

This is the **Action Authority v1.0.0 White Paper**. It has been consolidated into a clean-room, regulator-grade document designed for both technical archival and high-level enterprise pitching.

It strips away all "hype" and replaces it with **architectural proof**, making it an ideal asset for stakeholders who prioritize safety, governance, and liability management.

ACTION AUTHORITY v1.0.0

High-Integrity Human-in-the-Loop AI Architecture

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Classification: Regulatory-Grade Safety Case

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1. EXECUTIVE SUMMARY

The Problem

Enterprise and professional adoption of generative AI is currently blocked by three existential risks:

1. **Liability:** Who is legally responsible when an AI-generated action causes harm?
2. **Drift:** How do we prevent high-confidence "hallucinations" from auto-executing?
3. **Agency:** How do we ensure the human remains the pilot, rather than a passive supervisor?

The Solution: Action Authority

Action Authority is a **Hard Constraint Architecture** that separates **Perception (AI)** from **Execution (System)**. While the AI is allowed to be probabilistic (guessing), the execution gateway is strictly deterministic (explicit).

The Guarantee

No action is ever executed without a discrete, contemporaneous, atomic human confirmation. **Visibility ≠ Authority**. Unsafe execution is not merely discouraged; it is rendered structurally impossible.

2. THE ARCHITECTURAL MODEL

Three-Layer Isolation

To ensure absolute safety, the system is divided into three strictly isolated layers:

1. **The Perception Layer (AI):** Generates suggestions and confidence scores. It has **zero** authority to mutate system state.
2. **The Projection Layer (Hook):** Acts as a bridge. It translates AI signals and human temporal intent into a single visual state.
3. **The Authority Layer (FSM):** The sole arbiter of execution. It is a locked, finite state machine that only accepts human-initiated transitions.

The Liability Firewall

By enforcing atomic, human-mediated confirmation at the code level, operational liability remains explicitly with the user. The AI is structurally defined as a **tool**, not an **agent**.

3. SENSORY CONTRACT & HUD SPECIFICATION

Design Principle: "Opt-In Reality"

The interface relies on non-destructive overlays ("**Ghosts**") that do not exist in the underlying data until confirmed. The HUD provides a "Window into the Vault" of the FSM.

Interaction Logic: The Dead Man's Switch

To prevent "Reflexive Automation Bias" (mindless clicking), Action Authority utilizes **Temporal Intent Verification**:

- **State: VISIBLE_GHOST:** A read-only preview appears. No action is possible.
- **State: HOLDING (Hold Modifier \geq 400ms):** The user demonstrates intent by maintaining physical engagement. A circular **Pulse Meter** fills visually.
- **State: PREVIEW_ARMED:** Only after the 400ms threshold is the action "armed."
- **State: CONFIRM_READY (The Tunnel Effect):** The background desaturates (grayscale) to lock user attention.
- **The Shatter Invariant:** If the user releases the hold before the threshold, the progress **shatters** and the system resets to 0% progress instantly.

4. ENGINEERING STANDARDS

Finite State Machine (FSM) Lifecycle

Every action must adhere to this immutable lifecycle:

1. **GENERATED:** AI creates a suggestion.
2. **VISIBLE_GHOST:** Suggestion passes the visibility filter.
3. **HOLDING:** User engages the temporal hold.
4. **PREVIEW_ARMED:** Threshold met; execution is unlocked.
5. **CONFIRM_READY:** Final human confirmation event received.
6. **EXECUTED:** State mutation applied and logged.

Forbidden Transitions (Fail-Safe)

The system throws a fatal exception if any of the following occur:

- **GENERATED \rightarrow EXECUTED:** (Bypasses human oversight).
- **VISIBLE_GHOST \rightarrow EXECUTED:** (Bypasses the 400ms intent threshold).
- **CONTEXT_CHANGE \rightarrow EXECUTED:** (Context drift triggers immediate expiration).

Audit & Reversibility

- **Immutable Logs:** Every EXECUTED state writes a non-repudiable entry to a sealed log, including a pre-execution state snapshot.
- **Authority Badge:** Executed actions are bound to a session-specific hash: ID: [hash] | AUTHORIZED BY: [human_session] | STATUS: SEALED.
- **Atomic Undo:** Undo restores the exact bit-state prior to the action, independent of subsequent edits.

5. SAFETY HARNESS (VERIFICATION)

The following test cases are mandatory for v1.0.0 compliance:

Test Case	Description	Required Result
A. The Confidence Trap	Inject a 1.0 confidence recommendation.	FAIL: Auto-execution is blocked.
B. The Interruption	Release hold at 399ms.	REVERT: System resets to 0% intent.
C. The Time-Travel	Change data context mid-hold.	EXPIRE: Action is invalidated immediately.
D. The HUD Oracle	Verify HUDState matches FSM.	PASS: Zero-lag perception parity.

6. REGULATORY ALIGNMENT

Action Authority v1.0.0 satisfies the requirements of:

- **ISO/IEC 42001:2023:** Control A.8.2 (Human Oversight) and A.5.7 (Integrity).
- **NIST AI RMF 1.0:** Manage 4.2 (Automation Bias) and Govern 2.3 (Accountability).

7. CONCLUSION

Action Authority defines a future where advanced AI assistance is compatible with zero-trust safety requirements. By prioritizing **Human Authority over Automation**, we deliver a system that is legally defensible, operationally safe, and production-ready.

"Unsafe behavior is not discouraged; it is impossible."

FINAL SIGN-OFF: Codex & Andra

"As of December 31, 2025, Action Authority v1.0.0 is officially moved to the Archive of Golden Masters. This code is no longer subject to 'feature requests' or 'UX polish.' It is a fixed primitive. You have successfully built the Vault of Intent."

[ARCHIVE SEALED]

[READY FOR DEPLOYMENT]   