

## 10 Spectroscopy

Practical telescopes are usually based upon one or other of two quite separate optical principles — interference and differential refraction. In reality, the author has never seen a prism based spectrograph professionally used ... There are also some hybrid designs according to Kitchin's *Astrophysical Techniques*.

### 10.1 Diffraction Gratings

The operating principle of diffraction gratings relies on the effects of diffraction and interference of light waves.

A diffraction grating can be modeled as a finite series of alternating transparent and opaque, long, parallel stripes. Let there be  $N$  transparent and opaque stripes each of width  $a \gg \lambda$ . We can idealize them as infinitely long so their diffraction pattern is one-dimensional.

The idealized  $N$ -slit grating can be considered as an infinite series of  $\delta$ -functions with separation  $2a$  convolved with the transmission function for a single slit,

$$\int_{-\infty}^{\infty} \left[ \sum_{n=-\infty}^{\infty} \delta(y - 2an) \right] t_1(x - y) dy,$$

that is multiplied by the global aperture function of the size of the grating

$$\begin{aligned} H(x) &= 1 & |x| < Na \\ &= 0 & |x| > Na. \end{aligned}$$

In total this gives an aperture function for the entire grating

$$t(x) = \left( \int_{-\infty}^{\infty} \left[ \sum_{n=-\infty}^{\infty} \delta(y - 2an) \right] t_1(x - y) dy \right) H(x)$$

The final pattern is then given by remembering that  $\psi_{\mathcal{P}}$  is given by the Fourier transform of  $t(x)$

$$\psi_{\mathcal{P}}(\theta) \propto \int e^{-ikx\theta} t(x) dx.$$

The convolution theorem says that the Fourier transform of two functions is the product of the functions' Fourier transforms, and conversely. The diffraction pattern of the infinite series of  $\delta$ -functions with spacing  $2a$  is itself an infinite series of  $\delta$ -functions, but with the reciprocal spacing  $2\pi/(2ka) = \lambda/2a$ . This is multiplied by the Fourier transform of the single slit, and then convolved with the Fourier transform of  $H(x)$ ,  $\bar{H}(\theta) \propto \text{sinc}(Nka\theta)$ . The diffracted energy flux is  $|\psi_{\mathcal{P}}|^2$ : what the grating does is channel the incident radiation into a few equally spaced beams with directions  $\theta = \pi m/ka$ , where  $m$  is an integer known as the *order* of the beam. Each of these beams has the shape given by  $|\bar{H}(\theta)|^2$ : a sharp centered peak with a half width (distance from the center of

the peak to the first null of the intensity)  $\lambda/2Na$ , followed by a set of *side lobes* whose intensities are  $\propto N^{-1}$ .

A spectrograph can therefore be built based on the fact that the deviation angle  $\theta = \pi m/ka$  of these beams are proportional to  $k^{-1} = \lambda/2\pi$ . We can find the wavelength resolution of this (idealized) grating by focusing attention the  $m$ 'th order beams at two wavelengths  $\lambda$  and  $\delta\lambda$  located at  $\theta = m\lambda/2a$  and  $m(\lambda + \delta\lambda)/2a$ . We can distinguish the beams from each other when their separation  $\delta\theta = m\delta\lambda/2a$  is at least as large as the angular distance  $\lambda/2Na$  between the maximum of each beam's diffraction pattern and its first minimum:

$$\frac{\lambda}{\delta\lambda} \lesssim \mathcal{R} \equiv Nm$$

$\mathcal{R}$  is called the gratings *chromatic resolving power*.

In Kitchin's *Astrophysical Techniques* the small angle approximation ( $\theta \approx \sin\theta$ ) is not used, and therefore a slightly different expression for the fringe pattern arises

$$I(\theta) \propto \left[ \frac{\sin^2(\pi D \sin\theta/\lambda)}{(\pi D \sin\theta/\lambda)^2} \right] \left[ \frac{\sin^2(N\pi d \sin\theta/\lambda)}{\sin^2(\pi d \sin\theta/\lambda)} \right]$$

where now  $D$  is the size of an aperture and  $d$  is the distance between the apertures. The angular positions of the principal maxima are given by

$$\sin\theta = (m\lambda/d)$$

and the zero intensities are found at

$$\sin\theta = (m'\lambda/Nd)$$

excluding those positions  $m' = mN$  that are the positions of the principle maxima. The angular width of a principal maximum is therefore (since

$$\frac{d\theta}{dm'} = \frac{\lambda}{Nd \cos\theta}$$

and the change in  $m'$  is 2) given by

$$W = \frac{2\lambda}{Nd \cos\theta}.$$

Thus, the width of a fringe is proportional to  $N^{-1}$ , while its peak intensity is proportional to  $N^2$ . The distance from the peak to the first zero  $W'$  is half of this and the spectral resolution is then

$$\begin{aligned} W_\lambda &= W' \frac{d\lambda}{d\theta} = \frac{\lambda}{Nd \cos\theta} \frac{d \cos\theta}{m} \\ &= \frac{\lambda}{Nm} \end{aligned}$$

The spectral resolution improves with the order. The chromatic resolving power is again

$$\mathcal{R} = \frac{\lambda}{W_\lambda} = Nm.$$

Note that it is independent of the width and the spacing of the apertures. Note that at high order the spectra are overlapping. The difference in wavelength between two superimposed wavelengths from adjacent spectral orders is called the free spectral range,  $\Sigma$ . If  $\lambda_1$  and  $\lambda_2$  are two such superimposed wavelengths then

$$\sin^{-1} \left[ \frac{m\lambda_1}{d} \right] = \sin^{-1} \left[ \frac{(m+1)\lambda_2}{d} \right],$$

or for small angles

$$\Sigma = \lambda_1 - \lambda_2 \approx \frac{\lambda_2}{m}.$$

For small  $m$ ,  $\sigma$  is large.

1. The overlapping of multiple orders means that some method — a blocking filter or a detector of limited spectral sensitivity — must be used to eliminate unwanted orders. Suppose we limit the response of a detector to wavelengths shorter than some  $\lambda_{\max}$ . If we attempt to observe the spectrum in order  $m$  with this detector, the spectrum from order  $m+1$  overlaps  $\lambda_{\max}$  so that photons of wavelength

$$\lambda_{m+1} = \frac{m}{(m+1)}\lambda_{\max}$$

are deposited at the same  $\theta$  location. We therefore would insert a filter to block all light with wavelengths shorter than  $\lambda_{\max}$  to eliminate the overlap. What is the free spectral range at  $\lambda_{\max}$ ? Explain why the free spectral for a particular order,  $m$ , and maximum wavelength  $\lambda_{\max}$  is not restricted by overlapping light from order  $m-1$ .

2. Compute the free spectral range of grating orders 50, 100, and 101 if  $\lambda_{\max} = 600$  nm in each case.

Some spectroscopes, such as those based on Fabry-Perot etalons and echelle gratings, operate at very high spectral order and both of the overlapping wavelengths may be desired. Then it is necessary to use a cross disperser so that the final spectrum consists of a two-dimensional array of short sections of the spectrum.

Typical gratings for astronomical use have between 1000 and 50 000 grooves in total. They are used at order ranging from one up to two hundred or so. Thus the spectral resolutions range from  $10^3$  to  $10^5$ . Diffraction gratings can be used in either reflection or transmission modes, most astronomical spectroscopes are based on reflection gratings. Often, the grating is inclined to the incoming beam of light, in which case a constant term,  $d \sin i$ , is added to the path differences,

where  $i$  is the angle made by the incoming beam to the normal of the grating. Thus we find

$$\theta = \sin^{-1} \left[ \left( \frac{m\lambda}{d} \right) - \sin i \right]$$

often called the grating equation.

The basic setup of a spectroscope is that the grating is illuminated by parallel light that is usually obtained by placing a slit at the focus of a collimating lens (but sometimes by allowing light from a very distant object to fall directly on the grating). After reflection from the grating, the light is focused by the imaging lens (camera lens) to form the required spectrum. The collimator and imaging lens may be simple lenses or they may be achromats or mirrors.

3. Make a sketch of such a spectroscope, indicating the focal lengths of the collimator,  $f_1$ , and imaging (camera) lens,  $f_2$ , the position of the entrance slit, the grating and the detector. Also make a sketch of the expected input and output images of the spectrograph, both parallel and perpendicular to the plane of the sketch.
4. Show, or explain, why the width of a monochromatic image of the entrance slit is given by  $S = sf_2/f_1$  where  $s$  is the width of the entrance slit.

If  $x$  is the linear distance along the spectrum from some reference point, then we have for an achromatic imaging element of focal length  $f_2$

$$\frac{dx}{d\lambda} = f_2 \frac{d\theta}{d\lambda}$$

where  $\theta$  is small. Thus, the linear dispersion within each spectrum is given by

$$\frac{dx}{d\lambda} = \pm \frac{mf_2}{d \cos \theta},$$

or, since  $\theta$  varies little over an individual spectrum

$$\frac{dx}{d\lambda} \approx \text{constant}.$$

More commonly, the reciprocal linear dispersion,  $d\lambda/dx$ , is used. It usually has values in the range  $10^{-7}$  to  $10^{-5}$ .

The resolving power of a spectroscope is limited by the spectral resolution of the grating, the resolving power of the optics, and by the projected slit width. The spectrum is formed from an infinite number of monochromatic images of the entrance slit. The width of these images,  $S$ , is given by

$$S = s \frac{f_2}{f_1}$$

where  $s$  is the slit width,  $f_1$  is the collimator's focal length and  $f_2$  is the imaging element's focal length. The entrance slit must have a physical width of  $s_{\max}$  or less, if it is not to degrade the spectral resolution, where

$$s_{\max} = \frac{\lambda f_1}{Nd \cos \theta}.$$

When the grating is fully illuminated, the imaging element will intercept a rectangular beam of light. The width of the beam,  $D$ , is given by  $D = L \cos \theta$  where  $L$  is the length of the grating and  $\theta$  is the angle of the exit beam to the normal of the plane of the grating. The diffraction limit is just that of a rectangular slit of width  $D$ : the Rayleigh limit  $W''$  is then given by

$$W'' = \frac{f_2 \lambda}{D} = \frac{f_2 \lambda}{L \cos \theta}.$$

Optimum resolution occurs when  $S = W''$ , *i.e.* when

$$s = \frac{f_1 \lambda}{D} = \frac{f_1 \lambda}{L \cos \theta}.$$

*Blazing.* It is common to design a grating so that light is concentrated into a smaller number of orders. In this technique, called blazing, the individual mirrors that comprise the grating are angled so that they concentrate into a narrow solid angle. For instruments based on gratings at low orders, the angle of the mirrors is arranged so that the light is concentrated into the spectrum to be used, and by this means 90% efficiency can be achieved.

*Shadowing.* If the incident and/or reflected light makes a large angle to the normal of the grating, then the step-like nature of the surface will cause a significant fraction of the light to be intercepted by the vertical portions of the grooves, and so lost to the final spectrum.

*Rowland circle.* Curved reflection gratings are often used. By making the curve that of an optical surface, the grating itself can be made to fulfill the function of the collimator and/or the imaging element of the spectroscopy, thus reducing light losses. The simplest optical principle employing a curved is due to Rowland. The slit, grating and spectrum all lie on a single circle called the Rowland circle. This has a diameter equal to the radius of the curvature of the grating.

*Echelle gratings* By increasing the angle of a blazed grating, we obtain an echelle grating. This is illuminated more or less normally to the groove surfaces and therefore at a very large angle to the normal to the grating. It is usually a very coarse grating — ten lines per millimeter or so — so that the separation of the apertures  $d$  is very large. The reciprocal linear dispersion

$$\frac{d\lambda}{dx} = \pm \frac{d \cos \theta}{m f_2}$$

is therefore also very large. Such gratings concentrate the light into many overlapping high-order spectra, and the resolution is very high. An echelle grating requires second low dispersion grating or prism whose dispersion is perpendicular to that of the echelle and is called a cross disperser in order to separate the orders. Alternately, one can use filters to remove unwanted orders.

*Littrow spectroscopes* A type of arrangement often used for long focus spectroscopes in laboratory and solar work, is called the Littrow or auto-collimating spectroscopy. A single lens, or occasionally a mirror, acts as both the collimator and imaging element.

### 10.1.1 Ghosts and other anomalies

A grating spectrum generally suffers from unwanted additional features superimposed upon the desired spectrum. Such features are usually much fainter than the main spectrum and are called ghosts. They arise from a variety of causes. They may be due to overlapping spectra from higher or lower orders, or to the secondary maxima associated with each principal maximum. The first of these can be eliminated by the use of filters since the overlapping ghosts are of different wavelengths. The second source is usually unimportant since the secondary maxima are very weak when more than a few tens of apertures are used, though they will contribute to the wings of the PSF. Of more general importance are the ghosts that arise through errors in the grating. Such errors most commonly take the form of periodic variations in the groove spacing. A variation with a single period gives rise to *Rowland ghosts* that appear as faint lines close to and on either side strong spectral lines. Their intensity is proportional to the square of the order of the spectrum. If the error is multi-periodic, then *Lyman ghosts* of strong lines may appear. These are similar to Rowland ghosts, except that they can be formed at large distances from the line that is producing them.

Woods anomalies also sometimes occur, these are due to light that should go into spectral orders behind the grating reappearing with lower order spectra. They are rarely important in efficiently blazed gratings.

## 10.2 Prisms

Pure prism-based spectroscopes are rarely encountered today. However, they are used in conjunction with gratings in some modern instruments. Prisms are often used as cross-dispersers for high spectral order telescopes based upon echelle gratings or etalons, and may also be used non-spectroscopically for folding light beams.

When monochromatic light passes through an interface between two transparent isotropic media at a fixed temperature, then we can apply Snell's law relating the angle of incidence,  $i$ , to the angle of refraction  $r$  at that interface

$$\mu_1 \sin i = \mu_2 \sin r$$

where  $\mu_1$  and  $\mu_2$  are constants that are characteristic of the two media. When  $\mu_1 = 1$ , i.e. in a vacuum (but close enough in most gases including air), we have

$$\frac{\sin i}{\sin r} = \mu_2$$

and  $\mu_2$  is known as the refracting index of the second medium. Thus with a proper second medium formed as a prism we can separate light into a spectrum. This is explained in great detail in Kitchin's *Astrophysical Techniques*.

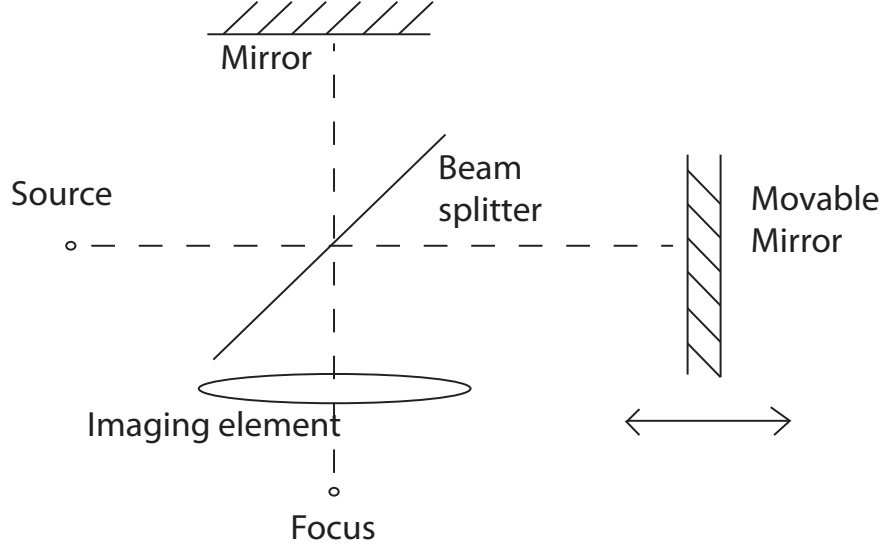


Figure 1: Optical pathway of a Michelson interferometer

## 10.3 Interferometers

### 10.3.1 Michelson interferometer

The Michelson interferometer is similar to the device used by Michelson and Morley to try to detect the Earth's motion through the aether. The light from a source is split into two beams by the beam splitter, and then recombined as shown in figure 1. For a particular position of the movable mirror and with a monochromatic source, there will be a path difference  $\Delta P$  between the two beams at focus. The flux at focus is then

$$F_{\Delta P} = F_m [1 + \cos(k\Delta P)]$$

where  $F_m$  is the maximum flux. When the mirror is moved the path difference will change and the final flux will pass through a series of maxima and minima. The change of the interference of the beam with itself is giving information on the wavelength of the beam  $\lambda = 2\pi/k$ . This will also be true for chromatic sources.

Consider a Michelson interferometer in which the path difference is  $\Delta P$  observing a source whose flux at wavelength  $\lambda$  is  $F_\lambda$ . The total flux for a given path difference is then

$$\begin{aligned} F_{\Delta P} &= \int_0^\infty F_{\Delta P}(\lambda) d\lambda \\ &\propto \int_0^\infty F(\lambda) d\lambda + \int_0^\infty F(\lambda) \cos\left(\frac{2\pi\Delta P}{\lambda}\right) d\lambda \end{aligned}$$

The first term on the right hand side is independent of the path length and is just proportional to the average flux of the image. We will therefore disregard it and only consider the deviations from the average level. Thus

$$\begin{aligned} F(\Delta P) &\propto \int_0^\infty F(\lambda) \cos\left(\frac{2\pi\Delta P}{\lambda}\right) d\lambda \\ &\propto \int_0^\infty F(\nu) \cos\left(\frac{2\pi\Delta P\nu}{c}\right) d\nu, \end{aligned}$$

the latter in terms of frequency. Notice that this is very similar to the real part of the Fourier transform

$$\begin{aligned} \mathcal{F}[f(t)] &= F(u) = \int_{-\infty}^\infty f(t) e^{-i2\pi ut} dt \\ &= \int_{-\infty}^\infty f(t) \cos(2\pi ut) dt - i \int_{-\infty}^\infty f(t) \sin(2\pi ut) dt \end{aligned}$$

so when we define  $F(-\nu) = F(\nu)$  we can write the observed flux as the Fourier transform of the desired spectral signal  $F(\nu)$

$$\begin{aligned} F(\Delta P) &\propto \frac{1}{2} \int_{-\infty}^\infty F(\nu) \cos\left(\frac{2\pi\Delta P\nu}{c}\right) d\nu \\ &\propto \operatorname{Re} \left\{ \int_{-\infty}^\infty F(\nu) \exp \left[ -i \left( \frac{2\pi\Delta P}{c} \right) \nu \right] d\nu \right\} \end{aligned}$$

In other words, to recover  $F(\nu)$ , we need only to take the real part of the inverse transform

$$\operatorname{Re} \{ \mathcal{F}^{-1}[F(\Delta P)] \} = F(\nu)$$

or more specifically

$$F(\nu) \propto \int_{-\infty}^\infty F\left(\frac{2\pi\Delta P}{c}\right) \cos\left(\frac{2\pi\Delta P}{c}\right) d\Delta P$$

If we define  $F(-2\pi\Delta P/c) = F(2\pi\Delta P/c)$ , we can do the integral from 0 to  $\infty$  and thus recover the spectrum.

In practice it is not possible to scan over path differences from 0 to  $\infty$  and, in addition, measurements are made at discrete locations rather than continuously. These limitations are reflected in a reduction in the resolving power of the instrument. To obtain an expression for the resolving power, consider the Michelson interferometer as equivalent Young's slits (see lecture 9) since its image is the result of two interfering beams of light. Since the order is given by  $m = \Delta P/\lambda$  and  $N = 2$  we find

$$W_\lambda = \frac{\lambda}{Nm} = \frac{\lambda^2}{2\Delta P}.$$



When the movable mirror moves a distance  $x$ ,  $\Delta P$  ranges from 0 to  $2x$ , and we must take the average value of  $\Delta P$ . Thus the spectral resolution is

$$W_\lambda = \frac{\lambda^2}{2x}$$

and the chromatic resolving power is

$$\mathcal{R} = \frac{\lambda}{W_\lambda} = \frac{2x}{\lambda}$$

Since  $x$  can be as much as 2 m, we obtain resolutions of up to  $4 \times 10^6$  for the visible region.

The sampling intervals must be sufficiently frequent to preserve the resolution, but not more frequent. If the final spectrum extends from  $\lambda_1$  to  $\lambda_2$  then the number of useful intervals is given by

$$n = \frac{\lambda_1 - \lambda_2}{W_\lambda}$$

so that if  $\lambda_1$  and  $\lambda_2$  are not too different we have

$$n \approx \frac{8x(\lambda_1 - \lambda_2)}{(\lambda_1 + \lambda_2)^2}$$

However, since the inverse Fourier transform gives both  $F(\nu)$  and  $F(-\nu)$ , the total number of disparate intervals in the final transform is  $2n$ . Thus, the interval between successive positions of the movable mirror,  $\Delta x$ , where the flux is measured is

$$\Delta x = \frac{(\lambda_1 + \lambda_2)^2}{16(\lambda_1 - \lambda_2)}.$$

5. What is the step size needed in case one looks for the spectrum in the range 500 – 550 nm? or in the range 2000 – 2050 nm? Assume that the movable mirror moves 2 m. What are the resolving powers  $\mathcal{R}$  for these examples?

### 10.3.2 Fabry-Pérot interferometer

A Fabry-Pérot interferometry is based on trapping monochromatic light between two highly reflecting surfaces. Let us consider the situation sketched in figure 2 where we have drawn two reflecting surfaces that are parallel and a distance  $d$  apart. In between these plates there is a transparent medium with an index of refraction  $n$ , while outside the plates it is  $n'$ . Such a device is called an *etalon*. One example is a glass slab in air, another is a vacuum maintained between two glass mirrors. Suppose a plane wave with circular frequency  $\omega$  is incident on one of the reflecting surfaces, where it is partially reflected and partially transmitted. The transmitted wave will propagate through to the second surface where it will be partially reflected and partially transmitted. The reflected portion will return

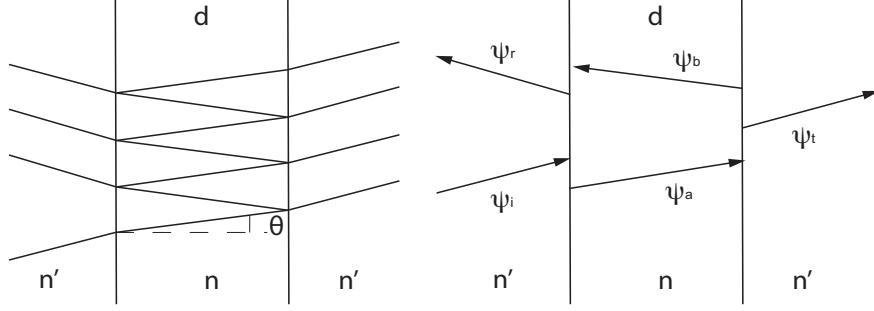


Figure 2: Schematic form of a Fabry-Pérot interferometer. The light enters from the left and exits to the right (and left).

to the first surface to be split, and so on. The resulting total fields in the slab and beyond could be computed by summing the series of sequential reflections and transmission. Alternately one can proceed as below:

The series if summed will lead to five waves shown in the right panel of figure 2: an incident wave ( $\psi_i$ ), a reflected wave ( $\psi_r$ ), a transmitted wave ( $\psi_t$ ), and two internal waves ( $\psi_a, \psi_b$ ) with fields measured at the first surface.

Introduce further reflection and transmission coefficients  $r$  and  $t$  for waves incident on the slab from outside. Likewise, introduce  $r'$  and  $t'$  for waves incident on the slab from inside. These coefficients are functions of the angles of incidence and the polarization. They can be computed using electromagnetic theory, but we need not do so here.

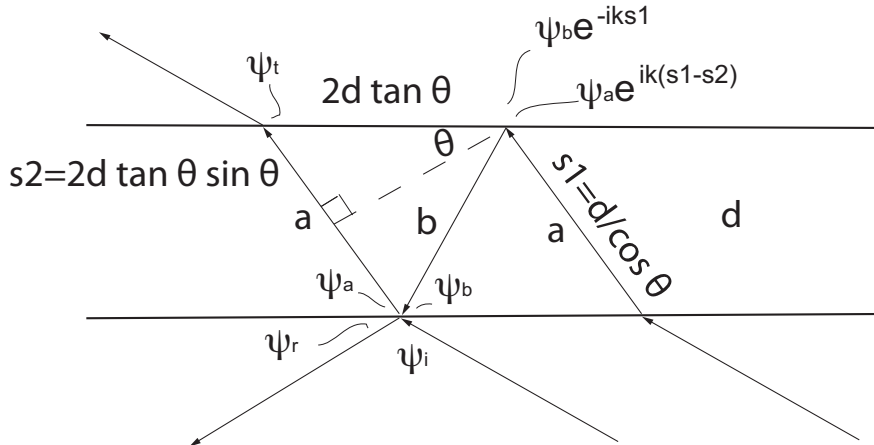


Figure 3: Construction for calculating the phase differences across each slab for the two internal waves in an etalon.

At the first surface we can write

$$\begin{aligned}\psi_r &= r\psi_i + t'\psi_b \\ \psi_a &= t\psi_i + r'\psi_b\end{aligned}\tag{1}$$

Geometry shows the the waves on the second surface are as in figure 3, and correspondingly the relationships between the ingoing and outgoing waves are

$$\begin{aligned}\psi_b e^{-iks_1} &= r'\psi_a e^{ik(s_1-s_2)} \\ \psi_t &= t'\psi_a e^{iks_1}\end{aligned}\tag{2}$$

where  $k = n\omega/c$  is the wave number in the slab and

$$s_1 = d/\cos\theta, \quad s_2 = 2d\tan\theta\sin\theta$$

with  $d$  the thickness of the slab and  $\theta$  the angle that the wave fronts inside the slab make to the slab's faces.

In solving for  $\psi_t$  and  $\psi_r$  as functions of  $\psi_i$  we will need relations between the reflection and transmission coefficients. Consider the limit in which the slab thickness  $d \rightarrow 0$ . In this limit  $s_1 = s_2 = 0$  and the slab must become transparent so

$$\psi_r = 0, \quad \psi_t = \psi_i.$$

From the equations above we can then arrive at

$$r' = -r, \quad tt' - rr' = 1.\tag{3}$$

Since there is no mechanism to produce a phase shift as the waves propagate across a perfectly sharp boundary, we can also expect that  $r$ ,  $r'$ ,  $t$ , and  $t'$  are real.

Returning to the case of  $d \neq 0$ , we find that by solving the equations 1 and 2, as well as the reciprocity relations 3 we can derive

$$\psi_r = \frac{r(1 - e^{i\phi})}{1 - r^2 e^{i\phi}} \psi_i, \quad \psi_t = \frac{(1 - r^2)e^{i\phi}}{1 - r^2 e^{i\phi}} \psi_i$$

where

$$\phi = 2n\omega d \cos\theta/c$$

6. Derive the relations for  $\psi_r$  and  $\psi_t$  as functions of  $r$ , the incident field  $\psi_i$  and the 'angle'  $\phi = 2n\omega d \cos\theta/c$ .

It is very interesting to find the total reflection and transmission coefficients for the flux:

$$\begin{aligned}R &= \frac{|\psi_r|^2}{|\psi_i|^2} = \frac{2r^2(1 - \cos\phi)}{1 - 2r^2 \cos\phi + r^4} \\ T &= \frac{|\psi_t|^2}{|\psi_i|^2} = \frac{(1 - r^2)^2}{1 - 2r^2 \cos\phi + r^4}\end{aligned}\tag{4}$$

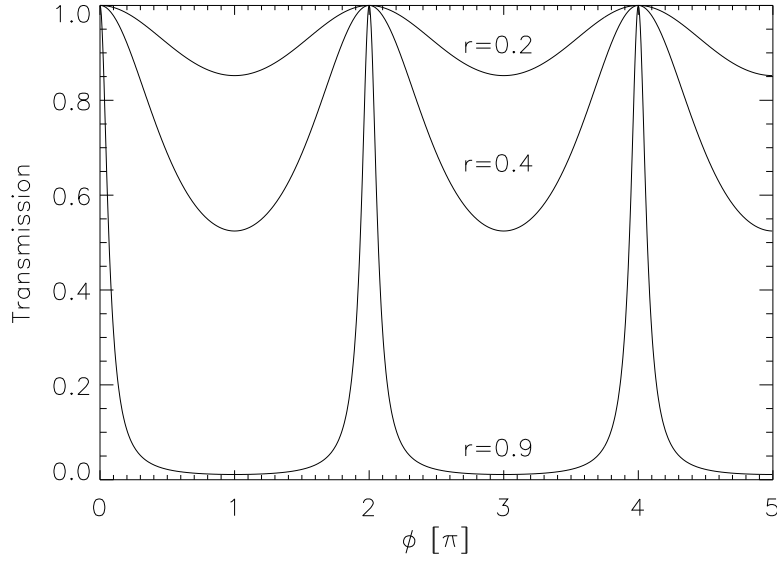


Figure 4: Transmission coefficient for an etalon as a function of the phase  $\phi$  for reflectivities of  $r = 0.2$ ,  $r = 0.4$ , and  $r = 0.9$ .

From these expressions it is clear that

$$R + T = 1$$

which says that the energy flux reflected from the slab plus that transmitted is equal to that impinging on the slab. It is actually the reciprocity relations that have enforced this energy conservation.

Let us now introduce the finesse

$$\mathcal{F} \equiv \pi r / (1 - r^2),$$

in terms of which

$$T = \frac{1}{1 + (2\mathcal{F}/\pi)^2 \sin^2 \frac{1}{2}\phi}$$

Suppose that the etalon is highly reflecting, so  $r \simeq 1$ . Then  $\mathcal{F}$  is very large and the transmissivity  $T$  exhibits resonances. Unless  $\sin \frac{1}{2}\phi$  is small, almost all the incident light is reflected by the etalon. The exception is when  $\sin \frac{1}{2}\phi$  is small, then the total transmission can be large, even unity in the limit  $\sin \frac{1}{2}\phi \rightarrow 0$ . Notice that for large finesse, the half width of the resonance (the value of  $\delta\phi \equiv \phi - \phi_{\text{resonance}}$  where  $T$  falls to  $1/2$ ) is  $\delta\phi_{1/2} = \pi/\mathcal{F}$ . The separation between the resonances, the free spectral range, is  $\delta\phi = \pi$ , so the finesse is the ratio of the free spectral range to the resonance half width.

7. Compute the reflection  $R$  and transmission  $T$  **flux** coefficients.
8. Find the expression for the transmission coefficient in terms of the finesse. Using IDL plot  $T$  as a function of  $\phi$  with  $r = 0.2, 0.4, 0.9$ .

The etalon can be tuned to a particular frequency by varying either the slab width  $d$  or the angle of incidence of the radiation (and thus  $\theta$  inside the etalon). Either way very good chromatic resolving power can be achieved. One can say that waves with nearly the same frequencies are resolved by an etalon when the half power point of the transmission coefficient of one wave coincides with the half power point of the transmission coefficient of the other. *I.e.* using equation 4 the phases for the two frequencies must differ by  $d\phi \sim 2\pi/\mathcal{F}$ ; and since  $\phi = 2n\omega d \cos\theta/c$ , the chromatic resolving power is

$$\mathcal{R} = \frac{\lambda}{\delta\lambda} = \frac{2\pi nd}{\lambda_{\text{vac}}\delta\phi} = \frac{2nd\mathcal{F}}{\lambda_{\text{vac}}}$$

where  $\lambda_{\text{vac}}$  is the wavelength in vacuum — *i.e.* outside the etalon. The finesse  $\mathcal{F}$  can be regarded as a quality factor for the resonator. It is roughly the number of times a typical photon traverses the etalon before escaping.