## Quiz 2

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Note: For all the questions asking you to show the problem, we only consider the following situations as problems: deadlock, data loss (writing to a buffer when it's already full or data overwritten), consuming data from a buffer when there is no data, all threads go to sleep forever.

1. Term explanation: binary semaphore. (5 points)

2. Term explanation: race condition. (5 points)

3. Given the code snippet below what is the maximum number of threads that can simultaneously enter the code protected by the semaphore below. (10 points)

```
sem t s;
void *threadStuff(void *d)
    sem_wait(&s);
    /* code */
    sem_post(&s);
}
void main()
    thread_t thread1, thread2, thread 3;
    sem_init(&s, 0, 2);
    pthread_create(&thread1, NULL, threadStuff, NULL);
    pthread_create(&thread2, NULL, threadStuff, NULL);
    pthread_create(&thread3, NULL, threadStuff, NULL);
    pthread_join(thread1, NULL);
    pthread_join(thread2, NULL);
    pthread join (thread3, NULL);
    sem_destroy(&s);
Answer: 2.
```

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4. Given the following trace, in which each register %dx is initialized to 3, what values will %dx see after the run? Is there a race in this code? (10 points)

```
dx
            Thread 0
                                     Thread 1
 ?
 ?
     1000 sub $1,%dx
 ?
     1001 test $0,%dx
 ?
     1002 jgte .top
 ?
     1000 sub $1,%dx
 ?
     1001 test $0,%dx
 ?
     1002 jgte .top
 ?
     1000 sub $1,%dx
 ?
     1001 test $0,%dx
 ?
     1002 jgte .top
 ?
     1000 sub $1, %dx
 ?
     1001 test $0,%dx
 ?
     1002 jgte .top
 ?
     1003 halt
 ?
     ---- Halt; Switch ---- Halt; Switch ----
 ?
                              1000 sub $1,%dx
 ?
                               1001 test $0,%dx
 ?
                              1002 jgte .top
 ?
                              1000 sub $1,%dx
 ?
                              1001 test $0,%dx
 ?
                              1002 jgte .top
 ?
                              1000 sub $1,%dx
 ?
                               1001 test $0,%dx
                              1002 jgte .top
 ?
 ?
                               1000 sub $1,%dx
 ?
                               1001 test $0,%dx
 ?
                               1002 jgte .top
 ?
                               1003 halt
```

Answer: No race condition - threads do not share registers. dx will be -1, for both thread 0 and thread 1.

5. Given the following trace, in which each register %dx is initialized to 3, what value would %dx have in the end? Is there a race in this code? (10 points)

```
dx
          Thread 0
                                Thread 1
?
?
    1000 sub $1,%dx
?
    1001 test $0,%dx
?
    1002 jgte .top
?
    ----- Interrupt ----- Interrupt -----
?
                          1000 sub $1,%dx
?
                          1001 test $0,%dx
?
                          1002 jgte .top
?
    ----- Interrupt ----- Interrupt -----
    1000 sub $1,%dx
?
?
    1001 test $0,%dx
?
    ----- Interrupt ----- Interrupt -----
?
                          1000 sub $1,%dx
?
    ----- Interrupt ----- Interrupt -----
?
    1002 jgte .top
?
    1000 sub $1,%dx
    ----- Interrupt ----- Interrupt -----
?
?
                          1001 test $0,%dx
?
                          1002 jgte .top
?
    ----- Interrupt ----- Interrupt -----
?
    1001 test $0,%dx
?
    1002 jgte .top
?
    1000 sub $1,%dx
    ----- Interrupt ----- Interrupt -----
?
?
                          1000 sub $1,%dx
?
    ----- Interrupt ----- Interrupt -----
?
    1001 test $0,%dx
?
    1002 jgte .top
?
    ----- Interrupt ----- Interrupt -----
?
                          1001 test $0,%dx
?
                          1002 jgte .top
?
    ----- Interrupt ----- Interrupt -----
?
    1003 halt
?
    ---- Halt; Switch ---- Halt; Switch ----
?
                          1000 sub $1,%dx
?
                          1001 test $0,%dx
?
    ----- Interrupt -----
                          ----- Interrupt -----
?
                          1002 jgte .top
                           1003 halt
```

Answer: No race condition - threads do not share registers. dx will be -1, for both thread 0 and thread 1.

## 6. The Producer/Consumer Problem - Solution 1. - Will not be in the final exam.

Below is a possible solution for the producer and consumer problem; we assume the buffer size is 1, meaning only 1 item can be stored in the buffer.

```
1 int buffer;
2 int count = 0; // initially, empty
4 void put(int value) {
5 assert(count == 0); // throw an error and terminate the program if count isn't 0.
6 \text{ count} = 1;
7 buffer = value;
10 int get() {
11 assert (count == 1); // throw an error and terminate the program if count isn't 1.
12 \text{ count} = 0;
13 return buffer;
14 }
The put and get routines.
1 int loops; // must initialize somewhere...
2 cond_t cond;
3 mutex_t mutex;
5 void *producer(void *arg) {
6
      int i;
7
      for (i = 0; i < loops; i++) {
8
          pthread_mutex_lock(&mutex);
                                                      // p1
9
          if (count == 1)
                                                      // p2
              pthread_cond_wait(&cond, &mutex);
10
                                                      // p3
11
          put(i);
                                                      // p4
12
          pthread_cond_signal(&cond);
                                                      // p5
13
          pthread_mutex_unlock(&mutex);
                                                      // p6
14
       }
15 }
16
17 void *consumer(void *arg) {
18
       int i;
       for (i = 0; i < loops; i++) {
19
                                                       // c1
20
           pthread_mutex_lock(&mutex);
21
           if (count == 0)
                                                       // c2
                                                       // c3
22
                pthread_cond_wait(&cond, &mutex);
                                                       // c4
23
           int tmp = get();
           pthread_cond_signal(&cond);
                                                       // c5
24
25
           pthread_mutex_unlock(&mutex);
                                                       // c6
26
           printf("%d\n", tmp);
27
       }
28 }
```

The producer and consumer threads.

Let's say we have one producer thread (Tp), two consumer threads (Tc1 and Tc2). Above is a broken solution, please draw a trace below to show the problem. Assume there is only one CPU (one core), and each thread can have 3 states: running, ready, sleep. (15 points)

Тр	State	Tc1	State	Tc2	State	Count	Comment
Tp	Ready	Tc1	State Ready	Tc2	Ready	O	Comment This is the initial state for the entire program.

## 7. The Producer/Consumer Problem - Solution 2. - Will not be in the final exam.

Below is another possible solution for the producer and consumer problem; we assume the buffer size is 1, meaning only 1 item can be stored in the buffer.

```
1 int buffer;
2 int count = 0; // initially, empty
4 void put(int value) {
5 assert(count == 0); // throw an error and terminate the program if count isn't 0.
6 \text{ count} = 1;
7 buffer = value;
10 int get() {
11 assert(count == 1); // throw an error and terminate the program if count isn't 1.
12 \text{ count} = 0;
13 return buffer;
14 }
The put and get routines.
1 int loops;
2 cond_t cond;
3 mutex_t mutex;
5 void *producer(void *arg) {
6
      int i;
7
      for (i = 0; i < loops; i++) {
8
          Pthread_mutex_lock(&mutex);
                                                   // p1
9
          while (count == 1)
                                                   // p2
10
              Pthread_cond_wait(&cond, &mutex); // p3
11
          put(i);
                                                   // p4
12
          Pthread_cond_signal(&cond);
                                                   // p5
13
          Pthread_mutex_unlock(&mutex);
                                                  // p6
14 }
15 }
16
17 void *consumer(void *arg) {
18
       int i;
       for (i = 0; i < loops; i++) {
19
20
           Pthread_mutex_lock(&mutex);
                                                    // c1
21
           while (count == 0)
                                                    // c2
               Pthread_cond_wait(&cond, &mutex); // c3
22
                                                   // c4
23
           int tmp = get();
           Pthread_cond_signal(&cond);
                                                   // c5
24
25
           Pthread_mutex_unlock(&mutex);
                                                   // c6
26
           printf("%d\n", tmp);
27 }
28 }
```

The producer and consumer threads.

Let's say we have two producer threads (Tp1 and Tp2), one consumer thread (Tc). Once again this is a broken solution, please draw a trace below to show what problem could happen. Assume there is only one CPU (one core), and each thread can have 3 states: running, ready, sleep. (15 points)

Tp1	State	Tp2	State	Тс	State	Count	Comment
	Ready		Ready		Ready	0	This is the initial state for the en-
							tire program.

## 8. The Producer/Consumer Problem - Semaphore Solution. - Will not be in the final exam.

```
1 int buffer[MAX];
2 int fill = 0;
3 int use = 0;
5 void put(int value) {
6 buffer[fill] = value;
                           // Line F1
7 fill = (fill + 1) % MAX; // Line F2
9
10 int get() {
11 int tmp = buffer[use]; // Line G1
12 use = (use + 1) % MAX; // Line G2
13 return tmp;
14 }
The put and get routines
1 sem_t empty;
2 sem_t full;
4 void *producer(void *arg) {
5 int i;
6 for (i = 0; i < loops; i++) {
                          // Line P1
7 sem_wait(&empty);
                          // Line P2
8 put(i);
9 sem_post(&full);
                          // Line P3
10 }
11 }
12
13 void *consumer(void *arg) {
14 int i, tmp = 0;
15 while (tmp != -1) {
                          // Line C1
16 sem_wait(&full);
                          // Line C2
17 tmp = get();
18 sem_post(&empty);
                          // Line C3
19 printf("%d\n", tmp);
20 }
21 }
2.2
23 int main(int argc, char *argv[]) {
24 // ...
25 sem_init(&empty, 0, MAX); // MAX buffers are empty to begin with...
26 sem_init(&full, 0, 0); // ... and 0 are full
27 // ...
28 }
```

The producer and consumer threads.

Assume there is only 1 single CPU with 1 core.

1. Assume we have 1 producer thread, 1 consumer thread, and MAX is 1, does this program work? (Please say Yes or No first, if, and only if, you say No, then justify it with a detailed example). (10 points)

2. Assume we have 2 producer threads, 2 consumer threads, and MAX is equal to 3, what problem could happen? Please demonstrate the problem with an example. Draw a trace if you want. (10 points)

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9. **Deadlock**. Below are two solutions to the producer/consumer problem: one is correct, one is broken - the broken solution could cause a deadlock situation. Which one is the broken solution? Explain how would it cause a deadlock situation. Draw a trace if you want. (10 points)

The put and get routine for both solutions:

```
1 int buffer[MAX];
2 \text{ int fill} = 0;
3 int use = 0;
4
5 void put(int value) {
6 buffer[fill] = value;
                            // Line F1
7 fill = (fill + 1) % MAX; // Line F2
9
10 int get() {
11 int tmp = buffer[use]; // Line G1
12 use = (use + 1) % MAX; // Line G2
13 return tmp;
14 }
Solution 1:
1 sem_t empty;
2 sem_t full;
3 sem_t mutex;
5 void *producer(void *arg) {
6 int i;
7 for (i = 0; i < loops; i++) {
8 sem_wait(&mutex);
                       // Line P0
9 sem_wait(&empty);
                         // Line P1
                         // Line P2
10 put(i);
11 sem_post(&full);
                        // Line P3
                         // Line P4
12 sem_post(&mutex);
13 }
14 }
16 void *consumer(void *arg) {
17 int i;
18 for (i = 0; i < loops; i++) {
                      // Line CO
19 sem_wait(&mutex);
                         // Line C1
20 sem_wait(&full);
21 int tmp = get();
                         // Line C2
22 sem_post(&empty);
                        // Line C3
23 sem_post(&mutex);
                         // Line C4
24 printf("%d\n", tmp);
25 }
26 }
28 int main(int argc, char *argv[]) {
29 // ...
30 sem_init(&empty, 0, MAX); // MAX buffers are empty to begin with...
31 sem_init(&full, 0, 0); // ... and 0 are full
32 sem_init(&mutex, 0, 1); // mutex=1 because it is a lock
33 // ...
34 }
```

Solution 2:

```
1 sem_t empty;
2 sem_t full;
3 sem_t mutex;
5 void *producer(void *arg) {
6 int i;
7 for (i = 0; i < loops; i++) {
8 sem_wait(&empty);
                       // Line PO
                        // Line P1
9 sem_wait(&mutex);
                        // Line P2
10 put(i);
11 sem_post(&mutex);
                       // Line P3
12 sem_post(&full);
                       // Line P4
13 }
14 }
15
16 void *consumer(void *arg) {
17 int i;
18 for (i = 0; i < loops; i++) {
19 sem_wait(&full);
                       // Line C0
                        // Line C1
20 sem_wait(&mutex);
21 int tmp = get();
                        // Line C2
22 sem_post(&mutex);
                       // Line C3
23 sem_post(&empty);
                       // Line C4
24 printf("%d\n", tmp);
25 }
26 }
27
28 int main(int argc, char *argv[]) {
29 // ...
30 sem_init(&empty, 0, MAX); // MAX buffers are empty to begin with...
31 sem_init(&full, 0, 0); // ... and 0 are full
32 sem_init(&mutex, 0, 1); // mutex=1 because it is a lock
33 // ...
34 }
```

Answer: solution 1 is broken - locking too much. Only lock what you really really need to lock.