

# **Math 146 Notes**

velo.x

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# 1 Vector Space

## 1.1 Vector Space - Jan 6

**Definition 1.1.1 (Pseudo-Field).** A field is an algebraic system  $\mathbb{F}$  having:

- two elements 0 and 1
- operations  $+$ ,  $\times$ ,  $-$ , and  $()^{-1}$  (defined on nonzero elements)

satisfying "the obvious" properties.

See appendix of the textbook.

Examples:  $\mathbb{R}$ ,  $\mathbb{C}$ ,  $\mathbb{Q}$ ,  $\mathbb{Z}_{\text{prime}}$ .  $\mathbb{Q}(x) = \left\{ \frac{f(x)}{g(x)} : f, g \text{ polynomials}, g \neq 0 \right\}$

NonExamples:  $\{0\}$ ,  $\mathbb{Z}_m$  ( $m$  not prime), Quaternions.

**Definition 1.1.2 (Vector Space).** A vector space over  $\mathbb{F}$  is a set  $V$  with two operations:

- Addition:  $V \times V \rightarrow V$   $x + y$
- Scalar Multiplication:  $\mathbb{F} \times V \rightarrow V$   $ax$

satisfying 8 properties:  $\forall x, y, z \in V, \forall a, b \in \mathbb{F}$

- V1:  $x + y = y + x$
- V2:  $x + (y + z) = (x + y) + z$
- V3:  $\exists$  a "zero vector"  $0 \in V$  s.t.  $x + 0 = x$
- V4:  $\forall x \in V, \exists u \in V$ , s.t.  $x + u = 0$
- V5:  $1x = x$
- V6:  $(ab)x = a(bx)$  \*let  $\cdot$  denote scalar multiplication
- V7:  $a(x + y) = ax + ay$
- V8:  $(a + b)x = ax + bx$

### Objective 1.1.1.

- Defining/Constructing
- Proving that a system is a vector space

**Example 1:**  $\mathbb{R}$  def: set of all  $n$  – tuples of real numbers

$$(x_1, \dots, x_n) + (y_1, \dots, y_n) =$$

$a(x_1, \dots, x_n)$  defined  $(ax_1, \dots, ax_n)$  Claim:  $\mathbb{R}^n$  is a vector space over  $\mathbb{R}$

*Proof.* Check V1:

$$\begin{aligned} (x_1, \dots, x_n) + (y_1, \dots, y_n) &= (x_1 + y_1, \dots, x_n + y_n) \\ &= (y_1 + x_1, \dots, y_n + x_n) \\ &= (y_1, \dots, y_n) + (x_1, \dots, x_n) \end{aligned}$$

□

More generally, for any field  $\mathbb{F}$ ,  $\mathbb{F}^n$  is a field over  $\mathbb{F}$ .

**Example 2:**  $\mathbb{R}^{[0,1]} = \{all\ functions\ f : [0, 1] \rightarrow \mathbb{R}\}$

- $(f + h)(x) \stackrel{def}{=} f(x) + g(x)$
- $(af)(x) = af(x)$

Claim:  $\mathbb{R}^{[0,1]}$  is a vector space  $/\mathbb{R}$ .

*Proof.* V3: Let  $\bar{0}$  be the constant 0 function, i.e.,  $\bar{0}(x) = 0 \ \forall x \in [0, 1]$   $\bar{0} \in \mathbb{R}^{[0,1]}$

Check:  $f + \bar{0} = f \ \forall f \in \mathbb{R}^{[0,1]}$

$$\begin{aligned} (f + \bar{0})(x) &= f(x) + \bar{0}(x) \\ &= f(x) + 0 = f(x) \end{aligned}$$

Since  $x \in [0, 1]$  arbitrary,  $f + \bar{0} = f$ .

More generally, for any set D, and any field  $\mathbb{F}$ ,  $\mathbb{F}^D$  is a vector space over  $\mathbb{F}$ .

□

**Example 3:** let  $\mathbb{F} = \mathbb{Z}_2$ .

Define  $W = \{APPLE\}$ ,

- $APPLE + APPLE \stackrel{def}{=} APPLE$
- $0APPLE \stackrel{def}{=} APPLE$
- $1APPLE \stackrel{def}{=} APPLE$

Claim: W is a vector space over  $\mathbb{Z}_2$ .

**Examples 4:** 1.  $\mathbb{R}^n : \mathbb{F}^n$ , 2.  $\mathbb{R}^{[0,1]} : \mathbb{F}^D$ , 3.  $\{APPLE\}$ .

4. Fix a field  $\mathbb{F}$ , for  $n \geq 0$ ,  $P_n(\mathbb{F})$  is the set of all polynomials, of degree  $\leq n$ , in variable  $x$ , with coefficients from  $\mathbb{F}$ ,

$$= \{a_0 + a_1x + a_2x^2 + \dots + a_nx^n : a_i \in \mathbb{F}\}$$

Addition, scalar mult are "obvious", using op's of  $\mathbb{F}$ .

Claim:  $P_n(\mathbb{F})$  is a vecor space  $/\mathbb{F}$ .

5.  $\mathbb{F}[x] =$  the set of all polynomials in  $x$  with coefficients from  $\mathbb{F} = \cup_{n=0}^{\infty} P_n(\mathbb{F})$

Claim: with the "obvious" op's  $\mathbb{F}[x]$  is a V.S.  $/\mathbb{F}$ .

**Theorem 1.1.1 (Cancellation Law).** *Let  $V$  be a V.S.,  $/\mathbb{F}$ , if  $x, y, z \in V$ , and  $x + z = y + z$ , then  $x = y$ .*

*Proof.* Let  $u \in V$  be such that  $z + u = 0$  (from V4).

Then

$$\begin{aligned}
 x &= x + 0 && \text{(V3)} \\
 x &= x + (z + u) && \text{(Choice of u)} \\
 x &= (x + z) + u && \text{(hypothesis)} \\
 x &= (y + z) + u && \text{(V2)} \\
 x &= y + (z + u) && \text{(V2)} \\
 x &= y + 0 && \text{(choice of u)} \\
 x &= y
 \end{aligned}$$

□

**Corollary 1.1.1.** *Suppose  $V$  is a V.S., there is exactly one "zero vector". i.e. a vector satisfy V3. in  $V$ .*

*Proof.* Assume  $0_1, 0_2 \in V$ , both satisfying V3, i.e,  $x + 0_1 = x$  and  $x + 0_2 = x, \forall x \in V$ .

$$\begin{aligned}
 0_1 &= 0_1 + 0_1 \\
 0_1 &= 0_1 + 0_2 \\
 0_1 + 0_1 &= 0_1 + 0_2 \\
 &= 0_2 + 0_1 && \text{(V1)} \\
 0_1 &= 0_2 && \text{(By Cancellation)}
 \end{aligned}$$

□

**Corollary 1.1.2.** *Suppose  $V$  is a V.S. and  $x \in V$ , then the vector  $u$  in V4 is unique.*

*Proof.* Assume  $u_1, u_2 \in V$  both satisfy  $x + u_1 = 0 = x + u_2$ , then

$$\begin{aligned}
 u_1 + x &= u_2 + x && \text{(V1)} \\
 u_1 &= u_2 && \text{(By Cancellation)}
 \end{aligned}$$

□

**Definition 1.1.3.** *Given a V.S.  $V$  and  $x \in V$ ,*

- the unique vector  $u \in V$  s.t.  $x + u = 0$  is denoted  $-x$ .
- $x - y$  denotes  $x + (-y)$

**Note:** V2 justifies  $a_1x_1 + a_2x_2 + a_3x_3 + \cdots + a_kx_k$  not worry about parentheses.

## 1.2 Linear Combination - Jan 8

**Definition 1.2.1 (Linear Combination).**  $a_1x_1 + a_2x_2 + a_3x_3 + \cdots + a_kx_k$  is called a linear combination of  $x_1, \cdots, x_k$ .

**Basic Problem:** Given a V.S.  $V/\mathbb{F}$ , and  $u_1, u_2, \cdots, u_n \in V$  and  $x \in V$  to decide whether  $x$  is a linear combination of  $u_1, \cdots, u_n$ .

**Example:**  $V = \mathbb{Q}[x]$  over  $\mathbb{Q}$ . Let  $p = 4x^4 + 7x^2 - 2x + 3$ .

- $u_1 = x^4 - x^2 + 2x + 1$
- $u_2 = 2x^4 + 3x^2 + 2x$
- $u_3 = x^4 + 4x^2 + 1$
- $u_4 = 2x^3 + 3$
- $u_5 = x^4 + 1$

Is  $p$  a linear combination of  $u_1, \cdots, u_5$ ? Solution: search for  $a_1, \cdots, a_5 \in \mathbb{Q}$  s.t.

$$p = a_1u_1 + a_2u_2 + \cdots + a_5u_5$$

$$\begin{aligned} 4x^4 + 7x^2 - 2x + 3 &= a_1(x^4 - x^2 + 2x - 1) + a_2(2x^4 + 3x^2 + 2x) + a_3(x^4 + 4x^2 + 1) \\ &\quad + a_4(2x^3 + 3) + a_5(x^4 + 1) \\ &= (a_1 + 2a_2 + a_3 + a_5)x^4 + (2a_4)x^3 + (-a_1 + 3a_2 + 4a_3)x^2 \\ &\quad + (2a_1 + 2a_2)x + (-a_1 + a_3 + 3a_4 + a_5) \end{aligned}$$

$$\begin{cases} a_1 + 2a_2 + a_3 + a_5 = 4 \\ 2a_4 = 0 \\ -a_1 + 3a_2 + 4a_3 = 7 \\ 2a_1 + 2a_2 = -2 \\ -a_1 + a_3 + 3a_4 + a_5 = 3 \end{cases}$$

No solution.

## 1.3 Subspace - Jan 10

### Notation 1.3.1.

- $0$  denote the unique vector in  $V$
- $x$  denote the unique  $u \in V$  satisfying  $V4$

**Theorem 1.3.1.** Suppose  $V$  is a VS/ $\mathbb{F}$ ,  $X \in V$ ,  $a \in \mathbb{F}$ .

1.  $0x=0$ , the first  $0$  is scalar, the second  $0$  is a vector
2.  $(-a)x=a(-x)=- (ax)$
3.  $a0=0$

**Definition 1.3.1.** Suppose  $V$  is a V.S. over  $\mathbb{F}$ ,  $S \subseteq V$ ,

- **Closed under Addition:** if  $x, y \in S$ ,  $x + y \in S$ .
- **Closed under Scalar Multiplication:** if  $x \in S \Rightarrow ax \in S$ ,  $\forall a \in \mathbb{F}$ .

**Definition 1.3.2 (Subspace).** Let  $V$  be a VS/ $\mathbb{F}$ ,  $S \subseteq V$ , say  $S$  is a **Subspace** of  $V$  if

1.  $S$  is closed under addition and scalar multiplication
2.  $S \neq \emptyset$

**Theorem 1.3.2.** Suppose  $V$  is a vector space /  $\mathbb{F}$  and  $S$  is a subspace of  $V$ , then  $S$ , together the operations of  $V$  restricted to  $S$ .

- $+_S : S \times S \rightarrow S$
- $\cdot_S : \mathbb{F} \times S \rightarrow S$

*Proof.* Given  $V, S$ , must prove:  $S$  with restricted operations of  $V$ , satisfying  $V1$  to  $V8$ .

**V1:** must show: if  $x, y \in S$ , then  $x + y = y + x$ . Since  $S \subseteq V$ , hence  $x, y \in S \Rightarrow x, y \in V$ , and  $V \models V1$ .

Same proof works for  $V2, 5, 6, 7, 8$ .

**V3:** know  $S \neq \emptyset$ , take any  $x \in S$ , consider  $0x = 0 \in S$ . ( $S$  is closed under scalar multiplication)

Hence there exists a zero vector in  $S$ .

**V4:** fix  $x \in S$ , let  $u = (-x) \in S$ , then  $x + u = 1x + (-1)x = (1 + (-1))x = 0x = 0$ . □

**Note:** in every  $\mathbb{F}$ ,  $\forall a \in \mathbb{F}$ ,  $\exists c \in \mathbb{F}$   $a + c = 0$ ,  $c = -a$ . Since  $1 \in \mathbb{F}$ ,  $-1 \in \mathbb{F}$ .

**Theorem 1.3.3.** If  $V$  is a vector space over  $\mathbb{F}$  and  $S \subseteq V$ , and  $S$  with the operations of  $V$ , is itself a V.S. /  $\mathbb{F}$ , then  $S$  is a subspace of  $V$ .



## 1.4 Span - Jan 13

**Recall:** If  $V$  is a V.S. /  $\mathbb{F}$ , and  $u_1, \dots, u_n, x \in V$ , then  $x$  is a linear combination (lin. combo.) of  $u_1, \dots, u_n$  if  $\exists a_1, \dots, a_n$  such that  $x = a_1u_1 + a_2u_2 + \dots + a_nu_n$ .

**Definition 1.4.1.** Suppose  $V$  is a V.S. /  $\mathbb{F}$ ,  $x \in V$ , and  $\emptyset \neq S \subseteq V$ .

1. Say  $x$  is a lin. combo. of  $S$  if  $\exists$  finitely many  $u_1, \dots, u_n \in S$ , s.t.  $x$  is a lin. combo. of  $u_1, \dots, u_n$ .  
 $S = \{u_1, u_2, \dots, u_n\}$ ,  $x = \sum_{n=0}^{\infty} a_n u_n$ , converge.
2. The **Span** of  $S$  written  $\text{span}(S)$ , is the set of all linear combinations of  $S$ .
3.  $\text{span}(\emptyset) \stackrel{\text{df}}{=} \{0\}$

### Examples

- In  $\mathbb{R}^2$ ,  $S = \{(1, 1)\}$ , what is  $\text{span}(S)$ ? the
- In  $\mathbb{R}^3$ ,  $S = \{(1, 0, 0), (1, 1, 0)\} = \{a(1, 0, 0) + b(1, 1, 0) : a, b \in \mathbb{R}\} = \{(a + b, b, 0) : a, b \in \mathbb{R}\} = (s, t, 0) : s, t \in \mathbb{R}$  = the plane given by  $z = 0$
- In  $\mathbb{R}[x]$ , let  $S = \{x, x^2, x^3, \dots\}$ ,  $\text{span}(S) = \{f \in \mathbb{R}[x] : f(0) = 0\}$ .

**Proposition 1.4.1.** ( $\emptyset \neq S \subseteq V$ ). Suppose  $u_1, \dots, u_n \in S$ ,  $x \in V$ . Suppose  $x$  is a linear combination of  $u_1, \dots, u_n$ . If  $v_1, \dots, v_n$  are more vectors from  $S$ , then  $x$  is also a linear combination of  $u_1, \dots, u_n, v_1, \dots, v_n$ .

**Proposition 1.4.2.** If  $S = \{u_1, \dots, u_n\}$ , then  $\text{span}(S) = \{a_1u_1, \dots, a_nu_n, a_1, \dots, a_n \in \mathbb{F}\}$ .

**Proposition 1.4.3.** If  $S \subseteq T \subseteq V$ , then  $\text{span}(S) \subseteq \text{span}(T)$ .

**Proposition 1.4.4.** If  $S$  is infinite, if  $x, y \in \text{span}(S)$ , say  $x$  is a linear combo of  $u_1, \dots, u_n \in S$ ,  $y$  is a linear combo of  $v_1, \dots, v_m \in S$ , then  $x, y$  are linear combos of  $u_1, \dots, u_n, v_1, \dots, v_m$ .

**Generalization 1.4.1.** If  $x_1, \dots, x_k \in \text{span}(S)$ , then  $\exists u_1, \dots, u_n \in S$ , s.t. each  $x_l$  is a linear combo of  $u_1, \dots, u_n$ .

**Theorem 1.4.1.** Suppose  $V$  is a V.S. /  $\mathbb{F}$ ,  $S \subseteq V$ , then  $\text{span}(S)$  is the (unique) smallest subspace of  $V \supseteq S$ . i.e.

1.  $\text{span}(S)$  is a subspace of  $V$ .
2.  $S \subseteq \text{span}(S)$
3. If  $W$  is any subspace of  $V$  containing  $S$ , then  $\text{span}(S) \subseteq W$ .

*Proof.* 1. Let  $x \in S$ ,  $x = 1x$ , a linear combination of finitely many vectors in  $S$ .

2. i) Closure under scalar multiplication: let  $x \in \text{span}(S)$ ,  $c \in \mathbb{F}$ ,  $\Rightarrow \exists u_1, \dots, u_n \in S$ , s.t.  $x = a_1x_1 + \dots + a_nx_n$ , so

$$cx = c(a_1u_1 + \dots + a_nu_n) = (ca_1)u_1 + \dots + (ca_n)u_n$$

- ii) Closure under vector addition: let  $x, y \in \text{span}(S)$ , want to prove that  $x + y \in \text{span}(S)$ .

By the technical remark,  $\exists u_1, \dots, u_n \in S$  s.t.  $x = a_1u_1 + \dots + a_nu_n$ ,  $y = b_1u_1 + \dots + b_nu_n$ ,  $a_i, b_i \in \mathbb{F}$ ,

Then,  $x + y = (a_1u_1 + \dots + a_nu_n) + (b_1u_1 + \dots + b_nu_n) = (a_1 + b_1)u_1 + \dots + (a_n + b_n)u_n$ .

So  $x + y \in \text{span}(S)$ .

Finally, if  $S = \emptyset$ , then  $\text{span}(S) = \{0\}$ , if  $S \neq \emptyset$ , then  $S \subseteq \text{span}(S)$ ,

either case,  $\text{span}(S) \neq \emptyset$ , so  $\text{span}(S)$  is a subspace of  $V$ .

3. Let  $W$  be a subspace

□

Intuition: Redundancies in span. Example:  $V / \mathbb{F}$ , suppose  $S = \{u_1, \dots, u_5\} \subseteq V$ .

Assume  $u_3$  is a linear combination of  $u_2, u_4, u_5$ .

$$u_3 = c_2u_2 + c_4u_4 + c_5u_5$$

Claim:  $\text{span}(S) = \text{span}(S - \{u_3\})$ .

*Proof.* RTP  $\subseteq$  and  $\supseteq$ .

$\text{span}(S)$  is

- a subspace of  $V$
- which contains  $S \setminus \{u_3\} = \{u_1, u_2, \dots, u_5\}$

By the theorem, the smallest subspace of  $V$  containing  $S \setminus \{u_3\}$  is  $\text{span}(S \setminus \{u_3\})$ . hence  $\text{span}(S) \supseteq \text{span}(S \setminus \{u_3\})$ .

To prove that  $\text{span}(S) \subseteq \text{span}(S \setminus \{u_3\})$ ,

let  $x \in \text{span}(S)$ , i.e.

$$\begin{aligned} x &= a_1u_1 + a_2u_2 + a_3u_3 + a_4u_4 + a_5u_5 \\ &= a_1u_1 + a_2u_2 + a_3(c_2u_2 + c_4u_4 + c_5u_5) + a_4u_4 + a_5u_5 \\ &= a_1u_1 + (a_2 + a_3c_2)u_2 + (a_4 + a_3c_4)u_4 + (a_5 + a_3c_5)u_5 \end{aligned}$$

$x \in \text{Span}(\{u_1, u_2, u_4, u_5\})$

□

Also Observe:

$$0u_1 + c_2u_2 + (-1)u_3 + c_4u_4 + c_5u_5 = 0$$

A linear combination of  $u_1, \dots, u_5$  equals the 0 vector with coefficients not all 0.

So we code redundancies formally with definition:

**Definition 1.4.2.** ( $V\mathbb{F}, S \subseteq V$ ),  $S$  is linearly dependent if  $\exists$  distinct vectors  $u_1, \dots, u_n \in S$ , and  $\exists a_1, \dots, a_n \in \mathbb{F}$ , not all 0, such that

$$a_1u_1 + a_2u_2 + \dots + a_nu_n = 0(\text{zero vector})$$

$S$  is linearly independent if  $S$  is not linearly dependent.

$S$  is linearly dependent  $\iff (\exists \text{ distinct } u_1, \dots, u_n \in S)(\exists a_1, \dots, a_n \in \mathbb{F}, \not\equiv 0)(a_1u_1 + \dots + a_nu_n) = 0$   
 $\equiv (\forall \text{ distinct } u_1, \dots, u_n \in S)(\quad)$

**Technical Remark:** when  $S = \{u_1, \dots, u_n\}$  without reports

- Can drop  $(\forall \text{ distinct } u_1, \dots, u_n \in S)$  in choice of linear independence.
- Can drop  $(\exists \text{ distinct } u_1, \dots, u_n \in S)$  in choice of linear dependence.

**Example 2:** Is  $S = \{(0, 1, 1), (1, 0, 1), (1, 2, 3)\}$  linear dependent? (in  $\mathbb{R}^3$ )

Try to find:  $a, b, c \in \mathbb{R}$  s.t.

$$a \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix} + b \begin{pmatrix} 1 \\ 0 \\ 1 \end{pmatrix} + c \begin{pmatrix} 1 \\ 2 \\ 3 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix} \quad \Rightarrow \quad \begin{pmatrix} a \\ b \\ c \end{pmatrix} = \begin{pmatrix} -2 \\ -1 \\ 1 \end{pmatrix}$$

Shows  $S$  is linearly dependent.

**Question:** If  $S = \emptyset$ ,  $S$  is linearly dependent.

**Question 2:** If  $S = \{0\}$ ,  $S$  linearly dependent. Can write  $1 \cdot 0 = 0$ .

More Generally, if  $0 \in S \subseteq V$ , then  $S$  is linearly dependent.

**Theorem 1.4.2 (Linear Dependence).**  $V\mathbb{F}, S \subseteq V$ , then  $S$  is linearly dependent, iff  $S = \{0\}$  or  $\exists x \in S$ , s.t.  $x$  is a linear combination of some vectors in  $S \setminus \{x\}$ .

## 1.5 Basis Jan 17

**Recall** If  $V$  is a V.S. /  $\mathbb{F}$ ,  $S \subseteq V$ .

1.  $\text{span}(S)$  = set of all linear combinations of  $S$
2.  $S$  is linearly dependent if  $\exists u_1, u_2, \dots, u_n \in S$  (distinct),  $\exists a_1, \dots, a_n \in \mathbb{F}$  not all 0, s.t.  $a_1 u_1 + a_2 u_2 + \dots + a_n u_n = 0$ .  
- else,  $S$  is linearly independent.

**Definition 1.5.1.**  $V$  is V.S. /  $\mathbb{F}$ ,

1. A set  $S \subseteq V$  is a spanning set of  $\text{span}(S) = V$ . Also say  $S$  spans  $V$ .
2.  $V$  is finitely spanned if  $V$  has a finite spanning set.  
 $V$  is countably spanned if  $V$  has a countable spanning set.

**Examples:**

$\mathbb{R}^3$  is finitely spanned, e.g. by  $\{e_1, e_2, e_3\}$ .

so is  $\mathbb{R}^n$  e.g. by  $\{e_1, e_2, \dots, e_n\}$ ,  $e_i = (0, 0, \dots, 1, 0, \dots, 0)$  with 1 at  $i_{th}$  spot.

$\mathbb{R}[x]$  is countably spanned e.g. by  $\{1, x, x^2, x^3, \dots\}$ . not finitely spanned.

$\mathbb{R}[0, 1]$  not countably spanned.

**Definition 1.5.2.**  $V$  is a V.S. /  $\mathbb{F}$ .

A basis for  $V$  is any  $S \subseteq V$ , which

- spans  $V$ , and
- $S$  is linearly independent

**Examples:**  $\{e_1, \dots, e_n\} \subseteq \mathbb{F}^n$  is a basis for  $\mathbb{F}^n$ .

$\{1, x, x^2, x^3, \dots\} \subseteq \mathbb{R}[x]$  is a basis for  $\mathbb{R}[x]$ .

**Theorem 1.5.1.** Every countably spanned V.S. has a basis.

*Proof.* Suppose V.S.  $V$  is spanned by countable set  $S$ , so either  $S = \{v_1, v_2, \dots, v_n\}$ , or  $S = \{v_1, v_2, \dots\}$ , WLOG, we assume  $0 \notin S$ , define

$$T = \{v_j \in S, v_j \notin \text{span}(v_1, v_2, \dots, v_{j-1})\},$$

Claim that  $T$  is a basis for  $V$ .

Proof of Claim: 1<sup>st</sup> show  $T$  is linearly independent, by contradiction, assume  $T$  is linearly dependent.

Then,  $\exists k$ , and scalars  $a_1, a_2, \dots, a_n$  (not all 0), s.t,

$$a_1 x_1 + a_2 x_2 + \dots + a_n x_n = 0$$

Choose least  $k$  for which this is true.

Claim:  $k \neq 1$ , if  $k = 1$ ,  $a_1 v_1 = 0 \Rightarrow v_1 = 0$ , but  $0 \notin T$ , contradiction.

so  $k > 1$ , Assume  $a_k = 0$ , then

$$a_1 v_1 + a_2 v_2 + a_{k-1} v_{k-1} = 0$$

Not all of  $a_1, a_2, \dots, a_{k-1} = 0$ .

Next, show  $\text{span}(S) = V$ .

$$S = \{v_1, v_2, v_3, \dots, v_n\}$$

$$T = \{v_{i_1}, v_{i_2}, v_{i_3}, \dots\}$$

Know  $\text{span}(S) = V$ , intuitively  $\text{span}(T) = \text{span}(S)$ .

$$T = \{v_j \in S : v_j \notin \text{span}(\{v_1, v_2, \dots, v_{j-1}\})\}$$

Therefore,  $T$  is a basis of  $V$ .

□

**Remark:**

1. Every Vector Space has a basis. proof: some version of axiom of choice
2. bases is not unique, every V.S. except  $\{0\}$ , has multiple bases.
3. What is a basis for  $V = \{0\}$ ?  $\emptyset$

**Theorem 1.5.2 (Axiom of Choice).** Suppose  $A, B$  are sets,  $f : A \rightarrow$ .

## 1.6 Dimension - Jan 20

**Remark:** Given a vector space  $V$ , the basis is not unique.

Relation between two basis of a vector space. (finitely spanned vector spaces)

**Theorem 1.6.1.** *Let  $V$  be a finitely spanned vector space over a field  $\mathbb{F}$ , let  $\{v_1, \dots, v_m\}$  be a basis of  $V$ , let  $\{w_1, \dots, w_n\} \subset V$  and  $n > m$ . Then  $\{w_1, \dots, w_n\}$  is linearly dependent.*

*Sketch. Idea:* Replace successfully  $v_1, v_2, \dots, v_n$ , by  $w_1, w_2, \dots, w_n$  so that

$$\text{span}(\{w_1, w_2, \dots, w_i, v_{i+1}, \dots, v_m\}) = \text{span}(\{v_1, v_2, \dots, v_i, v_{i+1}\})$$

$$1 \leq i \leq m-1. \quad \square$$

*Proof.* Assume  $\{w_1, \dots, w_n\}$  is linearly dependent. Prove the statement by induction.

Base Case: ( $i=1$ ), since  $\{v_1, \dots, v_m\}$  is a basis for  $V$  and  $w_1 \in V$ , there exist  $a_1, \dots, a_m \in \mathbb{F}$  s.t.  $w_1 = a_1 v_1 + \dots + a_m v_m$ .

By the assumption,  $w_1 \neq 0$ , hence one of the  $a'_k$ s is nonzero.

By renumbering  $v_1, \dots, v_m$ , WLOG, we can assume  $a_1 \neq 0$ . We can solve for  $v_1$ .

$$\begin{aligned} a_1 v_1 &= w_1 - a_2 v_2 - \dots - a_m v_m \\ v_1 &= a_1^{-1} w_1 - a_1^{-1} a_2 v_2 - \dots - a_1^{-1} a_m v_m \end{aligned}$$

so,  $\text{span}(\{v_1, v_2, \dots, v_m\}) \subset \text{span}(\{w_1, w_2, \dots, w_m\}) = V$ .

Induction Assumption: Assume that the statement is true for  $r$ . It means after renumbering,  $v_1, v_2, \dots, v_m$  we have

$$\text{span}(\{w_1, w_2, \dots, w_i, v_{i+1}, \dots, v_m\}) = V.$$

\*replace  $w_{i+1}$ .

Prove for  $r+1$ : Rewrite  $w_{i+1}$  as a linear combination of  $\{w_1, \dots, w_r, v_{r+1}, \dots, v_m\}$ .

$$w_{i+1} = c_1 w_1 + \dots + c_r w_r + d_{i+1} v_{i+1} + \dots + d_m v_m$$

Observation: One of the  $d_{r+1}, \dots, d_m$  must be nonzero. Because if  $d_{i+1} = \dots = d_m = 0$ , then

$$\begin{aligned} w_{r+1} &= c_1 w_1 + \dots + c_r w_r \\ 0 &= c_1 w_1 + \dots + c_r w_r - w_{r+1} \end{aligned}$$

Contradiction since  $\{w_1, \dots, w_{r+1}\}$  is linearly independent.

WLOG, we can assume  $d_{i+1} \neq 0$ ,

$$d_{r+1} v_{r+1} = w_{r+1} - c_1 w_1 - \dots - c_r w_r - d_{r+2} v_{r+2} - \dots - d_m v_m$$

Since  $n > m$ ,  $w_n = a_i w_i + \dots + a_m w_m$ , so  $\{w_1, \dots, w_n\}$  is linearly dependent.

It completes the proof.  $\square$

**Theorem 1.6.2.** Let  $V$  be a finitely spanned vector space, having one basis of  $m$  elements having another basis of  $n$  elements. Then  $m = n$ .

*Proof.* We could not have  $m < n$ , or  $m > n$ . If it happens, the other set must be linearly dependent.  $\square$

**Definition 1.6.1.** Let  $V$  be a vector space having a basis consisting of  $n$  elements, we say  $n$  is the dimensioning of  $V$ .

$$\dim_{\mathbb{F}} V = n$$

$$\lim\{0 = 0\}$$

A vector space that has a basis consisting of  $n$  elements, zero elements, zero vector space, is called finite dimensional. Otherwise,  $V$  is called infinite dimensional ([Hamel Basis](#))

**Example:**

- $\dim \mathbb{F}^n = n$

Since

$$\left\{ \begin{pmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{pmatrix}, \begin{pmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{pmatrix}, \dots, \begin{pmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{pmatrix} \right\}$$

is a basis for  $\mathbb{F}^n$ .

- $\dim P_n(\mathbb{F}) = n + 1$

Since  $\{1, x, \dots, x^n\}$  is a basis for  $P_n(\mathbb{F})$ .

- $\dim \mathbb{F}[x] = \infty$

**Corollary 1.6.1.** Let  $V$  be an  $n$ -dimensional space, then

- If  $\{v_1, \dots, v_n\} \subset V$  is linearly independent, then  $\{v_1, \dots, v_n\}$  is a basis for  $V$ .
- If  $\{v_1, \dots, v_n\} \subset V$ ,  $k < n$  is linearly we can add  $v_{k+1}, \dots, v_n$  so that  $\{v_1, \dots, v_n\}$  is a basis for  $V$ .
- If  $W$  is a subspace of  $V$ , then  $\dim W \leq \dim V$ , if furthermore,  $\dim W = \dim V$ . Then  $W = V$ .

## 1.7 Direct Sum - Tutorial Jan 20

**Corollary 1.7.1.** *If  $V$  is finitely spanned, and  $\beta\{v_1, \dots, v_n\}$  is linearly independent, then  $\beta$  can be extended to a basis for  $V$ , i.e.  $\exists w_1, \dots, w_r \in V$ , s.t.  $\{v_1, \dots, v_n, w_1, \dots, w_r\}$  is a basis for  $V$*

*Proof.* Let  $m = \dim V$ . So  $n \leq m$  by theorem.

Case 1:  $\beta$  is already a basis. ( $n=m$ )

Case 2:  $\beta$  is not a basis.

□



## 1.8 Jan 22

**Corollary 1.8.1.** *If  $V$  is finitely spanned, and  $\mathfrak{B} = \{v_1, \dots, v_n\}$  is linearly independent, then  $\mathfrak{B}$  can be extended to a basis for  $V$ .*

*i.e.  $\exists w_1, \dots, w_r \in V$ , s.t.  $\{v_1, \dots, v_n, w_1, \dots, w_r\}$  is a basis for  $V$ .*

*Proof.* Let  $m = \dim V$ , so  $n \leq m$ . (By theorem).

**case 1:**  $\mathfrak{B}$  is already a basis ( $n = m$ ). done

**Case 2:**  $\mathfrak{B}$  is not a basis, so  $\text{span}\mathfrak{B} \neq V$ , so  $\exists w_1 \in V \setminus \mathfrak{B}$ . □

**Theorem 1.8.1.** *For any V.S.  $V$ , if  $\mathfrak{B} \subseteq V$  is linearly independent, then  $\mathfrak{B}$  can be extended to a basis for  $V$ . [use axiom of choice]*

**Example:** Let  $\mathfrak{B} = \{\cos(nx), n \geq 0\} \cup \{\sin(nx) : n > 0\} \cup \{e^x\}$ .

This  $\mathfrak{B}$  can be extended to a basis  $\mathfrak{B}'$  for  $\mathbb{R}^{[0,1]}$ .

$$|\mathfrak{B}'| = 2^{2^{\aleph_0}}$$

**Recall:** If  $\{v_1, \dots, v_n\} \subseteq V$  is linearly independent. Say  $\{v_1, \dots, v_n\}$  is a maximal linearly independent set, if  $\forall w \in V \setminus \{v_1, \dots, v_n\}$ ,  $\{v_1, \dots, v_n, w\}$  is linearly dependent.

**Corollary 1.8.2.** *If  $V$  is a finitely spanned set, then every basis is a maximal linearly independent set, and vice versa.*

More generally,

**Definition 1.8.1.** *Let  $V$  be a V.S., a subset  $\mathfrak{B} \subseteq V$  is a **maximal linearly independent set** if*

- $\mathfrak{B}$  is linearly independent
- $\forall w \in V \setminus \mathfrak{B}$ ,  $\mathfrak{B} \cup \{w\}$  is linearly dependent.

**Theorem 1.8.2.** *In any V.S.  $V$ , every basis is a maximal linearly independent set, and vice versa.*

**Definition 1.8.2.** *A **minimal spanning set** is a set  $\mathfrak{B}$  such that*

- $\text{span}\mathfrak{B} = V$
- $\forall w \in \mathfrak{B}$ ,  $\text{span}(\mathfrak{B} \setminus \{w\}) \neq V$

**Theorem 1.8.3.** *In every vector space  $V$ ,*

1. *Every basis is a minimal spanning set and vice versa*

2. Every spanning set can be "shrunk" to a basis  
i.e. if  $\text{span}\mathfrak{B} = V$ , then  $\exists \mathfrak{B}' \subseteq \mathfrak{B}$  s.t.  $\mathfrak{B}'$  is a basis for  $V$ .

*Proof.* For (2), already proved when  $\mathfrak{B}$  is countable. Can extend the proof to uncountable "well-ordering  $\mathfrak{B}$ ".

To find a basis for  $\mathbb{R}^{[0,1]}$

1. start with  $\mathfrak{B} = \mathbb{R}^{[0,1]}$
2. well-order  $\mathfrak{B}$  ("enumerates"  $\mathfrak{B}$ )
3. use the enumeration to shrink  $\mathfrak{B}$  to a basis

□

## 1.9 Quotient Space - Jan 24

**Review:**  $\mathbb{Z}_n$  = the set of the congruence classes,  $x \equiv y \pmod{m} \iff m \mid x - y$

**Revisit:**  $[0] = \{qm : a \in \mathbb{Z}\} = m\mathbb{Z}$ .

$-m\mathbb{Z}$  is collapsed to become zero

$-x \equiv y \pmod{n} \iff x = y \in m\mathbb{Z}$ .

-advanced notation:  $\mathbb{F}/m\mathbb{Z}$ .

Version of this:

- $(\mathbb{Z}, +, \cdot) \rightarrow$  a vector space  $V$ .
- $(m\mathbb{Z}) \rightarrow$  a subspace of  $V$ .

**Definition 1.9.1.** Fix a V.S.  $V$  over  $\mathbb{F}$ , and a subspace  $W$ . For  $x, y \in V$  say  $x \equiv y \pmod{W}$ , if  $x - y \in W$ .

**Claim:**  $\equiv \pmod{W}$  is an equivalence relation on  $V$ .

*Proof.* For transitivity:

Assume  $x, y, z \in V$ ,  $x \equiv y \pmod{W}$  and  $y \equiv z \pmod{W}$ , by definition,  $x - y \in W$ ,  $y - z \in W$ .

Then  $x - z = (x - y) + (y - z) \in W$  since  $W$  is closed under addition.

Then by definition,  $x \equiv z \pmod{W}$ .

□

**Definition 1.9.2.** Define  $V, W$  as before:

For  $x \in V$ ,

$$x + W := \{x + w : w \in W\}$$

( $x$  is fixed, add  $x$  to every vector on  $W$ ).  $x + W$  is called **translation of  $W$  by  $x$** , or **coset of  $W$  through  $x$** .

**Lemma 1.9.1.**  $V, W$  as before, for any  $x \in V$ , the equivalence class (congruence class) of  $\equiv \pmod{W}$  containing  $x$  is  $x + W$ . If  $y \equiv x \pmod{W}$ , and  $w \in W$ , then  $y \equiv x + w \pmod{W}$ .

*Proof.* For any  $y \in V$ ,  $y \in$  the equiv of  $\equiv \pmod{W}$  containing  $x$ .

$$\begin{aligned} \iff y &\equiv x \pmod{W} \\ \iff y - x &\in W \\ \iff y - x &= w, \text{ for some } w \in W \\ \iff y &= x + w \\ \iff y &\in x + W \end{aligned}$$

□

**Corollary 1.9.1.** With  $V$  and  $W$  as above, for any  $x, y \in V$ ,

$$x + W = y + W \iff x \equiv y \pmod{W} \quad \text{i.e. } x - y \in W.$$

**Remark:** For  $x \in V$ , the span class of  $\equiv \pmod{W}$  containing  $x$  is

$$\{y \in V, y \equiv x \pmod{W}\}$$

**Definition 1.9.3.**

$$\begin{aligned} V/W &:= \text{the set of all equiv classes of the } \equiv \pmod{W} \text{ relation} \\ &:= \text{the set of all translations of } W \\ &:= \{x + W : x \in V\} \neq V \end{aligned}$$

Next, we turn  $V/W$  into a vector space over  $\mathbb{F}$ ,

$$(x + W) \oplus (y + W) := (x + y) + W$$

$$c(x + W) := (cx) + W$$

**Issue:** Are the operations well-defined? Yes

E.g. check scalar multiplication:

assume  $x + W = x_1 + W, x \equiv x_1 \pmod{W} \iff x - x_1 \in W$ .

need to know:  $\forall c \in \mathbb{F}$ ,

$$\begin{aligned} &(cx + W) = (cx_1) + W \\ \Leftrightarrow &cx \equiv cx_1 \pmod{W} \\ \Leftrightarrow &(cx) - (cx_1) \in W \\ \Leftrightarrow &c(x - x_1) \in W \end{aligned}$$

**Definition 1.9.4.**  $V/W$  with the natural operations is called the **quotient space** of  $V$  modulo  $W$ .

## 2 Linear Transformations

**Definition 2.0.1.** Let  $V, W$  be vector spaces over  $\mathbb{F}$ , a function  $T : V \rightarrow W$  is a linear transformation (or is linear) if

1.  $T(x + y) = T(x) + T(y), \forall x, y \in V$
2.  $T(ax) = aT(x), \forall x \in V, \forall a \in \mathbb{F}$

### Example

$V = W = \mathbb{R}$  (as  $V.S./\mathbb{R}$ )

Fix  $\lambda \in \mathbb{R}$ ,

$$T : \mathbb{R} \rightarrow \mathbb{R} \quad T(x) = \lambda x$$

$T$  is a linear transformation.

Check: Let  $x, y \in \mathbb{R}, a \in \mathbb{R}$

1.  $T(x + y) = \lambda(x + y) = \lambda x + \lambda y = T(x) + T(y)$
2.  $T(ax) = \lambda(ax) = a(\lambda x) = aT(x)$

fact: Every linear transformation from  $\mathbb{R} \rightarrow \mathbb{R}$  has this form.

**Generalization 2.0.1.** let  $V = X = \mathbb{F}$ , (field) considered as  $V.S./\mathbb{F}$ , every linear transformation  $T : \mathbb{F} \rightarrow \mathbb{F}$  is of form  $T(x) = \lambda x$  for some  $\lambda \in \mathbb{F}$ .

**Example:**  $V = W = \mathbb{R}^2$

define  $T : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  by  $T((x_1, x_2)) = (-x_2, x_1)$ ,

$$T((1, 0)) = (0, 1)$$

$$T((0, 1)) = (-1, 0)$$

Actually,  $T$  is "rotation" by  $90^\circ$  c.c.w centered at  $(0, 0)$ .

Claim:  $T$  is a linear transformation.

*Proof.*  $T((x_1, x_2) + (y_1, y_2)) = T((x_1 + y_1, x_2 + y_2)) = T(-(x_2 + y_2), x_1 + y_1) = (-x_2, x_1) + (-y_2, y_1) = T((x_1, x_2)) + T((y_1, y_2))$

Similarly, can check  $T(a(x_1, x_2)) = aT((x_1, x_2))$  □

**Generalization 2.0.2.** Fix  $A \in M\mathbb{R}$ , set of all  $m \times n$  matrices with entries from  $\mathbb{R}$ ,

so

$$A = \begin{pmatrix} a_{11} & a_{12} & \cdots & a_{1n} \\ a_{21} & a_{22} & \cdots & a_{2n} \\ \vdots & & & \\ a_{m1} & a_{m2} & \cdots & a_{mn} \end{pmatrix}$$

Define  $L_A : \mathbb{R}^n \rightarrow \mathbb{R}^n$ ,  $L_A(x) = Ax$ .  $x$  is a column vector  $n \times 1$  matrix

$$Ax = \begin{pmatrix} a_{11} & a_{12} & \cdots & a_{1n} \\ a_{21} & a_{22} & \cdots & a_{2n} \\ \vdots & & & \\ a_{m1} & a_{m2} & \cdots & a_{mn} \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{pmatrix} = \begin{pmatrix} a_{11}x_1 + a_{12}x_2 + \cdots + a_{1n}x_n \\ a_{21}x_1 + a_{22}x_2 + \cdots + a_{2n}x_n \\ \vdots \\ a_{m1}x_1 + a_{m2}x_2 + \cdots + a_{mn}x_n \end{pmatrix}$$

**Claim:**  $L_A$  is a linear transformation.

*Proof.* By example,  $m = n = 2$ ,  $A = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$

$$L_A(x) = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} -x_2 \\ x_1 \end{pmatrix} = (-x_2, x_1)$$

□

**Generalization 2.0.3.** Fix a field  $\mathbb{F}$ , fix  $A \in M_{m \times n}(\mathbb{F})$ ,

define  $L_A : \mathbb{F}^n \rightarrow \mathbb{F}^m$  by  $L_A(x) = Ax$ ,

**Claim:**  $L_A$  is a linear transformation.

**Recall:**  $C([-1, 1]) =$  all continuous functions  $f : [-1, 1] \rightarrow \mathbb{R}$ , define  $T : C([-1, 1]) \rightarrow \mathbb{R}$ , by  $T(f) = \int_{-1}^1 f(x)dx$ .

**Claim:**  $T$  is a linear transformation.

*Proof.*

$$\begin{aligned} T(f + g) &= \int_{-1}^1 (f + g)dx \\ &= \int_{-1}^1 f dx + \int_{-1}^1 g dx \\ &= T(f) + T(g) \end{aligned}$$

$$T(af) = \int_{-1}^1 af dx = a \int_{-1}^1 f dx = aT(f)$$

□

$D : C^\infty(\mathbb{R}) \rightarrow C^\infty(\mathbb{R})$  (set of all  $f \in C(\mathbb{R})$ ),

$f^{(n)}$  exists, and is continuous  $\forall n$ .

Define  $D(f) = f'$ ,  $D$  is linear.

Some easy properties of all linear transformations, suppose  $T : V \rightarrow W$  linear.

$$1. T(0) = 0$$

$$\text{Proof. (a) } T(x + 0) = T(x) + T(0)$$

$$(b) T(0 \cdot x) = 0T(x) = 0$$

□

$$2. T(x - y) = T(x) - T(y)$$

$$\textit{Proof. } T(x - y) = T(x + (-1)y) = T(x) + T((-1)y) = T(x) - T(y)$$

□

$$3. T(a_1x_1 + a_2x_2 + \cdots + a_nx_n) = a_1T(x_1) + \cdots + a_nT(x_n)$$

**Common Mistake:**

$$T(ax + by) = T(a)T(x) + T(b)T(y)$$

**More Examples:**

$M_{m \times n}(\mathbb{F})$  is a vector space over  $\mathbb{F}$ , -add matrices componentwise -scalar multiply by multiplying all components

$$\begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} + \begin{pmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{pmatrix} = \begin{pmatrix} a_{11} + b_{11} & a_{12} + b_{12} \\ a_{21} + b_{21} & a_{22} + b_{22} \end{pmatrix}$$

$$c \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} = \begin{pmatrix} ca_{11} & ca_{12} \\ ca_{21} & ca_{22} \end{pmatrix}$$

$T : M_{m \times n}(\mathbb{F}) \rightarrow M_{n \times m}(\mathbb{F})$  by  $T(A) = A^t$ . (transpose of  $A$ )

$$\begin{pmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \end{pmatrix}^t = \begin{pmatrix} a_{11} & a_{21} \\ a_{12} & a_{22} \\ a_{13} & a_{23} \end{pmatrix}$$

( $V = W$ ) define  $I_v : V \rightarrow V$  by  $I_v(x) = x$  its linear.

## 2.1 Tutorial - Jan 27

### Goals:

- Be able to describe the quotient space
- Be able to find a basis and the dimension of the quotient space

### Recall that:

**Definition 2.1.1.**  $V$  is a V.S.  $W \leq V/\mathbb{R}$ , we call  $V/W$  a quotient space if

$$\begin{cases} (x + W) + (y + W) = (x + y) + W \\ c(x + W) = cx + W \end{cases}$$

which  $x, y \in V$ ,  $c \in \mathbb{R}$ .

### Example:

$V = \mathbb{R}^3$ ,  $W = \text{span}\{(0, 0, 1)\}$ .  $\mathbb{R}^3/W$  is a quotient space.

Question: What are the elements in  $\mathbb{R}^3/W$ ?

A:  $p + W$ ,  $p \in \mathbb{R}^3$ .

B:  $[p + W] = \{x \in \mathbb{R}^3 | x - p \in w\}$

C: All lines that are parallel to  $Z$ -axis



## 2.2 Null Space and Range

**Definition 2.2.1.** Suppose  $T : V \rightarrow W$  is a linear transformation.

1. The **null space** of  $T$  denoted  $N(T)$ , is

$$N(T) = \{x \in V : T(x) = 0\}$$

2. The **range** of  $T$  denoted as  $R(T)$

$$R(T) = \{T(x) : x \in V\} \subseteq W$$

**Example:**  $D_n : P_n(\mathbb{R}) \rightarrow P_n(\mathbb{R})$   $D_n(f) = f'$ . It's linear.

What is  $N(D_n)$ ?

$$N(D_n) = \{f \in P_n(\mathbb{R}) : f' = 0\} = \{c : c \in \mathbb{R}\}$$

$$R(D_n) = P_n(\mathbb{R})$$

**Theorem 2.2.1.** Suppose  $T : V \rightarrow W$  is linear

1.  $N(T)$  is a subspace of  $V$ .
2.  $R(T)$  is a subspace of  $W$ .

*Proof.*

1.  $T(0_v) = 0_w$  so  $0_v \in N(T)$  so  $N(T) \neq \emptyset$

-closure under addition: let  $x, y \in N(T)$ ,

$$T(x + y) = T(x) + T(y) = 0 + 0 = 0 \in N(T)$$

-closure under scalar multiplication: let  $x \in N(T)$ ,  $c \in \mathbb{F}$

$$T(cx) = cT(x) = ca = 0 \in N(T)$$

2.  $R(T) \neq \emptyset$  because  $V \neq \emptyset$

-closure under addition: let  $u, v \in R(T) \subset W$ , can write  $u = T(x)$ ,  $v = T(y)$ , (for some  $x, y \in V$ ), so  $u + v = T(x) + T(y) = T(x + y) \in R(T)$ .

-Similar argument shows that  $R(T)$  is closed under scalar multiplication.

□

**Algorithm 2.2.1 (Useful Trick).** Suppose  $T : V \rightarrow W$  is a linear transformation, suppose we know  $\text{span}\{v_1, \dots, v_k\}$ , then

$$\begin{aligned} R(T) &= \{T(x), x \in V\} \\ &= \{T(x) : x = a_1v_1 + \dots + a_kv_k, a_i \in \mathbb{F}\} \\ &= \{T(a_1v_1 + \dots + a_kv_k) : a_1, \dots, a_k \in \mathbb{F}\} \\ &= \{a_1T(v_1) + \dots + a_kT(v_k) : a_1, \dots, a_k \in \mathbb{F}\} \\ &= \text{span}\{T(v_1), \dots, T(v_k)\} \end{aligned}$$

**Example 1:**  $D_n : P_n(\mathbb{R}) \rightarrow P_n(\mathbb{R})$

A spanning set for  $P_n(\mathbb{R})$  is

$$\{1, x, x^2, x^3, \dots, x^n\}$$

so

$$\begin{aligned}\mathbb{R}(D_n) &= \text{span}\{D_n(1), D_n(x), D_n(x^2), \dots, D_n(x^n)\} \\ &= \text{span}\{0, 1, 2x, \dots, nx^{n-1}\} \\ &= \text{span}\{1, x, x^2, \dots, x^{n-1}\} = P_{n-1}(\mathbb{R})\end{aligned}$$

**Example 2:** Fix  $A \in M_{m \times n}(\mathbb{F})$ .  $L_A : \mathbb{R}^n \rightarrow \mathbb{F}^m$  by  $L_A(x) = Ax$ .

The "standard basis" for  $\mathbb{F}^n$  is

$$\{(1, 0, \dots, 0), (0, 1, 0, \dots, 0), \dots, (0, \dots, 0, 1)\}$$

$$\mathbb{F}^n = \text{span}\{e_1, e_2, \dots, e_n\}$$

$$\text{Say } A = \begin{pmatrix} a_{11} & \dots & a_{1n} \\ \vdots & & \vdots \\ a_{m1} & \dots & a_{mn} \end{pmatrix}$$

$$L_A(e_1) =$$

## Two Basic Questions about Linear Transformation

Question 1: Is it injective?

Question 2: Is it surjective?

**Theorem 2.2.2.** Suppose  $T : V \rightarrow W$  is linear, then  $T$  is injective  $\iff N(T) = \{0\}$ .

*Proof.*  $(\Rightarrow)$  Assume  $T$  is injective. i.e.  $\forall x, y \in V, T(x) = T(y) \Rightarrow x = y$ .

Obviously  $0 \subseteq N(T)$ . (Since  $N(T)$  is a subspace)

For  $N(T) \subseteq \{0\}$ , let  $x \in N(T)$  so  $T(x) = 0 = T(0) \Rightarrow x = 0$ .

$(\Leftarrow)$  Assume  $N(T) = \{0\}$ , prove injectively, assume  $x, y \in V$  and  $T(x) = T(y)$ .

$$\Rightarrow T(x) - T(y) = 0 \Rightarrow T(x - y) = 0 \Rightarrow x - y \in N(T) = \{0\} \Rightarrow x = y.$$

□

## 2.3 Jan 31

**Definition 2.3.1.** A linear transformation  $T : V \rightarrow W$  is an isomorphism if it is a bijection.

We also write  $T : V \cong W$ .

We say  $V, W$  are **isomorphic**. (and write  $V \cong W$ ) if  $\exists T : V \cong W$ .

**Example 1:**  $P_n(\mathbb{R}) \cong \mathbb{R}^{n+1}$

An example of an isomorphism  $T : P_n(\mathbb{R}) \cong \mathbb{R}^{n+1}$  is

$$T(a_0 + a_1x + \dots + a_nx^n) = (a_0, a_1, \dots, a_n)$$

Easy facts:

1. For every V.S.  $V$ ,  $V \cong V$ .
2. If  $V \cong W$  then  $W \cong V$ .

**Definition 2.3.2.** Given a linear transformation  $T : V \rightarrow W$  the

**nullity** of  $T$ :  $\text{nullity}(T) := \dim(N(T))$

**rank** of  $T$ :  $\text{rank}(T) := \dim(R(T))$

**Theorem 2.3.1.** Suppose  $T : V \rightarrow W$  is linear and  $\dim V < \infty$ , then  $\text{rank}(T) + \text{null}(T) = \dim(V)$ .

*Proof.* First step find basis for  $N(T)$  and  $R(T)$

Let  $S$  be a basis for  $N(T)$  let  $n = \dim V$ , as  $N(T) \subseteq V$ ,  $S$  is linearly independent in  $V$

$\Rightarrow |S| < n$ . Write  $S = \{v_n, \dots, n_k\}$ ,  $k < n$ .

□

Special Case: when  $T : V \cong W$ ,  $\dim V = n$

$T$  is injective  $\Rightarrow N(T) = \{0\}$

$\Rightarrow \text{null}(T) = 0$

$\Rightarrow S = \emptyset$

$B = \{x_n, \dots\}$

## 2.4 Feb 3

**Proposition 2.4.1.** Suppose  $\{v_1, \dots, v_n\}$  is a basis for V.S.  $/\mathbb{F}$ .

Then  $\forall x \in V$ ,  $x$  can be uniquely written

$$x = a_1v_1 + \dots + a_nv_n \quad a_i \in \mathbb{F}$$

*Proof.*  $\{v_1, \dots, v_n\}$  span  $V$  so every  $x \in V$  can be written in this way.

For uniqueness, assume  $x = a_1v_1 + \dots + a_nv_n = b_1v_1 + \dots + b_nv_n$

Get  $0 = (a_1 - b_1)v_1 + \dots + (a_n - b_n)v_n$ . As  $\{v_1, \dots, v_n\}$  is linearly independent, get  $a_1 = b_1, \dots, a_n = b_n$ .  $\square$

**Example:**

Let  $V = \{(x, y, z) \in \mathbb{R}^3 : x + y + z = 0\}$ . A plane in  $\mathbb{R}^3$ .  $V$  is a subspace of  $\mathbb{R}$ .

Let  $v_1 = (-1, 1, 0)$ ,  $v_2 = (0, -1, 1)$ .

$\{v_1, v_2\}$  is a basis for  $V$

$$x = (-3, 1, 2) \in V \Rightarrow x = 3v_1 + 2v_2$$

The **coordinates** of  $x$  relative to  $\{v_1, v_2\}$  are  $(3, 2)$ .

**Definition 2.4.1.** Let  $V$  be a V.S.  $\dim V = n$ . An **Ordered Basis** for  $V$  is an  $n$ -tuple  $(v_1, \dots, v_n)$  where  $\{v_1, \dots, v_n\}$  is a basis.

**Notation 2.4.1.**  $\alpha, \beta, \gamma$  for ordered bases,  $A, B, C$  for basis.

**Definition 2.4.2.** Suppose  $V$  is a V.S.,  $\dim V = n$ ,  $\beta$  is an ordered basis for  $V$ .

The coordinate vector of  $x$  relative to  $\beta$  is the unique  $n$ -tuple  $(a_1, \dots, a_n) \in \mathbb{F}^n$  s.t.

$$x = a_1v_1 + \dots + a_nv_n$$

**Notation 2.4.2.** The coordinate of  $x$  relative to  $\beta$  is denoted as:  $[x]_\beta := (a_1, \dots, a_n)$

Fix  $V, \mathbb{F}, \beta = (v_1, \dots, v_n)$  as in definition.

Define

$$[\ ]_\beta : V \rightarrow \mathbb{F}^n, \quad x \mapsto [x]_\beta$$

**Theorem 2.4.1.**  $[\ ]_\beta : V \rightarrow \mathbb{F}^n$  is an isomorphism.

*Proof.* Let  $x, y \in V$ , (must show  $[x + y]_\beta = [x]_\beta + [y]_\beta$ )

Write

$$[x]_\beta = (a_1, \dots, a_n) \Rightarrow x = a_1v_1 + \dots + a_nv_n$$

$$[y]_\beta = (b_1, \dots, b_n) \Rightarrow y = b_1v_1 + \dots + b_nv_n$$

$$[x + y]_\beta = (c_1, \dots, c_n) \Rightarrow x + y = c_1v_1 + \dots + c_nv_n$$

$$\Rightarrow (a_1 + b_1)v_1 + \cdots + (a_n + b_n)v_n = c_1v_1 + \cdots c_nv_n$$

By prop,

$$\begin{cases} a_1 + b_1 = c_1 \\ a_2 + b_2 = c_2 \\ \dots \\ a_n + b_n = c_n \end{cases} \Rightarrow (a_1, \dots, a_n) + (b_1, \dots, b_n) = (c_1, \dots, c_n) = [x]_\beta + [y]_\beta = [x + y]_\beta$$

Similarly,  $[\ ]_\beta$  presents scalar multiplication, so it is linear.

**Bijection:**

**Injective:**

$$N([\ ]_\beta = \{x \in V : [x]_\beta = (0, \dots, 0)\})$$

To show  $[\ ]_\beta$  is surjective, first find a spanning set for  $V = \{v_1, \dots, v_n\}$

$$\begin{aligned} R([\ ]_\beta) &= \text{span}\{[v_1]_\beta, \dots, [v_n]_\beta\} \\ &= \{x \in V : x = 0\} \\ &= \{0\} \end{aligned}$$

What is  $[v_1]_\beta = (1, 0, \dots, 0) = e_1$ .

□

## 2.5 Tutorial - Feb 3

let  $V$  be a V.S. /  $\mathbb{F}$ , a linear functional on  $V$  is a linear map  $f : V \rightarrow \mathbb{F}$ .

The collection of all linear functionals is denoted  $V^*$  and is called the dual space of  $V$ .

**Example 1:**

Let  $V = \mathbb{R}, \mathbb{F} = \mathbb{R}, f(x) = f(x \cdot 1) = xf(1), x \in \mathbb{R}$ .

so the linear maps  $f : \mathbb{R} \rightarrow \mathbb{R}$  are given by  $f(x) = ax$  for some  $a \in \mathbb{R}$ .

**Exampel 2:**

$V = \mathbb{R}^3, \mathbb{F} = \mathbb{R}$ , let  $\begin{bmatrix} a \\ b \\ c \end{bmatrix} \in \mathbb{R}^3$ .

$$f\left(\begin{bmatrix} a \\ b \\ c \end{bmatrix}\right)\left(\begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix}\right) = x_1a + x_2b + x_3c = [abc] \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix}$$

Then  $f\left(\begin{bmatrix} a \\ b \\ c \end{bmatrix}\right)$  is linear.

Let  $f \in (T\mathbb{R}^3)^*$  recall that a linear map  $f$  is determined by its values on a basis  $B$ .

Let  $x = \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix}$  so  $x = x_1e_1 + x_2e_2 + x_3e_3$ ,  $e$  : the standard unit basis.

$$f(x) = f(x_1e_1) + f(x_2e_2) + f(x_3e_3) = x_1f(e_1) + x_2f(e_2) + x_3f(e_3).$$

The values of  $f$  on the basis vectors determine  $f$ .

Let  $a_1 = f(e_1)$ , then  $f(x_1e_1 + x_2e_2 + x_3e_3) = (a_1, a_2, a_3)^T(x_1, x_2, x_3)^T$ .

$$\text{so } f(x) = f \begin{bmatrix} a \\ b \\ c \end{bmatrix}$$

## 2.6 Feb 5

**Proposition 2.6.1.** Suppose  $V, W$  are vector spaces over  $\mathbb{F}$ ,  $B$  is a basis for  $V$ . (note  $V$  is not finite dimensional) and  $T : V \rightarrow W$  is a linear. Then  $T$  is determined by its values on vectors in  $B$ .

*Direct Proof.* The claim is that if  $T' : V \rightarrow W$  is another linear transformation and  $T'(v) = T(v) \forall v \in B$ .

i.e.  $T'|_B = T|_B$ , then  $T' = T$ .

Let  $x \in V$ . (show that  $T'(x) = T(x)$ )

$$\Rightarrow x \in \text{span}(B)$$

$$\Rightarrow \exists v_1, v_2, \dots, v_n \in B, \exists a_1, \dots, a_n \in \mathbb{F}$$

$$\text{s.t. } x = a_1 v_1 + \dots + a_n v_n.$$

Then

$$\begin{aligned} T'(x) &= T'(a_1 v_1 + \dots + a_n v_n) \\ &= a_1 T'(v_1) + \dots + a_n T'(v_n) \\ &= a_1 T(v_1) + \dots + a_n T(v_n) \\ &= \dots \\ &= T(x) \end{aligned}$$

Since  $x$  was arbitrary,  $T' = T$ . □

*Proof.* Define  $D = T - T'$ .

i.e.  $D : V \rightarrow W$  given by  $D(x) = T(x) - T'(x)$ .

$D$  is linear transformation. I'll prove that  $D$  is constant 0 function by proving  $N(D) = V$ .

Observe  $B \subseteq N(D)$ , therefore,  $\text{span}(B) \subseteq N(D)$ , i.e.  $V \subseteq N(D) \Rightarrow N(D) = V$ . □

**Proposition 2.6.2.** Suppose  $V, W, \mathbb{F}, B$  as before,  $B$  is a basis for  $V$ . **Every** function  $\tau : B \rightarrow W$  extends to a unique linear transformation  $T : V \rightarrow W$ . (i.e.  $T|_B = \tau$ )

*Proof.* Given  $\tau : B \rightarrow W$ , define  $T : V \rightarrow W$  as follows:

given  $x \in V$ , write

$$x = a_1 v_1 + \dots + a_n v_n \quad (v_1, \dots, v_n \in B, a_1, \dots, a_n \in \mathbb{F})$$

$$\text{Let } T(x) := a_1 \tau(v_1) + \dots + a_n \tau(v_n) \in W.$$

Check  $T|_B = \tau$ . Suppose  $x \in B$ , then  $x = 1 \cdot x$ , so  $T(x) = 1\tau(x) = \tau(x)$ .

Check:  $T$  is linear.

Additivity: let  $x, y \in V$ ,  $\exists v_1, \dots, v_n \in B$ , such that

$$x = a_1 v_1 + \dots + a_n v_n$$

$$y = b_1 v_1 + \dots + b_n v_n$$

for some  $a_i, b_i \in \mathbb{F}$ .

So  $x + y = (a_1 + b_1)v_1 + \cdots + (a_n + b_n)v_n$ .

$$T(x + y) = (a_1 + b_1)\tau(v_1) + \cdots + (a_n + b_n)\tau(v_n) \quad (\text{def of } T)$$

$$= (a_1\tau(v_1) + \cdots + a_n\tau(v_n)) + (b_1\tau(v_1) + \cdots + b_n\tau(v_n))$$

$$= T(x) + T(y) \quad (\text{def of } T)$$

Similar proof shows that  $T$  preserves scalar multiplication.

So  $T$  is linear. □

**Example:**  $V = \mathbb{R}^3$ ,  $W = \mathbb{R}^3$ ,  $B = \{v_1, v_2, v_3\}$ , where

$$v_1 = (1, 0, 1)$$

$$v_2 = (1, 0, -1)$$

$$v_3 = (1, 1, 1)$$

$B$  is a basis for  $\mathbb{R}^3$  (exercise)

Define  $\tau : \{v_1, v_2, v_3\} \rightarrow \mathbb{R}^2$  by

$$\tau(v_1) = (1, 0)$$

$$\tau(v_2) = (1, 0)$$

$$\tau(v_3) = (\pi, e)$$

Define  $\tau : \mathbb{R}^3 \rightarrow \mathbb{R}^3$  extending  $\tau$ .

$$T(a, b, c) = (a + b(\pi - 1), be)$$

$$T = L \begin{pmatrix} 1 & \pi - 1 & 0 \\ 0 & e & 0 \end{pmatrix}$$

$$T(v_1) = T(1, 0, 1) = (1, 0)$$

$$T(v_2) = (1, 0)$$

$$T(1, i, 1) = (\pi, e)$$

**Example 2:**

$V$  V.S. /  $\mathbb{F}$ ,  $\dim V = n$ , let  $\beta = (v_1, \dots, v_n)$  be an ordered basis.

Define  $\tau : \{v_1, \dots, v_n\} \rightarrow \mathbb{F}^n$  by  $\tau(v_i) = e_i = (0, \dots, 0, 1, 0, \dots, 0)$ .

$\tau$  extends uniquely to a linear transformation  $T : V \rightarrow \mathbb{F}^n$ .

$$T : [ \ ]_{\beta}.$$

**Example 3:**

Same  $V, \beta$ .

Pick  $\bar{a} = (a_1, \dots, a_n) \in \mathbb{F}^n$ .



Define  $\tau_{\bar{a}} : \{v_1, \dots, v_n\} \rightarrow \mathbb{F}$ ,

$$\tau_{\bar{a}}(v_i) = a_i.$$

$T(\bar{a})$  extends to a linear transformation.  $f_{\bar{a}} : V \rightarrow \mathbb{F}$ .

Exercise: What is  $f_{e_i}$ ?