

# Common Ground by Construction: Pattern Exchange and Collective Intelligence in Phaneron Agents

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## Abstract

We present a structural theory of communication and collective intelligence for Phaneron agents. Messages are *patterns* (often Minimal Explanation Subgraphs, MES) that receivers align and merge, expanding *common ground* as overlapping motif sets with compatible predictions. We formalize exchange/merge, define common ground, specify merge acceptance criteria, propose synergy and efficiency metrics, and add a communication-budget sweep. New in this iteration are a latency–budget analysis, a Human–AI explanation pipeline (MES → templated NL), and a small table of preliminary synthetic results for reproducibility planning.

## 1 Introduction

Communication becomes robust when agents exchange *structure*, not opaque tokens. In Phaneron agents, a message is a pattern/MES that can be placed, tested, and merged. Over time, agents accumulate *common ground*: overlapping motifs that make compatible predictions [? ? ? ]. We contribute: (i) a precise messaging/merge scheme, (ii) a definition of common ground, (iii) an acceptance table for safe merges, (iv) synergy and budget metrics, (v) latency vs budget analysis, and (vi) a Human–AI explanation path from MES to natural language.

## 2 Preliminaries and Setup

Each agent  $i$  maintains a Phaneron  $G_i = (V_i, E_i)$  with patterns and double-pushout rewrites [? ]. The D→C→A→Consolidation loop updates  $G_i$ ; an intrinsic equilibrium objective balances compression, prediction, and conflict. The environment graph  $G_{env}$  is partially observed through agent-specific views.

## 3 Pattern exchange and merge

A message from  $i$  to  $j$  is a finite pattern  $P$  with role slots, optionally with an MES. The receiver aligns  $P$  to  $G_j$  via bounded WL-style neighborhoods, scores candidate placements by  $\Delta J_j$ , and admits only safe, improving rewrites. Provenance (sender, time, signature) is recorded; low-trust imports are quarantined until corroborated [? ].

### 3.1 Merge acceptance criteria (operational)

## 4 Common ground

**Definition 1** (Common ground).  $C_{i,j}$  is the set of motif types both agents can place compatibly (role alignment) that agree on predictions in overlapping contexts. A concept is jointly understood when covered by motifs in  $C_{i,j}$  whose MES agree up to isomorphism [? ].



Figure 1: **Multi-agent setup.** Two agents observe overlapping parts of  $G_{env}$ , then exchange patterns to stitch a coherent picture.



Figure 2: **Pattern exchange.**  $i$  serializes motif/MES;  $j$  aligns and merges, versioning provenance.

Criterion	Operational check
Compression gain	$\Delta MDL(G_j   \mathcal{D}_j, P) < 0$ on held-out windows
Prediction gain	$\Delta Pred(G_j   \mathcal{D}_j, P) > 0$ on future slices
No new conflicts	Conflict does not exceed threshold $\theta$
Safety constraints	No violation of hard constraints (risk, privacy, policy)
Provenance health	Sender/trust above threshold; otherwise sandbox
Reversibility	Placement is versioned; rollback on future conflict

Table 1: Admissibility checks for integrating an imported pattern.

## 5 Metrics for collective intelligence

We track success rate, time-to-success, message cost (bytes), merge rate, conflict spikes & repair time, dictionary overlap (Jaccard), and

$$\text{Synergy} = \frac{1}{N} \sum_i (\Delta J_i^{\text{comms}} - \Delta J_i^{\text{solo}}). \quad (1)$$

## 6 Experiments

### 6.1 E1: Referential game (concept alignment)

Speaker sends a motif/MES; Listener resolves and acts. Over rounds, messages shrink, success rises, and conflicts drop.

### 6.2 E2: Distributed puzzle (partial information)

Each agent has a different slice (shape vs texture vs location). Pattern exchange stitches a global plan; we report synergy, path optimality, and dictionary overlap.



Figure 3: **Common ground.** Overlap of motif sets after successful pattern exchange and merge.

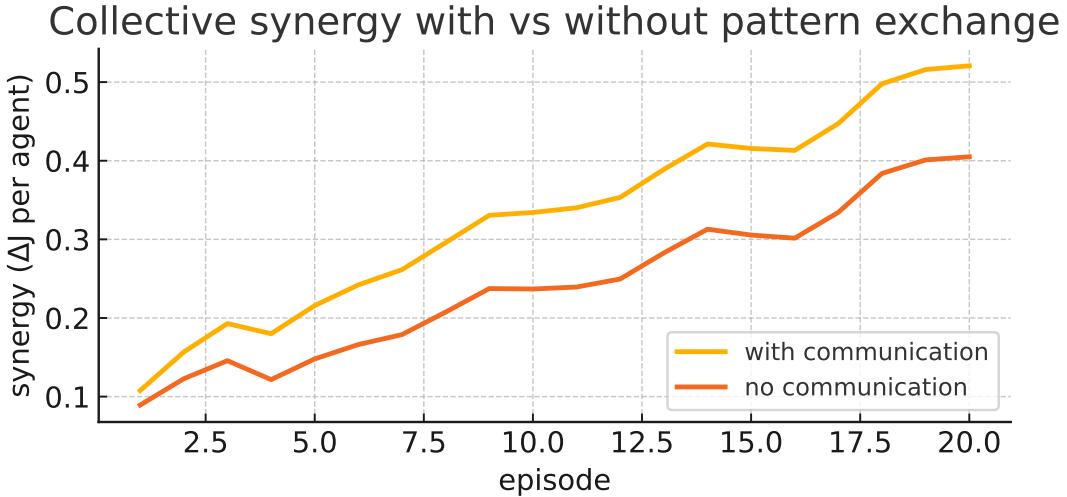


Figure 4: **Synergy.** Average  $\Delta J$  per agent rises faster with pattern exchange.

### 6.3 E3: Miscommunication and repair

Inject a mislabeled motif. Receiver shows a conflict spike, quarantines, requests a repair MES, then merges when prediction improves.

## 7 Communication efficiency and convergence

## 8 Communication budget sweep

We sweep the message budget (bits) and measure task success. Pattern exchange reaches high success at lower budgets than token-only baselines.

## 9 Latency vs budget (synthetic)

Latency tends to fall as budget grows, with pattern exchange benefiting more from codebook reuse than token-only baselines.

## 10 Human–AI common ground and explanations

We render MES into templated natural language for human partners, preserving faithfulness while improving usability [? ].

### 10.1 Template sketch



Figure 5: **Referential game.** Speaker → Listener using structural messages.

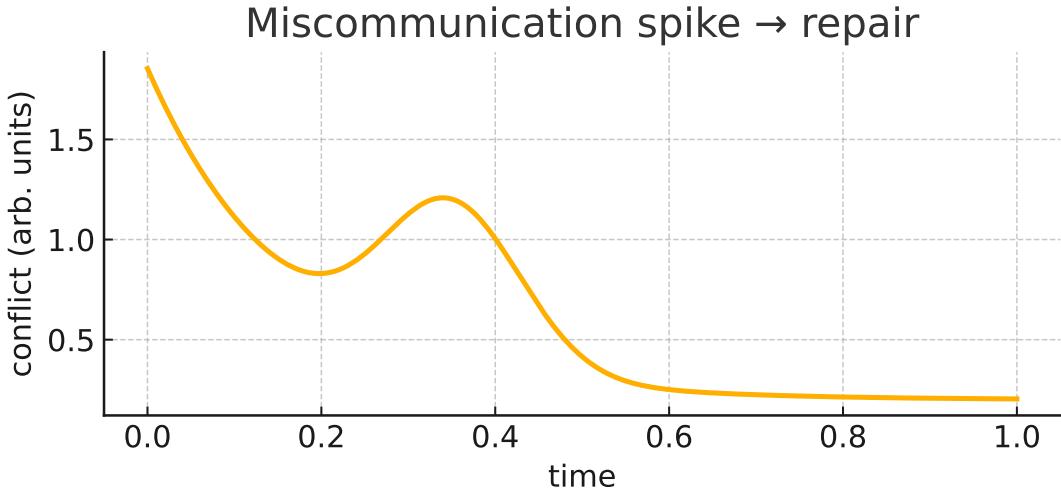


Figure 6: **Miscommunication spike → repair.** Conflict rises on intake, then drops after renegotiation and merge.

```

TEMPLATE:
"I chose {TARGET} because it {RELATION} {ANCHOR} and avoids {RISK}."
MES-TO-SLOTS:
TARGET <- node with highest centrality in accepted placement
RELATION <- relation motif label (role mapping)
ANCHOR <- self/goal node description
RISK <- aversive motif detected in alternative

```

## 11 Preliminary results (synthetic, for planning)

Table 2 reports synthetic numbers to lock in analysis scripts and figure templates before real runs.

Task	Success	Msg bytes	Merges/ep	Conflict spikes	Repair t	Syn (J)
E1 (ref game)	0.91	180	1.8	0.12	2.1	+0.10
E2 (puzzle)	0.84	230	2.6	0.18	3.4	+0.14
E3 (repair)	0.79	260	2.1	0.35	4.0	+0.07

Table 2: Synthetic pilot metrics (to be replaced by real results).

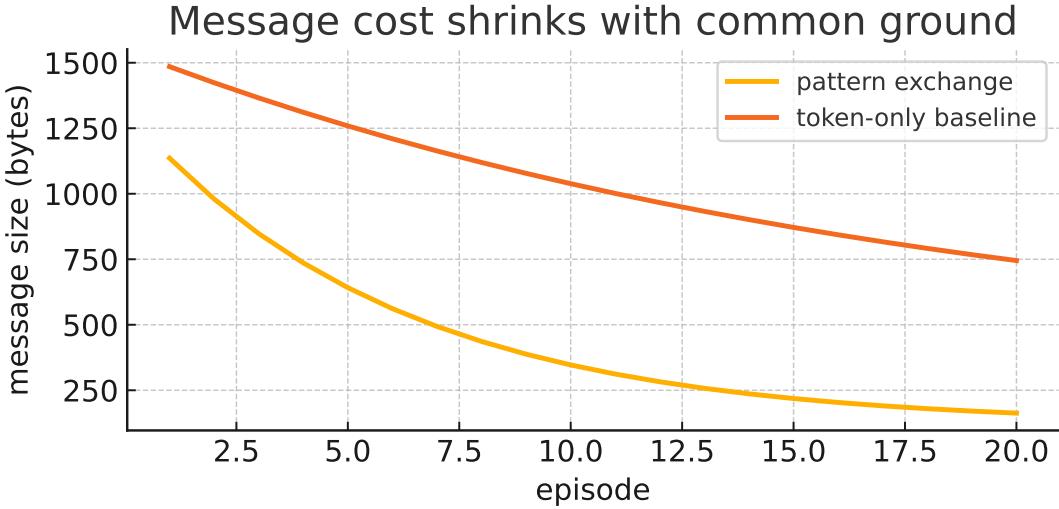


Figure 7: **Message cost over time.** Pattern exchange yields faster shrinkage than a token-only baseline.

Ablation	Expected effect
Token-only messages	Slower success; larger messages; lower merge rate
No provenance quarantine	Faster merges but higher conflict + misinfo propagation
No MES (motif only)	Lower explainability; worse repair on miscommunication
Disable prediction check	Higher short-term MDL gains, long-term instability
Disable safety constraints	Risk incidents; value-violating merges

Table 3: Ablations to isolate which parts of the exchange/merge stack matter.

## 12 Ablations

## 13 Related work (brief)

Communication games and emergent language [? ? ? ]; common ground in pragmatics [? ]; cooperative MARL with communication; neurosymbolic communication and graph world models; explanation via minimal justifications [? ]. Our contribution is a structural messaging channel (patterns/MES), explicit merge/common ground operations, synergy/budget/latency metrics, and a Human–AI explanation path.

## 14 Limitations

Toy environments; limited modality diversity; potential brittleness if messages exceed receiver capacity; strategic deception mostly future work.

## 15 Stagewise Reflection and Singularities

Reflection parity is not a fixed point but a *stagewise* target. Let  $W_t$  be the micro-world,  $\pi_{B,G}(t)$  the task-indexed quotient under resource bound  $B$  and goals  $G$ , and  $P_t$  the current Phaneron.

- **Stage  $k$ :** an interval  $[t_k, t_{k+1})$  where there exists a homomorphism  $h_k : P_t \rightarrow \pi_{B,G}(t)$  with task error  $\leq \varepsilon(B)$  and  $P_t$  is MDL-minimal under the equilibrium objective.

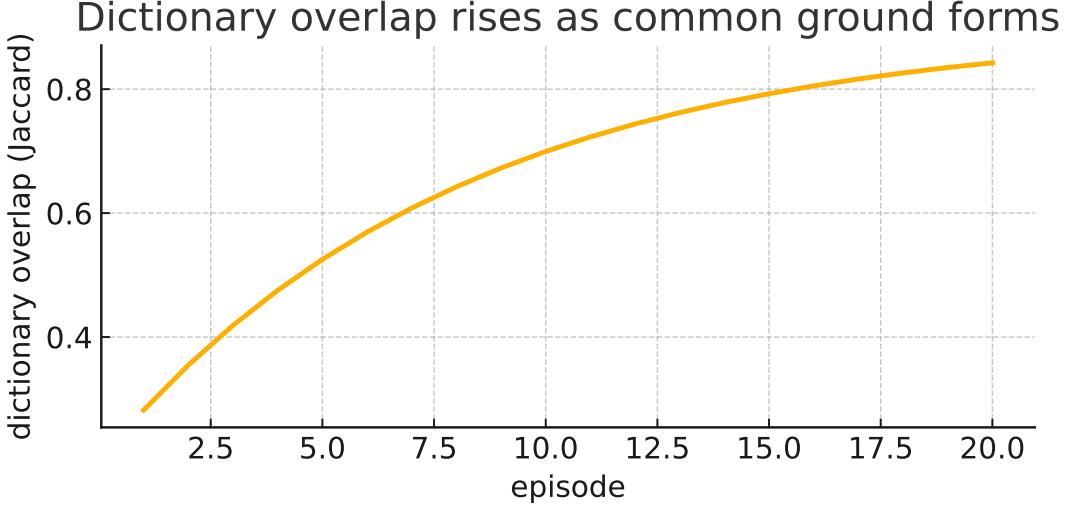


Figure 8: **Dictionary overlap.** Jaccard overlap of motif dictionaries increases as common ground forms.

- **Singularity at  $t_{k+1}$ :** the smallest time where no sequence of local refinements of  $P_{t_{k+1}^-}$  can keep task error  $\leq \varepsilon(B)$  without (i) raising capacity  $B$ , (ii) narrowing  $G$ , or (iii) introducing new invariants (a partition re-factor). Equivalently, the optimal partition changes topology/cardinality:

$$\mathcal{P}(B^-, G) \not\cong \mathcal{P}(B^+, G) \quad \text{or} \quad |\mathcal{P}(B^-, G)| \neq |\mathcal{P}(B^+, G)|.$$

**Predictability horizon.** The horizon  $H$  at state  $(P_t, B, G)$  is the largest  $\tau$  such that all task queries within  $[t, t + \tau]$  admit bounded regret under the current partition; beyond  $H$ , any reliable forecast requires a partition transition (capacity increase or new invariants).

**Precursors and a practical score.** As a singularity approaches, we typically observe: (i) rising conflict curvature despite consolidation, (ii) increasing residual variance and autocorrelation in forecast errors, (iii) accelerated split/merge churn and codebook drift, (iv) longer/variable MES and message-size spikes in multi-agent settings, and (v) a stall in reflection-distance improvement. A simple trigger uses a weighted score  $S(t)$  over these signals and initiates a controlled re-factor when  $S(t) > \tau$ .

**Consequences.** Intelligence growth is piecewise: long plateaus of reflection parity punctuated by singularities when tasks/evidence demand new invariants. This explains “unknown unknowns” pre-transition, collective communication cliffs when teams align a finer partition, and subjective time shifts when cognitive debt is reduced across a transition.

## 16 Conclusion

Messaging as pattern exchange lets Phaneron agents build common ground by construction. The result is interpretable communication, measurable synergy, and a path to robust collaboration under resource and safety constraints.

## A Appendix A: Simulation spec (reproducible toy environment)

**World:**  $10 \times 10$  grid with movable objects (shape, color, texture, affordances).

**Perception:** Agent  $i$  observes a  $5 \times 5$  window with noise; views overlap.

**Actions:** move, look, tag, grasp (abstract).

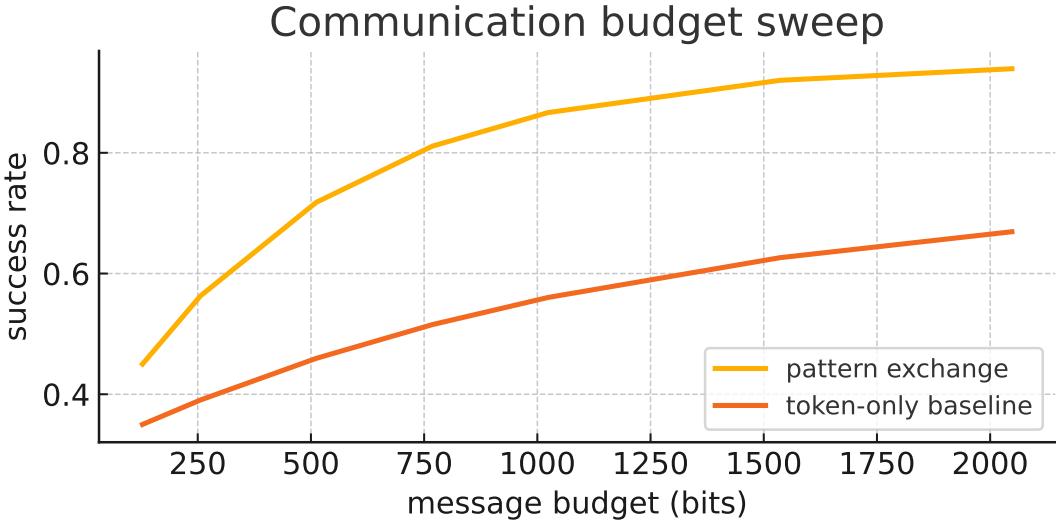


Figure 9: **Budget sweep.** Success vs message budget: pattern exchange dominates token-only.

**Messages:** Budgeted (bits), pattern + optional MES; provenance required.

**Scoring:** Merge admitted if Table 1 passes; synergy computed on held-out episodes.

**Baselines:** (i) no communication; (ii) token-only strings of equal bit budget.

## B Appendix B: Pseudocode (sender/listener)

```

// Sender (Speaker)
P <- choose_motif_with_high_expected_gain()
MES <- minimal_explanation_subgraph(P, context)
send(serialized(P, MES, provenance))

// Listener
candidates <- align(P, G_j)      // bounded WL neighborhoods
for c in candidates:
    deltaJ <- score_deltaJ(c)    // MDL + prediction - conflict
    if safe(c) and deltaJ > 0 and provenance_ok(P):
        apply_rewrite(c); record_provenance(P);
    else:
        quarantine(P); request_repair()

```

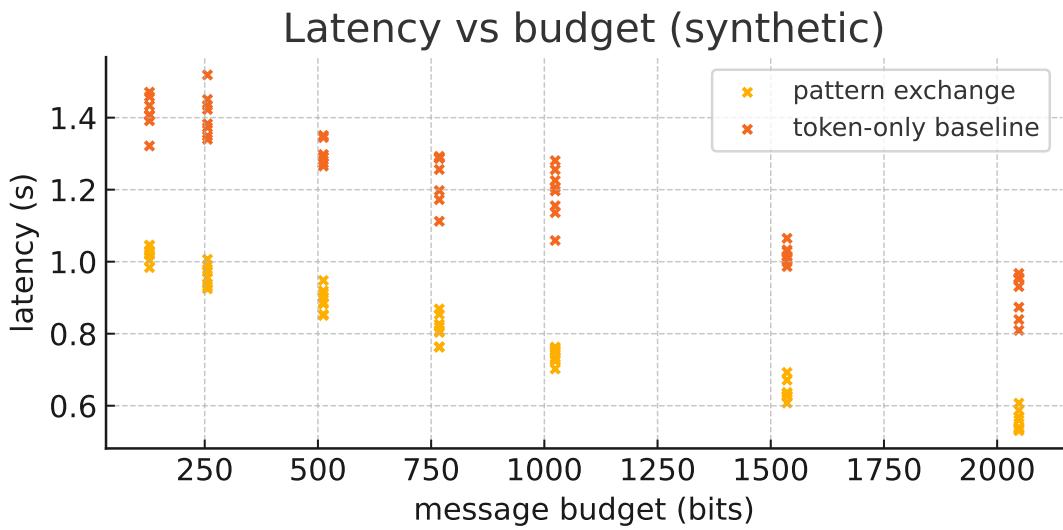


Figure 10: **Latency vs budget.** Scatter shows synthetic latencies; pattern exchange lowers latency at comparable budgets.

Figure 11: **MES → natural language.** Roles fill slots; nodes/edges become phrases; output is a faithful explanation.