

# Asymptotic Notations

# O-notation

For function  $g(n)$ , we define  $O(g(n))$ , big-O of  $n$ , as the set:

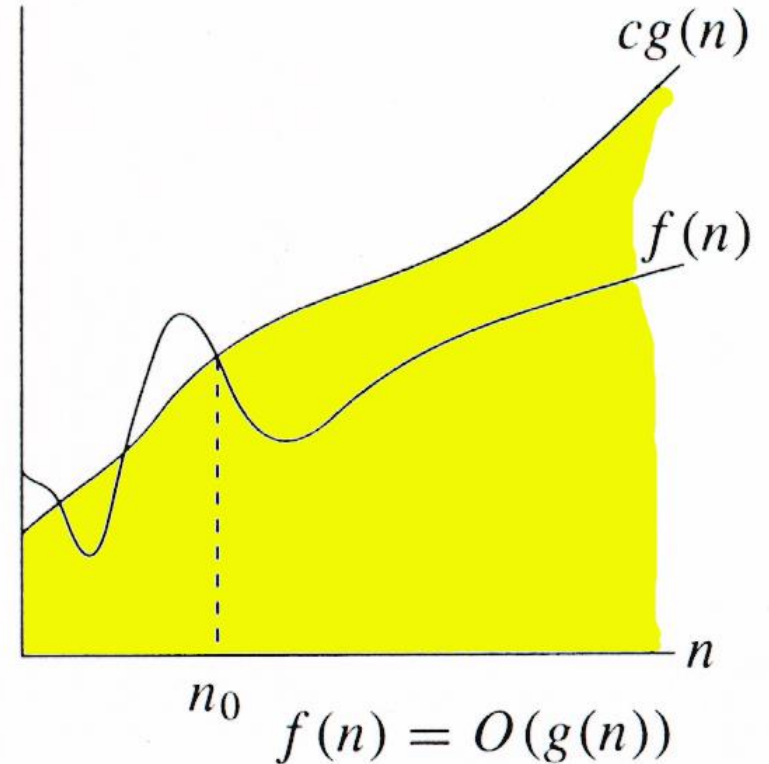
$$O(g(n)) = \{f(n) : \exists \text{ positive constants } c \text{ and } n_0, \\ \text{such that } \forall n \geq n_0, \\ \text{we have } 0 \leq f(n) \leq cg(n) \\ \}$$

**Intuitively:** Set of all functions whose *rate of growth* is the same as or lower than that of  $g(n)$ .

$g(n)$  is an **asymptotic upper bound** for  $f(n)$ .

$$f(n) = \Theta(g(n)) \Rightarrow f(n) = O(g(n)).$$

$$\Theta(g(n)) \subset O(g(n)).$$



# Examples

$O(g(n)) = \{f(n) : \exists \text{ positive constants } c \text{ and } n_0, \text{ such that } \forall n \geq n_0, \text{ we have } 0 \leq f(n) \leq cg(n) \}$

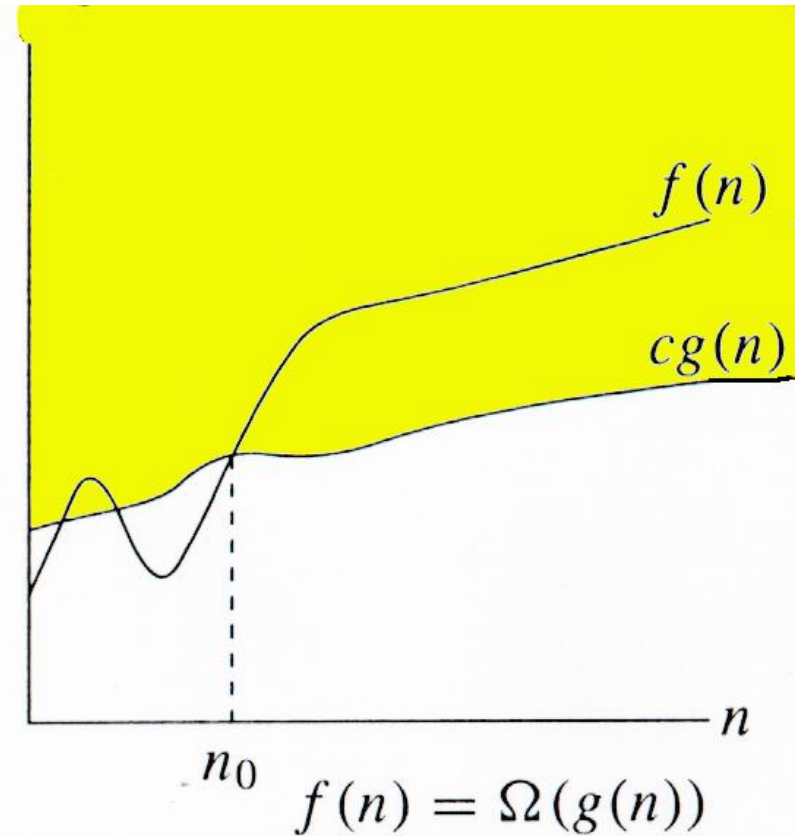
- Any linear *function*  $an + b$  is in  $O(n^2)$ . How?
- Show that  $3n^3 = O(n^4)$  for appropriate  $c$  and  $n_0$ .

# $\Omega$ -notation

For function  $g(n)$ , we define  $\Omega(g(n))$ , big-Omega of  $n$ , as the set:

$\Omega(g(n)) = \{f(n) :$   
 $\exists$  **positive constants  $c$  and  $n_0$ , such**  
**that**  $\forall n \geq n_0$ ,  
**we have  $0 \leq cg(n) \leq f(n)$**  $\}$

*Intuitively:* Set of all functions whose *rate of growth* is the same as or higher than that of  $g(n)$ .



$g(n)$  is an **asymptotic lower bound** for  $f(n)$ .

$$f(n) = \Theta(g(n)) \Rightarrow f(n) = \Omega(g(n)).$$

$$\Theta(g(n)) \subset \Omega(g(n)).$$

# Example

$$\Omega(g(n)) = \{f(n) : \exists \text{ positive constants } c \text{ and } n_0, \text{ such that} \\ \forall n \geq n_0, \text{ we have } 0 \leq cg(n) \leq f(n)\}$$

- $\sqrt{n} = \Omega(\lg n)$ . Choose  $c$  and  $n_0$ .

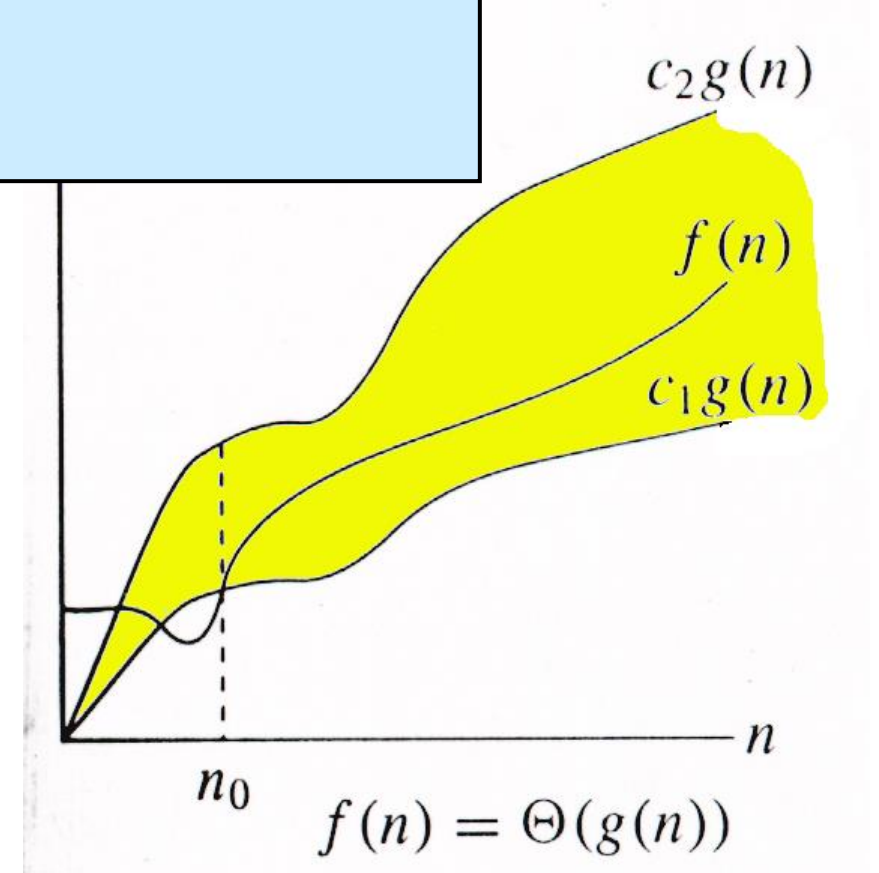
# $\Theta$ -notation

For function  $g(n)$ , we define  $\Theta(g(n))$ , big-Theta of  $n$ , as the set:

$$\Theta(g(n)) = \{f(n) : \exists \text{ positive constants } c_1, c_2, \text{ and } n_0, \text{ such that } \forall n \geq n_0, \\ \text{we have } 0 \leq c_1 g(n) \leq f(n) \leq c_2 g(n) \\ \}$$

**Intuitively:** Set of all functions that have the same *rate of growth* as  $g(n)$ .

$g(n)$  is an **asymptotically tight bound** for  $f(n)$ .



# $\Theta$ -notation

For function  $g(n)$ , we define  $\Theta(g(n))$ ,  
big-Theta of  $n$ , as the set:

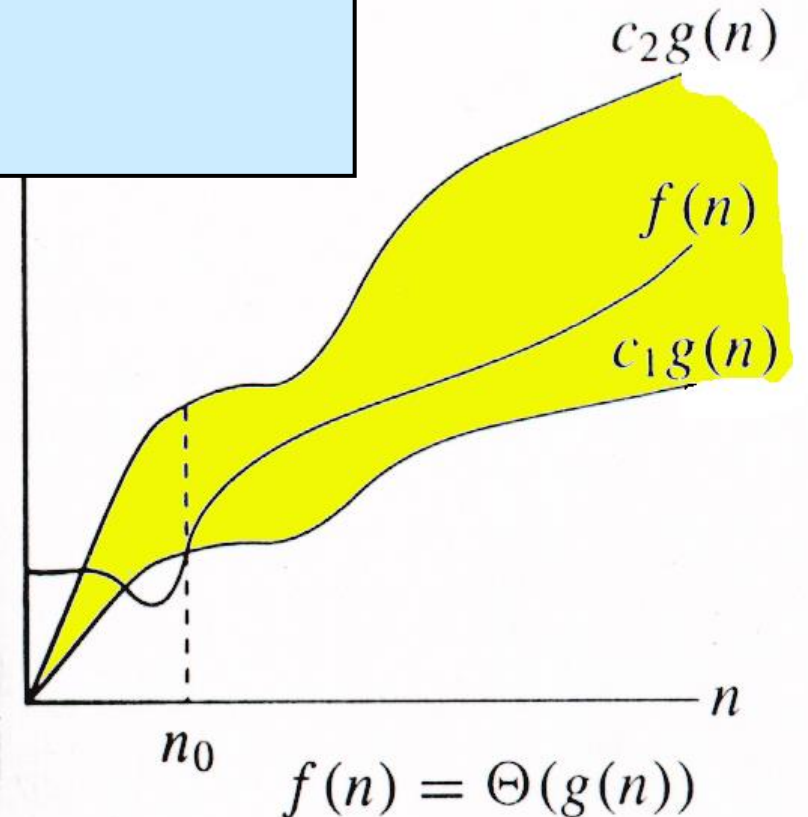
$$\Theta(g(n)) = \{f(n) : \exists \text{ positive constants } c_1, c_2, \text{ and } n_0, \text{ such that } \forall n \geq n_0, \\ \text{we have } 0 \leq c_1 g(n) \leq f(n) \leq c_2 g(n) \\ \}$$

Technically,  $f(n) \in \Theta(g(n))$ .

Older usage,  $f(n) = \Theta(g(n))$ .

I'll accept either...

$f(n)$  and  $g(n)$  are nonnegative, for large  $n$ .



# Example

$$\Theta(g(n)) = \{f(n) : \exists \text{ positive constants } c_1, c_2, \text{ and } n_0, \text{ such that } \forall n \geq n_0, \\ 0 \leq c_1 g(n) \leq f(n) \leq c_2 g(n)\}$$

- $10n^2 - 3n = \Theta(n^2)$
- What constants for  $n_0$ ,  $c_1$ , and  $c_2$  will work?
- Make  $c_1$  a little smaller than the leading coefficient, and  $c_2$  a little bigger.
- *To compare orders of growth, look at the leading term.*
- Exercise: Prove that  $n^2/2 - 3n = \Theta(n^2)$

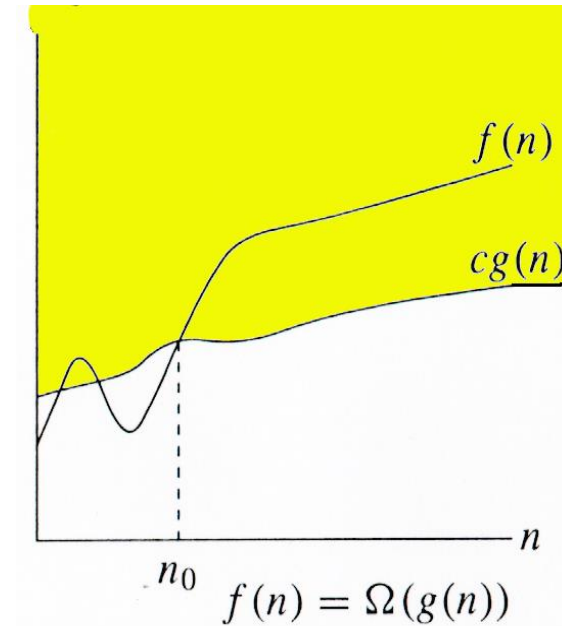
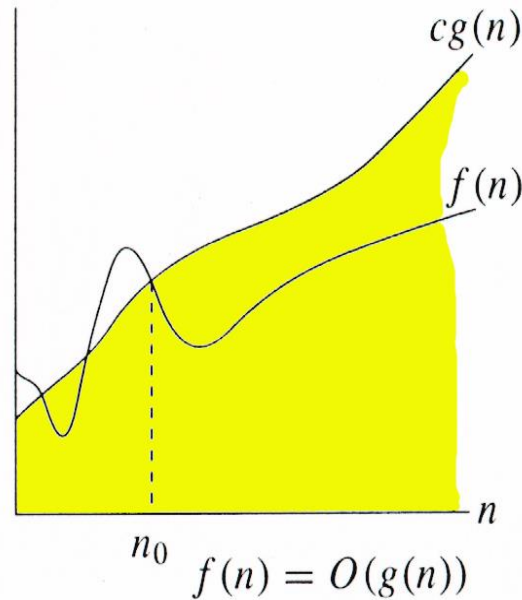
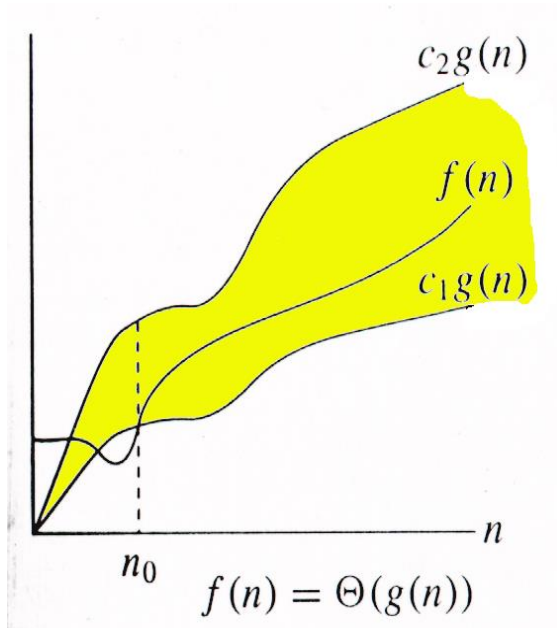


# Example

$$\Theta(g(n)) = \{f(n) : \exists \text{ positive constants } c_1, c_2, \text{ and } n_0, \text{ such that } \forall n \geq n_0, \\ 0 \leq c_1g(n) \leq f(n) \leq c_2g(n)\}$$

- Is  $3n^3 \in \Theta(n^4)$  ??
- How about  $2^{2n} \in \Theta(2^n)$ ??

# Relations Between $\Theta$ , $O$ , $\Omega$



# Relations Between $\Theta$ , $\Omega$ , $O$

**Theorem** : For any two functions  $g(n)$  and  $f(n)$ ,  
 $f(n) = \Theta(g(n))$  iff  
 $f(n) = O(g(n))$  and  $f(n) = \Omega(g(n))$ .

- I.e.,  $\Theta(g(n)) = O(g(n)) \cap \Omega(g(n))$
- In practice, asymptotically tight bounds are obtained from asymptotic upper and lower bounds.

# Running Times

- “Running time is  $O(f(n))$ ”  $\Rightarrow$  Worst case is  $O(f(n))$
- $O(f(n))$  bound on the worst-case running time  $\Rightarrow$   $O(f(n))$  bound on the running time of every input.
- $\Theta(f(n))$  bound on the worst-case running time  $\nRightarrow$   $\Theta(f(n))$  bound on the running time of every input.
- “Running time is  $\Omega(f(n))$ ”  $\Rightarrow$  Best case is  $\Omega(f(n))$
- Can still say “Worst-case running time is  $\Omega(f(n))$ ”
  - Means worst-case running time is given by some unspecified function  $g(n) \in \Omega(f(n))$ .

# Example

- **Insertion sort** takes  $\Theta(n^2)$  in the worst case, so sorting (as a *problem*) is  $O(n^2)$ . Why?
- Any sort algorithm must look at each item, so sorting is  $\Omega(n)$ .
- In fact, using (e.g.) merge sort, sorting is  $\Theta(n \lg n)$  in the worst case.
  - Later, we will prove that we cannot hope that any comparison sort to do better in the worst case.