

# The *Other* Yang–Baxter Equation **FROM SETS TO QUIVERS AND BACK AGAIN**

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A quiver over  $\Lambda$  is the datum of a set  $Q$  (arrows), a set  $\Lambda$  (vertices), and two maps  $s, t: Q \rightarrow \Lambda$  (source and target).

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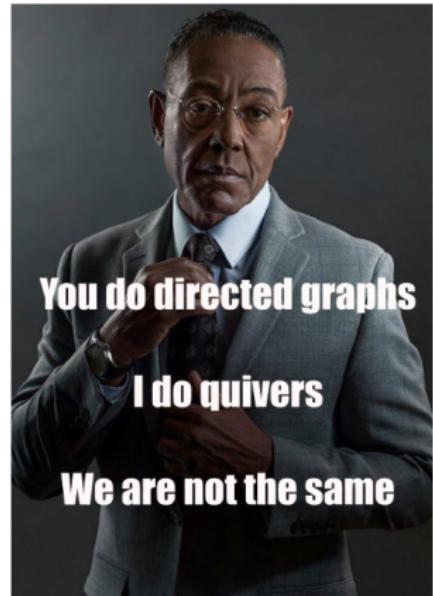
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Let  $Q, R$  be quivers, over  $\Lambda$  and  $M$  respectively. A *weak morphism* of quivers  $f: Q \rightarrow R$  is a pair  $f = (f^1, f^0)$ , where  $f^1: Q \rightarrow R$  and  $f^0: \Lambda \rightarrow M$  are maps, such that the following diagram commutes:

$$\begin{array}{ccc} Q & \xrightarrow{f^1} & R \\ \mathfrak{s}_Q \Downarrow \mathfrak{t}_Q & & \mathfrak{s}_R \Downarrow \mathfrak{t}_R \\ \Lambda & \xrightarrow{f^0} & M \end{array}$$

When  $Q$  and  $R$  have same set of vertices  $\Lambda$ , we say that a *morphism over  $\Lambda$*  is a weak morphism  $f = (f^1, f^0)$  with  $f^0 = \text{id}_\Lambda$ .

The category  $\text{Quiv}_\Lambda$  of quivers over  $\Lambda$ , with morphisms over  $\Lambda$ , is monoidal: the monoidal product  $Q \otimes R$  is given by

$$\{(x, y) \in Q \times R \mid \mathfrak{t}_Q(x) = \mathfrak{s}_R(y)\},$$

$$\mathfrak{s}_{Q \otimes R}(x, y) := \mathfrak{s}_Q(x), \quad \mathfrak{t}_{Q \otimes R}(x, y) := \mathfrak{t}_R(y).$$

The monoidal unit  $\mathbb{1}_\Lambda$  is the loop bundle with one loop on each object.



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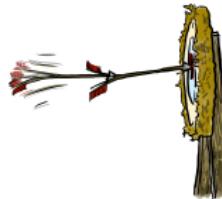
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# The Yang–Baxter Equation

Let  $(\mathcal{C}, \otimes, \mathbb{1})$  be a monoidal category. A solution to the Yang–Baxter equation in  $\mathcal{C}$  is an object  $X$  with a morphism  $\sigma: X^{\otimes 2} \rightarrow X^{\otimes 2}$  satisfying

$$(\sigma \otimes \text{id}_X)(\text{id}_X \otimes \sigma)(\sigma \otimes \text{id}_X) = (\text{id}_X \otimes \sigma)(\sigma \otimes \text{id}_X)(\text{id}_X \otimes \sigma).$$

The YBE is famous in the categories of vector spaces ( $\text{Vec}_{\mathbb{k}}$ ) and of sets ( $\text{Set}$ ). These are also **symmetric** categories: the **flip** morphism  $\tau_{X,Y}$  is a natural isomorphism between  $X \otimes Y$  and  $Y \otimes X$ .

This means that **the YBE has always at least one solution** on each object in  $\text{Set}$  or  $\text{Vec}_{\mathbb{k}}$ : namely, the flip.

This is not true for quivers:  $Q \otimes R \not\cong R \otimes Q$  in general.

$$\left( \begin{array}{ccc} & & \bullet \\ & \nearrow & \\ \bullet & \longrightarrow & \bullet \\ & \searrow & \\ & & \bullet \end{array} \right) \otimes \left( \begin{array}{ccc} & & \bullet \\ & & \downarrow \\ \bullet & & \bullet \\ & & \uparrow \\ & & \bullet \end{array} \right) = \begin{array}{c} \bullet \\ \curvearrowright \\ \bullet \end{array}$$

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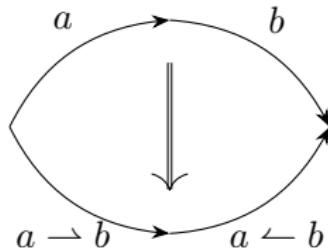
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(also, the flip map just kinda  
doesn't make any sense)

Ok, but what is *morally* a quiver-theoretic solution?

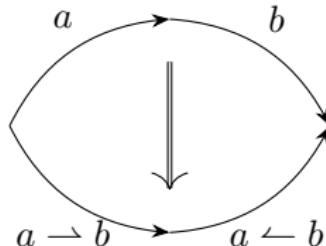
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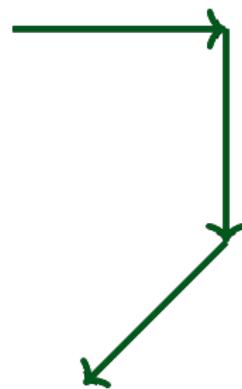
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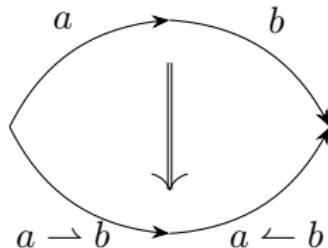


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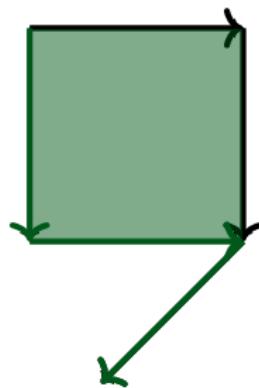


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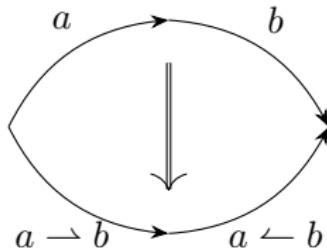


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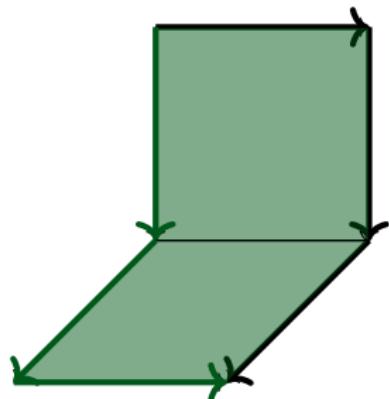


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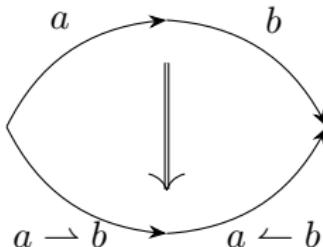


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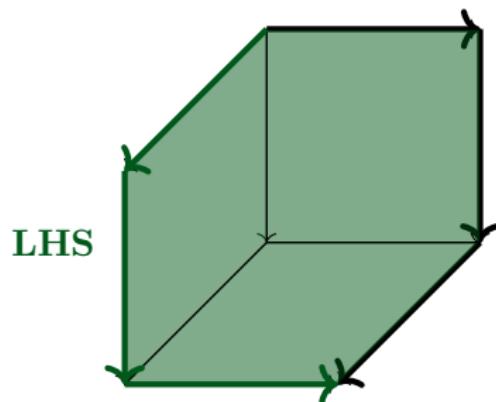


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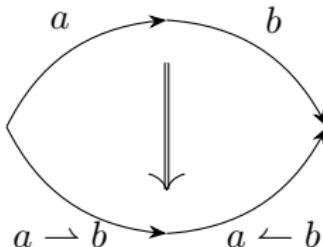


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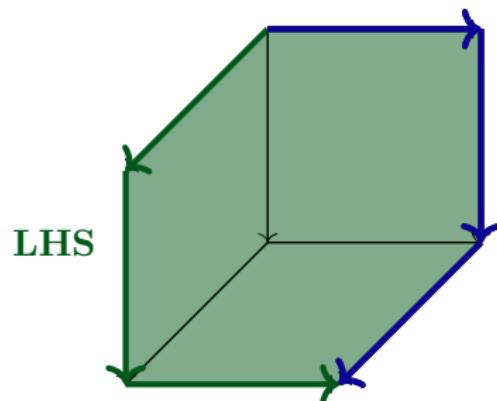


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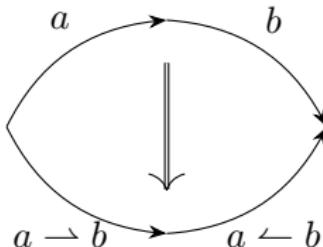


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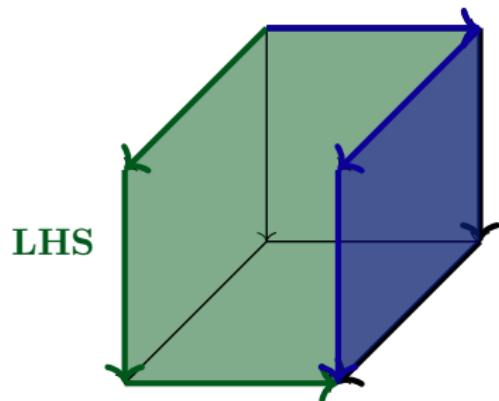


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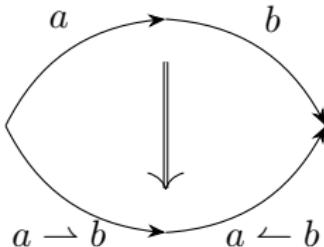


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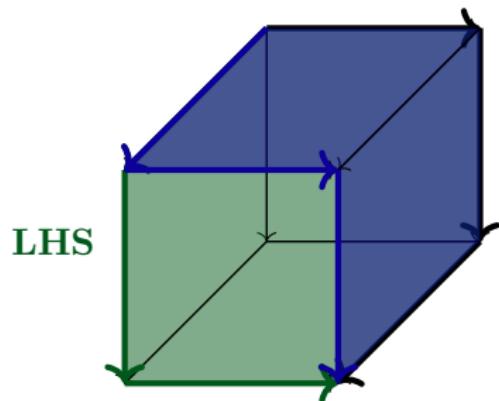


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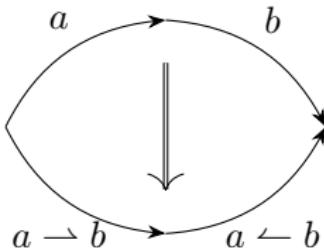


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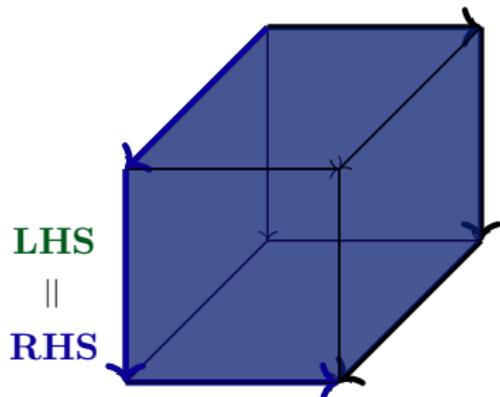


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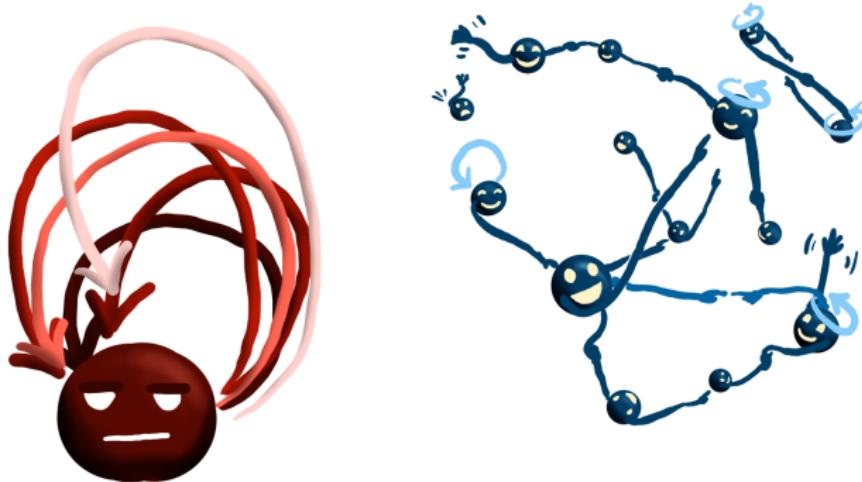


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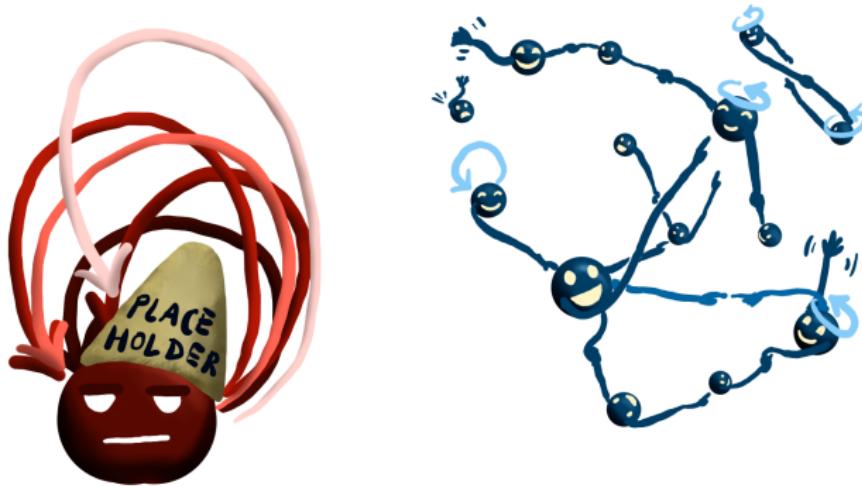
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Indeed, a set  $X$  can be seen as a quiver with a single object. Here, the **arrows** are in bijection with the elements of  $X$ , while the single object plays no role.



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Indeed, a set  $X$  can be seen as a quiver with a single object. Here, the **arrows** are in bijection with the elements of  $X$ , while the single object plays no role.



## Yet Another Yang–Baxter Equation! . . .

A **dynamical set over  $\Lambda$**  (Shibukawa, 2005) is a set  $X$  with a function  $\phi: \Lambda \times X \rightarrow \Lambda$ , called the *transition map*.

The category of dynamical sets over  $\Lambda$  is monoidal: thus, a YBE on it makes sense. This is called the **set-theoretic Dynamical Yang–Baxter Equation (DYBE)**.

... But not really.

**Every dynamical set can be seen as a quiver**, and this operation turns the DYBE into a quiver-theoretic YBE:

$$X, \phi: \Lambda \times X \rightarrow \Lambda \quad \text{becomes} \quad \Lambda \times X \xrightarrow[\phi]{\pi_1} \Lambda$$

$$\lambda \xrightarrow{x} \phi(\lambda, x) =: [\lambda \| x]$$

Of course, the **essential image** of this functor is not the entire category of quivers. It is the subcategory of quivers  $Q$  such that all **sets**  $Q(\lambda, \Lambda)$  **of outgoing arrows at**  $\lambda$  are in bijection with each other, for all vertices  $\lambda$ .

# Overview:

Braided groupoids



*Quiver-theoretic version*  
of skew braces



*Dynamical version*  
of skew braces

(turns out  $\subseteq$ , up to iso)

↑  
This one works nicely, has  
good-looking *ideals* and  
*quotients*, has geometric  
interpretations, and is very  
general: it comprises every  
braided groupoid.

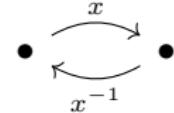
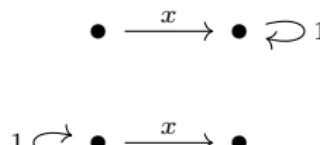
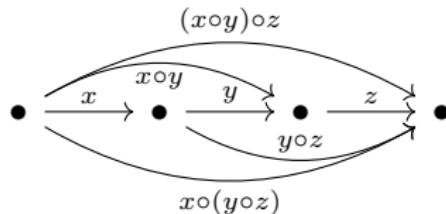
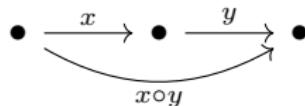
↑  
This one, however, has  
better combinatorics, and  
makes you construct  
explicit stuff by hand.

# Groupoids

A groupoid is a quiver  $\mathcal{G}$  over  $\Lambda$ , with a binary operation

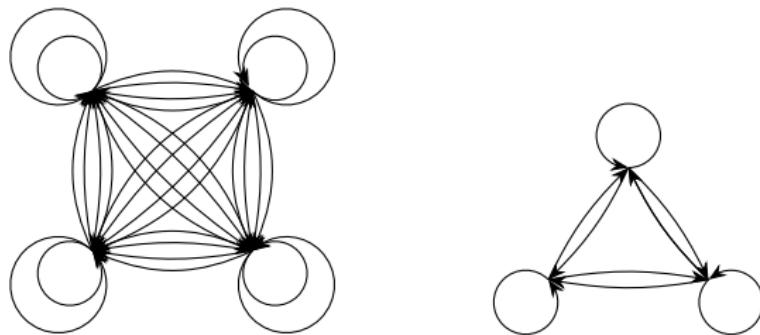
$\circ: \mathcal{G} \otimes \mathcal{G} \rightarrow \mathcal{G}$ , and a family  $\{1_\lambda\}_{\lambda \in \Lambda}$  (one loop on each vertex), such that

- (1)  $\circ$  is **associative**;
- (2) the  $1_\lambda$ 's are **units**, i.e.  $x \circ 1_{t(x)} = x$  and  $1_{s(x)} \circ x = x$  for all  $x \in \mathcal{G}$ ; and
- (3) every arrow  $x$  from  $\lambda$  to  $\mu$  has an **inverse**  $x^{-1}: \mu \rightarrow \lambda$ , such that  $x \circ x^{-1} = 1_{s(x)}$  and  $x^{-1} \circ x = 1_{t(x)}$ .



If you think about it for some minutes, you probably notice the following fact:

The underlying quiver of a **groupoid** is a union of connected components, where each connected component  $\mathcal{K}$  is a “**complete quiver of degree  $d_{\mathcal{K}}$** ” for some number  $d_{\mathcal{K}}$ .



# Braided groupoids

Let  $\mathcal{G}$  be a groupoid over  $\Lambda$ . A **braiding** on  $\mathcal{G}$  is a bijective morphism  $\sigma: \mathcal{G}^{\otimes 2} \rightarrow \mathcal{G}^{\otimes 2}$ , with  $\sigma(x \otimes y) =: (x \rightharpoonup y) \otimes (x \leftharpoonup y)$ , such that

$$(1) \quad \sigma(x \otimes 1) = 1 \otimes x \text{ and } \sigma(1 \otimes x) = x \otimes 1;$$

$$(2) \quad (x \circ y) \rightharpoonup z = x \rightharpoonup (t \rightharpoonup z),$$

$$x \leftharpoonup (y \circ z) = (x \leftharpoonup y) \leftharpoonup z,$$

i.e.,  $\rightharpoonup$  is a left action and  $\leftharpoonup$  is a right action; and

$$(3) \quad x \rightharpoonup (y \circ z) = (x \rightharpoonup y) \circ ((x \leftharpoonup y) \rightharpoonup z),$$

$$(x \circ y) \leftharpoonup z = (x \leftharpoonup (y \rightharpoonup z)) \circ (y \leftharpoonup z).$$

A braiding is, in particular, a solution to the Yang–Baxter equation.

When  $\Lambda = \{\bullet\}$ , this is called a **braided group**, and is equivalent to a **skew brace**.

A **skew brace** is the datum of a set  $G$  with two group structures,  $(G, +, 0)$  and  $(G, \circ, 0)$ , satisfying the compatibility

$$a \circ (b + c) = a \circ b - a + a \circ c.$$

# Dynamical skew braces

## Definition (Matsumoto)

A **dynamical skew brace** is the datum of a dynamical set  $(A, \phi)$  over  $\Lambda$ , with a group structure  $(A, +, 0)$  and a family of left quasigroup structures  $\{A, \circ_\lambda\}_{\lambda \in \Lambda}$  satisfying

$$a \circ_\lambda (b \circ_{\phi(\lambda, a)} c) = (a \circ_\lambda b) \circ_\lambda c \quad (\text{dynamical associativity})$$

$$a \circ_\lambda (b + c) = a \circ_\lambda b - a + a \circ_\lambda c \quad (\text{brace compatibility})$$

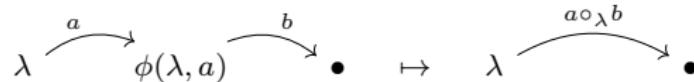
It is always true that  $a \circ_\lambda 0 = a$ .

A **dynamical skew brace** is called **ZERO-SYMMETRIC** if  $0 \circ_\lambda a = a$  for all  $a, \lambda$ .

Let  $Q := Q(A, \phi)$  be the quiver over  $\Lambda$  associated with the dynamical set  $(A, \phi)$ .

Then, we can describe a binary operation  $\circ: Q \otimes Q \rightarrow Q$  by

$$[\lambda \| a] \circ [\phi(\lambda, a) \| b] := [\lambda \| a \circ_\lambda b].$$



This is a left semiloopoid operation (forget about it), but it is a **GROUPOID operation, if and only if  $A$  is ZERO-SYMMETRIC**.

Dynamical skew braces admit the following, more handy description:

Let  $(A, +)$  be a group. The *holomorph* is  $\text{Hol}(A) := A \rtimes \text{Aut}(A)$ .

A subset  $S$  of the holomorph is *regular* if  $S = \{(a, f_a^S) \mid a \in A\}$  for suitable maps  $f_a^S \in \text{Aut}(A)$ . (Basically, the projection on the first factor  $S \rightarrow A$  is a bijection.)

A *dynamical subgroup* of  $\text{Hol}(A)$  is a family  $\mathcal{S}$  of regular subsets  $S \subseteq \text{Hol}(A)$ , such that for all  $S \in \mathcal{S}$  and  $(a, f_a^S) \in S$ , the set  $(a, f_a^S)^{-1}S$  is also in  $\mathcal{S}$ .

$$\begin{array}{ccc}
 & (a, f_a^S)^{-1}S & \xrightarrow{(c, f_c^{(a, f_a^S)^{-1}S})} (c, f_c^{(a, f_a^S)^{-1}S})^{-1}(a, f_a^S)^{-1}S \\
 (a, f_a^S) \nearrow & & \\
 S & \xrightarrow{\dots} \dots & \\
 & (b, f_b^S) \searrow & \\
 & (b, f_b^S)^{-1}S &
 \end{array}$$

Take  $\mathcal{S}$  as the set of vertices, and define  $a \circ_S b := a + f_a^S(b)$ . This is a dynamical skew brace. Every dynamical skew brace arises in this way.

**It is ZERO-SYMMETRIC if and only if  $f_0^S = \text{id}$  for all  $S$ ; i.e., if and only if every  $S$  contains the pair  $(0, \text{id})$ .**

# Skew bracoids\*

\*A different structure with the same name already exists  
(This is gonna make Isabel and Paul a bit upset, I am afraid)

## Definition (Sheng–Tang–Zhu)

A **skew bracoid**  $(\mathcal{G}, \{+_\lambda\}_{\lambda \in \Lambda}, \circ, \{1_\lambda\}_{\lambda \in \Lambda})$  over  $\Lambda$  is the datum of

- (1) a groupoid structure  $(\mathcal{G}, \circ, \{1_\lambda\}_{\lambda \in \Lambda})$ ; and
- (2) a group structure  $+_\lambda$  on each set  $\mathcal{G}(\lambda, \Lambda)$ ;

satisfying the compatibility

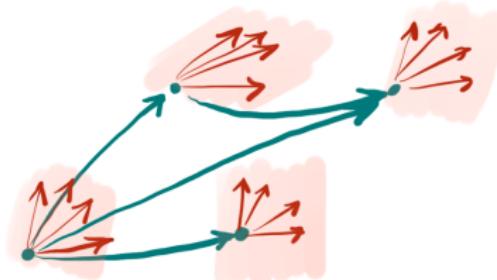
$$a \circ (b +_{t(a)} c) = a \circ b -_{s(a)} a +_{s(a)} a \circ c.$$

## Theorem (Sheng–Tang–Zhu)

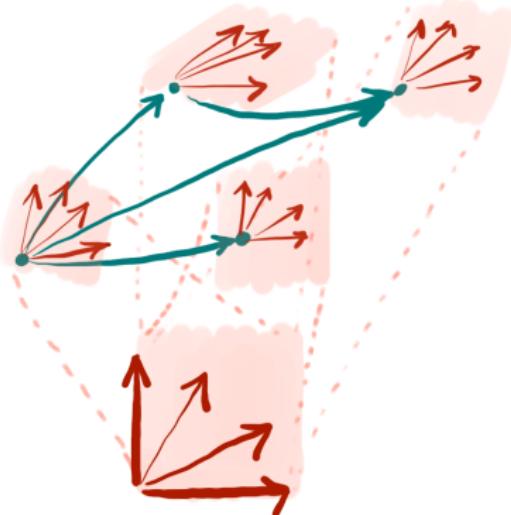
Skew bracoids are equivalent to braided groupoids.

Just not to lose the big picture:

Skew bracoid



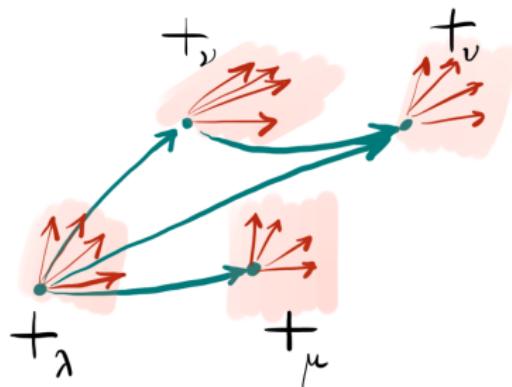
Dynamical skew brace



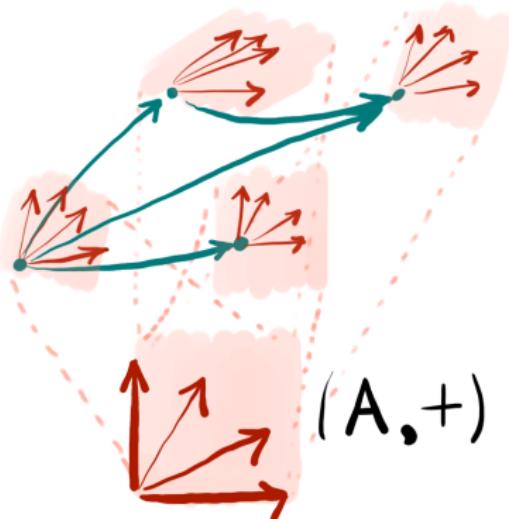
Turns out that **every dynamical skew brace yields a skew bracoid**. Conversely, every (connected) skew bracoid can be “parallelised”, making it **isomorphic to a dynamical skew brace**

Just not to lose the big picture:

Skew bracoid



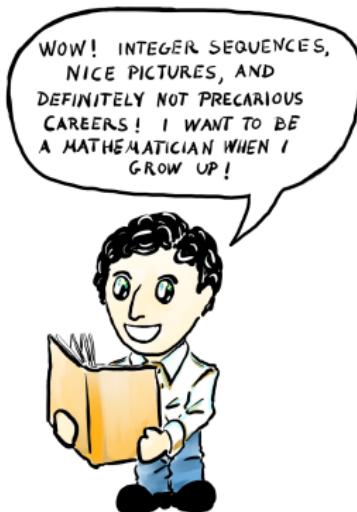
Dynamical skew brace



Turns out that **every dynamical skew brace yields a skew bracoid**. Conversely, every (connected) skew bracoid can be “parallelised”, making it **isomorphic to a dynamical skew brace**

Enough with the boring stuff!

Now fun with Pictures!  
and Weird Integers Sequences!



Me at age 14. (Yes, I was already wearing shirts.)

Recall that

a dynamical skew brace is the same thing as **a group**  $(A, +)$ , and a **dynamical subgroup**  $\mathcal{S}$  of  $\text{Hol}(A)$ .

Of course, there is a **MAXIMAL dynamical skew brace** on  $A$ : choose  $\mathcal{S}_A$  as the family of **all** the regular subsets of  $\text{Hol}(A)$ . This is clearly a dynamical subgroup.

All dynamical skew braces on  $A$  are contained in  $(A, +, \mathcal{S}_A)$ .

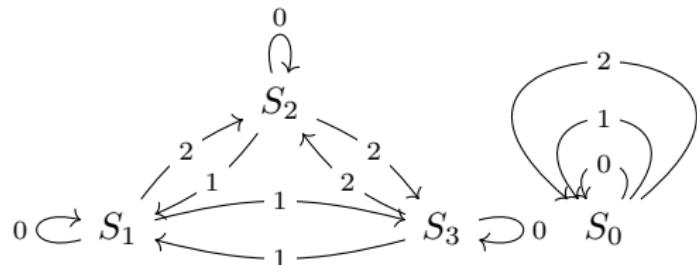
The dynamical skew brace  $(A, +, \mathcal{S})$  is **ZERO-SYMMETRIC** if and only if every element  $S \in \mathcal{S}$  contains the pair  $(0, \text{id})$ .

The family  $\mathcal{S}_A^0$  of **all regular subsets containing**  $(0, \text{id})$  is also a dynamical subgroup (straightforward).

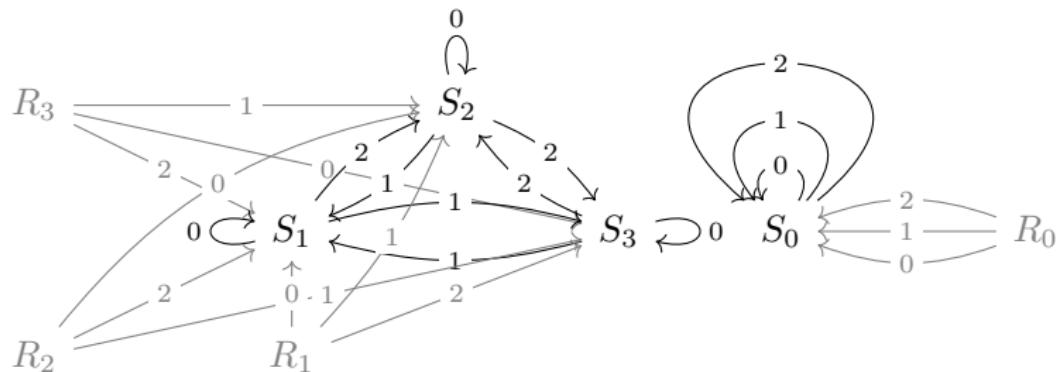
Thus, there is a **MAXIMAL ZERO-SYMMETRIC dynamical skew brace** on  $A$ , which is  $(A, +, \mathcal{S}_A^0)$ .

$$A = \mathbb{Z}/3\mathbb{Z}$$

$$\mathcal{S}_A^0$$

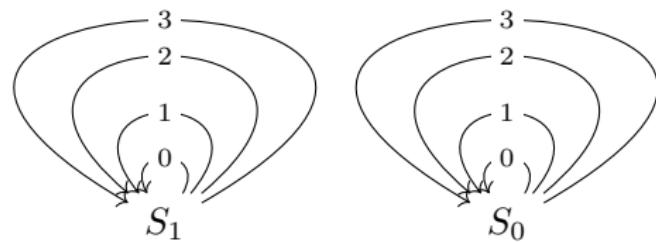
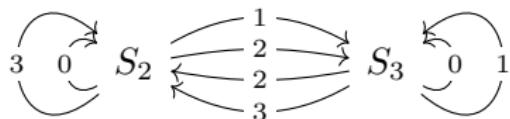
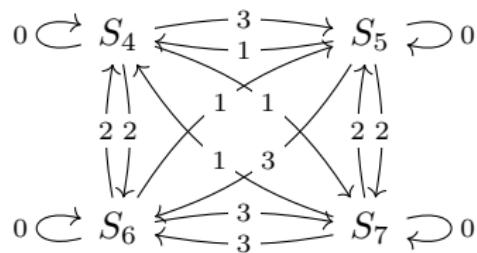


$$\mathcal{S}_A$$



$$A = \mathbb{Z}/4\mathbb{Z}$$

$$\mathcal{S}_A^0$$



For each connected component in  $\mathcal{S}_A^0$ ,

$$(\#\text{vertices}) \cdot (\text{degree of the component}) = |A|.$$

Thus, the “shape” of the quiver  $\mathcal{S}_A^0$  is determined by the string of numbers

$$N_s^A = \#\text{connected components with } s \text{ vertices},$$

where  $s$  divides  $|A|$ .

One clearly has

$$\sum_s N_s^A = |\text{Aut}(A)|^{|A|-1}.$$

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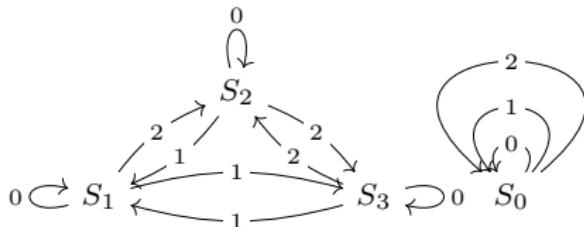
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*These numbers are an utter mystery.*

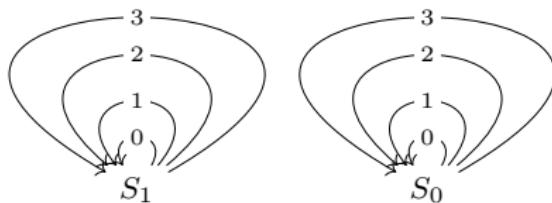
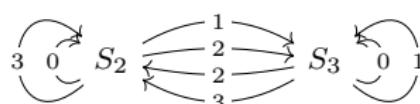
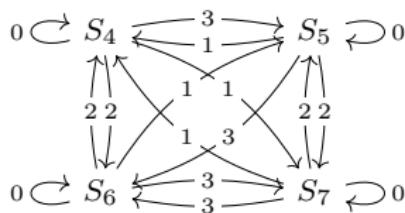
... and not an easy one: **observe that  $N_1^A$  is the number of skew braces on  $A$ .**

$$A = \mathbb{Z}/3\mathbb{Z}$$



$$\implies N_1^A = 1, N_3^A = 1.$$

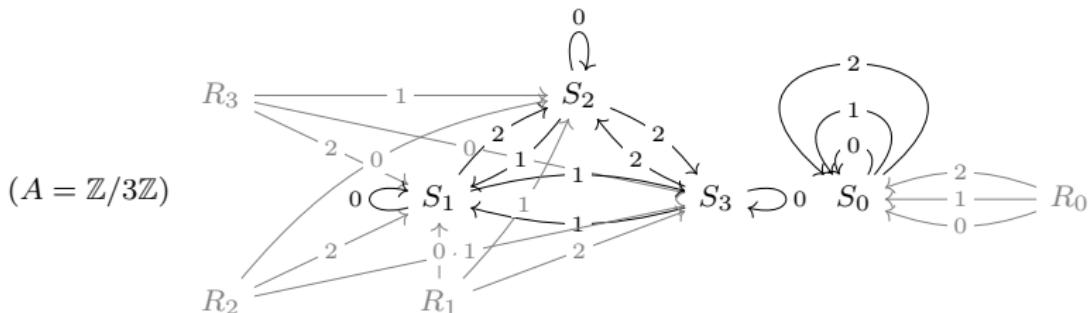
$$A = \mathbb{Z}/4\mathbb{Z}$$



$$\implies N_4^A = 1, N_2^A = 1, N_1^A = 2.$$

... and for  $A = (\mathbb{Z}/2\mathbb{Z})^2$  one can compute  $N_4^A = 50, N_2^A = 6, N_1^A = 4$ .

Recall that the quiver of  $\mathcal{S}_A$  also has some **initial vertices**:



**How many per connected component? Where and how many are the arrows?** Fortunately, at least on this we can answer:

Let  $Q$  be the quiver of  $\mathcal{S}_A$ . Let  $\mathcal{S}_{\text{in}}$  be the set of initial vertices, and  $\mathcal{S}_{\text{un}}$  the set of vertices containing  $(0, \text{id})$ . Then:

- (1) for all  $S \in \mathcal{S}_{\text{in}}$ , and for all  $S', S'' \in \mathcal{S}_{\text{un}}$  in the same connected component, there is a bijection  $Q(S, S') \cong Q(S', S'')$ ;
- (2) for all  $S \in \mathcal{S}_{\text{in}}$ , and for all  $S', S'' \in \mathcal{S}_{\text{un}}$  in the same connected component, there is a bijection  $Q(S, S') \cong Q(S, S'')$ ;
- (3) if  $\mathcal{K}$  is a connected component in  $\mathcal{S}_A^0$  with  $s$  vertices, then the number of initial vertices in  $\mathcal{S}_A$  pointing at  $\mathcal{K}$  is

$$\text{in}_{\mathcal{K}}^A = s(|\text{Aut}(A)| - 1).$$



*"While the other mathematicians climb the mountains, the combinatorist sticks around in the jungle, and looks for frogs."*

—M. D'Addario

We need to get done with the fun part, now, and climb some mountain.

# Attempts at classifying Skew Bracoids

In the set-theoretic world, there are notions of **normal subgroup** and **ideal of a skew brace**.

Likewise, for quivers, there are notions of **normal subgroupoid** and **ideal of a skew bracoid**.

Let  $\mathcal{G}$  be a groupoid over  $\Lambda$ . A **subgroupoid** of  $\mathcal{G}$  is a subquiver that is a groupoid with the restricted operation.

A subgroupoid  $\mathcal{H}$  is **normal** if  $g^{-1} \circ h \circ g \in \mathcal{H}$  for all  $h \in \mathcal{H}$ ,  $g \in \mathcal{G}$  (compatible).

The expression  $g^{-1} \circ h \circ g$  is well-defined **only when  $h$  is a loop**, whence the following:

A subgroupoid  $\mathcal{H} \subseteq \mathcal{G}$  is normal in  $\mathcal{G}$  if and only if the **subgroupoid of loops**  $\mathcal{H}^\circlearrowleft \subseteq \mathcal{H}$  is normal in  $\mathcal{G}$ .

An **ideal**  $\mathcal{H}$  of a skew bracoid  $\mathcal{G}$  is a normal subgroupoid  $\mathcal{H}$  of  $\mathcal{G}$  such that every additive group  $\mathcal{H}(\lambda, \Lambda)$  is normal in  $\mathcal{G}(\lambda, \Lambda)$ ; and  $\mathcal{H}$  is stable under the left action  $\rightarrow$ .

We can take **left and right quotients** of groupoids (resp. skew bracoids) by normal subgroupoids (resp. ideals), and obtain new groupoids (resp. skew bracoids):

### Proposition

Let  $\mathcal{G}$  be a connected groupoid, and hence complete of degree  $d$ , over a set of vertices  $\Lambda$  of cardinality  $n$ . Let  $\mathcal{N}$  be a normal subgroupoid such that all the connected components of  $\mathcal{N}$  have  $m$  vertices and are complete of degree  $k$ . Then,  $\mathcal{N}\backslash\mathcal{G}$  is complete of degree  $d/k$  on a set of  $n/m$  vertices.

**Examples:**  $\mathcal{G}^\circ\backslash\mathcal{G}$  is a groupoid with same vertices as  $\mathcal{G}$ , but with degree 1.

If  $\mathcal{H}$  is a **wide** normal subgroupoid of  $\mathcal{G}$ , then  $\mathcal{H}\backslash\mathcal{G}$  is a **group**.

# Groupoids of pairs

A **principal homogeneous groupoid of degree 1** is a very special object.

For every pair of vertices  $(a, b)$ , there is **exactly one** arrow  $a \rightarrow b$ .

We denote it by  $[a, b]$ . Thus, such a groupoid is just the **groupoid of pairs** on the set of vertices  $\Lambda$ .

The composition  $\circ$  is the only one possible:  $[a, b] \circ [b, c] = [a, c]$ .

We denote by  $\hat{\Lambda}$  the groupoid of pairs on  $\Lambda$ .

## Question

A braiding on  $\hat{\Lambda}$  corresponds to what structure on  $\Lambda$ ?

## Answer

A group structure (kinda).

More precisely, a **heap** structure.

# Heaps

## Definition

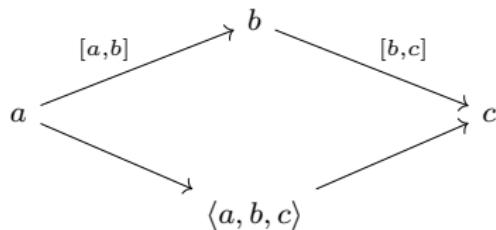
A heap is a set  $\Lambda$  with a ternary operation  $\langle \_, \_, \_ \rangle : \Lambda^3 \rightarrow \Lambda$ , satisfying

$$\langle a, a, b \rangle = b$$

$$\langle a, b, b \rangle = a$$

$$\langle a, b, \langle b, c, d \rangle \rangle = \langle a, c, d \rangle$$

$$\langle \langle a, b, c \rangle, c, d \rangle = \langle a, b, d \rangle$$



The map  $[a, b] \otimes [b, c] \mapsto [a, \langle a, b, c \rangle] \otimes [\langle a, b, c \rangle, c]$  is a braiding on  $\hat{\Lambda}$  if and only if  $(\Lambda, \langle \_, \_, \_ \rangle)$  is a heap.

## Heaps $\leftrightarrow$ groups

If  $(G, \cdot)$  is a group, then it has a heap structure given by

$$\langle a, b, c \rangle := a - b + c.$$

If  $(\Lambda, \langle \_, \_, \_ \rangle)$  is a heap, then every  $b \in \Lambda$  provides a group structure with  $b$  as unit; given by

$$a \cdot_b c := \langle a, b, c \rangle.$$

### **Heaps are “affine” groups.**

(Like affine spaces and vector spaces: you go from one to the other by fixing a neutral element.)

# Dream...

For every skew bracoid  $\mathcal{G}$ , we would like to find a maximal **subgroupoid of pairs**  $\mathcal{G}^P$  which is an **ideal**. The quotient  $\mathcal{G}^P \setminus \mathcal{G}$  is thereby a **skew brace**, and we have squeezed  $\mathcal{G}$  in between **(1) a braided groupoid of pairs (fundamentally a heap)**, and **(2) a skew brace**:

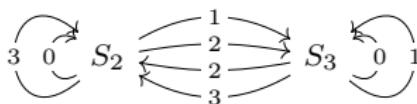
$$\mathbb{1} \rightarrow \mathcal{G}^P \rightarrow \mathcal{G} \rightarrow \mathcal{G}^P \setminus \mathcal{G} \rightarrow \mathbb{1}.$$

Thus every skew bracoid would be an extension, made using two objects that we already know.

# REALITY

Although it is always possible to find a maximal subgroupoid of pairs  $\mathcal{G}^P$  (which is also normal), **it is not always possible to find it  $\sigma$ -invariant.**

E.g.



We try to do our best: find a bundle of normal subgroupoids which is **the widest** and **the “thinnest”** possible. But sometimes (as in the above example), even this “best we can do” is just taking the entire groupoid  $\mathcal{G}$ .

Thus, we have two “layers” that we understand: (*minimum degree*) heaps, and (*maximum degree*) skew braces; plus a number of **simple objects** in the middle, that can possibly be complicated

# Possible classification programs

## Idea 1:

Try to sandwich all skew bracoids between (1) a skew brace (below) and a simple object (above); or (2) a simple object (below) and a heap (above).

Try to classify the simple objects.

Assume that we have already “classified skew braces” (take them as a black box).

## Idea 2:

Use the “elevated point” of skew bracoids, to attack the classification of skew braces from above.

In the “highest position” we have heaps, that are already fully understood: from there we can go down in a cascade, and try to classify (or at least count) skew braces.

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Bonkers

*Bedankt voor het luisteren!  
Hopelijk hebben jullie genoten :-)*