# A-maze of Natural Stories: Comprehension and surprisal in the Maze task

Word count: 9069

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**Abstract** Behavioral measures of word-by-word reading time provide experimental evidence to test theories of language processing. A-maze is a recent method for measuring incremental sentence processing that can localize slowdowns related to syntactic ambiguities in individual sentences. We adapted A-maze for use on longer passages and tested it on the Natural Stories corpus. Participants were able to comprehend these longer text passages that they read via the Maze task. Moreover, the Maze task yielded useable reaction time data with word predictability effects that were linearly related to surprisal, the same pattern found with other incremental methods. Crucially, Maze reaction times show a tight relationship with properties of the current word, with little spillover of effects from previous words. This superior localization is an advantage of Maze compared with other methods. Overall, we expanded the scope of experimental materials, and thus theoretical questions, that can be studied with the Maze task.

Keywords: A-Maze, self-paced reading, incremental processing, surprisal, naturalistic text

## 1 Introduction

Two chief results of human language processing research are that comprehension is highly incremental and that comprehension difficulty is differential and localized. Incrementality in comprehension means that our minds do not wait for large stretches of linguistic input to accrue; rather, we eagerly analyze each moment of input and rapidly integrate it into context (Marslen-Wilson 1975). Differential and localized processing difficulty means that different inputs in context present different processing demands during comprehension (Levy 2008). Due to incrementality these differential processing demands are, by and large, met relatively quickly by the mind once they are presented, and they can be measured in both brain (Kutas and Hillyard 1980; Osterhout and Holcomb 1992) and behavioral (Rayner 1998; Mitchell 2004) responses. These measurements often have low signal-to-noise ratio, and many methods require bringing participants into the lab and often require cumbersome equipment. However, they can provide considerable insight into how language processing unfolds in real time. Developing more sensitive methods that can easily be used with remote participants is thus of considerable interest.

Word-by-word reading or response times are among the most widely used behavioral measurements in language comprehension and give relatively direct insight into processing difficulty. The Maze task (Freedman and Forster 1985; Forster, Guerrera, and Elliot 2009), which involves collecting participants' response times in a repeated two-alternative forced-choice between a word that fits the preceding linguistic context and a distractor that doesn't, has recently been proposed as a high-sensitivity method that can easily be used remotely. Boyce, Futrell, and Levy (2020) introduced several implementational innovations that made it easier for researchers to use Maze, and showed that for several controlled syntactic processing contrasts (Witzel, Witzel, and Forster 2012) Maze offers better statistical power than self-paced reading, the other word-by-word response time method easy to use remotely. Maze has since had rapid uptake in the language processing com-

munity (Chacón et al. 2021; Ungerer 2021; Orth and Yoshida 2022; Lieburg, Hartsuiker, and Bernolet 2022; Levinson 2022).

However, there is increasing interest in collecting data during comprehension of more naturalistic materials such as stories and news articles (Demberg and Keller 2008; Luke and Christianson 2016; Futrell et al. 2020), which offer potentially improved ecological validity and larger scale data in comparison with repeated presentation of isolated sentences out of context. These more naturalistic materials require maintaining and integrating discourse dependencies and other types of information over longer stretches of time and linguistic material. Previous work leaves unclear whether the Maze task would be feasible for this purpose: the increased task demands might interfere with the demands presented by these more naturalistic materials, and vice versa. In this paper we report a new modification of the Maze task and show that it makes reading of extended, naturalistic texts feasible. We also analyze the resulting reaction time profiles and show that they provide strong signal regarding the probabilistic relationship between a word and the context in which it appears, and that the systematic linear relationship between word surprisal and response time observed in other reading paradigms (Smith and Levy 2013) also arises in the Maze task. In the remainder of the Introduction, we lay out the role of RT-based methods in theory testing, describe a few common methods, and review some key influences on reading time. We then proceed to present our modified "error-correction Maze" paradigm, our experiment, and the results of our analyses of the resulting data.

## 1.1 Why measure RTs?

A major feature of human language processing is that not all sentences or utterances are equally easy to successfully comprehend. Sometimes this is mostly or entirely due to the linguistic structure of the sentence: for example, *The rat that the cat that the dog chased killed ate the cheese* is more difficult than *The rat that was killed by the cat that was chased by the dog ate the cheese* even though the meaning of the two sentences is (near-)identical. Sometimes the source of difficulty can be a mismatch between expectations set up by the context and the word choice in an utterance: for example, the question *Is the cup red?* may be confusing in a context containing more than one cup. Psycholinguistic theories may differ in their ability to predict what is easy and what is hard. One of the most powerful methods for studying these differential difficulty effects is let the comprehender control the pace of presentation of the linguistic material, and to measure what she takes time on. For this purpose, taking measurements from experimental participants during reading, a widespread, highly practiced skill in diverse populations around the world, is of unparalleled value.

To a first approximation, everyday reading (when the reader's goal is to understand a text's overall content) is *progressive*: we read documents, paragraphs, and sentences from beginning to end. The reader encounters each word with the benefit of the preceding linguistic context. Incrementality in reading involves successively processing each word encountered and integrating it into the context. For a skilled reader experienced with the type of text being read, most words are easy enough that the subjective experience of reading the text is of smooth, continuously unfolding understanding as we construct a mental model of what is being described. But occasionally a word may be sufficiently surprising or otherwise difficult to reconcile with the context that it disrupts comprehension to the level of conscious awareness: in the sentence *I take my coffee with cream and chamomile*, for example, the last word is likely to do so. Behaviorally, this disruption typically manifests as a slowdown or longer *reading time* (RT) on the word itself, on the immediately following words, or in other forms such as regressive eye movements back to earlier parts of the text to check the context.

In fact, RTs and other measures that capture processing disruption vary substantially with the difficulty of words in their context below the level of conscious awareness as well, with millisecond

scale differences in reading time between words. That is, the differential difficulty or processing load posed by various parts of a text is to a considerable extent *localizable* to specific words in their context. For this reason, RTs have proven a highly valuable measure for testing the predictions of psycholinguistic theory, ranging from theories of character recognition, memory retrieval, parsing, and beyond.

For instance, competing theories about why certain types of object-extracted relative clauses, like *the lawyer that the banker irritated*, are harder to understand than the corresponding subject-extracted relative clauses, like *the lawyer that irritated the banker*, make different predictions about which words are the loci of the overall difficulty and slower RTs associated with object relatives (Grodner and Gibson 2005; Staub 2010; Traxler, Morris, and Seely 2002). RT measures can potentially also inform theories about the time course of processing (i.e. which steps are parallel versus serial, Bartek et al. (2011)) or the functional form of relationships between word characteristics and processing time (Smith and Levy 2013).

Some of these theories rely on being able to attribute processing slowdowns to a particular word. Determining that object relatives are overall slower that subject relatives is easy. Even an imprecise RT measure will determine that the same set of words in a different order took longer to read at a sentence level. However, many language processing theories make specific (and contrasting) theories about which words in a sentence are harder to process. To adjudicate among these theories, we want methods that are *well-localized*, so it is easy to determine which word is responsible for an observed RT slow-down. Ideally, longer RT on a word would be an indication of that word's increased difficulty, and not the lingering signal of a prior word's increased difficulty. When the signal isn't localized, advanced analysis techniques may be required to disentangle the slow-downs (Shain and Schuler 2018).

## 1.2 Eye-tracking and Self-paced reading

The two most commonly used behavioral methods for studying incremental language processing during reading are tracking eye movements and self-paced reading. While both of these have proven powerful and highly flexible, they both have important limitations as well.

In eye-tracking, participants read a text on a screen naturally, while their saccadic eye movements are recorded on a computer-connected camera that is calibrated so that the researcher can reconstruct with high precision where the participant's gaze falls on the screen at all times (Rayner 1998). These eye movements can be used to reconstruct various position-specific reading time measures such as *gaze duration* (the total amount of time the eyes spend on a word the first time it is fixated, or zero if the eye skipped the word the first time it was approached from the left) and *total viewing time* (the total amount of time that the word is fixated). Eye tracking data collected with state-of-the-art high-precision recording equipment offers relatively good signal-to-noise ratio, but the difficulty presented by a word can still *spill over* into reading measures on subsequent words, a dynamic that can make it hard to isolate the source of an effect of potential theoretical interest (Rayner et al. 2004; Levy et al. 2009; Frazier and Rayner 1982). Additionally, the equipment is expensive and data collection is laborious and must occur in-lab.

Self-paced reading (SPR; Mitchell (1984)) is a somewhat less natural paradigm in which the participant manually controls the visual presentation of the text by pressing a button. In its generally preferred variant, moving-window self-paced reading, words are revealed one at a time or one group at a time: every press of the button masks the currently presented word (group) and simultaneously reveals the next. The time spent between button presses is the unique RT measure for that word (group). Self-paced reading requires no special equipment and can be delivered remotely, but the measurements are noisier and even more prone to spillover (MacDonald 1993; Koornneef and van Berkum 2006; Smith and Levy 2013).

#### 1.3 Maze

The Maze task is an alternative method that is designed to increase localization at the expense of naturalness (Freedman and Forster 1985; Forster, Guerrera, and Elliot 2009). In the Maze task, participants must repeatedly choose between two simultaneously presented options: a correct word that continues the sentence, and a distractor string which does not. Participants must choose the correct word, and their time to selection is treated as the reaction time, or RT. (We deliberately overload the abbreviation "RT" and use it for Maze reaction times as well as reading times from eye tracking and SPR, because the desirable properties of reading times turn out to hold for Maze reaction times as well.) Forster, Guerrera, and Elliot (2009) introduced two versions of the Maze task: lexical "L"-maze where the distractors are non-word strings, and grammatical "G"-maze where the distractors are real words that don't fit with the context of the sentence. In theory, participants must fully integrate each word into the sentence in order to confidently select it, which may require mentally reparsing previous material in order to allow the integration and selection of a disambiguating word. Forster, Guerrera, and Elliot (2009) call this need for full integration "forced incremental processing" to distinguish from other incremental processing methods where words can be passively read before later committing to a parse. This idea of strong localization is supported by studies finding strongly localized effects for G-maze (Witzel, Witzel, and Forster 2012; Boyce, Futrell, and Levy 2020).

The downside of G-maze is that materials are effort-intensive to construct because of the need to select infelicitous words as distractors for each spot of each sentence. This burdensome preparation may explain why the Maze task was not widely adopted. Boyce, Futrell, and Levy (2020) demonstrated a way to automatically generate Maze distractors by using language models from Natural Language Processing to find words that are high surprisal in the context of the target sentence, and thus likely to be judged infelicitous by human readers. Boyce, Futrell, and Levy (2020) call Maze with automatically generated distractors A-maze. In a comparison, A-maze distractors had similar results to the hand-generated G-maze distractors from Witzel, Witzel, and Forster (2012) and A-maze outperformed L-maze and an SPR control in detecting and localizing expected slowdown effects. Sloggett, Handel, and Rysling (2020) also found that A-maze and G-maze distractors yielded similar results on a disambiguation paradigm.

Another recent variant of the Maze task is interpolated I-maze, which uses a mix of real word distractors (generated via the A-maze process) and non-word distractors (Vani, Wilcox, and Levy 2021; Wilcox, Vani, and Levy 2021). The presence of real word distractors encourages close attention to the sentential context, while non-words can be used as distractors where the word in the sentence is itself ungrammatical or highly unexpected, and/or it is important that the predictability of the distractor in the context is perfectly well-balanced (at zero) across all experimental conditions.

## 1.4 Measuring localization: Frequency, length, and surprisal effects

Localized measures can be used to attribute processing difficulty to individual words; however, to determine if a method is localized requires knowing how hard the words were to process. One approach is to look at properties of words that are known to influence reading times across methods such as eye-tracking and SPR. Longer words and lower frequency words tend to take longer to process (Kliegl et al. 2004), as do less predictable words (Rayner et al. 2004).

A word can be unpredictable for a variety of reasons: it could be low frequency, semantically unexpected, the start of a low-frequency syntactic construction, or a word that disambiguates prior words to a less common parse. Many targeted effects of interest are essentially looking at specific features that contribute to how predictable or unpredictable a word is. Thus incremental process-

ing methods that are sensitive to predictability are useful for testing linguistic theories that make predictions about what words are unexpected.

The overall predictability of a word in a context can be estimated using language models that are trained on large corpora of language to predict what word comes next in a sentence. A variety of pre-trained models exist, with varied internal architectures and training methods, but all of them generate measures of predictability. Predictability is often measured in bits of surprisal, which is the negative log probability of a word (Hale (2001); Levy (2008); 1 bit of surprisal means a word is expected to occur half the time, 2 bits is 1/4 of the time, etc.).

The functional form of the relationship between RTs from eye-tracking and SPR studies and the predictability of the words is linear in terms of surprisal (Smith and Levy 2013; Wilcox et al. 2020; Goodkind and Bicknell 2018; Luke and Christianson 2016), even when two important context-invariant word features known to influence RTs, length and frequency, are controlled for. Predictability reliably correlates with reading time over a wide range of surprisals found in natural-sounding texts, not just for words that are extremely expected or unexpected (Smith and Levy 2013). If Maze RTs reflect the same processing as other methods, we expect to find a similar linear relationship with surprisal.

#### 1.5 Current experiment

The Maze task has thus far primarily been used on constructed sentences focusing on targeted effects and not on the long naturalistic passages used to assess the relationship between RT and surprisal. We tested how A-maze performs on longer naturalistic corpora and compared it with self-paced reading (SPR), with the following main questions in mind:

- 1. Do participants engage with these longer passages successfully with the A-maze task?
- 2. Is A-maze as powerful and reliable a method as SPR for these longer passages?
- 3. What is the functional form between word surprisal and RT for the A-maze task?
- 4. Does A-maze have less spillover than SPR?
- 5. What types of context-driven expectations, as operationalized in competing computational language models, are deployed to determine RTs in A-maze and SPR?

We used the Natural Stories corpus (Futrell et al. 2020), which consists of 10 passages of roughly 1000 words each which are designed to read fluently to native speakers. At the same time, the passages contain copious punctuation, quoted speech, proper nouns, and low frequency grammatical constructions. The corpus is accompanied by binary-choice comprehension questions, 6 per story, which we used to assess comprehension.

We tweaked the A-maze task to accommodate these longer passages and then had participants read the passages in the Maze. We compare our A-maze results with SPR data collected on the Natural Stories corpus by Futrell et al. (2020).

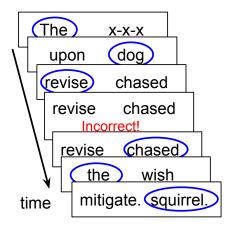
## 2 Methods

We constructed A-maze distractors for the Natural Stories corpus (Futrell et al. 2020) and recruited 100 crowd-sourced participants to each read a story in the Maze paradigm. The materials, data, and analysis code are all available at https://github.com/vboyce/natural-stories-maze.

#### 2.1 Task: Error-correction Maze

In order to support longer materials, we tweaked the Maze task, creating a new variant called error-correction Maze.

One of the benefits of the Maze task is that it forces incremental processing by having participants make an active choice about what the next word is. But what happens if they choose incorrectly?



**Figure 1:** Schematic of error-correction Maze. A participant reads a sentence word by word, choosing the correct word at each time point (selections marked in blue ovals). When they make a mistake, an error message is displayed, so the participant can try again and continue with the sentence.

In the traditional Maze paradigm, any mistake ends the sentence, and the participant moves on to the next item (Forster, Guerrera, and Elliot 2009). An advantage of this is that participants who contribute RT data are very likely to have understood the sentence up to that point. This contrasts with other methods, where determining whether participants are paying attention usually requires separate comprehension check questions, usually not used for Maze.

However, terminating sentences on errors means that we don't have RTs for words after a participant makes a mistake in an item. In traditional G-maze tasks, with hand-crafted distractors and attentive participants, errors are rare and data loss is a small issue. However, this data loss can be worse with A-maze materials and crowd-sourced participants (Boyce, Futrell, and Levy 2020). The high errors are likely from some combination of participants guessing randomly and from auto-generated distractors that in fact fit the sentence; as Boyce, Futrell, and Levy (2020) noted, some distractors, especially early in the sentence, were problematic and caused considerable data loss.

The high error rates could be improved by auto-generating better distractors or hand-replacing problematic ones, but that does not solve the fundamental problem with long items. Well-chosen distractors and attentive participants reduce the error rate, but the error rate will still compound over long materials. For instance, with a 1% error rate, 86% of participants would complete each 15-word sentence, but only 61% would complete a 50 word vignette, and 13% would complete a 200 word passage. In order to run longer materials, we needed something to do when participants made a mistake other than terminate the entire item.

As a solution, we introduce an *error-correction* variant of Maze shown in Figure 1. When a participant makes an error, they see an error message and must try again to select the correct option, before continuing the sentence as normal. We make error-correction Maze available as an option in a modification of the Ibex Maze implementation introduced in Boyce, Futrell, and Levy (2020) (https://github.com/vboyce/Ibex-with-Maze). The code records both the RT to the first click and also the total RT until the correct answer is selected as separate values.

Error-correction Maze expands the types of materials that can be used with Maze to include arbitrarily long passages and cushions the impact of occasional problematic distractors. Error-correction Maze is a change in experimental procedure, and is independent of what types of distractors are used. This error-correction presentation is used here with A-maze, but could also be used with G-maze or I-maze.

#### 2.2 Materials

We used the texts from the Natural Stories corpus (Futrell et al. 2020) and their corresponding comprehension questions. To familiarize participants with the task, we wrote a short practice passage and corresponding comprehension questions. See Appendix A for an excerpt of one of the stories.

To generate distractors, we first split the corpora up into sentences, and then ran the sentences through the A-maze generation process. We used an updated version of the codebase from Boyce, Futrell, and Levy (2020) which had the capability to match the greater variety of punctuation present in the Natural Stories corpus (updated auto-generation code at https://github.com/vboyce/Maze). We took the auto-generated distractors as they were, without checking for quality.

## 2.3 Participants

We recruited 100 participants from Amazon Mechanical Turk in April 2020, and paid each participant \$3.50 for roughly 20 minutes of work. We excluded data from those who did not report English as their native language, leaving 95 participants. After examining participants' performance on the task (see Results for details), we excluded data from participants with less than 80% accuracy, removing participants whose behavior was consistent with random guessing. After this exclusion, 63 participants were left.

#### 2.4 Procedure

Participants first gave their informed consent and saw task instructions. Then they read a short practice story in the Maze paradigm and answered 2 binary-choice practice comprehension questions, before reading one main story in the A-maze task. After the story, they answered 6 comprehension questions, commented on their experience, answered optional demographic questions, were debriefed, and were given a code to enter for payment. The experiment was implemented in Ibex (https://github.com/addrummond/ibex).

## 2.5 Self-paced reading comparison

In addition to the texts, Futrell et al. (2020) released reading time data from a SPR study they ran in 2011. They recruited 181 participants from Amazon Mechanical Turk, most of whom read 5 of the stories. After reading each story, each participant answered 6 binary-choice comprehension questions. For comparability with A-maze, we analyze only the first story each participant read, and, in line with Futrell et al. (2020), exclude participants who got less than 5/6 of the comprehension questions correct, leaving 165 SPR participants.

#### 2.6 SPR-Maze correlation

We compared the correlations between the Maze and SPR RTs to within-Maze and within-SPR correlations. For Maze, within each story, we randomly split subjects into two halves. Within each half, we calculated a per-word average RT for each word and then a per-sentence average RT across word averages. We calculated a within-Maze correlation between these two halves.

For this comparison, we downsampled the SPR data choosing a number of participants equal to the number we have for Maze to avoid differences due to dataset size. We then used the same procedure to get a within-SPR correlation. For between Maze-SPR correlation, we took the average correlation across each of the 4 pairs of Maze half and SPR half.

## 2.7 Modeling approach

Our analytic questions required multiple modeling approaches. To look at the functional form of the relationship between surprisal and RT data, we fit Generalized Additive Models (GAMs) to allow for non-linear relationships. GAM model summaries can be harder to interpret than those for linear models, so to measure effect sizes and assess spillover, we used linear mixed models. Finally, in order to determine which language model best predicts the RT data, we fit additional linear models with predictors from multiple language models to look at their relative contributions. All these models used surprisal, frequency, and length as predictors for RT. We considered these predictors from both the current and past word to account for the possibility of spillover effects in A-maze. For SPR comparisons, we included predictors from the current and past three words to account for known spillover effects. We conducted data processing and analyses using R [Version 4.2.1; R Core Team (2022)]<sup>1</sup>.

#### 2.7.1 Predictors

We created a set of predictor variables of frequency, word length, and surprisals from 4 language models. For length, we used the length in characters excluding end punctuation. For unigram frequency, we tokenized the training data from Gulordava et al. (2018) and tallied up instances. We then rescaled the word counts to get the log2 frequency of occurrences per 1 billion words, so higher values indicate higher log frequencies. We got per-word surprisals for each of 4 different language models, covering a range of common architectures: a Kneser-Ney smoothed 5-gram; the long short-term memory recurrent neural network model of Gulordava et al. (2018), which we refer to as GRNN; Transformer-XL (Dai et al. 2019); and GPT-2 (Radford et al., n.d.), using lm-zoo (Gauthier et al. 2020). For all of these predictors, we used both the predictor at the current word as well as lagged predictors from the previous word.

#### 2.7.2 Exclusions

We excluded the first word of every sentence because it had an x-x-x distractor, leaving 9782 words. We excluded words for which we didn't have surprisal or frequency information, leaving 8489 words. We additionally excluded words that any model treated as being composed of multiple tokens (primarily words with punctuation), leaving 7512 words<sup>2</sup>. We excluded outlier RTs that were <100 or >5000 ms (<100 is likely a recording error, >5000 is likely the participant getting distracted). We exclude RTs from words where mistakes occurred or which occurred after a mistake in the same sentence. We only analyzed words where we had values for all predictors, which meant that if the previous word was unknown to a model, the word was excluded because of missing values for a lagged predictor.

<sup>&</sup>lt;sup>1</sup> We, furthermore, used the R-packages *bookdown* (Version 0.28; Xie 2016), *brms* (Version 2.17.0; Bürkner 2017, 2018, 2021), *broom.mixed* (Version 0.2.9.4; Bolker and Robinson 2022), *cowplot* (Version 1.1.1; Wilke 2020), *gridExtra* (Version 2.3; Auguie 2017), *here* (Version 1.0.1; Müller 2020), *kableExtra* (Version 1.3.4; Zhu 2021), *lme4* (Version 1.1.30; Bates et al. 2015), *mgcv* (Version 1.8.40; Wood 2011, 2004, 2003; Wood, Pya, and Säfken 2016), *mgcViz* (Version 0.1.9; Fasiolo et al. 2018), *papaja* (Version 0.1.1; Aust and Barth 2022), *patchwork* (Version 1.1.2; Pedersen 2022), *rticles* (Version 0.23.7; Allaire et al. 2022), *tidybayes* (Version 3.0.2; Kay 2022), *tidymv* (Version 3.3.1; Coretta 2022), and *tidyverse* (Version 1.3.2; Wickham et al. 2019).

<sup>&</sup>lt;sup>2</sup> Surprisals should be additive, but summing the surprisals for multi-token words gave some unreasonable responses. For instance, in one story the word king!' has a surprisal of 64 under GRNN (context: The other birds gave out one by one and when the eagle saw this he thought, 'What is the use of flying any higher? This victory is in the bag and I am king!'). While GPT-2 using byte-pair encoding that can split up words into multiple parts, excluding words it split up only excluded 30 words that were not already excluded by other models.

#### 2.7.3 Model specification

To infer the shape of the relationship between our predictor variables and RTs, we fit generalized additive models (GAMs) using R's mgcv package to predict the mean RT (after exclusions) for each word, averaging across participants from whom we obtained an unexcluded RT for that word. We centered but did not rescale the length and frequency predictors, and left surprisal uncentered for interpretability. We used smooth terms (mgcv's s()) for surprisal and tensor product terms (mgcv's ti()) for frequency-by-length effects and interactions. We use restricted maximum likelihood (REML) smoothing for parameter estimation. To more fully account for the uncertainty in the smoothing parameter estimates, we fit 101 bootstrap replicates of each GAM model; in Figures 4 and 5, the best-fit lines derive from the mean estimated effect size across the bootstrap replicates, and the shaded areas indicate a 95% bootstrap confidence interval on this effect size (the boundaries are the 2.5% and 97.5% quantiles of the bootstrapped replicates).

For linear models, we centered all predictors. We modeled the main effects of surprisal, length, and frequency as well as surprisal-by-length and frequency-by-length interactions. For the A-maze data, we used maximal mixed effects, including by-subject slopes and a per-word-token random intercept (Barr et al. 2013). We used weak priors (normal(1000,1000) for intercept, normal(0,500) for beta and sd, and lkj(1) for correlations) and ran models with brm (Bürkner 2018).

For linear models of the SPR data, we were unable to fit a single model whose random effects structure was maximal with respect to all fixed-effects predictors. We report results for the best (in terms of having maximal random effects structure with respect to fixed effects of primary theoretical interest) single model we could fit: by-subject random intercept, uncorrelated by-subject random slopes for surprisal, length and frequency, and a per-word-token random intercept, fit with lme4 (Bates et al. 2015), as this model specification did not fit reliably in brm.

For model comparisons, we took by-item averaged data to aid in fast model fitting. We included frequency, length, and their interaction in all models. Then we fit simple linear regression models (using R's lm()) with either 1 or 2 sources of surprisal and assessed the effect of adding the second surprisal source with an F test (using R's anova()).

## 3 Results

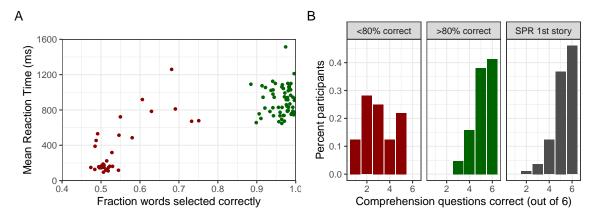
## 3.1 Do participants engage successfully?

Our first question was whether participants could engage successfully with the error-correction Maze task. We assessed engagement by looking at participants' accuracy on the Maze task and performance on the comprehension questions.

Accuracy, or how often a participant chose the correct word over the distractor, reflects both the quality of the distractors and the focus and skill of the participant. We calculated the perword accuracy rate for each participant and compared it against their average reaction time.<sup>3</sup> As seen in Figure 2A, one cluster of participants (marked in green) made relatively few errors, with some reaching 99% accuracy. This high performance confirms that the distractors were generally appropriate and shows that some participants maintained focus on the task for the whole story. These careful participants took around 1 second for each word selection, which is much slower than in eye-tracking or SPR.

Another cluster of participants (in red) sped through the task, seemingly clicking randomly. This bimodal distribution is likely due to the mix of workers on Mechanical Turk, as we did not use qualification cutoffs. We believe the high level of random guessing is an artifact of the subject population (Hauser, Paolacci, and Chandler 2018), and we expect that following current recom-

<sup>&</sup>lt;sup>3</sup> To avoid biasing the average if a participant took a pause before returning to the task, RTs greater than 5 seconds were excluded. This exclusion removed 260 words, or 0.27% of trials.



**Figure 2:** A. Participant's accuracy on the Maze task (fraction of words selected correctly) versus their average reaction time (in ms). Many participants (marked in green) chose the correct word >80% of the time; others (in red) appear to be randomly guessing. B. Performance on the comprehension questions. Participants with low accuracy performed poorly on comprehension questions; Participants with >80% task accuracy tended to do well; their performance was roughly comparable to the performance of SPR participants from Futrell et al. (2020) on their first stories.

mendations for participant recruitment, such as using qualification cutoffs or another recruitment site would result in fewer participants answering randomly (Eyal et al. 2021; Peer et al. 2017).

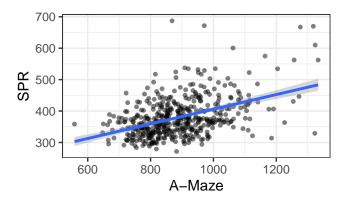
To determine comprehension accuracy, we counted how many of the binary-choice comprehension questions each participant got right (out of 6). As seen in Figure 2B, most participants who were accurate on the task also did well on comprehension questions, while participants who were at chance on the task were also at chance on the comprehension questions. Participants usually answered quickly (within 10 seconds), so we do not believe they were looking up the answers on the Internet. We can't rule out that some participants may have been able to guess the answers without understanding the story. Nonetheless, the accurate answers provide preliminary evidence that people can understand and remember details of stories they read during the Maze task.

The comprehension question performance of accurate Maze participants is broadly similar to the performance of SPR participants from Futrell et al. (2020) on the first story read. Overall, 60% of Maze participants got 5 or 6 questions right (22% of low-accuracy participants and 79% of high-accuracy participants) compared to 91% of all SPR reads and 83% of 1st SPR reads. These differences cannot necessarily be attributed to methods, as the participant populations differed. While both studies were conducted on Mturk, the quality of Mturk data has decreased from 2011 when the SPR was collected to 2020 when the A-maze was collected (Chmielewski and Kucker 2020).

For the remainder of the analyses, we use task performance as our exclusion metric for A-maze because it is more fine-grained and only analyze data from participants with at least 80% accuracy (in the gap between high-performers and low-performers). For the SPR comparison, we follow Futrell et al. (2020)'s criteria and exclude participants who got less than 5 of the comprehension questions correct.

## 3.2 How do A-maze and SPR compare in power and reliability?

Our second question was whether A-maze is reliable. To assess reliability, we conducted split-half comparisons looking at the correlations between and within SPR and A-maze. If the methods picked up on the same effects, we would expect them to be correlated, with sentences that took



**Figure 3:** Correlation between SPR and Maze data. RTs (measured in milliseconds) were averaged across participants per word and then averaged together within each sentence, so that each point represents the average RT in the two methods for one sentence in the corpus. Presented on a fixed scale coordinate system where 1 millisecond of RT takes equal physical space on both axes. Line and confidence interval reflect best linear fit regression of SPR time against Maze time.

longer to read in one method also taking longer in the other. We calculated the average RT at the sentence level to reduce variability from spillover patterns. The correlation between Maze and SPR was 0.25, compared to 0.23 within SPR and 0.36 within Maze. See Figure 3 for a visual comparison of overall Maze versus SPR RTs. SPR data is about as correlated with Maze as with another sample of SPR data which provides some evidence that Maze and SPR are measuring the same effects. The superior within-method split-half correlation we see for Maze relative to SPR, despite the smaller number of participants, suggests that it is the more powerful of the two methods (higher signal-to-noise ratio), consistent with the findings of Boyce, Futrell, and Levy (2020) for factorial experimental designs with isolated-sentence presentation.

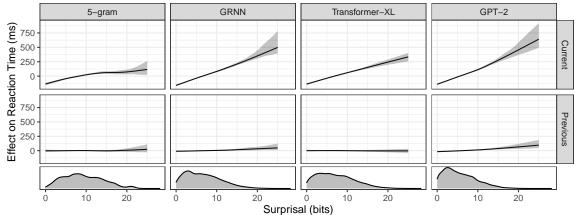
#### 3.3 Are the effects of surprisal linear?

We next considered the relationship between surprisal and Maze RT. Surprisal, a measure of overall word predictability in context, is linearly related to RT in eye-tracking and SPR (Smith and Levy 2013; Wilcox et al. 2020; Goodkind and Bicknell 2018; Luke and Christianson 2016). If Maze is measuring the same language processes, we would expect to see a linear relationship between surprisal and Maze RT.

To assess the shape of the RT-surprisal relationship, we then fit generalized additive models (GAMs).<sup>4</sup> For these models, we only included data that occurred before any mistakes in the sentence; due to limits of model vocabulary, words with punctuation and some uncommon or

Due to previous reports of a length–frequency interaction in RT measures (Kliegl, Nuthmann, and Engbert 2006), before pursuing our primary question of the functional form of the surprisal–RT relationship, as an exploratory measure we fit generalized additive models (GAMs) with not only the main effects but also the two-way interactions between surprisal, length, and frequency, for the current word and for the previous word. This analysis revealed significant effects of current-word and previous-word surprisal, current-word and previous-word length, and significant interactions of current-word frequency by length and frequency by surprisal. The other main effects and interactions did not reach statistical significance. (These are results from mgcv's summary(); the *p*-values are approximate.) Appendix C provides tables and plots of these effects and interactions for GPT-2. The interactions can be summarized as long low-frequency words and surprising, high-frequency words as having especially long RTs; and surprising, low-frequency words as having shorter RTs than would otherwise be predicted. However, these effects are small in terms of variance explained compared to the current-word surprisal effect, which is by far the largest single effect in the model. For simplicity we therefore set aside the interaction terms involving surprisal for the remainder of this analysis.

proper nouns were excluded. We used surprisals generated by 4 different language models for robustness. (See Methods for details on language models, exclusions, and model fit.)



**Figure 4:** GAM results for the effect of current word surprisal (top) or previous word surprisal (bottom) on Maze reaction time (RT). Density of data is shown along the x-axis. The best-fit lines is from the mean estimated effect size across the bootstrap replicates, and the shaded areas indicate a 95% bootstrap confidence interval on this effect size. For each of the 4 language models used, there is a linear relationship between current word surprisal and RT. The relationship between previous word surprisal and RT is much flatter.

The main effects of current and previous word surprisals on RT are shown in Figure 4. Note that for each of the models, high-surprisal words are rare, with much of the data from words with between 0 and 15 bits of surprisal. All 4 models show a roughly linear relationship between current word surprisal and RT, especially in the region with more data. To determine the goodness of fit of a model in which word probability effects on RT are taken to be linear in surprisal, we also fit GAM models with both parametric linear and nonparametric non-linear terms for surprisal; for all but the 5-gram model, these analyses supported a linear effect of surprisal (Appendix D).

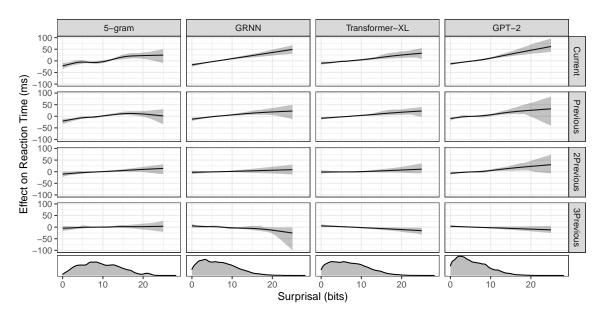
As a comparison, we also ran GAMs on the SPR data collected by Futrell et al. (2020). Previous work such as Smith and Levy (2013) has found positive relationships between RT and the surprisal of earlier words for SPR, so we include predictors from the current and the 3 prior words. The relationship between surprisals and RT is shown in Figure 5; note that the y-axis range is much narrower than for Maze. Both current and previous word surprisals have a roughly linear positive relationship to RT. The surprisal of the word two back also has an influence in some models.

Comparing Maze and SPR, we see that both show a linear relationship, but Maze has much larger effects of surprisal on the current word.

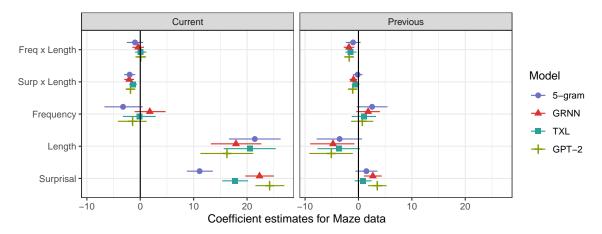
#### 3.4 Does A-maze have less spillover?

One of the main claimed advantages of the Maze task is that it has better localization and less spillover than SPR. We examined how much spillover A-maze and SPR each had by fitting linear models with predictors from current and previous words. Large effects from previous words are evidence for spillover; effects of the current word dwarfing any lagged effects would be evidence for localization.

We modeled reading time as a function of surprisal, frequency, and length as well as surprisal×length and frequency×length interactions. For all of these, we included the predictors for the current and previous word, and we centered, but did not rescale, all predictors. (See Methods for more details on these predictors and model fit process.) As with the GAM models, we used surprisal calculations from 4 different language models for robustness.



**Figure 5:** GAM results for the effect of current word surprisal (top) or the surprisal of an earlier word, up to 3 words back on SPR RT data (Futrell et al. 2020). Density of data is shown along the x-axis. The best-fit lines is from the mean estimated effect size across the bootstrap replicates, and the shaded areas indicate a 95% bootstrap confidence interval on this effect size.

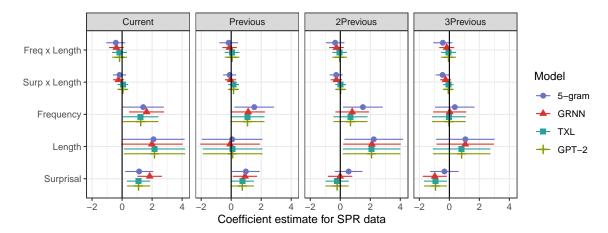


**Figure 6:** Point estimates and 95% credible intervals for coefficients predicted by fitted Bayesian regression models predicting A-maze RT. Units are in ms. Surprisal is per bit, length per character, and frequency per  $log_2$  occurrence per billion words.

The Maze linear model effects are shown in Figure 6 (See also Appendix B for a table of effects). Across all models, there were consistent large effects of length and surprisal at the current word, but minimal effects of frequency. The lack of frequency effects is unexpected, but consistent with Shain (2019). There was a small interaction between surprisal and length at the current word.

Crucially, the effects of previous word predictors are close to zero, and much smaller than the effects of surprisal and length of the current word, an indication that spillover is limited and effects are strongly localized.

We ran similar models for SPR, although to account for known spillover effects, we consider predictors from the current and 3 previous words. Due to issues fitting models, the details of the models differed (see Methods). The SPR coefficients are shown in Figure 7 (see also Appendix B



**Figure 7:** Point estimates and 95% confidence intervals (+/- 1.97 standard error) for coefficients predicted by fitted regression models predicting SPR RT. Units are in ms. Surprisal is per bit, length per character, and frequency per  $log_2$  occurrence per billion words.

for a table of coefficients). Surprisal, length, and frequency effects are all evident for the current word and surprisal and frequency show effects from the previous word as well. Unlike for Maze, with SPR there is not a clear diminishing of the size of the effects as one goes from current word to prior word predictors.

Whereas Maze showed surprisal effects in the 10 to 25 ms/bit range and length effects in the 15 to 20 ms/character range, SPR effects are about 1-2 ms per bit or character. This difference in effect size is disproportionate to the overall speed of the methods; the predicted intercept for the Maze task was roughly 880 ms and for SPR was roughly 360 ms. Thus Maze is 2–3 times as slow as SPR but has roughly 10 times larger effects.

#### 3.5 Which language model fits best?

Our last analysis question is whether some of the language models fit the human RT data better than others. We assessed each model's fit to A-maze data using log likelihood and R-squared. Then we did a nested model comparison, looking at whether a model with multiple surprisal predictors (ex, GRNN and GPT-2) had a better fit than a model with only one (ex GRNN alone).

As shown in Table 1, GPT-2 provides a lot of additional predictive value over each other model, GRNN provides a lot over 5-gram and Transformer-XL and a little complementary information over GPT-2. Transformer-XL provides a lot over 5-gram, and 5-gram provides little over any model. The single-model measures of log likelihood confirm this hierarchy, as GPT-2 is better than GRNN is better than Transformer-XL is better than 5-gram.

**Table 1:** Results of model comparisons on Maze data. Each row shows the additional predictive value gained from adding that model to another model. F values and p values from ANOVA tests between 1-surprisal-source and 2-source models are reported. We also report log likelihoods of models with only one surprisal source and the r-squared correlation between the model's predictions and the data.

| Model  | over 5-gram   | over GRNN     | over TXL      | over GPT-2   | Log Lik | r_squared |
|--------|---------------|---------------|---------------|--------------|---------|-----------|
| 5-gram |               | 2 (p=0.153)   | 3 (p=0.035)   | 0 (p=0.611)  | -43817  | 0.16      |
| GRNN   | 287 (p<0.001) |               | 113 (p<0.001) | 13 (p<0.001) | -43544  | 0.23      |
| TXL    | 174 (p<0.001) | 5 (p=0.006)   |               | 2 (p=0.137)  | -43650  | 0.2       |
| GPT-2  | 394 (p<0.001) | 113 (p<0.001) | 213 (p<0.001) |              | -43445  | 0.25      |

We followed the same process for the SPR data with results shown in Table 2. For SPR, GPT-2 and 5-gram models contain some value over each other model, which is less clear for Transformer-XL and GRNN. In terms of log likelihoods, we find that GPT-2 is better than 5-gram is better than GRNN is better than Transformer-XL, although differences are small. The relatively good fit of 5-gram models to SPR data compared with neural models matches results from Hu et al. (2020) and Wilcox et al. (2020), and contrasts with the Maze results, where the 5-gram model had the worst fit and did not provide additional predictive value over the other models. The fact that the neural language models, which capture deeper features of linguistic structure (Hu et al. 2020; Wilcox, Futrell, and Levy In press), dominate the more superficial 5-gram models for Maze but not SPR suggests that Maze RTs reflect richer language structure-related processes during real-time comprehension than do SPR RTs.

As an overall measure of fit to data, we calculate multiple R-squared for the single surprisal source models for both A-maze and SPR. The models predict A-maze better than SPR with R-squared values for A-maze ranging from 0.16 for the 5-gram model to 0.25 for GPT-2. For SPR, the R-squared values range from from 0.007 to 0.011. This pattern suggests that the effect size differences are not due merely to the larger overall reading time for A-maze, but that instead A-maze is more sensitive to surprisal and length effects.

**Table 2:** Results of model comparisons on SPR data. Each row shows the additional predictive value gained from adding that model to another model. F values and p values from ANOVA tests between 1-surprisal-source and 2-source models are reported. We also report log likelihoods of models with only one surprisal source and the r-squared correlation between the model's predictions and the data.

| Model  | over 5-gram  | over GRNN   | over TXL     | over GPT-2  | Log Lik | r_squared |
|--------|--------------|-------------|--------------|-------------|---------|-----------|
| 5-gram |              | 3 (p=0.032) | 4 (p=0.001)  | 3 (p=0.033) | -51798  | 0.007     |
| GRNN   | 7 (p<0.001)  |             | 6 (p<0.001)  | 2 (p=0.153) | -51790  | 0.009     |
| TXL    | 3 (p=0.010)  | 0 (p=0.910) |              | 1 (p=0.462) | -51801  | 0.007     |
| GPT-2  | 10 (p<0.001) | 5 (p<0.001) | 10 (p<0.001) |             | -51783  | 0.011     |

## 4 Discussion

We introduced error-correction Maze, a tweak on the presentation of Maze materials that makes Maze feasible for multi-sentence passages. We then used A-maze distractors and the error-correction Maze presentation to gather data on participants reading stories from the Natural Stories corpus in the Maze. As laid out in the Introduction, this current study addressed five main questions.

First, we found that participants could read and comprehend the 1000 word stories, despite the slowness and added overhead of reading in the Maze task. This result expands the domain of materials usable with Maze beyond targeted single-sentence items to longer, naturalistic texts with sentence-to-sentence coherency.

Second, we took advantage of the pre-existing SPR corpus on Natural Stories to compare the RT profiles between Maze and SPR. Maze and SPR pick up on similar features in words, as shown by the high correlations between Maze and SPR RTs on the sentence level.

Third, we addressed whether the A-maze RT for a word showed a linear relationship with that word's surprisal. We found that A-maze RTs are linearly related to surprisal, matching the functional profile found with other incremental processing methods.

Fourth, we compared the spillover profiles between Maze and SPR. For Maze, we found large effects of the current word's surprisal and length, which dwarfed any spillover effects from previous word predictors. In contrast, for SPR, we found effects of roughly equal sizes from the current

and previous words. <sup>5</sup> Overall, Maze is a slower task than SPR, but it also has much larger effects of length and surprisal, perhaps due to requiring more focus, and thus generating less noisy data.

Lastly, we examined how different language models fare at predicting human RT data. We found that overall, the models were more predictive of the A-maze data than SPR data; however, the hierarchy of the model's predictive performance also differed between the A-maze and SPR datasets. This difference suggests that how well a language model predicts human RTs may depend on task. Maze RTs were by far best predicted by language models that capture rich features of natural language grammatical structure, unlike SPR RTs which were nearly equally well predicted by more superficial 5-gram models. These findings further add to the evidence that the Maze task is favorable for RT-based investigations of underlying linguistic processing in the human mind. More broadly, further comparisons between different processing methods on the same materials could be useful for a deeper understanding of how task demands influence language processing (ex. Bartek et al. 2011).

Overall, A-maze has excellent localization, although some models showed small but statistically reliable effects of the past word. On the whole, our results support the idea that Maze forces language processing to be close to word-by-word, and thus the Maze task can be used under the assumption that the RT of a word primarily reflects its own properties and not those of earlier words.

#### 4.1 Limitations

While we expect these patterns of results reflect features of the A-maze task, the effects could be moderated by quirks of the materials or the participant population. We excluded a large number of participants for having low accuracy on the task and appearing to guess randomly. We compared RTs collected on the A-maze task to SPR RTs previously collected on the same corpus, but we did not randomly assign participants to SPR and Maze conditions. This study suggests that A-maze is a localized and widely-usable method, but only broader applications can confirm these findings.

## 4.2 Future directions

Compared to traditional Maze, error-correction Maze reduces perverse (from the experimenter's point of view) incentives to complete the task as quickly as possible. However, even with error-correction Maze, clicking randomly is still likely faster than doing the task. In discussing this work, we received the suggestion that one way to further disincentivize random clicking would be to add a pause when a participant makes a mistake, forcing them to wait some short period of time (ex 500ms) before correcting their mistake. This delay would make randomly hitting buttons slower than doing the task as intended, and we have made delaying after wrong presses an option in the error-correction Maze implementation at https://github.com/vboyce/Ibex-with-Maze.

Error-correction Maze records RTs for words after a participant makes a mistake in the sentence. In our analyses, we excluded these post-error data, but we believe it is an open question whether data from after a participant makes a mistake is usable. That is, does it show the same profile as RTs from pre-error words, or are there traces from recovering from the mistake? If there are, how long do these effects take to fade? Whether post-mistake data is high-quality and trustworthy enough to be included in analyses is hard to assess; if it can be used, it would make the Maze task more data efficient.

The Maze task is versatile and can be used or adapted for a wide range of materials and questions of interest. Its forced incrementality makes the Maze task a good target for any question

<sup>&</sup>lt;sup>5</sup> Furthermore, the typical spillover profile for SPR data may be worse than suggested by the Natural Stories corpus SPR data: for example, Smith and Levy (2013) found that most of a word's surprisal effect showed up only one to two words downstream.

that requires precisely determining the locus of incremental processing difficulty. We encourage researchers to use Maze as an incremental processing method, alone or in comparison with other methods, and we suggest that the error-correction mode be the default choice for presenting Maze materials.

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## 6 Appendix A

The beginning of one of the stories. This excerpt is the first 200 words of a 1000 word story.

Tulip mania was a period in the Dutch Golden Age during which contract prices for bulbs of the recently introduced tulip reached extraordinarily high levels and then suddenly collapsed. At the peak of tulip mania in February sixteen thirty-seven, tulip contracts sold for more than ten times the annual income of a skilled craftsman. It is generally considered the first recorded economic bubble. The tulip, introduced to Europe in the mid sixteenth century from the Ottoman Empire, became very popular in the United Provinces, which we now know as the Netherlands. Tulip cultivation in the United Provinces is generally thought to have started in earnest around fifteen ninety-three, after the Flemish botanist Charles de l'Ecluse had taken up a post at the University of Leiden and established a botanical garden, which is famous as one of the oldest in the world. There, he planted his collection of tulip bulbs that the Emperor's ambassador sent to him from Turkey, which were able to tolerate the harsher conditions of the northern climate. It was shortly thereafter that the tulips began to grow in popularity. The flower rapidly became a coveted luxury item and a status symbol, and a profusion of varieties followed.

The first 2 out of the 6 comprehension questions.

When did tulip mania reach its peak? 1630's, 1730's

From which country did tulips come to Europe? Turkey, Egypt

# 7 Appendix B

Full numerical results from the fitted regression models are shown in Table 3 for A-maze and in Table 4 for SPR.

# 8 Appendix C

We use mgcv's ti() tensor interaction terms to test all main effects and two-way interactions among frequency, length, and surprisal for the current word and for the previous word. These effects are visualized in Figure 8 and mgcv's approximate significance levels are give in Table 5. Based on these approximate significance levels, the main effects of current and previous word surprisal and length are significant, as are the current-word frequency-by-length and frequency-by-surprisal interactions; other terms are not statistically significant. These significant interactions can be summarized as especially long, infrequent words being especially slow to select; especially frequent and surprising words being especially slow to select; and especially infrequent and surprising words being less slow to select than a main-effects-only model would predict. The data driving these interactions are in the sparse tails of the word length and surprisal distributions, and

**Table 3:** Predictions from fitted Bayesian regression models. All terms were centered, but not rescaled. Units are in ms. Surprisal is per bit, length per character, and frequency per  $log_2$  occurance per billion words. Interval is 2.5th quantile to 97.5th quantile of model draws.

| Term               | 5-gram             | GRNN                 | Transformer-XL     | GPT-2                |
|--------------------|--------------------|----------------------|--------------------|----------------------|
| Intercept          | 876 [840.4, 910.9] | 876.8 [840.1, 911.5] | 880 [842.8, 914.9] | 878.5 [845.6, 911.6] |
| Surprisal          | 11.1 [8.7, 13.6]   | 22.3 [19.7, 25]      | 17.8 [15.3, 20.2]  | 24.2 [21.5, 27]      |
| Length             | 21.4 [16.6, 26.3]  | 17.9 [13.2, 22.7]    | 20.5 [15.6, 25.4]  | 16.2 [11.3, 21.2]    |
| Frequency          | -3.2 [-6.7, 0.5]   | 1.8 [-1.1, 4.7]      | -0.1 [-3.2, 2.9]   | -1.4 [-4.2, 1.2]     |
| Surp x Length      | -2 [-3, -0.9]      | -2.1 [-3, -1.2]      | -1.4 [-2.1, -0.6]  | -1.8 [-2.7, -1]      |
| Freq x Length      | -1 [-2.5, 0.6]     | -0.4 [-1.5, 0.7]     | 0.1 [-1, 1.1]      | 0.1 [-0.9, 1.1]      |
| Past Surprisal     | 1.5 [-0.6, 3.5]    | 2.7 [1, 4.4]         | 0.9 [-0.7, 2.5]    | 3.5 [1.8, 5.3]       |
| Past Length        | -3.5 [-7.8, 0.7]   | -4.8 [-9, -0.8]      | -3.7 [-7.7, 0.3]   | -5.1 [-9.2, -1.1]    |
| Past Freq          | 2.5 [-0.3, 5.4]    | 1.8 [-0.4, 4]        | 1 [-1.3, 3.3]      | 0.7 [-1.4, 2.8]      |
| Past Surp x Length | -0.2 [-1.1, 0.8]   | -0.9 [-1.7, -0.2]    | -0.5 [-1.2, 0.2]   | -1.1 [-1.8, -0.4]    |
| Past Freq x Length | -1 [-2.4, 0.4]     | -1.8 [-2.8, -0.8]    | -1.5 [-2.5, -0.4]  | -1.7 [-2.7, -0.8]    |

**Table 4:** Predictions from fitted regression models for SPR data. All terms were centered, but not rescaled. Units are in ms. Surprisal is per bit, length per character, and frequency per  $log_2$  occurance per billion words. Uncertainty interval is +/- 1.97 standard error.

| Term                | 5-gram               | GRNN                 | Transformer-XL       | GPT-2                |
|---------------------|----------------------|----------------------|----------------------|----------------------|
| Intercept           | 361.6 [344.5, 378.6] | 363.8 [346.8, 380.8] | 363.9 [346.9, 380.9] | 363.9 [346.9, 380.9] |
| Surprisal           | 1.1 [0.2, 2.1]       | 1.8 [1, 2.7]         | 1.1 [0.3, 1.9]       | 1.1 [0.3, 1.9]       |
| Length              | 2.1 [0, 4.2]         | 2 [-0.1, 4]          | 2.2 [0.1, 4.2]       | 2.2 [0.1, 4.2]       |
| Frequency           | 1.4 [0, 2.8]         | 1.6 [0.5, 2.8]       | 1.2 [0.1, 2.4]       | 1.2 [0.1, 2.4]       |
| Surp x Length       | -0.2 [-0.6, 0.3]     | -0.2 [-0.6, 0.1]     | 0.1 [-0.3, 0.4]      | 0.1 [-0.3, 0.4]      |
| Freq x Length       | -0.4 [-1, 0.2]       | -0.4 [-0.9, 0.1]     | -0.2 [-0.7, 0.3]     | -0.2 [-0.7, 0.3]     |
| Past Surprisal      | 1 [0.1, 1.9]         | 0.9 [0.1, 1.7]       | 0.7 [0, 1.5]         | 0.7 [0, 1.5]         |
| Past Length         | 0.1 [-2, 2.1]        | -0.1 [-2.1, 1.9]     | 0.1 [-1.9, 2.1]      | 0.1 [-1.9, 2.1]      |
| Past Freq           | 1.5 [0.2, 2.9]       | 1.1 [0, 2.2]         | 1.1 [0, 2.2]         | 1.1 [0, 2.2]         |
| Past Surp x Length  | -0.1 [-0.5, 0.3]     | 0 [-0.4, 0.3]        | 0.2 [-0.2, 0.5]      | 0.2 [-0.2, 0.5]      |
| Past Freq x Length  | -0.2 [-0.8, 0.5]     | -0.1 [-0.6, 0.4]     | 0.1 [-0.4, 0.6]      | 0.1 [-0.4, 0.6]      |
| 2Past Surprisal     | 0.6 [-0.4, 1.5]      | 0 [-0.8, 0.8]        | -0.2 [-1, 0.6]       | -0.2 [-1, 0.6]       |
| 2Past Length        | 2.2 [0.3, 4.2]       | 2.1 [0.2, 4]         | 2.1 [0.2, 4]         | 2.1 [0.2, 4]         |
| 2Past Freq          | 1.5 [0.2, 2.8]       | 0.8 [-0.3, 1.9]      | 0.7 [-0.5, 1.8]      | 0.7 [-0.5, 1.8]      |
| 2Past Surp x Length | -0.3 [-0.7, 0.2]     | -0.3 [-0.6, 0.1]     | 0 [-0.3, 0.4]        | 0 [-0.3, 0.4]        |
| 2Past Freq x Length | -0.3 [-1, 0.3]       | -0.3 [-0.7, 0.2]     | 0 [-0.5, 0.4]        | 0 [-0.5, 0.4]        |
| 3Past Surprisal     | -0.3 [-1.3, 0.6]     | -1 [-1.8, -0.2]      | -0.9 [-1.7, -0.2]    | -0.9 [-1.7, -0.2]    |
| 3Past Length        | 1.1 [-0.9, 3]        | 1.1 [-0.9, 3]        | 0.8 [-1.1, 2.7]      | 0.8 [-1.1, 2.7]      |
| 3Past Freq          | 0.4 [-1, 1.7]        | 0 [-1.1, 1.1]        | 0 [-1.2, 1.1]        | 0 [-1.2, 1.1]        |
| 3Past Surp x Length | -0.5 [-0.9, 0]       | -0.3 [-0.6, 0.1]     | -0.1 [-0.4, 0.3]     | -0.1 [-0.4, 0.3]     |
| 3Past Freq x Length | -0.4 [-1.1, 0.2]     | -0.2 [-0.7, 0.3]     | -0.1 [-0.6, 0.4]     | -0.1 [-0.6, 0.4]     |

as the F statistics in Table 5 show, their variance explained is small relative to the large effect of current-word surprisal, so in the main-text analysis we set these interactions aside.

# 9 Appendix D

The mgcv package's implementation of Generalized Additive Models (Wood 2006) allows linear and nonparametric spline effects of the same continuous predictor to be entered simultaneously into a model. Doing so associates only the nonlinear part of the effect to the spline term, allowing

| Term                    | F-statistic | pvalue   |
|-------------------------|-------------|----------|
| ti(surprisal)           | 95.6500     | p<0.0001 |
| ti(freq)                | 1.5420      | p=0.2267 |
| ti(len)                 | 8.2840      | p=0.0005 |
| ti(freq,len)            | 4.6700      | p<0.0001 |
| ti(surprisal,len)       | 1.0300      | p=0.2418 |
| ti(surprisal,freq)      | 14.9500     | p<0.0001 |
| ti(prev_surp)           | 6.6160      | p<0.0001 |
| ti(prev_freq)           | 2.1670      | p=0.0797 |
| ti(prev_len)            | 3.0360      | p=0.0291 |
| ti(prev_freq,prev_len)  | 0.4666      | p=0.6971 |
| ti(prev_surp,prev_len)  | 2.5120      | p=0.1240 |
| ti(prev_surp,prev_freq) | 2.6470      | p=0.1014 |

**Table 5:** Significance of Generalized Additive Model main effects and two-way interactions among frequency, length, and surprisal for A-Maze reading of the Natural Stories corpus.

for approximate statistical testing of the linear and non-linear components of the effect respectively. We thus test for whether the effect of surprisal on A-Maze RTs is best described as linear or includes a non-linear component, using the mgcv formula:

rt ~ surprisal + s(surprisal, bs="cr", k=20) + ti(freq, bs="cr") + ti(len,
bs="cr") + prev\_surp + s(prev\_surp, bs="cr", k=20) + ti(prev\_freq, bs="cr")
+ ti(prev\_len, bs="cr")

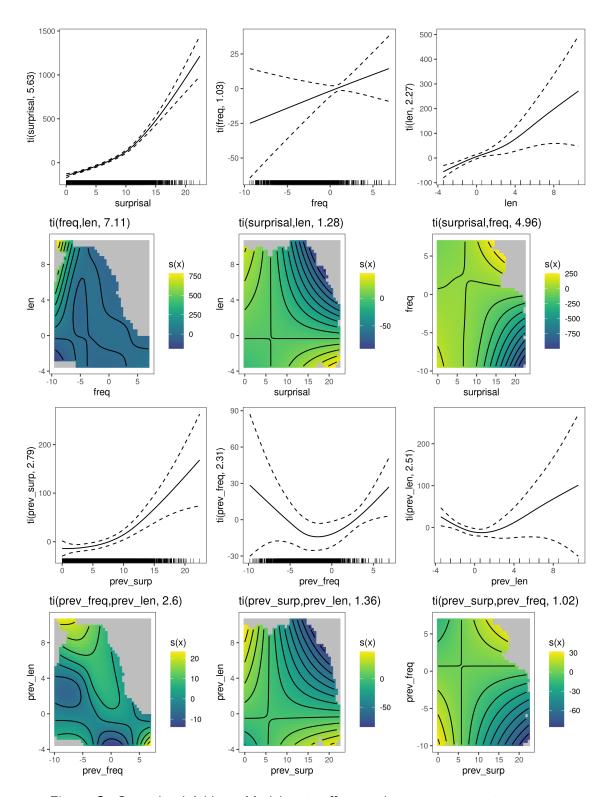
The results are in Table 6. For all but the 5-gram surprisal estimate, there is overwhelming evidence for a linear contribution of current-word surprisal, but little to no evidence for a non-linear contribution. For the 5-gram estimate, there is overwhelming evidence for the linear term, and some evidence for a nonlinearity as well. Consulting Figure 4 shows that this nonlinearity takes the form of the surprisal effect dwindling to zero in the sparse tail of high-surprisal words. This nonlinearity is plausibly due to the measurement error (high variance) of using counts to estimate very low multinomial probabilities. Taken together with the 5-gram model's inferior overall fit, we conclude from this analysis that the evidence is quite strong that, like self-paced reading and eye tracking, A-Maze reading of naturalistic texts exhibits a linear effect of surprisal on RTs.

**Table 6:** Comparison of significances for linear and spline terms of suprisals from a GAM. We fit GAM models with current and past word surprisal as parametric terms, current and past word surprisal and spline terms, and current and past frequence and length as tensors to predict reading time. Here we show the estimated pvalues for the linear and spline surprisal terms at current and past words. The spline terms account for any non-linear surprisal effects.

| Term                  | 5-gram   | GRNN     | Transformer-XL | GPT-2    |
|-----------------------|----------|----------|----------------|----------|
| Spline Surprisal      | p=0.0015 | p=0.7013 | p=0.7107       | p=0.0529 |
| Spline Past Surprisal | p=0.9861 | p=0.8792 | p=0.9835       | p=0.3778 |
| Linear Surprisal      | p<0.0001 | p<0.0001 | p<0.0001       | p<0.0001 |
| Linear Past Surprisal | p=0.8607 | p=0.0540 | p=0.7558       | p=0.0002 |

#### Ethics and consent

This research was approved by MIT's Committee on the Use of Humans as Experimental Subjects and run under protocol number 1605559077.



**Figure 8:** Generalized Additive Model main effects and two-way interactions among frequency, length, and surprisal for A-Maze reading of the Natural Stories corpus. Confidence bands do not take into account the uncertainty associated with mgcv hyperparameter estimation (Wood (2006)).

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# **Competing interests**

The authors have no competing interests to declare.

## **Authors' contributions**

VB contributed Conceptualization, Formal Analysis, Investigation, Methodology, Software, and Writing - Original Draft Preparation. RPL contributed Conceptualization, Formal Analysis, Funding Acquisition, Methodology, Supervision, and Writing - Review & Editing.