### **Sparse Sequence-to-Sequence Models**

Ben Peters Instituto de Telecomunicações

→ Vlad Niculae IT

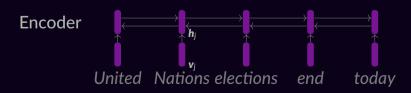
André Martins IT & Unbabel

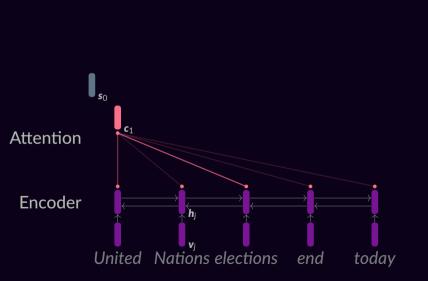


United Nations elections end today





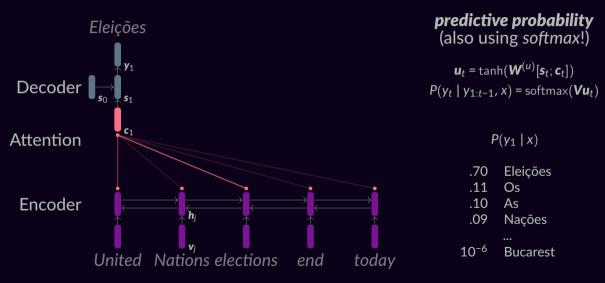


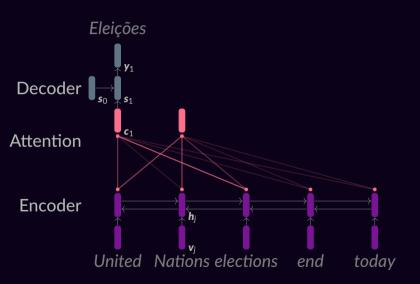


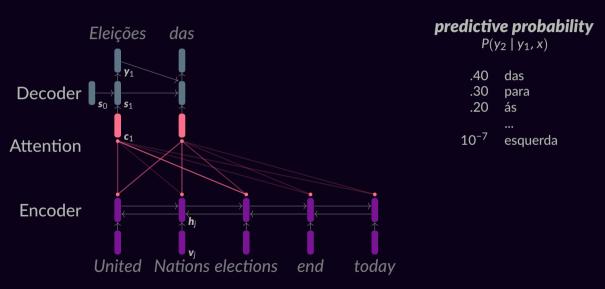
# attention weights computed with softmax:

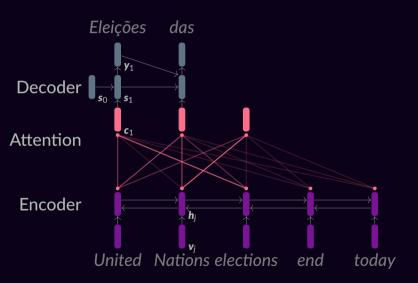
for some decoder state  $\mathbf{s}_t$ , compute contextually weighted average of input  $\mathbf{c}_t$ :

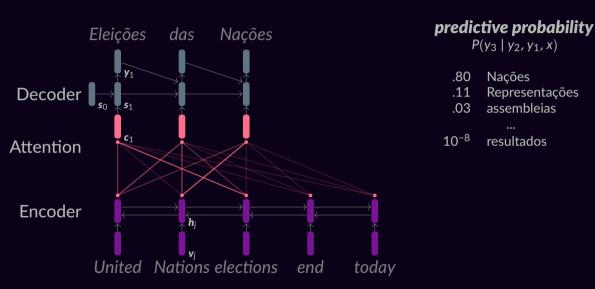
$$z_j = \mathbf{s}_t^{\top} \mathbf{W}^{(a)} \mathbf{h}_j$$
  
 $\pi_j = \operatorname{softmax}_j(\mathbf{z})$   
 $\mathbf{c}_t = \sum_j \pi_j \mathbf{h}_j$ 

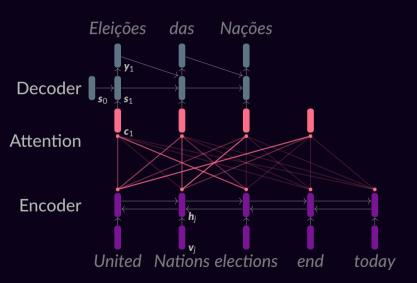


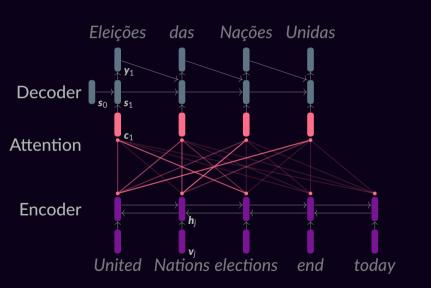










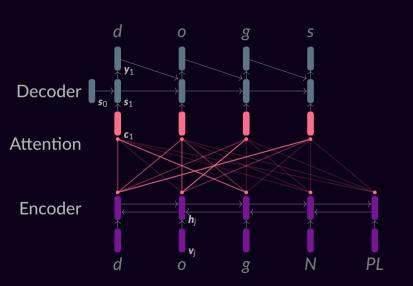


# predictive probability $P(y_4 | y_3, y_2, y_1, x)$

.90 Unidas .05 Shopping .01 ,

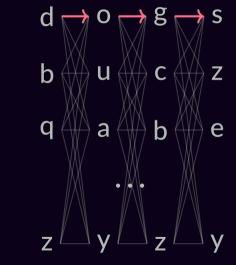
10<sup>-5</sup> ago

aquático

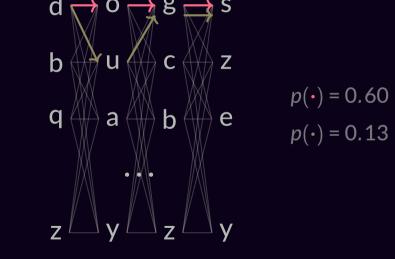


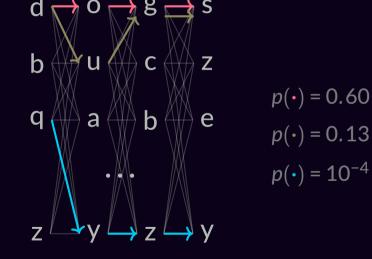
morphological inflection!



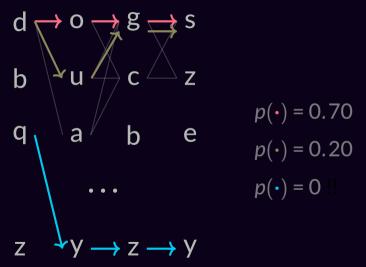


 $p(\cdot) = 0.60$ 





### The Space of Outputs: Made Sparse!



#### **Softmax** plays two roles in seq2seq:

#### attention weights

for some decoder state  $\mathbf{s}_t$ , compute contextually weighted average of input  $\mathbf{c}_t$ :

$$z_j = \mathbf{s}_t^{\mathsf{T}} \mathbf{W}^{(a)} \mathbf{h}_j$$
$$\pi_j = \operatorname{softmax}_j(\mathbf{z})$$
$$\mathbf{c}_t = \sum_i \pi_j \mathbf{h}_j$$

#### output probabilities

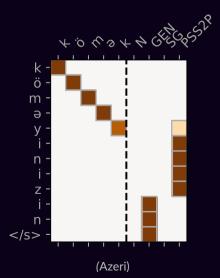
predict the probability of the next word:

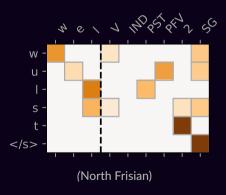
$$\mathbf{u}_t = \tanh(\mathbf{W}^{(u)}[\mathbf{s}_t; \mathbf{c}_t])$$

$$P(y_t \mid y_{1:t-1}, x) = \operatorname{softmax}(\mathbf{V}\mathbf{u}_t)$$

**Our work:** replace softmax with a *family of* new sparsity-inducing alternatives

### **Sparse Attention Weights / Alignments**



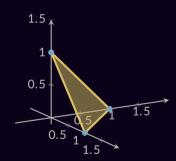


### **Sparse Predictive Probabilities**

Often defined via  $p_i := \frac{\exp z_i}{\sum_j \exp z_j}$ , but where does it come from?

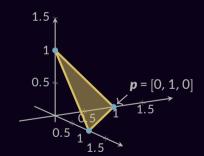
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$$\triangle := \{ \boldsymbol{p} \in \mathbb{R}^d : \boldsymbol{p} \geq \boldsymbol{0}, \sum_i p_i = 1 \}$$



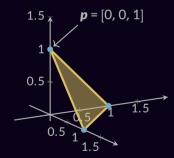
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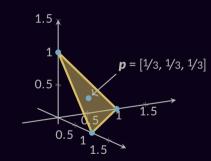
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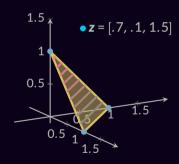


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 $p \in \Delta$ : probability distribution over choices

Expected score under p:  $\mathbb{E}_{i \sim p} z_i = p^{\top} z$ 

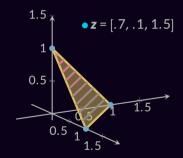


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Expected score under p:  $\mathbb{E}_{i \sim p} z_i = p^{\top} z$  argmax

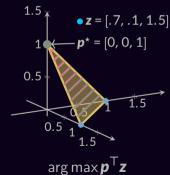


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 $p \in \Delta$ : probability distribution over choices

Expected score under  $\mathbf{p}$ :  $\mathbb{E}_{i \sim \mathbf{p}} z_i = \mathbf{p}^{\top} \mathbf{z}$ argmax maximizes expected score



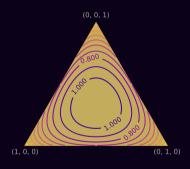
 $b \in \Delta$ 

Often defined via  $p_i := \frac{\exp z_i}{\sum_j \exp z_j}$ , but where does it come from?

$$\Delta := \{ p \in \mathbb{R}^d : p \ge 0, \sum_j p_j = 1 \}$$
  
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Expected score under p:  $\mathbb{E}_{i \sim p} z_i = p^{\top} z$  argmax maximizes expected score

Shannon entropy of  $\mathbf{p}$ :  $H^{s}(\mathbf{p}) := -\sum_{i} p_{i} \log p_{i}$ 

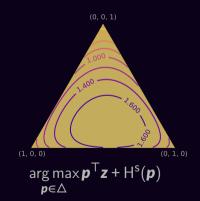


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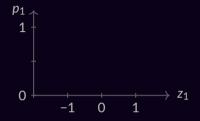
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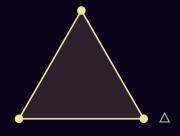
Expected score under p:  $\mathbb{E}_{i \sim p} z_i = p^{\top} z$  argmax maximizes expected score

Shannon entropy of p:  $H^s(p) := -\sum_i p_i \log p_i$  softmax maximizes expected score + entropy:



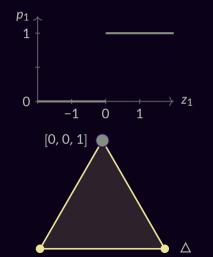
$$\pi_{\mathsf{H}}(\mathbf{z}) = \arg\max_{\mathbf{p} \in \Delta} \mathbf{p}^{\mathsf{T}} \mathbf{z} + \mathsf{H}(\mathbf{p})$$





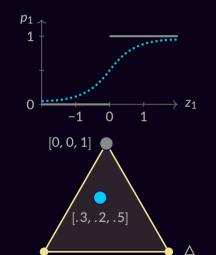
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• argmax:  $H^0(\mathbf{p}) = 0$ 



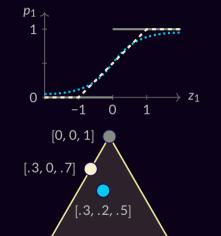
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- argmax:  $H^0(\mathbf{p}) = 0$
- softmax:  $H^{s}(\mathbf{p}) = -\sum_{j} p_{j} \log p_{j}$



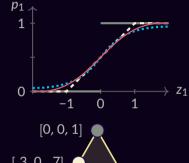
$$\boldsymbol{\pi}_{\mathsf{H}}(\mathbf{z}) = \arg\max_{\boldsymbol{p} \in \Delta} \mathbf{p}^{\mathsf{T}} \mathbf{z} + \mathsf{H}(\boldsymbol{p})$$

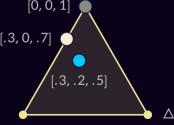
- argmax:  $H^0(\mathbf{p}) = 0$
- softmax:  $H^{s}(\mathbf{p}) = -\sum_{j} p_{j} \log p_{j}$
- sparsemax:  $H^g(p) = 1/2 \sum_{j} p_j (1 p_j)$



$$\pi_{\mathsf{H}}(\mathbf{z}) = \arg\max_{\mathbf{p} \in \Delta} \mathbf{p}^{\mathsf{T}} \mathbf{z} + \mathsf{H}(\mathbf{p})$$

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- softmax:  $H^{s}(\mathbf{p}) = -\sum_{i} p_{i} \log p_{i}$
- sparsemax:  $H^g(p) = 1/2 \sum_j p_j (1 p_j)$
- $\alpha$ -entmax:  $H_{\alpha}^{t}(\mathbf{p}) = \frac{1}{\alpha(\alpha-1)} \sum_{j} (p_{j} p_{j}^{\alpha})$



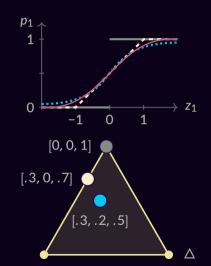


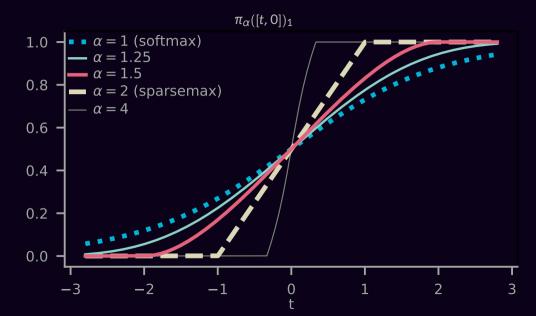
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• 
$$\alpha$$
-entmax:  $H_{\alpha}^{t}(\mathbf{p}) = \frac{1}{\alpha(\alpha-1)} \sum_{j} (p_{j} - p_{j}^{\alpha})$ 

Tsallis  $\alpha$ -entropy (Tsallis, 1988). Depicted:  $\alpha$  = 1.5. Uncovers softmax ( $\alpha \rightarrow 1$ ) and sparsemax ( $\alpha$  = 2).





### Computing $\alpha$ -entmax

$$\boldsymbol{\pi}_{\mathsf{H}_{\alpha}^{\mathsf{t}}}(\mathbf{z}) \coloneqq \underset{\boldsymbol{p} \in \Delta}{\operatorname{arg max}} \, \boldsymbol{p}^{\mathsf{T}} \mathbf{z} + \mathsf{H}_{\alpha}^{\mathsf{t}}(\boldsymbol{p})$$

Solution has the form:

$$\boldsymbol{\pi}_{\mathsf{H}_{\alpha}^{\mathsf{t}}}(\mathbf{z}) = \left[ (\alpha - 1)\mathbf{z} - \tau \mathbf{1} \right]_{+}^{1/\alpha - 1}$$

#### **Algorithms:**

#### bisection

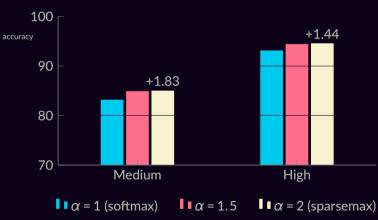
- approximate; bracket  $\tau \in [\tau_{lo}, \tau_{hi}]$
- gain 1 bit per O(d) iteration
- floαt32 has 23 mantissa bits

#### sort-based

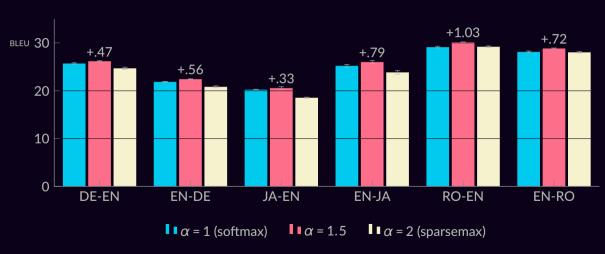
- exact algorithm,  $O(d \log d)$
- available only for  $\alpha \in \{1.5, 2\}$
- huge speed-up from partial sorting when expecting sparse solutions

### **Morphological inflection**

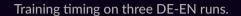
SIGMORPHON 2018. Shared multi-lingual model. Medium: 1k pairs per language, 102 languages. High: 10k pairs per language, 86 languages.

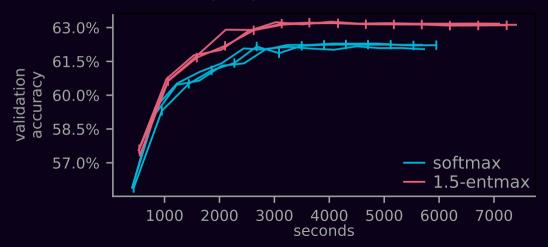


### **Neural Machine Translation**

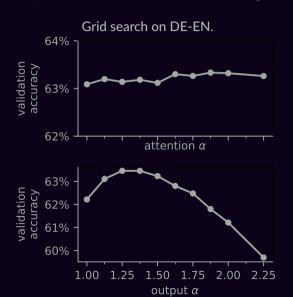


### **Sparse Mappings Don't Slow Down Training**

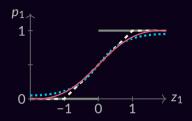




### Impact of Fine Tuning lpha

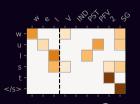


### **Sparse Seq2Seq: Conclusions**



New family of sparse mappings  $\alpha$ -entmax, algorithms for efficient forward & backward passes.

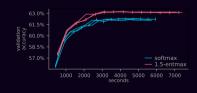
#### sparse attention weights



#### sparse output space



#### performance improvements



### **Acknowledgements**



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Some icons by Dave Gandy and Freepik via flaticon.com.

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