

Day 9: Trees

1 Trees

When we use a list for storing our data in a dynamic structure, there are two limitations:

- Finding an element in the list takes a long time, proportional to the length of the list.
- Keeping the list's elements sorted also takes a lot of time: you must find the place where the new element should be, and then insert it.

Both seeking an element and inserting an element in a list require a time that is proportional to the length of the list. They will take (in average) twice as long in a list twice as big.

We have seen that *maps* provide a partial solution to the first problem. By assigning a value with a key, they can access any element immediately. However, maps have some problems too. Either you store the keys on an array that you can access by index (i.e. a hash of the key) —which is not dynamic— or you need a linked list of keys —which has similar problems: it may require a lot of time to find a key—.

Generally speaking, **maps are used for relatively low numbers of elements**. When the number of elements is very big, and it is important to have them **sorted**, there is a better data structure: the **tree**.

A tree in computing is similar to a tree in nature because it has a root and it has branches. Like a linked list, a tree is composed of elements. Elements where branches start are usually called **nodes** and elements at the end of branches are usually **leaves**. In some trees you have data on nodes and leaves, while in others you have data only on leaves.

Trees are very important in computing. For example, the filesystem on your hard disk, where you have stored all your files (including this file you are reading now, your personal folders/directories, etc) has a tree structure: folders are nodes and files are leaves.

Trees have a similar structure to lists, stacks, and queues; the main difference is that each element points to two or more elements instead of one. See this example:

```
public class IntegerListNode {
    int value;
    IntegerListNode next;
    // ... methods would be here
}
(...)
public class IntegerTreeNode {
    int value;
    IntegerTreeNode left;
```

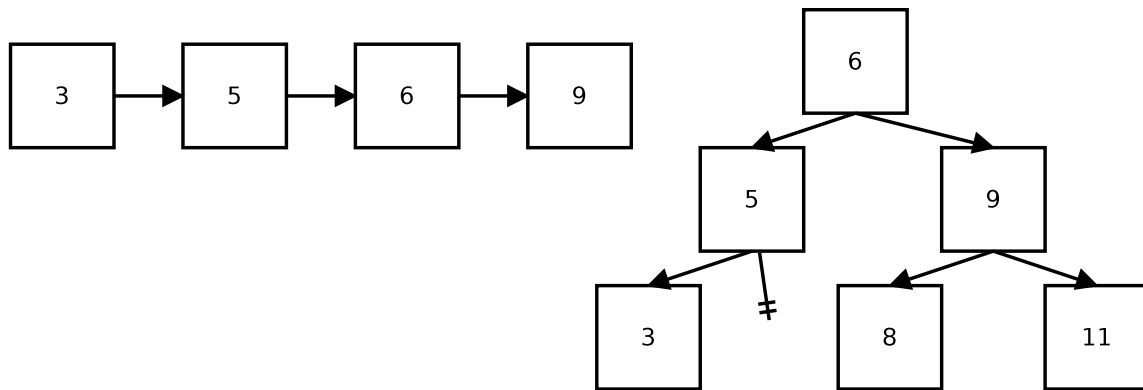


Figure 1: In a singly-linked list, every element is connected to the next one. In a binary tree, every element is connected to two next elements. It is usual to draw trees going down (like when we write in English) rather than going up (as trees in Nature).

```

IntegerTreeNode right;
// ... methods would be here
}

```

Trees where every node links to two other nodes are called *binary trees*. Binary trees are the most common types of trees, but we can create trees of any cardinality (see the examples below). Although we are going to focus on binary trees in this section, everything we will learn can be applied to any type of tree.

```

public class IntegerTernaryTreeNode {
    int value;
    IntegerTernaryTreeNode left;
    IntegerTernaryTreeNode center;
    IntegerTernaryTreeNode right;
    // ... methods would be here
}

public class IntArbitraryTreeNode {
    int value;
    IntArbitraryTreeNode[] children;
    // ... methods would be here
}

```

1.1 Adding elements to a tree

Trees have a structure that makes it very easy to keep the data sorted. This is important because it is faster to find a specific piece of data when everything is in order..

When we want to add a new element to a tree we start at the root. Then we check whether we want to add it to the right or to the left. Then we continue the process on that branch. Let's see an example based on class `IntegerTreeNode` above.

```

public add(int newNumber) {
    if (newNumber > this.value) {
        if (right == null) {
            right = new IntegerTreeNode(newNumber);

```

```

        } else {
            right.add(newNumber);
        }
    } else {
        if (left == null) {
            left = new IntegerTreeNode(newNumber);
        } else {
            left.add(newNumber);
        }
    }
}

```

You can see that the process is symmetrical: we decide to go left or right based on the new number to add, and then we continue on that side of the tree. This leaves the tree automatically sorted: “lowers” on the left, “highers” on the right, at every level of the tree. **If there is nothing in the branch, we add our new element; otherwise, we compare again.** Note that the first element of the tree (i.e. the root) may need to be handled as a special case (as with lists). Figure 2 shows how a tree is created by adding some new nodes.

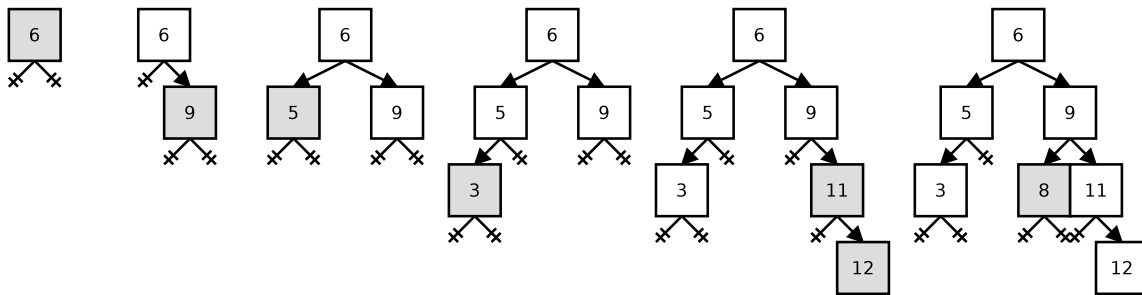


Figure 2: Creation of a tree based on the class `IntegerTreeNode` and its method `add(int)`. The numbers are added unsorted as 6, 9, 5, 3, 11, 12, 8...

1.2 Finding elements in a tree

The good thing about trees is that we do not need to go over the whole list of elements to find the element we are looking for. We only need to check at each node whether the node we are looking for is (a) in our current node, or (b) under it to the left, or (c) under it to the right. If nodes do not contain data, we just need to check whether the leaf we are looking for is on the left or on the right. Look at the method below, which checks whether a number has been added to a tree based on class `IntegerTreeNode`.

```

public boolean contains(int n) {
    if (n == this.value) {
        return true;
    } else if (n > this.value) {
        if (right == null) {

```

```

        return false;
    } else {
        return right.contains(n);
    }
} else {
    if (left == null) {
        return false;
    } else {
        return left.contains(n);
    }
}
}

```

There is no need to look at all the elements (Figure 3). After every check, we discard half of all remaining elements (assuming that the tree is balanced, i.e. has approximately the same number of elements under left branches than under right branches). If the tree contains 1000 numbers, after the first check we have discarded 500, then we discard 250, then 125, etc. In other words, the time needed to find an element in a tree is proportional to the logarithm (in base 2) of the size of the tree¹. Finding an element in a list of 15 elements will take at most 15 comparisons, but if the list has 255 elements it will take at most 255 comparisons; by comparison, finding an element in a tree of 15 elements will need at most 4 comparisons, but if the tree has 255 elements it will take at most 8 comparisons. This is a real improvement for big amounts of data!

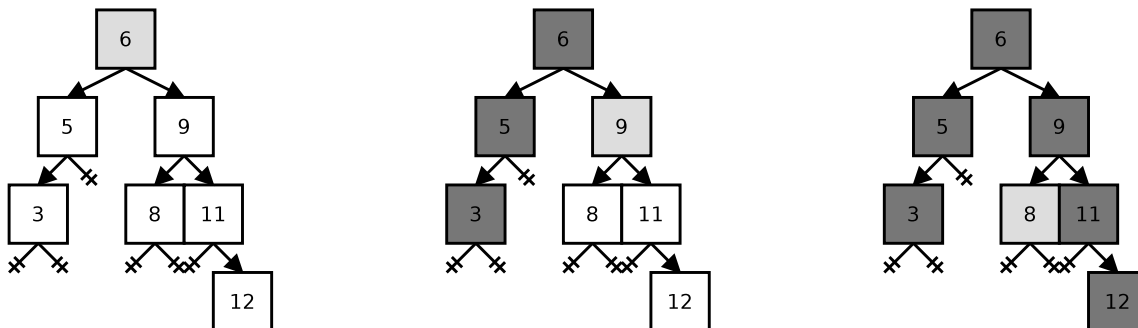


Figure 3: Finding elements in a tree. Every time we check an element to find the one we are looking for, we discard half of the remaining elements. In this example, finding the element containing number 8 requires only three comparisons.

1.3 Conclusion

Trees are a useful data structure to keep data sorted with minimal effort, in a simple and efficient manner. Having the data sorted at all times makes it easier to find what we are looking for. On the other hand, trees are more complex data structures than lists so if the data does not need to be sorted, a list is preferable.

¹A number l is the logarithm of x in base a if $a^l = x$.

	List	Tree
Min. pointers / element	1	2
Auto-Sorted	No	Yes
Time needed	Linear	Logarithmic

Table 1: Lists vs. Trees: lists are not sorted while trees are always sorted; time to find an item in a list grows linearly with the list's size, while the time required to find an element in a tree grows logarithmically with the size of the tree. (Lists may be sorted, but it needs special insertion methods or frequent re-sorting).