

# Heterogeneous core-mantle boundary heat flux in thermo-chemical core convection

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# Abstract

Thermal coupling between convection in Earth's mantle and core was proposed to explain asymmetric features of the geomagnetic field during the history of the Earth. The coupling is caused by laterally varying heat transport from the core to the mantle, induced by lateral temperature gradients in the lower-most mantle. Clues for the temperature gradients were found by seismic tomography.

This numerical study aims to explore the influence of these non uniform boundary conditions, as compared to uniform heat flux and isothermal boundary conditions on thermo-chemical core convection and some distinct dynamo properties.

In our model the heat flux pattern is modeled by a single spherical harmonic of degree and order 2. This setting conserves equatorial symmetry, but imposes azimuthal heat flux gradients.

Today, convection in the Earth's core is assumed to be driven predominantly by a combination of thermal and compositional buoyancy sources located at the inner-core boundary. Thermal and compositional diffusivities differ by orders of magnitude. The resulting differences in the dynamical behavior of the two components demand an approach with distinct transport equations and boundary conditions for temperature and chemical concentration. Simulations for five different ratios of thermal and chemical driving are made.

We observe that fixed flux conditions promote larger flow scales and an increase of mean kinetic energy densities. The imposed flux pattern locks the outer core flow to the mantle and therefore breaks its azimuthal symmetry, even for relatively low thermal forcing ratios of 20 %. Despite of the symmetry breaking, stable and dipolar dynamos can be maintained due to the partly chemical forcing with its uniform boundary conditions. Additionally, the chemical component partly adopts to the geometry of the heat flux pattern because advective transport of concentration is more effective in regions of increased heat flux.

# 1 Introduction and Motivation

## 1.1 A thermo-chemical approach to dynamo modeling

## 1.2 Why use heterogeneous heat flux boundary conditions?

# 2 Modeling core convection and dynamo action

Rotating convection and dynamo action in Earth's core is a topic that has been extensively studied (Chandrasekhar, 1961). There exist various ideas of how to formulate a set of equations that depicts all relevant physical processes and that is still as simple and therefore numerically economical as possible. Computation time is the limiting factor when it comes to the question how realistic models of the inner core can be. The gap between the relevant physical parameters expected for the Earth and the parameters that are in range of numerical modeling is still big. It cannot be expected that this gap will be closed only with the help of the increasing computational resources that will become available within the next years. Alternatives to waiting for larger computers that allow to explore earth-like parameters have to be found. Asymptotic models are one possibility already revealing promising results (REFS ???).

A question that is closely related to the numerical costs and therefore to the accessible parameter range is the choice of the geometry. Most models are either Cartesian with periodic boundary conditions (BC) or spherical shell models. The latter are of course more realistic for the Earth but numerically more costly and therefore even less earth-like with regard to computational feasible parameters. In this work, a spherical model is used in order to be as earth-like as possible in a geometrical way. As a trade-off, parameter regimes in which Cartesian models could advance, are unreachable here.

We model the electrically conducting liquid outer core. It is enclosed by the inner-core boundary (ICB) at the bottom and the core-mantle boundary (CMB) at the top. Convection is driven by destabilizing thermal and compositional gradients across the sphere. For the compositional component, fixed chemical concentrations at the ICB and the CMB are imposed (Dirichlet BC). The thermal forcing is maintained by introducing a fixed in- and outflux of heat (Neumann BC). The heat flux at the CMB is laterally heterogeneous, i.e., there exist regions of higher and lower heat flux than lateral average. The inner core and mantle are assumed to be insulating and therefore have no influence on the evolution of magnetic fields.

In the following all relevant equations are introduced. There exist numerous detailed derivations so that this description will be held relatively short. The according references will be given in each section.

## 2.1 Frame of reference

The liquid outer core (LOC) is modeled in a spherical shell with an inner radius  $R_i$  and an outer radius  $R_o$ . It is bounded by the ICB at the bottom the CMB at the top. The shell thickness is chosen according to what is expected for today's state of the Earth and defined over the ratio between  $R_i$  and  $R_o$ :  $a = R_i / R_o = 0.35$ .

The LOC is constantly rotating about the z-axis of a Cartesian system. The angular velocity  $\Omega$  is invariant in time. The effect of the rotation on the frame of reference will be discussed when it comes to the equation of motion. In the course of this study, spherical coordinates are used.

(a)

(b)

**Figure 2.1:** (a) Sketch of the liquid outer core. It is bounded by the two spheres of radii  $R_i$  and  $R_o$ . The rotation axis is the cartesian z-axis. (b) This work uses spherical coordinates with unit vectors  $\mathbf{e}_r$ ,  $\mathbf{e}_\Phi$  and  $\mathbf{e}_\theta$  (Trümper, 2014).

## 2.2 Equation of continuity

Per definition, the mass  $\mathcal{M}$  of a material volume  $\mathcal{V}(t)$  with a density  $\rho$ , moving with a velocity  $\mathbf{u}(\mathbf{r}, t)$  in a fluid is conserved:

$$\frac{d\mathcal{M}(\mathcal{V})}{dt} = \frac{d}{dt} \int_{\mathcal{V}(t)} \rho(\mathbf{r}, t) d^3r = 0 \quad (2.1)$$

Using Reynold's Transport Theorem and then applying Gauss's Theorem, this yields

$$\int_{\mathcal{V}(t)} \frac{\partial \rho}{\partial t} d^3r + \oint_{\partial \mathcal{V}(t)} \rho \mathbf{u} \cdot d\mathbf{s} = \int_{\mathcal{V}(t)} \left[ \frac{\partial \rho}{\partial t} + \nabla \cdot (\rho \mathbf{u}) \right] d^3r = 0. \quad (2.2)$$

Since this has to hold for all possible material volumes  $\mathcal{V}$ , one gets

$$\frac{\partial \rho}{\partial t} + \nabla \cdot (\rho \mathbf{u}) = 0, \quad (2.3)$$

the general form of the equation of continuity. The introduction of the *material derivative*  $\frac{D}{Dt} = \frac{\partial}{\partial t} + \mathbf{u} \cdot \nabla$  suggests another useful formulation:

$$\frac{\partial \rho}{\partial t} + \rho \nabla \cdot \mathbf{u} + \mathbf{u} \cdot \nabla \rho = \frac{D\rho}{Dt} + \rho \nabla \cdot \mathbf{u} = 0. \quad (2.4)$$

## 2.3 Equation of momentum

In an inertial, non rotating frame of reference, the change of momentum of a material volume  $\mathcal{V}$  can be written as

$$\frac{d}{dt} \int_{\mathcal{V}(t)} (\rho u_j) d^3r = \int_{\mathcal{V}(t)} \left[ \frac{\partial (\rho u_j)}{\partial t} + \frac{\partial}{\partial x_i} (\rho u_j) u_i \right] d^3r$$

$$\begin{aligned}
&= \int_{\mathcal{V}(t)} \left[ \rho \frac{\partial u_j}{\partial t} + u_j \frac{\partial \rho}{\partial t} + \rho u_j \frac{\partial u_i}{\partial x_i} + u_i \rho \frac{\partial u_j}{\partial x_i} + u_i u_j \frac{\partial \rho}{\partial x_i} \right] d^3 r \\
&= \int_{\mathcal{V}(t)} \left[ u_j \left( \frac{\partial \rho}{\partial t} + \rho \nabla \cdot \mathbf{u} + \mathbf{u} \cdot \nabla \rho \right) + \rho \left( \frac{\partial u_j}{\partial t} + \mathbf{u} \cdot \nabla u_j \right) \right] d^3 r \\
&= \int_{\mathcal{V}(t)} \rho \frac{Du_j}{Dt} d^3 r.
\end{aligned}$$

Change of momentum can happen through either *volume forces*  $\mathbf{f}$  or *surface forces*  $\mathbf{t}$ :

$$\int_{\mathcal{V}} \rho \frac{D\mathbf{u}}{Dt} d^3 r = \int_{\mathcal{V}} \mathbf{f} d^3 r + \oint_{\partial \mathcal{V}} \mathbf{t} ds \quad (2.5)$$

If a frame of reference is rotating - as in this case - it is no longer an inertial system and therefore pseudo forces have to be expected. Under the assumption of a constant rotation with the angular velocity  $\Omega$  and a fixed rotation axis parallel to the Cartesian z-axis, the change of a quantity  $\mathbf{P}$  in the rotating frame of reference and its change in the non rotating inertial frame relate as

$$\left( \frac{d\mathbf{P}}{dt} \right)_F = \left( \frac{d\mathbf{P}}{dt} \right)_R + \Omega \times \mathbf{P}, \quad (2.6)$$

where the subscripts  $F$  and  $R$  indicate the *fixed* and the *rotating* frame. Applying rule (2.6) twice to a position vector  $\mathbf{r}$  yields

$$\mathbf{a}_F = \mathbf{a}_R + 2\Omega \times \mathbf{u}_R + \Omega \times (\Omega \times \mathbf{r}) \quad (2.7)$$

for accelerations  $\mathbf{a}$ .  $2\Omega \times \mathbf{u}_R$  is the Coriolis force and  $\Omega \times (\Omega \times \mathbf{r})$  the centripetal force.

With the help of (2.7),  $\frac{D\mathbf{u}}{Dt}$  can be transferred from the inertial frame to the frame of reference:

$$\frac{D\mathbf{u}_F}{Dt} = \frac{D\mathbf{u}_R}{Dt} + 2\Omega \times \mathbf{u}_R + \Omega \times (\Omega \times \mathbf{r}) \quad (2.8)$$

From now on, the subscripts  $F$  and  $R$  will be cut and all quantities will be measured in the rotating frame. With (2.8), (2.5) changes to

$$\int_{\mathcal{V}} \rho \frac{D\mathbf{u}}{Dt} d^3 r = \int_{\mathcal{V}} \mathbf{f} d^3 r + \oint_{\partial \mathcal{V}} \mathbf{t} ds - \int_{\mathcal{V}} \rho (2\Omega \times \mathbf{u} + \Omega \times (\Omega \times \mathbf{r})) d^3 r. \quad (2.9)$$

Another pseudo force that generally appears in rotating systems, but that is neglected here, is the *Poincaré force*. Precession driven flows are the most prominent example from geophysics where it plays a dominant role (Tilgner, 2007).

The *Cauchy Theorem* relates the surface forces  $\mathbf{t}$  to the stress tensor  $\underline{\tau}$  linearly via  $\mathbf{t} = \underline{\tau} \cdot \mathbf{n}$ , where  $\mathbf{n}$  is the normal vector. The surface term in (2.9) can thus be transformed to

$$\oint_{\partial \mathcal{V}} \mathbf{t} ds = \int_{\mathcal{V}} \nabla \cdot \underline{\tau} d^3 r, \quad (2.10)$$

where  $\nabla \cdot \underline{\tau} = -\nabla p + \mu \nabla^2 \mathbf{u}$  will be used as a reasonable simplification in the context of the Boussinesq approximation (see section 2.6). This expression of  $\nabla \cdot \underline{\tau}$  is valid for Newtonian fluids and it is based on the assumption of a solenoidal velocity field ( $\nabla \cdot \mathbf{u} = 0$ ) and a homogeneous dynamic viscosity  $\mu$  throughout the fluid.

The body forces  $\mathbf{f}$  are the *buoyancy force*  $\mathbf{f}_g = \mathbf{g}\rho$  and the *Lorentz force*  $\mathbf{f}_l = \mathbf{j} \times \mathbf{B}$ . The latter will be discussed in section 2.4.

In the following, the gravitational field  $\mathbf{g}$  will be discussed in more detail. As mentioned before, the system underlies an asymmetric heat flux at the CMB. This results in an asymmetric temperature field for which the penetration depth of the temperature perturbation to a spherical symmetric solution depends on the amplitude of the heat flux heterogeneity. Following the linear equation of state from the Boussinesq approximation (see section 2.6), this results in a density variation proportional to the temperature variation:  $\delta\rho \sim \delta T$ .

**Figure 2.2:** Sketch of the density along the equator, near the CMB. The deviation (black) from the average density (red) results from the asymmetric heat flux at the CMB which has a asymmetric temperature field as an consequence.

The total density can be split into one spherical symmetric part  $\bar{\rho}(r)$  that only depends on the radial level  $r$  and the density variation due to the heat flux pattern  $\delta\bar{\rho}(r, \vartheta, \phi)$ :

$$\rho(r, \vartheta, \phi) = \bar{\rho}(r) + \delta\bar{\rho}(r, \vartheta, \phi). \quad (2.11)$$

In case of a heat flux pattern proportional to the spherical harmonic  $\mathcal{Y}_2^2$ , the dependence of  $\delta\bar{\rho}$  on  $\phi$  is  $\pi$ -periodic (see Figure 2.2) so that it can be expressed by the product  $\delta\bar{\rho}(r, \vartheta, \phi) = \mathcal{C}(r, \vartheta) \cdot \cos(2\phi)$ .  $\mathcal{C}$  is only a function of  $r$  and  $\vartheta$ .

Gauss's gravity law (Blakely, 1996) states

$$\nabla \cdot \mathbf{g} = -4\pi G \rho(r, \vartheta, \phi). \quad (2.12)$$

Integration over a sphere of radius  $r$  and application of Gauss's theorem yields

$$\begin{aligned} \int_{V(r)} \nabla \cdot \mathbf{g} dV &= \int_0^\pi \int_0^{2\pi} r^2 \sin(\vartheta) d\vartheta d\phi \mathbf{g} \cdot \mathbf{e}_r = -4\pi G \int_0^r \int_0^\pi \int_0^{2\pi} \sin(\vartheta) r'^2 \rho(r', \vartheta, \phi) dr' d\vartheta d\phi \\ &= -4\pi G \int_0^r \int_0^\pi \int_0^{2\pi} \sin(\vartheta) r'^2 [\bar{\rho}(r') + \delta\bar{\rho}(r', \vartheta, \phi)] dr' d\vartheta d\phi \\ &= -8\pi^2 G \int_0^r \bar{\rho}(r') dr' - 4\pi G \int_0^r \int_0^\pi \sin(\vartheta) r'^2 \mathcal{C}(r', \vartheta) \left( \int_0^{2\pi} \cos(2\phi) d\phi \right) dr' d\vartheta \\ &= -8\pi^2 G \int_0^r \bar{\rho}(r') dr' \end{aligned}$$



Because the integration of  $\delta\dot{\rho}(r', \vartheta, \phi)$  over  $\phi$  drops out for every value of  $r$  and  $\vartheta$ ,  $\mathbf{g}$  can be expressed by

$$g(\mathbf{r}) = -\frac{4\pi G}{r^2} \int_0^r \dot{\rho}(r') r'^2 dr \quad (2.13)$$

and it is worth noticing that  $\mathbf{g}$  has the same form as in the spherical symmetric case (Blakely, 1996).

The buoyancy force term  $\mathbf{g}\rho$  will be further discussed in section 2.6.

## 2.4 Maxwell equations, Lorentz force and induction equation

The liquid outer core consists of a metallic and therefore conducting fluid. Electric currents may evolve, create magnetic fields and these again may generate currents and influence the flow field. The induction equation is a transport equation for a magnetic fields  $\mathbf{B}$  'hosted' by a fluid moving with a velocity  $\mathbf{u}$ . The Lorentz force characterizes the influence of the magnetic field on the flow field, whereas the Maxwell equations describe how electric and magnetic fields interact through charges and currents. They form a basis for the 'magnetic part' of Magnetohydrodynamics (MHD).

In the scope of core convection, a reduced form of the Maxwell equations (Pre-Maxwell equations) suffices (Davidson, 2001):

$$\nabla \times \mathbf{B} = \mu_0 \mathbf{j} \quad (\text{Ampère's law}) \quad (2.14a)$$

$$\nabla \cdot \mathbf{j} = 0 \quad (\text{Charge conservation}) \quad (2.14b)$$

$$\nabla \times \mathbf{E} = -\frac{\partial \mathbf{B}}{\partial t} \quad (\text{Faraday's law}) \quad (2.14c)$$

$$\nabla \cdot \mathbf{B} = 0 \quad (\text{No magnetic monopoles}) \quad (2.14d)$$

Additionally, an extended version of *Ohm's law* for moving conductors

$$\mathbf{j} = \sigma(\mathbf{E} + \mathbf{u} \times \mathbf{B}) \quad (2.15)$$

and an expression for the *Lorentz force*

$$\mathbf{f}_l = \mathbf{j} \times \mathbf{B} = \frac{1}{\mu_0} (\nabla \times \mathbf{B} \times \mathbf{B}) \quad (2.16)$$

are needed.  $\mathbf{E}$  describes the electric field,  $\mathbf{j}$  the current density,  $\mu_0$  the vacuum permeability and  $\sigma$  the conductivity of the fluid.

The *induction equation*, a transport equation for the magnetic field, can be derived using (2.14c), (2.15) and the solenoidal character of  $\mathbf{B}$  (2.14d) and  $\mathbf{u}$ :

$$\frac{\partial \mathbf{B}}{\partial t} = \nabla(\mathbf{u} \times \mathbf{B}) + \eta \nabla^2 \mathbf{B}, \quad (2.17)$$

where  $\eta = \frac{1}{\sigma\mu_0}$  is the magnetic diffusivity.

## 2.5 Conservation of energy and the light component

The conservation of internal energy in a fluid reads

$$\rho \frac{De}{Dt} = -\nabla \cdot \mathbf{q}_T - p(\nabla \cdot \mathbf{u}) + \Phi, \quad (2.18)$$

where the internal energy per unit mass is described by  $e$ . It can be changed by either *volume compression*  $-p(\nabla \cdot \mathbf{u})$ , *viscous dissipation*  $\Phi$  or a *heat flux*  $\mathbf{q}_T$  through the fluid surface. In the context of the Boussinesq approximation (section 2.6), viscous dissipation  $\Phi$  is negligible and  $\nabla \cdot \mathbf{u} = 0$ . Furthermore, using the *Fourier law*  $\mathbf{q}_T = -k_T \nabla T$  and the *perfect gas* approximation  $e = c_p T$ , (2.18) can be transformed to

$$\frac{DT}{Dt} = \kappa_T \nabla^2 T. \quad (2.19)$$

Here,  $\kappa_T = \frac{k}{c_p \rho}$  is the thermal diffusivity and  $T$  the fluid temperature. Internal sources of  $e$  in the liquid outer core are completely omitted in this study.

The derivation of equation (2.19) was adopted from Kundu and Cohen (2008).

The light component is released at the ICB as the inner core slowly crystallizes. It serves as an additional source of buoyancy. In this model, the light component per unit mass,  $C$ , can only change by a flux  $\mathbf{q}_C$  through the surface of the fluid. According to the equation for the conservation of the internal energy above,

$$\rho \frac{DC}{Dt} = -\nabla \cdot \mathbf{q}_C$$

can be transformed to

$$\frac{DC}{Dt} = \kappa_C \nabla^2 C, \quad (2.20)$$

using  $\mathbf{q}_C = -k_C \nabla C$  and introducing  $\kappa_C = \frac{k_C}{\rho}$ .

## 2.6 The Boussinesq approximation

In most geophysical applications of fluid dynamics, the Boussinesq approximation is a reasonable simplification of the full equations.

Starting from an adiabatic reference state, density, pressure, temperature and composition can be separated into a reference state value (denoted by an overbar) that is only dependent on the radial level  $r$  and a fluctuating part (denoted by a prime):

$$T = \bar{T}(r) + T', \quad C = \bar{C}(r) + C', \quad \rho = \bar{\rho}(r) + \rho', \quad p = \bar{p}(r) + p' \quad (2.21)$$

Further on, it is assumed that the typical length scale of the system (here: the shell thickness  $d$ ) is small compared to the scale heights in the reference state. Perturbations to that state shall be small compared to the adiabat. As a result, the reference state becomes independent of position.

Whether the assumption of a negligible small superadiabaticity is valid in the context of core convection is still debated (Anufriev et al., 2005). The alternative is to model either the fully compressible equations or to use the anelastic approximation that, in contrast to the Boussinesq model, allows density stratification. Jones (2007) extensively discusses the implications of the choice of one of these approaches. From the Boussinesq approximation it follows for the system of equations that

- the equation of state takes a linear form:

$$\rho' = -\bar{\rho}(\alpha_T T' + \alpha_C C'), \quad (2.22)$$

where  $\alpha_T$ , and  $\alpha_C$  signify the thermal and compositional expansion coefficient.

- the equation of continuity transforms to

$$\nabla \cdot \mathbf{u} = 0 \quad (\text{solenoidal character of } \mathbf{u}). \quad (2.23)$$

- the dissipative heating term can be neglected in the equation of momentum and internal energy (see section 2.3 and 2.5).
- the material properties such as  $\alpha_T$ ,  $\alpha_C$ ,  $\mu_0$ ,  $c_P$ ,  $\eta$ ,  $\kappa_T$  and  $\kappa_C$  stay constant throughout the fluid.

An important advantage of the modified equations is that sound waves are *filtered out*. This reduces the numerical costs without curtailing any relevant physical processes, since the short time scales of sound waves does not have to be resolved numerically. In the differential form, the equation of momentum (2.9) now reads

$$\frac{D\mathbf{u}}{Dt} = -2\boldsymbol{\Omega} \times \mathbf{u} - \nabla \left( \frac{\pi'}{\bar{\rho}} \right) + \nu \nabla^2 \mathbf{u} + \frac{1}{\mu_0 \bar{\rho}} (\nabla \times \mathbf{B}) \times \mathbf{B} + \frac{\mathbf{g}}{\bar{\rho}} \rho', \quad (2.24)$$

where  $\nu = \mu/\bar{\rho}$  is the new kinematic viscosity. The centrifugal potential is incorporated into the pressure fluctuation term  $\pi' = p' - \frac{\bar{\rho}\Omega^2 s^2}{2}$ , where  $s$  is the distance from the z-axis in cylindrical coordinates. The hydrostatic pressure gradient of the reference state  $\nabla \bar{p}$  is balanced by the gravity force  $\mathbf{g}\bar{\rho}$  so that only  $\rho'$  appears equation (2.24).

## 2.7 Boundary conditions

This section is dedicated to the boundary conditions.

For the velocity field they are chosen to be *no-slip*, e.g.  $\mathbf{u} = 0$  on the ICB ( $r = R_i$ ) and the CMB ( $r = R_0$ ), in order to be comparable to other studies. Several authors state that these *rigid* BC reflect what is realistic for the interaction between the inner and outer core and the outer core and the mantle, respectively (Glatzmaier and Roberts, 1995; Christensen and Aubert, 2006; Trümper et al., 2012). On the

other hand, Zhang and Busse (1987) or Kuang and Bloxham (1997) argue that the Ekman layers which result from rigid boundaries are be negligibly thin in the Earth's core due to its extremely small viscosity. Because today's numerical models are forced to use a far to high viscosity, they over emphasize the effect of Ekman layers and therefore *stress-free* BC are more appropriate. For a better comparison, this work follows the approach that was used by related studies (Olson and Christensen, 2002; Aubert et al., 2008a; Hori et al., 2014).

According to Trümper et al. (2012), Dirichlet type BC are chosen for the chemical component. A compositional gradient,  $\Delta C = C'_{\text{ICB}} - C'_{\text{CMB}}$ , is imposed across the shell. In a more earth-like scenario, one would apply Neumann type conditions with fixed compositional influx at the ICB and zero outflux at the CMB (Braginsky and Roberts, 1995). This would further increase the complexity of the model and is therefore adjourned in this case.

The thermal BC is of the Neumann type and will be discussed in more detail in the following section.

The mantle is assumed to be an insulator due to its rocky content (Dormy et al., 1998). The question whether the inner core should be treated as an insulator or not was discussed by Wicht (2002). He found that the effect of a conducting inner core on the flow field and the magnetic field is rather small. It is hence reasonable to choose  $\sigma = 0$  in the inner core in order not to be obliged to solve the induction equation in the full sphere. In the mantle and the core, the magnetic field  $\mathbf{B}$  is the solution to

$$\mathbf{B} = -\nabla\Phi \quad (2.25)$$

with  $\Phi$  being a scalar potential that follows the Laplace equation

$$\nabla^2\Phi = 0. \quad (2.26)$$

The BC are expressed through the fact that  $\mathbf{B}$  has to match the solution of (2.25) at the ICB and the CMB.

## 2.8 CMB - heat flux pattern

A heat flux balance between the inner and the outer core boundary is assumed. The total influx at the ICB  $Q_i$  equals the total outflux  $Q_o$  at the CMB:

$$-Q_i = Q_o \quad (2.27)$$

$$\Leftrightarrow \int_{S_{\text{ICB}}} \kappa_T \nabla T|_{\text{ICB}} \cdot \mathbf{e}_r dS = \int_{S_{\text{CMB}}} \kappa_T \nabla T|_{\text{CMB}} \cdot \mathbf{e}_r dS \quad (2.28)$$

$$\Leftrightarrow \int_{S_{\text{ICB}}} \left. \frac{\partial T}{\partial r} \right|_{\text{ICB}} dS = \int_{S_{\text{CMB}}} \left. \frac{\partial T}{\partial r} \right|_{\text{CMB}} dS \quad (2.29)$$

For the spectral decomposition it is important to notice that only the 0th order spherical harmonic  $\mathcal{Y}_0^0$  yields values  $\neq 0$  when being integrated over a closed surface  $\mathcal{S}$ :

$$\int_{\mathcal{S}} \frac{\partial T}{\partial r} dS = \int_{\mathcal{S}} \left( \frac{\partial T}{\partial r} \right)_0^0 \mathcal{Y}_0^0 dS,$$

with  $\left( \frac{\partial T}{\partial r} \right)_0^0$  being the spectral coefficient of degree and order 0. Thus, (2.29) can be transformed into the spectral domain via

$$\begin{aligned} \int_{\mathcal{S}_{\text{ICB}}} \left( \frac{\partial T}{\partial r} \right)_0^0 \Big|_{\text{ICB}} \mathcal{Y}_0^0 dS &= \int_{\mathcal{S}_{\text{CMB}}} \left( \frac{\partial T}{\partial r} \right)_0^0 \Big|_{\text{CMB}} \mathcal{Y}_0^0 dS \\ &\Leftrightarrow \left( \frac{\partial T}{\partial r} \right)_0^0 \Big|_{\text{ICB}} R_i^2 = \left( \frac{\partial T}{\partial r} \right)_0^0 \Big|_{\text{CMB}} R_o^2. \end{aligned}$$

This allows to formulate a simple relation between the mean radial temperature gradient at the inner and outer boundary:

$$\left( \frac{\partial T}{\partial r} \right)_0^0 \Big|_{\text{ICB}} = \left( \frac{\partial T}{\partial r} \right)_0^0 \Big|_{\text{CMB}} \frac{R_o^2}{R_i^2} = -\beta \frac{R_o^2}{R_i^2} = -\beta \frac{1}{a^2} \quad (2.30)$$

with  $\beta := - \left( \frac{\partial T}{\partial r} \right)_0^0 \Big|_{\text{CMB}}$  as prescribed temperature gradient of degree and order 0 at the CMB.

Since no internal sources of heat are assumed, the stationary form of (2.19) takes the form of a Laplace equation. It reads

$$\nabla^2 T = 0 \quad (2.31)$$

and with (2.30) the appropriate BC are

$$\text{at the ICB: } \frac{\partial T}{\partial r} \Big|_{\text{ICB}} = -\beta \frac{1}{a^2} \mathcal{Y}_0^0 \quad (2.32)$$

$$\text{at the CMB: } \frac{\partial T}{\partial r} \Big|_{\text{CMB}} = -\beta \mathcal{Y}_0^0 + \text{Amp}_l^m \mathcal{Y}_l^m. \quad (2.33)$$

$\text{Amp}_l^m$  is the amplitude of the heat flux heterogeneity for a heat flux pattern  $\mathcal{Y}_l^m$ . Its definition follows the work of Hori et al. (2014):

$$\text{Amp} = \frac{q_{\max} - q_{\min}}{2q_{\text{mean}}} \quad (2.34)$$

The mean heat flux at the CMB  $q_{\text{mean}}$  results from the temperature gradient  $\beta$  at the CMB.  $q_{\max}$  and  $q_{\min}$  are the extrema of the lateral heat flux variation.

In order to implement the BC into the numerical model, a (conductive) solution to (2.31) has to be found. In the most general form, it reads

$$T = \sum_{l,m} [a_l^m r^l + b_l^m r^{-l-1}] \mathcal{Y}_l^m(\vartheta, \phi) \quad (2.35)$$

and its radial derivative

$$\frac{\partial T}{\partial r} = \sum_{l,m} [l a_l^m r^{l-1} - (l+1) b_l^m r^{-l-2}] \mathcal{Y}_l^m(\vartheta, \phi). \quad (2.36)$$

At the ICB, (2.32) and (2.36) yield

$$-b_0^0 R_i^{-2} = -\beta \frac{1}{a^2} \Rightarrow b_0^0 = \beta R_o^2 \quad (2.37)$$

for  $l = m = 0$  and the algebraic equation

$$l a_l^m R_i^{l-1} - (l+1) b_l^m R_i^{-l-2} = 0 \quad (2.38)$$

follows for all  $l > 0$  and  $m > 0$ .

For the CMB, (2.33) and (2.36) yield

$$l a_l^m R_o^{l-1} - (l+1) b_l^m R_o^{-l-2} = Amp_l^m. \quad (2.39)$$

Since only a heat flux pattern with  $l = m = 2$  will be used here, (2.38) and (2.39) simplify to a linear system of equations

$$\begin{pmatrix} 2R_o & -3R_o^{-4} \\ 2R_i & -3R_i^{-4} \end{pmatrix} \begin{pmatrix} a_2^2 \\ b_2^2 \end{pmatrix} = \begin{pmatrix} Amp_2^2 \\ 0 \end{pmatrix}. \quad (2.40)$$

Inserting  $a_2^2$ ,  $b_2^2$  and  $b_0^0$  into (2.35) gives a solution to (2.31) that respects the boundary conditions (2.32) and (2.33):

$$T_{\text{cond}}(r, \vartheta, \phi) = \left( a_0^0 + \frac{R_o^2 \beta}{r} \right) \mathcal{Y}_0^0 + Amp_2^2 \cdot \frac{R_o^2 2a^5 r^3 - 3R_o^2 (a-1)^5 r^2}{6D^4 (a-1)^2 (1-a^5)} \mathcal{Y}_2^2(\vartheta, \phi) \quad (2.41)$$

$a_0^0$  is an arbitrary integration constant that is chosen to be 0 in the following. This conductive temperature profile with its spherically asymmetric part will be introduced into the equations in the density fluctuation part  $\rho'$  in section 2.10.

The effect of the CMB - heat flux pattern on the conductive temperature field is shown in Figure 2.3(a) and (b). Regions, where the heat flux from the core to the mantle is increased (heat flux maxima are located at  $90^\circ$  and  $270^\circ$  east, see also the sketch in Figure 2.5), are characterized by relatively low temperatures because they are cooled more effectively. Vice versa, regions of reduced heat flux (heat flux minima are located at  $0^\circ$  and  $180^\circ$ ) show higher temperatures than average.

(a) Equatorial view

(b) Surface projection

**Figure 2.3:** Conductive temperature field  $T_{\text{cond}}$  in the equatorial plain (left) and as a *Hammer-Aitov-projection* at the CMB (right) in a non dimensional form (see section 2.9). The amplitude of the heat flux heterogeneity is  $\text{Amp}_2^2 = 1$ , heat flux maxima are located at  $90^\circ$  and  $270^\circ$ , respectively. See Figure 2.5 for a schematic overview.

The amplitude of heterogeneity,  $\text{Amp}_2^2$ , from now on referred to as  $q^*$ , plays a central role for the heat flux pattern. Figure 2.4 shows the core-mantle heat flux along the equator for two values of  $q^*$ . If the amplitude is chosen to be greater than 1, negative (inward) heat flux occurs in distinct regions around the flux minima. Heat flow from the mantle to the core produces gravitationally stable regions with respect to temperature. Together with an always destabilizing compositional gradient, this could yield non linear double-diffusive effects as fingering or layering. A scenario in which gravitationally stable regions play a role in core convection was recently discussed for mercury (Tian et al., 2015). Yet for the Earth, where the heterogeneities in core-mantle heat flux are considered to be rather mild (Aubert et al., 2008a; Olson and Christensen, 2002; Glatzmaier et al., 1999), Nakagawa and Tackley (2008) expect that heat flows from the mantle to the core where compositionally dense piles settle at the base of the mantle. Because of that and due to the fact that this study aims to explore the effect of a heat flux pattern on core convection from a general perspective, values of  $q^* > 1$  are likewise regarded.

**Figure 2.4:** Plot of the CMB - heat flux along the equator for amplitudes of heterogeneity  $q^* = 1$  and  $q^* = 2$ . For  $q^* > 1$ , heat partially flows from the mantle to the core (blue region).

**Figure 2.5:** Sketch of the equatorial plain and the distribution of high and low heat flux at the CMB.

## 2.9 Non dimensional equations

A common procedure in fluid dynamics is to *rescale* the equations introduced so far in order to reduce the number of parameters and to make the relevant physical processes intuitively more accessible. The new scales are adopted from Trümper et al. (2012), they are summarized in table 2.1.

Variable	Symbol	Scale
Length	$\mathbf{r}$	$D = R_o - R_i$
Time	$t$	$D^2/\nu$
Velocity	$\mathbf{u}$	$\nu/D$
Temperature	$T$	$\beta D$
Composition	$C$	$\Delta C$
Pressure	$\pi$	$\bar{\rho}\nu^2/D^2$
Magnetic Field	$\mathbf{B}$	$\sqrt{\eta\Omega\mu_0\bar{\rho}}$

**Table 2.1:** Overview of the the new scales that are introduced to non dimensionalize the magnetohydrodynamic system of equations that was introduced in the sections 2.2 to 2.6.

The application of these scales to (2.23), (2.24), (2.19), (2.20) and (2.17) yields a system of non dimensional equations:

$$\hat{\nabla} \cdot \hat{\mathbf{u}} = 0, \quad (2.42a)$$

$$\begin{aligned} \frac{D\hat{\mathbf{u}}}{D\hat{t}} = & -\hat{\nabla}\hat{\pi}' - \frac{2}{\text{Ek}}\mathbf{e}_z \times \hat{\mathbf{u}} + \hat{\nabla}^2\hat{\mathbf{u}} + \frac{1}{\text{EkPr}_m}(\hat{\nabla} \times \hat{\mathbf{B}}) \times \hat{\mathbf{B}} \\ & + (\text{Ra}_T\hat{T}' + \text{Ra}_C\hat{C}')(1-a)\hat{r}\mathbf{e}_r, \end{aligned} \quad (2.42b)$$

$$\frac{D\hat{T}'}{D\hat{t}} = \frac{1}{\text{Pr}_T}\hat{\nabla}^2\hat{T}', \quad (2.42c)$$

$$\frac{D\hat{C}'}{D\hat{t}} = \frac{1}{\text{Pr}_C}\hat{\nabla}^2\hat{C}' \text{ and} \quad (2.42d)$$

$$\frac{\partial \hat{\mathbf{B}}}{\partial \hat{t}} = \hat{\nabla} \times (\hat{\mathbf{u}} \times \hat{\mathbf{B}}) + \frac{1}{\text{Pr}_m}\hat{\nabla}^2\hat{\mathbf{B}}. \quad (2.42e)$$

Nondimensional quantities are denoted by  $\hat{\phantom{x}}$  and they are related to their dimensional counterparts via  $(\hat{\phantom{x}}) = \text{scale}(\phantom{x})$ .

The following parameters of similarity appear in the equations:

$$\begin{aligned} \text{Thermal Rayleigh number :} & \quad \text{Ra}_T = \frac{\alpha_T g \beta D^4}{\nu^2} \\ \text{Compositional Rayleigh number :} & \quad \text{Ra}_C = \frac{\alpha_C g \Delta C D^3}{\nu^2} \\ \text{Ekman number :} & \quad \text{Ek} = \frac{\nu}{\Omega D^2} \\ \text{Thermal Prandtl number :} & \quad \text{Pr}_T = \frac{\nu}{\kappa_T} \\ \text{Compositional Prandtl number :} & \quad \text{Pr}_C = \frac{\nu}{\kappa_C} \\ \text{Magnetic Prandtl number :} & \quad \text{Pr}_m = \frac{\nu}{\eta} \end{aligned}$$



The thermal and compositional Rayleigh numbers are measures for the vigor of convection due to thermal and compositional buoyancy sources, respectively. The definitions of  $Ra_T$  and  $Ra_C$  slightly differ because of the different scales chosen for temperature and composition (see table 2.1). ' $\beta D$ ' and ' $\Delta C$ ' refer to either Neumann or Dirichlet type boundary conditions for  $T$  and  $C$ , respectively.

Whether a fluid is constrained rather by rotation or viscosity is described via the Ekman number.

The distinction between a thermal and a compositional Prandtl number is a key feature of this study. It allows for differences in the dynamical response of the system to either thermally or compositionally dominated convective forcing. Roughly spoken, a large Prandtl number promotes viscous effects in a fluid, whereas a small one promotes inertia effects. The magnetic Prandtl number relates viscous and magnetic diffusion and therefore decides how much kinetic energy is needed to sustain a magnetic field.

In the following, all  $(\hat{\phantom{x}})$  will be omitted, since only non dimensional quantities are mentioned.

The rescaled inner and outer radii of the spherical shell are  $R_i = 0.539$  and  $R_o = 1.539$ , respectively.

## 2.10 Conductive and convective Fluctuations

The temperature field  $T'$  and the chemical field  $C'$ , each reduced by the reference state fields  $\bar{T}$  and  $\bar{C}$ , can be decomposed into a *conductive part* which is the full solution if the system is subcritical and its *convective perturbations*  $\theta$  and  $\zeta$ :

$$T'(\mathbf{r}) = T_{\text{cond}}(\mathbf{r}) + \theta(\mathbf{r}) \quad C'(\mathbf{r}) = C_{\text{cond}}(\mathbf{r}) + \zeta(\mathbf{r})$$

The stationary solutions  $T_{\text{cond}}$  and  $C_{\text{cond}}$  can be obtained analytically (see section 2.8 for the temperature field), so that *PARODY* has to solve only for  $\theta$  and  $\zeta$ . The conductive solution  $C_{\text{cond}}$  follows from the stationary form of (2.20) and the boundary conditions described in section 2.7. The temperature and chemical equation transform to

$$\frac{D\theta}{Dt} = \frac{1}{Pr_T} \nabla^2 \theta - (\mathbf{u} \cdot \nabla) T_{\text{cond}} \quad (2.43a)$$

$$\frac{D\zeta}{Dt} = \frac{1}{Pr_C} \nabla^2 \zeta - (\mathbf{u} \cdot \nabla) C_{\text{cond}}, \quad (2.43b)$$

when the decomposition is inserted.

Furthermore, the equation of momentum slightly changes, when temperature and composition are split into two parts.

$T_{\text{cond}}$  has a spherically symmetric and a spherically asymmetric part

$$T_{\text{cond}}(r, \vartheta, \phi) = t_0^0(r) + t_2^2(r, \vartheta, \phi),$$

where  $t_0^0(r)$  is proportional to the constant  $\mathcal{Y}_0^0$  and  $t_2^2(r)$  to  $\mathcal{Y}_2^2(\vartheta, \phi)$  that is a function of  $\vartheta$  and  $\phi$ .

$t_0^0(r)$  and the spherical symmetric solution  $C_{\text{cond}}(r)$  can be incorporated into the reduced pressure fluctuation term  $\pi'$  via

$$\pi = \pi' - (1 - a) \left( \text{Ra}_T \int r t_0^0(r) dr + \text{Ra}_C \int r C_{\text{cond}}(r) dr \right).$$

Yet, it is not possible to find a potential  $\tau$  for the asymmetric part that satisfies

$$\nabla \tau = \text{Ra}_T t_2^2(r) (1 - a) r \mathbf{e}_r,$$

since this would demand  $\partial \tau / \partial \vartheta = \partial \tau / \partial \phi = 0$ , a condition that cannot be fulfilled by  $t_2^2$  being a function of  $\vartheta$  and  $\phi$ . Thus,  $t_2^2$  appears in the buoyancy term of the momentum equation:

$$\begin{aligned} \frac{D\mathbf{u}}{Dt} = & -\nabla \pi - \frac{2}{\text{Ek}} \mathbf{e}_z \times \mathbf{u} + \nabla^2 \mathbf{u} + \frac{1}{\text{EkPr}_m} (\nabla \times \mathbf{B}) \times \mathbf{B} \\ & + (\text{Ra}_T [\theta + t_2^2] + \text{Ra}_C \zeta) (1 - a) r \mathbf{e}_r \end{aligned} \quad (2.44)$$

## 2.11 Numerical Method (noch nicht fertig)

The study makes use of the PARODY code (Dormy, 1997; Dormy et al., 1998) in a version that was extended by a compositional component in order to simulate double-diffusive forcing (Trümper et al., 2012).

The velocity field and the magnetic field can both be represented in terms of their scalar toroidal and poloidal potentials, since they are solenoidal. This reduces the amount of unknown variables by two and implicitly respects the equation of continuity ( $\nabla \cdot \mathbf{u} = 0$ ) and the fact that no magnetic monopoles exist ( $\nabla \cdot \mathbf{B} = 0$ ). The poloidal-toroidal decomposition reads

$$\mathbf{u} = \nabla \times \nabla \times (u_p \mathbf{r}) + \nabla \times (u_t \mathbf{r}) \quad (2.45)$$

for the velocity field and

$$\mathbf{B} = \nabla \times \nabla \times (B_p \mathbf{r}) + \nabla \times (B_t \mathbf{r}) \quad (2.46)$$

for the magnetic field, where the subscript  $t$  denotes the toroidal and  $p$  the poloidal part. The equation of momentum (2.44) can be reformulated according to (2.45) and the induction equation (2.42e) according to (2.46), whereas the heat equation (2.42c) and the compositional equation (2.42d) do not transform under the decomposition. For a detailed description of the resulting system of equations, the reader is referred to the work of Dormy (1997).

A common next step is to choose a spectral representation of all variables in order to make use of the special geometry of the problem. Already Glatzmaier

and Roberts (1995) and Kuang and Bloxham (1997) in their early dynamo models used a spherical harmonics expansion in their codes. A variable in PARODY, now represented by  $f(r, \vartheta, \phi)$ , is expanded in spherical harmonics according to

$$f(r, \vartheta, \phi) = \sum_{l=0}^{\infty} \sum_{m=0}^l f_l^m(r) \mathcal{Y}_l^m(\vartheta, \phi) \quad (2.47)$$

and the equations described in the previous sections are solved in the spectral domain. This simplifies the computation of lateral derivatives immensely. Only the non linear terms appearing are calculated in the physical space and re-transformed to the spectral domain before entering the solution scheme. This is why the method is called *pseudospectral*.

The spectral resolution follows a criteria by Christensen et al. (1999) according to which the power spectra of all quantities computed drops by at least two orders of magnitude between the highest and the lowest spectral degree.

## 2.12 Thermal and chemical forcing

This studies aims to explore the combined effect of the heat flux pattern at the CMB *and* the double diffusive nature of the problem. Therefore, the system contains two distinct transport equations for temperature and composition (see section 2.5) and the buoyancy term in the equation of momentum (2.44) consists of a thermal and a compositional part that are each controlled by one Rayleigh number,  $Ra_T$  and  $Ra_C$ . In order to range different scenarios according to the relative importance of the two driving mechanisms, Breuer et al. (2010) defined the *fraction of thermal forcing*

$$\delta = \frac{Ra_T}{Ra_T + Ra_C} = \frac{Ra_T}{Ra_{\text{total}}} \quad (2.48)$$

for their model with isothermal and isochemical BC. According to this definition, the end member cases with  $\delta = 1$  and  $\delta = 0$  are controlled exclusively by thermal and chemical forcing, respectively. Using this classification, one has to be aware that the choice of two different Prandtl numbers,  $Pr_T = 0.3$  and  $Pr_C = 3$ , as in this study, affects the critical Rayleigh numbers  $Ra_T^{\text{crit}}$  and  $Ra_C^{\text{crit}}$ . The consequence is, that when keeping  $Ra_{\text{total}}$  fixed, the purely chemical case ( $\delta = 0$ ) is to a higher degree super critical than the corresponding purely thermal case ( $\delta = 1$ ) (Trümper et al., 2012). In this model, Dirichlet type BC are applied for the chemical and Neumann type conditions for temperature field (see section 2.7). Thus,

$$Ra_C = \frac{\alpha_C g \Delta C D^3}{\nu^2} \quad (2.49)$$

is a *classical Rayleigh number*, whereas

$$Ra_T = \frac{\alpha_T g \beta D^4}{\nu^2} \quad (2.50)$$

contains the mean heat flux  $\beta$  at the CMB and therefore is a *flux Rayleigh number*. This has implications for the dynamics of the systems (Kutzner and Christensen, 2000) so that they can not be related to each other as directly as in the definition of  $\delta$ , mentioned above. Instead, the classification of the forcing will be made with respect to the particular *supercriticalities* of the purely thermal and the purely chemical case.

Ekman number	$\text{Ra}_T^{\text{crit}}$ (fixed flux)	$\text{Ra}_C^{\text{crit}}$ (isochemical)
$10^{-4}$	$51.19 \times 10^4$	$28.65 \times 10^4$
$10^{-5}$	$108.71 \times 10^5$	$47.53 \times 10^5$

**Table 2.2:** Critical Rayleigh numbers for the purely thermal and the purely chemical case with their distinct boundary conditions. Two different Ekman numbers  $\text{Ek} = 10^{-4}$  and  $\text{Ek} = 10^{-5}$  are regarded.

For each scenario with  $\text{Ra}_{\text{total}} = \text{Ra}_T + \text{Ra}_C$ , the individual supercriticalities  $\gamma_T = \text{Ra}_T / \text{Ra}_T^{\text{crit}}$  and  $\gamma_C = \text{Ra}_C / \text{Ra}_C^{\text{crit}}$  of the thermal and the chemical forcing are computed according to table 2.2. The relative thermal supercriticality  $\delta^*$  then reads

$$\delta^* := \frac{\gamma_T}{\gamma_T + \gamma_C} = \frac{\gamma_T}{\gamma_{\text{total}}}. \quad (2.51)$$

Due to the limited time available for this work, critical Rayleigh numbers are computed only for the non magnetic case. Thus, the classification of the dynamo cases in section 6 will be made according to these, too. This is not correct for the reason that the presence of a magnetic field can affect the onset of convection (Chandrasekhar, 1961).

## 2.13 Diagnostics (noch nicht vollständig)

This chapter briefly introduces the diagnostic quantities and techniques that are used in the course of this work:

### - Vorticity

The vorticity  $\boldsymbol{\omega}$  describes the local rotation of a fluid and can be computed via the curl of the velocity:

$$\boldsymbol{\omega} = \nabla \times \boldsymbol{u} \quad (2.52)$$

### - Helicity

Helicity is generated where a flow  $\boldsymbol{u}$  is oriented parallel to its vorticity vector  $\boldsymbol{\omega}$ . It can be computed by the dot product

$$\mathcal{H} = \boldsymbol{u} \cdot \boldsymbol{\omega} \quad (2.53)$$

- **Reynolds number and magnetic Reynolds number**

The Reynolds number  $Re$  and the magnetic Reynolds number  $Re_m$  measure the importance of inertia compared to viscous and magnetic diffusion in the fluid, respectively. They are defined via a typical flow velocity that is the rms-velocity in this case:

$$Re = \frac{D u_{\text{rms}}}{\nu} \quad \text{and} \quad Re_m = \frac{D u_{\text{rms}}}{\eta} \quad (2.54)$$

## 2.14 INITIAL CONDITIONS!!!

## 3 State of research (bisher nur Notizen von mir)

On influence of Prandtl number:

- Zhang Spiralling columnar convection in rapidly rotating spherical fluid shells.
- Sreenivasan 2006 (Dyamos dominated rather by inertia than by rotation are less dipolar)
- Dipole part becomes larger for larger Pr: Simitev and Busse 2005
- convective scales become smaller if Pr is larger: Gibbons Gubbins 2007

On the collection of magnetic field lines by cyclones:

- Jones: Planetary magnetic fields and fluid dynamos

On double diffusive dynamos / thermo-chemical convection:

- Trümper 2012
- Takahashi 2014 (Dynamo)
- Manglik 2010

On the question whether heat flux heterogeneities destroy magnetic fields:

- Olson 2002
- Olson Glatzmaier 1996

Anticyclones are slightly stronger than cyclones

- Olson 2002

Convection inside the TC sets in at higher supercriticalities than outside...

- Takahashi et al. 2003
- Tilgner and Busse 1997

- Breuer 2010

Reynolds number (kinetic) energy decreases with increasing  $Pr$  (from thermal to chemical convection)

- Busse and Simitev 2006

- Breuer 2010

On lab experiments

- Olson 2011 (review)

On the influence of the thermal boundary condition (e.g. on the magnetic field strength):

- Larger scales and stronger magnetic field : Sakuraba and Roberts (2009)

- Hori 2010

- On the onset of convection and the scales: Sparrow et al., 1964

- Larger scales, for cartesian box: Chapman and Proctor (1980)

On the influence on magnetic field on the flowfield temp

- Flow changes due to dynamo action compared to thermal convection: (Olson and Glatzmaier, 1996)

- Convective scales become larger when magnetic field gains importance (Wicht, Stellmach 2010)

- increase of  $l_u$  by 20 % if magnetic field active: Takahashi et al. (2008a)

Explain reason, why anti cyclones clearly dominate the flow field:

- They need to store the large amounts of magnetic energy: Sakuraba, Kono 2000

Structure of the CMB heat pattern:

- Structure is of degree and order 2: Romanowicz, 2003

The presence of a magnetic field has an effect on the flow field in a self-sustained dynamo via the Lorentz force term in equation 2.44. Nevertheless, it is widely assumed that convection columns elongated parallel to the rotation axis persist, even if the influence of the Lorentz force term in Equation 2.44 is comparable to or higher than the Coriolis force term (Christensen, 2011).

## 4 Outline of the study (Notizen)

Describe the parameters used and show earth values

- The Prandtl numbers will be held fixed at  $Pr_T = 3$  and  $Pr_C = 0.3$  during this
- uniform refers to  $q=0$

## 5 Core convection under the influence of the CMB heat flux pattern

The  $\mathcal{Y}_2^2$  temperature boundary condition at the CMB means an inherent axial asymmetry for the system that is visible in the flow field as well as in the temperature and chemical field. This section aims to point out the most important characteristics that are distinct to non magnetic convection under the influence of the new boundary conditions. Therefore, the focus lies on the heat flux pattern and thus on the thermal driving, not on the thermo-chemical nature of the model. Nevertheless, a thermo-chemical case will also be discussed in the following.

A key feature of the non homogeneous model is the formation of stationary vortex columns that are attached to mantle heterogeneities. The aim of this section is to explain their formation and their meaning for the system.

In the beginning, sections 5.1, 5.2 and 5.3 provide the theoretical background for the explanation and interpretation of the results. As a start, the *onset of convection in the uniform case* in form of an azimuthally drifting Rossby wave will be briefly discussed in section 5.1. The uniform solution inhibits *columns of constant vorticity* that will be the topic of section 5.2. Although this section still deals with the uniform case, its results can be applied to the heterogeneous system. Section 5.3 introduces the reader to the *geostrophic and the thermo-chemical wind balance*. Especially the thermo-chemical wind balance, elsewhere called thermal wind balance, plays an important role here, because it considers lateral density gradients and they are emphasized by the laterally varying heat flux conditions.

Section 5.4 applies the theoretical concepts described in the preceding section to the numerical data for a non homogeneous case in order to explain the structure of the flow field in the equatorial plain. A relation derived from the thermo-chemical wind balance serves well as an explanation for the distribution of radial up- and down-wellings in the equatorial plain and therefore organizes the flow field.

The interplay of azimuthal and radial velocity creates a vorticity pattern that is locked to the heat flux heterogeneities imposed by the mantle. Section 5.5 shows and interprets the stationary vortex columns that got their origin in the equatorial plain but elongate through the whole sphere due to the geostrophic constraint that promotes vertically invariant structures (see section 5.3).

Section 5.6 stands somehow isolated within this chapter. It describes the long-term averaged temperature field that evolves under the influence of the heat flux pattern and is meant to allow the reader a better imagination of the scenario.

Throughout this section, the Prandtl numbers will be held fixed at  $\text{Pr}_T = 3$  and  $\text{Pr}_C = 0.3$ , the Ekman number as well at  $\text{Ek} = 10^{-4}$ , if not marked differently.

The influence of the heat flux boundary conditions can best be observed in temporal averages (Olson and Christensen, 2002). Consequently, all data that is shown in this section refers to such averages. The averages are taken in the statistically stationary

state.

## 5.1 The onset of convection in the uniform case

In a rotating system that is homogeneously forced, convection sets in in the form of a thermal Rossby wave (Busse, 1970, 2002). The *Taylor-Proudman theorem* (see section 5.3) imposes a column like structure to the system that is aligned with the axis of rotation ( $z$ -axis, see Figure 5.1) and drifts in azimuthal direction. The columns lie adjacent to the *tangential cylinder* (TC), a cylindrical surface that touches the inner core at the equator. Busse (2002) describes a mean flow instability that leads to differential rotation (the variation of azimuthal velocity with radius, DR), evolving under the influence of a spherical geometry. Differential rotation presumably plays a central role in the magnetic field generation as it is an ingredient for the  $\omega$ -effect (Roberts, 2007).

**Figure 5.1:** Sketch of the onset of convection for a rotating spherical shell. Convection columns form adjacent to the TC (Busse, 1970). The columns can be visualized by different quantities. Here, they are sketched as contours of constant vorticity.

**Figure 5.2:** Snapshot of the radial velocity in the equatorial plain (view from the north pole, like all other equatorial views in the following) for a uniform case with  $Ra_T = Ra_{total} = 4.4 \times 10^6$ ,  $Pr_T = 0.3$ ,  $Ek = 10^{-4}$  and  $q^* = 0$ . The convection columns are tilted and slightly deformed compared to Figure 5.1.

According to the analytical theories of Jones et al. (2000) and Dormy et al. (2004), the azimuthal length scale of each convection column would be extremely small in case of the Earth’s rotation rate. Therefore, this scenario is assumed to be unrealistic and the dominance of the relative importance of rotation compared to the one of buoyancy is questioned. The alternative is a rather three-dimensional, turbulent regime (King et al., 2009), for which, on the other hand, the mechanism of the magnetic field production is an open question.

## 5.2 Columns of constant vorticity

As mentioned above, convection in rapidly rotating systems is organized in a column like structure at onset (Busse, 1970). The question whether this structure is maintained, even in the highly supercritical LOC, has important implications for the understanding of the geodynamo.

Since the first findings of self sustained dynamos (Glatzmaier and Roberts, 1995; Kuang and Bloxham, 1997), different kinds of dynamo *processes* have been discussed. Hence, the circular transformation between poloidal and toroidal magnetic energy is a necessary ingredient for all of them (Roberts, 2007). The *vortex columns*, visualized



in Figures 5.1 and 5.7, are important sources of *helicity* and therefore necessary for the (macroscopic ERKLÄREN mit mean field MHD Tobi S. 107e)  $\alpha$ -effect, that can transform either poloidal to toroidal field or vice versa (Roberts, 2007; Jones, 2011). For a detailed explanation of the  $\alpha$ -effect and its importance for the geodynamo, the reader is referred to the literature cited above. For the moment it suffices to know that helicity is a key quantity in the discussion of dynamo action. This section aims to explain the mechanism of helicity production inside of vortex columns.

Although motion parallel to the  $z$ -axis is strongly constrained by rotation (see Equation (5.2)), the spherical form of the boundaries necessarily relaxes this constraint locally and vertical flow is unavoidable (Busse, 2002; Gillet and Jones, 2006). An important example for the relaxation of the Taylor-Proudman theorem in this context is the *secondary circulation* inside of vortex columns (Olson et al., 1999; Aubert et al., 2008b; Christensen, 2011), sketched in Figure 5.3.

**Figure 5.3:** Sketch of the secondary circulation induced by a pair of a cyclonic and an anticyclonic vortex. The two convection columns can be imagined as part of the sketch in Figure 5.1, a situation typical for the onset of convection.

*Ekman pumping* and *suction* are boundary layer effects that are distinct to systems with no-slip conditions (as in this study, see section 2.7). They evolve due to the interplay between the rotation dominated bulk and the viscosity dominated Ekman layer. In *cyclones*, i.e. vortex columns with positive vorticity  $\omega > 0$ , Ekman pumping induces a vertical flux away from the CMB towards the equator. Ekman suction causes motion towards the CMB in *anticyclones*, where  $\omega < 0$ . Although both mechanisms take place in the boundary layers, they potentially induce vertical motion in the whole column. A more detailed description can be found in Pedlosky (2013).

The variation of buoyancy along the  $z$ -axis inside of a vortex column acts as a second source of vertical motion (Olson et al., 1999). As in the case of Ekman suction and pumping, this motion is oriented equatorwards in cyclones and away from the equator in anticyclones. It is independent of the viscous boundary condition and therefore it makes this discussion applicable to a wider range of model configurations.

Figure 5.3 shows a sketch of the secondary circulation. A cyclone (with flow *towards* the equator in the northern and southern hemisphere), causes a divergent flow at the equator, whereas an anticyclone (with flow away from the equator) acts converging at the equator. The opposite is true near the CMB. A cyclone and an anticyclone together form a *circular* motion that superimposes the primary vortical motion. The interaction of a vorticity carrying fluid column and a vertical flow produces helicity  $\mathcal{H}$ .

The role of *converging* and *diverging* flow at the CMB plays a distinct role in the scope of this work. Converging flows tend to collect magnetic field lines in their vicinity, whereas a diverging flow acts expelling (Aubert et al., 2008b; Jones, 2011). This will be further discussed in section 6. The effect of the secondary circulation on the chemical field can be observed in section 5.5.

### 5.3 The geostrophic and the thermo-chemical wind balance

The rotation rate of the LOC, measured in terms of the inverse of the non dimensional Ekman number  $\text{Ek}^{-1}$ , is very high (see section 4). The *Rossby number*  $Ro = \frac{u}{\Omega \mathcal{L}}$  quantifies the relative importance of the inertia force compared to the Coriolis force in the equation of momentum. If it is small, a system is predominantly rotationally constrained and this suggests simplifications, accordingly. The inertia term drops out and viscosity can be neglected as well due to  $\text{Ek} = \frac{\nu}{\Omega D^2} \ll 1$ . In the absence of gravitational instabilities, this yields the *geostrophic balance*

$$-\frac{2}{\text{Ek}} \mathbf{e}_z \times \mathbf{u} = \nabla \pi, \quad (5.1)$$

where the rotational force is balanced by the pressure gradient (Vallis, 2006; Jones, 2007). The *Taylor-Proudman theorem* follows from (5.1), if the curl is applied:

$$(\mathbf{e}_z \cdot \nabla) \mathbf{u} = 0. \quad (5.2)$$

It states that variations in the velocity field parallel to the axis of rotation ( $z$ -axis) are suppressed. The system tends to take on two dimensional solution, although this is never realizable in a sphere which naturally has sloping boundaries (Busse, 2002). Relation (5.1) slightly changes, if buoyancy forces due to temperature or compositional variations cannot be neglected. The *thermo-chemical wind balance* then describes, how lateral gradients in  $T$  and  $C$  cause flow gradients parallel to the axis of rotation (Jones, 2007):

$$-2 \frac{\partial \mathbf{u}}{\partial z} = (1 - a) \text{Ek} \nabla \times (\text{Ra}_T(\theta + t_2^2) + \text{Ra}_C \zeta) \mathbf{r}. \quad (5.3)$$

This equation is obtained, when adding the buoyancy term from equation (2.44) to the force balance (5.1) and taking the curl again. Equation (5.3) will be important in the following, because the conductive response to the heat flux pattern,  $t_2^2$ , prescribes lateral temperature gradients to the system. Additionally, dynamical variations  $\theta$  and  $\zeta$ , especially along the polar coordinate  $\vartheta$ , are assumed to drive thermo-chemical winds in the sphere (Trümper et al., 2012).

### 5.4 The formation of radial flux patches by azimuthal temperature gradients in the equatorial plain

The non axisymmetric distribution of up- and downwellings in the time-averaged flow is a feature that is distinct to non homogeneous forcing provided by the heterogeneous core-mantle heat flux. Zhang and Gubbins (1992) and Dietrich et al. (2016) analytically show that the azimuthal position of in- and outward radial motion in the equatorial plain is determined by the azimuthal temperature gradient  $\partial T / \partial \phi$ . Equation (5.3) is used as a starting point, whereupon only thermal forcing is regarded ( $\text{Ra}_C = 0$ ). Since the  $z$ -axis plays a central role in the geostrophic context, cylindrical

coordinates are used with  $s$  as a radial distance from the axis of rotation. According to Dietrich et al. (2016), the velocity field is split into a geostrophic part  $\mathbf{u}^g$  and an ageostrophic part  $\mathbf{u}^a$  with  $\mathbf{u} = \mathbf{u}^g(s, \phi) + \mathbf{u}^a(s, z, \phi)$ , where the geostrophic part per definition is independent of the  $z$ -position. To compute the geostrophic flow, the  $z$ -average of the  $z$ -component of (5.3) is needed,

$$-2 \left\langle \frac{\partial u_z}{\partial z} \right\rangle_z = (1-a) \text{EkRa}_T \langle \mathbf{e}_z \cdot \nabla \times (\theta + t_2^2) \mathbf{r} \rangle_z, \quad (5.4)$$

where the average of a function  $f$  can be computed by

$$\langle f \rangle_z(s, \phi) = \frac{1}{2H} \int_{-H}^{+H} f(s, z, \phi) dz,$$

with  $H = \sqrt{R_o^2 + s^2}$  as the half height of a cylinder at the radial position  $s$  (Gillet and Jones, 2006). The right-hand-side (rhs) of (5.4) can be transformed to (now in spherical coordinates)

$$\text{rhs} = (1-a) \text{EkRa}_T \left\langle \mathbf{e}_z \cdot \left( \frac{1}{\sin \vartheta} \frac{\partial}{\partial \phi} (\theta + t_2^2) \mathbf{e}_\vartheta - \frac{\partial}{\partial \vartheta} (\theta + t_2^2) \mathbf{e}_\phi \right) \right\rangle_z \quad (5.5)$$

The conductive temperature profile  $t_2^2$  is symmetric with respect to the equator, hence its lateral gradient antisymmetric and it drops out when averaged over the  $z$ -axis. The temperature variation  $\theta$  is likely to be distributed equatorial symmetric as well and therefore it is neglected, likewise. In cylindrical coordinates, (5.5) now reads

$$\text{rhs} = (1-a) \text{EkRa}_T \frac{1}{s} \left\langle \frac{\partial}{\partial \phi} (\theta + t_2^2) \mathbf{e}_z \cdot \mathbf{e}_\vartheta \right\rangle_z. \quad (5.6)$$

Following Gillet and Jones (2006), the left-hand-side of (5.4) transforms to

$$-2 \left\langle \frac{\partial u_z}{\partial z} \right\rangle_z = -\frac{1}{H} \int_{-H}^{+H} \frac{\partial u_z}{\partial z} dz = - \left( -u_s^g \frac{2s}{H^2} \right). \quad (5.7)$$

The slope of the spherical boundary is responsible for the fact, that a radial velocity component enters the  $z$ -averaged equation in order to balance the boundary condition.

In the equatorial plain,  $\mathbf{e}_z \cdot \mathbf{e}_\vartheta = -1$ ,  $r = s$  and the radial velocity  $u_s^g$  in cylindrical coordinates equals the radial velocity  $u_r^g$  in spherical coordinates. It can now be expressed in terms of the azimuthal temperature gradient:

$$u_r^g = -\frac{H^2}{2r^2} (1-a) \text{EkRa}_T \left\langle \frac{\partial}{\partial \phi} (\theta + t_2^2) \right\rangle_z. \quad (5.8)$$

The position of the maximum (minimum) azimuthal temperature gradient should thus coincide with radial downwellings (upwellings) (see relation (5.8) that contains a minus sign) in the equatorial plain. Since only the  $z$ -averaged / geostrophic part

of the velocity field  $\mathbf{u}$  contains radial components ((Dietrich et al., 2016),  $u_r^g$  is the full radial flow in this approximation.

**Figure 5.4:** Azimuthal profiles of the radial velocity  $u_r$  (solid lines) and the negative azimuthal temperature gradient  $-\partial(\theta + t_2^2)/\partial\phi$ , here  $-\partial T/\partial\phi$  (dotted lines), at mid depth (black,  $r = 0.873$ ) and at the top of the free stream near the CMB (red,  $r = 1.485$ ), normalized with respect to their individual maximum value. All values are measured in the equatorial plain. As parameters,  $\text{Ra}_T = \text{Ra}_{\text{total}} = 2 \times 10^6$  and  $q^* = 2$  are used besides the Prandtl and Ekman number as mentioned above.

Figure 5.4 shows two azimuthal profiles of the radial velocity  $u_r$  (solid lines) and the negative azimuthal temperature gradient  $\partial(\theta + t_2^2)/\partial\phi$  (dotted lines) in the equatorial plain. One is taken at mid depth (black lines), the other one on top of the free stream, i.e. right under the viscous boundary layer, near the CMB (red lines). All values are normalized with respect to their maxima in order to make them comparable in one plot.

The forcing in this case is purely thermal with a Rayleigh number  $\text{Ra}_T = \text{Ra}_{\text{total}} = 2 \times 10^6$  that is slightly overcritical:  $\text{Ra}_T \approx 3.9 \times \text{Ra}_{\text{crit}}$  (see table 2.2).

It becomes clear that the  $\phi$ -gradient of the temperature serves well as an explanation for the location of the two radial downwellings near the CMB. The two negative extrema of the radial velocity coincide nearly perfectly with the maxima of the azimuthal temperature gradient. Only the small kinks in front of and behind the extrema of  $u_r$  cannot be explained by  $\partial(\theta + t_2^2)/\partial\phi$  in this first order approach.

In a purely conductive regime with  $\text{Ra}_T < \text{Ra}_{\text{crit}}$ , the maxima of  $\partial(\theta + t_2^2)/\partial\phi = \partial t_2^2/\partial\phi$  (because  $\theta = 0$  in the subcritical case) would be located  $45^\circ$  E of the heat flux maxima (located at  $90^\circ$  E and  $270^\circ$  E, see Figure 2.3 for the conductive temperature field) (Zhang and Gubbins, 1992). The fact that the phase shift is only  $30^\circ$  here (see Figure 5.4) can be attributed to the supercriticality of this case. More specifically, the azimuthal velocity field is responsible for the westward shift of the downwelling because it inhibits strong retrograde flow near the CMB around  $135^\circ$  E (see Figure 5.5(c)).

(a) Radial Velocity                      (b)  $\partial T/\partial\phi$ , rescaled                      (c) Azimuthal velocity

**Figure 5.5:** Equatorial contours of (a) the radial velocity, (b) the azimuthal temperature gradient  $\partial(\theta + t_2^2)/\partial\phi$  and (c) the azimuthal velocity. The values in (b) are rescaled according to Equation (5.8) (without the minus sign) in order to be comparable to (a). The case is the same as in Figure 5.4.

In the outer region of the core, the two downwellings (around  $120^\circ$  and  $300^\circ$  E) are more pronounced than the complementary upwellings (around  $45^\circ$  and  $225^\circ$  E, see Figures 5.4 and 5.5(a)). Dietrich et al. (2016) explain this asymmetry with the

azimuthal part of the non linear temperature advection term  $-\frac{\partial\theta}{\partial\phi}u_\phi$  (see Equation (2.43a)) that promotes positive azimuthal temperature gradients and therefore downwellings at the corresponding longitudes.

The radial flow in the deeper regions of the core (e.g. the solid black line Figure 5.4) has a more complex form and is thus not as directly as in the upper layers related to the  $\mathcal{V}_2^2$  heat flux pattern. Broad and pronounced upwellings develop right under the CMB downwellings at  $\sim 110^\circ\text{E}$  and  $\sim 290^\circ\text{E}$ . They are also visible in the temperature field in Figure 5.6 in form of hot plumes.

The downwellings observed at the CMB bend in jet-like structures in retrograde direction towards the ICB (see Figure 5.5(a)). The bending happens due to strong zonal westward flows at mid depth at  $\sim 90^\circ\text{E}$  and  $\sim 270^\circ\text{E}$ , respectively (see Figure 5.5(c)).

Although the flow structure in the deeper core regions is more complex than at the CMB, equation (5.8) and therefore the thermo-chemical wind relation provide a good explanation for the radial flow field (compare Figure 5.5(a) and (b)).

**Figure 5.6:** Horizontal velocity vectors in the equatorial plain, scaled by the corresponding flow magnitude. The background contour shows the full non dimensional temperature field  $T = \theta + T_{\text{cond}}$ . The two strong upwelling plumes at the ICB (at  $\sim 110^\circ\text{E}$  and  $\sim 290^\circ\text{E}$ ) and the bending jet-like downwellings right above are clearly visible.

The small phase shift between  $\partial T/\partial\phi$  and  $u_r$ , observable in Figure 5.4 (dotted and solid lines), illustrates that the assumption of negligible viscosity (see section 5.3) is not fully justified in case of  $\text{Ek} = 10^{-4}$ . Zhang and Gubbins (1992) state that this shift vanishes if the rotation rate is further increased and therefore the influence of viscosity decreases.

The azimuthal flow in the equatorial plain is, in reaction to the radial flow, convergent (divergent) in the neighborhood of downwellings (upwellings). This can be observed either in the full horizontal flow field (Figure 5.6) or in the radial and azimuthal flow fields (Figure 5.5(a) and (c)). In order to preserve mass conservation, a downwelling attracts azimuthal flow whereas an upwelling rather expels fluid particles and therefore causes azimuthally diverging flow.

## 5.5 Stationary vortex columns attached to mantle heterogeneities

The well structured Rossby wave solution is perturbed, if heterogeneous heat flux conditions are applied at the CMB. Nevertheless, columns of constant vorticity play an important role in the solution. The long-term averaged data bears a non axisymmetric vorticity pattern that can be related to the geometry of the CMB heat flux. This pattern contains *stationary vortex columns* elongated through the whole sphere

parallel to the axis of rotation. In contrast to that, all uniform cases regarded (e.g. the snapshot in Figure 5.7) yield axisymmetric distributions of vorticity in long-term averages because the Rossby wave solution constantly moves in azimuthal direction Busse (2002).

**Figure 5.7:** Snapshot of the  $z$ -component of vorticity in the equatorial plain for a slightly supercritical, uniform case:  $Ra_T = 10^6$ .

**Figure 5.8:** Long-term averaged equatorial contour of the  $z$ -vorticity for the same case as described in Figure 5.5. The vorticity in the boundary layers is not resolved.

The secondary circulation, which was described in section 5.2, is expected to have an effect on the flow that can be localized at a fixed position in the spherical shell, it is *locked* to the mantle (Gibbons and Gubbins, 2000).

The interplay of the azimuthal and the radial velocity field in the equatorial plain (Figure 5.5(a) and (b)) causes the vorticity distribution that is shown in Figure 5.8. Tilted patches of strong negative vorticity close to the ICB lie beneath prograde jets of positive vorticity right under the heat flux maxima at  $90^\circ\text{E}$  and  $270^\circ\text{E}$ . Additionally, a band of negative vorticity is located between  $90^\circ$  and  $180^\circ\text{E}$  and  $270^\circ$  and  $360^\circ\text{E}$ .

**Figure 5.9: Left hemisphere:**  $z$ -component of the vorticity in the equatorial plain (see Figure 5.8) and contour surfaces at  $\omega_z = -1200$  (blue) and  $\omega_z = 1200$  (red). **Right hemisphere:** Compositional perturbation  $\zeta$  close to the CMB at  $r = 1.5$ . As parameters,  $Ra_{\text{total}} = 2 \times 10^6$ ,  $\delta = 0.95$  and  $q^* = 2$  are chosen.

In Figure 5.9, the long-term averaged vorticity distribution of a thermo-chemically driven case is shown in the left hemisphere, whereas the right hemisphere depicts the compositional perturbation  $\zeta$ . The forcing is now of a thermo-chemical origin, with a mild portion of 5% uniform compositional forcing ( $\delta^* = 0.95$ ). The total Rayleigh number remains  $\sim 4$  times supercritical in order to be comparable to the previous case. The vorticity contours in the left hemisphere yield the stationary vortex columns attached to the heat flux maxima mentioned above, as in the previous cases. An anticyclone (blue) elongates close to the tangential cylinder from the northern to the southern CMB. A cyclonic column (red) lies parallel to the anticyclone in the radially outward direction. The right hemisphere underlies the same vorticity distribution (see the symmetry in Figure 5.8). Here, the compositional perturbation yields a maximum at the intersection of the blue anticyclone with the CMB and a deficit when going towards the equator, i.e., where the red cyclone intersects the CMB.

The excess and deficit composition results from the divergent and convergent flow at

the peak of the anticyclone and the cyclone, respectively. The corresponding mechanism is sketched in Figure 5.3. The vertical upward flow inside the anticyclone constantly transports compositionally enriched material from the ICB (equator) towards the CMB and produces a local maximum there. For the cyclone, the process works the other way round but as the cyclones are less dominant than the anticyclonic columns, the compositional minima are also less pronounced than the maxima. In the scenario regarded here, the compositional forcing rather acts as 'tracer' that visualizes the vertical transport from the ICB, where the chemical component is released, to the CMB. A portion of 5 % compositional forcing is expected to have only a little effect on the dynamics of the system compared to the purely thermal case from above.

The chemical field shown in the right hemisphere of figure 5.8 provide evidence that divergent and convergent flow near the CMB can be localized at fixed positions at the spherical surface. The ability of convergent flows to concentrate magnetic field lines and thereby intensify the field locally was discussed by several authors (Olson et al., 1999; Aubert et al., 2008b; Jones, 2011). In section 6, the reverse effect of expulsion of magnetic field lines by divergent flow will be important as well. Above all, it is important to mention that the flow properties found in this section can not be transferred to the dynamo case because of the action of the Lorentz force.

## 5.6 The effect of the flux pattern on the temperature field

Figure 5.10(a) shows the same equatorial view on the temperature field as Figure 5.6 in section 5.4. The color map was slightly rescaled to make the phase shift between the temperature maxima at the CMB at  $\sim 170^\circ$  and  $\sim 350^\circ$  and the heat flux maxima (at  $\sim 90^\circ$  and  $\sim 270^\circ$ ) visible. The reason for the phase shift lies in the flow field and can be explained by the observations in the previous chapter: Strong radial motion occurs predominantly in the region under the heat flux maxima. The up- and downwellings visible in Figure 5.6 intensify the cooling mechanism, thus go along with a colder core region. The hot regions coincide with strong azimuthal motion along the CMB that inhibit the transport of heat out of the core.

(a) Equatorial plain

(b) Surface projection at the CMB

**Figure 5.10:** Full temperature field  $T = \theta + T_{\text{cond}}$  for the same case as above in (a) the equatorial plain and (b) as a surface projection right under the CMB at  $r = 1.485$ . (a) is the same graph as in Figure 5.6, but the color map is 'shortened' in order to pronounce the phase shift of the temperature field.

The surface projection of the temperature field at the CMB (Figure 5.10(b)) confirms that the temperature field in the slightly supercritical case is nearly a phase shifted version of the conductive temperature field (Figure 2.3(b)) (Zhang and Gubbins, 1992).



**Figure 6.1:** Time series of a north polar view on the radial magnetic field at the CMB. **Top row:** Case **PFF100** (see table 6.1). The field behavior is highly time dependent but a preference for a structure with *two* red patches of high intensity (azimuthal wave number  $m = 2$ ) is discernible. The imposed heat flux maxima lie at the top and the bottom, the minima on the right and left hand side. **Bottom row:** Case **UFT100**. Like in case PFF100 above, the field behavior is highly time-dependent but in contrast to the heat flux pattern case, the system rather promotes structures with *one* flux patch. The color scale ranges from -0.5 (dark blue) to 2.5 (dark red). The **right end** shows the long-term averaged data.

## 6 Thermo-chemical dynamo action

The presence of a magnetic field has an effect on the flow field in a self-sustained dynamo via the Lorentz force term in equation 2.44. Nevertheless, it is widely assumed that column-like structures elongated parallel to the rotation axis can persist, even if the influence of the Lorentz force term in Equation 2.44 is comparable to or higher than the Coriolis force term (Christensen, 2011).

This section presents magnetic field properties that are distinct to the  $\mathcal{Y}_2^2$  boundary condition already applied in the previous, non magnetic case. Again, the influence of the heat flux pattern can best be observed in the mean flow field of the statistically stationary state.

The supercriticalities in this section are defined according to the critical Rayleigh numbers for the non magnetic case, described in section 2.12.

### 6.1 Mantle-locked magnetic flux patches

The series of snapshots of the radial magnetic field component at the north pole shown in figure 6.1, top row, gives a first insight into the magnetic field behavior under the influence of the heat flux pattern ( $q^* = 2$ ): Patches of intense magnetic field wander, merge and detach again in a highly time-dependent manner. Yet, the number of *two* patches seems to be preferred by the system and therefore the azimuthal wave number  $m = 2$  dominates the magnetic energy spectrum (not shown). This is attributed to the heterogeneous BC at the CMB that has the same azimuthal symmetry.

In contrast to that, figure 6.1, bottom row, shows the uniform case ( $q^* = 0$ ) with the same Rayleigh number. The magnetic field strength is comparable but the magnetic energy in the  $m = 2$  mode does not dominate the spectrum. Instead the number of *one* flux patch seems to be preferred. The long-term averaged fields at the right end of figure 6.1 confirm the impression that can be gained by the snapshots.

This section aims to explain the formation mechanism of intense magnetic patches in the heat flux pattern case. Analogies to the mantle-locked structures presented in section 5 for the non magnetic case exist (the reader is reminded of the compositional field heterogeneities shown in figure 5.9), but the presence of the Lorentz force clearly changes the dynamics of the system.

Case	$q^*$	Ek	$Ra_T \times Ek$	$Ra_C \times Ek$	$\gamma_{total}$	$\delta^*$	$Re_m$	$\Lambda$	$f_{dip}$
<b>PFF100</b>	2	$10^{-4}$	440	0	8.6	<b>100%</b>	284.8	26.5	34%
<b>PFF69</b>	2	$10^{-4}$	352	88	10	<b>69%</b>	254.9	23.3	36%
<b>PFF36</b>	2	$10^{-4}$	220	220	12	<b>36%</b>	209.9	16.3	44%
<b>PFF12</b>	<b>2</b>	<b><math>10^{-4}</math></b>	<b>88</b>	<b>352</b>	<b>14</b>	<b>12%</b>	<b>170.3</b>	<b>7.4</b>	<b>57%</b>
<b>PFF0</b>	2	$10^{-4}$	0	440	15.4	<b>0%</b>	139.5	4.8	64%
<b>UFF100</b>	0	$10^{-4}$	400	0	8.6	<b>100%</b>	300.5	19.1	31%
<b>UFF69</b>	0	$10^{-4}$	352	88	10	<b>69%</b>	276.7	15.3	35%
<b>UFF36</b>	0	$10^{-4}$	220	220	12	<b>36%</b>	210.2	12.4	44%
<b>UFF12</b>	0	$10^{-4}$	88	352	14	<b>12%</b>	151.8	6.9	60%
<b>UFF0</b>	0	$10^{-4}$	0	440	15.4	<b>0%</b>	139.5	4.8	64%

**Table 6.1:** Overview of the Parameters regarded in this section and the associated diagnostic quantities: Magnetic Reynolds number  $Re_m$ , Elsasser number  $\Lambda$  and relative strength of the magnetic dipole component  $f_{dip}$ , all defined in section 2.13. The case PFF12, highlighted in bold, serves as showcase in section 6.2. PFF stands for a fixed flux condition including the heat flux pattern, UFF for the uniform fixed flux BC.

**Figure 6.2:** Hammer-Aitov surface projection of the radial magnetic field near the CMB at  $r = 1.485$ . Two patches of high intensity magnetic field are located at  $\sim 45^\circ$  and  $225^\circ$  in the northern and the southern hemisphere. The black line at a polar angle of  $25^\circ$  is subject to the graph in figure 6.5.

## 6.2 The patch generation mechanism: A showcase

The choice of the showcase PFF12 (see table 6.1) with only a relatively mild portion of  $\delta^* = 12\%$  thermal forcing will be justified in section 6.4. For the moment, the focus lies on the flux patch generation process.

Although the uniform chemical forcing dominates in this case, the influence of the heat flux pattern is clearly visible in the surface projection of the radial magnetic field in figure 6.2. Patches of concentrated magnetic field lie at  $\sim 45^\circ$  and  $225^\circ$  in the northern and the southern hemisphere. They are each shifted  $45^\circ$  west of the longitudes of maximum heat flux ( $90^\circ$  and  $270^\circ$ , see figure 2.5).

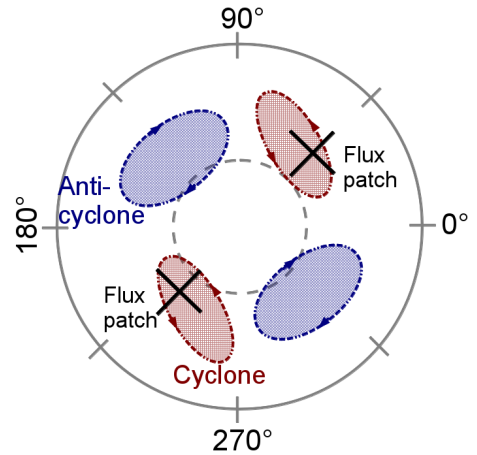
In section 5.5, the flow convergence and divergence at the top of anticyclones and cyclones, respectively, controls the surface distribution of the chemical component (see figure 5.9). In a comparable way, magnetic field can be collected (intensified) and expelled (weakened) by cyclonic and anticyclonic motion at the CMB in an advection process. A necessary condition for this to happen can be understood in the framework *Alfvén's theorem* that states:

*Magnetic flux tubes move with fluid particles as if they were frozen into it **if** the fluid has infinite conductivity* (Davidson, 2001; Roberts, 2007).

Infinite conductivity goes along with zero magnetic diffusion. Therefore, the relative importance of magnetic diffusion in a system (see the the second term on the rhs of (2.42e)) decides whether magnetic field collection and expulsion by advection can serve as an explanation for the flux patches. The *magnetic Reynolds number* estimates the ratio of magnetic advection to diffusion (Rieutord, 2014) and therefore is the relevant quantity in this context (Christensen, 2011). Table 6.1 shows that the magnetic Reynolds number for this ( $\text{Re}_m = 170.3$ ) and for the other cases is of order  $\mathcal{O}(100)$ . It becomes obvious that advection dominates diffusion by far and therefore the above process is likely to be responsible for the flux patches.

The surface flow field shown in figure 6.4 exhibits a pattern of two opposite regions of anticyclonic motion around  $135^\circ$  and  $315^\circ\text{E}$  (blue patches in figure 6.3) and two regions of cyclonic motion in between around  $45^\circ$  and  $235^\circ$  (red patches). Two fields of increased radial magnetic field strength (black crosses) form adjacent to the intersection of the TC and the CMB. The flux patch generation process can now be understood when regarding the azimuthal profile of the radial field  $B_r$  and the horizontal divergence of the velocity field  $[\nabla \cdot \mathbf{u}]_{\text{H}}$  in figure 6.5:

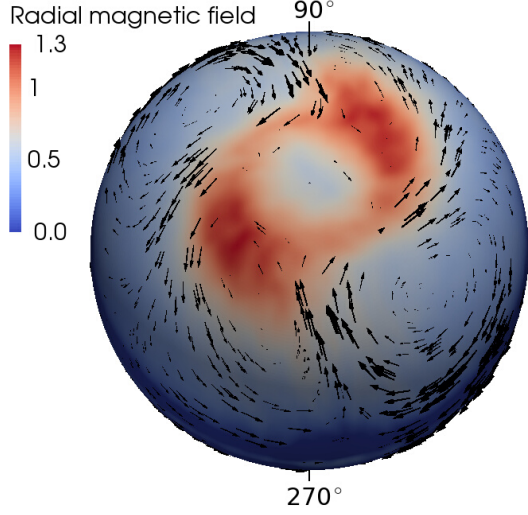
The radial magnetic field is locally intensified due to the convergent flow caused by a by the cyclonic motion at the CMB. At the same time, the divergent flow on top of the anticyclones expels field lines and therefore causes the field strength to decrease in that regions. Both mechanisms take part in determining the magnetic field geometry.



**Figure 6.3:** Sketch of a north polar view on the vorticity distribution and the location of the magnetic flux patches for case PFF12, shown in figure 6.4. Red patches mark cyclones, blue patches anticyclones and the black crosses indicate the position of the flux patches. The gray dashed line represents the intersection of the TC with the CMB.

### 6.3 Changing the driving mechanism

The key quality of a *thermo-chemical* model of core convection is the possibility to explore the interplay of two different driving mechanisms with distinct Prandtl numbers in one system. To explore the dependence of the system dynamics on the choice of the ratio between thermal and compositional driving is the central question, recently studied for the non magnetic case by Breuer et al. (2010) and Trümper et al. (2012) or the dynamo case by Manglik et al. (2010) and Takahashi (2014). The choice of a distinct forcing ratio in case of the models cited above means to strengthen either the thermal component that is equipped with a Prandtl number



**Figure 6.4:** Surface flow field (scaled by the flow magnitude) and radial magnetic field component near the CMB at  $r = 1.485$  for case PFF12. In the front hemisphere a dominant anticyclone with the center at  $\sim 315^\circ\text{E}$  and a weaker, banded cyclone with the center at  $\sim 230^\circ\text{E}$  are visible. Intense magnetic field correlates with the cyclonic region. View from a polar angle of  $20^\circ$ .

**Figure 6.5:** Azimuthal profile of the radial magnetic field  $B_r$  (blue) and the horizontal divergence of the velocity field  $[\nabla \cdot \mathbf{u}]_H$ , normalized by its maximum (red). A negative (positive) divergence characterizes a convergent (divergent) flow, visible around  $45^\circ$  and  $235^\circ\text{E}$  ( $120^\circ$  and  $300^\circ\text{E}$ ), where  $B_r$  is maximum (minimum). Profile taken at  $r = 1.485$  and  $\theta = 25^\circ$ , the black line in figure 6.2.

$\text{Pr}_T < 1$  or the chemical component that commonly goes along with  $\text{Pr}_T \geq 1$ . If  $\text{Pr}$  is less than unity, inertial processes gain importance, whereas  $\text{Pr}$  greater one emphasizes diffusion of heat and composition, respectively.

In contrast to that, changing the forcing ratio  $\delta^*$ , as it is used here (see section 2.12), has *three* major implications:

- (A) The BC for the thermal component is of the *Neumann* type (fixed heat flux), whereas the compositional field underlies *Derichlet* conditions (fixed composition).
- (B) The thermal *Prandtl number* is chosen to be  $\text{Pr}_T = 0.3$  and the chemical  $\text{Pr}_C = 3$ .
- (C) Only the temperature field underlies the CMB *heat flux pattern*, whereas the compositional BC is *uniform*.

Each of the points mentioned is expected to have an effect on the behavior of the system. The task is now to identify the individual effects of (A), (B) and (B) in the data and to separate them from each other as good as possible.

Figure 6.6 summarizes some of the results of the Parameter study on the dependence on  $\delta^*$ . The heat flux pattern case PFF (black lines) is presented as well as the case

(a) Elsasser  $\Lambda$                       (b) Magnetic Reynolds  $Re_m$                       (c) Toroidal kin. energy  $f_{\text{tor}}$

**Figure 6.6:** (a) Elsasser number, (b) magnetic Reynolds number  $Re_m$  and (c) portion of toroidal kinetic energy  $f_{\text{tor}}$  (see section 2.13) for different forcing ratios  $\delta^*$  for the uniform case UFF as well as for the case PFF with  $q^* = 2$ . The data originates from the statistically stationary state.

UFF (red lines), that includes uniform heat flux boundary conditions in the thermal component (see also table 6.1). The following sections are each dedicated to one specific observation induced by the transition from  $\delta^* = 0$  to  $\delta^* = 1$ .

### 6.3.1 Intensification of the magnetic field

Sakuraba and Roberts (2009) report the generation of a strong magnetic field in their dynamo model with a uniform heat flux imposed at the outer spherical boundary. The observation is remarkable because they used a comparatively low Ekman number ( $Ek = 5 \times 10^{-7}$ ). In this regime, the possibility of an efficient field production was questioned due to the extreme refinement of the azimuthal length scales when increasing the rotation rate (Sakuraba and Roberts, 2009). In contrast to the widely used fixed temperature condition, a fixed flux condition allows lateral temperature gradients to develop at the CMB. Gibbons et al. (2007) and Sakuraba and Roberts (2009) therefore expect large scale zonal flows to develop in a thermal wind mechanism (Roberts, 2007; see also section 5.3). As such flows are assumed to be a necessary ingredient for an efficient dynamo process, the fixed flux condition indirectly has a positive effect on the magnetic field strength (Hori et al., 2012).

The dependence of the magnetic field morphology and efficiency of the dynamo process on the Prandtl number was studied by Simitev and Busse (2005) and Busse and Simitev (2006). The amount of differential rotation generated by Reynolds stresses (see Busse (2002); Christensen (2011)) is inversely related to the Prandtl number (Simitev and Busse, 2005): In the low Prandtl number thermal regime, the DR is much stronger than in the compositional regime with  $Pr_c > 1$ . As a consequence, the toroidal magnetic field produced by DR in an  $\omega$ -effect is stronger in a thermally than in a compositionally driven case. The result is an intensification of the dynamo process and therefore the magnetic field.

The Elsasser number  $\Lambda$  compares the influence of the Lorentz force to that of the Coriolis force in the equation of momentum (Davidson, 2001). As the rotation rate is held constant here,  $\Lambda$  serves as a measure for the strength of the magnetic field. Figure 6.6(a) shows  $\Lambda$  for the different forcing ratios  $\delta^*$  (see table 6.1) for the uniform case UFF and for the heat flux pattern case PFF with  $q^* = 2$ . In both scenarios, the field strength increases roughly linearly with  $\delta^*$ . According to the preceding discussion, this effect can be attributed to either the transition from a fixed composition BC at  $\delta^* = 0$  to a fixed heat flux BC at  $\delta^* = 1$  or to a transition from the low to the high Prandtl number regime. A combination of both reasons is likely to be an explanation. A more detailed discussion is beyond the scope of this

### Case PFF12

### Case PFF100

**Figure 6.7:** Equatorial views on the  $z$ -component of vorticity (top row) and the axial magnetic field for case PFF12 ( $\delta^* = 12\%$ , left panel) and PFF100 ( $\delta^* = 100\%$ , right panel). The first column in each panel shows snapshots ( $\omega_z$  and  $B_z$ ), the second column long-term averages ( $\bar{\omega}_z$  and  $\bar{B}_z$ ).

study. Yet, the heat flux pattern seems to additionally promote the magnetic field production (compare the red and the black curve in figure 6.6(a)). This effect will be further discussed when it comes to the influence of the heat flux *pattern* in section 6.4

#### 6.3.2 Increase of the kinetic energy

The magnetic Reynolds number estimates the relation between the advection and the diffusion of the magnetic field. As it contains the rms-velocity, it indirectly measures the kinetic energy. Like the Elsasser number,  $Re_m$  increases roughly linearly with  $\delta^*$  (see figure 6.6(b)) for PFF and UFF.

The zonal flow originating in a thermal wind, mentioned at the beginning of the preceding section, is a possible explanation for the increase in  $Re_m$ . The growing amount of DR production due to a transition to  $Pr_T = 0.3$ , could likewise be a reason, also observed in Breuer et al. (2010) for the non magnetic case.

Figure 6.6(c) that shows the relative portion of toroidal kinetic energy  $f_{tor}$  assists both ideas: For UFF, the increase of the kinetic energy involves an increase of its relative toroidal part, a representative for the azimuthal component of the velocity field. Whether this increase originates in a thermal wind like zonal flow (connected to (A)) or in the DR (connected to (B)) is difficult to decide here.

For the heat flux pattern case PFF, figures 6.6(a) and (c) suggests the assumption that a thermal wind mechanism plays the major role in the behavior of  $Re_m$ . Lateral temperature gradients at the CMB, induced by the flux pattern, cause a significant increase of the toroidal kinetic energy if they enter the system to a minor degree ( $\delta^* = 12\%$ ). With increasing  $\delta^*$ , this lateral heterogeneity impedes large scale zonal flows to develop at the cost of the toroidal kinetic energy (see the kink in the black curve in figure 6.6(c)). This again reduces  $Re_m$  compared to the uniform case for  $\delta^* = 69\%$  and  $\delta^* = 100\%$ .

#### 6.3.3 The relative contribution of the magnetic dipole component

### 6.4 The heat flux pattern in the context of a changing driving mechanism

The goal of this section is to integrate the findings of section 6.2 about the magnetic flux patch generation into the context of the changing driving mechanism discussed in section 6.3. It was already shown that the effect of the heat flux pattern persists

even if the relative influence of the thermal driving component makes up only 12%. A justification for the choice of PFF12 as a showcase in the above section has to be given and the relation between the corresponding effect and the changing of the forcing ratio clarified.

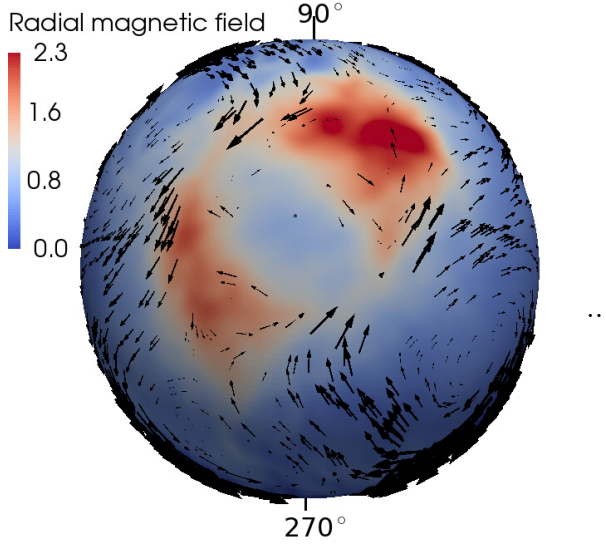
The intensification of the magnetic field, measured in terms of the Elsasser number, originating in the increase of the thermal forcing ratio can be related to the behavior of the dynamo under the influence of the heat flux pattern. Regarding the ratio of magnetic to kinetic energy (it is at least of order  $\mathcal{O}(10)$  for all cases, not shown here) and the Elsasser number which measures the relative importance of the Lorentz force compared to the Coriolis force, it is reasonable to assume that the system belongs to the *strong field regime* (Zhang and Schubert, 2000). This means that the Lorentz force has a significant effect on the flow field.

Sakuraba and Kono (2000) apply a uniform magnetic field  $\mathbf{B}_0$  parallel to the rotation axis to their magnetoconvection model in order to explore the influence of the strength of  $\mathbf{B}_0$  on the convection dynamics. For the strong field regime, they find an enhancement of anticyclonic vortices in the equatorial plain that is explained with the need to store the large amounts of magnetic field present in the system. Anticyclones create a convergent flow in the equatorial plain (see the sketch in figure 5.3) that gather magnetic flux and therefore serve as a storage for magnetic energy. The Lorentz force in an anticyclone is oriented outward and therefore works together with the pressure gradient force against the inward directed Coriolis force. As a result, the anticyclone grows in order to balance the additional impact of the Lorentz force (Sakuraba and Kono, 2000).

A similar observation was made by Ishihara and Kida (2002) who performed a self-sustained dynamo simulation. They describe a cyclic process in which an anticyclone grows, increasingly collects and intensifies magnetic flux by field line stretching and then breaks down due to the rising power of the outward directed Lorentz force before it grows again.

Figure 6.7 shows equatorial views of the axial vorticity (top row) and the axial component of the magnetic field (bottom row). Case PFF12 is subject to the left panel, whereas the right panel depicts case PFF100. The left column in each panel shows snapshots ( $\omega_z$  and  $\mathbf{B}_z$ ), the right panel long-term averaged quantities ( $\bar{\omega}_z$  and  $\bar{\mathbf{B}}_z$ ). When comparing the red and the blue regions in the snapshots of vorticity in case PFF100, a dominance of anticyclonic (blue) structures ( $\omega_z < 0$ ) over cyclonic (red) structures is discernible. Two large regions with  $\omega_z < 0$  lie at  $90^\circ$  and  $270^\circ\text{E}$  close to the ICB. They are each accompanied by patches of high intensity magnetic flux, visible in the subfigure beneath.

In contrast to that, the vorticity pattern for PFF12 reveals smaller scales and the regions of negative and positive vorticity are present in equal measure. Nevertheless, a correlation between  $\omega_z$  and  $\mathbf{B}_z$  exists, which is in line with what was described in the beginning of this section. The fact that the length scales in the predominantly compositionally driven case are smaller agrees with the work of Trümper et al. (2012)



**Figure 6.8:** Same as figure 6.4 but for case PFF100.

and can be explained with the relatively low compositional diffusivity (high Prandtl number  $\text{Pr}_C$ ) that makes the smoothing of small scale structures less effective than in the thermally driven case.

The long-term averaged fields (right column in each panel) support the impression received from the snapshots. In case PFF12, positive and negative vorticity are nearly equally distributed but clearly show the influence of the  $\mathcal{Y}_2^2$  heat flux pattern. In the purely thermally driven case PFF100, the impact of the heterogeneous boundary condition is only visible close to the CMB, where two bands of positive vorticity got their maximum  $\sim 30^\circ$  west of the longitude of maximum CMB heat flux. The averaged axial magnetic fields ( $\bar{B}_z$ ) reflect what could be seen in the vorticity distribution. In case PFF12, anticyclones intensify magnetic flux, whereas cyclones expel and therefore weaken the field. PFF100 provides, in line with the vorticity field, a nearly uniform distribution of  $\bar{B}_z$ .

The previously described observations from figure 6.7 are assumed to have their reason in the magnetic field strength, measured by the Elsasser number  $\Lambda$ . As it increases with increasing  $\delta^*$  (see figure 6.6(a)), it promotes anticyclonic vortices in the equatorial plain and thereby suppresses cyclonic ones. This process agrees well with what Sakuraba and Kono (2000) and Ishihara and Kida (2002) report for their models. The dominance of negative vorticity close to the ICB in the equatorial plain has implications for the flow field and thereby for the magnetic field near the CMB.



## 7 Summary and Discussion

- **Wicht, Stellmach 2010:** The dynamo process is questioned to play a role at larger  $Ra$  and smaller  $Ek$ !
- The vortex columns are only in a statistical sense locked to the mantle

## 8 Conclusion and Outlook

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