

# Data Structures

## 資料結構



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## Graphs – Part II



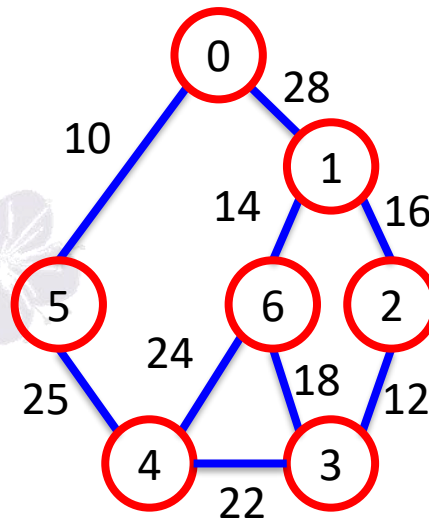
Image  
courtesy of  
Ross  
Mayfield

Department of Computer Science  
National Tsing Hua University

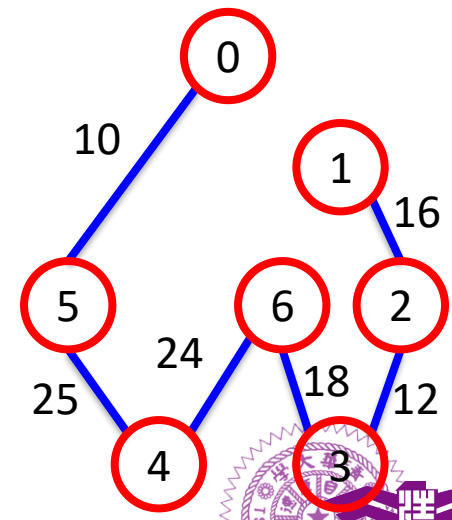


# Minimum-Cost Spanning Trees

- For a weighted undirected graph, find a spanning tree with **least cost of the sum of the edge weights**.
- Three greedy algorithms:
  - Kruskal's algorithm
  - Prim's algorithm
  - Sollin's Algorithm



Spanning tree  
with cost 105

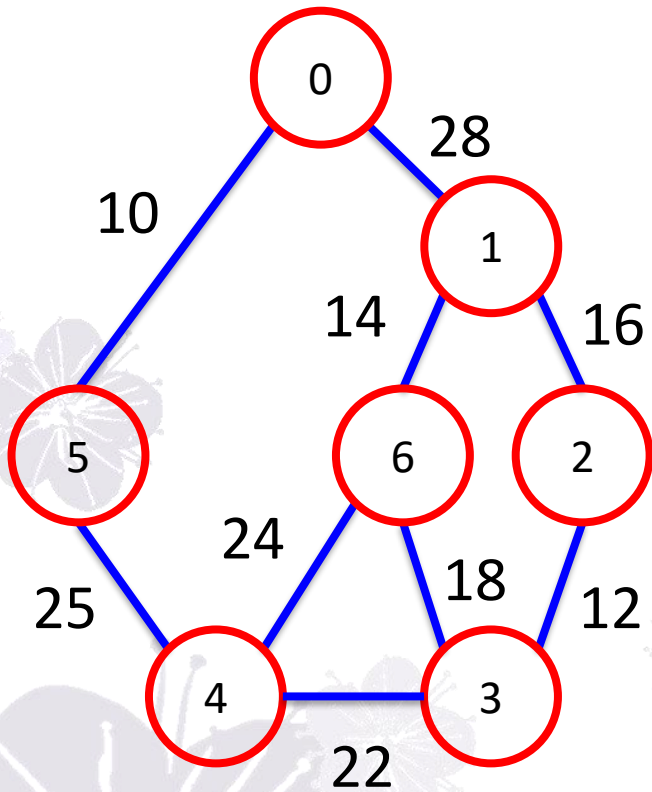


# Kruskal's Algorithm

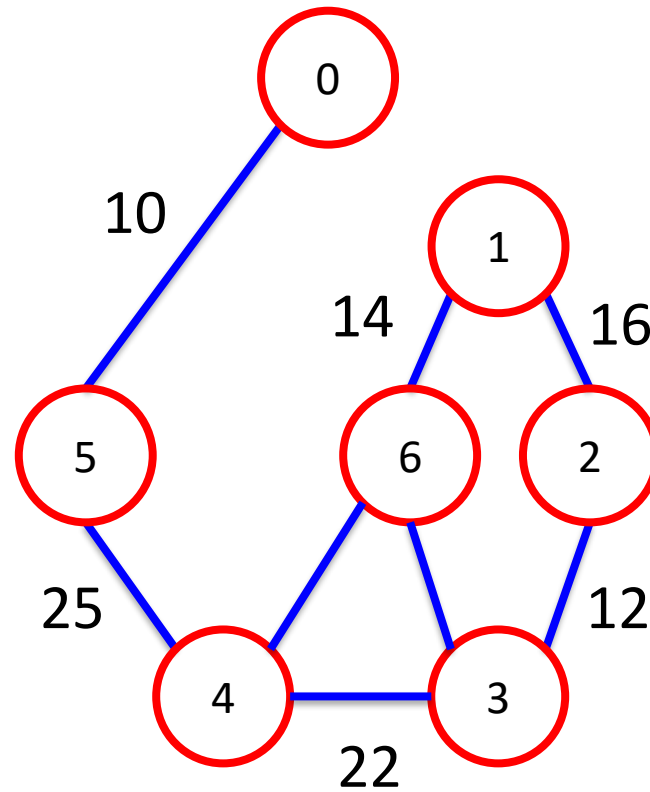
- Idea: Add edges with minimum edge weight to tree one at a time.
- **Step 1:** Find an edge with minimum cost.
- **Step 2:** If it creates a cycle, discard the edge.
- **Step 3:** Repeat step 1 and 2 until we find  $n-1$  edges.

# Running Example

Refer to textbook for detailed steps!



Connected graph



Spanning tree with cost 99



# Kruskal's Algorithm

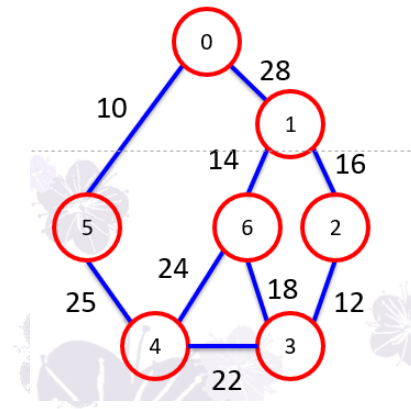
Kruskal's algorithm

```
1.  $T = \phi$ 
2. While((T contains less than  $n-1$  edges) && (E is not empty)){
3.   choose an edge  $(v,w)$  from E of lowest cost;
4.   delete  $(v,w)$  from E
5.   if( $(v,w)$  does not create a cycle) add  $(v,w)$  to T;
6.   else discard  $(v,w)$ 
7. }
8. If(T contains less than  $n-1$  edges)
9.   cout << "there is no spanning tree!" << endl;
```

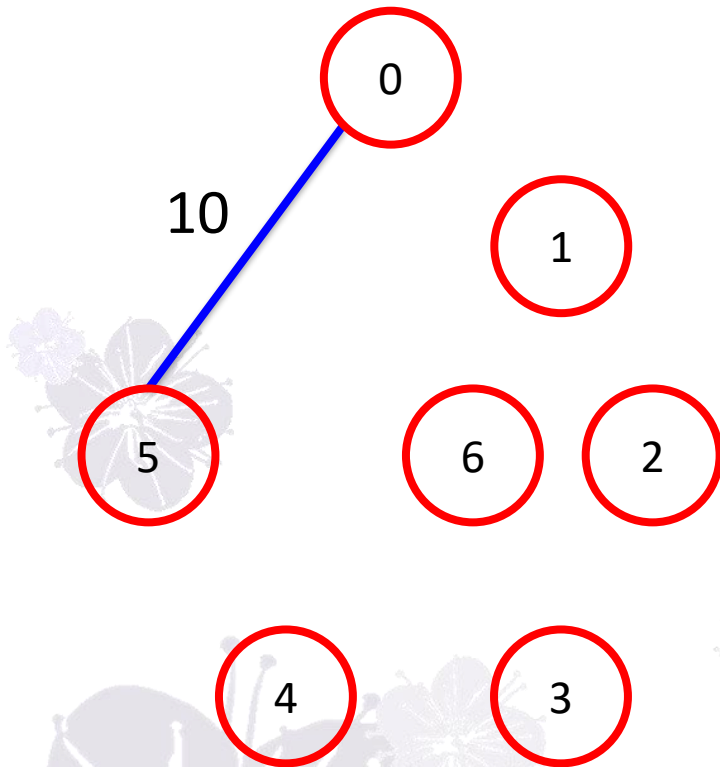
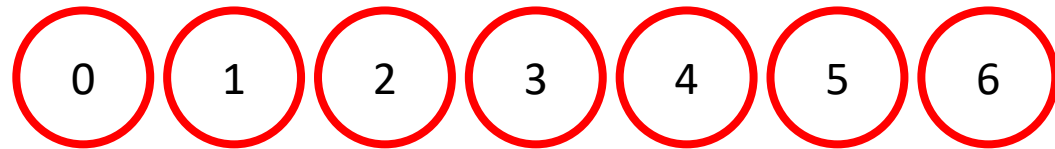
- Step 3 & 4: use **min heap** to store edge cost.
- Step 5: use **set representation** to group all vertices in the same connected component into a set. (see appendix)
  - For an edge  $(v,w)$  to be added, if vertices are in the same set, discard the edge, else merge two sets.



# Running Example



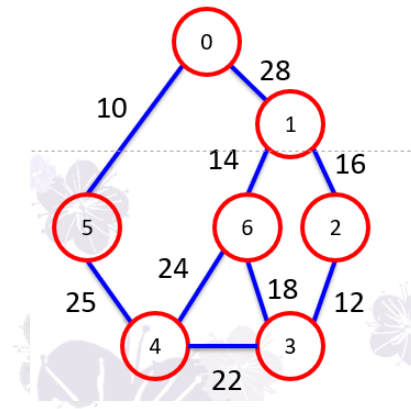
Disjoint set



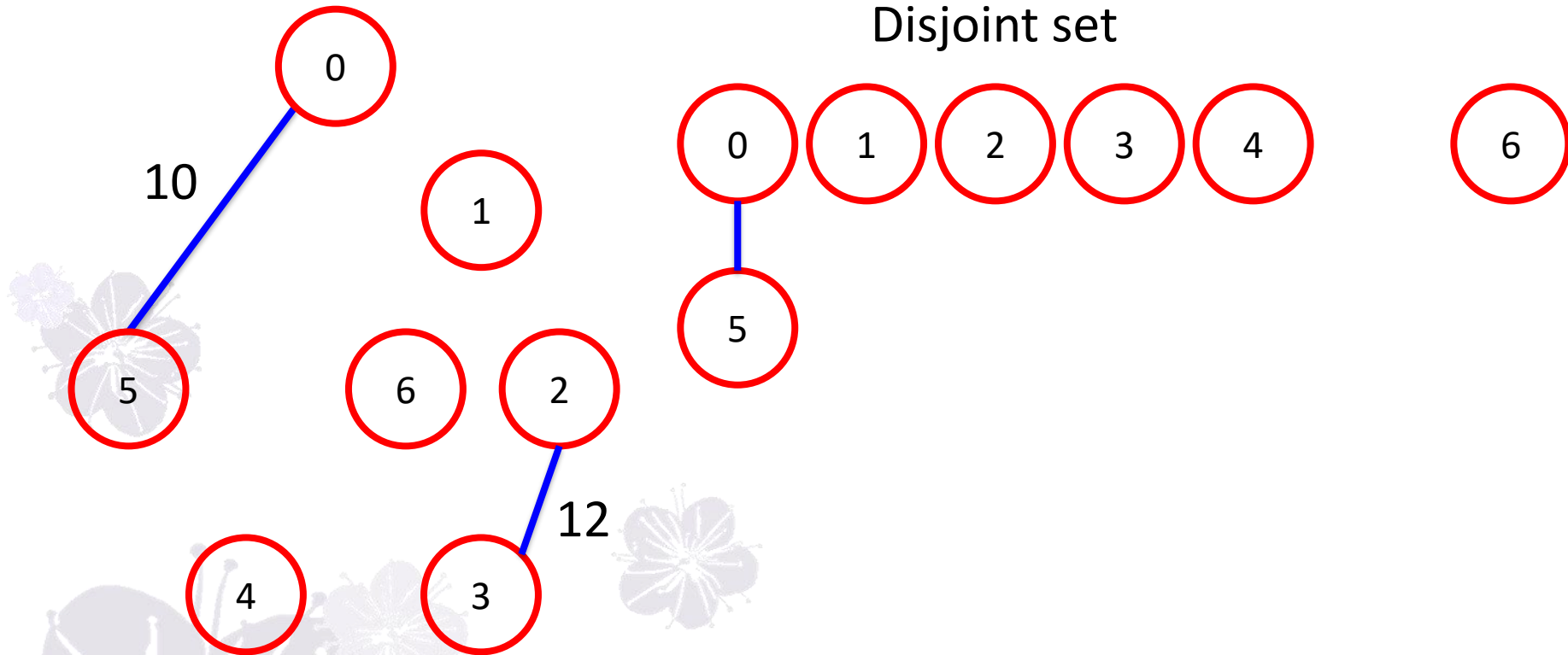
Spanning tree with cost 99



# Running Example



Disjoint set

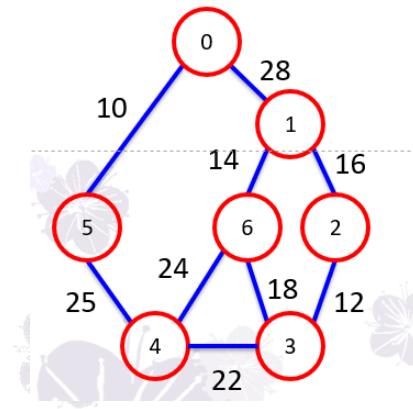


Spanning tree with cost 99

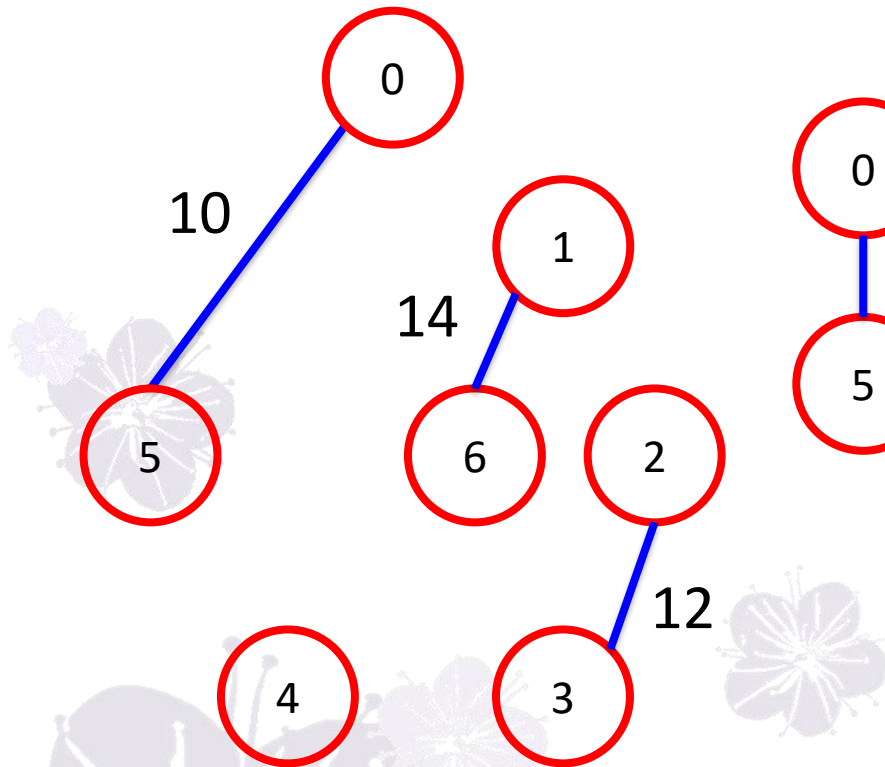




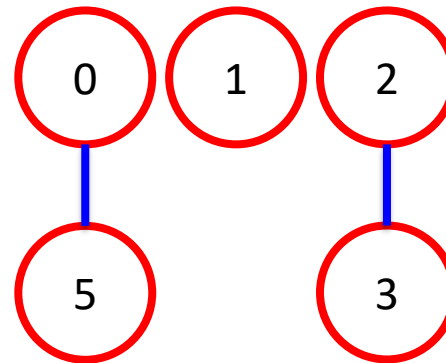
# Running Example



Disjoint set

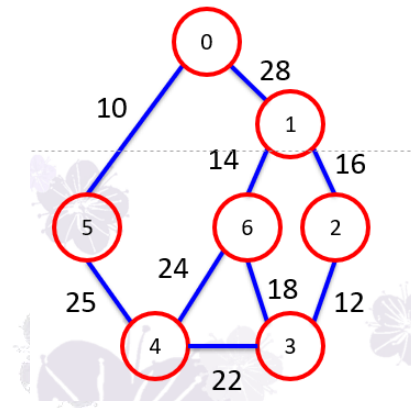


Spanning tree with cost 99

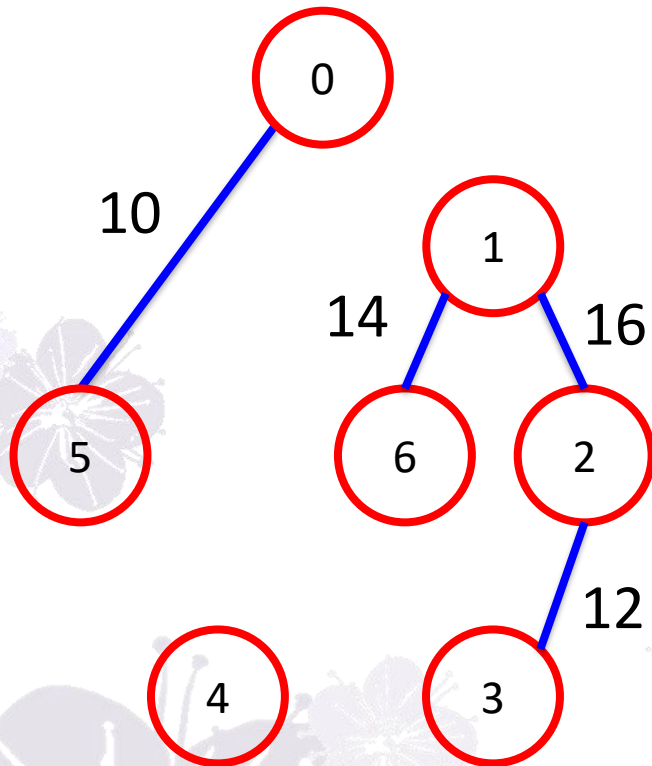




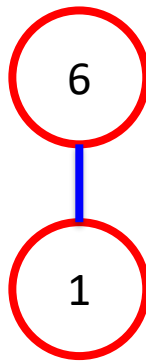
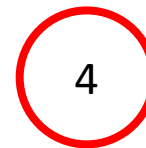
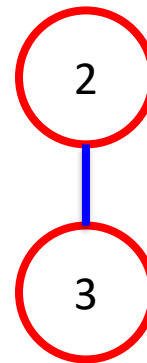
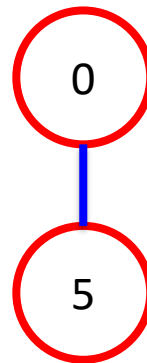
# Running Example



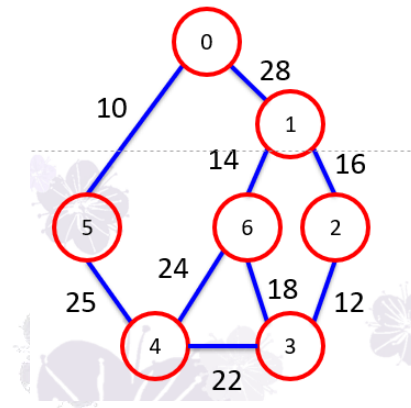
Disjoint set



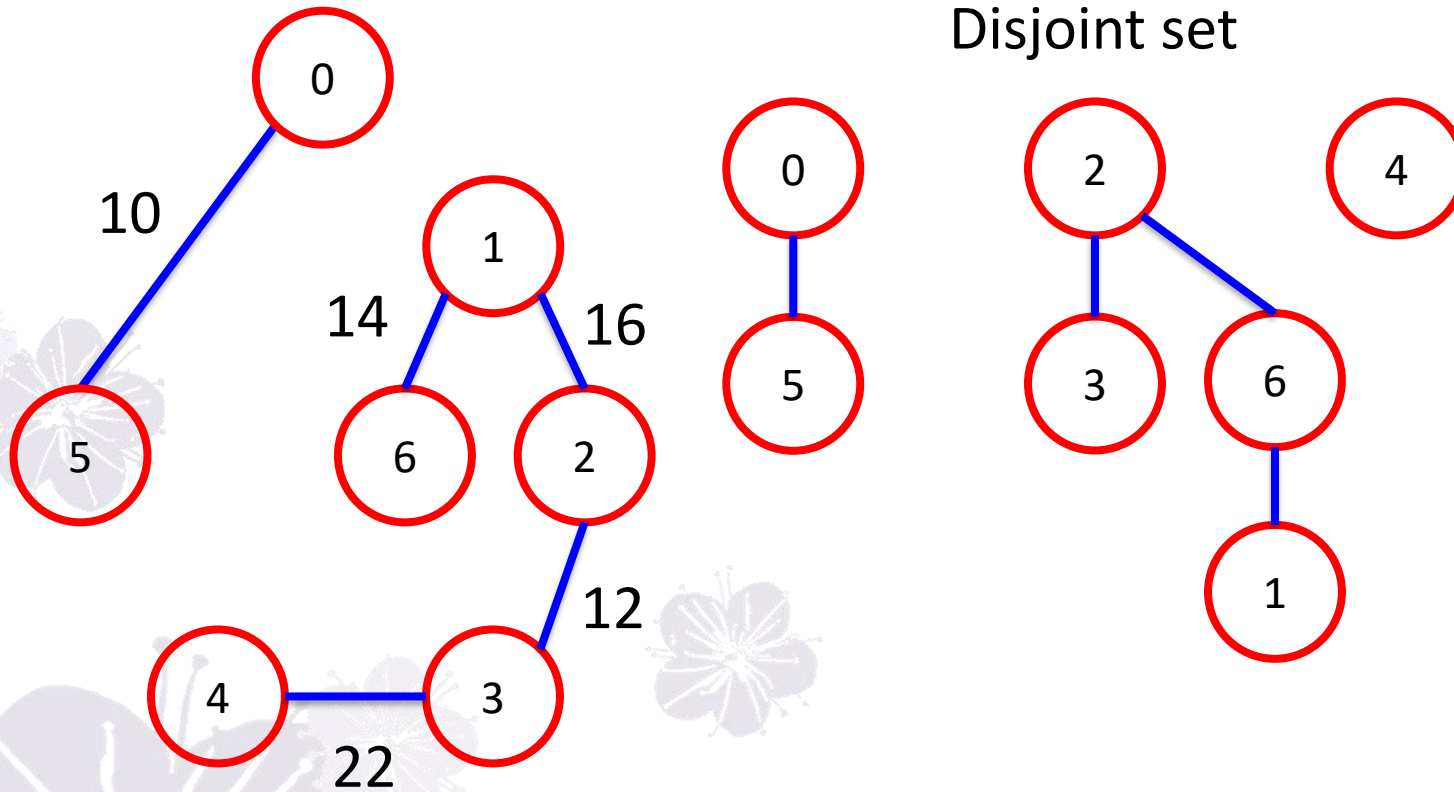
Spanning tree with cost 99



# Running Example



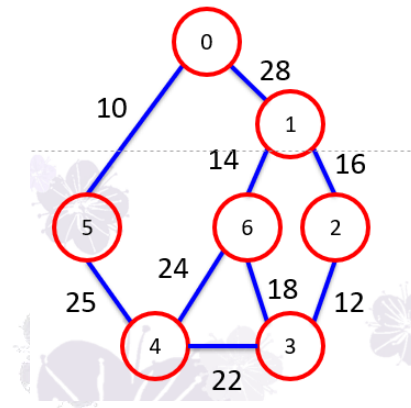
Disjoint set



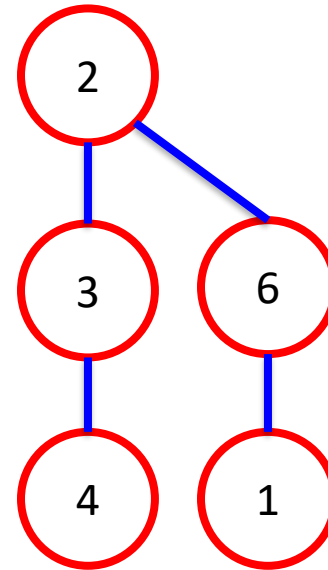
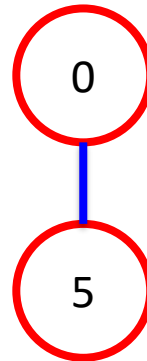
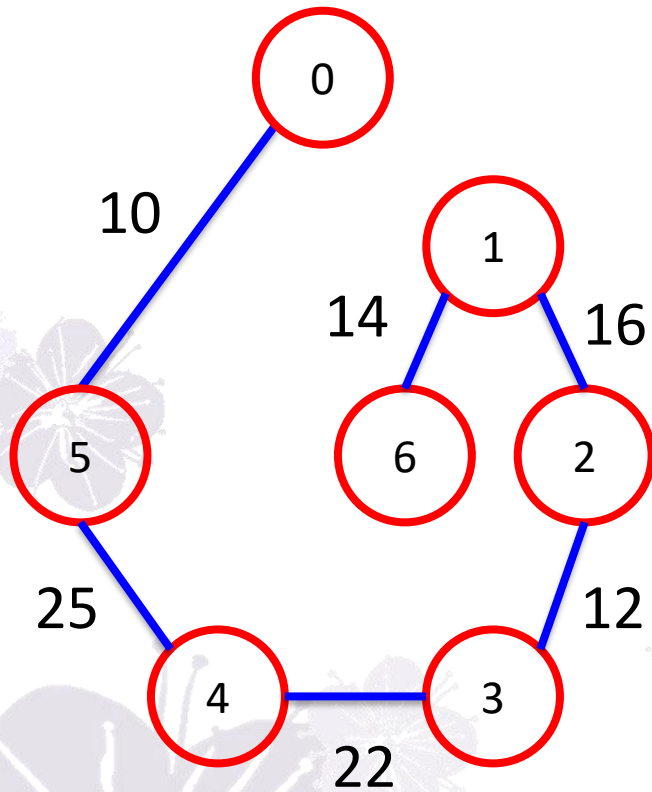
Spanning tree with cost 99



# Running Example

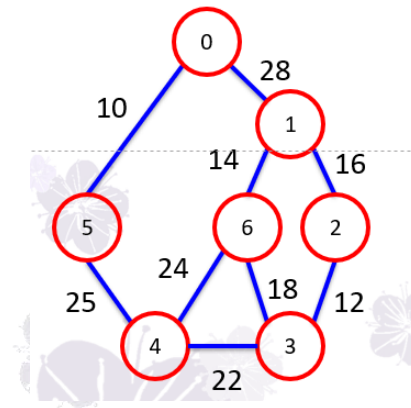


Disjoint set

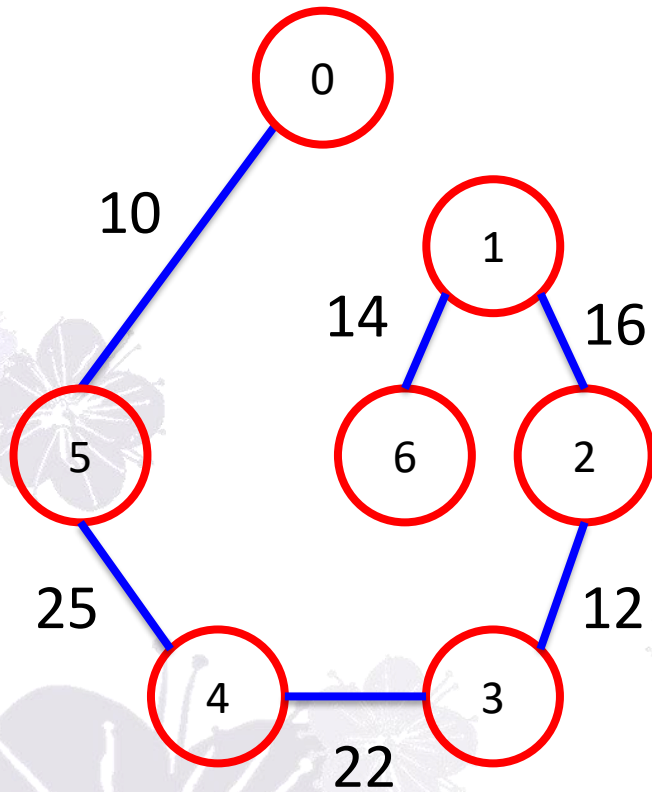
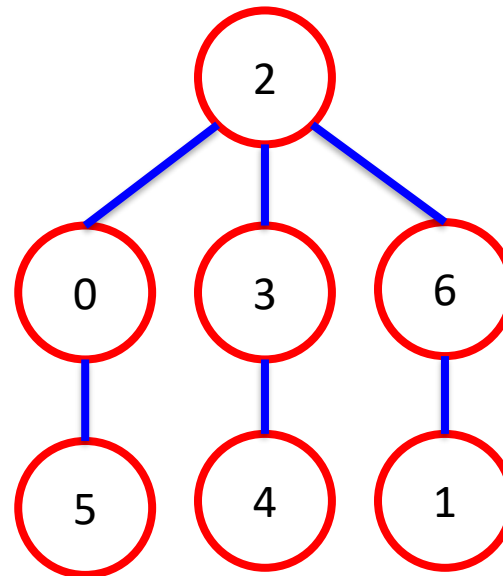


Spanning tree with cost 99

# Running Example



Disjoint set



Spanning tree with cost 99

# Time Complexity

Kruskal's algorithm

```
1.  $T = \phi$ 
2. While((T contains less than  $n-1$  edges)&&(E is not empty)){
3.   choose an edge  $(v,w)$  from E of lowest cost;
4.   delete  $(v,w)$  from E
5.   if( $(v,w)$  does not create a cycle) add  $(v,w)$  to T;
6.   else discard  $(v,w)$ 
7. }
8. If(T contains less than  $n-1$  edges)
9.   cout << "there is no spanning tree!" <<endl;
```

- Min heap:
  - Step 3&4 :  $O(\log e)$
- Set:
  - Step 5:  $O(\log e)$  -> see appendix
- At most execute  $e-1$  rounds:
  - $(e-1) \cdot (\log e + \log e) = O(e \log e)$



# Kruskal's Algorithm

## 《Theorem 6.1》

Let  $G$  be any undirected connected graph.

Kruskal's algorithm generates a minimum-cost spanning tree.

- **Proof:**

- (a) Kruskal's method results in a spanning tree whenever a spanning tree exists
- (b) The generated spanning tree is of least cost

**Step 1:** Find an edge with minimum cost.

**Step 2:** If it creates a cycle, discard the edge.

**Step 3:** Repeat step 1 and 2 until we find  $n-1$  edges.

# Kruskal's Algorithm

- Proof (a): it finds a spanning tree whenever a spanning tree exists
  - Only delete those **edges that form a cycle**.
  - Delete a cycle doesn't affect the connectivity of the graph.
  - Always result in a **connected graph with  $n-1$  edges**, therefore create a spanning tree.

**Step 1:** Find an edge with minimum cost.  
**Step 2:** If it creates a cycle, discard the edge.  
**Step 3:** Repeat step 1 and 2 until we find  **$n-1$**  edges.



# Kruskal's Algorithm

- Proof (b): The generated spanning tree is of least cost
  - Let  $\mathbf{U}$  be another minimum-cost spanning tree.
  - If  $\mathbf{T} = \mathbf{U}$ , then  $\mathbf{T}$  is a minimum-cost spanning tree.
  - If  $\mathbf{T} \neq \mathbf{U}$ , let  $k$ ,  $k > 0$ , be the number of edges in  $\mathbf{T}$  not in  $\mathbf{U}$ .
  - We shall see that there exists a way to transform  $\mathbf{U}$  to  $\mathbf{T}$  in  $k$  steps such that cost of  $\mathbf{U}$  is not changed.

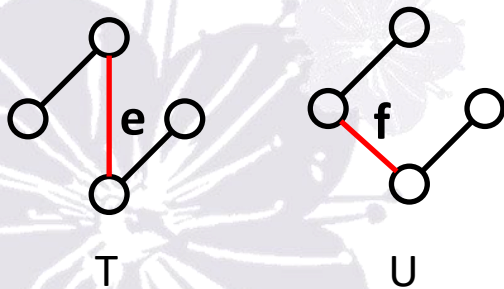
**Step 1:** Find an edge with minimum cost.

**Step 2:** If it creates a cycle, discard the edge.

**Step 3:** Repeat step 1 and 2 until we find  $n-1$  edges.

# Kruskal's Algorithm

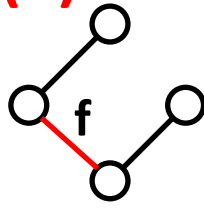
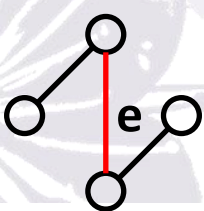
- Transform **U** to **T**:
  - (1) Let **e** be the least-cost edge in **T** that is not in **U**.
  - (2) When **e** is added to **U**, a unique cycle **C** is created.
  - (3) Let **f** be any edge on **C** that is not in **T**.  
(This edge must exist as **T** contains no cycle).
    - Now **U** = **U** + {**e**} - {**f**} is a spanning tree.
    - We need to prove that **cost(e) = cost(f)**.



**Step 1:** Find an edge with minimum cost.  
**Step 2:** If it creates a cycle, discard the edge.  
**Step 3:** Repeat step 1 and 2 until we find **n-1** edges.

# Kruskal's Algorithm

- Case i :  **$\text{cost}(e) < \text{cost}(f)$** 
  - $\text{cost}(U + \{e\} - \{f\}) < \text{cost}(U) \Rightarrow$  **Impossible!**
  - Because  $U$  is a minimum cost spanning tree.
- Case ii :  **$\text{cost}(e) > \text{cost}(f)$** 
  - $f$  should be considered earlier than  $e$  in Kruskal's algo.
  - $f$  is not in  $T$  means  $f$  together with edges in  $T$  whose costs are less than or equal to  $f$  form the cycle  $C$ .
  - Those edges are also in  $U$  (because as mentioned earlier,  $e$  is the least-cost-edge which is in  $T$  but not in  $U$ ), hence  $U$  (which contains  $f$ ) must also contain a cycle. **Contradiction!**
- Therefore  **$\text{cost}(e) = \text{cost}(f)$** .



**Step 1:** Find an edge with minimum cost.  
**Step 2:** If it creates a cycle, discard the edge.  
**Step 3:** Repeat step 1 and 2 until we find  $n-1$  edges.

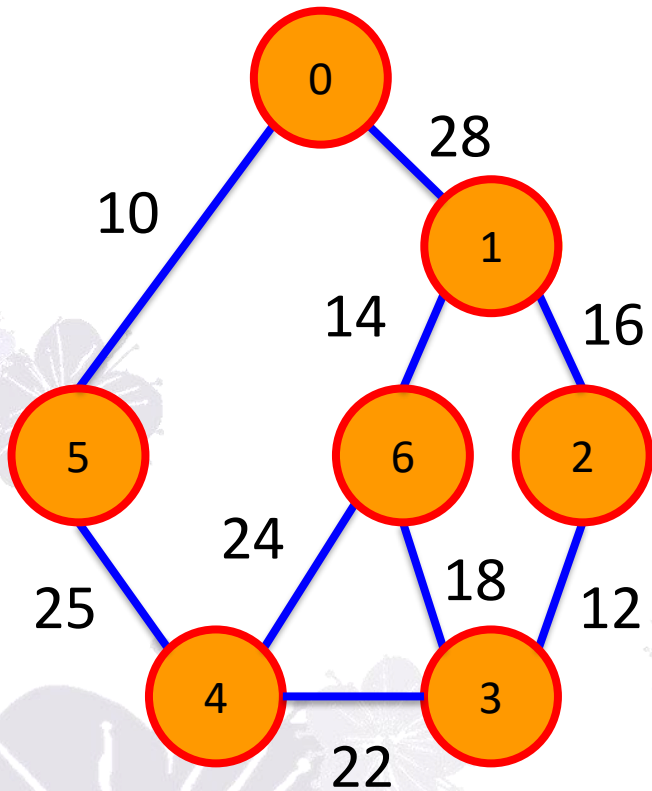
# Prim's algorithm

- Idea: Add edges with minimum edge weight to tree one at a time. **At all times during the algorithm, the set of selected edges form a tree.**
- Step 1: Start with a tree  $T$  contains a single arbitrary vertex.
- Step 2: Among all edges, add a least cost edge  $(u,v)$  to  $T$  such that  $T \cup (u,v)$  is still a tree.
- Step 3: Repeat step 2 until  $T$  contains  $n-1$  edges.

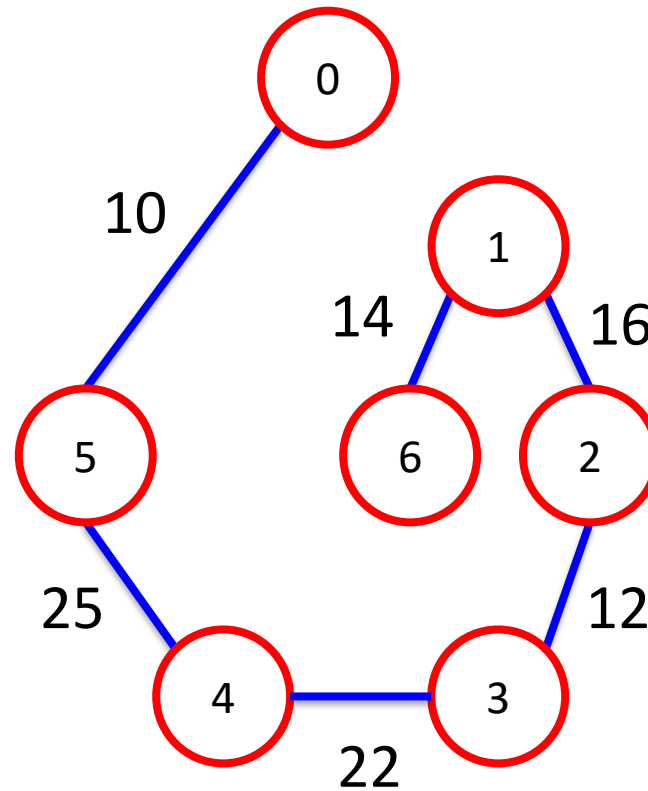


# Running Example

Refer to textbook for detailed steps!



Connected graph



Spanning tree with cost 99



# Prim's Algorithm

Prim's algorithm

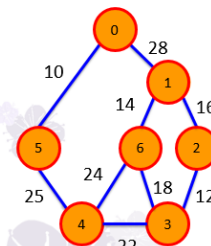
```
1.  $V(T) = \{0\}$  // start with vertex 0
2. for( $T = \phi$  ;  $T$  contains less than  $n-1$  edges; add  $(u,v)$  to  $T$ ) {
3.   Let  $(u,v)$  be a least cost edge such that  $u \in V(T)$  and  $v \notin V(T)$  ;
4.   if (there is no such edge) break;
5.   add  $v$  to  $V(T)$  ;
6. }
7. If ( $T$  contains fewer than  $n-1$  edges)
8.   cout << "there is no spanning tree!" << endl;
```

- Step 3: use a **near-to-tree** data structure
  - Create an array to record the nearest distance of vertices to  $T$ .
  - Only vertices not in  $V(T)$  and adjacent to  $T$  are recorded.

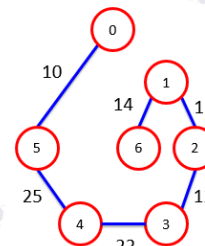


# Running Example

| near-to-tree             | 0 | 1  | 2        | 3        | 4        | 5  | 6        |
|--------------------------|---|----|----------|----------|----------|----|----------|
| $V(T)=\{0\}$             | * | 28 | $\infty$ | $\infty$ | $\infty$ | 10 | $\infty$ |
| $V(T)=\{0,5\}$           | * | 28 | $\infty$ | $\infty$ | 25       | *  | $\infty$ |
| $V(T)=\{0,5,4\}$         | * | 28 | $\infty$ | 22       | *        | *  | 24       |
| $V(T)=\{0,5,4,3\}$       | * | 28 | 12       | *        | *        | *  | 18       |
| $V(T)=\{0,5,4,3,2\}$     | * | 16 | *        | *        | *        | *  | 18       |
| $V(T)=\{0,5,4,3,2,1\}$   | * | *  | *        | *        | *        | *  | 14       |
| $V(T)=\{0,5,4,3,2,1,6\}$ |   |    |          |          |          |    |          |



Connected graph



Spanning tree with cost 99





# Time Complexity

- Near-to-tree
  - Step 3 :  $O(n)$
- At most execute  $n$  rounds:  $O(n^2)$



# Prim's Algorithm: Correctness

- See [appendix](#)



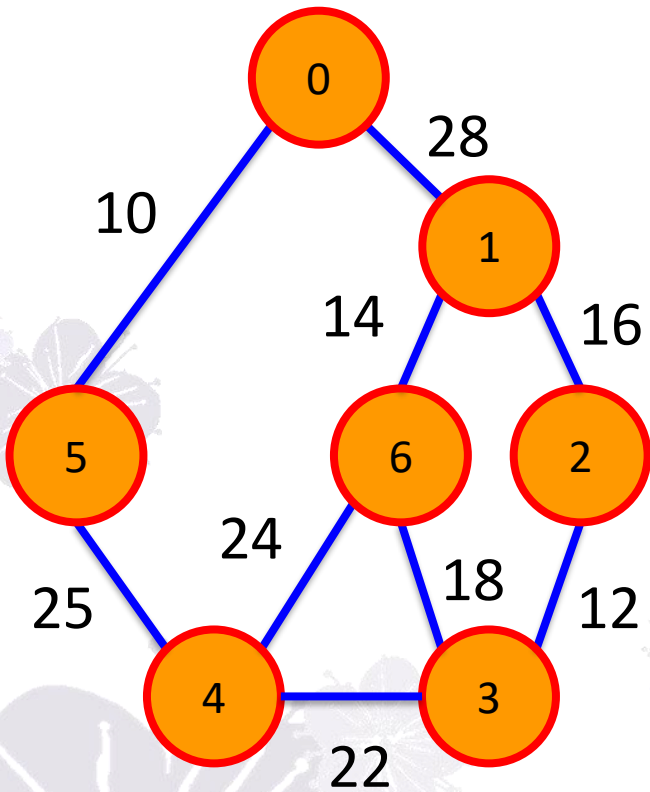
# Sollin's Algorithm

- Idea: Select several edges at each stage.
- Step 1: Start with a forest that has  $n$  spanning trees (each has one vertex).
- Step 2: Select one minimum cost edge for each tree. This edge has exactly one vertex in the tree.
- Step 3: Delete multiple copies of selected edges and if two edges with the same cost connecting two trees, keep only one of them.
- Step 4: Repeat until we obtain only one tree.

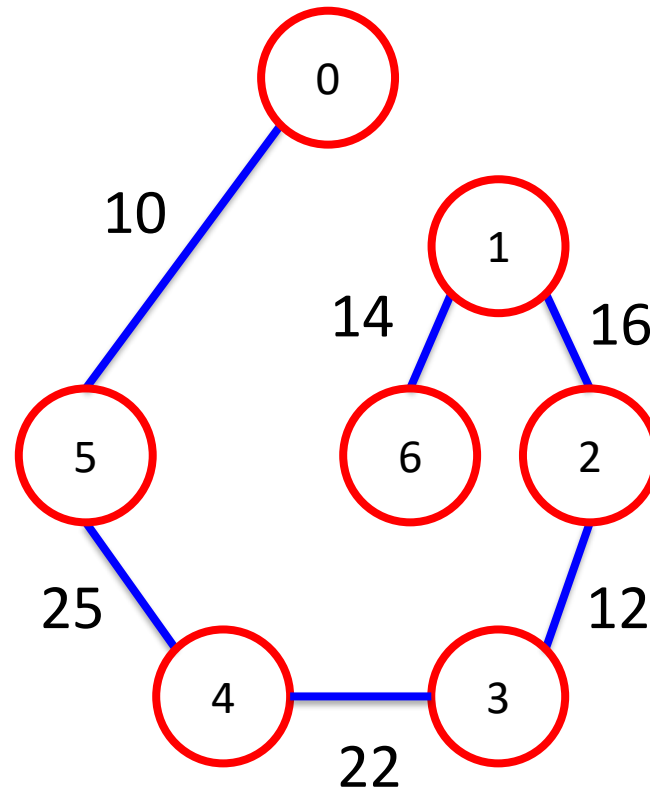


# Running Example

Refer to textbook for detailed steps!



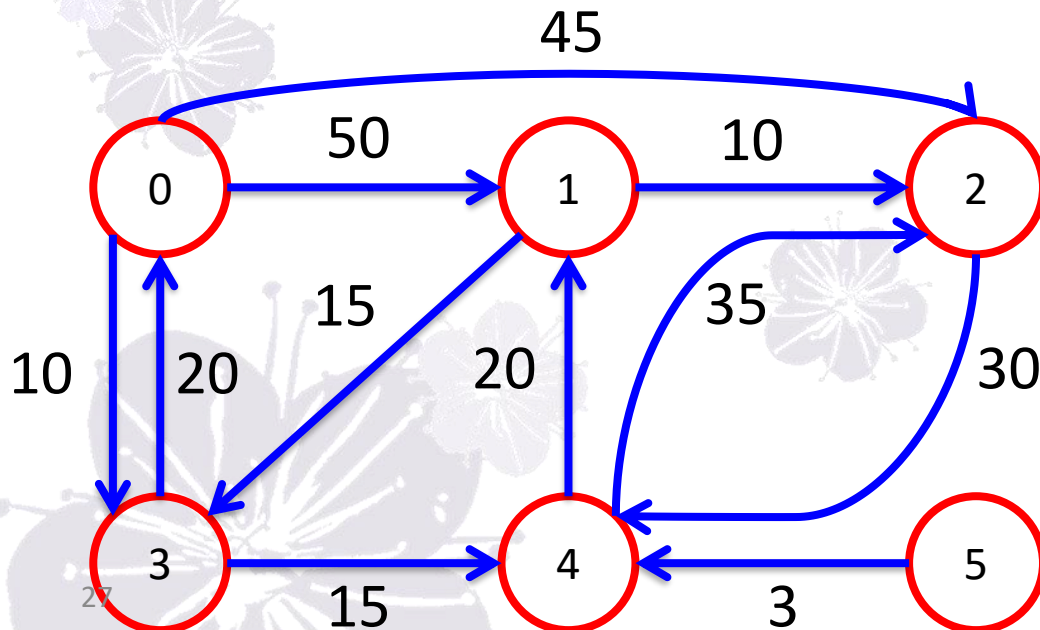
Connected graph



Spanning tree with cost 99

# Single Source Shortest Paths

- Given a **digraph** with **nonnegative edge costs**, we want to compute the **shortest path** from a source vertex to all other vertices.
- Single source/all destinations** problem.



Paths from 0 to 1:

0->1 : 50

0->2->4->1 : 95

...

0->3->4->1 : 45



# Dijkstra's Algorithm

“DIKE-stra” ([ˈdaɪk.stɹə])

- Similar to Prim's algorithm
- Use a set **S** to store the vertices whose shortest path have been found
- An array **dist** is used to store the shortest distances from source *V* to all vertices so far
- An array  **$\pi$**  is used to store the vertex's predecessor
- When a new vertex *w* is visited, update **dist** as:

$$\mathbf{dist[w] = \min(dist[u] + \text{length}(\langle u, w \rangle), dist[w])}$$

<sup>28</sup> *u* is the previously visited vertex which is adjacent to *w*

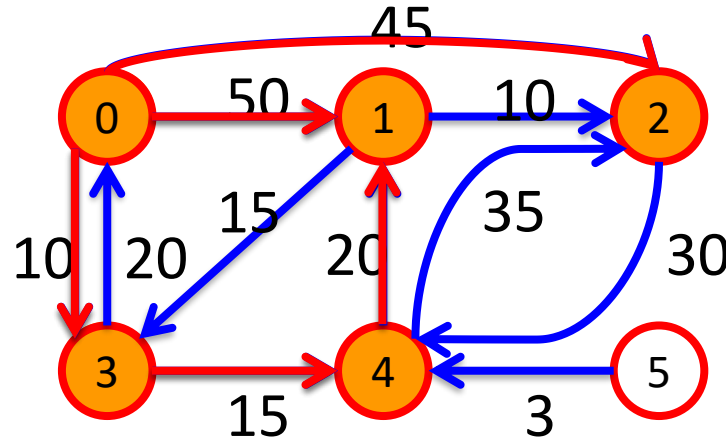
# Dijkstra's Algorithm

- Initialization: for  $i \in V$ , set  $\mathbf{dist}[i] = \text{length}[v][i]$ ,  $\mathbf{dist}[v] = 0$ ,  $\pi[i] = \text{NULL}$
- Steps:
  - Choose vertex  $u$  such that i)  $\mathbf{dist}[u]$  is minimum and ii) vertex  $u$  is not in  $S$ ; Add  $u$  to  $S$
  - Pick a vertex  $w$  not in  $S$ , if  $\mathbf{dist}[u] + \text{length}[u][w] < \mathbf{dist}[w]$ , then update:
    - $\mathbf{dist}[w] = \mathbf{dist}[u] + \text{length}[u][w]$
    - $\pi[w] = u$
- Repeat the above steps  $n-1$  times.





# Running Example



| vertex | $\pi$ |
|--------|-------|
| 0      | NULL  |
| 1      | NULL  |
| 2      | NULL  |
| 3      | NULL  |
| 4      | NULL  |
| 5      | NULL  |

| S               | 0 | 1  | 2  | 3  | 4        | 5        |
|-----------------|---|----|----|----|----------|----------|
| {0}             | 0 | 50 | 45 | 10 | $\infty$ | $\infty$ |
| {0, 3}          | 0 | 50 | 45 | 10 | 25       | $\infty$ |
| {0, 3, 4}       | 0 | 45 | 45 | 10 | 25       | $\infty$ |
| {0, 3, 4, 1}    | 0 | 45 | 45 | 10 | 25       | $\infty$ |
| {0, 3, 4, 1, 2} | 0 | 45 | 45 | 10 | 25       | $\infty$ |



# Dijkstra - How to Find the Path

- Retrieve the path from the source vertex to any vertex  $w$  with the help of array  $\pi$
- Lookup  $w$ 's predecessor with  $\pi[w]$  (suppose vertex  $u$ ), and  $u$ 's predecessor  $\pi[u]$  and so on, until we reach the source vertex.

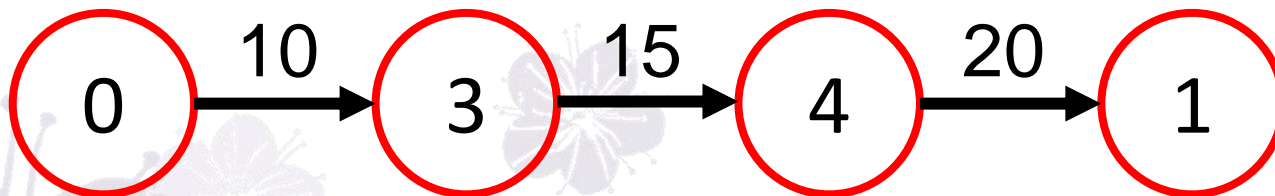


# Dijkstra - Finding the Path

Suppose we want to find the shortest path from **0** to **1**

$$\pi[1] = 0$$

| vertex | $\pi$ |
|--------|-------|
| 0      | NULL  |
| 1      | 4     |
| 2      | 0     |
| 3      | 0     |
| 4      | 3     |
| 5      | NULL  |



# Dijkstra's Algorithm

```
1. void MatrixWDigraph::Dijkstra(const int n, const int v)
2. { // dist[j], 0 ≤ j < n, stores the shortest path from v to j
3.   // length[i][j] stores length of edge <i, j>
4.   for(int i=0; i<n; i++){ s[i]=false; dist[i]=length[v][i];
5.     π[i]=NULL;}
6.   s[v] = true;
7.   dist[v] = 0;
8.   // find n - 1 paths starting from v
9.   for(int i=0; i<n-1 ;i++){ -----> O(n)
10.    // Choose a vertex u, such that dist[u]
11.    // is minimum and s[u] = false
12.    int u = Choose(n); -----> O(n)
13.    s[u] = true;
14.    for(int w=0; w<n; w++){ -----> O(n)
15.      if(!s[w] && dist[u] + length[u][w] < dist[w]){
16.        dist[w] = dist[u] + length[u][w];
17.        π[w]=u;
18.    } // end of for (i = 0; ...)
```

**Time complexity:  $O(n^2)$**

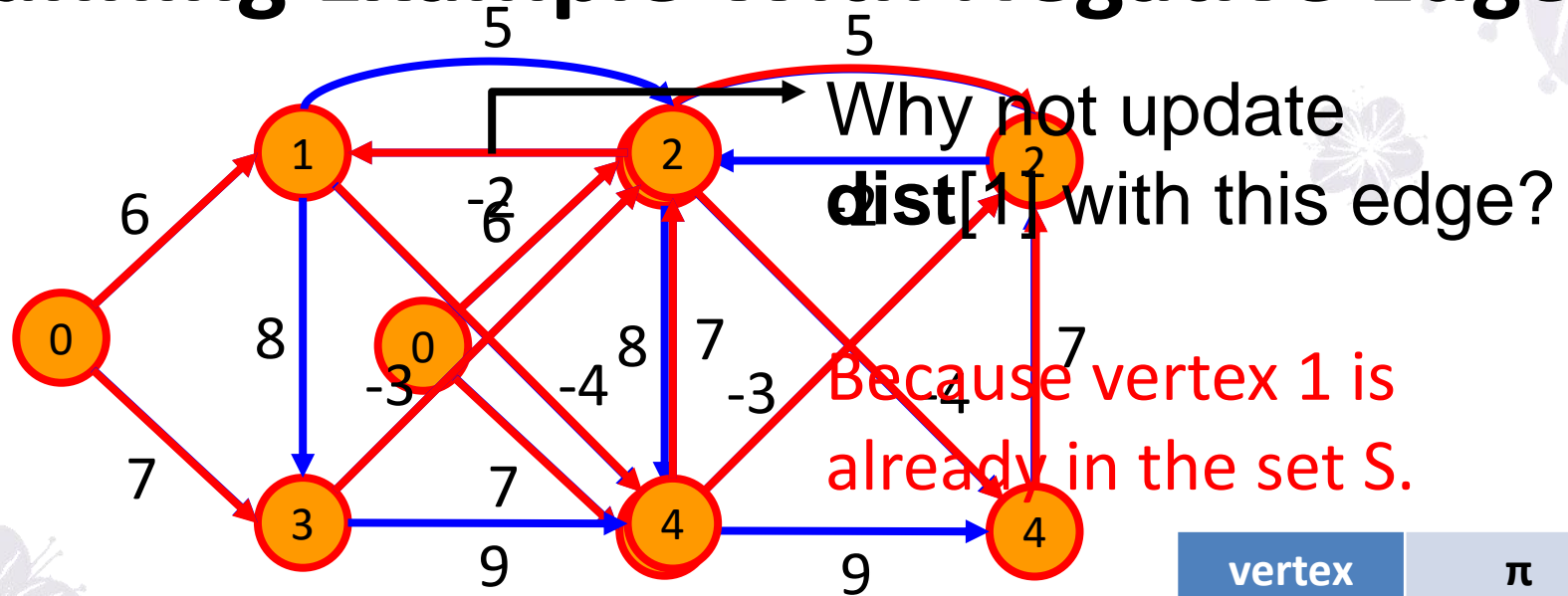


For Dijkstra algorithm, we assumed  
there is no edge with negative weight

What if such edges exist?



# Running Example With Negative Edge



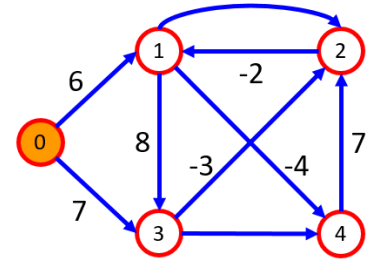
| S               | 0 | 1 | 2        | 3 | 4        |
|-----------------|---|---|----------|---|----------|
| {0}             | 0 | 6 | $\infty$ | 7 | $\infty$ |
| {0, 1}          | 0 | 6 | 11       | 7 | 2        |
| {0, 1, 4}       | 0 | 6 | 9        | 7 | 2        |
| {0, 1, 4, 3}    | 0 | 6 | 4        | 7 | 2        |
| {0, 1, 4, 3, 2} | 0 | 6 | 4        | 7 | 2        |

| vertex | $\pi$ |
|--------|-------|
| 0      | NULL  |
| 1      | NULL  |
| 2      | NULL  |
| 3      | NULL  |
| 4      | NULL  |

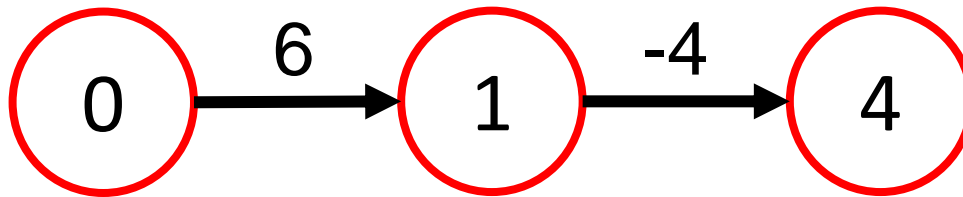
Steps:

- Choose vertex  $u$  such that i)  $\text{dist}[u]$  is minimum and ii) vertex  $u$  is not in the  $S$ ; Add  $u$  to  $S$
- Pick a vertex  $w$  not in the  $S$ , if  $\text{dist}[u] + \text{length}[u][w] < \text{dist}[w]$ , then update:
  - $\text{dist}[w] = \text{dist}[u] + \text{length}[u][w]$
  - $\pi[w] = u$

# Dijkstra Went Wrong

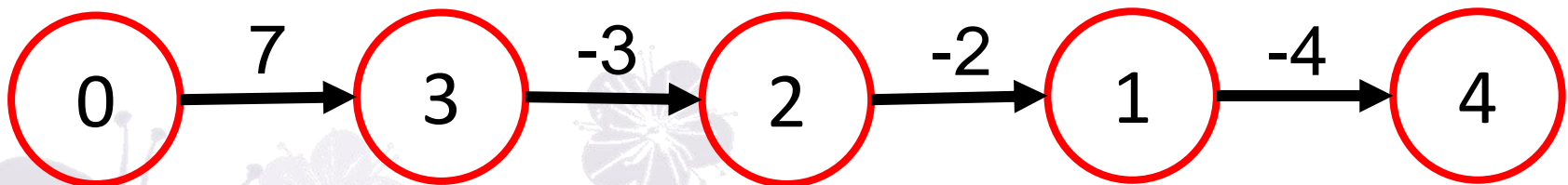


Dijkstra finds shortest path from 0 to 4 as:



| vertex | $\pi$ |
|--------|-------|
| 0      | NULL  |
| 1      | 0     |
| 2      | 3     |
| 3      | 0     |
| 4      | 1     |

The correct shortest path from 0 to 4 should be:



Dijkstra can't handle graphs with **negative edges**





# Bellman-Ford Algorithm

- Works when edge weights may be negative
- An array **dist** is used to store the shortest distances from source to all vertices so far
- An array  $\pi$  is used to store the vertex's predecessor
- Relaxes all edges at most  $|V|-1$  times
- Ability to detect negative cycles
- update **dist[]** using the equation:

$$\text{dist}[w] = \min(\text{dist}[u] + \text{length}(\langle u, w \rangle), \text{dist}[w])$$

u is the vertex adjacent to w

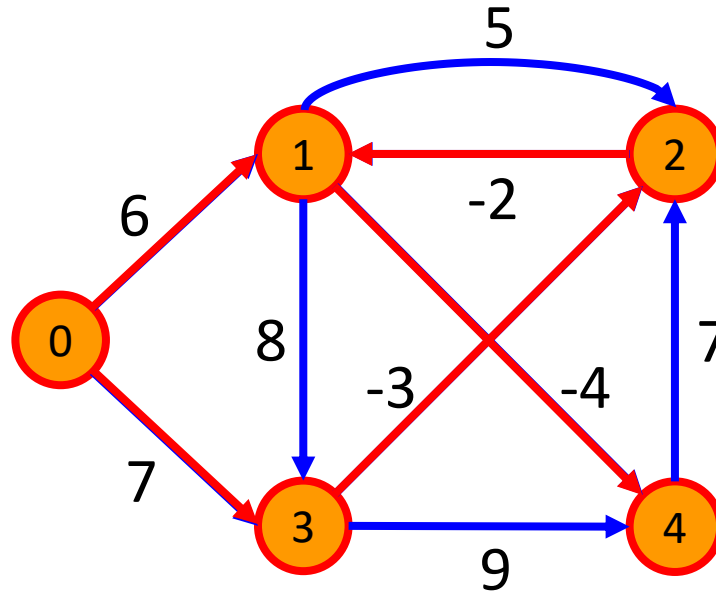
# Bellman-Ford Algorithm

- Initialize: for  $i \in V$ , set  $\mathbf{dist}[i] = \infty$ ,  $\pi[i] = \text{NULL}$
- For source  $\mathbf{v}$ ,  $\mathbf{dist}[v] = 0$
- Step:
  - For each edge  $\langle u, w \rangle \in E$ ,  
if  $\mathbf{dist}[u] + \mathbf{length}[u][w] < \mathbf{dist}[w]$ , then update
    - $\mathbf{dist}[w] = \mathbf{dist}[u] + \mathbf{length}[u][w]$
    - $\pi[w] = u$
- Repeat the above step  $|V| - 1$  times
- Check whether the graph has a negative cycle



# Running Example

$i=2$



| vertex | $\pi$ |
|--------|-------|
| 0      | NULL  |
| 1      | 0     |
| 2      | 1     |
| 3      | 1     |
| 4      | NULL  |

| 0               | 1        | 2        | 3        | 4        |
|-----------------|----------|----------|----------|----------|
| 0               | $\infty$ | $\infty$ | $\infty$ | $\infty$ |
| 0               | 6        | $\infty$ | 7        | $\infty$ |
| 0               | 6        | 4        | 7        | 2        |
| 0               | 2        | 4        | 7        | 2        |
| 0 <sup>39</sup> | 2        | 4        | 7        | -2       |

$\langle u, w \rangle = \langle 1, 4 \rangle$

$\text{dist}[1] + \text{length}[1][4] < \text{dist}[4]$ ?

$2 + -4 < 2$  **YES!** Update  $\text{dist}[4] = -2$

• Step:

– For each edge  $\langle u, w \rangle \in E$ ,  
if  $\text{dist}[u] + \text{length}[u][w] < \text{dist}[w]$ , then update

•  $\text{dist}[w] = \text{dist}[u] + \text{length}[u][w]$

•  $\pi[w] = u$

• Repeat the above step  $|V| - 1$  times

# Bellman-Ford - How to Find the Path

- After the algorithm, we can find the shortest path from the source vertex to a vertex **w** with the array  $\pi$
- We use  $\pi[w]$  to find vertex **w**'s predecessor (suppose vertex **u**) and **u**'s predecessor and so on, until the source vertex is reached

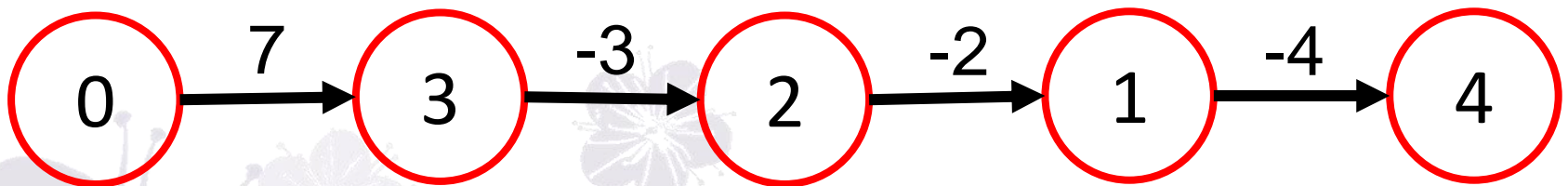


# Bellman-Ford - Find the Path (Similar to Dijkstra)

Suppose we want to find the shortest path from 0 to 4

| vertex | $\pi$ |
|--------|-------|
| 0      | NULL  |
| 1      | 2     |
| 2      | 3     |
| 3      | 0     |
| 4      | 1     |

$$\pi[3]=0$$



# Bellman-Ford Algorithm

```
1. bool MatrixWDigraph::Bellman_Ford (const int n, const int v)
2. { // dist[j], 0 ≤ j < n, stores the shortest path from v to j
3.   // length[i][j] stores length of edge <i, j>
4.   // π[i] stores the predecessor of i
5.   for(int i=0; i<n; i++){ π[i]=NULL; dist[i]=∞; } // initialize
6.   dist[v] = 0;
7.   // find n - 1 paths starting from v
8.   for(int i=1; i<=n-1 ;i++){ -----> O(n)
9.     for each edge <u,w> ∈ E -----> O(|E|)
10.      if(dist[u] + length[u][w] < dist[w]){
11.        dist[w] = dist[u] + length[u][w];
12.        π[w]=u;
13.      }
14.   } // end of for (i = 1; ...)
15.   for each edge <u,w> ∈ E -----> O(|E|)
16.     if(dist[u] + length[u][w] < dist[w])
17.       return false; // have a negative cycle
18.   return true;
19. }
```

**Time complexity:  $O(n | E |)$**

# All-Pairs Shortest Paths

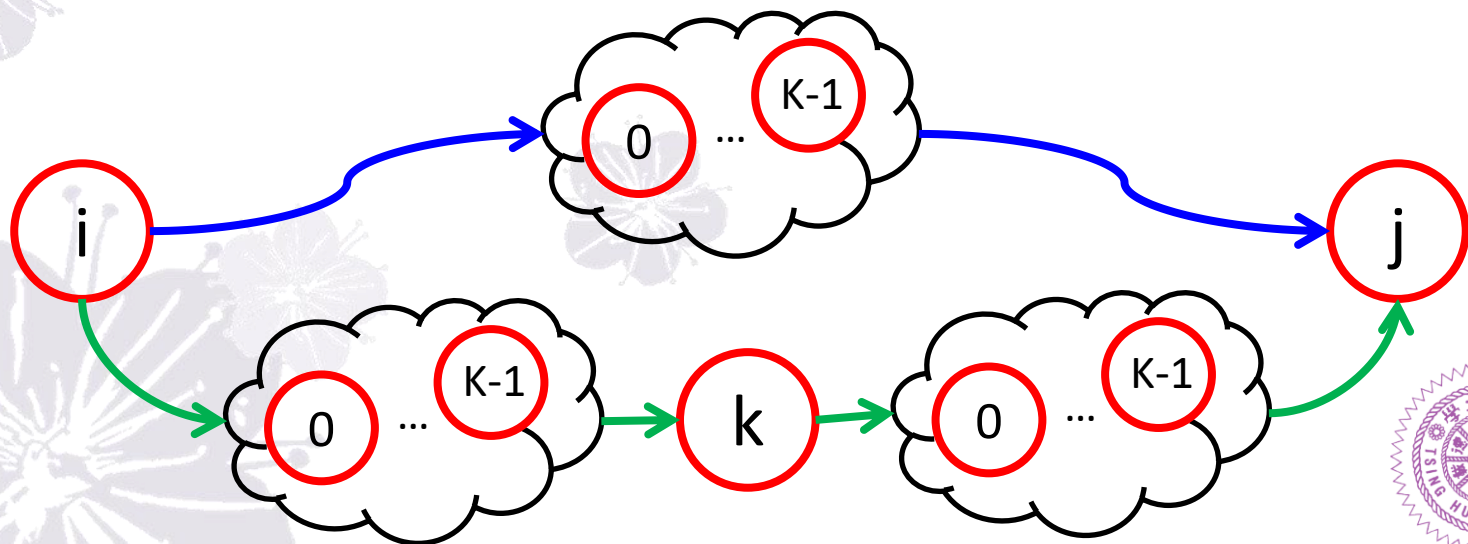
- One approach: Applying single source shortest path to each of  $n$  vertices
- Another approach: Floyd-Warshall's algorithm
- We number the vertices from 0 to  $n-1$ , and maintain an array  $\mathbf{A}$ 
  - $\mathbf{A}^{-1}[i][j]$ : is just the  $\text{length}[i][j]$
  - $\mathbf{A}^{n-1}[i][j]$ : the length of the shortest  $i$ -to- $j$  path in  $G$
  - $\mathbf{A}^k[i][j]$ : the length of the shortest path from  $i$  to  $j$  going **through no intermediate vertex of index greater than  $k$**
- $\mathbf{A}^k[i][j] = \min\{\mathbf{A}^{k-1}[i][j], \mathbf{A}^{k-1}[i][k] + \mathbf{A}^{k-1}[k][j]\}, k \geq 0$



# Floyd-Warshall's Algorithm

- There are only two possible paths for  $A^k[i][j]$ !
  - The path does not pass vertex  $k$ .
  - The path does pass vertex  $k$ .

$$A^k[i][j] = \min\{ A^{k-1}[i][j], A^{k-1}[i][k] + A^{k-1}[k][j] \}, k \geq 0$$



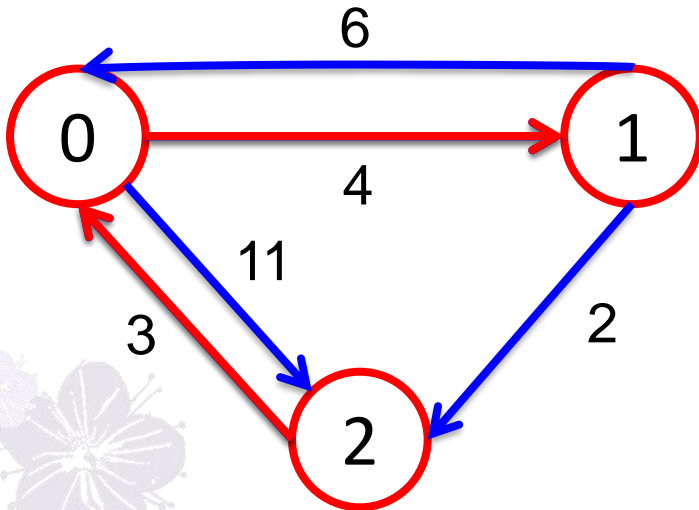


# Floyd-Warshall's Algorithm

- Array **A** stores the shortest distance between vertex **i** and **j** in **V**
- Array **p** stores the vertices in the path from vertex **i** to **j**
- Initialize: Set  $\mathbf{A}^{-1}[i][j] = \mathbf{length}[i][j]$ ,  $\mathbf{p}[i][j] = -1$
- For  $k=0$  to  $n-1$ , if  $\mathbf{A}^{k-1}[i][k] + \mathbf{A}^{k-1}[k][j] < \mathbf{A}^{k-1}[i][j]$ , update  $\mathbf{A}^k[i][j] = \mathbf{A}^{k-1}[i][k] + \mathbf{A}^{k-1}[k][j]$ ,  $\mathbf{p}[i][j] = k$
- Finally  $\mathbf{A}^{n-1}[i][j]$  is the shortest distance from vertex **i** to **j**



# Running Example



| $A^{-1}$ | 0 | 1        | 2  |
|----------|---|----------|----|
| 0        | 0 | 4        | 11 |
| 1        | 6 | 0        | 2  |
| 2        | 3 | $\infty$ | 0  |

| p | 0  | 1  | 2  |
|---|----|----|----|
| 0 | -1 | -1 | -1 |
| 1 | -1 | -1 | -1 |
| 2 | -1 | -1 | -1 |

$$A^0[2][1] = \min(A^{-1}[2][1], A^{-1}[2][0] + A^{-1}[0][1])$$

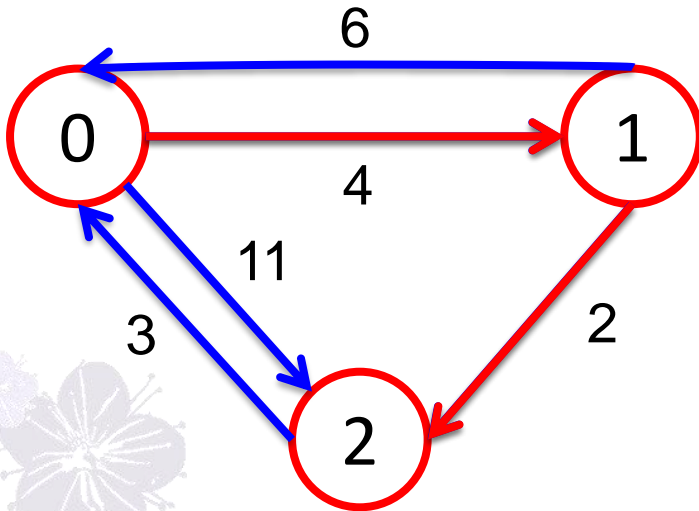
$$A^0[2][1] = \min(\infty, 3 + 4) = 7$$

$$A^0[1][2] = \min(A^{-1}[1][2], A^{-1}[1][0] + A^{-1}[0][2])$$

$$A^0[1][2] = \min(2, 6 + 11) = 2$$



# Running Example



| $A^0$ | 0 | 1 | 2  |
|-------|---|---|----|
| 0     | 0 | 4 | 11 |
| 1     | 6 | 0 | 2  |
| 2     | 3 | 7 | 0  |

| p | 0  | 1  | 2  |
|---|----|----|----|
| 0 | -1 | -1 | -1 |
| 1 | -1 | -1 | -1 |
| 2 | -1 | 0  | -1 |

$$A^1[2][0] = \min(A^0[2][0], A^0[2][1] + A^0[1][0])$$

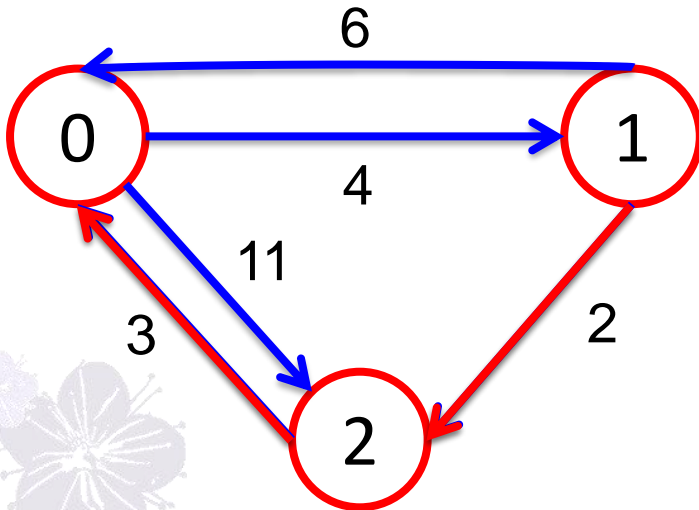
$$A^1[2][0] = \min(3, 7 + 6) = 3$$

$$A^1[0][2] = \min(A^0[0][2], A^0[0][1] + A^0[1][2])$$

$$A^1[0][2] = \min(11, 4 + 2) = 6$$



# Running Example



| $A^1$ | 0 | 1 | 2 |
|-------|---|---|---|
| 0     | 0 | 4 | 6 |
| 1     | 6 | 0 | 2 |
| 2     | 3 | 7 | 0 |

| $p$ | 0  | 1  | 2  |
|-----|----|----|----|
| 0   | -1 | -1 | 1  |
| 1   | -1 | -1 | -1 |
| 2   | -1 | 0  | -1 |

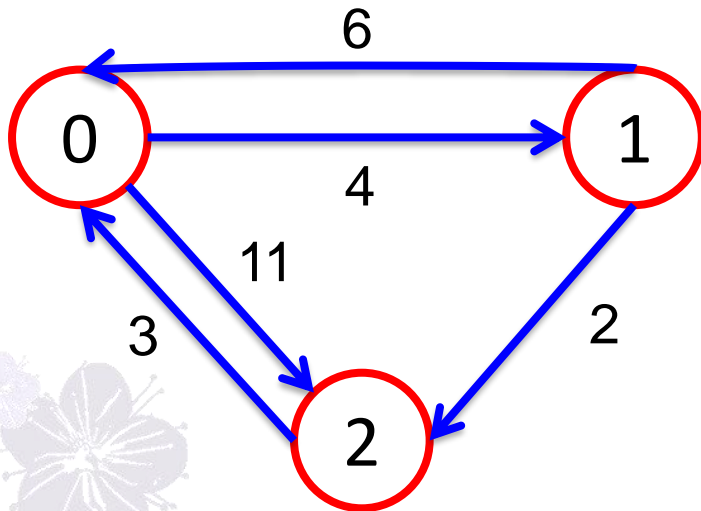
$$A^2[0][1] = \min(A^1[0][1], A^1[0][2] + A^1[2][1])$$

$$A^2[0][1] = \min(4, 6 + 7) = 4$$

$$A^2[1][0] = \min(A^1[1][0], A^1[1][2] + A^1[2][0])$$

$$A^2[1][0] = \min(6, 2 + 3) = 5$$

# Running Example



| $A^2$ | 0 | 1 | 2 |
|-------|---|---|---|
| 0     | 0 | 4 | 6 |
| 1     | 5 | 0 | 2 |
| 2     | 3 | 7 | 0 |

| p | 0  | 1  | 2  |
|---|----|----|----|
| 0 | -1 | -1 | 1  |
| 1 | 2  | -1 | -1 |
| 2 | -1 | 0  | -1 |

# Floyd-Warshall - How to Find the Path

- With the help of array **p**
- If  $\mathbf{p}[i][j] = -1$ , no vertex is needed to go through for the shortest path from **i** to **j**
- Otherwise, lookup  $\mathbf{p}[i][j]$  to find vertex required to go through (suppose vertex **k**), and then find the shortest path from **i** to **k** and from **k** to **j**



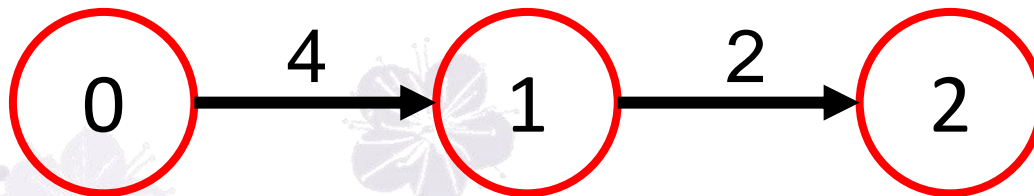
# Floyd-Warshall find the path

Suppose we want to find the shortest path from 0 to 2

$$p[0][2]=1$$

| p | 0  | 1  | 2  |
|---|----|----|----|
| 0 | -1 | -1 | 1  |
| 1 | 2  | -1 | -1 |
| 2 | -1 | 0  | -1 |

$$p[0][1]=-1 \quad p[1][2]=-1$$



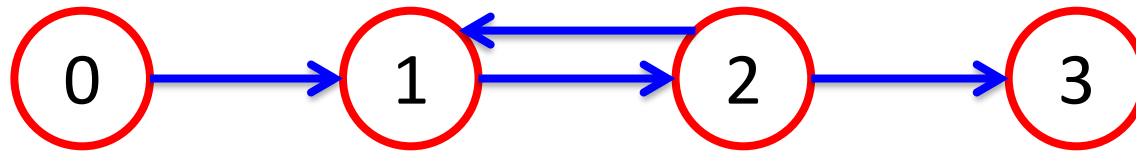
# Floyd-Warshall's Algorithm

```
1. void MatrixWDigraph::AllLengths(const int n)
2. { // length[n][n] stores edge length between
   // adjacent vertices
3.   // a[i][j] stores the shortest path from i to j
4.   for (int i = 0; i<n; i++) -----> O(n)
5.     for (int j = 0; j<n; j++) -----> O(n)
6.       a[i][j]= length[i][j];
7.   // path with top vertex index k
8.   for (int k= 0; k<n; k++) -----> O(n)
9.     // all other possible vertices
10.    for (int i= 0; i<n; i++)-----> O(n)
11.      for (int j= 0; j<n; j++)-----> O(n)
12.        if((a[i][k]+a[k][j])<a[i][j]){
13.          a[i][j] = a[i][k] + a[k][j];
14.          p[i][j] = k;
15.        }
16. }
```

**Time complexity:  $O(n^3)$**



# Transitive Closure



| $A^+$ | 0 | 1 | 2 | 3 |
|-------|---|---|---|---|
| 0     | 0 | 1 | 1 | 1 |
| 1     | 0 | 1 | 1 | 1 |
| 2     | 0 | 1 | 1 | 1 |
| 3     | 0 | 0 | 0 | 0 |

Transitive closure matrix

| $A^*$ | 0 | 1 | 2 | 3 |
|-------|---|---|---|---|
| 0     | 1 | 1 | 1 | 1 |
| 1     | 0 | 1 | 1 | 1 |
| 2     | 0 | 1 | 1 | 1 |
| 3     | 0 | 0 | 0 | 1 |

Reflexive transitive closure matrix

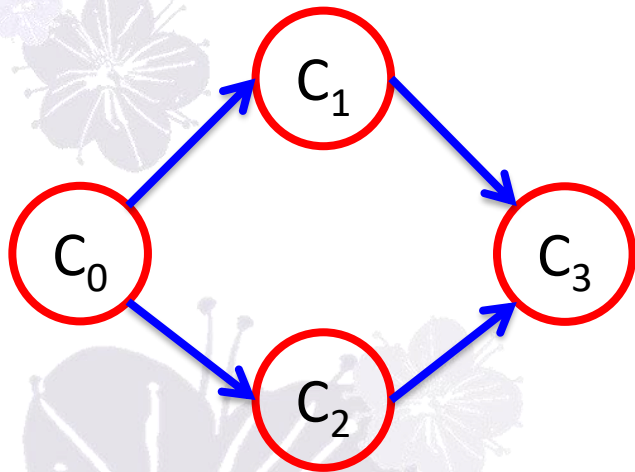
# Transitive Closure

- The **transitive closure matrix  $A^+$** :
  - $A^+$  is a matrix such that  $A^+[i][j] = 1$  if there is a **path of length  $> 0$  from  $i$  to  $j$**  in the graph; otherwise,  $A^+[i][j] = 0$ .
- The **reflexive transitive closure matrix  $A^*$** :
  - $A^*$  is a matrix such that  $A^*[i][j] = 1$  if there is a **path of length  $\geq 0$  from  $i$  to  $j$**  in the graph; otherwise,  $A^*[i][j] = 0$ .
- Use Floyd-Warshall's algorithm!
  - $A^k[i][j] = A^{k-1}[i][j] \text{ || } ( A^{k-1}[i][k] \text{ \&\& } A^{k-1}[k][j] );$



# Activity-on-Vertex (AOV) Networks

- A digraph  $G$  where the vertices represent tasks or activities and the edges represent precedence relations between tasks.



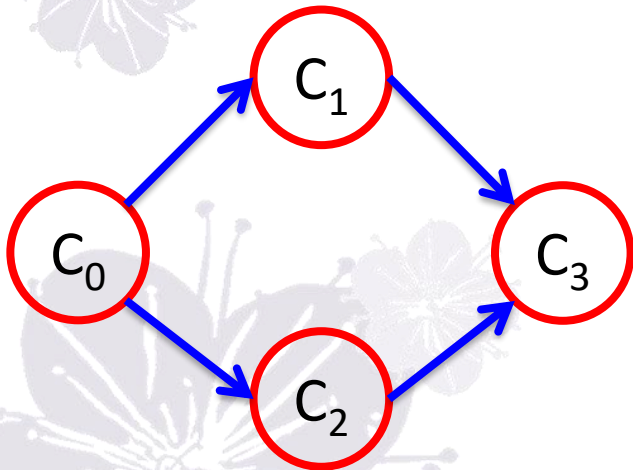
## Predecessor :

Vertex  $i$  is a predecessor of vertex  $j$ , iff there is a directed path from vertex  $i$  to vertex  $j$ .

# AOV Network

- **Topological order:**

- A **linear ordering** of the vertices of a graph such that, for any two vertices  $i$  and  $j$ , if  $i$  is a predecessor of  $j$  in the network, then  $i$  precedes  $j$  in the linear ordering.



$C_0 \rightarrow C_1 \rightarrow C_2 \rightarrow C_3$  (O)

$C_0 \rightarrow C_2 \rightarrow C_1 \rightarrow C_3$  (O)

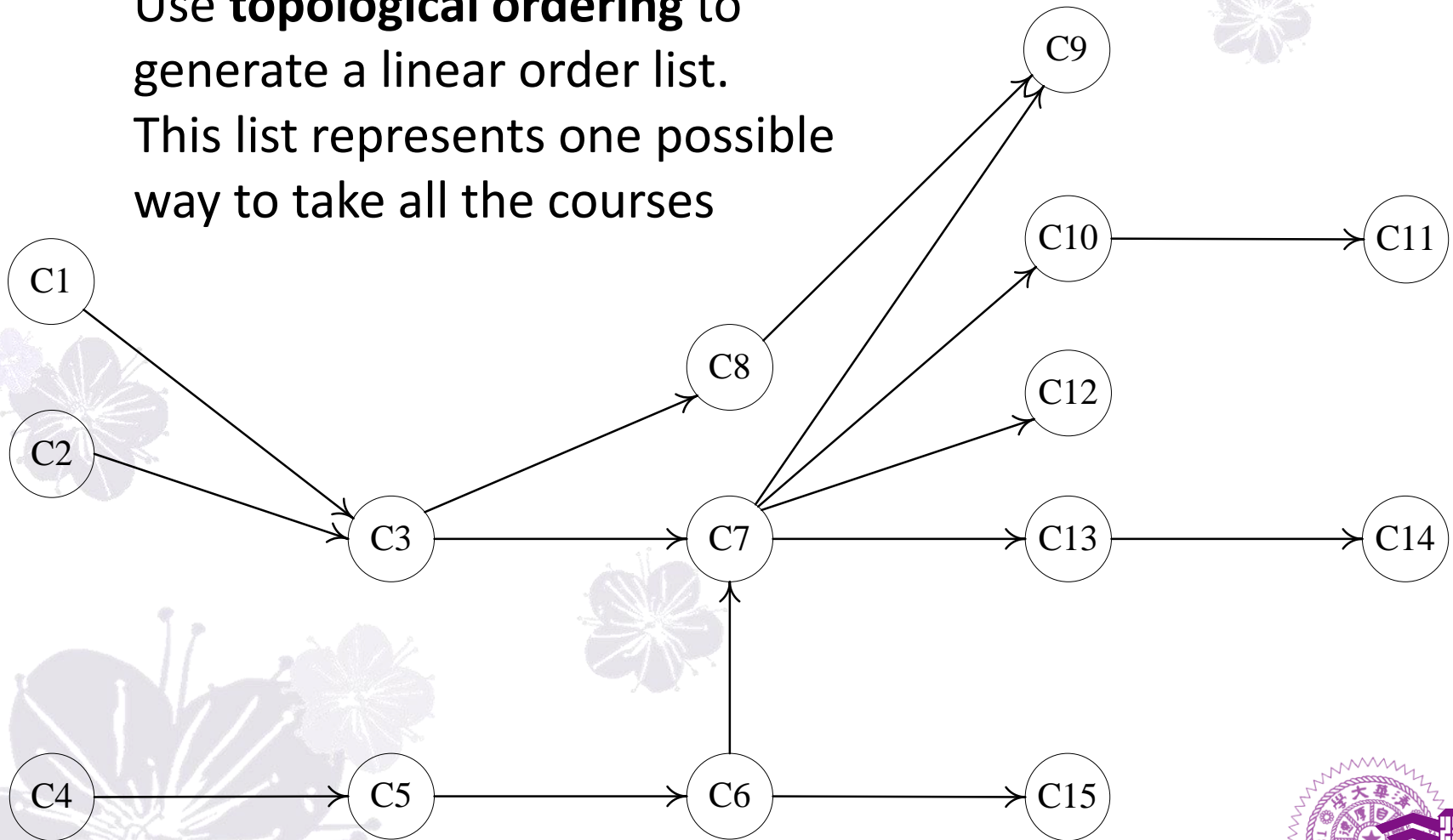
$C_0 \rightarrow C_2 \rightarrow C_3 \rightarrow C_1$  (X)

# Application

| Course No. | Course                  | Prerequisites |
|------------|-------------------------|---------------|
| C1         | Programming I           | None          |
| C2         | Discrete Mathematics    | None          |
| C3         | Data Structures         | C1, C2        |
| C4         | Calculus I              | None          |
| C5         | Calculus II             | C4            |
| C6         | Linear Algebra          | C5            |
| C7         | Analysis of Algorithms  | C3, C6        |
| C8         | Assembly Language       | C3            |
| C9         | Operating Systems       | C7, C8        |
| C10        | Programming Languages   | C7            |
| C11        | Compiler Design         | C10           |
| C12        | Artificial Intelligence | C7            |
| C13        | Computational Theory    | C7            |
| C14        | Parallel Algorithms     | C13           |
| C15        | Numerical Analysis      | C5            |

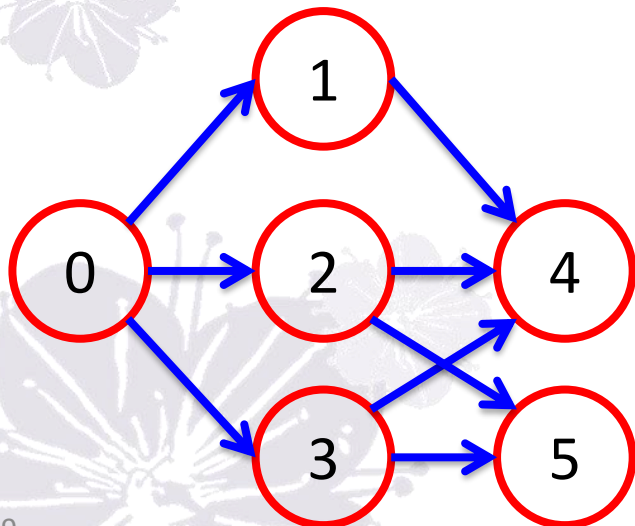
# AOV Network of Courses

Use **topological ordering** to generate a linear order list.  
This list represents one possible way to take all the courses



# Topological Ordering

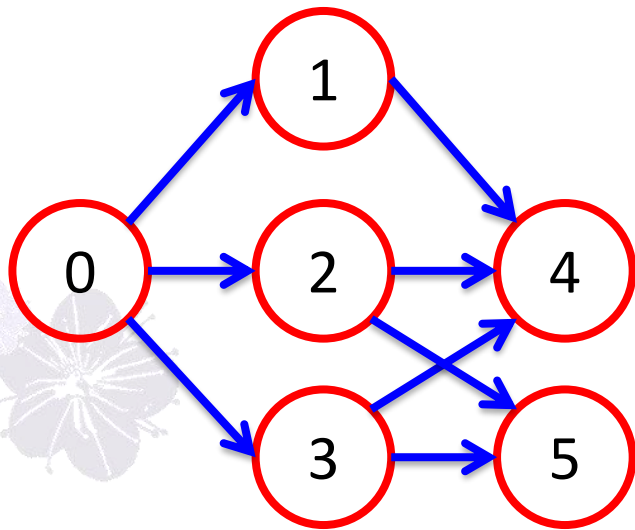
- Iteratively pick a vertex  $v$  that has no predecessors.
  - Use an additional field “count” to record the “in-degree” value of each vertex.



adjLists

|   |     |   |      |   |   |   |   |   |
|---|-----|---|------|---|---|---|---|---|
| 0 | [0] | → | 1    | → | 2 | → | 3 | 0 |
| 1 | [1] | → | 4    | 0 |   |   |   |   |
| 1 | [2] | → | 4    | → | 5 | 0 |   |   |
| 1 | [3] | → | 5    | → | 4 | 0 |   |   |
| 3 | [4] | → | NULL |   |   |   |   |   |
| 2 | [5] | → | NULL |   |   |   |   |   |

# Running Example



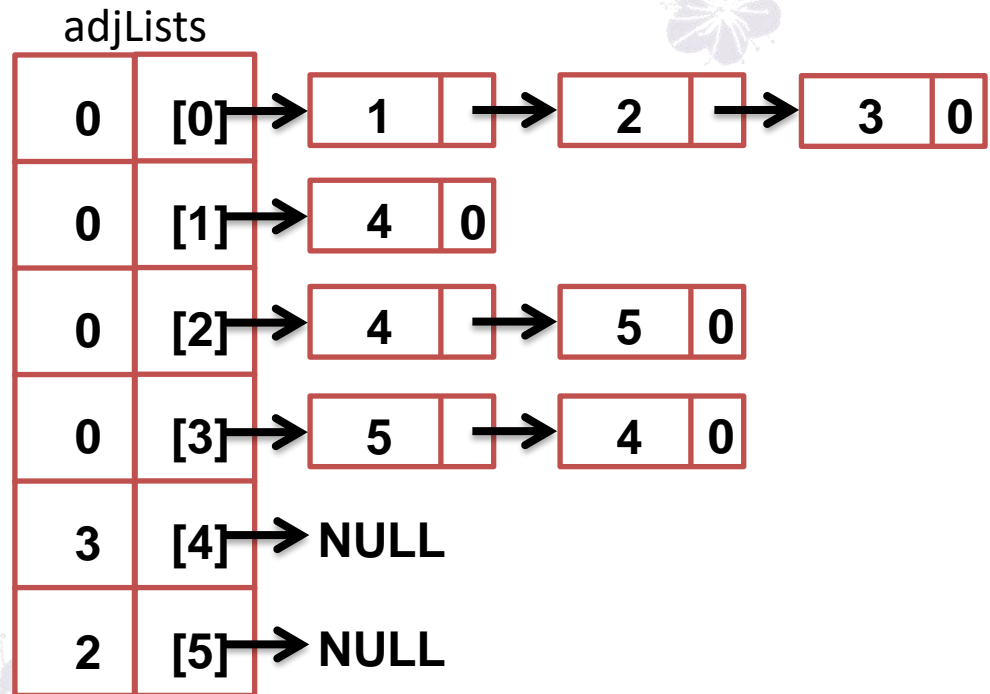
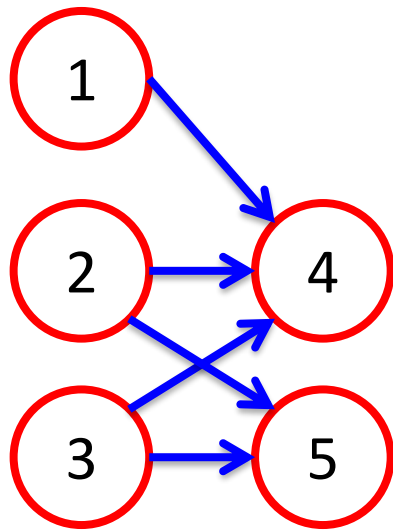
adjLists

|   |     |   |      |   |   |   |   |   |
|---|-----|---|------|---|---|---|---|---|
| 0 | [0] | → | 1    | → | 2 | → | 3 | 0 |
| 1 | [1] | → | 4    | 0 |   |   |   |   |
| 1 | [2] | → | 4    | → | 5 | 0 |   |   |
| 1 | [3] | → | 5    | → | 4 | 0 |   |   |
| 3 | [4] | → | NULL |   |   |   |   |   |
| 2 | [5] | → | NULL |   |   |   |   |   |

Ordered list:

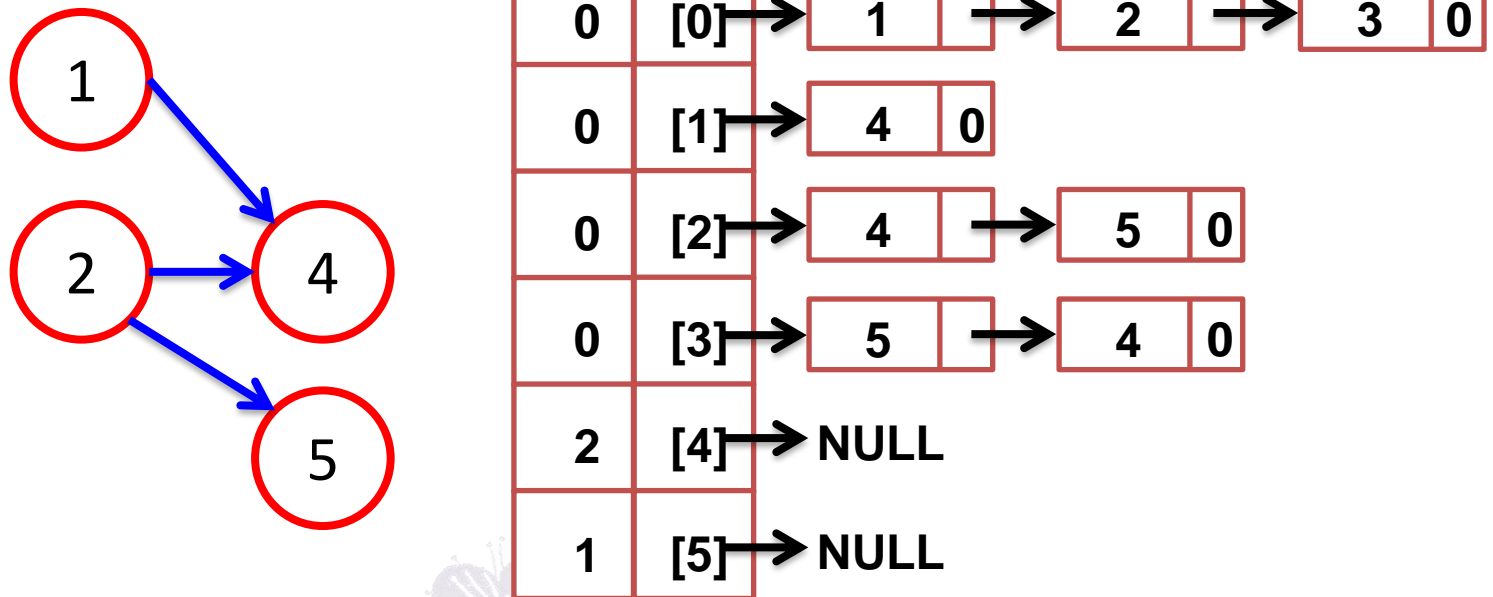


# Running Example

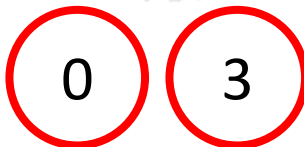


Ordered list: 0

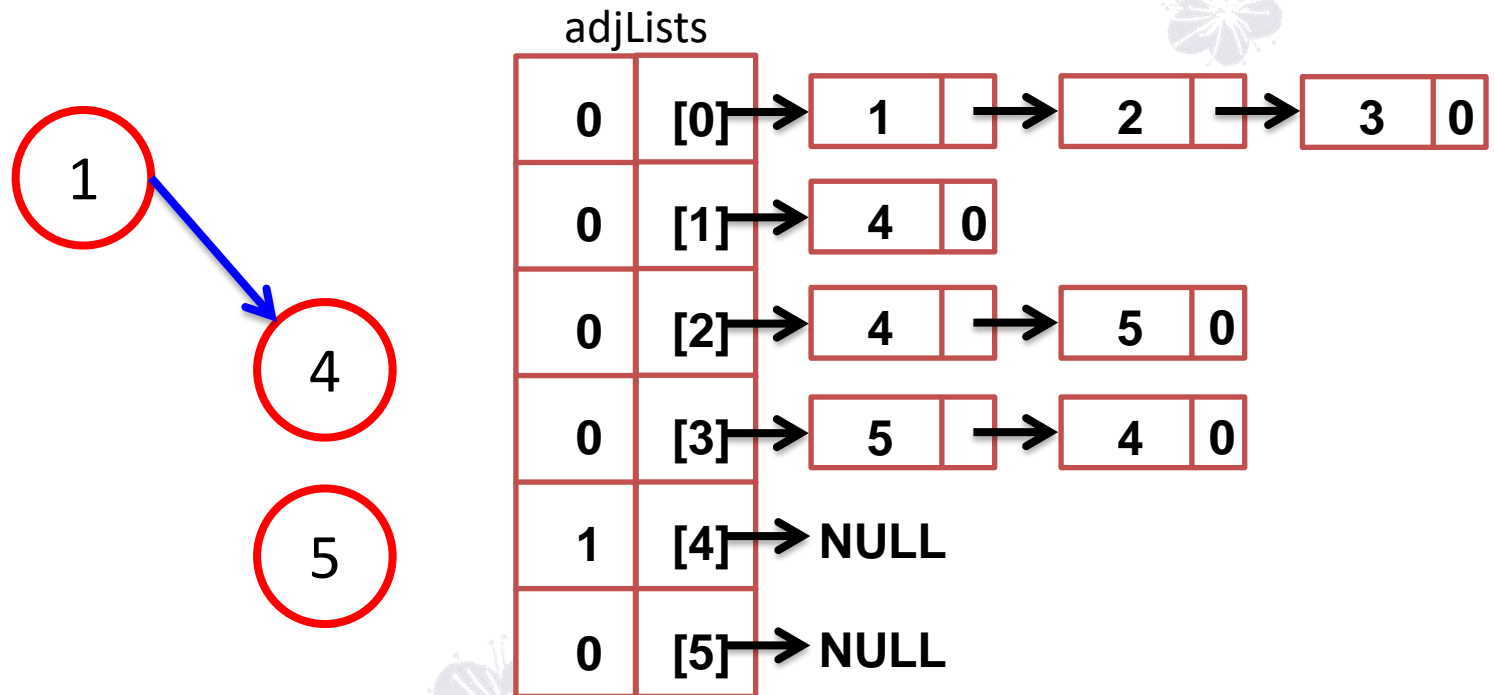
# Running Example



Ordered list:



# Running Example



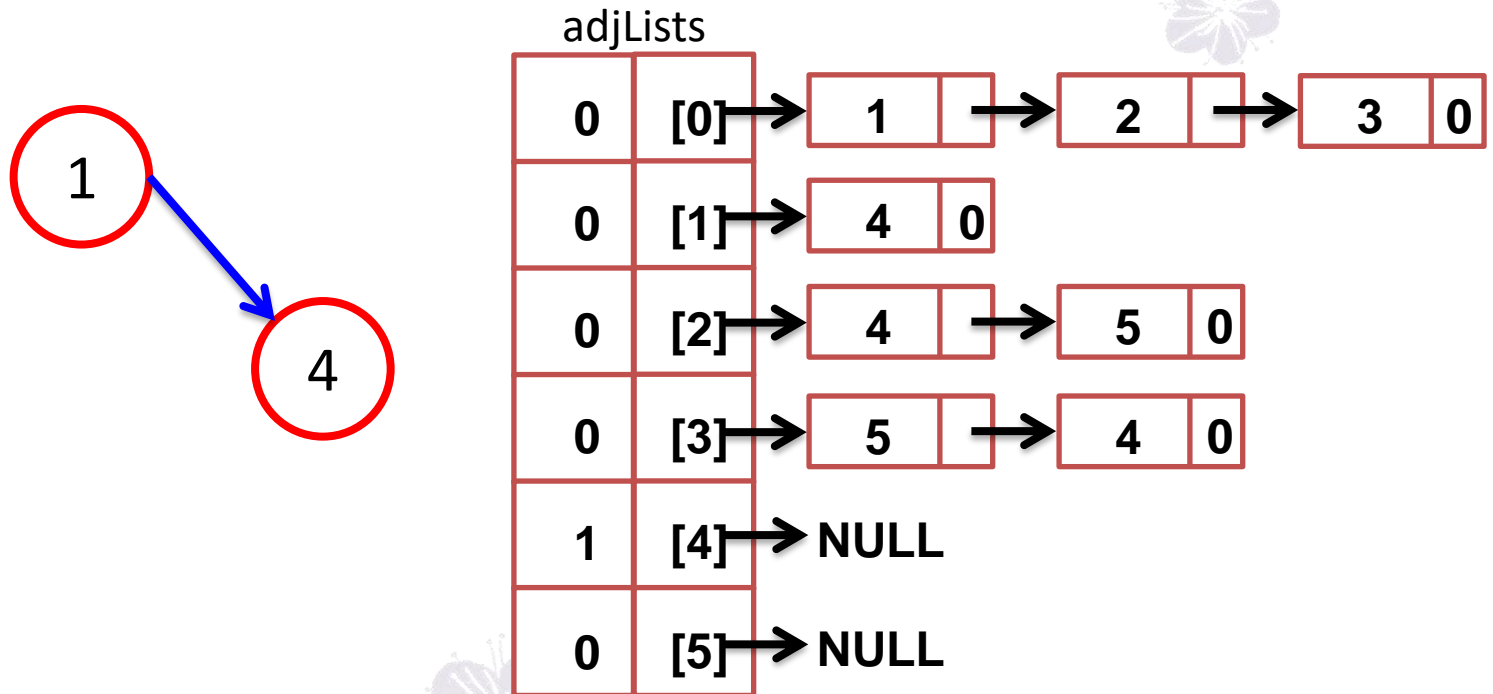
Ordered list:

0

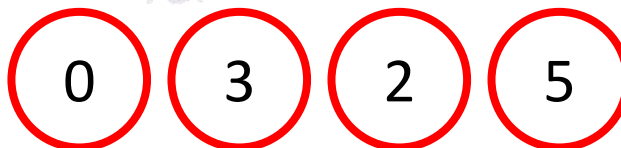
3

2

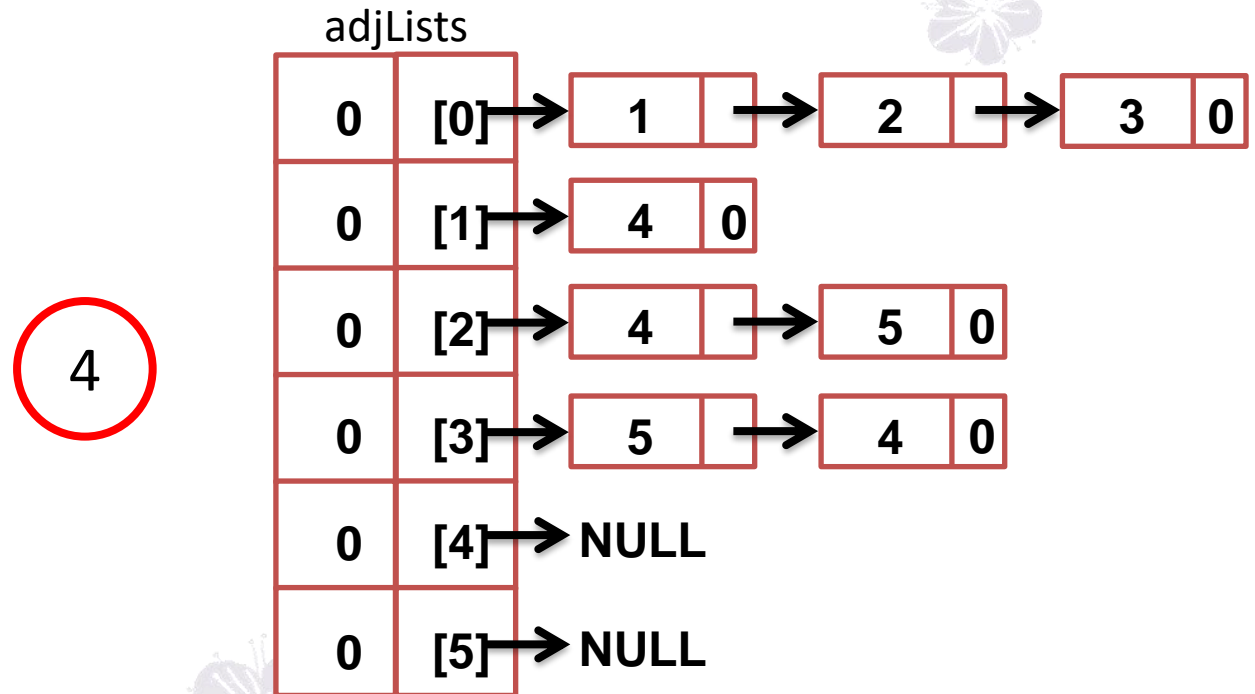
# Running Example



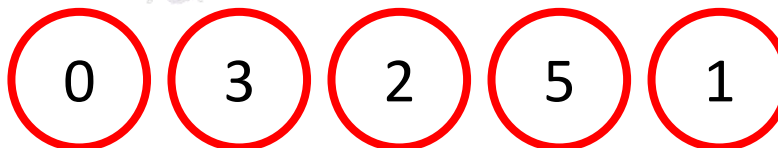
Ordered list:



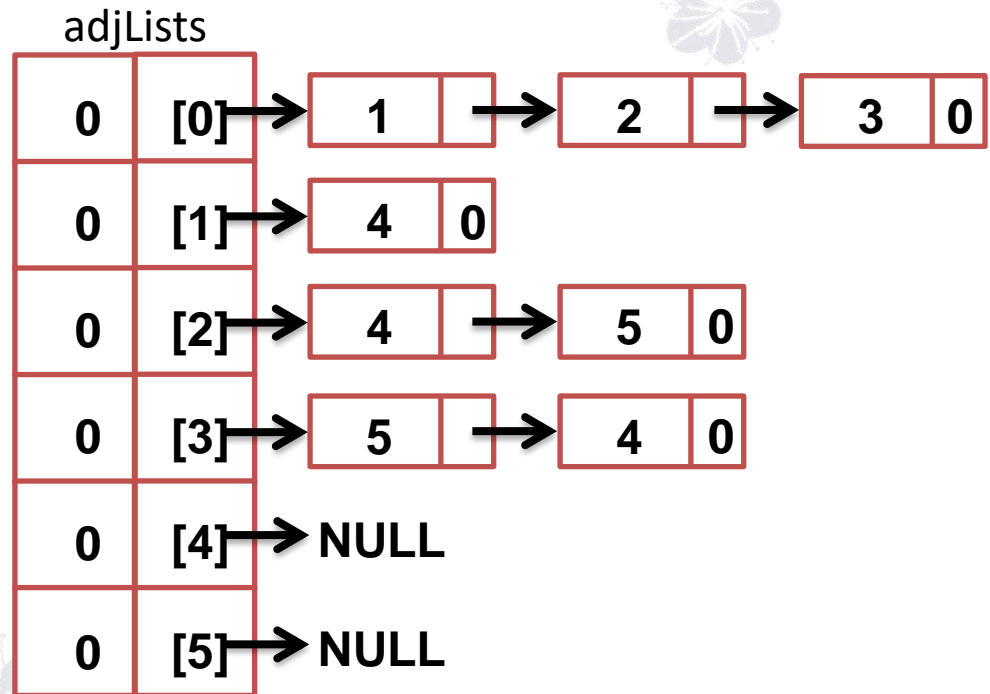
# Running Example



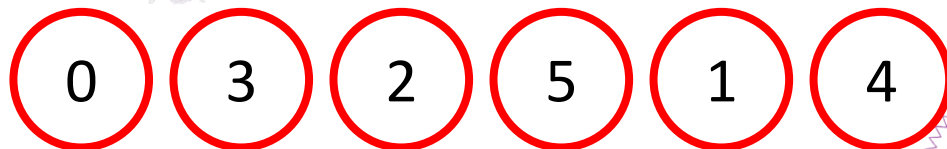
Ordered list:



# Running Example

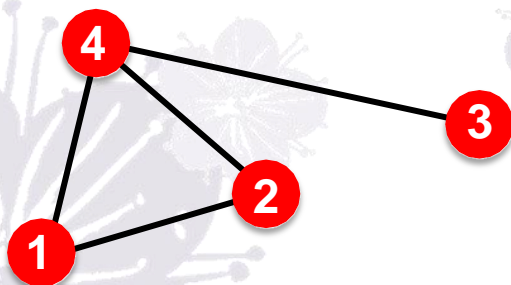


Ordered list:



# Intuition: Powers of Adj Matrices

- Computing #paths between two nodes
  - Recall:  $A_{uv} = 1$  if  $u \in N(v)$
  - Let  $P_{uv}^{(K)} = \text{\#paths of length } K \text{ between } u \text{ and } v$
  - We will show  $P^{(K)} = A^k$
  - $P_{uv}^{(1)} = \text{\#paths of length 1 (direct neighborhood) between } u \text{ and } v = A_{uv}$



$$P_{12}^{(1)} = A_{12}$$
$$A = \begin{pmatrix} 0 & 1 & 0 & 1 \\ 1 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 \\ 1 & 1 & 1 & 0 \end{pmatrix}$$



# Intuition: Powers of Adj Matrices

- How to compute  $P_{uv}^{(2)}$  ?
  - Step 1: Compute **#paths** of length 1 **between each of  $u$ 's neighbor and  $v$**
  - Step 2: **Sum up** these #paths across  $u$ 's neighbors

- $P_{uv}^{(2)} = \sum_i A_{ui} * P_{iv}^{(1)} = \sum_i A_{ui} * A_{iv} = A^2_{uv}$

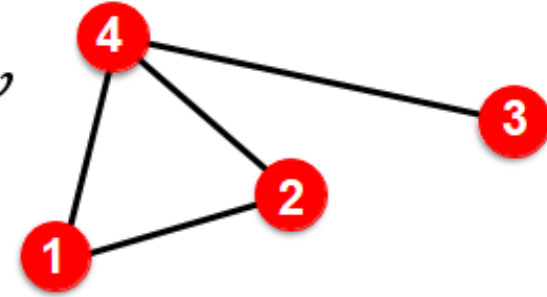




# Intuition: Powers of Adj Matrices

$$P_{uv}^{(2)} = \sum_i A_{ui} * P_{iv}^{(1)} = \sum_i A_{ui} * A_{iv} = A_{uv}^2$$

從u到某個node i是否存在path (either 1 or 0) 乘上 從 node i到v的path個數。把所有i的情況加起來



Example: we'd like to compute  $P_{12}^{(2)}$ , i.e.,  $u=1, v=2$

$$P_{12}^{(2)} = \sum_i A_{1i} * P_{i2}^{(1)} = A_{11} * P_{12}^{(1)} + A_{12} * P_{22}^{(1)} + A_{13} * P_{32}^{(1)} + A_{14} * P_{42}^{(1)}$$

$$= 0*1 + 1*0 + 0*0 + 1*1 = 1$$

Node 1's neighbors

#paths of length 1 between Node 1's neighbors and Node 2

$$P_{12}^{(2)} = A_{12}^2$$

$$A^2 = \begin{pmatrix} 0 & 1 & 0 & 1 \\ 1 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 \\ 1 & 1 & 1 & 0 \end{pmatrix} \times \begin{pmatrix} 0 & 1 & 0 & 1 \\ 1 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 \\ 1 & 1 & 1 & 0 \end{pmatrix} = \begin{pmatrix} 2 & 1 & 1 & 1 \\ 1 & 2 & 1 & 1 \\ 1 & 1 & 1 & 0 \\ 1 & 1 & 0 & 3 \end{pmatrix}$$

Power of adjacency

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# Global Neighborhood Overlap

- **Katz index:** count the number of paths of all lengths between a pair of nodes.
- How to compute #paths between two nodes?
- Use **adjacency matrix powers!**
  - $A_{uv}$  specifies #paths of length 1 (direct neighborhood) between  $u$  and  $v$ .
  - $A_{uv}^2$  specifies #paths of **length 2** (neighbor of neighbor) between  $u$  and  $v$ .
  - And,  $A_{uv}^l$  specifies #paths of **length  $l$** .

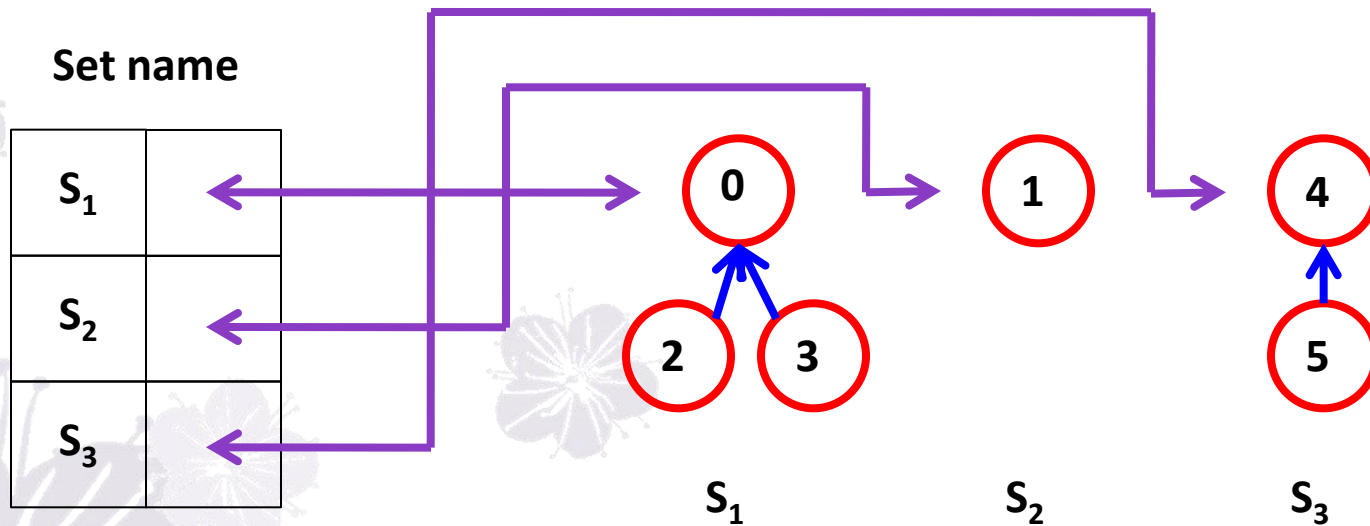


# APPENDIX – RECAP OF SET UNION



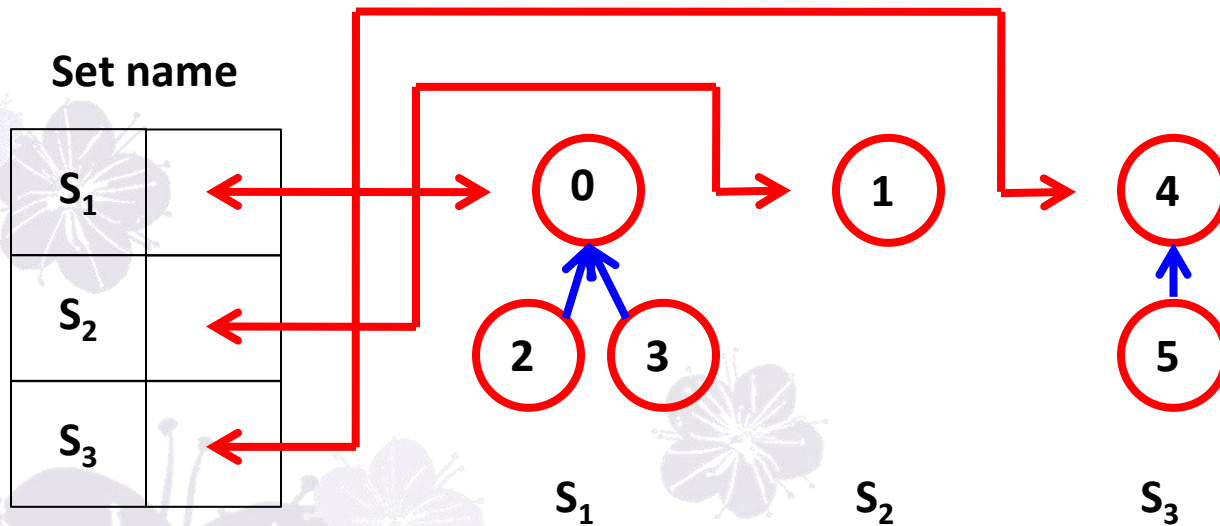
# DS: Tree Representation

- Link elements of a subset to form a tree
  - Link children to root
  - Link root to set name



# DS: Tree Representation

- Use an array to store the tree
- Identify the set by the root of the tree



T[0]

-1

T[1]

-1

T[2]

0

T[3]

0

T[4]

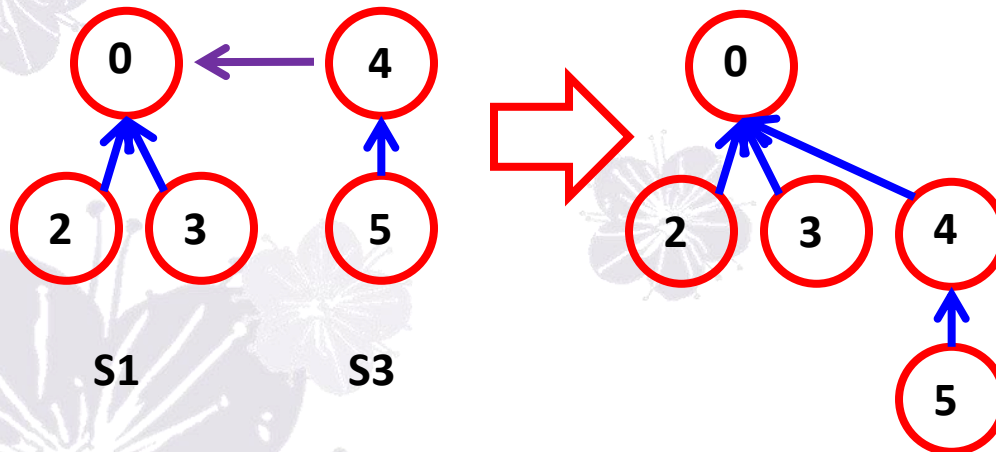
-1

T[5]

4

# DS Operation: Union( $S_i, S_j$ )

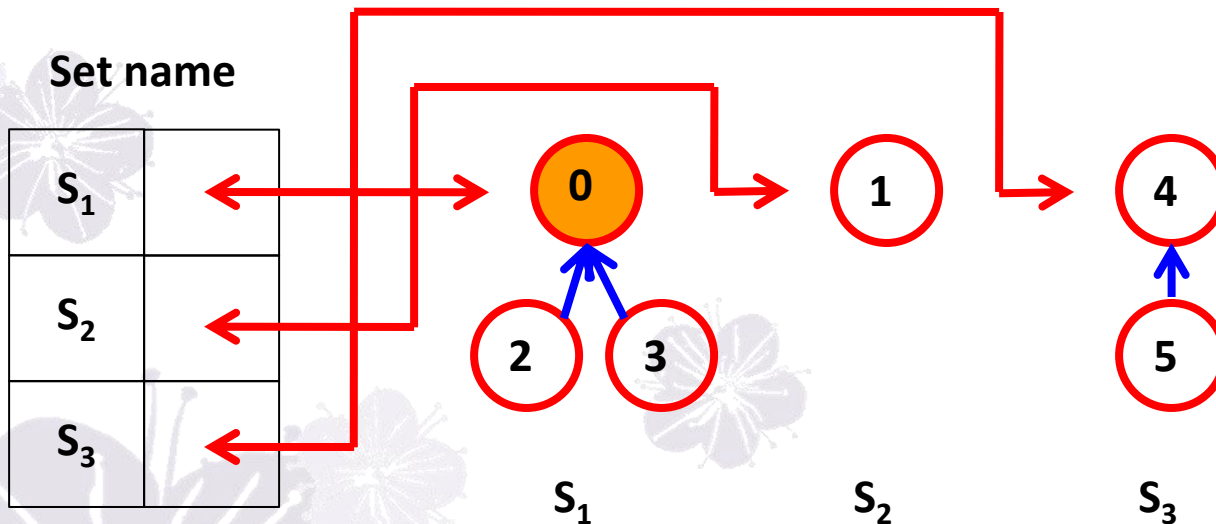
- Set the parent field of one of the root to the other root
  - $S_1 = \text{Union}(S_1, S_3)$
  - Time complexity :  $O(1)$



|      |    |
|------|----|
| T[0] | -1 |
| T[1] | -1 |
| T[2] | 0  |
| T[3] | 0  |
| T[4] | 0  |
| T[5] | 4  |

# DS Operation: Find(x)

- Following the index starting at x and tracing the tree structure until reaching a node with parent value = -1
- Use the root to identify the set name

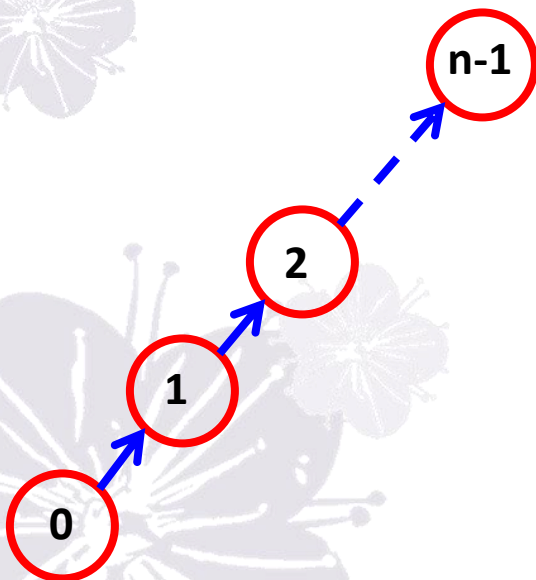


**Find(3) =  $S_1$**

|      |    |
|------|----|
| T[0] | -1 |
| T[1] | -1 |
| T[2] | 0  |
| T[3] | 0  |
| T[4] | -1 |
| T[5] | 4  |

# DS Time Complexity

- $S = \{ 0, 1, 2, \dots, n-1 \}$ 
  - $S_1 = \{ 0 \}, S_2 = \{ 1 \}, S_3 = \{ 2 \}, \dots, S_n = \{ n-1 \}$
- Perform a sequence Union
  - $\text{Union}(S_2, S_1), \text{Union}(S_3, S_2), \dots, \text{Union}(S_n, S_{n-1})$



Followed by a sequence of Find  
 $\text{Find}(0), \text{Find}(1), \dots, \text{Find}(n-1)$

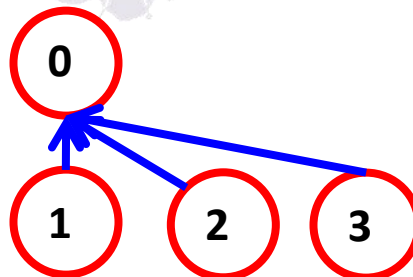
$$\text{Time Complexity} = \sum_{i=1}^n i = O(n^2)$$





# Improved Union( $S_i, S_j$ )

- Do not always merge two sets into the first set
- Adopt a ***Weighting rule*** to union operation
  - $S_i = S_i \cup S_j$ , if  $|S_i| \geq |S_j|$
  - $S_j = S_i \cup S_j$ , if  $|S_i| < |S_j|$
- $S = \{ 0, 1, 2, \dots, n \}$ 
  - $S_1 = \{ 0 \}$ ,  $S_2 = \{ 1 \}$ ,  $S_3 = \{ 2 \}$ ,  $\dots$ ,  $S_n = \{ n-1 \}$
  - Union ( 1, 2 )  $\rightarrow$  Union ( 1, 3 )  $\rightarrow$  Union ( 1, 4 )



# Maximum Tree Height

- Lemma 5.5
  - Let  $T$  be a tree with  $m$  nodes created by a sequence of weighting unions.  
The height of  $T$  is no greater than  $\lfloor \log_2 m \rfloor + 1$
- Proof with Induction:
  - 1)  $m=1$  is true
  - 2) Assume it is true for all trees with  $i$  nodes,  
 $i \leq m-1$



- We'd like to show that it is also true for  $i=m$
- Let  $T$  be a tree with  $m$  nodes created by function *WeightedUnion*. Consider the last union operation performed on *Union(k,j)*
- Let  $a$  be the number of nodes in tree  $j$  and  $(m-a)$  the number in  $k$ . Wlog, we may assume  $1 \leq a \leq m/2$ .
- Then, the height of  $T$  is either 1) the same as that of  $k$  ( $m-a > a$ ) or 2) is one more than that of  $j$  ( $m-a = a$ )
- For case 1,  $\text{height}(T) \leq \text{floor}(\log_2(m-a)) + 1 \leq \text{floor}(\log_2 m)$
- For case 2,  
 $\text{height}(T) \leq \text{floor}(\log_2 a) + 2 \leq \text{floor}(\log_2 m/2) + 2 \leq \text{floor}(\log_2 m) + 1$



# Prim's Algorithm - Correctness

- Prove with induction.
- **Hypothesis:** After each iteration, the tree **T** is a subgraph of some minimum spanning tree **M**.
- At iteration 1, this is trivially true because **T** is a single vertex.
- Suppose that at iteration **k**, we have **T** which is a subgraph of **M**, and Prim's Algorithm tells us to add the edge **e**.
- We need to prove that  **$T \cup \{e\}$**  is also a subtree of some MST (not necessarily **M**).

Step 1: Start with a tree **T** contains a single arbitrary vertex.

Step 2: Among all edges, add a least cost edge  $(u,v)$  to **T** such that  **$T \cup (u,v)$**  is still a tree.

Step 3: Repeat step 2 until **T** contains  $n-1$  edges.

# Prim's Algorithm - Correctness

- To prove:  $T \cup \{e\}$  is also a subtree of some MST.
- If  $e \in M \Rightarrow$  this is clearly true
- If  $e \notin M$ . Then if we add  $e$  to  $M$ , we create a cycle. Since  $e$  has one endpoint in  $T$  and one endpoint not in  $T$ , there has to be some other edge  $e'$  in this cycle that has exactly one endpoint in  $T$ .

Step 1: Start with a tree  $T$  contains a single arbitrary vertex.

Step 2: Among all edges, add a least cost edge  $(u,v)$  to  $T$  such that  $T \cup (u,v)$  is still a tree.

Step 3: Repeat step 2 until  $T$  contains  $n-1$  edges.

# Prim's Algorithm - Correctness

- Therefore, Prim's Algorithm could have added  $e'$  but instead chose to add  $e$ , which means that  $w(e') \geq w(e)$ . So if we add  $e$  to  $M$  and remove  $e'$ , we create a new tree  $M'$  whose total weight is at most the weight of  $M$ , and which contains  $T \cup \{e\}$ . This maintains the induction, so proves the theorem.
- (In fact,  $w(e') = w(e)$  must hold. Otherwise  $M'$  would have weight less than  $M$ , contradicting the assumption that  $M$  is an MST.

Bechar-Ford how to find the path

Step 1: Start with a tree  $T$  contains a single arbitrary vertex.

Step 2: Among all edges, add a least cost edge  $(u,v)$  to  $T$  such that  $T \cup (u,v)$  is still a tree.

Step 3: Repeat step 2 until  $T$  contains  $n-1$  edges.