Original Research

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The Association Between Midlife Cardiorespiratory Fitness Levels and **Later-Life Dementia**

A Cohort Study

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Background: Primary prevention of Alzheimer disease and other types of dementia (all-cause dementia) is an important public health goal. Evidence to date is insufficient to recommend any lifestyle change to prevent or delay the onset of dementia.

Objective: To assess the association between objectively measured midlife cardiorespiratory fitness ("fitness") levels and development of all-cause dementia in advanced age.

Design: Prospective, observational cohort study.

Setting: Preventive medicine clinic.

Patients: 19 458 community-dwelling, nonelderly adults who had a baseline fitness examination.

Measurements: Fitness levels, assessed using the modified Balke treadmill protocol between 1971 and 2009, and incident all-cause dementia using Medicare Parts A and B claims data from 1999 to 2009.

Results: 1659 cases of incident all-cause dementia occurred during 125 700 person-years of Medicare follow-up (median follow-up, 25 years [interquartile range, 19 to 30 years]). After multivariable adjustment, participants in the highest quintile of fitness level had lower hazard of all-cause dementia than those in the lowest quintile (hazard ratio, 0.64 [95% CI, 0.54 to 0.77]). Higher fitness levels were associated with lower hazard of all-cause dementia with previous stroke (hazard ratio, 0.74 [CI, 0.53 to 1.04]) or without previous stroke (hazard ratio, 0.74 [CI, 0.61 to 0.90]).

Limitations: Dementia diagnoses were based on Medicare claims. and participants generally were non-Hispanic white, healthy, and well-educated and had access to preventive health care. This study evaluated fitness levels, so a specific exercise prescription cannot be generated from results and the findings may not be causal.

Conclusion: Higher midlife fitness levels seem to be associated with lower hazards of developing all-cause dementia later in life. The magnitude and direction of the association were similar with or without previous stroke, suggesting that higher fitness levels earlier in life may lower risk for dementia later in life, independent of cerebrovascular disease.

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▲ Izheimer disease and other types of dementia (all-cause dementia) are important public health problems, particularly in light of the aging population (1). One in 8 persons aged 65 years or older has Alzheimer disease (2). Aggregate payments for health care, long-term care, and hospice for 5.4 million Americans with dementia are \$200 billion currently and projected to increase to \$1.1 trillion by 2050 (2). Because of the effect of dementia on quality of life and functional status, identifying preventable causes of dementia is critical. The most cost-effective measures to prevent dementia would be those mediated through lifestyle changes requiring minimal medical intervention. However, a recent consensus statement on dementia prevention concluded that there was insufficient evidence to promote any lifestyle change as an effective preventive measure, including increasing self-reported physical activity (3) or improving measured cardiorespiratory fitness

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("fitness") levels. Hence, there are no specific public health recommendations for the prevention of cognitive disability other than maintaining good general health. Limitations of existing research, including small sample size, inadequate quality of exposure measurements, lack of consistent definitions of Alzheimer disease or other dementia-causing conditions, and short duration of follow-up have hampered the development of more specific preventive guidelines (3). Thus, large-scale, long-term studies are needed to evaluate effectiveness of modifiable risk factors for both cognitive decline and dementia in elderly persons.

We evaluated the association between objectively measured midlife fitness levels and the development of all-cause dementia in a large cohort of men and women with a long duration of follow-up. We hypothesized that persons with greater midlife fitness levels would have lower risk for dementia in later life and that this association would be, at least partially, independent of antecedent cerebrovascular disease.

METHODS

Study Population

The Cooper Center Longitudinal Study (CCLS) is an observational database of patient visits to the Cooper

Clinic in Dallas, Texas, a preventive medicine practice that began in 1970. Analysis of data from this cohort has demonstrated that high fitness levels protect against all-cause mortality (4) and several illnesses, such as stroke (5) and diabetes mellitus (6, 7). Participants are communitydwelling, generally healthy persons who are either selfreferred or referred by their employers for preventive health examinations, which include a standardized medical examination by a physician, anthropometric measurements, fasting laboratory studies, and a maximal treadmill exercise test for objectively measured fitness levels. Data acquired at the Cooper Clinic for the CCLS are not based on a systematic research protocol but rather on the previously mentioned preventive health evaluations and patientspecific clinical recommendations, resulting in variable follow-up intervals. Participants provided informed consent for the use of their data for research. The CCLS database is maintained by The Cooper Institute, a nonprofit, independent research institute with the overarching research goal of assessing the effect of lifestyle behaviors on health outcomes. Privacy precautions are maintained through The Cooper Institute policies. The data collection and informed consent processes are reviewed and approved annually by the Institutional Review Board at The Cooper Institute.

The study cohort initially comprised 28 968 persons in the CCLS who had an exercise treadmill test between 1971 and 2009 who could be matched with Medicare administrative claims data between 1999 and 2009, the available years of outcome data at the time of this study (8). We excluded participants lacking traditional fee-for-service Medicare for whom individual claims data were not available (n = 2973), those without a complete set of baseline variables (n = 4152), those with myocardial infarction or stroke at their midlife examination visit (n = 472) or chronic illness leading to early disability or renal dialysis on Medicare when younger than 65 years (n = 368), and those who had their first CCLS visit at age 65 years or older (n = 821). We also excluded participants who entered the data set with a prevalent diagnosis of all-cause dementia in or before 1999 or at age 67 years or younger and those with stroke in or before 1999 or at age 65 years or younger (n = 724), thresholds based on Centers for Medicare & Medicaid Services algorithms for definition of all-cause dementia and stroke (8). Thus, the final cohort included 19 458 men and women at their midlife examination, greater than 95% of whom had their baseline examination more than 9 years before Medicare entry.

Midlife Exposures

Measurement of Midlife Health Status

Study participants gave a comprehensive medical history, including self-reported medical, family, and social history confirmed by the clinic physician (4). Self-reported educational history was available only for 4220 participants (21.7%) because it was voluntary for patients to answer

Context

Identification of modifiable risk factors is important for public health efforts to prevent dementia.

Contribution

In this observational study of a group of healthy community-dwelling adults, higher treadmill-measured levels of physical fitness in middle age were associated with lower hazards of developing all-cause dementia after age 65 years, independent of previous stroke.

Caution

The findings are not causal and do not suggest a target level of fitness or exercise program.

Implication

Higher physical fitness levels in middle age may be protective against dementia. If the findings are verified, a lower physical fitness level may be a modifiable risk factor for the disease.

—The Editors

this question. They had physical examinations, including height and weight measured using a standard clinical stadiometer and scale to calculate body mass index and seated resting blood pressure measured with a calibrated sphygmomanometer. Laboratory studies, done after a 12-hour fast, included fasting blood glucose and cholesterol profile and were measured in accordance with standard procedures.

Cardiorespiratory Fitness Level Measurement

Exercise testing is a component of the Cooper Clinic basic examination and is done on all persons without a medical or orthopedic contraindication. Fitness level was measured as maximal time on a treadmill test using the modified Balke protocol (9); time on treadmill with this protocol is highly correlated (r = 0.92) with measured maximal oxygen uptake (VO2max) in both men and women (10, 11). Maximal metabolic equivalents (METs) (1 MET = 3.5 mL \times O₂·kg⁻¹ \times min⁻¹) were estimated by regression from the final treadmill speed and grade (12). Treadmill times were compared with age- and sex-specific normative data on treadmill performance within the CCLS so that treadmill time could be classified into age- and sex-specific quintiles of fitness level for each participant. Although no uniform consensus for the precise range of low fitness level exists, quintile 1 has been considered "low fit" in previous work from The Cooper Institute (13). Historically, the low-fit category was most highly associated with increased morbidity and mortality rates (4-7).

Outcome Variables

Medicare data were obtained from the Centers for Medicare & Medicaid Services for all CCLS participants

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Table 1. Characteristics of Participants at Midlife Visit, by Quintile of Fitness Level

Variable	Quintile 1	Quintile 2	Quintile 3	Quintile 4	Quintile 5	All Quintiles	P Value*
Participants, <i>n</i>	3322	3882	4085	4213	3956	19 458	_
Female, n (%)	552 (16.6)	774 (19.9)	746 (18.3)	1020 (24.2)	1008 (25.5)	4100 (21.1)	< 0.001
Mean baseline age (SD), y	46.8 (8.6)	48.5 (8.8)	50.0 (8.4)	51.2 (8.7)	51.7 (8.2)	49.8 (8.7)	< 0.001
Mean education (SD), y†	15.2 (2.7)	15.5 (2.5)	15.6 (2.6)	15.8 (2.6)	16.0 (2.5)	15.7 (2.6)	< 0.001
Hypertension, n (%)	835 (25.1)	741 (19.1)	692 (16.9)	669 (15.9)	505 (12.8)	3442 (17.7)	< 0.001
Diabetes, n (%)	168 (5.1)	112 (2.9)	95 (2.3)	75 (1.8)	45 (1.1)	495 (2.5)	< 0.001
Smoker, n (%)	961 (28.9)	783 (20.2)	614 (15.0)	403 (9.6)	235 (5.9)	2996 (15.4)	< 0.001
Mean alcohol use (SD), drinks/wk‡	8.5 (10.9)	8.6 (10.9)	8.9 (11.9)	8.8 (11.0)	8.7 (10.8)	8.7 (11.1)	0.002
Mean body mass index (SD), kg/m ²	28.1 (4.9)	26.4 (3.7)	25.8 (3.3)	25.0 (3.0)	23.8 (2.6)	25.7 (3.8)	< 0.001
Mean systolic blood pressure (SD), mm Hg	123.7 (14.8)	121.6 (14.3)	121.1 (14.7)	120.9 (14.7)	120.5 (14.9)	121.5 (14.7)	< 0.001
Mean diastolic blood pressure (SD), mm Hg	82.6 (10.1)	81.5 (9.8)	80.8 (9.7)	80.2 (9.4)	79.2 (9.1)	80.8 (9.7)	< 0.001
Mean glucose level (SD)							< 0.001
mmol/L	5.8 (1.3)	5.6 (1.0)	5.6 (0.8)	5.5 (0.7)	5.5 (0.7)	5.6 (0.9)	
mg/dL	103.7 (22.9)	101.7 (18.2)	100.0 (14.1)	99.1 (12.5)	98.2 (12.8)	100.4 (16.4)	
Mean total cholesterol level (SD)							< 0.001
mmol/L	5.7 (1.1)	5.6 (1.0)	5.6 (1.0)	5.5 (1.0)	5.4 (1.0)	5.5 (1.0)	
mg/dL	220.3 (41.2)	216.1 (39.7)	215.2 (39.0)	211.5 (38.2)	207.7 (36.7)	213.9 (39.1)	
Mean cardiorespiratory fitness level (SD), MET	8.1 (1.4)	9.4 (1.4)	10.4 (1.4)	11.3 (1.6)	13.3 (2.2)	10.6 (2.4)	< 0.001

MET = metabolic equivalent.

who were aged 65 years or older by 31 December 2009 and eligible for Medicare between 1 January 1999 and 31 December 2009. The diagnoses used in this study were obtained from the Chronic Condition Data Warehouse (8), which contains data on chronic disease diagnoses derived from well-established algorithms to identify chronic conditions among Medicare beneficiaries for research purposes (8).

Incidence of all-cause dementia during the surveillance period was the primary outcome of interest. All-cause dementia diagnoses were determined by standard algorithms using administrative data that have been previously validated (8). Specifically, a diagnosis of all-cause dementia is defined among persons having at least 3 years of Medicare coverage with at least 1 inpatient, skilled-nursing facility, home health agency, hospital outpatient, or physician or supplier claim with any diagnosis identified by the following International Classification of Disease, Ninth Revision, Clinical Modification (ICD-9-CM), codes: 331.0, 331.1, 331.11, 331.19, 331.2, 331.7, 290.0, 290.10, 290.11, 290.12, 290.13, 290.20, 290.21, 290.3, 290.40, 290.41, 290.42, 290.43, 294.0, 294.1, 294.10, 294.11, 294.8, and 797. These codes primarily include Alzheimer disease, senile dementia, and presenile dementia, in addition to only 4 codes for vascular dementia (290.40, 290.41, 290.42, and 290.43). A diagnosis of stroke was defined when ICD-9 codes 430, 431, 434.00, 434.01, 434.10, 434.11, 434.90, 434.91, 435.0, 435.1, 435.3, 435.8, 435.9, 436, or 997.02 were listed as a diagnosis on at least 1 inpatient or 2 outpatient claims during a 1-year period (8).

We determined the overall prevalence of all-cause dementia at attained ages 70, 75, 80, and 85 years by classifying all participants alive at these ages according to the presence or absence of dementia at the corresponding age. Attained age in the Medicare data block was defined as the age of participants before dementia diagnosis, death, or censoring at 2009.

Statistical Analysis

We constructed Kaplan-Meier curves to illustrate the unadjusted association between midlife fitness level and incident dementia, treating deaths as censoring events. We developed a Cox proportional hazards model with attained age as the time scale, adjusting for midlife fitness level modeled as a 5-level categorical covariate corresponding to age- and sex-adjusted quintiles of fitness level, demographic and study variables (sex, examination age, examination year, and examination age × follow-up time interaction term), and clinical variables (hypertension, fasting glucose level, current tobacco use, body mass index, total cholesterol level, hyperlipidemia, systolic blood pressure, and diabetes). We repeated the analysis with midlife fitness level modeled as a continuous variable. To test the proportional hazards assumption, we tested covariate × attained age effects and retained significant terms to address residual nonproportional hazards. We confirmed that hazards were proportional in the model. Main effects were estimated at the mean attained age. Sensitivity analyses were done among 4220 participants with self-reported education.

To assess the association between fitness level and the development of dementia with or without intervening stroke, we used a failure time model to investigate the multivariate outcomes: incident all-cause dementia without previous stroke and incident all-cause dementia after stroke (14). This model fits marginal Cox proportional hazards models to each outcome and uses the robust sandwich es-

^{*} *P* value for trend by the Jonckheere–Terpstra test. † Data available for 4220 participants.

[‡] Data available for 16 849 participants.

timate of the covariance matrix to account for correlation of score residuals of the same participant in different marginal models (15). A 2-sided P value less than 0.050 was considered statistically significant. All statistical analyses were done using SAS, version 9.2 (SAS Institute, Cary, North Carolina).

Role of Funding Source

The study was funded by the Cooper Institute, University of Texas Southwestern Medical Center; the National Heart, Lung, and Blood Institute; and the American Heart Association. The funding source had no role in the design of the study; collection, management, analysis, or interpretation of the data; preparation, review, or approval of the manuscript; or the decision to submit the manuscript for publication.

RESULTS

Table 1 provides the characteristics of the study sample in midlife by fitness level category. As expected, lower fitness levels at midlife examination were associated with a higher prevalence of traditional cardiovascular risk factors, including increased body mass index, hypertension, diabetes, hyperlipidemia, and smoking. Mean follow-up from CCLS examination was 24.0 years (SD, 8.3) (median, 25.0 years [interquartile range, 19 to 30 years]) with a mean of 7.2 years of observation in the Medicare data.

We saw 1659 cases of incident all-cause dementia during 125 700 total person-years of Medicare follow-up, with a strong association between dementia and attained age. In cross-sectional analyses, the prevalence of dementia by age was 0.8%, 2.9%, 8.3%, and 14.8% at ages 70, 75, 80, and 85 years, respectively. Higher fitness levels in midlife were associated with a lower risk for incident dementia (Figure) and a lower adjusted hazard of dementia (hazard ratio [HR] for quintile 5 vs. quintile 1, 0.64 [95% CI, 0.54 to 0.77]) (Table 2). Findings were similar when fitness level was modeled as a continuous covariate (HR per MET, 0.93 [CI, 0.90 to 0.95]).

In the subpopulation of 4220 persons with selfreported educational level, 212 developed dementia over 21 696 person-years, with no statistically significant association between education and dementia (unadjusted HR, 0.96 [CI, 0.91 to 1.01]), probably reflecting the high level of educational attainment in the study sample (>15 years). The point estimate for the association between fitness level and dementia in this subgroup after adjustment for other clinical covariates was similar to that for the full population but with wider CIs (HR for quintile 5 vs. quintile 1, 0.77 [CI, 0.43 to 1.36]).

In a sensitivity analysis that included participants with missing baseline variables, hazard ratios were similar to the primary analysis (HR for quintile 5 vs. quintile 1, 0.67 [CI, 0.58 to 0.78]).

Finally, the association between higher midlife fitness level and risk for all-cause dementia was similar among

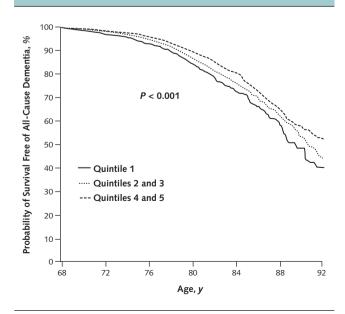
1216 participants without previous stroke (HR for quintile 5 vs. quintile 1, 0.74 [CI, 0.61 to 0.90]) and 443 participants with previous stroke (HR, 0.74 [CI, 0.53 to 1.04]), suggesting that the association between higher fitness level and risk for dementia is independent of intervening cerebrovascular disease.

DISCUSSION

In this cohort of generally healthy, communitydwelling persons having preventive health evaluations, we saw an association between midlife fitness levels, measured with treadmill testing, and lower risk for dementia in later life independent of other cardiovascular risk factors. The association seemed to be present with or without intervening cerebrovascular events, suggesting that the effect of midlife fitness levels on the prevention of dementia in later life may have both vascular and nonvascular mechanisms.

A recent National Institutes of Health Consensus Statement identified evidence suggesting that physical activity may prevent dementia (3). However, the literature identified in that review primarily addressed the effect of self-reported physical activity, not objectively measured fitness levels, on dementia. The review also included studies with shorter follow-up, less clearly defined exposures, or inconsistent definitions of the dementia outcome variable (3). One of the largest studies, the Canadian Study of Health and Aging, reported 5-year follow-up of 4615 participants showing that physical activity (vs. no physical activity) was associated with a lower risk for cognitive impairment and Alzheimer disease (16). Several studies confirmed the association of higher doses of physical activity with decreased risk for Alzheimer disease (17-20). Other studies

Figure. Dementia-free survival, according to midlife fitness level.



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Table 2. Cox Proportional Hazards Model for Incident Alzheimer Disease and Related Types of Dementia

Effect, by Model Adjustment	Hazard Ratio (95% CI)	P Value
Adjustment 1 (n = 19 458)*		
Quintile 1 (reference)	1.00	-
Quintile 2	0.87 (0.75-1.01)	0.069
Quintile 3	0.78 (0.67-0.91)	0.001
Quintile 4	0.70 (0.60-0.81)	< 0.001
Quintile 5	0.64 (0.54-0.76)	< 0.001
Adjustment 2 (n = 19 458)† Quintile 1 (reference)	1.00	_
Quintile 2	0.88 (0.76–1.02)	0.089
Quintile 3	0.79 (0.67–0.92)	0.002
Quintile 4	0.70 (0.60–0.83)	
Ouintile 5	0.64 (0.54–0.77)	<0.001
Hypertension‡	1.15 (1.01–1.31)	0.041
Fasting glucose level (per 1 mg/dL [0.06 mmol/L])	1.00 (1.00–1.01)	0.077
Current tobacco use	1.11 (0.97-1.28)	0.126
Body mass index (per 3 kg/m ²)	0.97 (0.92-1.02)	0.184
Total cholesterol level (per 40 mg/dL [1.04 mmol/L])	0.96 (0.89–1.02)	0.193
Hyperlipidemia§	1.07 (0.92-1.24)	0.37
Systolic blood pressure (per 20 mm Hg)	0.97 (0.90-1.06)	0.50
Diabetes	1.06 (0.86–1.30)	0.56

^{*} Model adjusted for sex, examination age, examination year, and examination age × follow-up time.

showed risk modification only with certain physical activities, such as dancing (21); only with vascular dementia (22); or not at all (23). In addition, several studies have addressed the effect of some midlife behaviors, including physical activity, on dementia with conflicting outcomes (23-27).

The few studies that have examined the effect of fitness related to dementia are limited to intermediate outcomes (28-31), such as brief cognitive testing or brain volume, or death. One study in community-dwelling persons demonstrated that lower baseline fitness levels were associated with greater cognitive decline by the Mini-Mental State Examination over 6 years (28). From an anatomical perspective, high fitness levels have been associated with attenuation in the brain atrophy of normal aging (29), as well as greater total brain (30) and medial temporal lobe (31) volume. Finally, previous work in the CCLS cohort found that fitness levels were inversely related to dementia mortality risk in men and women (32). Thus, these studies suggest that fitness levels seem to be inversely correlated to intermediate outcomes as well as dementia mortality. In contrast, our study demonstrates an inverse association between fitness levels and dementia itself.

Greater fitness levels may be associated with lower dementia risk through several mechanisms. First, enhanced fitness levels are associated with lower risk for diabetes (33) and hypertension (34, 35), which are established risk factors for dementia. Physical activity and fitness levels may also have direct effects on the brain that lower dementia risk. Higher fitness levels have been associated with greater brain volume, which may be associated with enhanced cognitive function (29-31). In 1 very small study evaluating the effect of exercise on cerebral vasculature, exercising participants showed an increased number of small-caliber vessels and less tortuosity of cerebral vessels (36), potentially improving cerebral blood flow. In addition, in TgCRND8 mice voluntary exercise decreased the presence of amyloid in the frontal cortex and hippocampus, likely related to change in the processing of this neurotoxic protein (37). Other potential mechanisms include enhanced neuroplasticity and production of growth factors, such as brainderived neurotrophic factor (38).

This study has several strengths, including the large size of the study cohort, the long duration of follow-up, and a detailed medical history obtained at the midlife examination that enabled us to exclude persons with preexisting cerebrovascular and cardiovascular diseases. It also has limitations. First, the dementia diagnosis was based on Medicare claims data and not on clinically detailed data sources, but these data have been reported to be largely concordant with clinical diagnoses of dementia in an Alzheimer disease registry (39) and have a sensitivity of 85% and specificity of 89% for dementia when compared with clinical dementia assessments in the Health and Retirement Study (40). In addition, Medicare data have been used to study dementia prevalence (41) and health care costs (42). The cross-sectional prevalence of dementia is somewhat lower than expected (43), due in part to the underestimation of disease by Medicare data and the lower burden of risk factors in this cohort, but it is associated appropriately with increasing age. Second, CCLS participants are generally healthy, non-Hispanic white (95%), and of middle to upper socioeconomic strata; therefore, they may not be representative of other populations. However, there is still great variability in the fitness level measurements. Third, unmeasured confounders, such as lifestyle factors associated with fitness levels, could account for the observed association between low fitness levels and dementia. Fourth, we excluded 4152 participants because of missing covariates at study entry. Nevertheless, sensitivity analysis showed similar effects of fitness levels on dementia development.

Finally, educational level was available for only 22% of the cohort and, therefore, we could not adjust for education in our primary analysis. Nevertheless, we believe that our findings are unaffected by education. We saw only trivial differences in education across fitness level quintiles. Second, we conclude from our sensitivity analyses that education is not an important confounder in the pathway from low fitness levels to all-cause dementia in this data set. In this regard, we believe that the homogenous nature of the CCLS represents an important quality, strengthening

 $^{^{\}dagger}$ Model adjusted for sex, examination age, examination year, examination age imesfollow-up time, and listed risk factors.

[‡] Self-reported or measured systolic blood pressure ≥140 mm Hg.

[§] Self-reported or measured total cholesterol level \geq 200 mg/dL (\geq 5.18 mmol/L). || Self-reported or measured fasting glucose level ≥126 mg/dL (≥6.99 mmol/L).

the observed association between midlife fitness levels and all-cause dementia independent of the effects of education.

This study specifically addressed fitness levels, which is an objective measure, rather than self-reported behavior. Because cardiorespiratory fitness levels are results of habitual physical activity and gene-environment interactions for any given person, it is not possible to give a generalizable physical activity dose recommendation from this study. Nonetheless, fitness levels are modifiable through physical training. Previous reports have shown that physical activity, or exercise, of at least moderate intensity for at least 150 minutes per week for 5 to 6 months results in an improvement of 3 to 5 mL/kg per min VO₂max (maximum oxygen consumption), or 1 to 2 METs, in fitness levels (44-46). Thus, physical activity changes in midlife may lead to improved fitness levels, resulting in less allcause dementia with aging.

In summary, higher midlife fitness levels were associated with lower hazards of developing Alzheimer disease and other types of dementia in men and women older than 65 years followed in a large prospective cohort for an average of 24 years. Future studies should address the doseresponse relationship with physical activity needed to modify fitness levels to inform public health recommendations for dementia prevention. In addition, studies on the effect of midlife physical activity and fitness levels on brain structure and function may further elucidate the mechanisms of the protective effect of fitness levels.

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