

# In-Context Learning as Bayesian Inference

Tea Talk

Vincent Dutordoir

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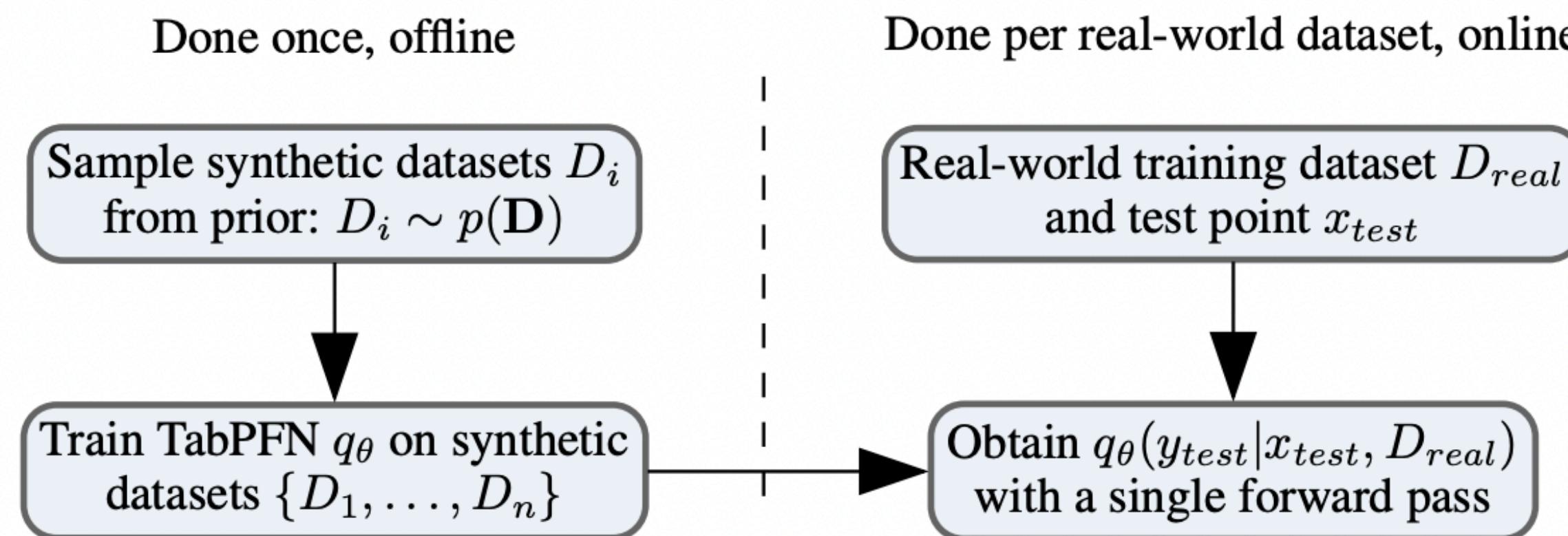
# TABPFN: A TRANSFORMER THAT SOLVES SMALL TABULAR CLASSIFICATION PROBLEMS IN A SECOND

Noah Hollmann<sup>\*,1,2</sup> Samuel Müller<sup>\*,1</sup> Katharina Eggensperger<sup>1</sup> Frank Hutter<sup>1,3</sup>

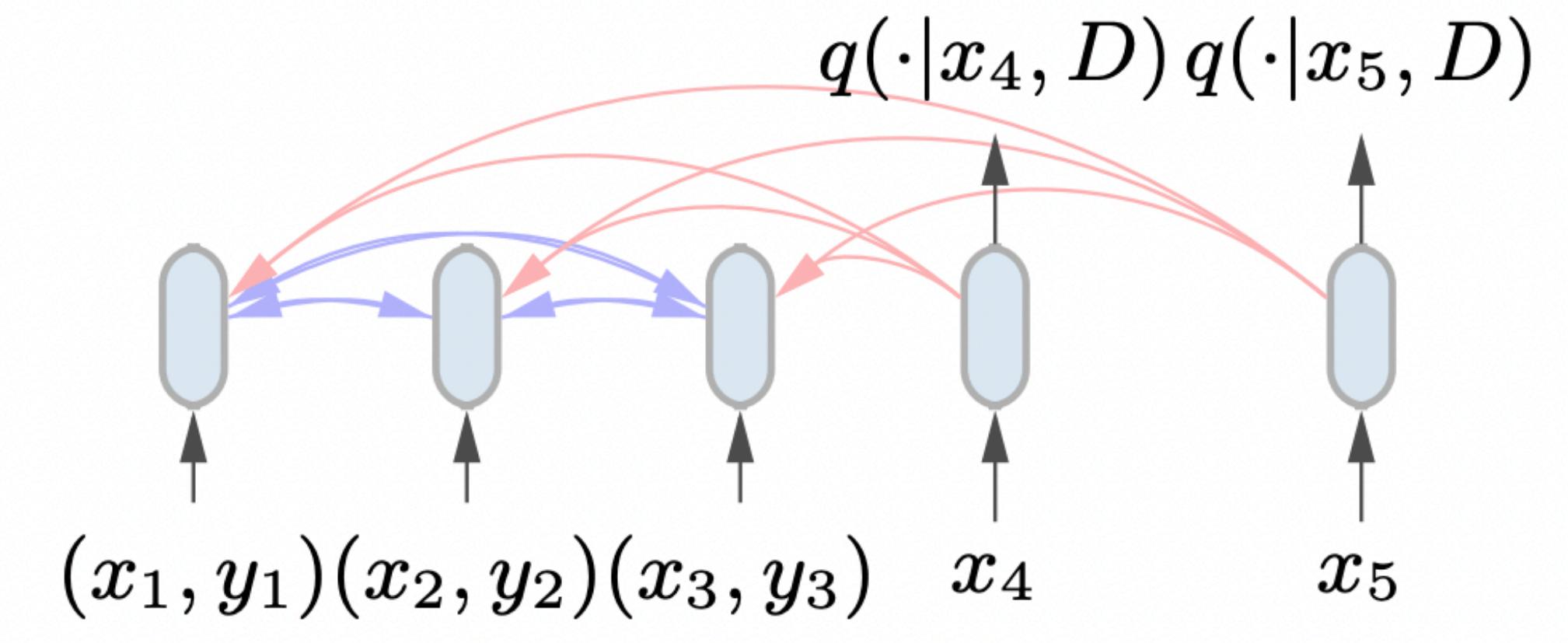
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<sup>3</sup> Bosch Center for Artificial Intelligence \* Equal contribution.

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(a) Prior-fitting and inference



(b) Architecture and attention mechanism

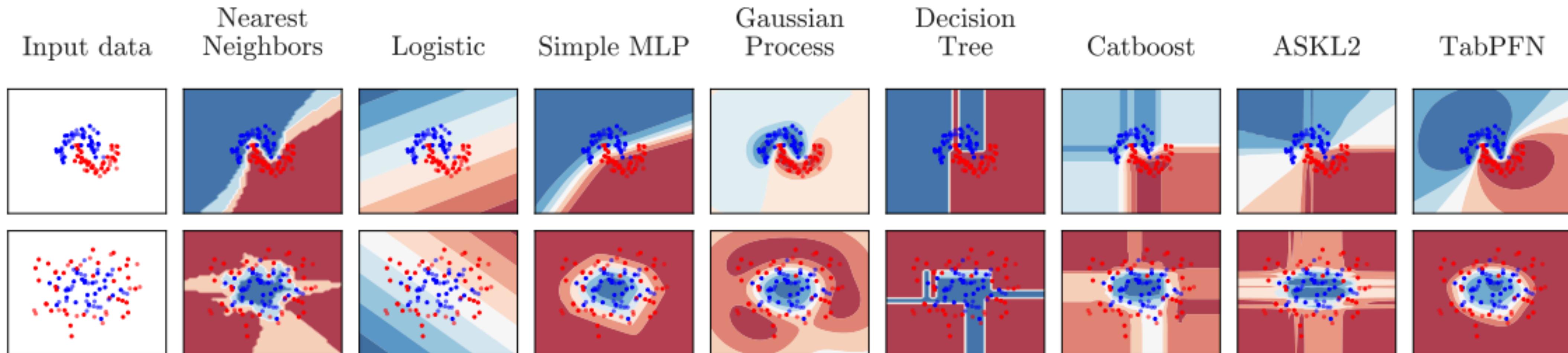
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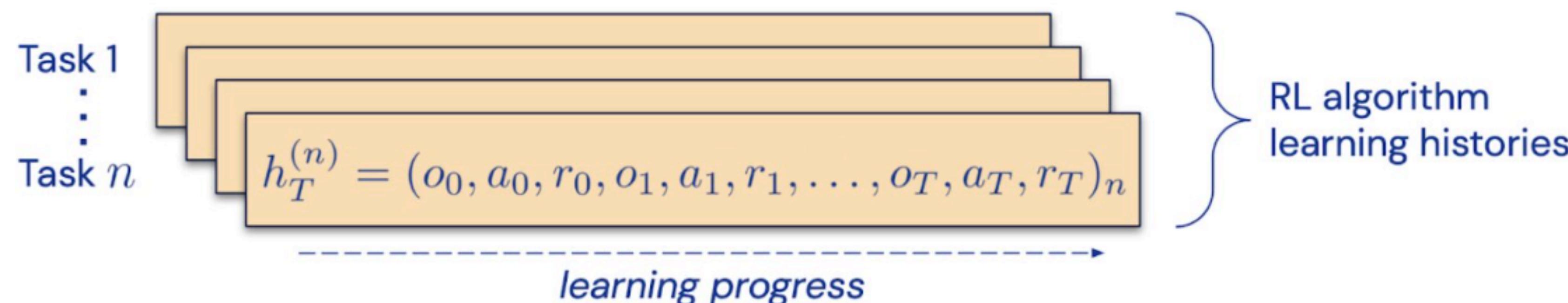
# IN-CONTEXT REINFORCEMENT LEARNING WITH ALGORITHM DISTILLATION

DeepMind

First, we collect a dataset of learning histories from an RL algorithm trained on diverse tasks.

This can be any RL algorithm - it can be doing gradient updates, replay, planning, can be on or off-policy, model-free or model-based.

## Data Generation

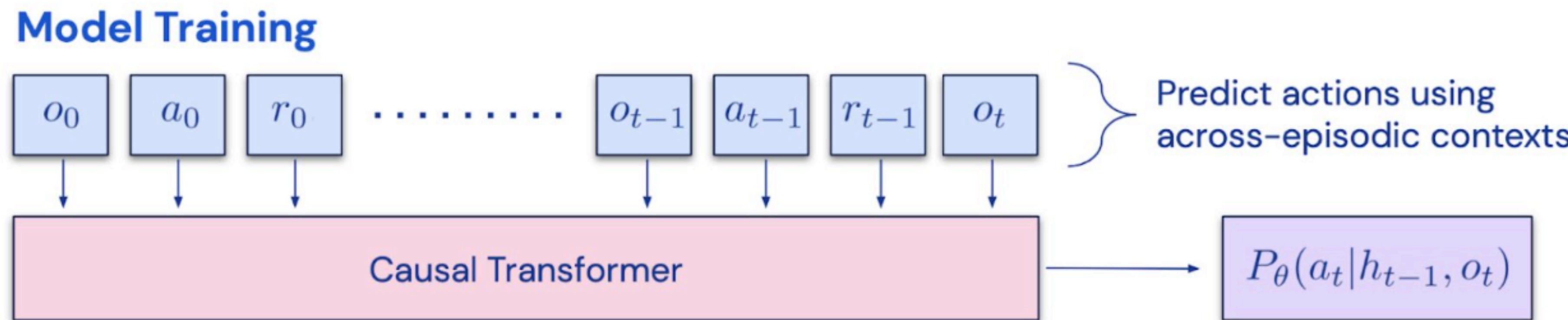


# IN-CONTEXT REINFORCEMENT LEARNING WITH ALGORITHM DISTILLATION

DeepMind

Next, train a transformer to predict actions from the entire learning history preceding the current timestep.

Policy *improves* throughout RL training, to predict actions accurately, transformer needs to



# An Explanation of In-context Learning as Implicit Bayesian Inference

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## Traditional fine-tuning (not used for GPT-3)

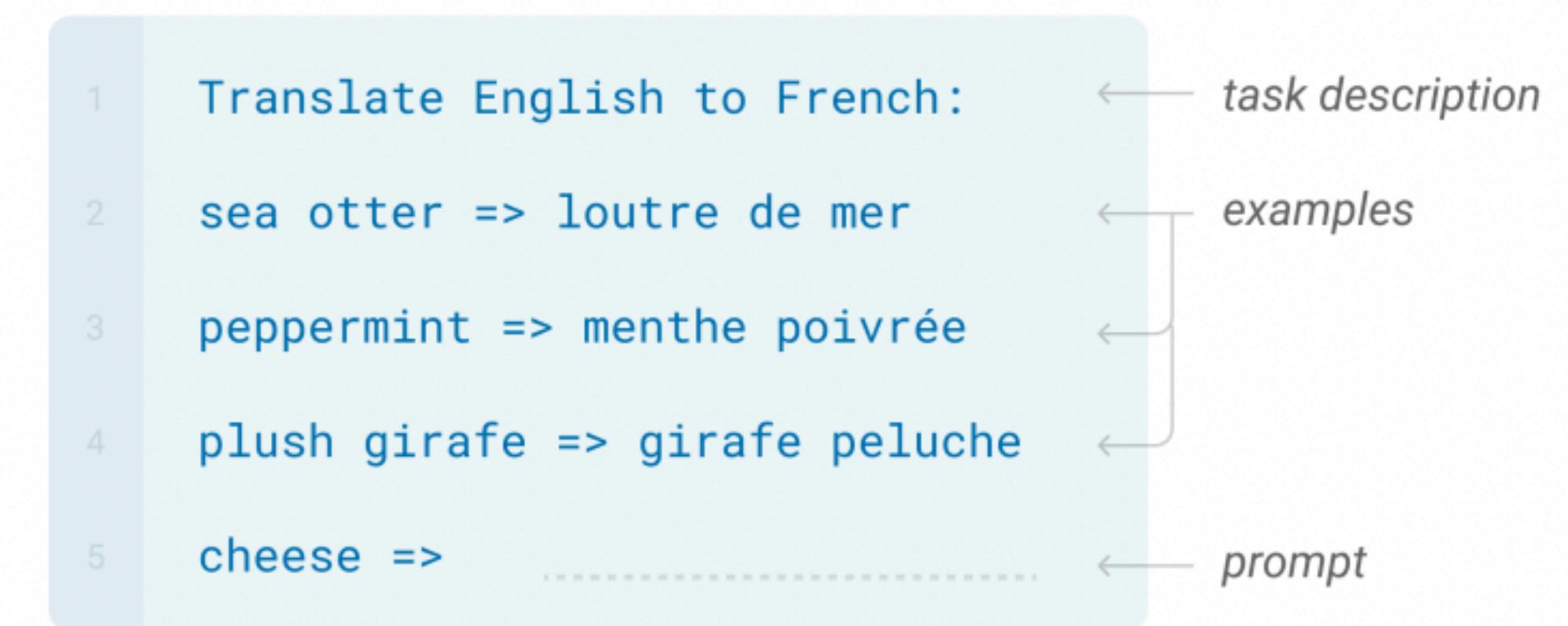
### Fine-tuning

The model is trained via repeated gradient updates using a large corpus of example tasks.

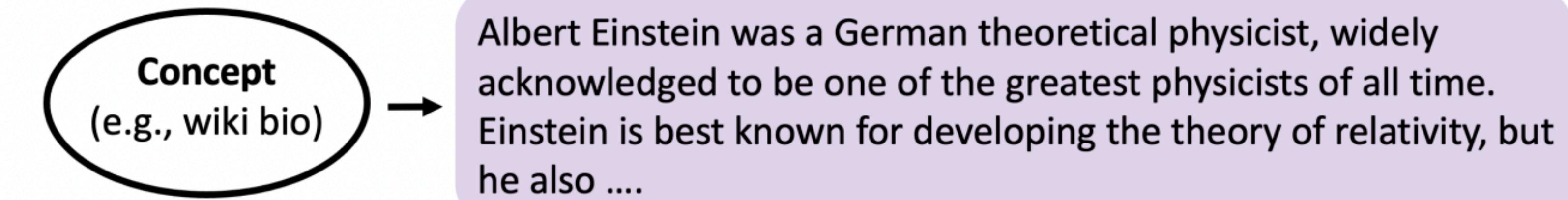


### Few-shot

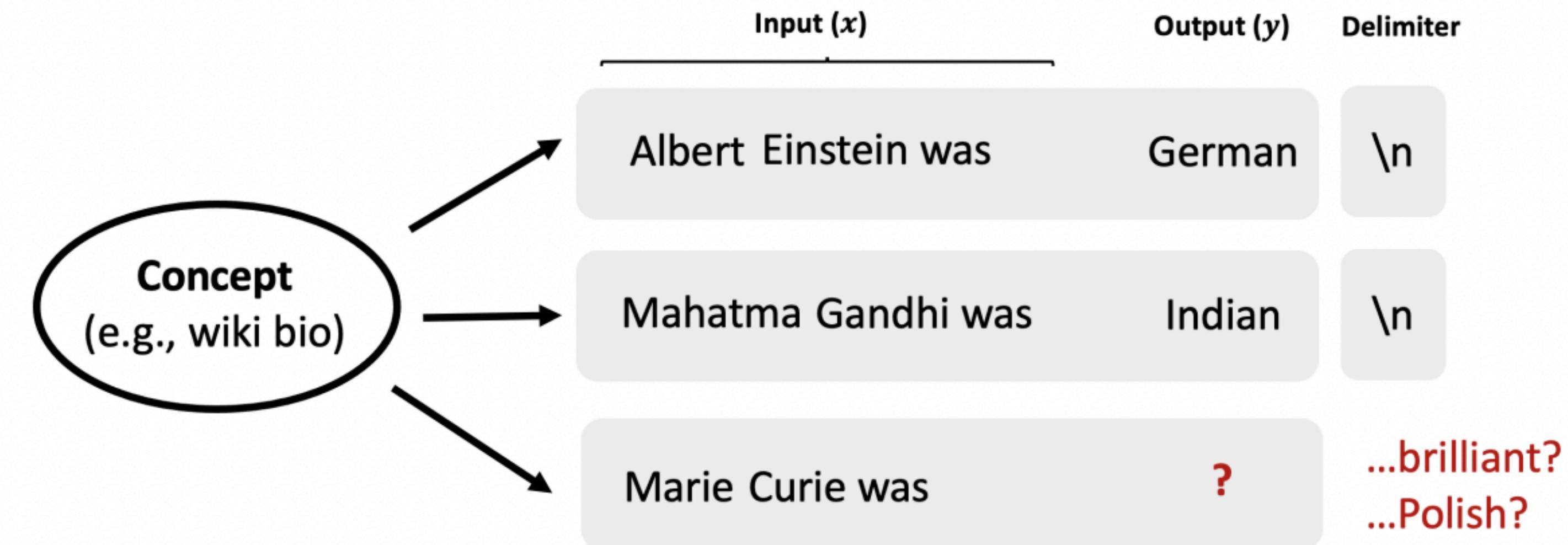
In addition to the task description, the model sees a few examples of the task. No gradient updates are performed.



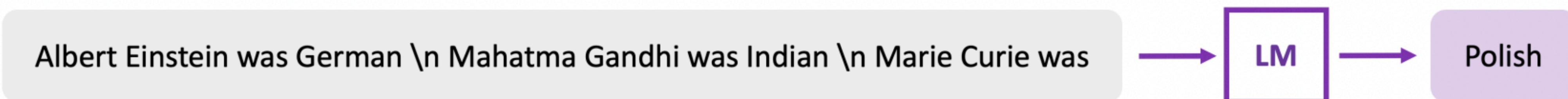
- 1. Pretraining documents** are conditioned on a **latent concept** (e.g., biographical text)



- 2. Create independent examples from a shared concept.** If we focus on full names, wiki bios tend to relate them to nationalities.

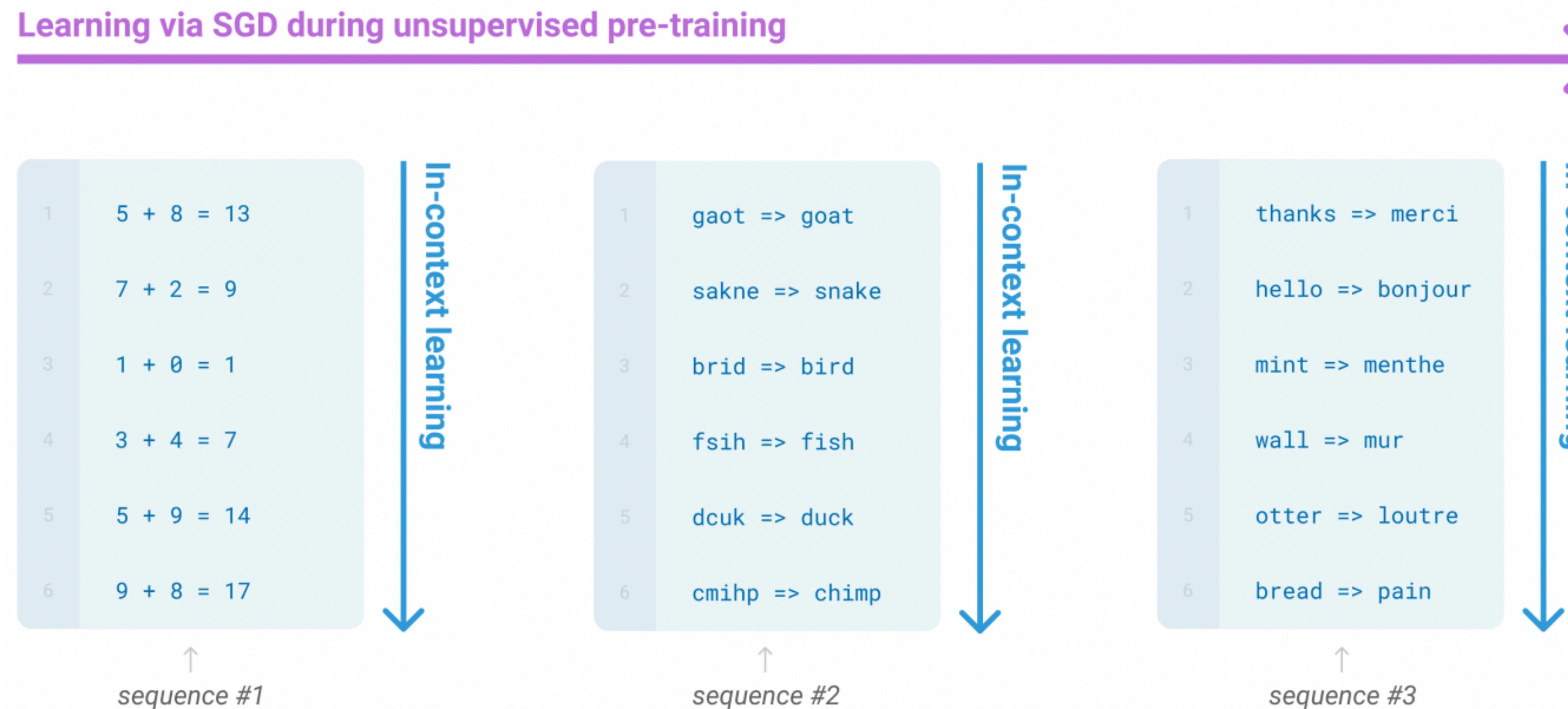


- 3. Concatenate examples into a prompt and predict next word(s). Language model (LM) implicitly infers the shared concept across examples despite the unnatural concatenation**



**Pretraining distribution.** In our framework, a latent concept  $\theta$  from a family of concepts  $\Theta$  defines a distribution over observed tokens  $o$  from a vocabulary  $\mathcal{O}$ . To generate a document, we first sample a concept from a prior  $p(\theta)$  and then sample the document given the concept. Each pretraining document is a length  $T$  sequence:

$$p(o_1, \dots, o_T) = \int_{\theta \in \Theta} p(o_1, \dots, o_T | \theta) p(\theta) d\theta. \quad (2)$$



$$p(\text{output}|\text{prompt}) = \int_{\text{concept}} p(\text{output}|\text{concept}, \text{prompt})p(\text{concept}|\text{prompt})d(\text{concept}).$$

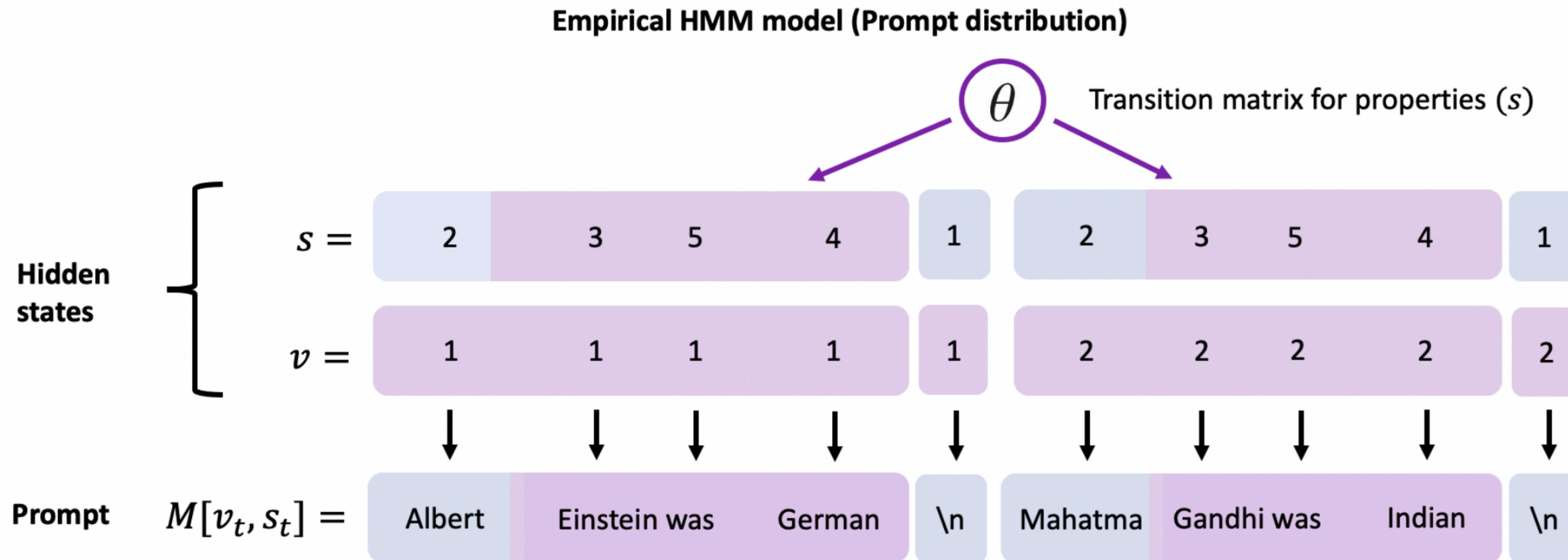
**Theorem 1.** Assume the assumptions in Section 2.1 hold. If Condition 1 holds, then as  $n \rightarrow \infty$  the prediction according to the pretraining distribution is

$$\arg \max_y p(y|S_n, x_{\text{test}}) \rightarrow \arg \max_y p_{\text{prompt}}(y|x_{\text{test}}). \quad (15)$$

Thus, the in-context predictor  $f_n$  achieves the optimal 0-1 risk:  $\lim_{n \rightarrow \infty} L_{0-1}(f_n) = \inf_f L_{0-1}(f)$ .

$$[S_n, x_{\text{test}}] = [x_1, y_1, o^{\text{delim}}, x_2, y_2, o^{\text{delim}}, \dots, x_n, y_n, o^{\text{delim}}, x_{\text{test}}] \sim p_{\text{prompt}}.$$

		Properties ( $s$ )					
		Newline	First name	Last name	Nationality	Linking verb	etc.
Memory matrix	$M =$	\n	Albert	Einstein	German	was	• • •
		\n	Mahatma	Gandhi	Indian	was	
							⋮



# Result

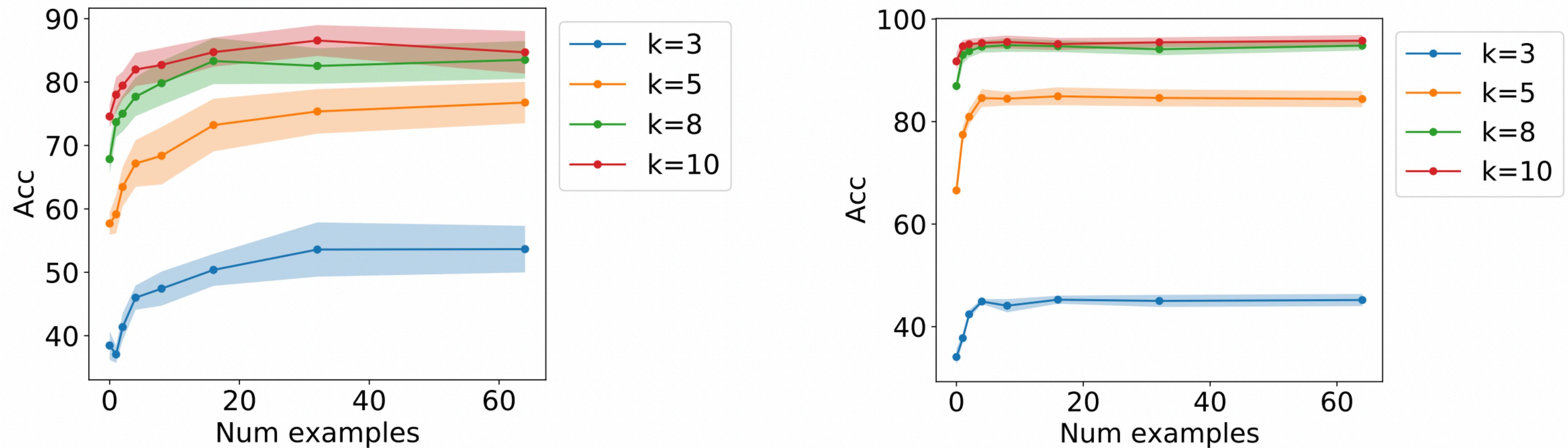


Figure 3: In-context accuracy (95% intervals) of Transformers (left) and LSTMs (right) on the GINC dataset. Accuracy increases with number of examples  $n$  and length of each example  $k$ .

**Thank you**

# Questions

- No free lunch?