N-Methyl-D-aspartate receptor hypofunction causes recurrent and transient failures of perceptual inference

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Abstract 1 1

- Perception alternates between an external mode, driven by sensory inputs, and an internal
- mode, shaped by prior knowledge. We found that the external mode is more prevalent during
- pharmacologically induced N-Methyl-D-aspartate receptor (NMDAR) hypofunction and in
- schizophrenia. This NMDAR-dependent increase in external mode suggests that psychotic
- experiences are caused by recurring dissociations of perception from prior knowledge about
- the world.

Main $\mathbf{2}$

- Imagine a dimly lit room at a crowded party, where unclear visual signals, indistinct sounds,
- and complex social interactions allow for multiple and sometimes false interpretations. In
- such ambiguity, failures of perceptual inference, the ability to contextualize sensory inputs 11
- with prior knowledge about the world, can lead to profound departures from reality: Faces
- obscured in shadow may appear distorted, random noise could be perceived as a whisper, 13
- and friendly smiles might seem derogatory. 14
- This example illustrates why a disruption of perceptual inference is likely to play a crucial 15
- role in schizophrenia (Scz), a chronic and severe mental disorder characterized by psychotic 16
- symptoms such as delusions and hallucinations $^{1-3}$. Yet despite considerable progress in the 17
- computational understanding of psychosis, two key questions have remained unanswered.
- The first question concerns the neural mechanisms that cause perceptual inference to fail
- in Scz. Several lines of evidence point to N-Methyl-D-aspartate receptor (NMDAR) hypo-
- function as a key factor in the pathophysiology of psychosis⁴. NMDAR antibodies⁵ and 21
- antagonists such as ketamine⁶ mimic the symptoms of Scz, which is itself associated with a
- reduction of NMDAR density in prefrontal cortex⁷. NMDARs control the ratio of neural ex-
- citation and inhibition⁸, block the release of midbrain dopamine⁹, enable cortical feedback¹⁰ 24
- and support synaptic short term plasticity¹¹. While these NMDAR-dependent mechanisms
- are likely critical for perceptual inference, it is yet to be determined how NMDAR hypofunc-
- tion may cause the psychotic symptoms that characterize Scz.
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- The second unresolved question concerns the temporal dynamics of psychotic experiences,
- which often unfold as short-lived events spanning from seconds to minutes, especially at
- early stages of Scz^{12,13}. The transient nature of psychotic experiences challenges models
- that assume a constant disruption of perceptual inference¹⁻³. A solution to this problem
- is suggested by the recent observation that perceptual inference is subject to spontaneous

fluctuations over time that occur at a timescale compatible with the duration of individual psychotic experiences^{14–16}. Such fluctuations have been related to two opposing modes of inference, during which perception is driven predominantly either by external inputs or by internal predictions that stem from recent perceptual experiences¹⁷ (Figure 1A). Although preliminary evidence indicates a tendency toward the external mode in people with Scz¹⁸, the neural mechanisms of mode fluctuations and their potential implications in psychosis have remained elusive.

The objective of the current study was therefore twofold: First, to test whether NMDAR hypofunction causes changes in perceptual inference that characterize Scz; and second, to explore the effect of NMDAR hypofunction on ongoing fluctuations in perceptual inference that may explain the transient nature of psychotic experiences. We addressed these aims in a double-blind placebo-controlled cross-over experiment in 28 healthy human participants. The participants attended two experimental sessions during which they received a continuous intravenous infusion of either the NMDAR antagonist S-ketamine at a dose of 0.1 mg/kg/h or a saline placebo. In each session, the participants viewed ten 120 sec blocks of an ambiguous structure-from-motion (SFM) stimulus that induced the experience of a sphere rotating around a vertical axis, and reported changes in the perceived direction of rotation (leftward vs. rightward movement of the front surface) as well as their confidence in the choice (Figure 1B and Supplemental Video S1).

The ambiguity of the display induced the phenomenon of bistable perception, where spontaneous changes in the perceived direction of rotation occurred in average intervals of 13.75 \pm 3.09 sec. In line with previous results^{19,20}, these changes in perception occurred with a probability of $0.11 \pm 8.67 \times 10^{-3}$ at brief depth-symmetric configurations of the stimulus (see Supplemental Video S1 and Supplemental Figure S1A). We therefore divided the continuous behavioral reports into a sequence of discrete states t. Each state was associated with a perceptual experience y_t , confidence c_t and the external input s_t .

Bistable perception can be conceptualized as an inferential process about the cause of s_t , in which previous experiences (y_{t-1}) reflect internal predictions that provide prior knowledge about the interpretation y_t of the ambiguous stimulus²⁰ (Figure 1C). To test how NMDAR antagonism altered the balance between external inputs and internal predictions, we attached a 3D signal to a fraction of the stimulus dots. The signal-to-ambiguity ratio (SAR) ranged from complete ambiguity to full disambiguation across five levels and remained constant in each block of the experiment. By changing the direction of rotation enforced by the 3D signal at random in average intervals of 10 sec, we created dynamic conflicts between the SAR-weighted input s_t and the stabilizing internal prediction y_{t-1} . As expected, we found

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that y_t was driven by both s_t (\beta_S = 3.01 \pm 0.06) and y_{t-1} (\beta_P = 2.06 \pm 0.03). Importantly,
   S-ketamine caused perception to shift toward s_t (0.45 \pm 0.08, z = 5.6, p = 1.71 \times 10<sup>-7</sup>;
   Figure 2A and Supplemental Figure S2), indicating a stronger weighting of external inputs
   over internal predictions during pharmacologically induced NMDAR hypofunction.
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   Next, we performed the same analysis on data from a previous case-control study using an
   analogous task in patients with Scz^{19}. In Scz patients and controls, y_t was influenced by
   the SAR-weighted input s_t~(\beta_S= 2.77 \pm 0.11) and the stabilizing prediction y_{t-1}~(\beta_P=
   1.5 \pm 0.03). Similar to S-ketamine, s_t had a larger impact on perception in Scz patients
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   than controls (0.75 \pm 0.15, z = 4.96, p = 5.6 \times 10<sup>-6</sup>; Figure 2E and Supplemental Figure
   S3). Together, these results align with the canonical predictive processing theory of Scz<sup>1-3</sup>:
   Pharmacologically-induced NMDAR hypofunction and Scz are associated with a shift of
   perceptual inference toward external inputs, and away from stabilizing internal predictions.
   NMDAR hypofunction may thus trigger psychotic experiences by causing erratic inferences
   about ambiguous sensory information.
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   As a mechanism for symptoms that are transient and recurring, NMDAR-dependent changes
   in perceptual inference should not be constant, but fluctuate dynamically at a timescale that
   is compatible with the duration of individual psychotic experiences. We tested this prediction
   in Hidden Markov Models (HMM) that inferred transitions between two latent states, each
   linked to an independent general linear model (GLM) that predicted y_t from s_t and y_{t-1}.
   The \beta weights quantified the sensitivity to ambiguous sensory information (\beta_S \times s_t) relative
   to the stabilizing effect of internal predictions provided by preceding experiences (\beta_P \times y_{t-1}),
   and allowed us to evaluate dynamic changes in the balance \Delta_{S-P} = \beta_S - \beta_P between the
   two.
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Consistent with recent findings in humans and mice^{16,17}, Bayesian model comparison indicated a clear superiority of the two-state GLM-HMM over the standard one-state GLM in the S-ketamine experiment ($\delta_{BIC}=-3.65\times10^3$). According to the two-state GLM-HMM, perception fluctuated between an internal mode, shaped by the stabilizing internal prediction y_{t-1} , and an external mode, dominated by the SAR-weighted input s_t . External mode increased Δ_{S-P} by 2.8 ± 0.29 (T(81) = 9.5, p = 5.22×10^{-13} ; Figure 2B-C). Switches between modes occurred in intervals of 179.97 ± 19.39 sec.

The presence of slow fluctuations between external and internal modes suggests that, instead of causing a constant increase in the sensitivity to external inputs, NMDAR hypofunction may affect perception by shifting the dynamic balance between the two modes. Indeed, Sketamine did not alter the weights of the two-state GLM-HMM (Figure 2C), but increased the probability of external at the expense of internal mode $(1.01 \pm 0.03, z = 30.7, p =$

 4.26×10^{-206} ; Figure 2D). This effect was stable over time and present across the full range of SAR (Figure 2D). Inter-individual differences in the effects of S-ketamine confirmed that NMDAR hypofunction raised the sensitivity to sensory information (Figure 2A) by modulating the time participants spent in external and internal modes, respectively ($\rho = 0.41$, T(26) = 2.3, p = 0.03).

Strikingly, the data from the Scz-control study mirrored the effect of S-ketamine on the 108 balance between external and internal mode: The two-state GLM-HMM outperformed the 109 standard one-state GLM (patients: $\delta_{BIC} = -981.65$; controls: $\delta_{BIC} = -862.91$) and revealed 110 two opposing modes ($\Delta_{S-P} = 1.44 \pm 0.33$, T(44) = 4.33, p = 3.39 × 10⁻⁴; Figure 2F) that 111 alternated in intervals of 265.38 ± 57.76 sec for patients and 230.99 ± 65.04 sec for controls. 112 Patients and controls did not differ with respect to the weights of the two-state GLM-HMM 113 (Figure 2G). Instead, Scz patients spent more time in external mode $(0.52 \pm 0.03, z = 16.88,$ 114 $p = 1.23 \times 10^{-63}$; Figure 2H). 115

We could not attribute between-mode transitions to fatigue, task difficulty (Figure 2D and H), executive function as reflected by response times (RT, Supplemental Figure S1C and S4), psychotomimetic effects of S-ketamine, global psychosis proneness, the clinical severity of Scz, stereodisparity thresholds, or subjective arousal, intoxication and nervousness (Supplemental Figure S5).

To our knowledge, these results are the first to uncover a neural mechanisms for the slow, 121 task-related fluctuations in perceptual inference that have been observed across variety of tasks in humans and mice^{14–17}. We found that healthy individuals who receive the NMDAR 123 antagonist S-ketamine and patients diagnosed with Scz are prone to an external mode of 124 perception. The external mode partly decouples perceptual inference from internal predic-125 tions that reflect prior knowledge about the world. In health, this may prevent circular 126 inferences in recurrent neural networks, where predictive feedback modulates activity even 127 at early stages of sensory processing^{21,22}. A predominance of external mode, on the other hand, exposes perception to the destabilizing effects of ambiguity. Such transient failures of 129 perceptual inference may cause individuals to be deluded by spurious connections between 130 unrelated events³, to attribute the sensory consequences of their actions to an outside force, 131 and to hallucinate signals in noise³. 132

During external mode, healthy participants were more confident in their choices (0.72 \pm 0.07, z = 9.92, p = 7.85 \times 10⁻²², Supplemental Figure S1E) and scored higher on the Clinician-Administered-Dissociative-States-Scale²³ (CADSS, 1.05 \pm 0.54, T(208.05) = 1.95, p = 0.05, Supplemental Figure S5B). Given the known association of psychosis with elevated confidence²⁴ and dissociative symptoms²⁵, intervals of external mode may thus reflect

the computational correlate of individual psychotic experiences. The dynamic nature of between-mode transitions illustrates how constant and potentially heritable dysfunctions of the NMDAR, such as GRIN2A mutations in Scz²⁶, may produce symptoms of psychosis that are recurrent and transient in nature.

3 Methods

143 3.1 Ressource availability

144 3.1.1 Lead contact

Further information and requests for resources should be directed to and will be fulfilled by the lead contact, Veith Weilnhammer (veith.weilnhamer@gmail.de).

147 3.1.2 Materials availability

148 This study did not generate new unique reagents.

149 3.1.3 Data and code availability

All data and code associated with this study will be made available on the associated Github repository https://github.com/veithweilnhammer/Ketamin_RDK upon publication. Key resources are listed in Supplemental Table S1.

153 3.2 S-ketamine vs. placebo

The S-ketamine experiment consisted in a total of three experimental sessions. During the 154 first session, we screened participants for S-ketamine contraindications (arterial hypertension. 155 prior psychiatric or neurological diagnoses including substance use disorder, use of psychoac-156 tive medication), and assessed psychosis proneness using the 40-item Peters Delusion Inventory (PDI²⁷) and the 32-item Cardiff Anomalous Perception Scale (CAPS²⁸). Moreover, we 158 conducted three experimental pre-test runs that tested the ability to process stereodisparity 159 (run 1, SAR = 1, cut-off: perceptual accuracy > 0.75), ensured the experience of spontaneous 160 switches during bistable perception (run 2, SAR = 0, cut-off: perceptual stability < 0.96, 161 corresponding to phase durations < 40 sec), and familiarized participants with the main 162 experiment (run 3, see below for details). 163

In the subsequent two sessions, participants received a continuous intravenous infusion of either S-ketamine at 0.1 mg/kg/h or a saline placebo. Health screenings were repeated before each session to ensure the participants remained eligible. At each day of testing, we checked for alcohol intoxication using a breathalyzer and recent illicit substance use via a urine drug screen.

Our experimental protocol was double-blinded: The order of S-ketamine and placebo administration was counter-balanced across participants, with at least a two week interval between sessions. The participants, as well as the experimenters tasked with collecting the behavioral and psychometric data, were unaware of whether S-ketamine or placebo was administered by an independent group of clinicians who excluded undiagnosed psychotic illness using the Brief Psychiatric Rating Scale (BPRS²⁹), established the intravenous line, started the infusion 15 min prior to the experiment, monitored the participants for side effects (blood pressure, drowsiness and vasovagal reactions, psychotomimetic effects), and removed the intravenous line at the end of the experiment, after which participants were monitored for at least 30 min. Deblinding occurred after data collection was complete.

3.2.1 Sample characteristics

We screened a total of 87 right-handed individuals with (corrected-to-) normal vision, who were naive to the purpose of the study and gave written informed consent before participating.

All experimental procedures were approved by the ethics committee at Charité Berlin.

From the group of screened participants, 31 did not meet our pretest criteria (6 due to per-183 ceptual accuracy < 0.75, 15 due to perceptual stability > 0.96, 8 due to substance use, 1 due 184 to do a diagnosis of ADHD, and 1 due to medication with sertraline). Out of the remaining 185 56 participants who were eligible for the S-ketamine experiment, we aborted the main exper-186 iment in 1 participant due to high blood pressure at baseline (RR > 140/80 mmHG), in 2 187 participants due to strong psychotomimetic effects (micropsia) or dizziness under S-ketamine, and in 1 participant due to a vasovagal syncope during intravenous insertion. 24 participants 189 were not available for the main experiment after successful pre-testing. We therefore report 190 the data from a total of 28 participants (mean age: 28.93 ± 1.35 years, 18 female) who met 191 all inclusion criteria and completed all experimental sessions. 192

193 3.2.2 Experimental paradigm

We presented the experiment using Psychtoolbox 3³⁰ running in Matlab R2021b (session 1: CRT-monitor at 85 Hz, 1280 x 1024 pixels, 60 cm viewing distance and 39.12 pixels per degree visual angle; session 2 and 32: CRT-monitor at 85Hz, 1280 x 1024 pixels, 40 cm viewing distance and 26.95 pixels per degree visual angle).

Procedure: Throughout the experiment, participants reported their perception of a discontinuous SMF stimulus (Supplemental Video S1). In this stimulus, random dots distributed on two intersecting rings induce the perception of a spherical object (diameter: 15.86°, rotational speed: 12 sec per rotation, rotations per block: 10, individual dot size: 0.12°) that rotates around a vertical axis with the front surface to the left or right²⁰. Stimuli were presented in 120 sec blocks, separated by 10 sec fixation intervals.

Participants viewed the stimuli through a custom mirror stereoscope. In the pretest experiment, we presented stimuli at complete disambiguation (run 1, SAR = 1), full ambiguity 205 (run 2, SAR = 0) and across five levels ranging from full ambiguity to complete disambigua-206 tion across five levels (run 3-5, $SAR \in \{0, 0.1, 0.25, 0.5, 1\}$). The SAR, which was constant 207 within blocks, defines the fraction of stimulus dots that received a disambiguating 3D sig-208 nal. Within each block, the direction of rotation enforced by the disambiguating 3D signal 200 changes in average intervals of 10 sec (i.e., at a probability of 0.15 per stimulus overlap, 210 see below). We pseudo-randomized the order of SAR across blocks and the direction of 211 disambiguation within blocks. 212

Participants were naive to the potential ambiguity in the visual display, passively experienced the stimulus and reported changes in their perception alongside their confidence via button-presses on a standard USB keyboard (right middle-finger on d: rotation of the front-surface to the right at high confidence; right index-finger on f: rotation of the front-surface to the right at low confidence; left middle-finger on k: rotation of the front-surface to the left at high confidence; left index-finger on j: rotation of the front-surface to the left at low confidence; thumb on space bar: unclear direction of rotation). Unclear perceptual states occurred at a rate of 0.03 ± 0.01 and were excluded from further analyses.

The direction of rotation enforced by s_t (i.e., whether the parametric 3D signal enforced leftward or rightward rotation of the front surface) changed at a rate of 0.15 per overlap (i.e., on average every 10 sec). Changes in s_t and the order of blocks, each corresponding to one level of SAR, were pseudo-random.

In session 1 (pre-test), each run (runs 1 to 3) consisted of six blocks. In session 2 and 3 (main 225 experiment), each run (run 4 and 5) consisted of 10 blocks. After every third block, the main 226 experiment was paused to allow for the monitoring of the participants' vital signs (blood 227 pressure and pulse rate) and dynamic changes in psychotomimetic experiences. The latter 228 was assessed using the 6 item Clinician-Administered-Dissociative-States-Scale (CADSS²³) and three additional questions (Q1: How awake do you feel?, Q2: How intoxicated do you 230 feel?, Q3: How nervous do you feel?) to which participants responded by clicking on a 231 continuous line that encoded responses from not at all to very much. To measure global 232 psychotomimetic effects of S-ketamine vs. placebo, participants completed the Questionnaire 233 for the Assessment of Altered States of Consciousness (5D-ASC³¹) at the end of session 2 234 and 3. In addition, we collected responses on a debriefing questionnaire, in which we asked 235 participants to describe whether they were able to accurately perceive the two directions of rotation induced by the SFM stimulus, whether they noticed any differences between blocks, 237 whether they would guess that they received S-ketamine or placebo, and whether they had 238

239 experienced any effects that they would attribute to a psychoactive substance.

Stereodisparity thresholds: At the beginning of the session 2 and 3, we conducted an independent stereo-acuity test to detect a potential effect of S-ketamine on stereodisparity thresholds¹⁹. We presented 5000 dots (each at 0.15° visual angle) within a square of 11° x 11° around a central fixation cross (0.10°). We added a stereodisparity signal to all dots on a Landolt C, i.e., a circle (1.37° radius, 2.06° width) with a 90° gap located either at the left, top, right or bottom. Stimuli were presented for 1 sec, after which participants reported the location of the gap by pressing the up-, down-, left- or right-arrow key within a 2 sec response interval, followed by 5 sec of fixation before the next trial.

We adjusted the stereodisparity of the Landolt C in a two-up-one-down staircase across 40 trials (initial stereodisparity: 0.0045°, correct response: decrease in the available stereodisparity by one step; incorrect response: increase by two steps, initial step-size: 0.001°,
reduction to 0.0005° after first reversal). Stereodisparity thresholds were defined by the
average stereodisparity present at the last 10 trials of the staircase.

Scores and Questionnaires: Supplementary Table S2 provides an overview of our psychometric data.

²⁵⁵ 3.3 Scz patients vs. healthy controls

To test whether Scz patients show similar changes in bimodal inference as healthy participants who receive the NMDAR-antagonist S-ketamine, we re-analyzed data from a previously published case-control study¹⁹ that compared Scz patients to healthy participants in paradigm analogous to the S-ketamine experiment described above.

60 3.3.1 Sample characteristics

We report data from 23 patients diagnosed with paranoid Scz (ICD-10: F20.0, 18 male, age $= 37.13\pm2.42$) and 23 controls (17 male, age $= 33.57\pm1.74$) that were matched for gender, age and handedness¹⁹.

264 3.3.2 Experimental paradigm

Stimuli were presented using Psychtoolbox 3³⁰ running in Matlab R2007b (CRT-Monitor at 60 Hz, 1042x768 pixels, 59.50cm viewing distance, 30.28 pixels per degree visual angle).

Main Experiment: Throughout the experiment, participants reported their perception of a discontinuous SFM stimulus (see Supplemental Video S2) via button-presses on a standard

USB keyboard. In contrast to the S-ketamine experiment, the 300 dots (0.05°) that composed the stimulus $(2.05^{\circ} \times 2.05^{\circ})$ were not placed on rings, but on a Lissajous band defined by the perpendicular intersection of two sinusoids $(x(p) = sin(A * p) \text{ and } y(p) = cos(B * p + \delta)$ with A = 3, B = 6, with δ increasing from 0 to 2π at 0.15 Hz. Overlapping configurations of the stimulus occurred in intervals of 3.33 sec. Participants viewed the stimuli through a mirror stereoscope. Fusion was supported by rectangular fusion-frames and a background of random dot noise (700 dots of 0.05° which moved at a speed of 1.98° per sec and changed their direction at a rate of 1 Hz).

We presented participants with 3 sessions of the main experiment, each consisting of 14 40.08 sec blocks that were separated by 5 sec of fixation and differed with respect to the SAR, ranging from full ambiguity to complete disambiguation in 8 levels ($SAR \in \{0,0.01,0.04,0.9,0.16,0.26,0.50,1\}$). The frequency of changes in the direction of the disambiguating signal corresponded to the frequency of spontaneous changes that participants perceived during full ambiguity (SAR = 0). In contrast to the S-ketamine experiment, participants only reported the perceived direction of rotation y_t (left vs. rightward movement of the front surface).

Stereodisparity thresholds: We assessed stereodisparity thresholds in Scz patients and controls using the procedure described above.

Scores and Questionnaires: We used the PDI²⁷ and the CAPS²⁸ to measure delusional ideation and perceptual anomalies in Scz patients and controls. Clinical symptom severity was assessed using the *Positive and Negative Syndrome Scale* (PANSS)³².

290 3.4 Quantification and statistical procedures

This manuscript was written in RMarkdown. All data and summary statistics can be reviewed by cloning the Github respository https://github.com/veithweilnhammer/Ketami n_RDK and running the file ketamine_scz_frmri_modes.Rmd, which will be made public at the time of publication.

The SFM stimuli used in the above studies share an important feature: Even though physically ambiguous at all angles of rotation, spontaneous changes in the perceived direction of rotation are limited to overlapping configurations of the stimuli^{19,20} (see also Supplemental Figure S1 and S4). This is because depth-symmetry, which is a prerequisite for changes in subjective experiences during bistable SFM^{19,20}, is limited to timepoints when the bands that compose the stimuli overlap (Supplemental Video S1 and S2).

301 We therefore discretized the perceptual timecourse of all experiments into a sequence of

overlaps that occur at times t (1.5 sec inter-overlap interval for the S-ketamine and fMRI experiment, 3.33 sec inter-overlap interval for the case-control experiment). Each inter-303 overlap interval is characterized by the primary independent variable $s_t = [-1, 1] \times SAR$ (the SAR-weighted input ranging from maximum information for leftward rotation to maximum 305 information for rightward rotation). As secondary independent variables, we considered 306 block and session index (reflecting the time participants were exposed to the experiment), 307 participant identifiers and, if applicable, treatment or group identifiers. Primary dependent 308 variables were $y_t = [0, 1]$ (the experience of either leftward or rightward rotation), r_t (the 309 time between the button-press indicating a perceptual event relative to the preceding overlap) 310 and, if applicable, $c_t = [0, 1]$ (low vs. high confidence). As secondary dependent variables, 311 we computed perceptual accuracy (the probability of $y_t \cong s_t$) and perceptual stability (the 312 probability of $y_t = y(t-1)$). We report averages as mean \pm s.e.m. 313

3.4.1 Conventional statistics

The goal of our conventional statistics was to quantify the effect of NMDAR hypofunc-315 tion, whether due to an antagonism with S-ketamine or due to a diagnosis of Scz, on the 316 interpretation of ambiguous sensory information. To this end, we performed standard lo-317 gistic and linear regression by fitting (general) linear effects models using the R-packages 318 lmer, glmer and afex (see Supplemental Table S2). We predicted y_t , c_t , perceptual accuracy 319 and perceptual stability in logistic regression, and r_t in linear regression. We estimated 320 random intercepts defined within participants in the S-ketamine experiment and nested ran-321 dom intercepts for participants within groups in the case-control experiment. We applied 322 a Bonferroni-correction for the number of main effects and interactions within models. For 323 non-normally distributed secondary dependent variables, we performed rank-based tests to 324 assess correlations (Spearman) and distribution differences (Wilcoxon). 325

6 3.4.2 Computational modeling

Having established the effect of NMDAR hypofunction on the interpretation of ambiguous sensory information, we used computational modeling to arbitrate between two mechanistic explanations on how S-ketamine and schizophrenia may alter perceptual inference.

Hypothesis H1: Unimodal inference In one scenario, NMDAR hypofunction may induce a global increase in the sensitivity to external inputs relative to the stabilizing internal prediction. This unimodal scenario would be reflected by S-ketamine- or Scz-related changes in the weights $w \equiv \{\beta_S, \beta_P, \beta_B\}$ of a GLM that predicts percepts y_t from the input vector x_t , which consists in the SAR-weighted external input s_t , the stabilizing internal prediction y_{t-1} and a constant bias b:

$$P(y_t = 1|x_t) = \frac{1}{1 + e^{-x_t \times w}} \tag{1}$$

$$x_t \times w = s_t \times \beta_S + y_{t-1} \times \beta_P + b \times \beta_B \tag{2}$$

According to the unimodal hypothesis 1, NMDAR hypofunction increases β_S at the expense of β_P , leading to an increase of $\Delta_{S-P} = \beta_S - \beta_P$.

Hypothesis H2: Bimodal inference In an alternative scenario, NMDAR hypofunction does not change the weights of the GLM directly, but modulates the transition between latent modes¹⁷ or decision-making strategies¹⁶ that differ with respect to the balance between external inputs s_t and the stabilizing internal prediction provided by y_{t-1} . In the bimodal scenario, perceptual inference is characterized by two latent modes z_t (i.e., states in a HMM) that alternate at a probability per overlap that is defined by a 2 x 2 transition matrix A:

$$P(z_t = k | z_{t-1} = j) = A_{kj} (3)$$

Each state z_t is associated by an independent GLM defined by the weights w_k :

$$P(y_t = 1|x_t, z_t) = \frac{1}{1 + e^{-x_t \times w_k}} \tag{4}$$

$$x_t \times w_k = s_t \times \beta_{S,k} + y_{t-1} \times \beta_{P,k} + b \times \beta_{B,k} \tag{5}$$

The bimodal hypothesis H2 differs from the unimodal hypothesis H1 in two ways: First, bimodal inference is characterized by two (as opposed to one) GLMs that differ with respect to Δ_{S-P} : During external mode, β_S is increased relative to β_P , whereas during internal mode, β_P is increased relative to β_S . Second, during bimodal inference, NMDAR hypofunction does not alter the weights within the external and internal GLMs, but modulates the transition probability between the two.

Procedure: To contrast hypotheses H1 and H2, we fitted unimodal and bimodal GLM-HMMs using SSM³³ (Supplemental Table S2), compared models via Bayesian Information Criterion (BIC), and assessed the effects of S-ketamine or Scz on the posterior model parameters, i.e., HMM transition probabilities and the mode-dependent GLM weights w_k . Model

fitting using SSM is governed by the hyperparameters σ^2 and α . σ^2 denotes the variance of a prior over the GLM weights w_k . Smaller values of σ^2 shrink w_k toward 0, whereas $\sigma = \infty$ leads to flat priors. We set σ^2 to 100 for GLMs that predicted group-level data, and to 1 for GLMs that predicted participant- or session-level data, which were initialized with group-level estimates of w_k . α defines the Dirichlet prior over the transition matrix A and is flat for $\alpha = 1$. We set α to 1 for all group-level and participant-level fits.

For each experiment, computational modeling was carried out in a sequence of 3 steps: In a first step, we fitted a unimodal GLM initialized with noisy weights to the group-level data (i.e., data pooled across participants within an individual experiment) for a total of n=100 iterations and computed the average posterior weights w_n . In a second step, we fitted the group-level data with the unimodal and the bimodal GLM-HMM initialized by w_n , extracted the posterior parameters w_k , and compared the models using BIC.

In a third step, we fitted the unimodal and the bimodal GLM-HMM to session-level data 367 (S-ketamine experiment) and participant-level data (case-control experiment). Models were 368 initialized by the average weights w_n of the corresponding group-level model. For all bimodal 369 group-, participant- and session-level GLM-HMMs, we defined the latent mode associated 370 with the higher posterior β_S estimate as external. Our definition of mode is thus agnostic 371 with respect to β_P and Δ_{S-P} . This allowed us to contrast external-to-internal bimodal 372 inference (hypothesis H2) with a third alternative where perception fluctuates between latent 373 states that differ with respect to decision noise (hypothesis H0). Low decision noise is 374 characterized by higher posterior estimates of β_S and β_P , whereas high decision noise is 375 characterized by lower posterior estimates of β_S and β_P . Δ_{S-P} therefore discerns fluctuations 376 in decision noise (H0, no changes in Δ_{S-P}) from external-to-internal bimodal inference (H2, 377 mode-associated changes in Δ_{S-P} with higher estimates during external mode). 378

For summary statistics, we extracted the posterior weights w_k (separately for external and internal mode) and the dynamic posterior probability of external mode $z_t=e$.

$_{\scriptscriptstyle{81}}$ 4 Figures

4.1 Figure 1



Figure 1.

384

A. When inferring whether the world is one state or another $(P(y_t = 1))$ or $P(y_t = 0)$, 385 respectively), the brain integrates ambiguous sensory signals s_t with internal predictions 386 that reflect prior knowledge about the environment. One source of prior knowledge is the 387 temporal autocorrelation of natural stimuli, in which the recent past often predicts the near 388 future. The integration of external inputs and internal predictions depends on the weights assigned to incoming sensory data $(\beta_S \times s_t)$ and to internal prediction derived from previous 390 experiences ($\beta_P \times y_{t-1}$, dotted versus solid lines, simulated data), respectively. β_S determines 391 the slope, and β_P the shift of the psychometric function that links s_t and y_t . Importantly, 392 the balance $\Delta_{S-P}=\beta_S-\beta_P$ is known to alternate between two opposing modes: During 393 the external mode (left), perception is largely determined by $\beta_S \times s_t$, which is reflected by 394 a steep slope and a small shift of the psychometric curve. Conversely, during the internal 395 mode (right), perception is shaped by $\beta_P \times y_{t-1}$, resulting in a shallow slope and a large shift of the psychometric curve. 397

B. We conducted a double-blind placebo-controlled experiments in 28 healthy human participants, who received a continuous infusion with either the NMDAR antagonist S-ketamine or saline. During the infusion, the participants viewed an ambiguous SFM stimulus that was compatible with two mutually exclusive subjective experiences (left vs. rightward rotation of the front surface, red arrows). This induced the phenomenon of bistable perception:
While the ambiguous stimulus remained constant, participants perceived only one direction of rotation (blue arrow), before switching to the competing alternative.

C. Changes in the perceived direction of rotation of the SFM stimulus occur at brief depthsymmetric configurations of the stimulus (Supplemental Video S1). We therefore transformed the behavioral responses into a sequence of states t (80–1.5 sec intervals per block), each associated with a combination of the SAR-weighted input s_t and the perceived direction of rotation y_t . We used GLMs to quantify the weights β_S , β_P and β_B , which reflect how inferences y_t were determined by the external inputs $\beta_S \times s_t$ and internal predictions $\beta_P \times s_t$

 y_{t-1}

$_{412}$ 4.2 Figure 2

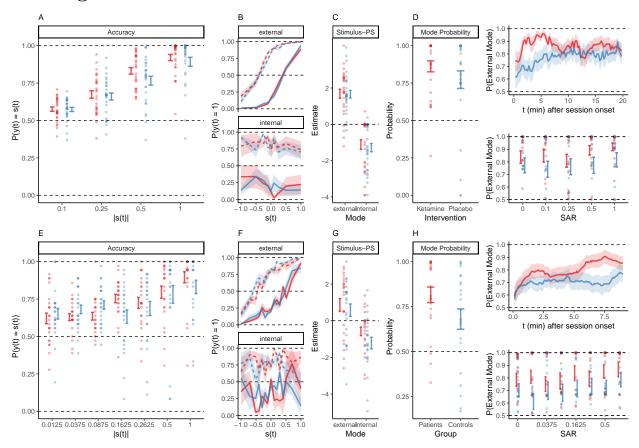


Figure 2.

A. The percepts y_t were more likely to match the stimuli s_t at higher levels of SAR (3.01 \pm 0.06, z = 50.39, p = 0). The positive effect of SAR on $P(y_t \cong s_t)$ was more pronounced under S-ketamine (red) relative to placebo (blue; 0.45 \pm 0.08, z = 5.6, p = 1.71 \times 10⁻⁷).

B. In the S-ketamine experiment, the HMM identified two modes that differed with respect to the relative weighting of external sensory data and internal predictions: Perception fluctuated between an external mode, determined by the input s_t (upper panel panel, steep slope and small shift of the psychometric curve), and an internal mode, dominated by a stabilizing prediction that biased perception toward previous experiences y_{t-1} (lower panel, shallow slope and large shift of the psychometric curve). Within modes, there was no significant effect of S-ketamine (red) versus placebo (blue) on the relation of y(t) with s(t) and y(t-1). C. Δ_{S-P} , the balance between the external input and the stabilizing internal predictions, was larger during external than during internal mode $(2.8 \pm 0.29, T(-81) = -9.5, p = 5.22 \times 10^{-13})$. Importantly, we found no significant effect of S-ketamine (red) vs. placebo

(blue) on Δ_{S-P} within modes (-0.03 ± 0.29, T(81) = -0.1, p = 1).

D. S-ketamine (red) increased the probability of external mode (1.01 \pm 0.03, z = 30.7, p $=4.26\times10^{-206}$) relative to placebo (blue; left panel). The effect of S-ketamine on mode 430 was present from the start of the session (1.77 \pm 0.07, z = 26.9, p = 8.88 \times 10⁻¹⁵⁹, upper 431 panel), with no significant effect of time (-0.18 ± 0.08 , z = -2.17, p = 0.12). Relative to 432 placebo, S-ketamine increased the probability of external mode across all SARs (0.85 \pm 0.06, 433 z = 14.14, $p = 8.33 \times 10^{-45}$, lower panel). Higher SARs were associated with an increased 434 probability of external mode (1.34 \pm 0.09, z = 15.01, p = 2.49 \times 10⁻⁵⁰), in particular under 435 S-ketamine (0.62 \pm 0.11, z = 5.52, p = 1.32 \times 10⁻⁷). Alternations between external and 436 internal mode were found at all SARs: From from full ambiguity to complete disambiguation, the probability of external mode increased by only 0.11 under S-ketamine and 0.07 under placebo. 439

E. In patients (red) and controls (blue), percepts y_t were more likely to match the stimuli s_t at higher levels of SAR ($\beta_S = 2.77 \pm 0.11$, z = 24.85, p = 2.18 × 10⁻¹³⁵). Patients followed the external inputs more closely than controls (0.75 ± 0.15, z = 4.96, p = 5.6 × 10⁻⁶).

F. In analogy to the S-ketamine experiment, the HMM identified two opposing modes in Scz patients (red) and controls (blue). The external mode increased the sensitivity toward s_t (slope of the psychometric function) and weakened the effect of the stabilizing internal prediction y_{t-1} (shift between the dotted and solid line) relative to the internal mode. Within modes, there was no effect of group on the relation of y(t) with s(t) and y(t-1).

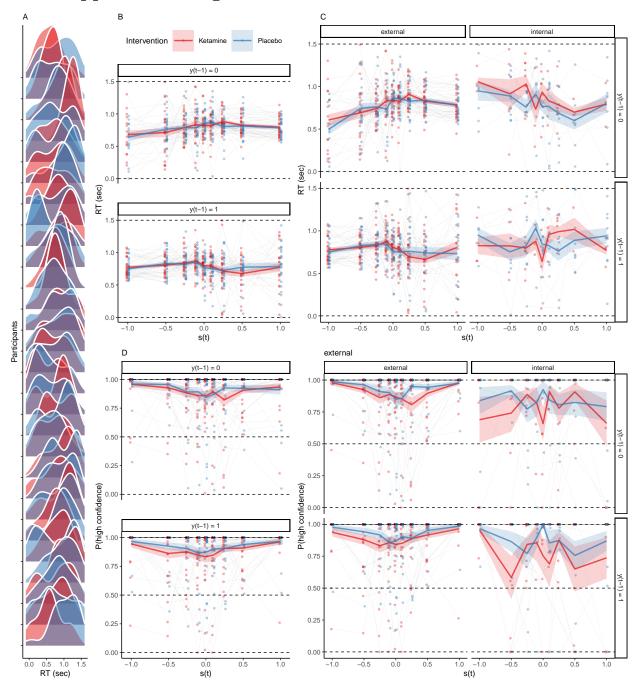
G. The external mode increased Δ_{S-P} , the balance between external inputs and internal predictions, in patients (red) and controls (blue; 1.44 ± 0.33 , T(44) = 4.33, $p = 3.39 \times 10^{-4}$), with no significant effect of group $(-0.28 \pm 0.54, T(87.97) = -0.52, p = 1)$.

H. Relative to controls (blue), patients (red) spent more time in external mode (0.52 \pm 451 0.03, z = 16.88, $p = 1.23 \times 10^{-63}$, left panel). In both group, biases toward external mode 452 increased over time after session onset (2.41 \pm 0.11, z = -21.37, p = 1.02 \times 10⁻¹⁰⁰; upper 453 panel), with a stronger effect in patients (1.84 \pm 0.14, z = 12.97, p = 7.09 \times 10⁻³⁸). Patients 454 were more likely than controls to be in external mode across all levels of SAR (0.51 \pm 0.03, 455 z = 14.56, $p = 1.89 \times 10^{-47}$, lower panel). External mode increased with SAR (0.63 \pm 0.1, z = 6.47, $p = 3.85 \times 10^{-10}$), with no significant difference between groups (0.15 ± 0.13, z =1.16, p = 0.98). As in the S-ketamine experiment, alternations between external and internal 458 mode were found at all SARs: From from full ambiguity to complete disambiguation, the 459 probability of external mode increased by only 0.12 in patients and 0.18 in controls. 460

5 Supplemental Information

² 5.1 Supplemental Figure S1

463

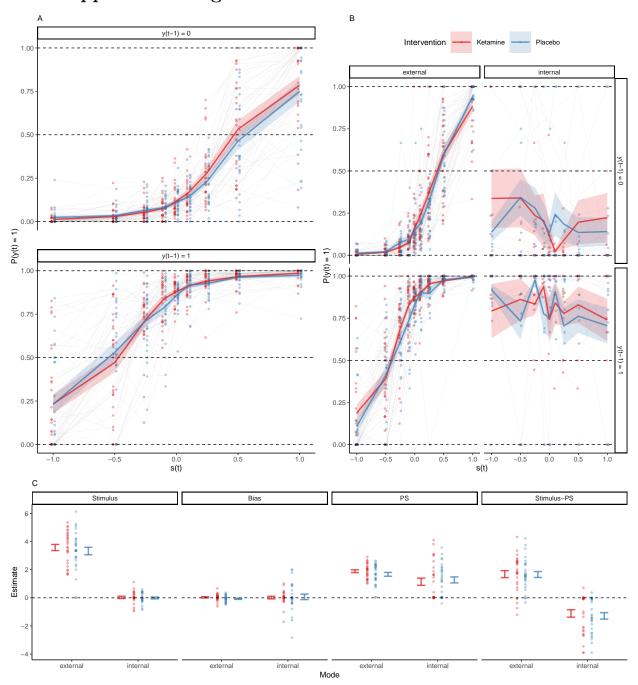


Supplemental Figure S1. The effects of ketamine and bimodal inference on RT.

A. RT were non-uniformly distributed across the inter-overlap interval (D = 0.09, p = 5.38×10^{-9} , one-sample Kolmogorov-Smirnov test). This corroborates that changes in perception aligned with the overlapping configurations of the stimulus after S-ketamine (red)

- and placebo (blue).
- **B.** RT showed a quadratic relationship with s_t (-6.87 \pm 1.68, T(6.2 \times 10³) = -4.1, p =
- 5.1×10^{-4}), indicating faster responses when sensory information was reliable ($|s_t| \gg 0$; note
- that SAR as shown in Figure 2A and 2E is equal to $|s_t|$). We observed no main effect of
- S-ketamine (red) vs. placebo (blue) on RT $(-3.35 \times 10^{-3} \pm 0.01, T(6.2 \times 10^{3}) = -0.32, p$
- 473 = 1).
- C. We found no additional effect of mode on RT (0.02 \pm 0.03, z = 5.96×10^3 , p = 0.78).
- D. Confidence showed a quadratic relationship with s_t (74.83 \pm 2.39, z = 31.32, p = 3.22 \times
- 10^{-214}), confirming that participants were more confident when sensory information was
- reliable ($|s_t| = SAR \gg 0$). Relative to placebo (blue), S-ketamine (red) reduced choice
- confidence (-0.21 ± 0.04 , z = -5.9, p = 4.36×10^{-8}), and decreased the quadratic effect of
- s_t on confidence (-19.95 \pm 2.36, z = -8.45, p = 3.48 \times 10 $^{-16}$).
- E. External mode increased confidence globally $(0.72 \pm 0.07, z = 9.92, p = 7.85 \times 10^{-22})$ and
- $_{\text{481}}$ by elevating the quadratic effect of s_t on confidence (242.61 \pm 18.43, z = 13.16, p = 3.37 \times
- 482 10⁻³⁸). When controlling for mode, the negative effect of S-ketamine (red) vs. placebo (blue)
- 483 on confidence and on the quadratic relationship of confidence with s_t remained significant.

5.2 Supplemental Figure S2



Supplemental Figure S2. Extended data on the effects of S-ketamine and mode on perceptual inference (related to Figure 2A-C).

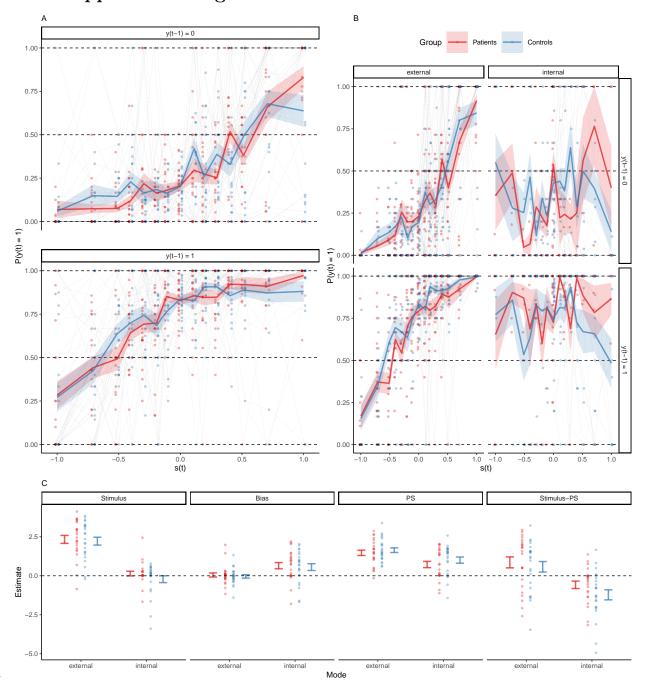
A. Here, we show psychometric curves (percept y_t versus input s_t) under S-ketamine (red) and placebo (blue). The plot separates times t for which the previous experience was leftward rotation ($y_{t-1} = -1$, upper panel) and rightward rotation ($y_{t-1} = +1$, lower panel). As expected, y_t was driven by both the external input s_t ($\beta_S = 3.01 \pm 0.06$, z = 50.39, p = 0)

and the previous percept y_{t-1} ($\beta_P=2.06\pm0.03$, z=80.58, p=0). We found no significant interaction between the s_t and y_{t-1} (-0.06 ± 0.06 , z=-1.06, p=1). Relative to placebo, S-ketamine caused a shift of y_t toward s_t (0.45 ± 0.08 , z=5.6, $p=1.71\times10^{-7}$), with no significant effect on y_{t-1} (0.08 ± 0.04 , z=2.39, p=0.13). We found no significant three-way-interaction (drug x s_t x y_{t-1} , -0.07 ± 0.08 , z=-0.9, p=1).

B. This panel shows the data from panel (A) separately for times t where the HMM identified the mode of perceptual inference as external (left panels) or internal (right panels). When the mode of perceptual processing was added to the prediction of y_t from s_t and y_{t-1} , the effect S-ketamine (red) vs. placebo (blue) on s_t disappeared (0.24 \pm 0.11, z = 2.13, p = 0.53). Instead, changes in the balance between s_t and y_{t-1} were loaded onto fluctuations between external and internal mode, which caused perception to shift away from external inputs s_t (-4.23 \pm 0.21, z = -20.01, p = 7.54 \times 10⁻⁸⁸) and toward previous experiences $y_t = 1$ (0.78 \pm 0.09, z = 8.64, p = 8.81 \times 10⁻¹⁷).

C. Here, we plot the weights from the GLM $y_t = \beta_S \times s_t + \beta_P \times y_{t-1} + \beta_B \times 1$, alongside the 505 balance between external inputs and previous experiences $\Delta_{S-P} = \beta_S - \beta_P$ during external 506 and internal mode. Colors indicate S-ketamine (red) and placebo (blue). β_S , the weight 507 associated with the external input s_t , was positive in external mode, but reduced to zero in 508 internal mode $(-3.55 \pm 0.23, T(81) = -15.44, p = 4.78 \times 10^{-24})$. We found no additional 509 effect of S-ketamine (red) versus placebo (blue; -0.25 ± 0.23 , T(81) = -1.1, p = 1) and no significant interaction (0.21 \pm 0.33, T(81) = 0.65, p = 1). β_B , the weight associated with 511 the constant response bias b toward rightward rotation, was not different from zero (β_B 512 0.04 ± 0.11 , T(98.36) = 0.31, p = 1). We found no effect of drug (-0.11 \pm 0.14, T(81) = 513 -0.74, p = 1) or mode (-0.02 \pm 0.14, T(81) = -0.12, p = 1) on the bias weight $\beta_B.$ $\beta_P,$ the 514 weight associated with the previous percept y_{t-1} was not modulated by S-ketamine (-0.22) 515 ± 0.26 , T(81) = -0.87, p = 1) or mode (-0.75 ± 0.26 , T(81) = -2.92, p = 0.29). There was no significant interaction between drug and mode with respect to β_P (0.35 ± 0.36, T(81) 517 = 0.97, p = 1). The balance Δ_{S-P} between external inputs and internal predictions was 518 determined by mode $(2.8 \pm 0.29, T(81) = 9.5, p = 5.22 \times 10^{-13})$, with no significant effect 519 of S-ketamine $(0.03 \pm 0.29, T(81) = 0.1, p = 1)$ and no interaction $(0.14 \pm 0.42, T(81) =$ 520 0.34, p = 1).

2 5.3 Supplemental Figure S3



Supplemental Figure S3. Extended data on external and internal mode in Scz patients and healthy controls (related to Figure 2E-H).

A. Here, we show psychometric curves (percept y_t versus input s_t) in patients (red) and controls (blue). The plot separates times t for which the previous experience was leftward rotation ($y_{t-1} = -1$, upper panel) and rightward rotation ($y_{t-1} = +1$, lower panel). Perception was driven by s_t ($\beta_S = 2.77 \pm 0.11$, z = 24.85, $p = 2.18 \times 10^{-135}$) and y_{t-1} ($\beta_P = 1.5$

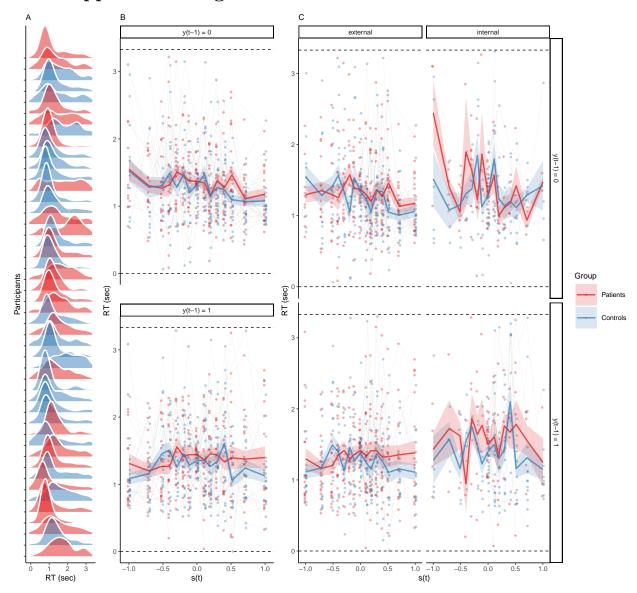
 \pm 0.03, z = 58.2, p = 0), with no significant interaction between s_t and y_{t-1} (-5.41 × 10⁻³ \pm 0.11, z = -0.05, p = 1). Patients were more sensitive to s_t (0.75 \pm 0.15, z = 4.96, p = 5.6 × 10⁻⁶). We found no significant three-way-interaction (group x s_t x y_{t-1} , -0.37 \pm 0.15, z = -2.45, p = 0.11).

B. This panel shows the data from panel (A) separately for times t where the HMM identified the mode of perceptual inference as external (left panels) or internal (right panels). When the mode of perceptual processing was added to the prediction of y_t from s_t and y_{t-1} , the difference between patients (red) and controls (blue) in the effect of s_t on y_t disappeared (-0.02 ± 0.22 , z = -0.08, p = 1). Instead, changes in the balance between s_t and y_{t-1} were loaded onto fluctuations between external and internal mode, which caused perception to shift away from external inputs s_t (-3.47 ± 0.29 , z = -11.95, $p = 1.01 \times 10^{-31}$) and toward previous experiences $y_t - 1$ (0.5 ± 0.07 , z = 6.85, $p = 1.15 \times 10^{-10}$).

C. Here, we plot the weights from the GLM $y_t = \beta_S \times s_t + \beta_P \times y_{t-1} + \beta_B \times 1$, alongside the 542 balance between external inputs and previous experiences $\Delta_{S-P} = \beta_S - \beta_P$ during external 543 and internal mode. Colors indicate the group (patients in red, controls in blue). β_S , the 544 weight associated with the external input s_t , was positive in external mode, but reduced 545 to zero in internal mode (-2.19 \pm 0.24, T(44) = -9.13, p = 4.07 \times 10⁻¹¹). We found 546 no additional effect of group $(-0.11 \pm 0.37, T(87.69) = -0.3, p = 1)$ and no significant 547 interaction (-0.25 \pm 0.34, T(44) = -0.74, p = 1). β_B , the weight associated with the constant response bias b toward rightward rotation, was not different from zero (0.05 \pm 0.18, 549 $T(1.62 \times 10^{-8}) = 0.29$, p = 1). We found no effect of group $(-0.09 \pm 0.25, T(1.62 \times 10^{-8})) = 0.29$ 550 -0.37, p = 1). There was a trend for a positive effect of internal mode $(0.6 \pm 0.24, T(88) =$ 551 2.47, p = 0.06) on the bias weight β_B . β_P , the weight associated with the previous percept 552 y_{t-1} , was reduced in internal mode (-0.75 ± 0.26, T(88) = -2.92, p = 0.02), but not 553 modulated by group $(0.17 \pm 0.32, T(9.88 \times 10^{-10}) = 0.54, p = 1)$. There was no significant interaction between group and mode with respect to β_P (0.11 \pm 0.36, T(88) = 0.3, p = 555 1). The balance Δ_{S-P} between external inputs and internal predictions was determined by 556 mode $(1.44 \pm 0.33, T(81) = 9.5, p = 3.39 \times 10^{-4})$, with no significant effect of group (0.28)557 ± 0.54 , T(87.97) = 0.52, p = 1) and no interaction (0.36 ± 0.47 , T(44) = 0.76, p = 1).

5.4 Supplemental Figure S4

560



561 Supplemental Figure S4. RT and bimodal inference in Scz patients and controls.

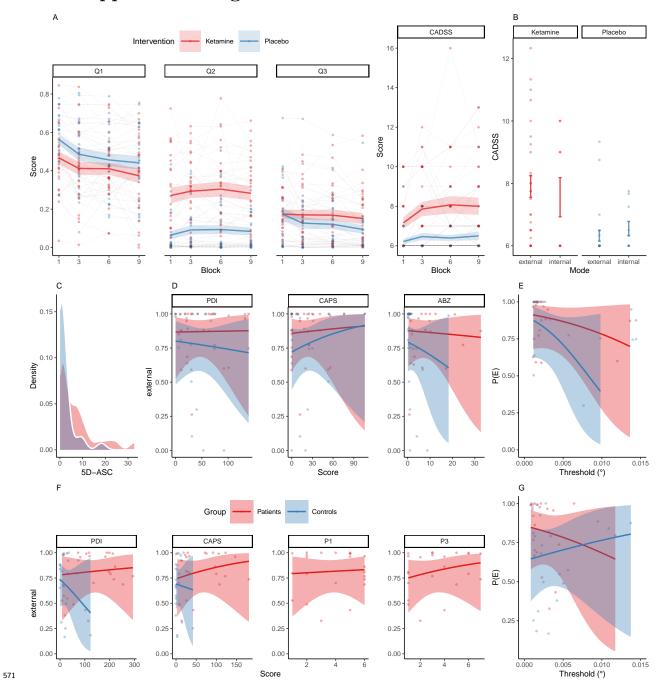
A. RT were non-uniformly distributed across the inter-overlap interval (D = 0.22, p = 0, onesample Kolmogorov-Smirnov test against uniformity) in patients (red) and controls (blue).

This confirmed that changes in perception were aligned with the overlapping configurations of the stimulus.

B. RT did not differ between patients (red) and controls (blue; -0.07 ± 0.08 , T(66.96) =-0.87, p = 1). We found no quadratic relationship between RT and s_t (-3.54 ± 2.34 , T(5.33×10^3) = -1.51, p = 1).

C. We found no effect of mode on RT (0.03 \pm 0.04, $z = 4.89 \times 10^3$, p = 0.76).

5.5 Supplemental Figure S5



Supplemental Figure S5. Scores and Questionnaires.

A. Responses to Q1 (*How awake do you feel?*) indicated that participants felt more tired under S-ketamine (red) than placebo (blue; -1.53 ± 0.6 , z = -2.57, p = 0.04), with no significant effect of time or a between-factor interaction. Responses to Q2 (*How intoxicated do you feel?*) indicated that participants felt more intoxicated under S-ketamine (3.32 \pm 1.44, z = 2.3, p = 0.09), with no significant effect of time or a between-factor interaction.

- Responses to Q3 (*How nervous do you feel?*) revealed no effect of S-ketamine (-3.01 ± 2.62 , z = -1.15, p = 1), time, nor a significant between-factor interaction. CADSS scores were elevated under S-ketamine (1.01 ± 0.34 , T(185.32) = 2.99, p = 0.01) with a borderline trend for an increase over time (0.09 ± 0.04 , T(185.61) = 2.24, p = 0.1) and no significant between-factor interaction.
- B. Q1-3 and CADSS scores were collected after blocks 1, 3, 6 and 9. To assess how the mode of perceptual inference was linked to dissociative symptoms, we separated the participants ratings according to the mode that dominated perception at the very end of the preceding block. While controlling the effect of S-ketamine (red) vs placebo (blue), we found that external mode increased dissociative symptoms $(1.05 \pm 0.54, T(208.05) = 1.95, p = 0.05)$, but had no effect on wakefulness (Q1), subjective intoxication (Q2) or nervousness (Q3).
- ⁵⁸⁹ C. 5-ASC scores were elevated under S-ketamine (red) relative to placebo (blue; 4.89 ± 1.59 , T(27.14) = 3.08, $p = 9.33 \times 10^{-3}$).
- D. Neither PDI, CAPS, nor 5-ASC scores were predictive of the probability of external mode (shown separately for S-ketamine in red and placebo in blue).
- E. Stereodisparity thresholds were not predictive of the probability of external mode (-28.73 ± 781.1 , z=-0.04, p=0.97). Thresholds did not differ between S-ketamine (red) and placebo (blue; W=102, p=0.66).
- F. Neither PDI, CAPS (patients in red and controls in blue), nor the PANSS items P1 (delusions) or P3 (hallucinations, patients only) predicted the probability of external mode.
- G. In patients (red) and controls (blue), stereodisparity thresholds were not predictive of the probability of external mode (-1.88 ± 2.05 , z = -0.92, p = 1). Thresholds did not differ between groups (V = 976, p = 0.52).

5.6 Supplemental Table S1

RESOURCE	SOURCE	IDENTIFIER
Deposited data & code		
Analyzed data & custom	https://github.com/veithweilnhamme	N/A
code	$r/Ketamin_RDK/$	
Software		
Matlab	https://www.mathworks.com/	RRID:SCR_001622
Psychtoolbox 3	http://psychtoolbox.org/	RRID:SCR_002881
R	http://www.r-project.org/	RRID:SCR_001905
RStudio	https://www.rstudio.com/	RRID:SCR_000432
lme4, afex, statConfR,	http://cran.r-project.org/	RRID:SCR_003005
ggplot2, ggridges,		
gridExtra, tidyr, plyr,		
readxl		
Python 3	http://www.python.org/	RRID:SCR_008394
Jupyter Notebook	https://jupyter.org/	RRID:SCR_018315
numpy	http://www.numpy.org	RRID:SCR_008633
pandas	https://pandas.pydata.org	RRID:SCR_018214
SSM	https://github.com/lindermanlab/ssm	N/A

⁶⁰² Supplemental Table S1. Key resources.

 $_{603}$ 5.7 Supplemental Table S2

Scale	Scope	Condition	mean \pm s.e.m.
$\overline{\mathbf{PDI}^{27}}$	Delusion proneness	Global	46.22 ± 7.19
${f CAPS^{28}}$	Hallucination proneness	Global	23 ± 5.05
\mathbf{BPRS}^{29}	Screen for psychotic illness	Global	0.64 ± 0.27
$\mathbf{5D\text{-}ASC}^{31}$	Altered states of consciousness	S-ketamine	7.11 ± 1.59
		Placebo	2.2 ± 0.75
${f CADSS}^{23}$	Dissociation	S-ketamine	7.8 ± 0.33
		Placebo	6.43 ± 0.17
$\mathbf{Q}1$	Wakefulness	S-ketamine	0.41 ± 0.03
		Placebo	0.48 ± 0.03
$\mathbf{Q2}$	Intoxication	S-ketamine	0.29 ± 0.03
		Placebo	0.09 ± 0.02
Q3	Nervousness	S-ketamine	0.17 ± 0.02
		Placebo	0.13 ± 0.03
Stereovision	Disparity thresholds	S-ketamine	$2.89\times10^{-3}~\pm$
			6.18×10^{-4}
		Placebo	$2.75\times10^{-3}~\pm$
			4.39×10^{-4}

Supplemental Table S2. Psychometric data for the S-ketamine experiment.

 $_{605}$ 5.8 Supplemental Table S3

Scale	Scope	Condition	mean \pm s.e.m.
$\overline{ ext{PDI}^{27}}$	Delusion proneness	Patients	138.83 ± 16.64
		Controls	21.87 ± 5.75
\mathbf{CAPS}^{28}	Hallucination proneness	Patients	65.17 ± 10.56
		Controls	7.13 ± 2.2
P1	Delusions	Patients	3.83 ± 0.39
P3	Delusions	Patients	3.35 ± 0.44
Stereovision	Disparity thresholds	Patients	$2.82\times10^{-3}~\pm$
			5.13×10^{-4}
		Controls	$3.46\times10^{-3}~\pm$
			7.14×10^{-4}

⁶⁰⁶ Supplemental Table S3. Psychometric data for Scz-control-study.

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