

# <sup>1</sup> $\partial\mathbf{H}$ : Differentiable Holography

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<sup>16</sup> Over the past decade, the field of holography has gained significant ground due to advances in computational imaging.  
<sup>17</sup> However, the utilization of computational tools is hampered by the mismatch between experimental setups and the concep-  
<sup>18</sup> tual model. We present differentiable holography ( $\partial\mathbf{H}$ ), a novel framework for automatically self-calibrating experimental  
<sup>19</sup> imperfections in inverse holographic imaging. The technique is demonstrated on auto-focused complex field imaging from a  
<sup>20</sup> single intensity-only inline hologram.

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## <sup>23</sup> 1 Introduction

<sup>25</sup> Imaging objects of various sizes ranging from nanometers to millimeters by investigating the  
<sup>26</sup> object-light interaction is of great importance in numerous research fields, from physics [1],  
<sup>27</sup> material science [2] to biology [3]. Direct quantification of light waves is challenging when the  
<sup>28</sup> waves oscillate beyond the speed of electronic devices, while holography resolves this issue  
<sup>29</sup> by using interferometry [4]. However, holographic imaging has been plagued by a number  
<sup>30</sup> of challenges that have limited its adoption for the past century, including the unwanted  
<sup>31</sup> terms (DC and twin-image) separation [5, 6], phase unwrapping, and auto-focusing [7, 8]. To  
<sup>32</sup> mitigate these challenges, computational techniques surpass multiple variants of holography  
<sup>33</sup> [9, 10, 11] in the possibility of maintaining a compact inline setup by employing optimization  
<sup>34</sup> [5, 12] or deep learning [13, 14]. Suppose that imaging systems encode the target object  $x$   
<sup>35</sup> into holograms  $y$  with a forward model of  $f(\cdot)$ , computational techniques aim at decoding the  
<sup>36</sup> holograms to obtain the target by inverting the forward model to obtain  $x$  with  $f^{-1}(y) \rightarrow x'$ .

<sup>37</sup> Hand-crafted optimization methods rely on relatively simple and ideal imaging models  $f(\cdot)$   
<sup>38</sup> [5, 12, 6], which may not accurately represent reality. The simplicity is mainly due to the  
<sup>39</sup> need for hand-crafted optimization solvers. On the other hand, learning-based methods uti-  
<sup>40</sup> lize general-purpose but opaque black-box models  $f(\cdot)$ , requiring a large amount of training  
<sup>41</sup> data [15, 13] or time-consuming training [16, 17, 14], and often lacking generalization [15, 13].  
<sup>42</sup> Both approaches are sensitive to system-specific factors and unable to compensate for mis-  
<sup>43</sup> matches between numerical modeling and real-world conditions [18], such as experimental  
<sup>44</sup> imperfections (e.g., light sources, optical alignments, sensor quantization) and physical in-  
<sup>45</sup> terference. To solve the inverse problem, accurate modeling of these system imperfections  
<sup>46</sup> is crucial; otherwise, the quality of reconstruction will be compromised due to the accumu-  
<sup>47</sup> lation of modeling errors [19, 18]. However, as the complexity of modeling increases, the  
<sup>48</sup> forward model may not have a simple form, making it difficult to solve using existing solvers.  
<sup>49</sup> This challenge is further compounded when additional modeling parameters are introduced,  
<sup>50</sup> further complicating the problem-solving process. Inspired by the principles of differentiable  
<sup>51</sup> imaging [20], our proposed method, referred to as  $\partial\mathbf{H}$ , incorporates system imperfections into  
<sup>52</sup> the imaging model and employs a differentiable optimization technique to overcome the chal-  
<sup>53</sup> lenging inversion of the forward model. Specifically, we consider defocusing and illumination  
<sup>54</sup> amplitude variation as additional variables to the model. This approach enables complex field  
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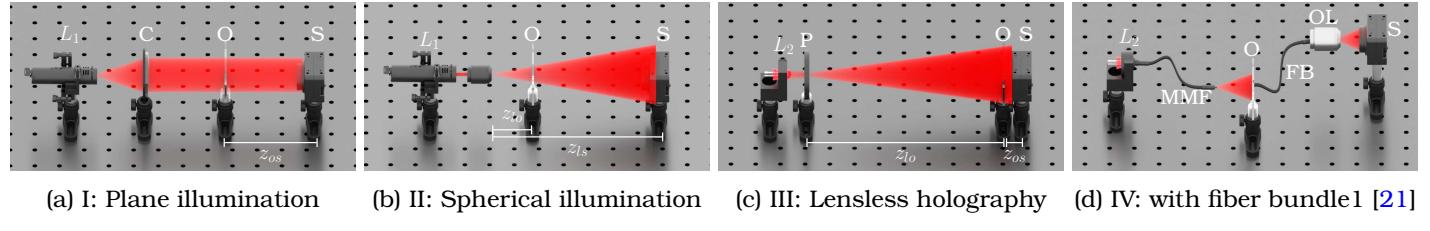
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1 imaging from a single-shot inline hologram without the need for additional hardware. The dif-  
 2 ferentiable design of the imaging framework ensures flexibility and robustness, allowing it to  
 3 be applied to various setups with minimal adjustments to the forward model.  
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## 5    6    7    2    Experimental results



16 (a) I: Plane illumination    (b) II: Spherical illumination    (c) III: Lensless holography    (d) IV: with fiber bundle1 [21]  
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18 Figure 1. Various inline holography systems that are used for the verification: Inline holography with (a) plane  
 19 wave illumination and (b) spherical wave illumination, (c) lensless holography, and (d) lensless holography  
 20 with fiber bundle setup.  $L_1$ : laser light source;  $L_2$ : LED light source; C: collimator; O: object; P: Pinhole;  
 21 S: camera sensor; MMF: Multi-mode fiber; FB: fiber bundle; OL: objective lens.  
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23 The  $\partial H$  has been verified on four different setups, as shown in **Figure 1**. Each of the setups  
 24 induces inverse imaging with varying degrees of complexity. In type I of Figure 1(a), a plane  
 25 wave laser beam is used as the illumination, and the primary factor that makes the forward  
 26 model inaccurate is the object-camera distance and the perfection of the plane wave; in type II  
 27 of Figure 1(b), there are two distances which define the spherical wave illumination, the light  
 28 source to object distance  $z_{lo}$  and the light source to camera sensor distance  $z_{ls}$  in the forward  
 29 model; in type III of Figure 1(c), the object-camera distance should be extremely small to  
 30 maintain a coherence of the LED light source, which makes it more challenging to separate  
 31 the twin-image and other terms in the hologram reconstructions; in type IV of Figure 1(d),  
 32 apart from the difficulties in III, the fiber bundle also induces additional noise and errors in  
 33 the holograms that hinder the imaging. The performance of the  $\partial H$  on these configurations  
 34 is demonstrated in the following experiments.  
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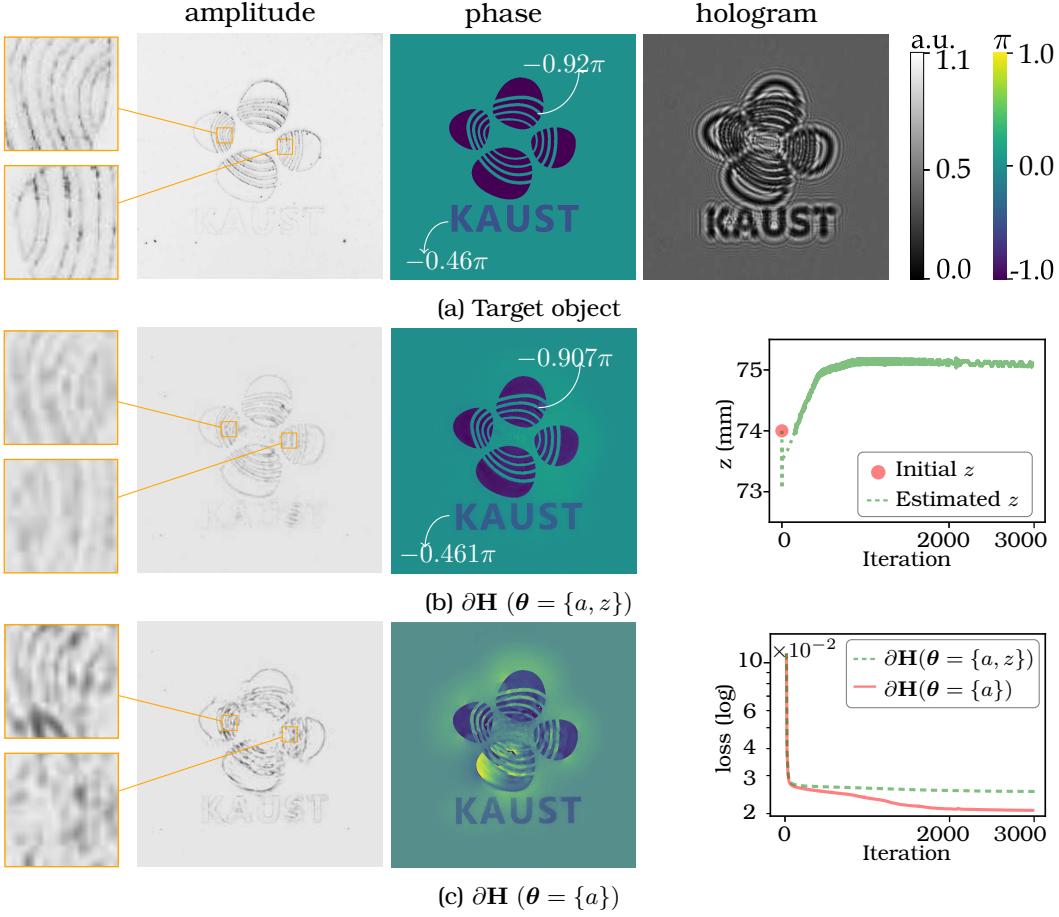


Figure 2. Experiment 1: verification of  $\partial\mathbf{H}$  with the setup of Figure 1(a), on a phase-only sample (a) and the reconstructions with (b) and without system parameters (c). See section 4.3 for the definition of  $\theta$ .  $z$  is the object to camera distance and  $a$  is the magnitude of the illumination light.

In the first experiment, we verified  $\partial\mathbf{H}$  on an object with ground truth captured by setup I in Figure 1(a) with a wavelength of the laser centered at 532 nm. The object was a highly transmitting silica wafer with an etched phase profile that is shown by the middle image of **Figure 2(a)**. The amplitude photo was taken in the bright field imaging condition, revealing the target's amplitude profile, as the result of absorption at the edges of the etched parts. The ground truth phase image was calculated from the fabricated sample using the experimental parameters. An inline hologram (right image of Figure 2(a)) was captured by an image sensor of 3.45  $\mu\text{m}$  pixel pitch. The reconstructions of  $\partial\mathbf{H}$  with and without imperfection modeling parameters (here, defocus represented by  $\theta$ ) show a significant difference. With  $\theta$ , the phase target was well reconstructed, as shown in Figure 2(b). The phase value also approximates the theory one. The right image of Figure 2(b) shows the convergence of the axial location  $z$  of the target, which is usually obtained in advance by auto-focusing in the conventional inverse imaging solver. On the contrary, without  $\theta$ , the reconstructed images blur severely, as shown in Figure 2(c). The comparison of the loss in the right image of Figure 2(c), shows that with  $\theta$  included, the loss also converges much better than without it. This is because the forward model is essentially inaccurate without  $\theta$ , which causes the convergence to stagnate. Because it has a high transmittance, the sample used in Figure 2 is typically treated as a phase-only target. However, in practice, other than the restricted options of phase-only substances, the misalignment of the system may cause light absorption in some portions of the target, making it crucial to consider the target as a more generalized complex field.

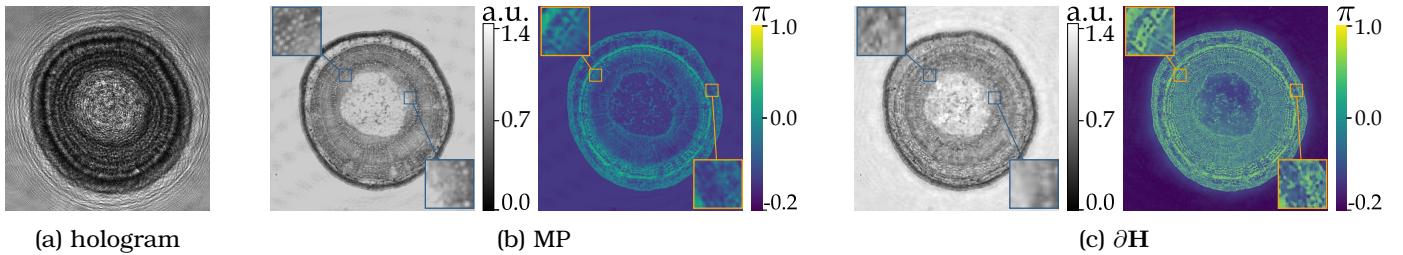


Figure 3. Experiment 2: verification on a tilia root microscopy samples with the setup in Figure 1(a). The hologram (a), MP (b) and  $\partial\mathbf{H}$  (c) reconstructions.

$\partial\mathbf{H}$  also works well on microscopy samples of realistic phase and amplitude distributions. **Figure 3** shows the imaging of a tilia root. The multi-plane (MP) phase retrieval result serves as a reference due to its relatively consistent nature and widespread usage [15]. Both the amplitude and the phase reconstructed by  $\partial\mathbf{H}$  in Figure 3(c) match well with the reference reconstruction of the MP phase retrieval from five holograms in Figure 3(b). Except for the clear background of the reconstructed phase by  $\partial\mathbf{H}$ , the magnified portions demonstrate that the  $\partial\mathbf{H}$  achieves an even better resolution. It is crucial to recognize that the performance of the MP technique heavily depends on the alignment of the system. Implementing the MP approach requires capturing multiple images with axis translation, which introduces potential errors during the process. These errors may include inaccuracies in the translation distance and direction, as well as camera rotation.

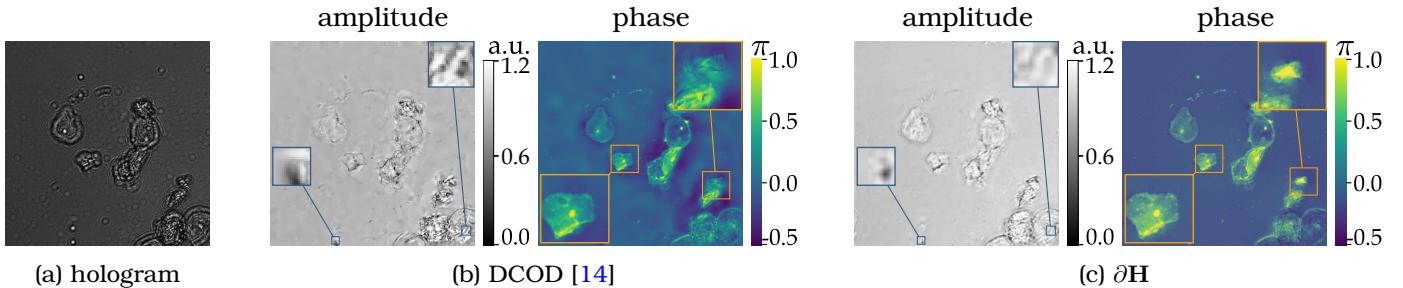


Figure 4. Experiment 3: verification on a cheek cell sample with the setup in Figure 1(c) (data from [14]). The hologram (a), DCOD (b) and  $\partial\mathbf{H}$  (c) reconstructions.

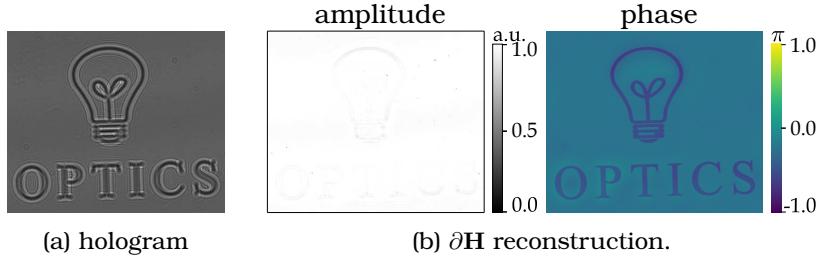
**Figure 4** shows a comparison to state-of-the-art learning-based complex field imaging with a single-shot inline hologram based on the physical model: Deep Compressed Object Decoder (DCOD) [14].  $\partial\mathbf{H}$  reconstructed the phase image with a clear background so that higher frequency with more detail can be observed after 25000 iterations in 46 seconds on an Nvidia GTX 1080 GPU, whereas it took 40 minutes to run 30000 iterations for DCOD on an Nvidia Tesla k80 GPU as reported in [14], shown in Table 1.

Table 1. Computational efficiency compared to DCOD [14].

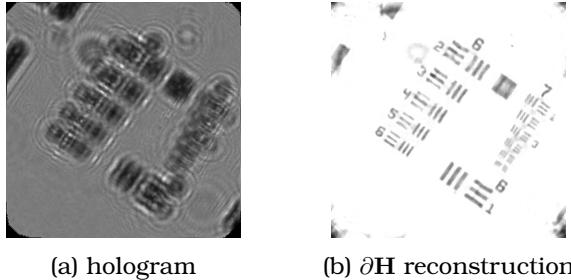
	image size (in pixel)	iteration	time cost	GPU
DCOD	$512 \times 512$	30000	~40 minutes	Nvidia Tesla k80
$\partial\mathbf{H}$	$512 \times 512$	2500	~36 seconds	Nvidia GTX 1080
	$1024 \times 1024$	2500	~113 seconds	

Besides, DCOD fails in reconstructing some parts of the target, especially around the image border, whereas  $\partial\mathbf{H}$  reconstructed the image clearly everywhere. People may claim that other neural networks can achieve much faster imaging speed than DCOD [14]. In fact, there

1 are numerous neural networks that could handle complex field imaging [15], phase imaging  
 2 [17], or auto-focusing phase imaging with holograms [8]. It is true that neural networks  
 3 outperform optimization in certain aspects, for example, computational speed. However, the  
 4 inexplicable models trained by neural networks lack generalization and can hardly be further  
 5 manipulated. Additionally, research related to neural networks driven by data is not practical  
 6 if a large amount of training data is not accessible. Although the most recent self-supervised  
 7 learning only needs simulation data and can conduct complex field imaging with two or more  
 8 holograms, it is still unable to handle the experiment-theory mismatch [18]. On the contrary,  
 9 the  $\partial\mathbf{H}$  solves the widespread mismatch issues, which neither optimization nor neural net-  
 10 works have yet sufficiently addressed. The reference [14] was chosen for comparison because  
 11 it is the most recent single-shot complex field imaging technique that uses only one hologram  
 12 based on the physical model.  
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26 Figure 5. Experiment 4: Complex field imaging with the spherical wave illumination setup of Figure 1(b). The  
 27 hologram (a) and (b)  $\partial\mathbf{H}$  reconstructions.  
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40 Figure 6. Experiment 5: Intensity-only object imaging with fiber bundle setup of Figure 1(d) (data from [21]).  
 41 The hologram (a) extracted from a fiber-bundle setup, and (b)  $\partial\mathbf{H}$  reconstructions.  
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44 In the preceding experiments, we validated the viability of the proposed  $\partial\mathbf{H}$  method by con-  
 45 ducting comparisons with ground-truth measurements, as well as existing approaches such  
 46 as MP phase retrieval and learning-based methods. Nonetheless, it is important to recognize  
 47 that the potential of  $\partial\mathbf{H}$  extends beyond these comparisons. The interpretable approach of  
 48 the proposed  $\partial\mathbf{H}$  also enables it to work well on a variety of datasets from various setups, as  
 49 demonstrated in **Figure 5** and **Figure 6**. In Figure 5, a divergent spherical wave was used as  
 50 illumination. This setup can achieve a large field of view and reduce interference of reflected  
 51 beams from the object and camera sensor. In this particular experiment, the object under  
 52 investigation was a wafer containing a highly transmissive pattern etched onto its surface.  
 53 The height of these patterns measured 200 nm, which corresponds to a phase difference of  
 54  $0.3174\pi$  when illuminated with a wavelength centered at 630 nm. The reconstructed patterns  
 55 exhibited a phase value of approximately  $-0.316\pi$ , closely matching the intended design. Re-  
 56 garding Figure 6, the experimental setup employed a fiber bundle lensless imaging system.  
 57 The target object used in this setup was a positive resolution chart, which exhibited no phase  
 58 difference and could therefore be considered an amplitude-only object. The reconstructed im-  
 59 ages obtained through the  $\partial\mathbf{H}$  technique exhibited clear patterns without any presence of twin  
 60 images. In both Figure 6 and Figure 4, the lensless setup utilized LED light sources, requiring  
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<sup>1</sup> a very short object-camera distance to maintain the desired coherence of the light sources.  
<sup>2</sup> However, this proximity poses a challenge when it comes to eliminating unwanted terms in the  
<sup>3</sup> holograms, especially when the object-camera distance is inaccurately determined. Despite  
<sup>4</sup> this difficulty, the  $\partial\mathbf{H}$  approach is capable of convincingly reconstructing clear images even  
<sup>5</sup> with a roughly estimated distance.  
<sup>6</sup>

### <sup>7</sup> <sup>8</sup> <sup>9</sup> **3 Discussions and Conclusion**

<sup>10</sup> In conclusion, we have successfully demonstrated the effectiveness of  $\partial\mathbf{H}$  as a method for  
<sup>11</sup> enabling complex field imaging using a single inline hologram without the need for additional  
<sup>12</sup> optics. This innovative approach has the potential to inspire new solutions for compact and  
<sup>13</sup> in vivo 3D imaging [21].

<sup>14</sup> When compared to optimization-based compressive holography [5] and its variants [6, 12,  
<sup>15</sup> 22],  $\partial\mathbf{H}$  offers several distinct advantages: (i) It adheres to a rigorous formulation of holo-  
<sup>16</sup> graphic imaging, ensuring a reliable and accurate reconstruction process. (ii) System imper-  
<sup>17</sup> fections are treated as additional variables to be optimized, allowing for better compensation  
<sup>18</sup> and enhanced imaging performance. (iii) The framework is designed to be differentiable, en-  
<sup>19</sup> abling efficient optimization and allowing for the incorporation of gradient-based algorithms.  
<sup>20</sup> (iv)  $\partial\mathbf{H}$  is capable of reconstructing complex fields, providing more comprehensive and detailed  
<sup>21</sup> imaging results. In this work, we have made the complex field, target location, and illumi-  
<sup>22</sup> nation light magnitude differentiable, facilitating the optimization process. Additionally, the  
<sup>23</sup> differentiable design of the framework, as described in Section 4.3, allows for straightforward  
<sup>24</sup> extensions by introducing other system parameters or modifying the object scattering model  
<sup>25</sup> [23, 24]. This flexibility enables the adaptation of  $\partial\mathbf{H}$  for various types of imaging, broadening  
<sup>26</sup> its potential applications.

<sup>27</sup> The  $\partial\mathbf{H}$  is capable of handling multiple specific variables as neural networks. Unlike  
<sup>28</sup> conventional neural networks [15, 13, 16, 17, 14] that typically learn as black-box models,  
<sup>29</sup>  $\partial\mathbf{H}$  addresses the common challenge of experiment-theory mismatch. It provides a mecha-  
<sup>30</sup> nism for incorporating system parameters into the imaging model, making the method adapt-  
<sup>31</sup> able to various data types acquired from different experimental setups. Furthermore,  $\partial\mathbf{H}$  does  
<sup>32</sup> not require an extensive amount of training data. To the best of our knowledge, this is the  
<sup>33</sup> first learning-free approach for complex field imaging using a single-shot inline hologram.  
<sup>34</sup> In contrast to traditional holography techniques,  $\partial\mathbf{H}$  offers numerous advantages, such as  
<sup>35</sup> effectively bridging the gap between theory and experiment, enabling generalization across  
<sup>36</sup> different setups, and not relying on extensive training datasets.

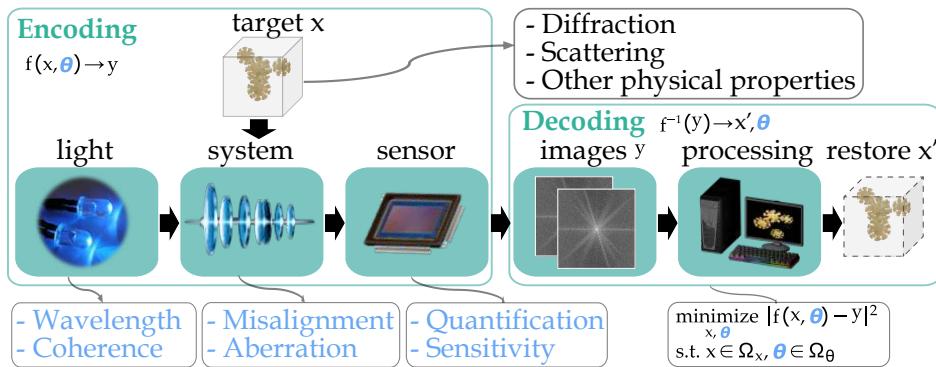
<sup>37</sup> Beyond the demonstrated success in holography, we firmly believe that the underlying  
<sup>38</sup> philosophy of differentiable imaging will lead to significant advancements in imaging with  
<sup>39</sup> complex systems or in scenarios that require multiple captures. By either addressing the  
<sup>40</sup> theory-experiment gap or developing novel imaging modalities, differentiable imaging has the  
<sup>41</sup> potential to revolutionize the field and unlock new possibilities for imaging applications.

### <sup>42</sup> <sup>43</sup> <sup>44</sup> <sup>45</sup> **4 Method**

<sup>46</sup> To showcase the versatility of  $\partial\mathbf{H}$  and its ability to apply to various scenarios, we initially  
<sup>47</sup> present the method in a generalized form in Sections of 4.1 to 4.2. Following that, we offer an  
<sup>48</sup> example that incorporates domain-specific parameters, effectively tackling the complexities  
<sup>49</sup> associated with field imaging from one single-shot inline hologram in Section 4.3.

## 1 4.1 General problem formulation

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3 We approach holographic imaging as an inverse imaging problem. Unlike traditional compu-  
4 tational imaging methods that employ an imaging forward model as  $y = f(x)$  with the objective  
5 of finding  $f^{-1}(y) \rightarrow x$ , we are considering a different approach here. Our approach involves  
6 a forward model  $f(x, \theta)$  that establishes a relationship between the intensity-only inline holo-  
7 gram  $y$  and the target object  $x$ , while accounting for various unknown parametric conditions  
8 represented by  $\theta$ . These conditions may include optical aberrations, the combined impact of  
9 the light source and the sensor on the illumination wave, and other similar factors.  
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25 Figure 7. Realistic factors (blue color text represented by  $\theta$ ) in a typical holography system that may affect the  
26 modeling.  $\partial H$  models and retrieves some of these parameters.  
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29 The inversion of this forward model is achieved by minimizing an error metric with constraints,  
30 defined as (i) a least squares fitting to penalize the deviation of the model from measurement  
31 data (i.e., reality), (ii) regularization terms (soft constraints) to favor desirable properties, and  
32 (iii) hard constraints to guarantee specific physical constraints for the reconstruction to fulfill.  
33 These are collectively written as:  
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$$\begin{aligned} & \underset{\mathbf{x}, \boldsymbol{\theta}}{\text{minimize}} \quad \|f(\mathbf{x}, \boldsymbol{\theta}) - \mathbf{y}\|^2 + \sum_{n=1}^N \beta_n \mathcal{R}_n(\mathbf{x}, \boldsymbol{\theta}), \\ & \text{subject to } \mathbf{x} \in \Omega_{\mathbf{x}}, \boldsymbol{\theta} \in \Omega_{\boldsymbol{\theta}}, \end{aligned} \quad (1)$$

41 where  $\|f(\mathbf{x}, \boldsymbol{\theta}) - \mathbf{y}\|^2$  ensures the fidelity of the data,  $\mathcal{R}_n(\cdot)$  is a collection of soft regularizers,  $\beta_n$   
42 are weights, and  $\Omega_{\mathbf{x}, \boldsymbol{\theta}}$  are respective physical constraints.  
43

44 Given a large number of unknowns, the non-linearity of the model, and the non-convexity  
45 of the constraints, solving Eq. (1) is non-trivial and a naïve solver cannot converge in practice.  
46 Based on the modeling, the proposed differentiable holography mitigates these challenges, by  
47 adopting differentiable programming with reverse automatic differentiation [25]. Specifically,  
48 Eq. (1) is solved by projected gradient descent, as shown in Algorithm 1.  
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### 50 51 **Algorithm 1** Differentiable holography solver for Eq. (1).

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52 function RECONSTRUCT OBJECT AND SYSTEM PARAMETERS( $y$ )
53   Initialize  $\{\mathbf{x}^0, \boldsymbol{\theta}^0\}$ ; ▷ Initialization
54    $f^0 \leftarrow f(\cdot, \boldsymbol{\theta}^0)$ ; ▷ Initialization of the forward imaging model
55   while not converged do ▷ Iteration
56      $\mathbf{x}^{k+1}, \boldsymbol{\theta}^{k+1} \leftarrow \arg \min_{\mathbf{x}, \boldsymbol{\theta}} \|f^k(\mathbf{x}, \boldsymbol{\theta}) - \mathbf{y}\|^2 + \sum_{n=1}^N \beta_n \mathcal{R}_n(\mathbf{x}, \boldsymbol{\theta})$ ; ▷ update with automatic differentiation (section 4.2)
57      $\mathbf{x}^{k+1} \leftarrow \Omega_{\mathbf{x}}(\mathbf{x}^{k+1}), \boldsymbol{\theta}^{k+1} \leftarrow \Omega_{\boldsymbol{\theta}}(\boldsymbol{\theta}^{k+1})$ ; ▷ Projection on physical constraints
58      $f^{k+1} \leftarrow f(\cdot, \boldsymbol{\theta}^{k+1})$ ; ▷ Imaging model update
59   return  $\{\mathbf{x}^K, \boldsymbol{\theta}^K\}$ ; ▷ At final iteration K
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62 The target  $x$  and the system parameters  $\theta$  are updated by gradient descent, while the deriva-  
63 tives are calculated using backward-mode automatic differentiation, which computes accu-  
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1 rate derivatives of a computer program by operating directly on the parameters of interest.  
 2 Complex numbers are treated as mono variables with the conjugate Wirtinger derivative. For  
 3 non-differentiable functions, approximate gradients are adapted. The detail is presented in  
 4 section 4.2. The physical constraints are employed by projecting the updated parameters to  
 5 the physical domain. Regularizers for complex numbers are applied to amplitude and phase,  
 6 respectively, as described in appendix B. We implemented the method in PyTorch, which pro-  
 7 vides a dynamic computational graph and allows the forward imaging model to be altered  
 8 at run-time, thus allowing for optimizing more than one target. It is important to note that  
 9 PyTorch is not the only programming language available for implementation. Other languages  
 10 such as TensorFlow [26], JAX [27], or Julia [28] can also be utilized. The selection of a pro-  
 11 gramming language largely relies on the user’s preferences, familiarity, and the specific needs  
 12 of the problem. A projection adaptive momentum estimation [29] was used as the optimizer.  
 13 Compared to compressive holography [5, 6], a more accurate imaging model with system pa-  
 14 rameters is modeled instead of an approximated linear model, and the model differentiability  
 15 allows versatility in formulating the inverse problem, as well as incorporating plug-and-play  
 16 priors and physical constraints, thus enable single-shot complex field imaging.  
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## 4.2 Automatic differentiation optimization

21 Optimization of the unconstrained part in the algorithm of Eq. (1) is achieved by using gradient  
 22 descent of the loss function that relies on iterative-refined optimization. This concerns solving  
 23 the following sub-optimization problem:  
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$$\min_{\mathbf{x}, \boldsymbol{\theta}} \mathcal{L}(\mathbf{x}, \boldsymbol{\theta}) = \|f(\mathbf{x}, \boldsymbol{\theta}) - \mathbf{y}\|^2 + \sum_{n=1}^N \beta_n \mathcal{R}_n(\mathbf{x}, \boldsymbol{\theta}), \quad (2)$$

26 where at iteration  $n$ , given a step size  $\tau$ , we update  $\mathbf{x}^{n+1}$  and  $\boldsymbol{\theta}^{n+1}$  by  
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$$\left\{ \begin{array}{l} \mathbf{x}^{n+1} \leftarrow \mathbf{x}^n - \tau \frac{\partial \mathcal{L}}{\partial \mathbf{x}} \Big|_{\mathbf{x}=\mathbf{x}^n}, \\ \boldsymbol{\theta}^{n+1} \leftarrow \boldsymbol{\theta}^n - \tau \frac{\partial \mathcal{L}}{\partial \boldsymbol{\theta}} \Big|_{\boldsymbol{\theta}=\boldsymbol{\theta}^n}. \end{array} \right. \quad (3a)$$

$$\left\{ \begin{array}{l} \mathbf{x}^{n+1} \leftarrow \mathbf{x}^n - \tau \frac{\partial \mathcal{L}}{\partial \mathbf{x}} \Big|_{\mathbf{x}=\mathbf{x}^n}, \\ \boldsymbol{\theta}^{n+1} \leftarrow \boldsymbol{\theta}^n - \tau \frac{\partial \mathcal{L}}{\partial \boldsymbol{\theta}} \Big|_{\boldsymbol{\theta}=\boldsymbol{\theta}^n}. \end{array} \right. \quad (3b)$$

41 To achieve optimization of Eq. (3), gradient descent methods like mirror descent or its vari-  
 42 ants can be utilized. In our scenario, we found that the default gradient implementation in  
 43 PyTorch, specifically the conjugate Wirtinger derivative, is sufficient for convergence purposes  
 44 and offers simplicity. Generally, the analytic expression of  $(\frac{\partial \mathcal{L}}{\partial \mathbf{x}}, \frac{\partial \mathcal{L}}{\partial \boldsymbol{\theta}})$  is derived by writing an ex-  
 45 plicit expression for the error metric  $\mathcal{L}$  and symbolically differentiating with respect to each of  
 46 the input parameters. However, it is tedious and even impossible in our multivariate case, and  
 47 the partial derivatives indicate that both  $\mathbf{x}$  and  $\boldsymbol{\theta}$  affect the error metric locally. Here we apply  
 48 the reverse-mode automatic differentiation [30]. Automatic differentiation takes advantage of  
 49 the fact that any computer program, regardless of how complex it may be, executes a series  
 50 of basic arithmetic operations (addition, subtraction, multiplication, division, etc.) and basic  
 51 functions (exp, log, sin, cos, etc.). Applying the chain rule repeatedly to these operations al-  
 52 lows one to automatically compute derivatives of arbitrary order precisely while using at most  
 53 a small constant factor more arithmetic operations than the original program. By defining  
 54 the loss function as a composition of elementary operators with derivatives, we can compute  
 55 the loss function’s derivative by chain rule [31].  
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58 Eq. (3a) needs to optimize real-valued loss functions with complex variables, i.e.,  $\mathcal{L}(\mathbf{x}) : \mathbb{C} \rightarrow$   
 59  $\mathbb{R}$ . Since a non-constant real-valued function of a complex variable is not complex analytic  
 60 and, therefore, is not differentiable, a complex-variable function is usually viewed as a func-  
 61 tion of the real and imaginary components of the complex variable, which may not satisfy  
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1 the Cauchy-Riemann equations and cannot be addressed by complex differentiation [32, 33].  
 2 Besides, the real parametrization leads to a multivariate optimization problem that is two  
 3 times larger than the actual size of the medium and ill-posed. We adopt the Wirtinger deriva-  
 4 tive [34, 35] and perform a monovarietal optimization in the complex domain. By rewriting a  
 5 real differentiable function  $\mathcal{L}(x)$  as two variable holomorphic function  $\mathcal{L}(x, x^*)$ , where  $x = a + jb$   
 6 and  $x^* = a - jb$ , we can simplify the complex variable update formula of Eq. (3a) to only refer  
 7 to the conjugate Wirtinger derivative  $\frac{\partial \mathcal{L}}{\partial x^*}$  as  $x^{n+1} = x^n - \tau \frac{\partial \mathcal{L}}{\partial x^*}$ , where  $\frac{\partial \mathcal{L}}{\partial x^*} = \frac{1}{2} \left( \frac{\partial}{\partial a} + j \frac{\partial}{\partial b} \right)$  is given  
 8 by the classic definition of Wirtinger calculus [36].  
 9

10 Automatic calculation of partial derivatives allows us to modify Eq. (2) in a number of  
 11 ways without alternating the optimization framework. Instead of having a complex field,  $x$   
 12 could alternatively be a composite function of physical properties such as the refractive index;  
 13 The misalignment of the illumination angle in optical diffraction tomography [37] or Fourier  
 14 ptychography microscopy [38], or any other imaging system with multiple captures, could also  
 15 be considered as system parameters of  $\theta$ ; We may also simply incorporate the forward imaging  
 16 model of  $f(\cdot)$ , which is susceptible to multiple scattering and could complicate the inverse  
 17 imaging problem [23, 24, 39]; Additionally, the least square data term and regularization can  
 18 be tailored domain-specifically without taking optimization into account. In summary, Eq. (2)  
 19 can be constructed as a mix-and-match approach, allowing us to concentrate on problem-  
 20 solving rather than optimization techniques.  
 21

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### 24 4.3 The $\partial H$ formulation of complex field imaging problem

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26 Solving Eq. (1) can be challenging in general. Instead, it is more desirable to focus on solving  
 27 specific scenarios tailored to match the experimental conditions. In inline holography, the  
 28 forward imaging model is greatly affected by factors of the distance the wave travels from  
 29 the object to the sensor and the intensity of the illumination. Traditionally, autofocusing  
 30 techniques [40, 41] have been used before applying inverse optimization solvers, and intensity  
 31 compensation has been achieved by utilizing background images. However, it is crucial to  
 32 acknowledge that autofocusing and obtaining appropriate background images may not always  
 33 be practical or readily accessible, which presents challenges for conventional hand-crafted  
 34 optimization and learning-based methods. In the complex field imaging case where  $x$  is a  
 35 complex transmittance function, denoted as  $t$ , the major factors that affect the forward model  
 36 are the illumination amplitude  $a$  and the object-camera distance  $z$  (auto-focusing). Let  $\theta =$   
 37  $\{a, z\}$ , the problem rephrases as follows:  
 38

$$\begin{aligned}
 & \underset{t, a, z}{\text{minimize}} \quad \|f(a \cdot t, z) - y\|^2 + \beta_1 \mathcal{R}_{\ell_1}(t) + \beta_2 \mathcal{R}_{\text{TV}}(t), \\
 & \text{subject to} \quad |t| \leq 1, \quad a > 0, \quad z > 0,
 \end{aligned} \tag{4}$$

43 where  $f(\cdot)$  is essentially the free-space wave propagator with a subsequent interference oper-  
 44 ator, as defined in Appendix A. The constraint  $|t| \leq 1$  enforces sparse energy conservation in  
 45 the imaging process [42]. The regularizations of  $\ell_1$  norm  $\mathcal{R}_{\ell_1}(t)$  and total variation norm  $\mathcal{R}_{\text{TV}}(t)$   
 46 are used to favor a sparse and spatially smooth transmittance function. In this specific case,  
 47 we devised intricate regularization techniques, detailed in Appendix B.

48 By implementing the technique mentioned in the previously discussed sections, specifically  
 49 from Section 4.1 to Section 4.2, we can successfully tackle the challenging field imaging prob-  
 50 lem. However, it is crucial to acknowledge that in addition to the factors depicted in Figure 7,  
 51  $\theta$  might encompass additional elements to achieve a more realistic imaging formation model  
 52 for various imaging objectives.  
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# 1 Appendices

## 2 Appendix A Forward model of inline holography

3 Let  $\mathbf{r} = (x, y, z)$  be a three-dimensional vector in space. An illumination reference beam  $t_i(\mathbf{r})$   
4 propagates from the light source to the detector camera plane located at the original coordinates. In general, the penetration of a wave through an object is described by a complex  
5 transmission function that consists of amplitude and phase  $t_o(\mathbf{r}) = e^{-a_o(\mathbf{r})}e^{j\phi_o(\mathbf{r})}$ , where  $a_o(\mathbf{r})$  de-  
6 scribes object's absorption property, and  $\phi_o(\mathbf{r})$  is the phase delay introduced by the object into  
7 the incident reference beam. Suppose  $t_s(\mathbf{r}) = e^{-a_s(\mathbf{r})}e^{j\phi_s(\mathbf{r})}$  is the complex transmission function  
8 of the surrounding medium (when there is no object that exists), the transmission function  
9 with the object in the surrounding medium is  $t(\mathbf{r}) = e^{-(a_s(\mathbf{r})+a_o(\mathbf{r}))}e^{j(\phi_s(\mathbf{r})+\phi_o(\mathbf{r}))} = t_s(\mathbf{r})t_o(\mathbf{r})$ , and  
10  $t(\mathbf{r}) = t_i(\mathbf{r})t_o(\mathbf{r})$  if the surrounding medium is a vacuum, i.e.,  $t_s(\mathbf{r}) = t_i(\mathbf{r})$ . We assume the  
11 change in irradiance caused by the object is significantly smaller than the illumination of  
12 the beam, and the transmission function of the object can be expressed as  $1 + t_o(\mathbf{r})$ , where  
13 corresponds to the transmittance in the absence of the object and  $t_o(\mathbf{r})$  is a complex function  
14 caused by the presence of the object, so we have  $t(\mathbf{r}) = t_i(\mathbf{r})(1 + t_o(\mathbf{r}))$ . The captured hologram,  
15 i.e., the output of the forward model  $f(\cdot)$  in Eq. (1), thus is  $f(\cdot) = |t(\mathbf{r}) \otimes h(\mathbf{r})|^2$ , which is a  
16 self-interference of the propagated two-dimensional scalar field  $t(\mathbf{r})$  at  $z = 0$ ,  $\otimes$  is the convolution  
17 operator, and  $h(\mathbf{r}) = \frac{1}{2\pi} \frac{z}{\mathbf{r}} \frac{1-jkr}{r^2} \exp(jkr)$  is the point spread function of the free-space wave  
18 propagation [43]. In the case of  $t_i(\mathbf{r}) = A_i(\mathbf{r})e^{-jkz}$  being a plane wave, the free-space wave prop-  
19 agation is implemented by the hybrid Taylor-Rayleigh-Sommerfeld diffraction [44], while for  
20 the spherical illumination of  $t_i(\mathbf{r}) = A_i(\mathbf{r})\frac{e^{-jk\mathbf{r}}}{\mathbf{r}}$ , there is a magnification of the hologram defined  
21 by  $M = \frac{z_{ls}}{z_{lo}}$ , where  $z_{ls}$  is the light source to camera sensor distance and  $z_{lo}$  is the light source  
22 to object distance [45]. Notably, in contrast to compressive holography [5, 6, 22], despite the  
23 fact that there is no linear approximation in the forward imaging model  $f(\cdot)$ , we could also  
24 adopt inline holography systems with plane and spherical wave illuminations.

## 38 Appendix B Regularization for complex numbers

39 In section 4.2, we introduced a real-valued loss function with complex variables that we aimed  
40 to optimize. Typically, complex numbers are split into real and imaginary components to  
41 fit into the traditional regularization techniques used for real-only numbers [46]. However,  
42 because the amplitude and phase of the complex field are internally related, we developed a  
43 regularization method that applies to both the amplitude and phase of the complex numbers.  
44 Specifically, we utilized the  $\ell_1$  norm  $\mathcal{R}_{\ell_1}(t)$  and total variation norm  $\mathcal{R}_{TV}(t)$  for a complex number  
45  $t$ , as follows:

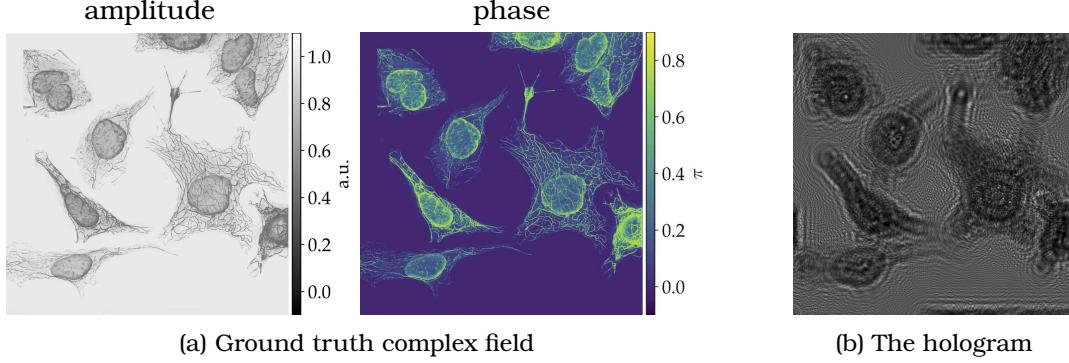
$$46 \quad \begin{aligned} \mathcal{R}_{\ell_1}(t) &= \mathcal{R}_{\ell_1}(|t|) + \mathcal{R}_{\ell_1}(\angle t) \\ 47 &= \|1 - |t|\|_1 + \|\sin t\|_1, \end{aligned}$$

48 where  $\text{Im}(\cdot)$  is the imaginary operator and  $\angle(\cdot)$  is the argument of a complex number. For the  
49 amplitude component, we apply the  $\ell_1$  norm to  $1 - |t|$  rather than  $|t|$  due to the fact that the  
50 illumination beam is significantly larger than the object area in inline holography systems.  
51 While for the phase component, we apply it to  $|\sin t|$ , since  $\sin t = \text{Im}(\exp(j\angle t))$  contains the  
52 phase component  $\angle t$ . Similarly, the isotropic total variant is defined as

$$53 \quad \begin{aligned} \mathcal{R}_{TV}(t) &= \mathcal{R}_{TV}(|t|) + \mathcal{R}_{TV}(\angle t) \\ 54 &= \sum_k \sqrt{\nabla_x^k |t|^2 + \nabla_y^k |t|^2 + \epsilon^2} + \sum_k \sqrt{\nabla_x^k |\sin t|^2 + \nabla_y^k |\sin t|^2 + \epsilon^2}, \end{aligned} \quad (5)$$

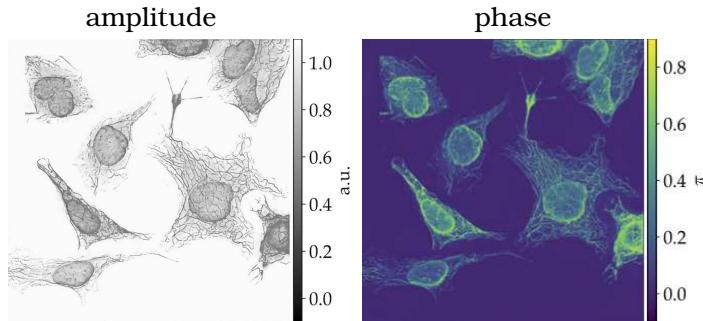
1 where  $\epsilon > 0$  is a small number that is used to avoid a staircase effect,  $\nabla_{x,y}^k(\cdot)$  is a linear operator  
 2 that performs the finite difference operator along the  $x, y$  directions at the  $k^{\text{th}}$  pixel location.  
 3  
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## 5 Appendix C Numerical verification



20 Figure 8. The complex object (a) and the hologram (b) used in the simulations.  
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22 To assess the performance of our approach, we conducted numerical simulations. In these  
 23 simulations, we created an image resembling a cell with a specific refractive index. Figure 8(a)  
 24 illustrates the amplitude and phase resulting from the object immediately after it. We then  
 25 simulated the inline hologram of the cell-like image with a plane wave illumination of a wave-  
 26 length centered at 532 nm, and the corresponding holograms located at  $z = 100 \mu\text{m}$  are shown  
 27 in Figure 8(b). The camera sensor pixel size was  $8 \mu\text{m}$ .  
 28



44 Figure 9.  $\delta H$  reconstruction of the hologram in Figure 8(b).  
 45  
 46

	SSIM	NRMSE	PSNR
Amplitude	0.9514	0.0330	30.2607
Phase	0.8290	0.1504	29.6130

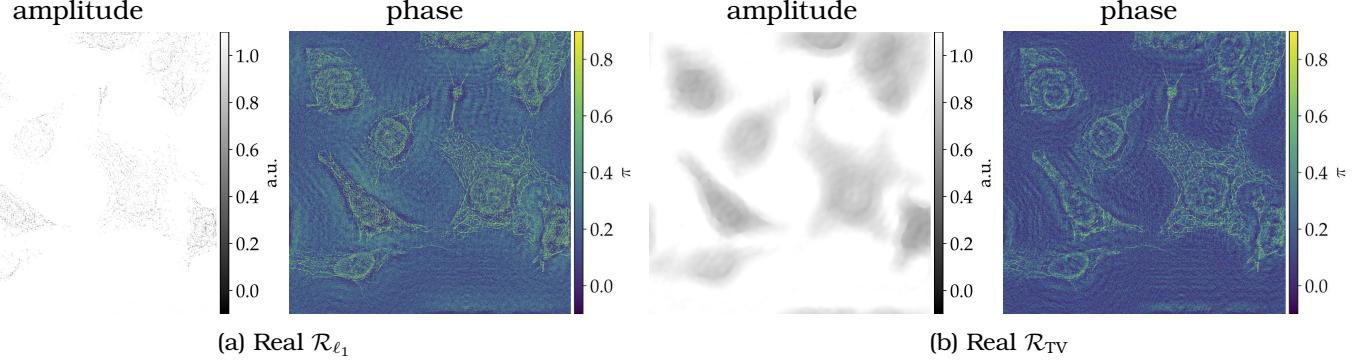
52 Table 2. Measurement of the reconstructed images in Fig. 9.  
 53  
 54

55 The reconstructed image in Figure 9 faithfully represents the complex field, including both  
 56 the amplitude and phase components. To evaluate the quality of this reconstruction, we  
 57 analyze the structural similarity index (SSIM), normalized root mean square error (NRMSE),  
 58 and peak signal-to-noise ratio (PSNR) values, which are provided in Table 2. These metrics,  
 59 computed using the scikit-image library [47], enable a comprehensive comparison between  
 60 the reconstructed image and the ground truth.  
 61

62 To showcase the effectiveness of complex regularizations, we perform a reconstruction of  
 63 the hologram depicted in Figure 8(b) using real-valued regularizations while maintaining the  
 64

1 same weights. The reconstructions obtained using  $\mathcal{R}_{\ell_1}$  and  $\mathcal{R}_{\text{TV}}$  in the real domain are shown  
2 in Figure 10, and the assessment metrics are presented in Table 3.

3 Comparing Figure 9 and Table 2 with Figure 10 and Table 3, it is evident that the conven-  
4 tional real-valued regularizations exhibit lower performance when contrasted with the com-  
5 plex regularizations.  
6



21 Figure 10. Simulation with real-valued regularizations.  
22  
23

		SSIM	NRMSE	PSNR
Real $\mathcal{R}_{\ell_1}$	Amplitude	0.6873	0.1719	15.9293
	Phase	0.0703	1.2183	11.4466
Real $\mathcal{R}_{\text{TV}}$	Amplitude	0.6667	0.1148	19.4327
	Phase	0.1070	0.9347	13.7488

32 Table 3. Measurement of the image reconstructed with real regulations in Fig. 10.  
33  
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47

## 52 **Conflict of Interest**

53 The authors declare that they have no conflict of interest.  
54

## 57 **Data Availability Statement**

58 The data that support the findings of this study are available from the corresponding author  
59 upon reasonable request.  
60

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