# File Systems

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### Introduction

- Human end user's view of file sytem on a modern desktop operating system
  - Files, directories(folders), hierarchy acyclic graph like structure
  - Windows Vs Linux logical organization: multiple partitions (C:, D:,etc.), vs single logical namespace starting at "/"

### Introduction

- Secondary and Tertiary memory
  - Hard disks, Pen drives, CD-ROMs, DVDs, Magnetic Tapes, Portable disks, etc.
     Used for storing files
  - Each comes with a hardware "controller" that acts as an intermediary in the hardware/software boundary
  - IDE, SATA, SCSI, SAS, etc. Protocols : Different types of cables, speeds, signaling mechanisms
  - Controllers provide a block/sector based read/write access
    - Block size is most typically 512 bytes
    - Can't read byte no. 33 directly. Must read sector 0 (byte 0 to 511) in memory and then
      access the byte no 33 in memory.

### Introduction

- OS and File system
  - OS bridges the gap between end user and stoage hardware controller
  - Provides data structure to map the logical view of end users onto disk storage
  - Essentially an implementation of the acyclic graph on the sequential sector-based disk storage
    - Both in memory and on-disk
  - Provides system calls (open, read, write, ..., etc. ) to enable access to files, folders

# What we are going to learn

- The operating system interface (system calls, commands/utilities) for accessing files in a file-sysetm
- Design aspects of OS to implement the file system

### What is a file?

- A sequence of bytes , with
  - A name
  - Permissions
  - Owner
  - Timestamps,
  - Etc.
- Types: Text files, binary files
  - Text: All bytes are human readable
  - Binary: Non-text
- Types: ODT, MP4, TXT, DOCX, etc.
  - Most typically describing the organization of the data inside the file
  - Each type serving the needs of a particular application

file type	usual extension	function	
executable	exe, com, bin or none	ready-to-run machine- language program	
object	obj, o	compiled, machine language, not linked	
source code	c, cc, java, pas, asm, a	source code in various languages	
batch	bat, sh	commands to the command interpreter	
text	txt, doc	textual data, documents	
word processor	wp, tex, rtf, doc	various word-processor formats	
library	lib, a, so, dll	libraries of routines for programmers	
print or view	ps, pdf, jpg	ASCII or binary file in a format for printing or viewing	
archive	arc, zip, tar	related files grouped into one file, sometimes com- pressed, for archiving or storage	
multimedia	mpeg, mov, rm, mp3, avi	binary file containing audio or A/V information	

### What is a file?

- The sequence of bytes can be interpreted to be
  - Just a sequence of bytes
    - E.g. a text file
  - Sequence of records/structures
    - E.g. a file of student records
  - A complexly organized, collection of records and bytes
    - E.g. a "ODT" or "DOCX" file
- What's the role of OS in above mentioned file type, and organization?
  - Mostly NO role on Unixes, Linuxes!
  - They are handled by applications!
  - Types handled by OS: normal file, directory, block device file, character device file, FIFO file (named pipe), etc.
  - Also types handled by OS: executable file, non-executable file

### File attributes

Run

```
$ ls -l
on Linux
To see file listing with different attributes
```

- Different OSes and file-systems provide different sets of file attributes
  - Some attributes are common to most, while some are different
  - E.g. name, size, owner can be found on most systems
  - "Executable" permission may not be found on all systems

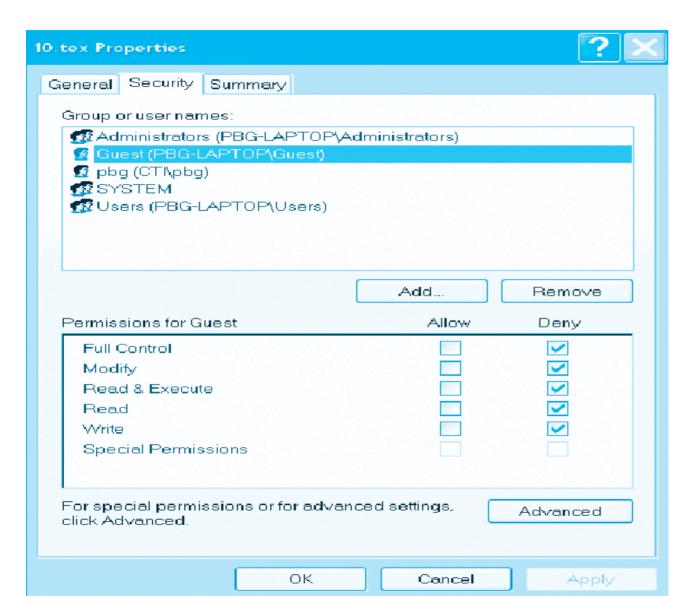
# File protection attributes

- File owner/creator should be able to control:
  - what can be done
  - by whom
- Types of access
  - Read
  - Write
  - Execute
  - Append
  - Delete
  - List
- Linux: See commands: "chown", "chgrp" and "chmod"

- Linux file permissions
  - For owner, group and others
  - Read, write and execute
  - Total 9 permissions

# A Sample UNIX Directory Listing

-rw-rw-r	1 pbg	staff	31200	Sep 3 08:30	intro.ps
drwx	5 pbg	staff	512	Jul 8 09.33	private/
drwxrwxr-x	2 pbg	staff	512	Jul 8 09:35	doc/
drwxrwx	2 pbg	student	512	Aug 3 14:13	student-proj/
-rw-rr	1 pbg	staff	9423	Feb 24 2003	program.c
-rwxr-xr-x	1 pbg	staff	20471	Feb 24 2003	program
drwxxx	4 pbg	faculty	512	Jul 31 10:31	lib/
drwx	3 pbg	staff	1024	Aug 29 06:52	mail/
drwxrwxrwx	3 pbg	staff	512	Jul 8 09:35	test/



### Windows XP Access List

#### **Access methods**

- OS system calls may provide two types of access to files
  - Sequential Access
    - read next
    - write next
    - reset
    - no read after last write (rewrite)
  - Linux provides sequential access using open(), read(), write(), ...

- Direct Access
  - read n
  - write n
  - position to n read next write next
  - rewrite n
  - n = relative block number
- pread(), pwrite() on Linux

### **Device Drivers**

- Hardware manufacturers provide "hardware controllers" for their devices
- Hardware controllers can operate the hardware, as instructed
- Hardware controllers are instructed by writing to particular I/O ports using CPU's machine instructions
  - This bridges the hardware-software gap
- OS programmers, typically, write one "device driver" code that interacts with one hardware controller
  - This is pure C code
  - Which calls I/O instructions for hardware controller
  - This code is independent of most of the OS code
  - Often this code is like an add-on Module, eg. Linux kernel

### Disk device driver

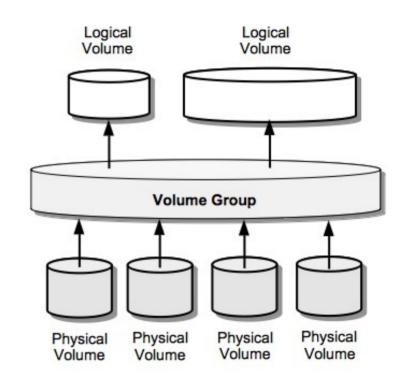
- OS views the disk as a logical sequence of blocks
  - OS's assumed block size may be > sector size
- OS Talks to disk controller
- Helps the OS Convert it's view of "logical block" of the disk, into physical sector numbers
- Acts as a translator between rest of OS and hardware controller
- xv6: ide.c

### OS's job now

- To implement the logical view of file system as seen by end user
- Using the logical block-based view offerred by the device driver

### **Volume Managers**

- Special type of kernel device drives, which reside on top of disk device drivers
- Provide a more abstract view of the underlying hardware
- E.g. Can combine two physical hard disks, and present them as one
- Allow end users to
  - "combine one more more physical disks to create physical volumes"
  - "create volume groups out of a set of physical volumes",
  - "create logical volums within volume groups"

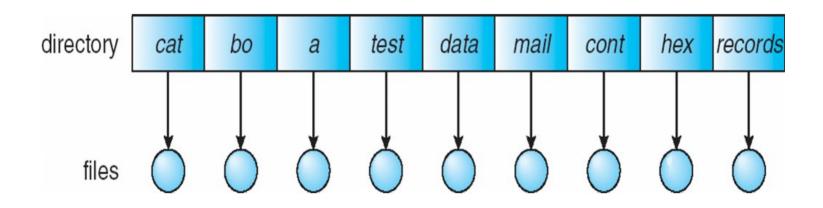


Logical Volume
Management Components

### **Formatting**

- Physical hard disk divided into partitions
  - Partitions also known as minidisks, slices
- A raw disk partition is accessible using device driver but no block contains any data!
  - Like an un-initialized array, or sectors/blocks
- Formatting
  - Creating an initialized data structure on the partition, so that it can start storing the acyclic graph tree structure on it
  - Different formats depending on different implementations of the directory tree structure: ext4, NTFS, vfat, VxFS, ReiserFS, WafleFS, etc.
- Formatting happens on "a physical partition" or "a logical volume made available by volume manager"

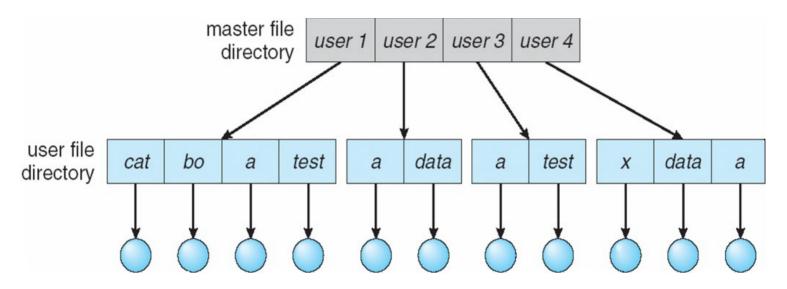
# Different types of "layouts" Single level directory



Naming problem

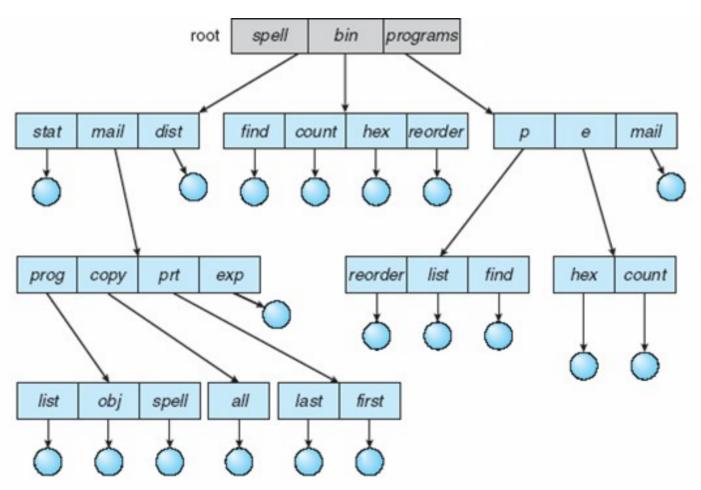
Grouping problem

# Different types of "layouts" Two level directory

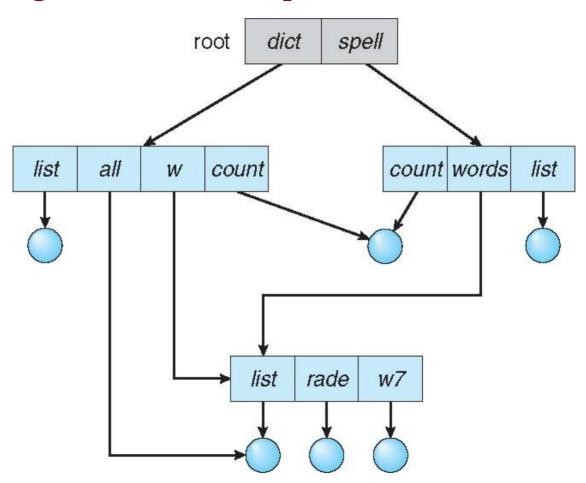


Path name
Can have the same file name for different user
Efficient searching
No grouping capability

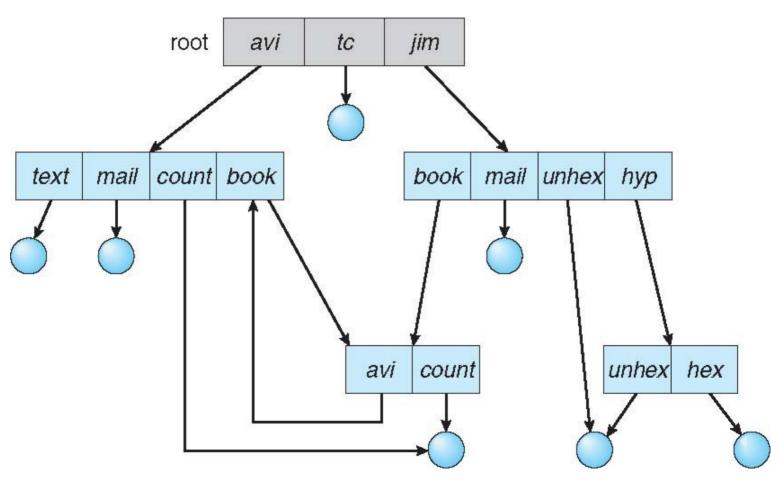
### **Tree Structured directories**



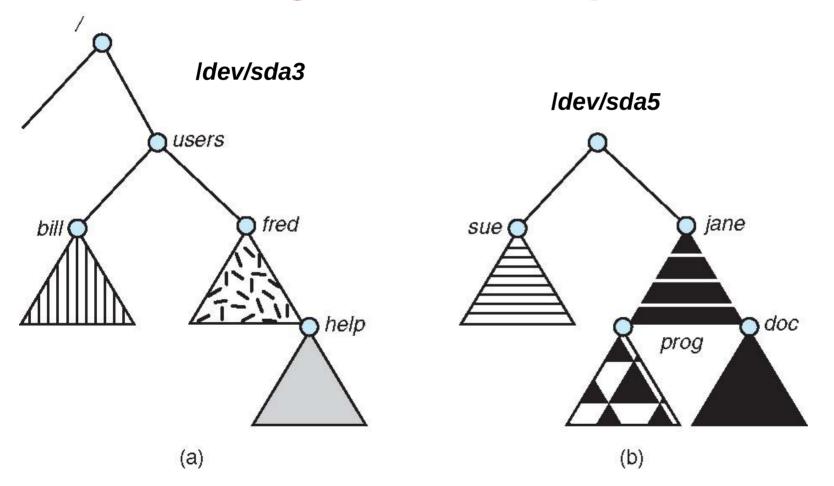
# **Acyclic Graph Directories**



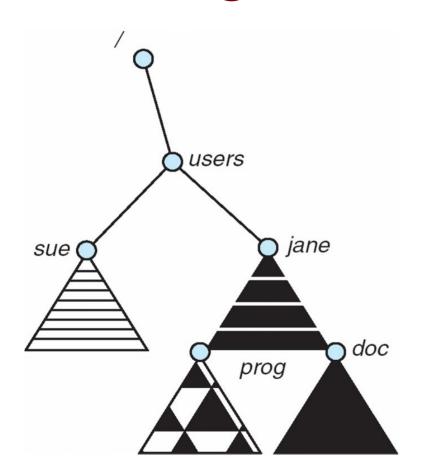
# **General Graph directory**



# Mounting of a file system: before



### Mounting of a file system: after



\$sudo mount /dev/sda5 /users

### Remote mounting: NFS

- Network file system
- \$ sudo mount 10.2.1.2:/x/y /a/b
  - The lx/y partition on 10.2.1.2 will be made available under the folde la/b on this computer

# File sharing semantics

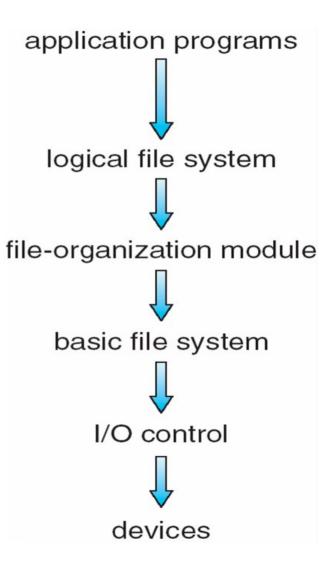
- Consistency semantics specify how multiple users are to access a shared file simultaneously
- Unix file system (UFS) implements:
  - Writes to an open file visible immediately to other users of the same open file
  - One mode of sharing file pointer to allow multiple users to read and write concurrently
- AFS has session semantics
  - Writes only visible to sessions starting after the file is closed

### Implementing file systems

# File system on disk

- Disk I/O in terms of sectors (512 bytes)
- File system: implementation of acyclic graph using the linear sequence of sectors
- Device driver: available to rest of the OS code to access disk using a block number

# File system implementation: layering



# File system: Layering

- Device drivers manage I/O devices at the I/O control layer
  - Given commands like "read drive1, cylinder 72, track 2, sector 10, into memory location 1060" outputs low-level hardware specific commands to hardware controller
- Basic file system given command like "retrieve block 123" translates to device driver
  - Also manages memory buffers and caches (allocation, freeing, replacement)
  - Buffers hold data in transit
  - Caches hold frequently used data

- File organization module understands files, logical address, and physical blocks
  - Translates logical block # to physical block #
  - Manages free space, disk allocation
- Logical file system manages metadata information
  - Translates file name into file number, file handle, location by maintaining file control blocks (inodes in Unix)
  - Directory management
  - Protection

```
Application programs
int main() {
                                                     Basic File system:
    char buf[128]; int count;
                                                     basic read(int blockno, char *buf, ...) {
    fd = open(...):
                                                          os buffer *bp;
    read(fd, buf, count);
                                                          sectorno = calculation on blockno:
                                                          disk driver read(sectono, bp);
                                                          move-process-to-wait-queue;
OS
                                                          copy-data-to-user-buffer(bp, buf);
Logical file system:
sys_read(int fd, char *buf, int count) {
    file *fp = currproc->fdarray[fd];
    file read(fp, ...);
                                                     IO Control, Device driver:
                                                     disk driver read(sectorno) {
                                                          issue instructions to disk controller
File organization module:
                                                     (often assembly code)
file read(file *fp, char *buf, int count) {
                                                          to read sectorno into specific
    offset = fp->current-offset;
                                                     location;
    translate offset into blockno;
    basic read(blockno, buf, count);
                                                     XV6 does it slightely differently, but
                                                     following the layering principle!
```

### Layering advantages

- Layering useful for reducing complexity and redundancy, but adds overhead and can decrease performance
  - Logical layers can be implemented by any coding method according to OS designer
- Many file systems, sometimes many within an operating system
  - Each with its own format (CD-ROM is ISO 9660; Unix has UFS, FFS; Windows has FAT, FAT32, NTFS as well as floppy, CD, DVD Blu-ray, Linux has more than 40 types, with extended file system ext2 and ext3 leading; plus distributed file systems, etc)
  - New ones still arriving ZFS, GoogleFS, Oracle ASM, FUSE
  - The Virtual File System (to be discussed later) helps us combine multiple physically different file systems into one logical layer at the top

# File system implementation: Different problems to be solved

- What to do at boot time ?
- How to store directories and files on the partition ?
  - Complex problem. Hiearchy + storage allocation + efficiency + limits on file/directory sizes + links (hard, soft)
- How to manage list of free sectors/blocks?
- How to store the summary information about the complete file system
- How to mount a file system, how to unmount

# File system implementation

- We have system calls at the API level, but how do we implement their functions?
  - On-disk and in-memory structures. Let's see some of the important ones.
  - Boot control block contains info needed by system to boot OS from that volume
    - Not always needed. Needed if volume contains OS, usually first block of volume
  - Volume control block (superblock, master file table) contains volume details
    - Total # of blocks, # of free blocks, block size, free block pointers or array
  - Per-file File Control Block (FCB) contains many details about the file
    - Inode(FCB) number, permissions, size, dates
  - Directory structure organizes the files
    - Names and inode numbers, master file table

# A typical file control block (inode)

file permissions

file dates (create, access, write)

file owner, group, ACL

file size

file data blocks or pointers to file data blocks

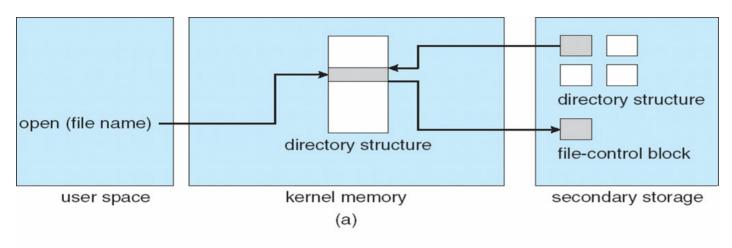
Why does it NOT contain the

Name of the file?

#### In memory data structures

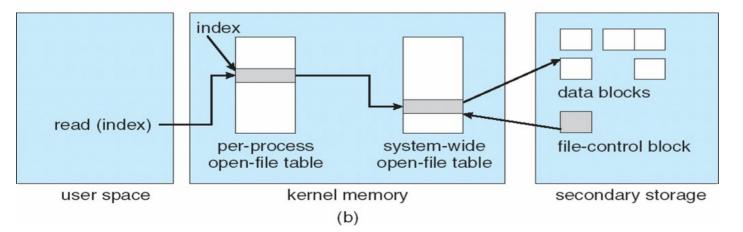
- Mount table
  - storing file system mounts, mount points, file system types
- See next slide for "file" realated data structures
- Buffers
  - hold data blocks from secondary storage

#### In memory data structures: for open,read,write, ...



Open returns a file handle for subsequent use

Data from read eventually copied to specified user process memory address



#### At boot time

- Root partition
  - Contains the file system hosting OS
  - "mounted" at boot time contains "/"
    - Normally can't be unmounted!
- Check all other partitions
  - Specified in /etc/fstab on Linux
  - Check if the data structure on them is consistent
    - Consistent != perfect/accurate/complete

## **Directory Implementation**

#### Problem

- Directory contains files and/or subdirectories
- Operations required create files/directories, access files/directories, search for a file (during lookup), etc.
- Directory needs to give location of each file on disk

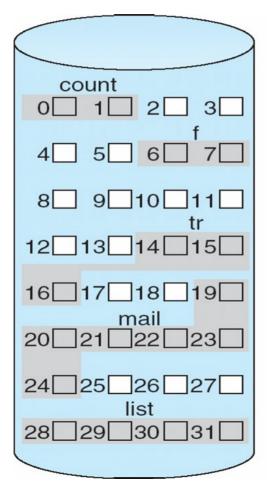
## **Directory Implementation**

- Linear list of file names with pointer to the data blocks
  - Simple to program
  - Time-consuming to execute
    - Linear search time
    - Could keep ordered alphabetically via linked list or use B+ tree
  - Ext2 improves upon this approach.
- Hash Table linear list with hash data structure
  - Decreases directory search time
  - Collisions situations where two file names hash to the same location
  - Only good if entries are fixed size, or use chained-overflow method

## Disk space allocation for files

- File contain data and need disk blocks/sectors for storing it
- File system layer does the allocation of blocks on disk to files
- Files need to
  - Be created, expanded, deleted, shrunk, etc.
  - How to accommodate these requirements?

#### **Contiguous Allocation of Disk Space**



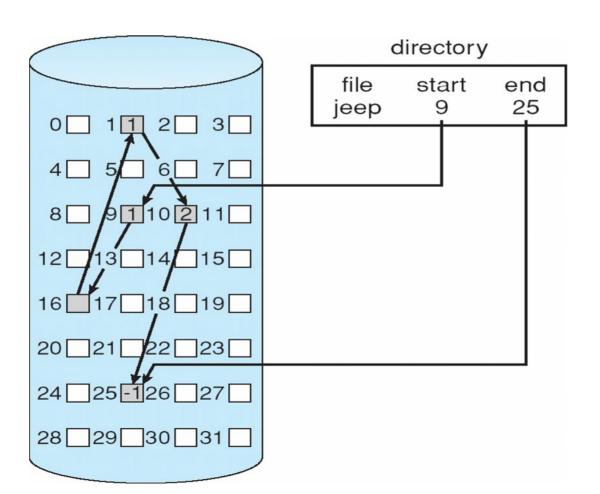
#### directory

file	start	length
count	O	2
tr	14	3
mail	19	6
list	28	4
f	6	2

## **Contiguous allocation**

- Each file occupies set of contiguous blocks
- Best performance in most cases
- Simple only starting location (block #) and length (number of blocks) are required
- Problems include finding space for file, knowing file size, external fragmentation, need for compaction off-line (downtime) or on-line

#### Linked allocation of blocks to a file

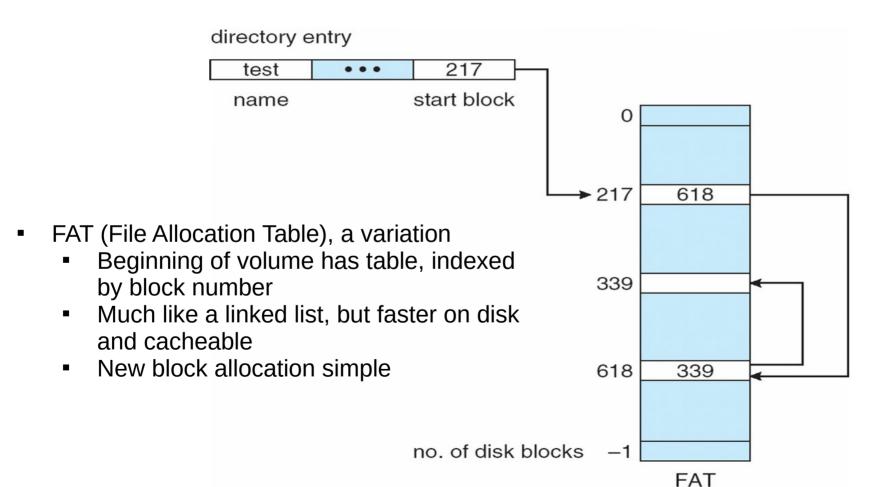


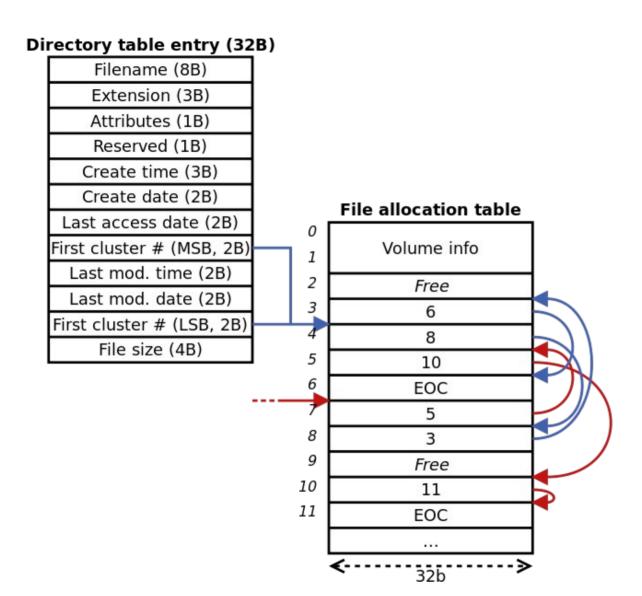
#### Linked allocation of blocks to a file

- Linked allocation
  - Each file a linked list of blocks
  - File ends at nil pointer
  - No external fragmentation
  - Each block contains pointer to next block (i.e. data + pointer to next block)
  - No compaction, external fragmentation

- Free space management system called when new block needed
- Improve efficiency by clustering blocks into groups but increases internal fragmentation
- Reliability can be a problem
- Locating a block can take many I/Os and disk seeks

#### **FAT: File Allocation Table**

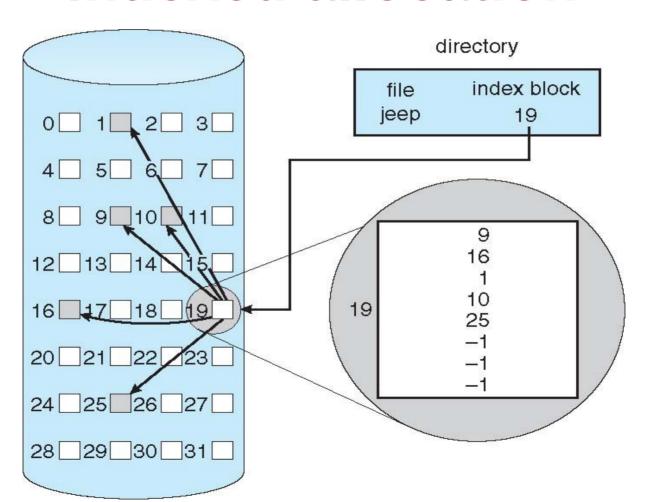




#### FAT: File Allocation Table

Variants: FAT8, FAT12, FAT16, FAT32, VFAT, ...

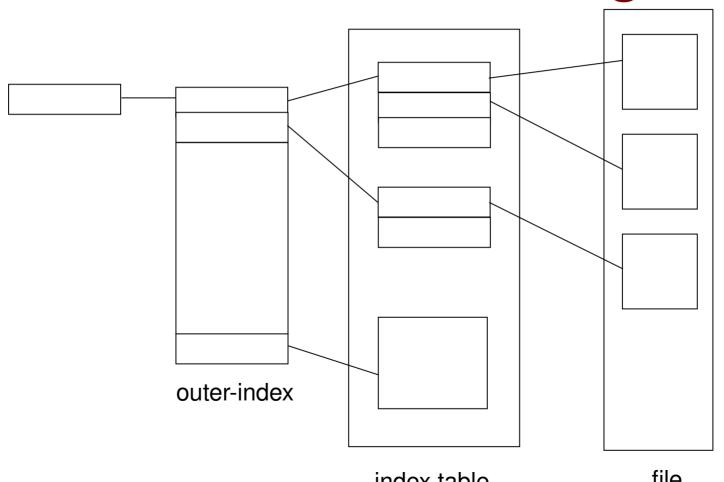
#### Indexed allocation



#### Indexed allocation

- Need index table
- Random access
- Dynamic access without external fragmentation, but have overhead of index block
- Mapping from logical to physical in a file of maximum size of 256K bytes and block size of 512 bytes. We need only 1 block for index table

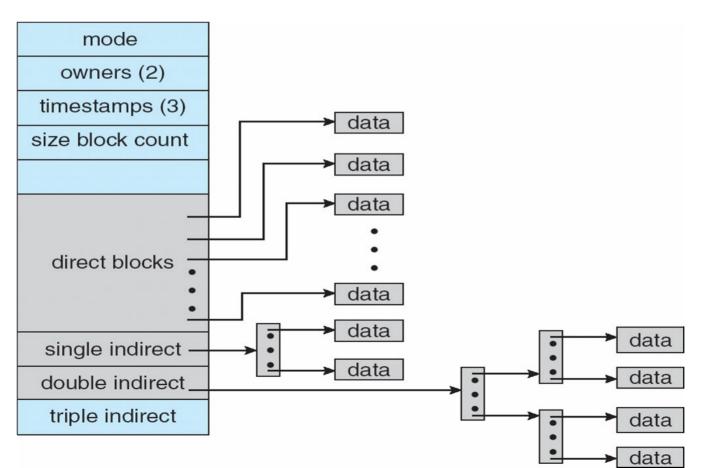
# Multi level indexing



index table

file

# Unix UFS: combined scheme for block allocation



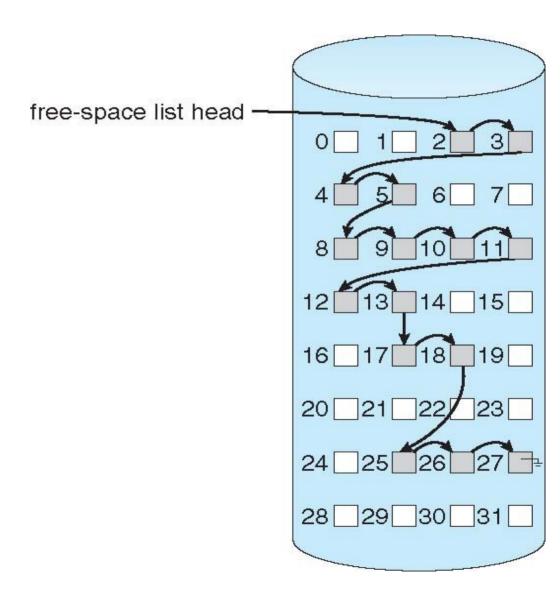
#### Free Space Management

- File system maintains free-space list to track available blocks/clusters
  - Bit vector or bit map (n blocks)
  - Or Linked list

# Free Space Management: bit vector

- Each block is represented by 1 bit.
- If the block is free, the bit is 1; if the block is allocated, the bit is
  0.
  - For example, consider a disk where blocks 2, 3, 4, 5, 8, 9, 10, 11, 12, 13, 17
  - 18, 25, 26, and 27 are free and the rest of the blocks are allocated. The free-space bitmap would be 001111001111110001100000011100000 ...
- A 1- TB disk with 4- KB blocks would require 32 MB (2<sup>40</sup> / 2<sup>12</sup> = 2<sup>28</sup> bits = 2<sup>25</sup> bytes = 2<sup>5</sup> MB) to store its bitmap

# Free Space Management: Linked list (not in memory, on disk!)

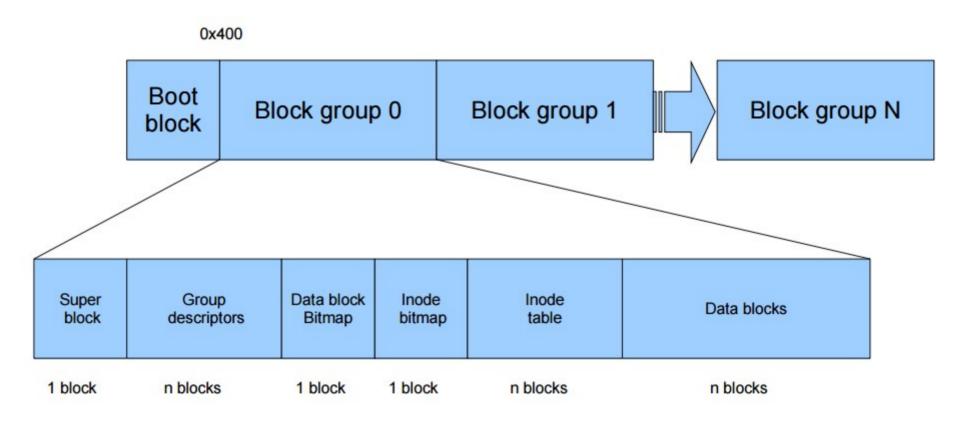


# Further improvements on link list method of free-blocks

- Grouping
- Counting
- Space Maps (ZFS)
- Read as homework

#### Ext2 FS layout

## Ext2 FS Layout

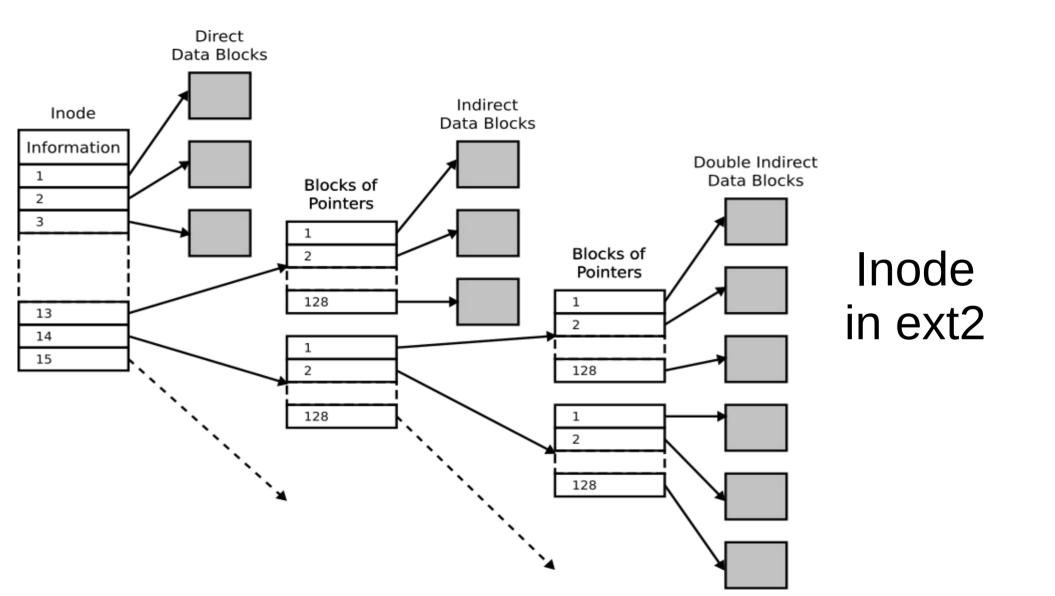


```
struct ext2 super block {
    le32 s inodes count; /* Inodes count */
    le32 s blocks count; /* Blocks count */
    le32 s r blocks count; /* Reserved blocks count */
    le32 s free blocks count; /* Free blocks count */
    le32 s free inodes count; /* Free inodes count */
    le32 s first data block; /* First Data Block */
    le32 s log block size; /* Block size */
    le32 s log frag size; /* Fragment size */
    le32 s blocks per group; /* # Blocks per group */
    le32 s frags per group; /* # Fragments per group */
    le32 s inodes per group; /* # Inodes per group */
    le32 s mtime; /* Mount time */
    le32 s wtime; /* Write time */
    le16 s mnt count; /* Mount count */
    le16 s max mnt count; /* Maximal mount count */
    _le16 s_magic; /* Magic signature */
    le16 s_state; /* File system state */
    le16 s_errors; /* Behaviour when detecting errors */
```

```
struct ext2 super block {
    le16 s minor rev level; /* minor revision level */
    le32 s lastcheck; /* time of last check */
    le32 s checkinterval; /* max. time between checks */
    le32 s creator os; /* OS */
    _le32 s_rev_level; /* Revision level */
    le16 s def resuid; /* Default uid for reserved blocks */
    le16 s def resgid; /* Default gid for reserved blocks */
   le32 s first ino; /* First non-reserved inode */
    le16 s inode size: /* size of inode structure */
    le16 s block group nr; /* block group # of this superblock */
   le32 s feature compat; /* compatible feature set */
    le32 s feature incompat; /* incompatible feature set */
   le32 s feature ro compat; /* readonly-compatible feature set */
   u8 s uuid[16]; /* 128-bit uuid for volume */
  char s volume name[16]; /* volume name */
  char s last mounted[64]; /* directory where last mounted */
   _le32_s_algorithm_usage_bitmap; /* For compression */
```

```
struct ext2 super block {
. . .
   u8 s prealloc blocks; /* Nr of blocks to try to preallocate*/
   u8 s prealloc dir blocks; /* Nr to preallocate for dirs */
   u16 s padding1;
  * Journaling support valid if EXT3 FEATURE COMPAT HAS JOURNAL set.
  */
   _u8 s_journal_uuid[16]; /* uuid of journal superblock */
   _u32 s_journal_inum; /* inode number of journal file */
  __u32 s_journal_dev; /* device number of journal file */
   __u32 s_last_orphan; /* start of list of inodes to delete */
  u32 s hash seed[4]; /* HTREE hash seed */
  u8 s def hash version; /* Default hash version to use */
   u8 s reserved char pad;
   u16 s reserved word pad;
   le32 s default mount opts;
   _le32_s_first_meta_bg; /* First metablock block group */
   _u32 s_reserved[190]; /* Padding to the end of the block */
```

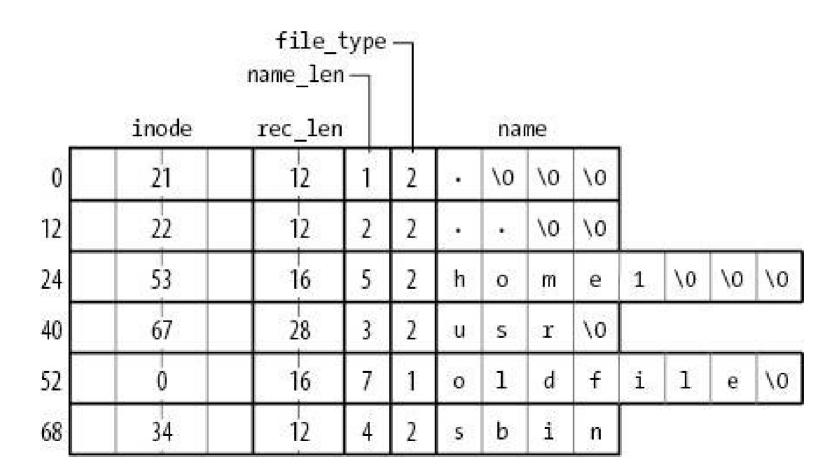
```
struct ext2 inode {
     le16 i mode; /* File mode */
     le16 i uid: /* Low 16 bits of Owner Uid */
     le32 i size; /* Size in bytes */
     le32 i atime; /* Access time */
     le32 i ctime; /* Creation time */
     le32 i mtime; /* Modification time */
     le32 i dtime: /* Deletion Time */
     le16 i gid; /* Low 16 bits of Group Id */
     le16 i_links_count; /* Links count */
     le32 i blocks; /* Blocks count */
    _le32 i_flags; /* File flags */
```



```
struct ext2 inode {
  union {
    struct {
         } linux1;
    struct {
       le32 h i translator;
    } hurd1;
    struct {
       le32 m i reserved1;
    } masix1;
  } osd1; /* OS dependent 1 */
    _le32 i_block[EXT2_N_BLOCKS];/* Pointers to blocks */
    le32 i generation; /* File version (for NFS) */
    le32 i file acl; /* File ACL */
    le32 i dir_acl; /* Directory ACL */
   le32 i faddr; /* Fragment address */
```

```
struct ext2 inode {
 union {
   struct {
     __u16 i_pad1; __le16 l_i_uid_high; /* these 2 fields */
     __le16 l_i_gid_high; /* were reserved2[0] */
     __u32 l i reserved2;
   } linux2;
   struct {
      _u8 h_i_frag; /* Fragment number */ __u8 h_i_fsize; /* Fragment size */
      le16 h_i_mode_high; ___le16 h_i_uid_high;
     __le16 h_i_gid high;
     le32 h i author;
   } hurd2;
   struct {
     __u8 m_i_frag; /* Fragment number */ __u8 m_i_fsize; /* Fragment size */
     } masix2;
 } osd2; /* OS dependent 2 */
```

## Ext2 FS Layout: Directory entry



Let's see a program to read superblock of an ext2

file system.

# Efficiency and Performance (and the risks created while trying to achieve it!)

#### **Efficiency**

- Efficiency dependent on:
  - Disk allocation and directory algorithms
  - Types of data kept in file's directory entry
  - Pre-allocation or as-needed allocation of metadata structures
  - Fixed-size or varying-size data structures

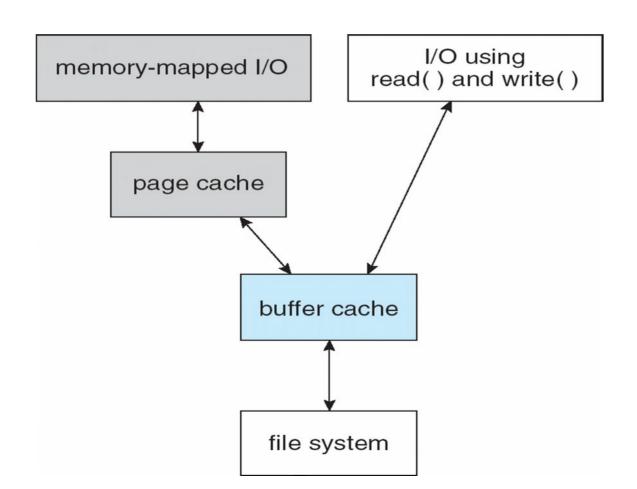
#### **Performance**

- Keeping data and metadata close together
- Buffer cache separate section of main memory for frequently used blocks
- Synchronous writes sometimes requested by apps or needed by OS
- No buffering / caching writes must hit disk before acknowledgement
- Asynchronous writes more common, buffer-able, faster
- Free-behind and read-ahead techniques to optimize sequential access
- Reads frequently slower than writes

## Page cache

- A page cache caches pages rather than disk blocks using virtual memory techniques and addresses
- Memory-mapped I/O uses a page cache
- Routine I/O through the file system uses the buffer (disk) cache
- This leads to the following figure

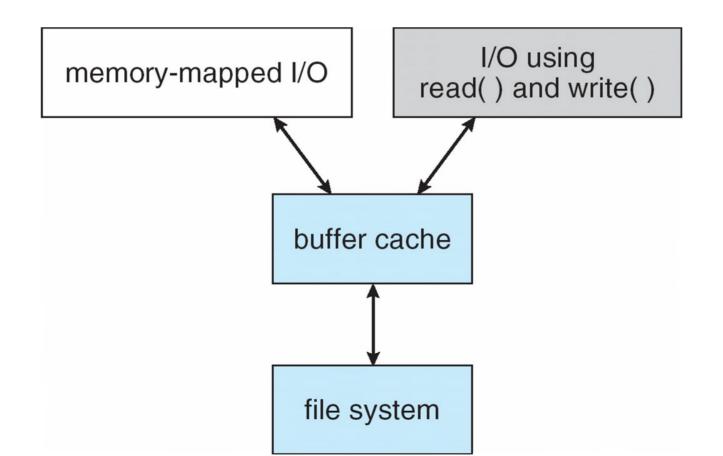
#### I/O Without a Unified Buffer Cache



#### Unified buffer cache

- A unified buffer cache uses the same page cache to cache both memory-mapped pages and ordinary file system I/O to avoid double caching
- But which caches get priority, and what replacement algorithms to use?

# I/O Using a Unified Buffer Cache



### Recovery

- Problem. Consider creating a file on ext2 file system.
  - Following on disk data structures will/may get modified
  - Directory data block, new directory data block, block bitmap, inode table, inode table bitmap, group descriptor, super block, data blocks for new file, more data block bitmaps, ...
  - All cached in memory by OS
- Delayed write OS writes changes in its in-memory data structures, and schedules writes to disk when convenient
  - Possible that some of the above changes are written, but some are not
  - Inconsistent data structure! --> Example: inode table written, inode bitmap written, but directory data block not written

### Recovery

- Consistency checking compares data in directory structure with data blocks on disk, and tries to fix inconsistencies
  - Can be slow and sometimes fails
- Use system programs to back up data from disk to another storage device (magnetic tape, other magnetic disk, optical)
- Recover lost file or disk by restoring data from backup

## Log structured file systems

- Log structured (or journaling) file systems record each metadata update to the file system as a transaction
- All transactions are written to a log
  - A transaction is considered committed once it is written to the log (sequentially)
  - Sometimes to a separate device or section of disk
  - However, the file system may not yet be updated
- The transactions in the log are asynchronously written to the file system structures
  - When the file system structures are modified, the transaction is removed from the log
- If the file system crashes, all remaining transactions in the log must still be performed
- Faster recovery from crash, removes chance of inconsistency of metadata

## Journaling file systems

- Veritas FS
- Ext3, Ext4
- Xv6 file system!