

# Cache Lab: Understanding Cache Memories

## 1 Logistics

This is an individual project. All handins are electronic.

You must do this lab on an Linux machine.

## 2 Overview

This lab will help you understand the impact that cache memories can have on the performance of your C programs.

The lab consists of two parts. In the first part you will write a small C program (about 200-300 lines) that simulates the behavior of a hardware cache memory. In the second part, you will optimize a small matrix transpose function, with the goal of minimizing the number of cache misses.

## 3 Prerequisites

Before getting your hands dirty, a Linux program called `valgrind` will be doing you a great deal of things. You can type the following command to install `valgrind`.

```
linux> sudo apt install valgrind
```

## 4 Downloading the assignment

Your lab materials are contained in a Linux tar file called `cachelab-handout.tar`, which you can download from Autolab. Start by copying `cachelab-handout.tar` to a protected directory in which you plan to do your work. Then login to a Linux machine and give the command

```
linux> tar xvf cachelab-handout.tar
```

This will create a directory called `cachelab-handout` that contains a number of files. You will be working on two files: `csm.c` and `trans.c`. To compile these files, type:

```
linux> make clean
linux> make
```

Note that the file `csim.c` doesn't exist in your initial handout directory. You'll need to create it from scratch before running `make`.

**WARNING:** Don't expand the `.tar` file on your laptop. If you do, you might lose permission bits on some of the executable files. Instead, save the file to your linux working directory and use the Linux `tar` program to extract the files.

## 5 Description

The lab has two parts. In Part A you will implement a cache simulator. In Part B you will write a matrix transpose function that is optimized for cache performance.

### 5.1 Reference Trace Files

The `traces` subdirectory of the handout directory contains a collection of *reference trace files* that we will use to evaluate the correctness of the cache simulator you write in Part A. The trace files are generated by a Linux program called `valgrind`. For example, typing

```
linux> valgrind --log-fd=1 --tool=lackey -v --trace-mem=yes ls -l
```

on the command line runs the executable program “`ls -l`”, captures a trace of each of its memory accesses in the order they occur, and prints them on `stdout`.

Valgrind memory traces have the following form:

```
I 0400d7d4,8
M 0421c7f0,4
L 04f6b868,8
S 7ff0005c8,8
```

Each line denotes one or two memory accesses. The format of each line is

```
[space]operation address,size
```

The *operation* field denotes the type of memory access: “I” denotes an instruction load, “L” a data load, “S” a data store, and “M” a data modify (i.e., a data load followed by a data store). There is never a space before each “I”. There is always a space before each “M”, “L”, and “S”. The *address* field specifies a 64-bit hexadecimal memory address. The *size* field specifies the number of bytes accessed by the operation.

## 5.2 Part A: Writing a Cache Simulator

In Part A you will write a cache simulator in `csim.c` that takes a `valgrind` memory trace as input, simulates the hit/miss behavior of a cache memory on this trace, and outputs the total number of hits, misses, and evictions.

We have provided you with the binary executable of a *reference cache simulator*, called `csim-ref`, that simulates the behavior of a cache with arbitrary size and associativity on a `valgrind` trace file. It uses the LRU (least-recently used) replacement policy when choosing which cache line to evict.

The reference simulator takes the following command-line arguments:

```
Usage: ./csim-ref [-hv] -s <s> -E <E> -b <b> -t <tracefile>
```

- `-h`: Optional help flag that prints usage info
- `-v`: Optional verbose flag that displays trace info
- `-s <s>`: Number of set index bits ( $S = 2^s$  is the number of sets)
- `-E <E>`: Associativity (number of lines per set)
- `-b <b>`: Number of block bits ( $B = 2^b$  is the block size)
- `-t <tracefile>`: Name of the `valgrind` trace to replay

The command-line arguments are based on the notation ( $s$ ,  $E$ , and  $b$ ) from page 617 of the CS:APP3e textbook. For example:

```
linux> ./csim-ref -s 4 -E 1 -b 4 -t traces/yi.trace
hits:4 misses:5 evictions:3
```

The same example in verbose mode:

```
linux> ./csim-ref -v -s 4 -E 1 -b 4 -t traces/yi.trace
L 10,1 miss
M 20,1 miss hit
L 22,1 hit
S 18,1 hit
L 110,1 miss eviction
L 210,1 miss eviction
M 12,1 miss eviction hit
hits:4 misses:5 evictions:3
```

Your job for Part A is write a simulator in `csim.c` so that it takes the same command line arguments and produces the identical output as the reference simulator. Notice that `csim.c` doesn't exist in your initial handout directory. You'll need to write it from scratch.

## Programming Rules for Part A

- Include your name and Student ID in the header comment for `csim.c`.
- Your `csim.c` file must compile without warnings in order to receive credit.
- Your simulator must work correctly for arbitrary  $s$ ,  $E$ , and  $b$ . This means that you will need to allocate storage for your simulator's data structures using the `malloc` function. Type “man malloc” for information about this function.
- For this lab, we are interested only in data cache performance, so your simulator should ignore all instruction cache accesses (lines starting with “I”). Recall that `valgrind` always puts “I” in the first column (with no preceding space), and “M”, “L”, and “S” in the second column (with a preceding space). This may help you parse the trace.
- To receive credit for Part A, you must call the function `printSummary` which is defined in `cachelab.h`, with the total number of hits, misses, and evictions, at the end of your `main` function:

```
printSummary(hit_count, miss_count, eviction_count);
```

- For this lab, you should assume that memory accesses are aligned properly, such that a single memory access never crosses block boundaries. By making this assumption, you can ignore the request sizes in the `valgrind` traces.

## 5.3 Part B: Optimizing Matrix Transpose

In Part B you will write a transpose function in `trans.c` that causes as few cache misses as possible.

Let  $A$  denote a matrix, and  $A_{ij}$  denote the component on the  $i$ th row and  $j$ th column. The *transpose* of  $A$ , denoted  $A^T$ , is a matrix such that  $A_{ij} = A^T_{ji}$ .

To help you get started, we have given you an example transpose function in `trans.c` that computes the transpose of  $N \times M$  matrix  $A$  and stores the results in  $M \times N$  matrix  $B$ :

```
char trans_desc[] = "Simple row-wise scan transpose";
void trans(int M, int N, int A[N][M], int B[M][N])
```

The example transpose function is correct, but it is inefficient because the access pattern results in relatively many cache misses.

Your job in Part B is to write a similar function, called `transpose_submit`, that minimizes the number of cache misses across different sized matrices:

```
char transpose_submit_desc[] = "Transpose submission";
void transpose_submit(int M, int N, int A[N][M], int B[M][N]);
```

Do *not* change the description string (“Transpose submission”) for your `transpose_submit` function. The autograder searches for this string to determine which transpose function to evaluate for credit.

## Programming Rules for Part B

- Include your name and Student ID in the header comment for `trans.c`.
- Your code in `trans.c` must compile without warnings to receive credit.
- You are allowed to define at most 12 local variables of type `int` per transpose function.<sup>1</sup>
- You are not allowed to side-step the previous rule by using any variables of type `long` or by using any bit tricks to store more than one value to a single variable.
- Your transpose function may not use recursion.
- If you choose to use helper functions, you may not have more than 12 local variables on the stack at a time between your helper functions and your top level transpose function. For example, if your transpose declares 8 variables, and then you call a function which uses 4 variables, which calls another function which uses 2, you will have 14 variables on the stack, and you will be in violation of the rule. Any attempt to use inline assembly will be interpreted as an effort to circumvent this restriction.
- Your transpose function may not modify array A. You may, however, do whatever you want with the contents of array B.
- You are NOT allowed to define any arrays in your code or to use any variant of `malloc`.

## 6 Evaluation

This section describes how your work will be evaluated. The full score for this lab is 60 points:

- Part A: 27 Points
- Part B: 26 Points
- Style: 7 Points

### 6.1 Evaluation for Part A

For Part A, we will run your cache simulator using different cache parameters and traces. There are eight test cases, each worth 3 points, except for the last case, which is worth 6 points:

```
linux> ./csim -s 1 -E 1 -b 1 -t traces/yi2.trace
linux> ./csim -s 4 -E 2 -b 4 -t traces/yi.trace
linux> ./csim -s 2 -E 1 -b 4 -t traces/dave.trace
linux> ./csim -s 2 -E 1 -b 3 -t traces/trans.trace
```

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<sup>1</sup>The reason for this restriction is that our testing code is not able to count references to the stack. We want you to limit your references to the stack and focus on the access patterns of the source and destination arrays.

```

linux> ./csim -s 2 -E 2 -b 3 -t traces/trans.trace
linux> ./csim -s 2 -E 4 -b 3 -t traces/trans.trace
linux> ./csim -s 5 -E 1 -b 5 -t traces/trans.trace
linux> ./csim -s 5 -E 1 -b 5 -t traces/long.trace

```

You can use the reference simulator `csim-ref` to obtain the correct answer for each of these test cases. During debugging, use the `-v` option for a detailed record of each hit and miss.

For each test case, outputting the correct number of cache hits, misses and evictions will give you full credit for that test case. Each of your reported number of hits, misses and evictions is worth 1/3 of the credit for that test case. That is, if a particular test case is worth 3 points, and your simulator outputs the correct number of hits and misses, but reports the wrong number of evictions, then you will earn 2 points.

## 6.2 Evaluation for Part B

For Part B, we will evaluate the correctness and performance of your `transpose_submit` function on three different-sized output matrices:

- $32 \times 32$  ( $M = 32, N = 32$ )
- $64 \times 64$  ( $M = 64, N = 64$ )
- $60 \times 68$  ( $M = 60, N = 68$ )

### 6.2.1 Performance (26 pts)

For each matrix size, the performance of your `transpose_submit` function is evaluated by using `valgrind` to extract the address trace for your function, and then using the reference simulator to replay this trace on a cache with parameters ( $s = 5, E = 1, b = 5$ ).

Your performance score for each matrix size scales linearly with the number of misses,  $m$ , up to some threshold:

- $32 \times 32$ : 8 points if  $m < 300$ , 0 points if  $m > 600$
- $64 \times 64$ : 8 points if  $m < 1,300$ , 0 points if  $m > 2,000$
- $60 \times 68$ : 10 points if  $m < 1,600$ , 0 points if  $m > 2,600$

Your code must be correct to receive any performance points for a particular size. Your code only needs to be correct for these three cases and you can optimize it specifically for these three cases. In particular, it is perfectly OK for your function to explicitly check for the input sizes and implement separate code optimized for each case.

## 6.3 Evaluation for Style

There are 7 points for coding style. These will be assigned manually by the course staff. Style guidelines can be found in README.

The course staff will inspect your code in Part B for illegal arrays and excessive local variables.

## 7 Working on the Lab

### 7.1 Working on Part A

We have provided you with an autograding program, called `test-csim`, that tests the correctness of your cache simulator on the reference traces. Be sure to compile your simulator before running the test:

```
linux> make
linux> ./test-csim
```

Points	(s,E,b)	Your simulator			Reference simulator			
		Hits	Misses	Evicts	Hits	Misses	Evicts	
3	(1,1,1)	9	8	6	9	8	6	traces/yi2.trace
3	(4,2,4)	4	5	2	4	5	2	traces/yi.trace
3	(2,1,4)	2	3	1	2	3	1	traces/dave.trace
3	(2,1,3)	167	71	67	167	71	67	traces/trans.trace
3	(2,2,3)	201	37	29	201	37	29	traces/trans.trace
3	(2,4,3)	212	26	10	212	26	10	traces/trans.trace
3	(5,1,5)	231	7	0	231	7	0	traces/trans.trace
6	(5,1,5)	265189	21777	21745	265189	21777	21745	traces/long.trace

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For each test, it shows the number of points you earned, the cache parameters, the input trace file, and a comparison of the results from your simulator and the reference simulator.

Here are some hints and suggestions for working on Part A:

- To get started, you'll need to create a file called `csim.c` with an empty main routine. If you try running `make` before creating `csim.c`, it will fail with the message:

```
make: *** No rule to make target `csim.c', needed by `csim'. Stop.
```

- The `printSummary` function is implemented in `cachelab.c`. In order to call it from `csim.c`, you will need to include the header file called `cachelab.h`:

```
#include "cachelab.h"
```

- After calling `printSummary`, the main routine in `csim.c` should exit with a status of zero. If you exit with a non-zero return status, the autograders will assume that there was an error.
- Do your initial debugging on the small traces, such as `traces/dave.trace`.

- The reference simulator takes an optional `-v` argument that enables verbose output, displaying the hits, misses, and evictions that occur as a result of each memory access. You are not required to implement this feature in your `csim.c` code, but we strongly recommend that you do so. It will help you debug by allowing you to directly compare the behavior of your simulator with the reference simulator on the reference trace files.
- We recommend that you use the `getopt` function to parse your command line arguments. You'll need the following header files:

```
#include <getopt.h>
#include <stdlib.h>
#include <unistd.h>
```

See “`man 3 getopt`” for details.

- Each data load (L) or store (S) operation can cause at most one cache miss. The data modify operation (M) is treated as a load followed by a store to the same address. Thus, an M operation can result in two cache hits, or a miss and a hit plus a possible eviction.
- Do not forget that the addresses in the trace are 64-bit hexadecimal memory addresses.

## 7.2 Working on Part B

We have provided you with an autograding program, called `test-trans.c`, that tests the correctness and performance of each of the transpose functions that you have registered with the autograder.

You can register up to 100 versions of the transpose function in your `trans.c` file. Each transpose version has the following form:

```
/* Header comment */
char trans_simple_desc[] = "A simple transpose";
void trans_simple(int M, int N, int A[N][M], int B[M][N])
{
    /* your transpose code here */
}
```

Register a particular transpose function with the autograder by making a call of the form:

```
registerTransFunction(trans_simple, trans_simple_desc);
```

in the `registerFunctions` routine in `trans.c`. At runtime, the autograder will evaluate each registered transpose function and print the results. Of course, one of the registered functions must be the `transpose_submit` function that you are submitting for credit:

```
registerTransFunction(transpose_submit, transpose_submit_desc);
```



See the default `trans.c` function for an example of how this works.

The autograder takes the matrix size as input. It uses `valgrind` to generate a trace of each registered transpose function. It then evaluates each trace by running the reference simulator on a cache with parameters ( $s = 5$ ,  $E = 1$ ,  $b = 5$ ).

For example, to test your registered transpose functions on a  $32 \times 32$  matrix, rebuild `test-trans`, and then run it with the appropriate values for  $M$  and  $N$ :

```
linux> make
linux> ./test-trans -M 32 -N 32
Step 1: Evaluating registered transpose funcs for correctness:
func 0 (Transpose submission): correctness: 1
func 1 (Simple row-wise scan transpose): correctness: 1
func 2 (column-wise scan transpose): correctness: 1
func 3 (using a zig-zag access pattern): correctness: 1

Step 2: Generating memory traces for registered transpose funcs.

Step 3: Evaluating performance of registered transpose funcs (s=5, E=1, b=5)
func 0 (Transpose submission): hits:1766, misses:287, evictions:255
func 1 (Simple row-wise scan transpose): hits:870, misses:1183, evictions:1151
func 2 (column-wise scan transpose): hits:870, misses:1183, evictions:1151
func 3 (using a zig-zag access pattern): hits:1076, misses:977, evictions:945

Summary for official submission (func 0): correctness=1 misses=287
```

In this example, we have registered four different transpose functions in `trans.c`. The `test-trans` program tests each of the registered functions, displays the results for each, and extracts the results for the official submission.

Here are some hints and suggestions for working on Part B.

- The `test-trans` program saves the trace for function  $i$  in file `trace.fi`.<sup>2</sup> These trace files are invaluable debugging tools that can help you understand exactly where the hits and misses for each transpose function are coming from. To debug a particular function's performance, simply run its trace through the reference simulator with the verbose option:

```
linux> ./csim-ref -v -s 5 -E 1 -b 5 -t trace.f0
S 68312c,1 miss
L 683140,8 miss
L 683124,4 hit
L 683120,4 hit
L 603124,4 miss eviction
S 6431a0,4 miss
...
```

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<sup>2</sup>Because `valgrind` introduces many stack accesses that have nothing to do with your code, we have filtered out all stack accesses from the trace. This is why we have banned local arrays and placed limits on the number of local variables.

- To debug the correctness of your transpose functions, you may need to invoke them directly using the executable `tracegen`. `test-trans` executes `tracegen` as part of the generating the traces; however, you can also execute this function directly.
- Since your transpose function is being evaluated on a direct-mapped cache, conflict misses are a potential problem. Think about the potential for conflict misses in your code, especially along the diagonal. Try to think of access patterns that will decrease the number of these conflict misses.
- Blocking is a useful technique for reducing cache misses. See

<http://csapp.cs.cmu.edu/public/waside/waside-blocking.pdf>

for more information.

### 7.3 Putting it all Together

We have provided you with a *driver program*, called `./driver.py`, that performs a complete evaluation of your simulator and transpose code. This is the same program that Autolab uses when it autogrades your handins. The driver uses `test-csim` to evaluate your simulator, and it uses `test-trans` to evaluate your submitted transpose function on the three matrix sizes. Then it prints a summary of your results and the points you have earned.

To run the driver, type:

```
linux> python3 driver.py
```

## 8 Handing in Your Work

Each time you type `make` in the handout directory, it creates a tarball that contains your current `csim.c` and `trans.c` files.

To hand in your work for credit, run `make` on a linux machine to create the `studID_handin.tar` file, and then upload this tarball (and only this tarball!) to Autolab, which will autograde your submission and record your scores. You may handin as often as you like until the due date.

**IMPORTANT:** Do not create this file on a Windows or Mac machine, and do not upload files in any other archive format, such as `.zip`, `.gzip`, or `.tgz` files.

## 9 Appendix - Contech - Alternate Trace Generation

NOTE: `Valgrind` will be used for grading. `Contech` is provided for your convenience.

`Contech`<sup>3</sup> is a framework for generating and analyzing traces of parallel programs. An experimental, simplified version of this framework has been included in the lab handout. This simplified version will generate

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<sup>3</sup><http://bprail.github.io/contech/>

memory trace similar to the Valgrind-based approach for your functions in `trans.c`. Contech will generate traces significantly faster than using Valgrind, at roughly 100x speedup. If you would like to try using Contech for trace generation, you can type: `make tracegen-ct`. This command will build and instrument a version of `tracegen` using Contech. You will have to explicitly rebuild this binary after you make any changes to your transpose functions.

`tracegen-ct` has the same commandline arguments as `tracegen`. This binary will output a memory address trace of any / all transpose functions executed. It is therefore recommended that you only execute the binary with 1 function selected. For example, to trace function 3 with a 64x64 matrix, one would enter:

```
./tracegen-ct -M 64 -N 64 -F 3 > trace.f3
```

If you have issues with building / using Contech, you should email the developer directly: Professor Brian Railing, [bpr@cs.cmu.edu](mailto:bpr@cs.cmu.edu).