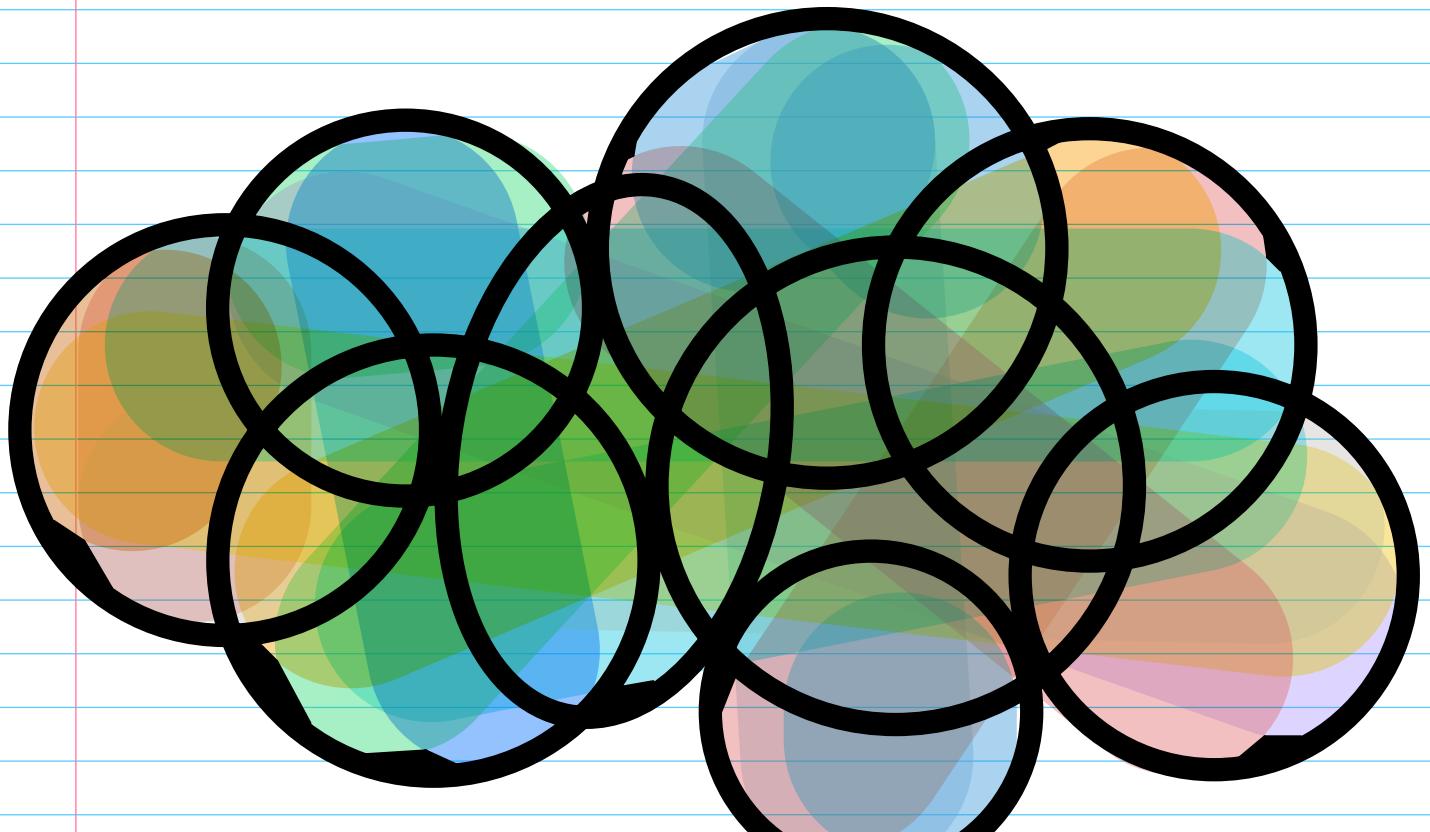


CS 180



Analysis before Synthesis

CS-180 Notes:

Notes for Big O, Ω , Θ are on the online google docs.

Converting from code to Big O.

$$\text{for } (i=1 ; i < N ; i++) \\ \text{for } (j=1 ; j < N ; j++) \\ \quad \text{work} \Rightarrow \sum_{i=1}^N \sum_{j=1}^N 1 \Rightarrow N^2$$

$$\text{for } (i=1 ; i < N ; i++) \\ \text{for } (j=1 ; j < i ; j++) \\ \quad \text{work} \Rightarrow \sum_{i=1}^N \sum_{j=1}^i 1 \Rightarrow \sum_{i=1}^N i \Rightarrow \frac{n(n+1)}{2}$$

Greedy Method: Style: Iteration ; Beginning \rightarrow End

- We process input in some order, and make progress towards the final result using **only** the information we have at some point.

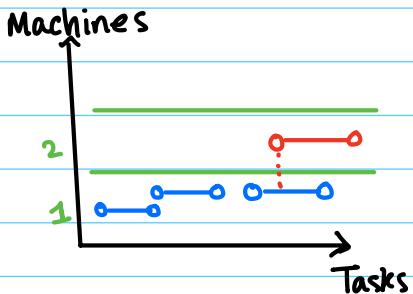
Typically there is **no** backtracking or undoing of progress.

- An algorithm is greedy if it builds up a solution in small steps choosing a decision at each step myopically to optimize some underlying criterion.

Near sighted

Interval Task Partitioning Problem:

We have N tasks each has Start and finish
two tasks I, J conflict if $\text{Start}[i] \leq \text{Start}[j]$
and $\text{Start}[j] < \text{Finish}[i]$



Output: Minimum number of machines needed to reschedule all N tasks, and an assignment of each task to exactly one machine.

In any instance of interval partitioning, the number of resources needed is at least the depth of the set of intervals. Where the depth is where a set of intervals pass over a common point on the timeline.

Algorithm:

Sort the intervals by their start times, breaking ties arbitrarily

Let I_1, I_2, \dots, I_n denote the intervals in this order

For $j = 1, 2, 3, \dots, n$

 For each interval I_i that precedes I_j in sorted order and overlaps it

 Exclude the label of I_i from consideration for I_j

 Endfor

 If there is any label from $\{1, 2, \dots, d\}$ that has not been excluded then

 Assign a nonexcluded label to I_j

 Else

 Leave I_j unlabeled

 Endif

Endfor

Proof by Induction \Rightarrow helps prove correctness of iterations and sequences

① Base Case: holds for "first" case

② Inductive Step: if it holds for n it is true for $n+1$.

Proof by Contradiction: theorem has form " $p \Rightarrow q$ ". Logically that is equivalent to the contrapositive " $\neg q \Rightarrow \neg p$ " $\neg \Rightarrow$ not symbol

Ex#1 Classroom Scheduling:

We have n classes, 1 classroom.

goal: choose classes to maximize # of classes in classroom.

Pseudocode:

• Input : s, f, T (start, finish, time limit)

$s, f = \text{sortByFinishTimes}(s, f)$ \Rightarrow The best Greedy Algorithm is to sort based on finish times

$\text{chosen_classes} = [] \rightarrow$ linked list

$\text{last_f} = 0;$

for i in range($\text{len}(f)$):] n

* if ($s[i] \geq \text{last_f}$):

$\text{chosen_classes.append(names[i])}$

$\text{last_f} = f[i]$

return $\text{chosen_classes};$

Net complexity : $n \log(n)$

Proof of Correctness:

• Lemma : Let $\{i_1, i_2, i_3, \dots, i_k\}$ be the set of chosen classes

Let $\{j_1, j_2, \dots, j_k\}$ be another set of compatible classes

Then, $\forall r = 1, - , k \quad f[i_r] \leq f[j_r]$

Proof by Contradiction: Assume $\exists \{j_1, j_2, \dots, j_k, j_{k+1}\}$ \downarrow there is one extra element than what our algorithm outputted

From the lemma $f[j_k] \geq f[i_k]$, from compatibility $s[j_{k+1}] \geq f[j_k] \geq f[i_k]$

$s[j_{k+1}] \geq f[i_k]$ \times our algorithm would have found the class j_{k+1}
this violates * in pseudocode

• Now Prove the lemma: Proof by induction:

1. Base Case: $r=1$ ($f[i_1] \leq f[j_1]$) since we sorted classes based on finish time.

2. Inductive Step: assume that $f[i_r] \leq f[j_r]$

Know that $s[j_{r+1}] \geq f[j_r] \geq f[i_r]$

$\Rightarrow j_{r+1}$ is compatible with i_r .

$\Rightarrow f[j_{r+1}] \geq f[i_{r+1}]$; Since we sorted based on finish time we would encounter class j_{r+1} before i_{r+1} if it had an earlier finish time.

Ex #2: Interval Partition Scheduling Based on classrooms.

We do proof by contradiction: assume that we are adding a room $d+1$ (d is depth)

implies \Rightarrow we have a class that conflicts with d other classes

implies $\Rightarrow \text{max_depth} = d+1$ which is a contradiction.

Ex #3: Road Trip:



Get from start to end in as few days. Can atmost drive 8 hrs in one day and must sleep in hotel.

Greedy Strategy: drive to farthest hotel each day.

Lemma: let $\{i_1, i_2, \dots, i_k\}$ be where you sleep at day $1, 2, \dots, k$
let $\{j_1, j_2, \dots, j_k\}$ be another compatible set.
 $\forall r \in 1, \dots, k$

Induction:

1. Base case: $r=1$ of course $i_1 \geq j_1$ because we maximize distance travelled.

2. Induction Step: Assume $i_r \geq j_r$

Because we can only travel 8 hours/day we know that there is no way to get farther to a point from j_r than i_r since $i_r \geq j_r$.

Proofs for Greedy:

- Stays ahead (and stays true)
- Contradiction
- Induction
- Achieves optimum value *

All proofs often require an invariant

Selection Sort:

Algorithm: \Rightarrow A greedy algorithm

for $i \leftarrow 1$ to $n-1$ do:

//find smallest element in $A[i..n]$

$s \leftarrow i$

for $j \leftarrow i+1$ to n do

if $A[j] < A[s]$ then

$s \leftarrow j$

if $i \neq s$ then

$t \leftarrow A[s]; A[s] \leftarrow A[i]; A[i] \leftarrow t$

The invariant for this algorithm:

due to the first inner loop, we are guaranteed that elements up to the index will have the smallest values.

Insertion Sort:

for $i \leftarrow 2$ to n do:

$x \leftarrow A[i]$

$j \leftarrow i$

 while $j > 1$ and $x < A[j-1]$ do:

$A[j] \leftarrow A[j-1]$

$j \leftarrow j - 1$

$A[j] \leftarrow x$

return A

Bubble Sort:

for $i = 0$ to length; $i++$ {

 for j to 0 to length - 1 - i ; $j++$

{

 if ($A[j] > A[j+1]$)

 //swap values

}

}

Stable Sorting: if two elements are the same in terms of sorting. then they hold the same order as the output.

In-place Sorting: We say that the amount of storage required is it uses is independent of the number of elements being sorted.

Binary Search \Rightarrow only works when structure is sorted:

At halfway see if value is greater or less or equal.
If greater explore halfway of upper. Otherwise explore
the lower half.

Time Complexity: $\log_2(n)$.

```
bisearch(arr, start, end, x)
{
    if start >= end
        mid = (end + start)/2
    if arr[mid] == x
        return mid;
    elif arr[mid] > x
        return bisearch(arr, start, mid-1, x)
    elif arr[mid] < x
        return bisearch(arr, mid+1, end, x)
    else:
        return -1
}
```

Divide and Conquer:

Breaking the input into several parts, solves the problem in each part recursively, and then combines the solution to these sub-problems into an overall solution

More often than not we will see that the brute force algorithm when divide and conquer is used is already polynomial time and divide and conquer serves to reduce it to an even lower polynomial time

The MergeSort Algorithm:

Divide the list in two equal halves, sorting each half separately and then combining these two lists in linear time.

Analyzing Time Complexity:

let $T(n)$ denote the worst case time complexity of the algorithm:

-The algorithm spends $O(n)$ to divide the input, $T(n/2)$ to sort the elements and $O(n)$ to merge them together.

$$T(n) \leq 2T(n/2) + cn \quad \text{and for } n > 2 \text{ we get } T(2) \leq c$$

$$T(n) \leq 2T(n/2) + O(n)$$

⇒ we can use two different methods to then solve this recurrence relation the master method or the substitution tree method.

*Merge sort is $O(n \log(n))$

Recurrence forms for divide conquer:

$$T(n) = \begin{cases} c & \text{if } n < d \\ aT(n/b) + f(n) & \text{if } n \geq d \end{cases}$$

Master Theorem:

#1: if $f(n)$ is $O(n^{\log_b a - \epsilon})$ then $T(n)$ is $\Theta(n^{\log_b a})$

#2: if $f(n)$ is $\Theta(n^{\log_b a} (\log n)^k)$ then $T(n)$ is $\Theta(n^{\log_b a} (\log n)^{k+1})$

#3: if $f(n)$ is $\Omega(n^{\log_b a + \epsilon})$ then $T(n)$ is $\Theta(f(n))$

*The master theorem cannot be applied if $T(n)$ is mono-tone, $f(n)$ is not a polynomial or if a is not a constant.

Examples of the Master Theorem:

Ex #1: (Merge Sort)

$$T(n) = 2T(n/2) + cn ; a=2; b=2 \text{ and } \log_b a = 1$$

Since $f(n) = \Theta(N^{\log_b a} (\log N)^k)$ for $k=0$ we get that $f(n) = \Theta(N)$. Hence by the Master theorem we get that $T(n) = n \log(n)$

Ex #2:

$$T(n) = 4T(n/2) + n ; a=4; b=2$$

hence we get that $\log_b a = \log_2 4 = 2 \Rightarrow n^2$ since $f(n)$ is $O(n^2)$

By the master theorem case #1 we say that $T(n) = \Theta(n^2)$

Ex #3: (Binary Search)

$$T(n) = T(n/2) + 1 ; a=1; b=2 \Rightarrow \log_b a = 0$$

is $f(1) \cong \Theta(n^0 (\log n)^k)$ for some k ; \Rightarrow take $k=0 \Rightarrow$ it is true. Hence $T(n)$ is $O(\log n)$

Ex #4:

$$T(n) = 9T(n/3) + n^3 ; a=9, b=3 \Rightarrow \log_b a = 2$$

Our special function will be n^2 . Since $f(n^3)$ is $\Omega(n^2)$ we can use case 3 of the master theorem we get $T(n)$ is (n^3) .

Other method to solve a recurrence relations is:

Substitution method: guess an answer and solve

Expansion: expand the relationship out and look for a pattern.

Breadth for Search on a Graph:

BFS(G)

Input: Rooted, Directed Graph $G = (V, E, \text{root})$

Assume we are only interested in the vertices reachable from the root

```
ADD root of G to Queue
WHILE ( Queue is not empty )
    REMOVE next vertex in Queue and assign it to u
    VISIT u
    FOR each edge  $(u, v)$  in E
        IF ( v has not been visited ) THEN
            ADD v to end of Queue
```

Depth for Search for Graph:

DFS(G)

Input: Rooted, Directed Graph $G = (V, E, \text{root})$

Assume we are only interested in the vertices reachable from the root

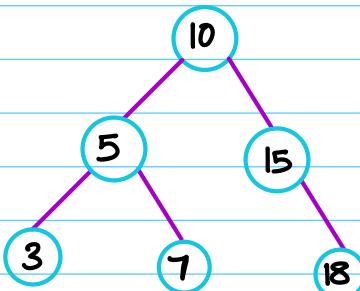
```
PUSH root of G onto top of Stack
WHILE ( Stack is not empty )
    POP top of stack and assign it to u
    VISIT u
    FOR each edge  $(u, v)$  in E
        IF ( v has not been visited ) THEN
            PUSH v onto top of Stack
```

Binary-Search Trees:

Post Order Traversal: Left, Right, Root : 3, 7, 5, 18, 15, 10

In Order Traversal: Left, Root, Right: 3, 5, 7, 10, 15, 18

Pre Order Traversal: Root, Left, Right: 10, 5, 3, 7, 15, 18



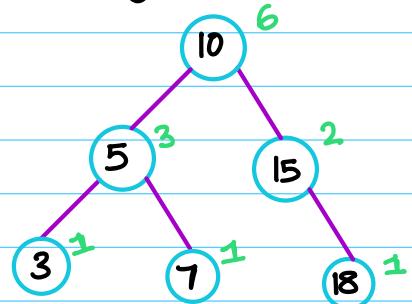
To delete a node: find the node that immediately occurs after the in-order traversal. And replace those nodes.

i.e. finding maximum value in the left subtree. Or finding the minimum value in the right subtree.

Augmented Binary Search Tree:

Each node not only stores it's corresponding value but it will also store the number of nodes below it including itself.

Having such tree makes it easier to find either the k^{th} largest or smallest value.



Dynamic Programming:

memoization, "Bottom \rightarrow up".

Solve the problem by dividing into sub-problems which entails a recurrence. However, unlike divide and conquer which uses recursion we will instead solve each sub-problem in an iterative fashion. We will start with the smaller problems and working up to the final solution.

Three Principles of Dynamic Programming:

(i) Principle of Optimality:

- Solution is independent of how subproblems are solved.
- We can compute the subproblems in any order
- Cost of computation is manageable: #subproblems, #comp to combine

(ii) The number of potential calls for sub-problems is exponential; but the number of potential subproblems is polynomial.

(iii) We can arrange the solution as a sequence of computations of sub-problems, such that we compute all the sub-problems of the current problem before we try and compute the solution of the current problem.

Dynamic Programming Maximal Sub-array Sum:

Given an $A[1:N]$ for $1 \leq i \leq j \leq N$ find the maximum sub-array.
return the maximal sum and the indices $i & j$ where it occurs.

$$A = \boxed{1} \dots \boxed{N}$$

Create a cumulative sum array where $cs[1] = A[1]$ and $cs[i] = cs[i-1] + A[i]$

$$CS = \boxed{1} \dots \boxed{cs[i-1]} + N \Rightarrow \text{this would take } O(n) \text{ time to create } CS.$$

Let this be denoted by a helper function be called `createCS()`
By using this technique we can calculate the sum between any
two intervals in constant time: for $i \rightarrow j$ we get $CS[j] - CS[i-1]$.

This would simplify our algorithm from $O(n^3)$ to $O(n^2)$.

We could further improve this by finding the largest sum and then the
smallest sum. And this would then be our interval. Where the smaller
element must come before the larger element.

Dynamic Programming Weighted Interval Task Scheduling:

The input is a list L , with requests. Each request, i , will have the
following elements:

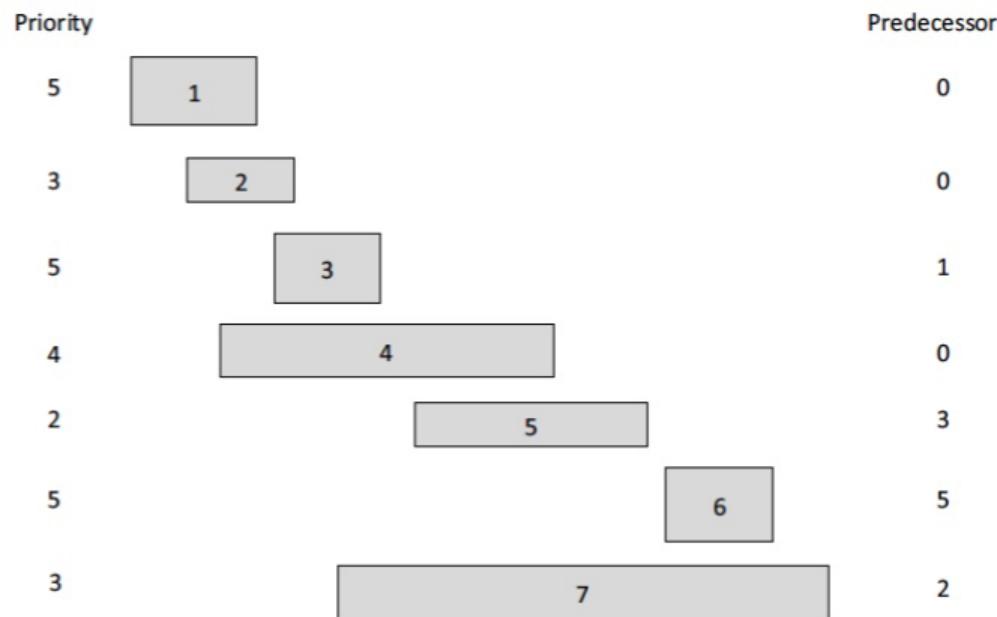
- a start time: s_i
- a finish time: f_i
- a positive numerical benefit, b_i ,

Two requests i and j conflict if the time interval $[s_i, f_i]$ and intersects
the time interval $[s_j, f_j]$

We look to optimize so that our requests do not conflict and we maximize
the sum of the benefits that are included in the schedule.

For each request we will count the number of requests before the current task that do not conflict with it. If there are no predecessors we say that $P(i) = 0$.

Ex:



Assume two lists one sorted based on finish time. and a second list l_f which is sorted based on start time.

Merge these two lists which means each item appears twice.
We take two indices t and p : t stops at each start time to compute $P[i]$.
When t passes a finish time p is updated to that finish time.

To then incorporate the benefit :

$$B[0] = 0$$

for ($i=1$ to n do)

$$B[i] \leftarrow \max \{ B[i-1], B[p[i]] + b_i \}$$

The recurrence for this is as follows:

$$B_i = \max(B_{i-1}, B_{\text{pred}(i)} + b_i)$$

A schedule that achieves the optimal B_i , either it includes or does not include the request.

$$M[1] = 1$$

$$M[12] = 3$$

Dynamic Programming: Coins

We have coins of denomination: 1, 10, 25, 100.

Get to target T with minimum number of coins

Problem substructure: $OPT[T] = \text{minimum } \# \text{ required to reach } T$

$$OPT[T] = \min \begin{cases} OPT[T-1] + 1 \\ OPT[T-10] + 1 \\ OPT[T-25] + 1 \\ OPT[T-100] + 1 \end{cases}$$

The naive approach to this would recursively call the min function. However there is a lot of overlap if you draw the tree. Instead:

def MinCoins(T):

let $M = \text{length of } T$

set $M[1] = 1, M[10] = 1, M[25] = 1, M[100] = 1$

for $i = 1 : T$

if i not in $[1, 10, 25, 100]$

$M[i] = \min(M[i-1] + 1, M[i-10] + 1, M[i-25] + 1, M[i-100] + 1)$

return $M[T]$

Complexity of this is $O(T)$. \Rightarrow Suppose we want to print the list of coins

def MinCoins(T):

→ last coin chosen

let $M = \text{length of } T$, Let $C = \text{length } T \text{ array}$

set $M[1] = 1, M[10] = 1, M[25] = 1, M[100] = 1$

for $i = 1 : T$

if i not in $[1, 10, 25, 100]$

$M[i] = \min(M[i-1] + 1, M[i-10] + 1, M[i-25] + 1, M[i-100] + 1)$

set $C[i] = 1 \quad C[i] = 10 \quad C[i] = 25 \quad C[i] = 100$

let $t = T$

while $t > 0$

print $C[t]$

$t = t - C[t]$

return $M[T]$

Dynamic Programming Knapsack Problem:

A robber is in a treasurer, he can carry up to w_{\max} weight, he has n items to choose from. the items each have a value of v_i which can be the same or different. What should he steal to maximize the total value stolen.

We will keep track of $\sum v$, $\sum w$ for items that are chosen

Let $OPT[n, w_{\max}]$ = max value achievable when choosing from items 1 to n , with a limit of w_{\max} .

$$OPT[i, w_{\max}] = \max(\underbrace{OPT[i-1, w_{\max} - w_i] + v_i}_{\text{C se to take item i}}, \underbrace{OPT[i-1, w_{\max}]}_{\text{Don't take item i}})$$

robbery () {

let $M = n \times w_{\max} \Rightarrow$ 2D array; $C = n \times w_{\max}$ 2D array \Rightarrow contains the items we take

for ($i=1$ to n)

 for ($w_{\text{tot}}=1$ to w_{\max})

 if $w_{\text{tot}} \geq w_i$ set $C[i, w_{\text{tot}}] = 1$

$M[i] = \max(M[i-1, w_{\text{tot}} - w_i] + v_i, M[i-1, w_{\text{tot}}])$

 else:

set $C[i, w_{\text{tot}}] = 0$

$M[i] = M[i-1, w_{\text{tot}}]$ ⇒ set $C[i, w_{\text{tot}}] = 0$

 return $M[n, w_{\max}]$

}

Print the choices:

$nt = n$; $wt = w_{\max}$

while $nt > 0$ and $wt > 0$

 if $C(nt, wt) = 1$

 print(nt)

$wt = wt - w_{nt}$

$nt = nt - 1$

 else:

$nt = nt - 1$

$wt = wt$

What would happen if w_{\max} and weights were real numbers. Would this still be dynamic Programming?

No, because then there would be an infinite number of subproblems that we can then not calculate using dynamic programming.

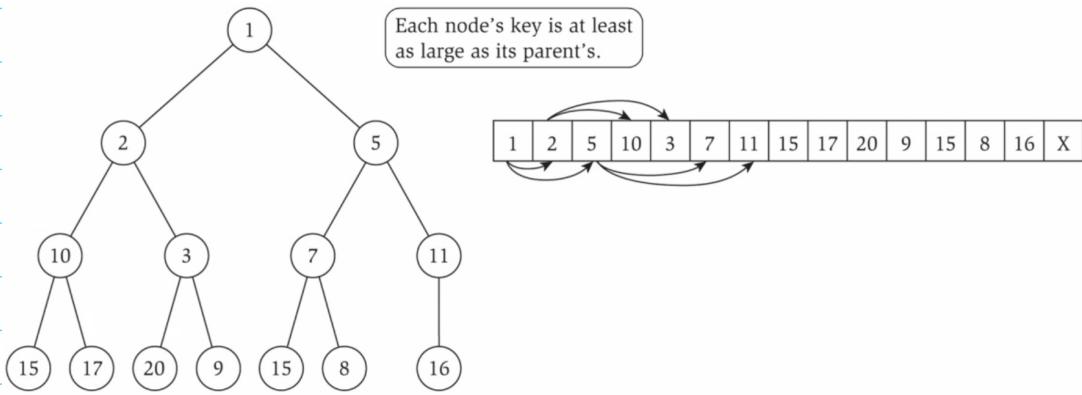
Heaps Data Structure:

min-heap: every node is less than or equal to all of its children.

max-heap: every node is greater than or equal to all of its children.

We often implement a heap data structure using an array.

We assume we start at an index of 1 and an index of i has a left child at $2i$, and the right child is at $2i+1$.



Heapify for Insertions:

Modify the tree to become a heap after insertion:

Heapify-up (H, i):

if ($i > 1$)

if ($H[i] > H[i/2]$) \Rightarrow this equality would change based on a min or max heap

// swap the values

heapify-up ($H, i/2$)

We will keep moving our inserted value up until the structure satisfies that of a heap.

Finding an element in a heap is $O(n)$ which means we will need to search the entire array to determine if there is an element.

Finding the minimum value or max value (depending on whether it is a min or max heap) is easy since it would be the root value.

Deletion from a heap:

We will look to move the node we want to delete all the way to one of the leaf nodes:

Heapify-down(H, i):

Let $n = \text{length}(H)$

If $2i > n$ then

 Terminate with H unchanged

Else if $2i < n$ then

 Let left = $2i$, and right = $2i + 1$

 Let j be the index that minimizes $\text{key}[H[\text{left}]]$ and $\text{key}[H[\text{right}]]$

Else if $2i = n$ then

 Let $j = 2i$

Endif

If $\text{key}[H[j]] < \text{key}[H[i]]$ then

 swap the array entries $H[i]$ and $H[j]$

 Heapify-down(H, j)

Endif

↑ Or maximize if it's a max-heap

Priority Queue (PQ):

Similar to a regular queue, but instead each element has a priority, and values with a higher priority are dequeued first.

The best way to implement a priority queue using a heap;
This is because it takes $\log(n)$ for insertion into an already created heap
 $\log(n)$ for deletion, and constant time for access for min, max value and length to be constant time.

Ex: We could use a min-heap to make the interval task partitioning problem run in $n \log(n)$ instead of n^2 .

Heapsort :

- this method does not do in-place sorting:
- Build a max heap from input data
- Replace the root (which is the max value) with the last element in the heap.
- Repeat while the size of the heap is greater than 1.

Heapsort(A) :

BuildHeap(A)

```
for (i = A.length downto 2)
    exchange A[1] with A[i]
    A.heapsize = A.heapsize - 1
    Heapify(A, 1)
```

Bucketsort :

Suppose we have a sequence of n elements with a defined range. The bucket sort will use each of the values as an index. And then reads from all the buckets back to the array

Phase 1: Empty sequence S by moving each entry (k, o) into its bucket $B[k]$

Phase 2: For $i = 0, \dots, N - 1$, move the entries of bucket $B[i]$ to the end of sequence S

Graph Definitions:

\circ = vertices, $—$ = edges, \rightarrow = directed edges

$|V|$ = # vertices, $|E|$ = # edges, $\text{degree}(v)$ = total number of edges associated with vertex v

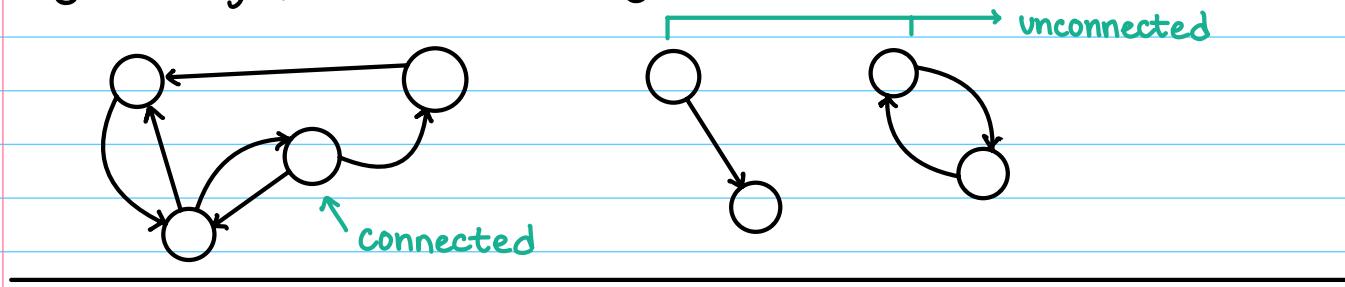
Representation:

- array or linked list of vertices
- each vertex carries a linked list of edges

Path: a series of nodes that connect a start and end node.

Cycle: path that starts and ends at same node.

Acyclic: a graph that has no cycles



Graph Algorithms:

$$n = (|V| + |E|)$$

Shortest Path Algorithm:

- No weights, single source: BFS $O(n)$
- Weights > 0 , single source: Dijkstra $O(n \log(v))$
- Weights positive, negative, no negative cycles: Floyd-Warshall $O(V^3)$

Connected Components (undirected, no weights):

- Off line case: DFS or BFS $O(n)$
- On line case: Union find for insertion.

Minimum Weight Spanning Tree Problems:

- No weights: DFS or BFS traversal $O(n)$
- Positive, Negative Weights: Prim's and Dijkstra $O(E \log(v))$
- Positive, Negative Weights: Kruskal's Algorithm $O(E \log(v))$

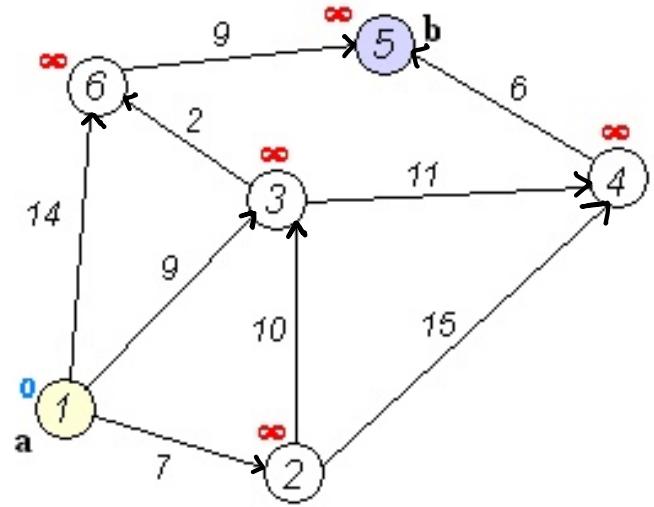
Dijkstra's Algorithm:

Maintain a set of explored nodes S for which we have determined the shortest path $d(u)$ from s to u .
 Initialize $S = \{s\}$, $d(s) = 0$.

Choose unexplored node v which minimizes: $\pi(v) = \min_{e(u,v): u \in S} d(u) + e$
 add v to S and set $d(v) = \pi(v)$.

Dijkstra's will always look at all of the nodes; when going in a BFS traversal.

The invariant will be that the n -nodes traversed will contain the shortest path traversed so far.



def Dijkstra(G, s):

$s.d = 0$

for v in $\{G.vertices \setminus s\}$:

$v.d = +\infty$

Let X = list of expanded nodes

Let Q = priority-queue of nodes to expand

$Q.insert(s)$

while ($!Q.isEmpty()$): \Rightarrow up to $|V|$ times

$v = Q.pop \Rightarrow O(\log N)$

for $(v1, w)$ in V_0 edges: \Rightarrow All edges encountered once $|E|$

if $(v1.d > v.d + w)$:

$v1.d = v.d + w$

if $v1$ in Q :

$Q.update(v1) \Rightarrow O(\log N)$

else:

$Q.insert(v1) \Rightarrow O(\log N)$

Total Complexity:

$O(|V|O(pop) + |E| \max(insert, update))$

Bellman-Ford :

Finds single source shortest path → this can have negative weights but cannot have negative cycles.

```
def BellmanFord[G, s]:
```

$s.d = 0$

Main Work

$O(V|E|)$

```
for (i=1, ..., |V|):
```

for (v_0, v_1, w) in G.edges:

if ($v_1.d > v_0.d + w$):

$v_1.d = v_0.d + w$

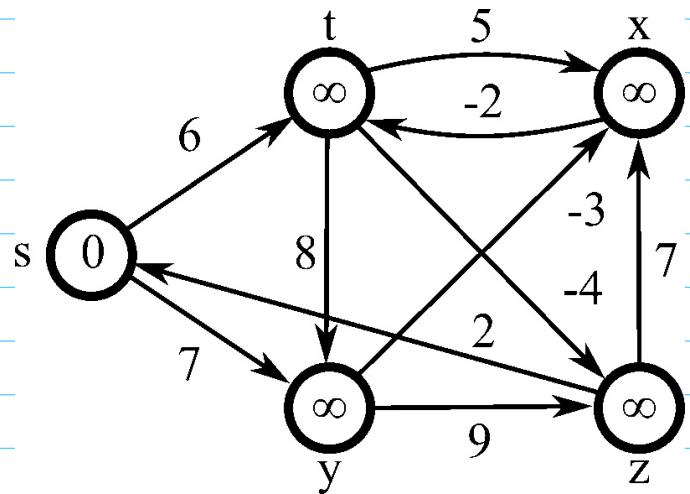
negative
weight cycle
detection

```
for ( $v_0, v_1, w$ ) in G.edges:
```

if $v_1.d > v_0.d + w$

return False

return True



Floyd-Marshall Algorithm:

This algorithm finds the shortest path between all vertices in a weighted directed graph. Can have positive and negative weights but it cannot have a negative cycle.

```
def AllPair(G)
```

for all vertexPairs(i, j)

if $i == j$

$D_0[i, j] = 0$

else if (i, j) is an edge

$D_0[i, j] = w.edge$

else:

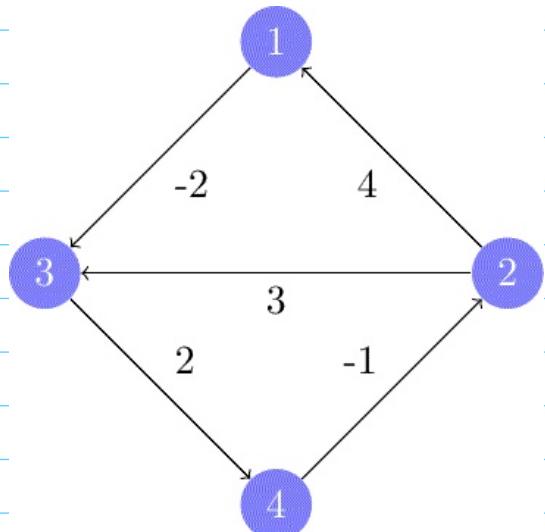
$D_0[i, j] = +\infty$

for $k \leftarrow 1$ to V :

for $i \leftarrow 1$ to V :

for $j \leftarrow 1$ to V :

$D_k[i, j] = \min \{D_{k-1}[i, j], D_{k-1}[i, k] + D_{k-1}[k, j]\}$



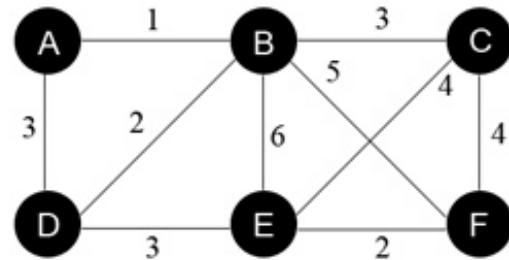
Minimum Spanning Tree: the spanning tree of a graph that connects all of the vertices together with no cycles and minimum weight.

Prims Algorithm:

Finds the MST and is generally best for dense graphs.

```
def primMST(G, s) {
    parent[G.vertices]
    key[G.vertices]
    mstSet[G.vertices]
    for (int i=0; i<V; i++)
        key[i] = infinity
        mstSet[i] = false
    key[0] = 0
    parent[0] = -1
```

SET: {}



for (int count = 0; count < V-1; count++)

int u = minKey(key, mstSet) \Rightarrow find the min key of the vertex that isn't in the MST array

mstSet[u] = true

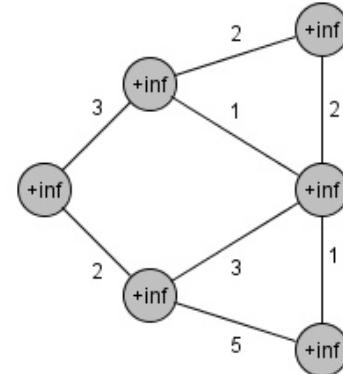
for vertex in (u, vertex) in G.edges:

if (mstSet == false) && (u, vertex).weight < key[v]

parent[v] = u

key[v] = graph[u][v]

}



Prims algorithm is a greedy algorithm, the time complexity of the above algorithm is $O(V^2)$ but with the help of a heap we can simplify the time complexity of this algorithm to be $O(E \log V)$.

Kruskal's Algorithm:

Unlike prim's algorithm, kruskals algorithm first looks at all of the edges first. Kruskals algorithm is a greedy algorithm.

1. Sort All edges in non-decreasing order
2. Pick the smallest edge
3. If it forms a cycle with the MST discard it
4. Repeat Steps 2 and 3 until there are $V-1$ edges in the MST.

Kruskals(G, c) {

 minEdges = Sort G.edges
 MST = []

 for each $v \in G.vertex$:

 make a single set with v .

 for i to $|E|$:

$(u, v) = \text{minEdges}[i-1]$

 if (u and v are in different sets) {

 MST.add[minEdges[i-1]]

 merge sets containing u, v

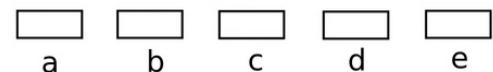
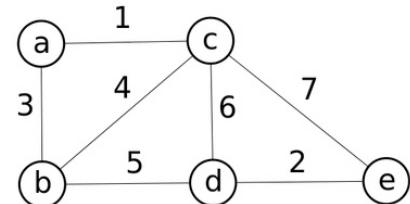
 }

 return MST

}

$$\underbrace{O(E \log(V))}_{\text{for sorting edges}} + \underbrace{O(E \alpha(E, V))}_{\text{for union find}}$$

It is important to note that $\alpha(E, V)$ is inverse Ackermann's function which almost acts like a constant.



Union-Find Partition:

- **makeSet(x)**: Create a singleton set containing the element x and return the position storing x.
- **union (A, B)**: return A ∪ B, destroying the old A and B.
- **find(p)**: return the set containing the element p.

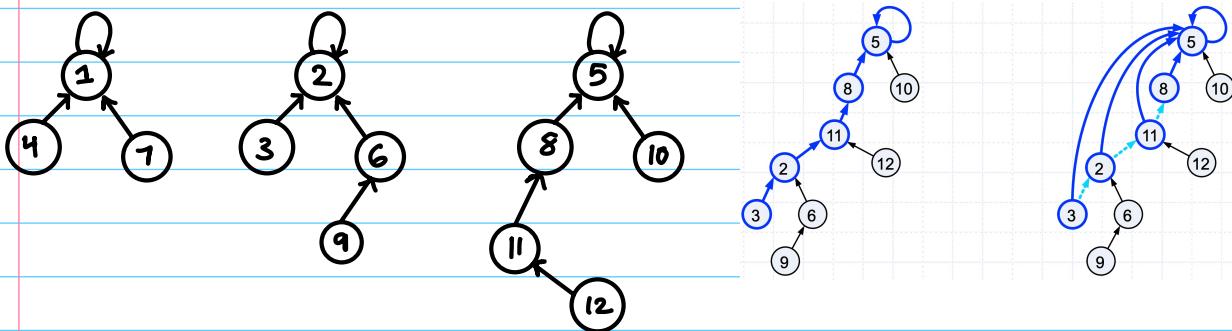
Implementation Option #1: Linked List

- Each set is stored in a sequence represented with a linked list.
Each node should store an object containing the element and a reference to a set name.

The total time that is needed to do n unions and m finds would be $O(n \log(n) + m)$

Implementation Option #2: Tree

- Each element is a node that contains a pointer to a set name
- A node v that points back to itself is a set name.



When doing a union we make the root of one tree point to the root of another.

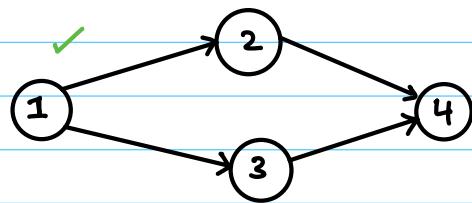
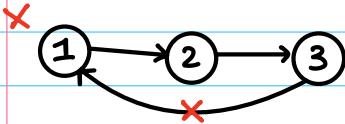
To do a find we traverse until we reach an element that points to itself.

Path compression: when doing a find we will update all pointers on a path to point to the root

Topological Sort:

A topological ordering is an ordering of nodes v_1 to v_n such that all edges point forward in the ordering.

ex:



If G is a directed acyclic graph (DAG) $\Rightarrow G$ has a topological ordering.

If G is a DAG there exists a node with no incoming edge.

Topological Algorithm:

Find Node with 0th degree and remove from graph
Repeat process

def TopologicalSort (G) :

let $L[]$ = linked list of n-degree nodes

let $O[]$ = output

let $\text{numDegree}[|V|]$

for v_0 in $G.\text{vertices}$:

 for v_1 in $v_0.\text{edges}$:

$\text{numDegree}[v_0] += 1$

for v in $G.\text{vertices}$:

 if $\text{numDegree}[v] == 0$

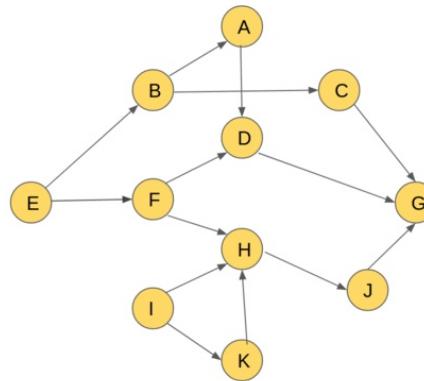
$L.\text{add}(v)$

while ($!L.\text{empty}()$)

$O.\text{add}(L.\text{pop})$

 for v_1 in $v_0.\text{edges}$: $\text{numDegree}[v_1] --$

 if ($\text{numDegree}[v_1] == 0$) : $L.\text{add}(v_1)$



RESULT :

Graph Representations:

Adjacency Matrix:

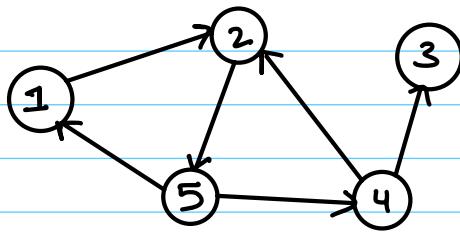
A $|V| \times |V|$ matrix with $A_{uv} = 1$ if (u, v) is an edge.
If it is an undirected graph then there will be 1 at both (u, v) and $(v, u) \Rightarrow 2$ representations.

Determining if (u, v) is an edge is $O(1)$

Finding All edges, takes $|V|^2$.

Adjacency List:

Each node in a graph will refer to an index of array lists which will be all of the edges. Identifying an edge takes $O(\deg(u))$. Identifying all edges takes $O(|E| + |V|)$.



1 :	2
2 :	5
3 :	
4 :	$2 \rightarrow 3$
5 :	$1 \rightarrow 4$

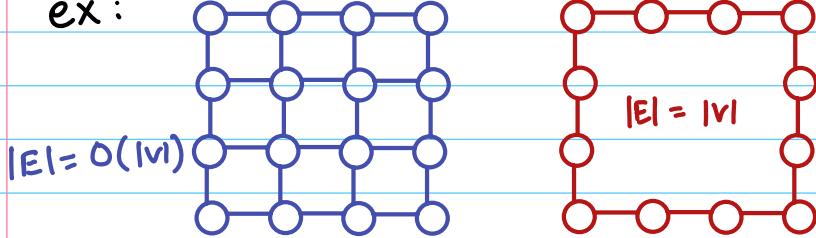
The adjacency list will often end up being more space efficient but slightly slower than the adjacency matrix

The other factor that will often affect performance of the algorithm is the shape of the graph.

Sparse Graphs:

There is no universal definition for what constitutes a sparse graph. A definition that will help or most commonly used is that: where $|E| \leq O(|V|)$

ex :



All trees and acyclic graphs satisfy this since $|E| = |V| - 1$

Low-Degree Graphs:

-A sparse graph may not necessarily be a low degree graph, but a low degree graph is a sparse graph.

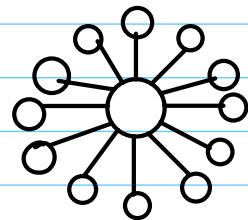
-constant degree graph $\Rightarrow |E| \leq O(|V|)$

- "limited degree" (no formal definition) $\Rightarrow d = \log |V| \Rightarrow |E| = O(V \log V)$

High-Degree Graphs:

a sparse graph with a high degree.

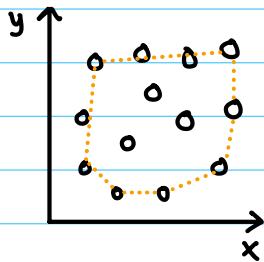
Ex: Star Graph is a graph that satisfies the definition of a sparse graph, but has a high degree.



Sparse matrices are often a result of sparse graphs and will mostly contain zeros in the matrix. There are two main ways to represent these matrices which is either banded or unbounded.

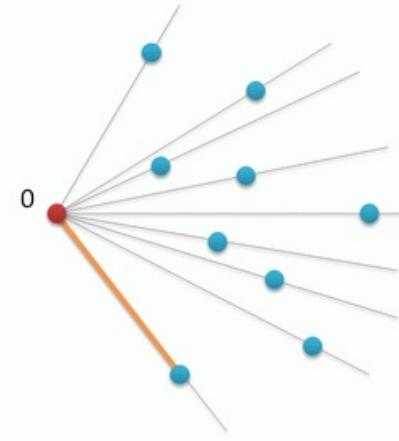
Introduction to Computational Geometry:

- Ex #1: Convex Hull (Jarvis Algorithm)



Find the smallest convex hull from a set of points such that the hull contains all of the points. Despite having 4 dots consecutively we only show it as a single line.

1. Find the left-most point
2. While we are not at the point
3. Find the next point q .
such that (p, q, r) for any point r , q is the most counter clockwise point. $\Rightarrow O(m \times n)$ time



```
def convexHull(points[ ])
```

```
{
```

```
    hull[ ]
```

```
    left = null;
```

```
    for point in points[ ]:
```

```
        if left.x > point.x  
            left = point
```

```
    p = left; q = null
```

```
    do {
```

```
        hull.add(p)
```

```
        for i in points:
```

```
            if (orient(p, i, (p+1)%n) == 2)  
                q = i
```

```
    p = q
```

```
} while (p != left)
```

```
}
```

```
def orient(p, q, r)
```

```
{
```

```
    val = (q.y - p.y) * (r.x - q.x)
```

```
    val = val - (q.x - p.x) * (r.y - q.y)
```

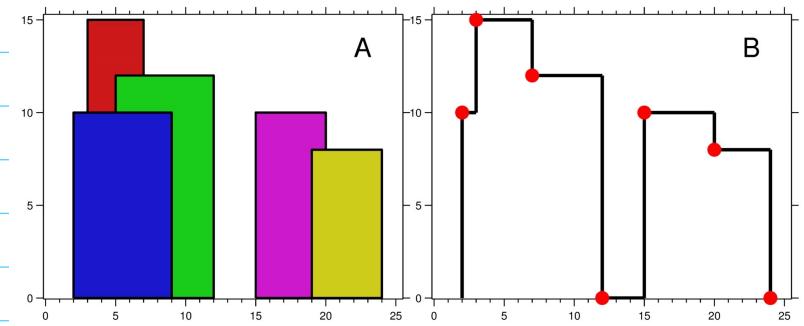
```
    if (val == 0 || val > 0)
```

```
        return 0
```

```
    return 2
```

```
}
```

-Ex #2 Skyline Problem:



- Ex #3 Maxima Set Finding Problem:

Complexity Theory: Complexity Classes:

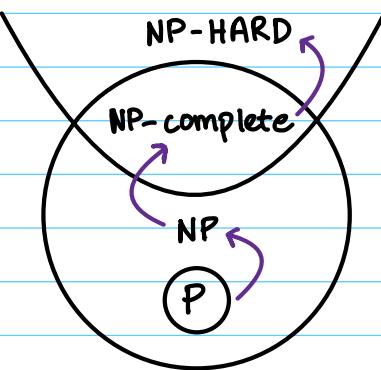
P \Rightarrow tractable problem that is solved in polynomial time

NP \Rightarrow intractable problem that is verified in polynomial time

By definition P is a subset of NP. So P = NP is whether P is a proper subset of NP.

Polynomial Time Reductions:

Suppose that we have a problem A and problem B. If we can transform all instances of A into instances of B, where B is a harder problem. Then if the transformation is done in polynomial time. Then A is a polynomial time reduction to B: $A \leq_p B$.



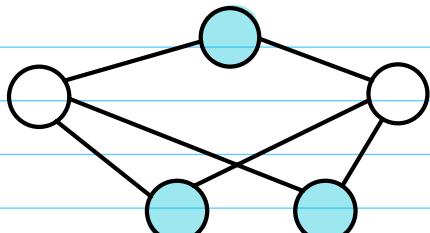
NP-Complete Problems:

3SAT: (Boolean Satisfiability problem)

We have a boolean expression of variables $x_1, x_2, x_3, \dots, x_n$ and an expression Φ , are there values of T/F from x_1, \dots, x_n that make the expression Φ , true. The 3sat is a variation of this problem where each clause has only 3 variables.

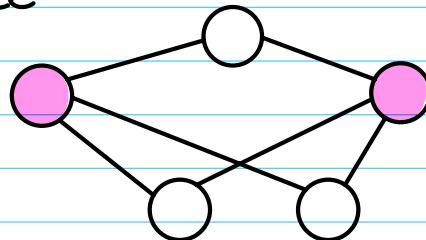
Equal Partition: given a set of n elements, divide it to have two disjoint sets whose sum is equal if possible.

Independent Set: given graph $G = (V, E)$, find the maximum $S \subseteq V$ such that no two nodes are adjacent.



Independent Set

Vertex Cover: Given a graph $G = (V, E)$, find the minimum number set of nodes such that all edges touch at least once



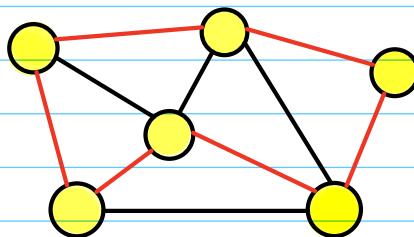
Vertex Cover

Set Cover: Given a set U and m subsets $S_1, S_2, S_3 \dots S_m$ of U find the minimum number of subsets whose union is U

$$U = \{1, 2, 3, 4, 5, 6\} ; S_1 = \{1, 3, 4, 5\} ; S_2 = \{2, 4, 6\} ; S_3 = \{4, 6\} ; S_4 = \{5\}$$

$\Rightarrow S_1, S_2$

Hamiltonian Circuit: given a graph $G(E, V)$ does it contain a cyclic path that passes through every vertex once, without repeating a vertex.



Red path indicates a hamiltonian cycle

3D Matching: given disjoint sets W, X, Y with the same number of elements n , given a set M of triplets of the form (w, x, y) is there a subset of M called M' of size q where no two elements of M' agree in any coordinate.

$$\text{Ex: } W: \{1, 2, 3, 4\}, X = \{10, 20, 30, 40\}, Y = \{100, 200, 300, 400\}$$

A valid M' would be $\{(1, 20, 300), (2, 30, 400), (3, 40, 100), (4, 10, 200)\}$
assuming all 4 of those happened to be in M .

Problem Reductions:

Given two problems A and B:

1. Rewrite A as an instance of B
2. Use an existing algorithm to solve B
3. Rewrite the solution of B as a solution of A.

If we can do both rewriting steps in polynomial time: $A \leq_p B$
If $B \leq_p A$, then we show that: $A \equiv_p B$

Ex #1: Vertex Cover \leq_p Independent Set

Let S be a vertex cover of $G = (E, V)$.

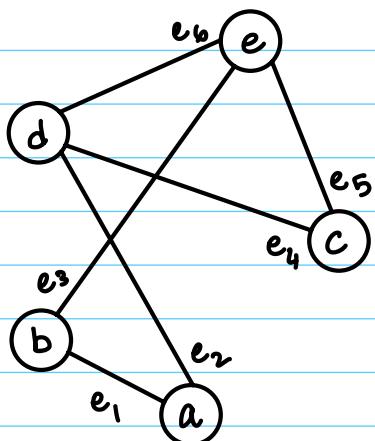
Since S is a vertex cover, nodes not in S have edges with S.

↳ Hence nodes in $V - S$ are not adjacent

↳ Hence they are an independent set.

A vertex cover of size $|k|$ will be an independent set of size $|V| - k$

Ex #2: Vertex Cover \leq_p Set Cover



$$U = \{e_1, e_2, e_3, e_4, e_5, e_6\}$$

$$S_a = \{e_1, e_2\}; S_b = \{e_1, e_3\}; S_c = \{e_4, e_5\}$$

$$S_d = \{e_3, e_5, e_6\}; S_e = \{e_2, e_3, e_6\}$$

⇒ Suppose we have a vertex cover. Then the union of the nodes in the vertex cover would cover the whole set.

⇒ Let's say we have a set cover. Then those sets will correspond to the vertex cover.

Ex #3 : Equal Partition proofs

Two parts :

1. Prove that verifying is done in polynomial time \Rightarrow it is NP
2. Prove that it is NP hard

If we can reduce a problem A to a problem B, then we say that problem A is no more difficult than the second problem. This also means that the second problem is as at least as difficult as the first problem.

Part #1: We can verify in linear time that the solution is correct since we just have to add up each element to see if they are equal.

Part #2: show 3-D Matching \leq_p Equal Partition

Instance of 3D

$$|G| = |B| = |Q| = k$$

$$M = \{m_1, \dots, m_n\}$$

where M' exists:

$$M' \subseteq M ; |M'| = k$$

and every element
of G, B, & Q appears
only once.