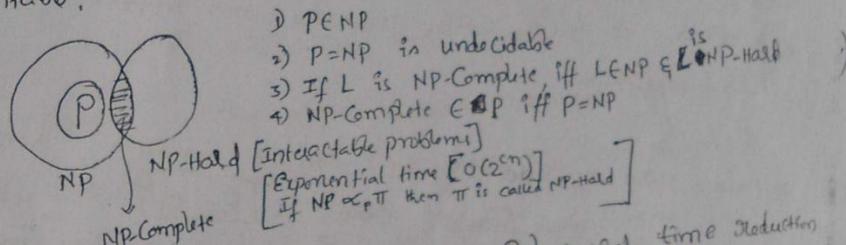
{  $a^n b^n$ ,  $w w \bar{w}$ ,  $\{ a^{mn} / m \in \mathbb{N} \}$ ,  $\{ 0^{m_1 m_2} / m_1, m_2 \in \mathbb{N} \}$  } are non-CFL, but CFL.

Complement of set of balanced parenthesis is also CFL.

Complement of  $a^{2^n} n$  is DCFL.

- \* DcFL's closed under: [complement,  $H^{-1}$ , min, & max]
- \* CFL's not closed under: [Complement, Min, Max, Intersection, Difference,  $\cap$ ,  $\cup$ ,  $\setminus$ , Kleene closure,  $\text{Half}(L)$  & Quotient]
- \* CSL's not closed under [Kleene closure,  $\text{Min}(\frac{?}{\text{undecidable}})$ , Max & Cycle]
- \* Recursive languages closed under: [ $\cup$ ,  $\cap$ ,  $\cdot$ , reversal, complement]
- \* Recursive languages are not closed under: [\* , Substitution & Homomorphism]
- \* R.E.L's are not closed under: [Complement, max & Difference]
- \* R.E.L's are ~~not~~ closed under [ $\cup$ ,  $\cap$ ,  $\cdot$ , \*, reversal, Subst,  $H$ ,  $H^{-1}$ , cycle & min]
- \* P-languages closed under [ $\cup$ ,  $\cap$ , \*,  $\cdot$ , Complementation].
- \* NP-languages closed under [ $\cup$ ,  $\cap$ , \*,  $\cdot$ , but not Complementation].
- \* Decision Properties of DcFL are: [Membership, Emptyness, Finiteness, Regularity, Totality & Co-finite] are decidable  
 $(L \in \text{regular}) \quad L = \Sigma^*$
- \* Decision Properties of CFL's are: [Membership, Emptyness & Finiteness]  
 $(\text{Decidable})$
- \* CSL's are decidable for "Membership".
- \* Recursive languages are decidable for "membership".
- \*\* Universal & Halting problems are R.E.L but not recursive.
- \*\* If  $L$  is language non-R.E.L, then  $\bar{L}$  is not recursive  $\bar{L} \in \text{Non-R.E.L or R.E.L but not recursive}$
- \* If  $L$  is R.E.L but not recursive, then Complement of  $L$  is non-R.E.L
- \* If  $L$  is R.E.L, then Complement of  $L$  is may or may not R.E.L
- \* If  $L$  is recursive, then Complement of  $L$  is Recursive.
- \* If  $L$  is recursive, then Complement of  $L$  is proper Superset of CFL's.
- \* Recursive languages are proper Superset of CFL's.
- \*\* Let  $L$  be a language over  $\Sigma$ , i.e.,  $L \subseteq \Sigma^*$ ,  
 Suppose  $L$  is in NP & for every  $n$  there is exactly one string of length  $n$  that belongs to  $L$ , then the Complement of  $L$  over  $\Sigma^*$  is also in NP.
- \* Any CFL can be accepted by PDA with only 1-state.

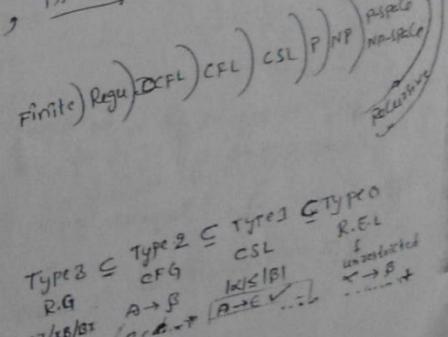
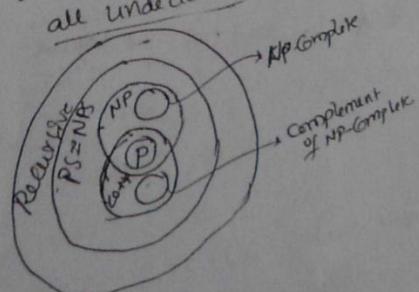
- Undecidable problems:
  - Whether an arbitrary TM halts after 100 steps
  - Whether a TM prints a specific letter
  - Whether a TM computes the product of two numbers.
- \* The Set of all languages over  $\Sigma$  is "Uncountable".
- The Set of all strings over  $\Sigma$  is Countable.
- The Set of all regular languages over  $\Sigma$  is Countable.
- The Set of all languages over  $\Sigma$  accepted by TM is Countable.

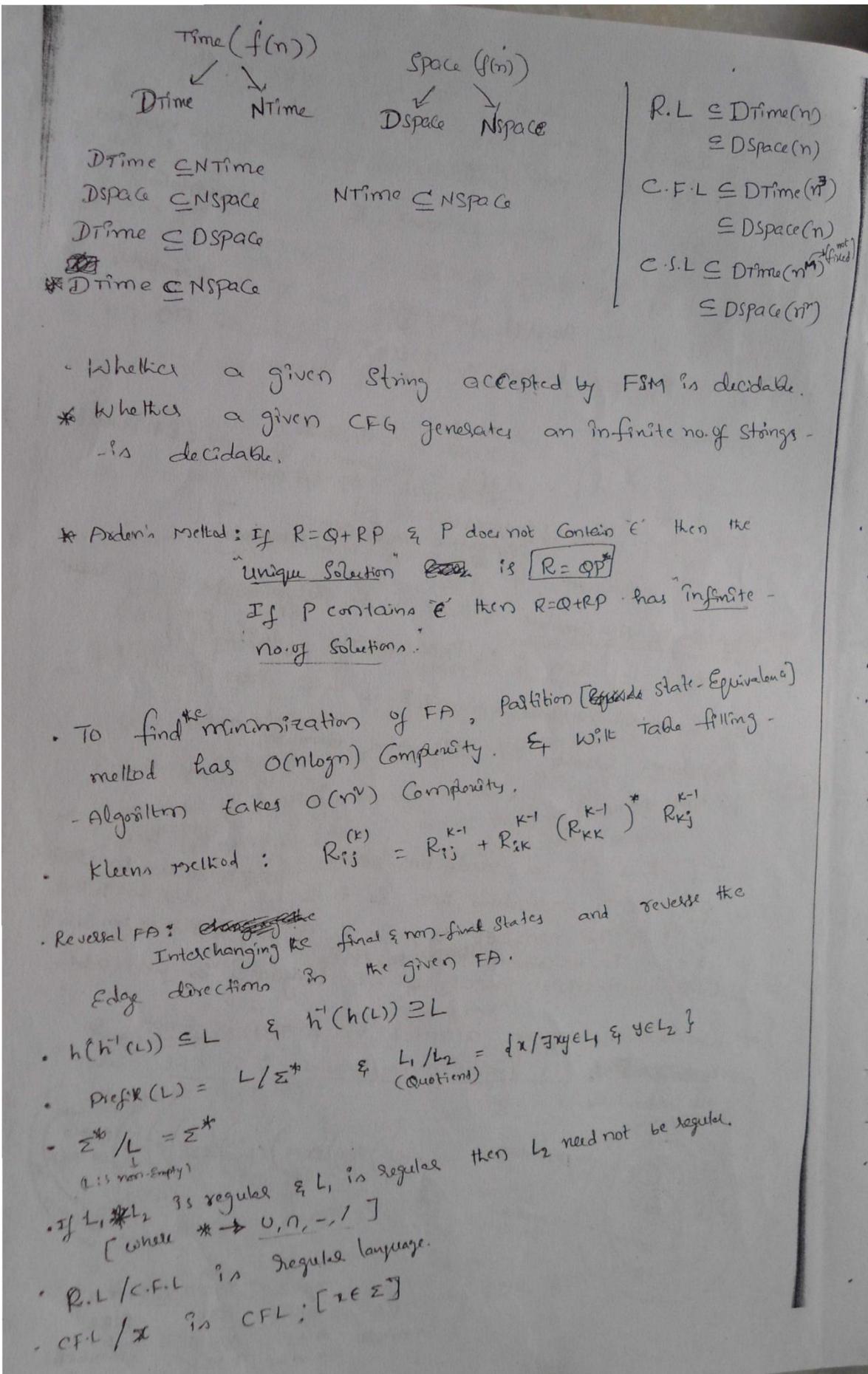


- If  $P_1$  is NP-Complete & there is a Polynomial time reduction of  $P_1$  to  $P_2$  then  $P_2$  is NP-Complete.  $[P_1 \in P_2]$
- If some NP-Complete problem  $L$  is in  $P$  then  $P=NP$
- $P$ -Problems Solvable in Polynomial time by DTM
- $NP$ -Problems " " " " " by NTM

- If there is a reduction from  $P_1$  to  $P_2$  then
  - If  $P_1$  is undecidable, then  $P_2$  is undecidable
  - If  $P_1$  is non-REL then  $P_2$  is non-REL
  - If  $P_1$  is NP-Complete then  $P_2$  is NP-Complete
- Every Nm-trivial property of RE Languages is undecidable.

Q) Whether the languages accepted by a TM is empty, finite, infinite, regular, & is CFL are all undecidable.





## Digital Logic:

No. of Boolean functions formed over  $n$ -boolean variables:  $2^n$   
 (b)  $n$ -valued variables:  $2^{n^n}$

No. of  $n$ -valued functions formed over  $n$ -boolean variables:  $n^n$   
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- No. of boolean functions formed over  $n$ -boolean variables,  
 such that for all values of  $x_1, x_2, \dots, x_n$  with function  
 $f(x_1, x_2, \dots, x_n) = f(x'_1, x'_2, \dots, x'_n)$  all:  $2^{2^{n-1}}$
- No. of Neutral functions formed over  $n$ -boolean variables:  $2^n C_{2^{n-1}}$
- Exclusive OR ( $\oplus$ ): odd no. of 1's are 1 [Odd function].
- Ex-NOR ( $\ominus$ ): Even no. of 1's are 0 [Even function].
- $(A \oplus B)' = A \ominus B$  &  $A \oplus B \oplus C = A \ominus B \ominus C$
- $A \ominus B = (A \oplus B)' = A' \oplus B = A \oplus B' = (A' \oplus B')'$
- $\overline{XY + X'Z + YZ} = \overline{XY} + \overline{X'Z} = (X+Z)(X'+Y)$
- $X(Y+Z) = XY + XZ \neq X + (Y \cdot Z) = (X+Y) \cdot (X+Z)$
- $\text{NAND}(\wedge) \& \text{NOR}(\downarrow)$  are not associative.
- $\bar{f}$  = complement of each literal on dual function of  $f$ .
- $f_{\text{mpos}} = \overline{f_{\text{msop}}} \text{ for } \text{maxterm} \text{ (zero's)}$  &  $f_{\text{msop}} = \text{f}_{\text{mpos}} \text{ for minterm} \text{ (one's)}$
- Minimal SOP ( $f_{\text{msop}}$ ) = All E.P.I's + { P.I's for covering remaining 1's, if not covered by EPI }

Maximum no. of product terms for irreducible expression  
 with  $n$ -variables all:  $2^{n-1}$  & Max no. of literals( $n \times 2^{n-1}$ )

Let  $f(x_1, x_2, \dots, x_n)$  be equal to 1 iff  $k$  or more variables  
 are equal to 1, then no. of implicants prime implicants in  $f$   
 are:  ${}^n C_k$

NO. of Self dual functions over  $n$ -boolean variables:  $2^{2^{n-1}}$

- $4 \times 1$  MUX :  $\left[ \bar{A}_1 \bar{A}_2 I_0 + \bar{A}_1 A_2 I_1 + A_1 \bar{A}_2 I_2 + A_1 A_2 I_3 \right] E$
- No. of  $(n \times 1)$  mux's required to implement  $(m \times 1)$  MUX :  $\lceil \frac{m-1}{n-1} \rceil$   
and NO. of Levels required :  $\log_n^m$

\* No. of  $n \times 2^n$  decoders required to realize  $m \times 2^m$  decoders:  

$$\boxed{X+Y+Z+\dots+(I < 2^n)}$$
  
 where,  
 $X = \left\lceil \frac{2^m}{2^n} \right\rceil, Y = \left\lceil \frac{X}{2^n} \right\rceil, Z = \left\lceil \frac{Y}{2^n} \right\rceil, \dots$

∴ NO. of levels = NO. of times dividing with  $2^n + 1$ .

- To implement  $n$ -functions, we require :
  - $n$ -mux's OR
  - One  $n \times 2^n$  decoder &  $n$ -OR gates.

TO AVOID

- Race around condition in JK-FF:  
 $\text{Pulsewidth} \leq \text{Propagation delay} \leq \text{Clock Period}$ .

$$\begin{aligned} Q^+ &= S + \bar{R}Q = D = T \oplus Q = J\bar{Q} + \bar{K}Q \\ T &= J\bar{Q} + KQ \quad \& D = J\bar{Q} + \bar{K}Q \quad \& S = J\bar{Q} ; R = KQ \end{aligned}$$

- Serial (spatial) & Temporal (parallel)
- X-OR gate with 6-variables is:  $A \oplus B \oplus C \oplus D \oplus E \oplus F$ , then the no. of minterms in the boolean expression = 6.
- All digital circuits [boolean functions] can be realized by using either MULTIPLEXER or HALF ADDER.
- Addition of two 16-bit numbers: 15 FA's & 1-H.A. required.
- ~~Addition~~ Four bit (4-bit) F.A.  $\equiv$  7 H.A & 3 OR gates.

- \* In  $n$ -variable K-map;  $2^x$  adjacent cells containing 1's then 1) The result term has  $(n-x)$  literals.  
 2) It eliminates  $x$  variables.

$Q$	$Q'$	$S, R$	$J, K$	$D$	$T$
0	0	0 X	0 X	0	0
0	1	1 0	1 X	1	1
1	0	0 1	X 1	0	1

ROM : Wilk only gates  
 : Wilk decoding Encodes  
 : Semiconductor Permanent  
 memory

$$\begin{array}{ll}
 \text{Full Subtractor} & = 1 \text{ FD} + 1 \text{ NOT} \\
 & = 2 \text{ H.S} + 1 \text{ OR} \\
 \text{Full Adder} & = 1 \text{ F.S} + 1 \text{ NOT} \\
 & = 2 \text{ H.A} + 1 \text{ OR}
 \end{array}
 \quad \left| \begin{array}{l}
 \text{H.F} = 1 \text{ H.S} + 1 \text{ NOT} \\
 \text{H.S} = 1 \text{ H.A} + 1 \text{ NOT}
 \end{array} \right.$$

Full Adder : Cally :  $x\bar{y} + y\bar{z} + z\bar{x} = x(y \oplus z) + yz$   
Sum =  $x \oplus y \oplus z = (\text{Cally})' (x+y+z) + xyz$

Full Subtractor : Difference :  $x \oplus y \oplus z$

Borrow :  $\bar{x}y + \bar{x}\bar{z} + y\bar{z} = \bar{x}(y \oplus z) + yz$   
 $= z(x \odot y) + \bar{x}y$

\* No. of Symmetric functions over n-boolean variables:  $2^{n+1}$

\* Implication & Inhibition are not Commutative

\* AND & OR are only Identity Property Satisfies.

\* AND & OR are only Identity Property Satisfies:

$$(n-1) \text{ FA} + 1 \text{ H.A}$$

$$(2n-1) \text{ H.A} + (n-1) \text{ OR}$$

\* Maximum Signal propagation delay in an n-bit ripple Cally.

Adder if D is delay of Full Adder:  $= nD$

Maximum Signal propagation delay in an n-bit Cally Lookahead Adder if D is average gate delay =  $4D$

Size of ROM, m-functions with n-variables implementation:  $m \times 2^n$

Size of PLA, m-function with n-variables each & P-product terms:  $m \times n \times p$

No. of Links required to implement m-functions with n-variables - Each:  $m \times 2^n$

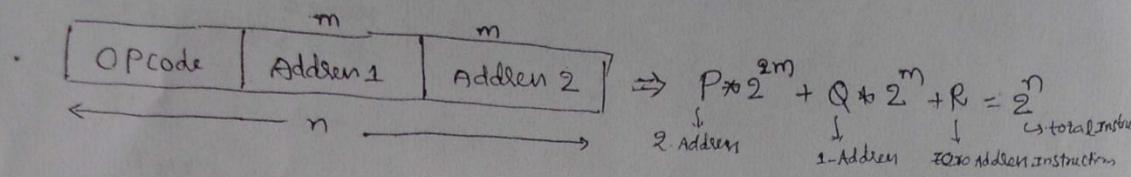
No. of Links to implement PLA with X-OR's, P-product terms & Y-OR's are:  $2xp + yp + y$ .

NAND & NOR are not associative.

Over Even no. of variables; EX-OR & EX-NOR are complements - to each other.

Over odd no. of variables; EX-OR & EX-NOR are same.

## Computer Organization & Architecture:



- H/w Control unit : Every Control Signal is expressed as a boolean sum of products and it is realize with 3-level gates. "The delay of generating any Control signal is going to be Constant".
- Control Signals in H/w Control unit determined by: Contents of "control step counter", Instruction Register, & "Condition code flags" and "External Input Signals [MFC (Memory function Completed), & Interrupt requests]"
- In EP[microprogrammed] Control unit: Control signals are stored in Control memory in the form of Control instruction. Control instruction: 

Status bits	Control signals	Next address
-------------	-----------------	--------------

 [Control word]
- Control signals in EP Control unit are generated by: Contents of Instruction Register, Starting Address generator, clock & micro program Counter, Control store, External I/P's & Condition codes.
- In Horizontal EP, one bit for each control signal is allocated.
- In Vertical EP, Control signals are in Encoded form, requires External decoder while generating them.
- Horizontal EP is faster than Vertical EP.  
(less memory)
- Either One or none of n-control signals are generated in "Vertical EP" & it requires  $\lceil \log_2 n \rceil$  bits.
- Almost n- Control signals are generated in "Horizontal EP" & it requires n-bits.
- Maximum degree of parallelism is : n with n-Control Signals for one field  
In Horizontal EP is : 1 & In Vertical EP is : 1

Size of Cache : (bits)

= Memory needed for Tag (bits) + Memory needed for word (bits)

$$= \left[ \frac{\text{No. of blocks in Cache} \times \text{No. of bits per Tag}}{\text{Tag bits}} \right] + \left[ \frac{\text{No. of words in Cache} \times \text{No. of bits per word}}{\text{Word bits}} \right]$$

- There is no "Conflict miss" in associative mapping.

- In ~~Direct~~ mapping Cache,  $n^{th}$  block of main memory can be placed

In one cache block by mapping:  $n \bmod N \rightarrow$  No. of blocks in Cache

In Associative mapping, At a time one block of MM can be placed anywhere in Cache.

In K-way Set Associative mapping, At a time one block of MM can be placed in one set by mapping  $n \bmod S \rightarrow$  No. of sets in Cache.

Dirty bit: 0 (Not updated) & 1 (updated) [Used by write back].

$$T_{avg} = f_r * T_{avg} + f_w * T_{avg}$$

$$T_{avg} = T_c + (1-H_r) \cdot TB$$

$$T_{avg} = T_m + (1-H_w) \cdot TB$$

$$TB = T_m * n \rightarrow \text{No. of word blocks (Conflicting from memory to Cache)}$$

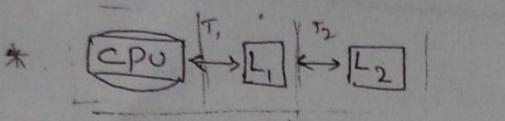
$$\text{Effective Hit Ratio (Hit)} = H_r * f_r + H_w * f_w$$

$$\text{Direct mapping: } \text{Tag} + \frac{\text{Block offset}}{\text{No. of blocks in Cache}}$$

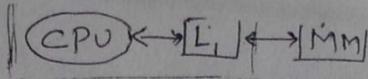
$$\text{Associative mapping: } \text{Tag} + \text{Offset}$$

$$\text{Set Associative mapping: } \text{Tag} + \frac{\text{Set offset + word offset}}{\text{No. of sets in Cache}}$$

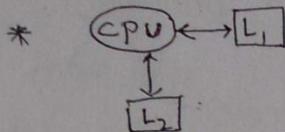
$$\text{* Improvement in performance} = \frac{T_{without Cache}}{T_{with Cache}} = \frac{1}{\text{Relative Performance}}$$



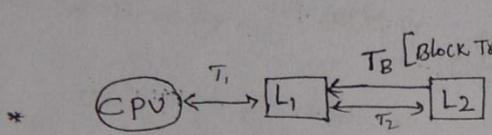
$$\begin{aligned} T_{avg} &= T_1 + (1-H_1) T_2 \\ &= H_1 \cdot T_1 + (1-H_1)(T_1+T_2) \end{aligned}$$



$$T_{avg} = H \cdot T_C + (1-H) T_M$$

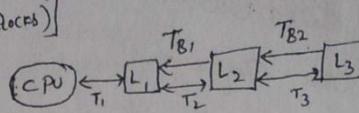


$$T_{avg} = H_1 T_1 + (1-H_1) H_2 T_2$$



$$T_{avg} = T_1 + (1-H_1) T_B$$

where  $T_B = n * T_2$   
 $n \rightarrow$  no. of word blocks transferred  
 from L2 to L1



$$T_{avg} = T_1 + (1-H_1) T_{B1} + (1-H_1)(1-H_2) T_{B2}$$

where  $T_{B1} = n_1 * T_2$

$$T_{B2} = n_2 * T_3$$

Hit ratio = Total no. of hits / Total no. of references.

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\* No. of main memory blocks placed in any cache :

$$= 2^{\text{no. of tag bits}} = 2^{\text{tag}}$$

[where Tag may  
 different from  
 one to other  
 mapping]

\* No. of tag comparators required :

1) Direct mapping = 1 [It contains no. of bits as many as - tag contains]

2) Associative mapping = No. of blocks - in cache.

[ ]

3) K-set Associative mapping = K [No. of blocks in set]  
 ↳ Each set contains K-blocks

\* No. of tag bits required :

1) Direct mapping :  $\log_2(M/C)$  ; M → No. of main memory blocks / C → No. of cache blocks / K → No. of blocks in each set

2) Associative Mapping :  $\log_2(M)$

3) K-set Associative mapping :  $\log_2(KM/C)$

- In Program driven [Programmed I/O] & Interrupt driven, the I/O transfer (data) to/from memory done under control of CPU.
- DMA methods:
  - Continuous data transfer (Block / Burst mode)  
(Exclusive access given by CPU) transfer [either operand or instruction]
  - Cycle Stealing: Stretching [Address Calculation, Decoding, Result Store]  
Translational [steals, when IF, OF & Result Store]  
(Breakpoints) operand fetch
  - Interleaving: [First Half Cycle (DMA active) & Second (CPU active) half cycle]

\* Program Execution time =  $\frac{\text{No. of Instructions} \times \text{Avg no. of steps needed to execute one instruction}}{\text{clock rate}} ; (= N \times K \times T)$

\* Speed factor =  $\frac{T_{\text{non-pipelined}}}{T_{\text{pipelined}}} = \frac{(N+K+1)T}{N + CPI_{avg} \times T_{clockup}} = \frac{N \times K \times T}{(N+K+1)T}$

\* Speed up factor (R) =  $\frac{\text{Speed factor A}}{\text{Speed factor B}} = \frac{S_A}{S_B} ; \begin{cases} \text{If } R > 1 ; A \text{ is better} \\ \text{If } R < 1 ; B \text{ is better} \end{cases}$

\*  $T_{np} = T_{up} = n \times K \times T ; K \rightarrow \text{no. of stages (Pipeline)} \text{ or phases (non-pipeline)}$

\*  $T_p = [K + (n-1)] \times T ; T \rightarrow \text{Total Time taken for each stage (delay)} ; n \rightarrow \text{no. of instructions.}$

\*  $T_{np} = n \times [T_1 + T_2 + \dots + T_K] ; \quad \begin{cases} \text{If stage delays (times) are different.} \end{cases}$

\*  $T_p = [K + (n-1)] \times T_{max} ;$

\* No. of stages =  $\frac{100}{\text{Efficiency}} \times \text{Speed factor.} ; \quad \begin{bmatrix} 100 \rightarrow S \\ 100\% \rightarrow ? \end{bmatrix}$

\* Avg instruction execution time =  $[1 + \text{stall factor} \times \text{stall cycles}] \times T$

\* Instruction  $\xleftarrow{\substack{\text{Branch} \\ (\text{fb})}} \xrightarrow{\substack{\text{Conditional} \\ (\text{fc})}} \xrightarrow{\substack{\text{unconditional} \\ (\text{fue})}} \xrightarrow{\substack{\text{Branch taken} \\ (\text{fbt})}} \text{Not taken branch} \xrightarrow{\substack{\text{Branch taken} \\ (\text{fbt})}} \text{Non-overlapped}$

\* Instruction  $\xleftarrow{\substack{\text{Not Branch taken} \\ (\text{fbt})}} \xrightarrow{\substack{\text{unconditional} \\ (\text{fue})}} \text{Non-overlapped}$

$$T_{avg} = [1 + f_B [f_{fb} * f_{fbt} + f_{fue}]] * \text{Stall cycles} \times T$$

$\xrightarrow{\substack{\text{No. of stages - 1}}} \text{Stall cycles not given}$

\* Data dependency: Pipeline stages have different delays, due to that data is not available for other instruction in expected time.  
[RAW, WAR, & WAH]

\* Control dependency (Instruction dependency):

Delay in availability of an instruction; [due to branch, miss in cache, Prefetch, Delayed load, multiple pipeline & prediction].

\* Structural dependency:

When two instructions requires same hardware resource at the same time.

$$\text{Avg access time} = \text{Seek time} + \frac{\text{Avg latency time}}{\text{(Avg Rotational latency)}} + \text{Data transfer time}$$

$$\text{Avg latency time} = \frac{1}{2} * \text{Rotational latency}$$

$$\text{Rotational latency} = \frac{1}{\text{Rotational speed}}$$

\* 1 rotation is to read the one track completely.

\* Unit data transfer time: time taken to read one sector.

\* Disk moves with either linear or angular velocity

\* Tape moves with fixed linear velocity. & ~~single density~~  
- one complete frame is scanned in a single scan