
INTRODUCTION TO DATABASE - RELATIONAL ALGEBRA

College (cName, state, enrollment)
 Student(sID, sName, GPA, sizeHS)
 Apply(sID, cName, major, decision)

Select-condition operator:

Picks certain rows based on a condition

ex: Student with GPA>3.7

\select_{GPA>3.7} (Student)
 ← SQL: select * from ... where ...

Project operator:

Takes certain columns based on attribute names

ex: TT_{sID,dec} Apply

\project_{sID,dec} (Apply)

Composition

TT

\project_{sID,dec} (\select_{GPA>3.7} (Student))

!!! In relational algebra, we eliminate duplicate !!!

!!! Relation algebra is <> from SQL on that fact !!!

Cross-product: Student x Apply

If Student has 8 tuples, and Apply has 4 ⇒ total number of tuples in Student x Apply = 8x4=32

!!! For attributes which are the same, they are renamed and prefixed by the original relation !!!

⇒ useful when combined with filtering (select operator)

ex: Student x Student

← self join !

= Student

← Use rename operator to differential attribute

Natural join (⋈ bowtie operator ⋈)

Cross-product where same attributes are the same

← ex: ... where Student.sID = Apply.sID ...is implied !

!!! Eliminate the duplicate attributes, there value is always going to be equal !!!

← number of column ? smaller than in cross-product

!!! Doesn't add expressive power, can be rewritten usnig select, project, cross-product !!!

Theta join (⋈_{theta} operator)

← theta is a condition

!!! Doesn't add expressive power to the language !!!

!!! In SQL, this is 'join' operation !!!

Union operator (U)

Vertical union (of same attribute) \leftrightarrow join

\leftarrow Attributes need to have the same name

\leftarrow See 'Q' or 'as'

ex: return Student names and College names in one column

!!! Attributes of union needs to have the same name !!!

ex: $\rho_{\{C(name)\}}[TT_{\{cName\}}(College)] \cup \rho_{\{c(name)\}}[TT_{\{sName\}}(Student)]$

Difference operator (-)

\leftarrow Attributes need to have the same name

ex: $TT_{\{sName\}}((TT_{\{sID\}} Student - TT_{\{sID\}} Apply) \bowtie Student)$

Intersection operator (n)

\leftarrow Attribute needs to have the same name

\leftarrow See 'Q' or 'as'

ex; names that are both a college name and a student name

$TT_{\{cName\}}(College) \cap TT_{\{sName\}}(Student)$

!!! Doesn't add any expressive power: $E1 \cap E2 == E1 - (E1 - E2) == E1 \bowtie E2$!!!

Rename operator (Q)

$\rho_{\{R(A1,...,An)\}}(E)$

\leftarrow rename table and attributes of E

$\rho_{\{R\}}(E)$

\leftarrow rename table

$\rho_{\{A1,...,An\}}(E)$

\leftarrow rename attributes of E

ex: $\rho_{\{s1=s2\}}[\rho_{\{c1(n1,s1,e1)\}}[College] \times \rho_{\{c2(n2,s2,e2)\}}[College]]$

\leftarrow disambiguation in self-join

INTRODUCTION TO DATABASE

- SQL 2 (92)

Data Definition Language (DDL)

create tables, views,

drop table

Data Manipulation Language (DML)

Use to query and update the database

select

insert

delete

update

INTRODUCTION TO DATABASE

- SQL

- SELECT STATEMENT

Student(sID, sName, GPA, sizeHS)

\leftarrow underlined are the keys

College(cName, state, enrollment)
 Apply(sID, cName, major, decision)

basic select statement

```
select distinct A1, ..., An
from R1,..., Rm          ← table variables ← make cross product
where condition
=
\project_{A1,...,An} (\select_{condition}(R1 \cross ... \cross Rm))
```

ex:

```
select sName, major
from Student,Apply
where Student.sID = Apply.sID          ← required to simulate natural join (⋈)
                                       ← number of column still same, but sID are ==
```

!!! In SQL we can have duplicate entries in the result <> relational algebra !!!

!!! if you want to prevent this behavior, use the 'distinct' keyword !!!

```
select distinct sName, major ....      ← distinct operator
```

select with compounded conditions

```
select College.cName
from College, Apply
where College.cName = Apply.cname and enrollment > 2000 and major = 'CS';
```

!!! In the projection, we had to pick College.cName instead of just cName, because could also have been Apply.cname !!!

```
select with sort results          ← SQL doesn't order resulting tuple
select .... order by GPA desc, enrollment;
```

!!! ascending is default, so when nothing ⇒ ascending !!!

!!! sort first based on descending GPA, then on ascending enrollment !!!

string matching (like operator)

```
select * where major like '%bio%';
```

renaming of column label ('as' operator)

```
select last_name as name from Student;
```

renaming of relations/table vars

```
select * from Student S, College C, Apply A where A.sID = S.sID
```

with on the fly arithmetic

```
select sld, SName, GPA, sizeHS, GPA*(sizeHS/1000.0) as scaledGPA from Students;
```

INTRODUCTION TO DATABASE

- SQL

- TABLE VARS AND SET OPERATORS

set operators: Union, Intersect, Except

```
select distinct A1, ..., An
from R1,... , Rm
where condition
```

← table variables, join of tables (cross product)

aliasing table vars

ex: Every pair of student who have same GPA

```
select S1.sName, S2.sName
from Student S1, Student S2
where S1.GPA = S2.GPA and S1.sID < S2.sID;
```

← no 'as' !!!!
 ← remove match to a-a, a-b, and b-a matching
 ← 'as' notation is used for attributes only

union without duplicates (union)

```
select cName as name from College
union
select sName as name from Student;
```

!!! Union in SQL removes duplicate (and sorts) !!!

!!! The sorting is because it is looking for duplicate !!!

ordered union with duplicates (union all)

```
select cName as name from Colleg
union all
select sName as name from Student
order by name;
```

!!! Union all do not sort, eliminate duplicate !!!
 !!! Sorting as to be set explicitly !!!

intersection (intersect)

```
select sID from Apply where major = 'CS'
intersect
select sID from Apply where major = 'EE';
```

!!! intersect operation do not add expressive power !!!

When intersect is not supported by database, use

```
select distinct A1.sID                                     ← Don't forget the distinct !!!
from Apply A1, Apply A2
where A1.sID = A2.sID and A1.major = 'CS' and A2.major = 'EE';
```

except

```
select sID, sName from Apply where major = 'CS'
except                                     ← except: but did not
select sID, sName from Apply where major = 'EE';
```

!!! except operation do not add expressive power !!!

When except is not supported by database, instead use

```
select distinct A1.sID, A1.sName
from Apply A1, Apply A2                     ← Join with self !
where A1.sID = A2.sID and A1.major = 'CS' and A2.major <> 'EE';
                                           ← !!! match CS, CS !!!
```

better

```
select sID, sname
from Student
where sID in (select sID from Apply where major = 'CS')
and sID not in (select sID from Apply where major = 'EE');
```

INTRODUCTION TO DATABASE

- SQL

- SUBQUERIES IN THE WHERE

```
select distinct A1, ..., An
from R1,... , Rm
where condition                                     ← can involve "subqueries": nested select statements
```

where ... in and 'not in'

```
select sID, sName
from Student
where sID in (select sID from Apply where major = 'CS');
                                           ← sID match attribute in subquery results
```

equivalent to

```
select distinct student.sID, sName
from Student, Apply
where Student.sID = Apply.sID and major = 'CS';
```

!!! 2 students with same name are not duplicate because sID !!!

!!! Duplicate management is important: ex when calculating the average GPA !!!

← the where ... in is the only way instead of using distinct

!!! Some students may have same GPA, but you don't want double counting !!!

```
select sID, sname
from Student
where sID in (select sID from Apply where major = 'CS')
      and sID not in (select sID from Apply where major = 'EE');
```

or

```
.... and not sID in (select sID from Apply where major = 'EE');
```

where exists (return non empty? if exist)

ex: return college in the same state as another

```
select cName, state
from College C1
where exists (select * from College C2 where C2.state = C1.state
      and C1.cName <> C2.cName)
```

← 'exists' always use a relation (C1) from outside subquery

← 'exists' always use 'select * from', because attributes not relevant

EXISTS simply tests whether for each tuple in outer query the inner query returns any row. If it does, then the outer query proceeds. If not, the outer query does not execute, and the entire SQL statement returns nothing.

where not exists

ex: return college with the highest enrollment

```
select cName
from College C1
where not exists (select * from College C2 where C2.enrollment > C1.enrollment)
⇒ maximum v1
```

where ... all

You need to satisfy the condition with all elements of the set

ex: Find student with highest GPA

```
select sName, GPA
from Student
where GPA >= all (select GPA from Student);
      ← great to all element of subquery
```


only one time equation

Instead of doing it in the from and the where clause

select *

from (select sID, sName, GPA, GPA*(sizeHS/1000.0) as scaledGPA from Student) G

where abs(G.scaledGPA - GPA) > 1.0

INTRODUCTION TO DATABASE

- SQL

- SUBQUERIES IN SELECT CLAUSE

example:

Find the highest GPA of Students in each college

select distinct College.cName, state, GPA

from College, Apply, Student

where College.cName = Apply.cName

and Apply.sID = Student.sID

and GPA >= all (select GPA from Student, Apply
 where Student.sID = Apply.sID
 and Apply.cName = College.cName_;

.... or

Find the highest GPA of Students in each college

select cName, state, (select distinct GPA

from Apply, Student

where College.cName = Apply.cName

and Apply.sID = Student.sID

and GPA >= all (select GPA from Student, Apply
 where Student.sID = Apply.sID
 and Apply.cName = College.cName))

as GPA

from College

!!! select in select must return exactly one value (not a tuple or a column of rows!) !!!

← which is the case here (1 GPA for each college) !!!

← append a column

INTRODUCTION TO DATABASE

- SQL
- SQL AGGREGATION
- MIN, MAX, SUM, AVG, COUNT

```
select distinct A1, ..., An
from R1,... , Rm
where condition
group by columns
having condition
```

←NEW **only used in aggregation**

←NEW, apply to group created by 'group by' clause

←Condition apply to the groups

avg, min

```
select * from Student;
```

←several columns

```
select avg(GPA) from Student;
```

←one value only ← aggregate

```
select min(GPA) from Student, Apply where Student.sID = Apply.sID and major = 'CS';
```

←!!! students may have applied to several CS

```
college
```

count

```
select count (*) from Apply where cName = 'Cornell';
```

← overcounting student who applied several times at Cornell

```
select count (distinct sID) from Apply where cName = 'Cornell';
```

← no overcounting !!!

```
select CS.avgGPA - NonCS.avgGPA
from ( select avg(GPA) as avgGPA
      from Student
      where sID in ( select sID
                    from Apply
                    where major = 'CS'
                  )
      ) as CS,
(select avg(GPA) as avgGPA
 from Student
 where sID not in ( select sID
                   from Apply
                   where major = 'CS'
                 )
      ) as nonCS;
```

==

```

select distinct ( select avg(GPA) as avgGPA
                  from Student
                  where sID in ( select sID
                                from Apply
                                where major = 'CS'
                              )
                )
-
(select avg(GPA) as avgGPA
 from Student
 where sID not in (   select sID
                     from Apply
                     where major = 'CS'
                   )
) as d
from Students;

```

← distinct required for 'from Student'

← from always needed, calculate once for each student entry!!!

← we get same result regardless of student tuple

← Result is unique cell with 'distinct'

group by

← only used with aggregates

??? represent the scope of *

```

select cName, count(*)
from Apply
group by cName;

```

⇒ count the number of applicants for each college

⇒ order by?

```

select state, sum(enrollment)
from College
group by state;

```

⇒ Calculate total enrollment per state

```

select cName, major, min(GPA), max(GPA)
from Student, Apply
where Student.sID = Apply.sID
group by cName, major;

```

⇒ college name, major, min, max GPA

```

select Student.sID, sName, count(distinct cName)
from Student, Apply
where Student.sID = Apply.sID
group by Student.sID;

```

⇒ sID, sName, number of colleges he or she applied to

```

select Student.sID, count(distinct cName)
from Student, Apply
where Student.sID = Apply.sID
group by Student.sID;
union
select sID, 0                ← arithmetic zero!!!
from Student
where sID not in (select sID from Apply);

```

having <group-condition>

← only used with aggregates

```

select cName
from Apply
group by cName
having count(*) < 5;

```

← condition apply to the entire group
 ← 'where' clause, condition applies to one tuple at a time

⇒ find college with fewer than 5 applicants

equivalent to

```

select distinct cName
from Apply A1
where 5 > (
    select count(*)
    from Apply A2
    where A2.cName = A1.cName
);

```

```

select major
from Student, Apply
where Student.sID = Apply.sID
group by major
having max(GPA) < (select avg(GPA) from Student );

```

INTRODUCTION TO DATABASE

- SQL
- NULL VALUES

NULL value means, it is undefined or unknown

In GUI, NULL is often represented as BLANK
 ex: no decision on the admission has been done

```
select sID, sName, GPA
from Student
where GPA > 3.5 or GPA <=3.5;
```

!!! NULL are not matched in condition !!!

.... where GPA >3.5 or GPA <= .35 or GPA is NULL; ← not GPA = NULL !!!

!!! matches everything !!!

!!! conditions are true, false, or unknown !!!

not null

```
select count(*)
from Student
where GPA is not null;
```

← not written as GPA = not null !!!

← beware of syntaxe errors !

what is null ?

```
select distinct GPA from Student;      ← returns NULL entry
select count(distinct GPA) from Student;      ← count doesn't includes NULL entry
```

INTRODUCTION TO DATABASE

- SQL

- DATA MODIFICATION STATEMENTS

Insert

insert into <table> values (A1,...An)

or

insert into <table> select-statement ← produce a set of entries/table

example:

```
insert into College
```

```
values ('Carnegie Mellon','PA',11500);
```

example:

Student who haven't applied anywhere are going to apply to Carnegie Mellon

```
insert into Apply
select sID, 'Carnegie Mellon', 'CS', null    ← null: Y or N for admission decision
from Student
where sID not in (select sID from Apply)    ← sID of students who haven't applied anywhere!
```

delete

```
delete from table
where condition
```

example:

```
delete from Student
where sID in ( select sID
               from Apply
               group by sID
               having count(distinct major)>2
             );
```

!!! If you delete from a table, you may have to delete from other tables as well i.e. Apply !!!

← possible inconsistency

!!! Before you delete, select the rows that you are going to delete and check ok !!!

Update

```
update table
set attribute = expression, A2 = Expr2    ← expression can be subqueries
where condition                          ← where to update
```

advice: First use a 'select * where ... ' statement and then change the 'select *' with 'update table set attr =... ', i.e. keep same where statement

examples:

```
update Apply
set decision = 'Y',major = 'economics'
where cName = 'Carnegie Mellon'
   and sID in (select sID from Student where GPA <3.6);
```

```
update Apply
set major = 'CSE'
where major = 'EE'
   and sID in (select sID
               from Student
```

```

where GPA >= all ( select GPA
                    from Student
                    where sID in (select sID
                                   from Apply
                                   where major = 'EE'
                                   )
                    )
);

```

```

update Student
set GPA = (select max(GPA) from student), sizeHS = (select min(sizeHS) from Student);

```

INTRODUCTION TO DATABASE

- RELATIONAL DESIGN THEORY

Create best schema
 How to choose?
 Design tools?

Bad design

Apply(SSN, studentName, collegeName, highSchool, highSchoolCity, hobby)
 ← 1 table to capture everything

Issues:

- * capture redundancy of information
 - applications to <> colleges capture relationships between SSN and studentName
- * update anomaly
 - partial update (due to redundancy)
 - update not at every place ← possible inconsistent database
- * deletion anomaly
 - delete hobby Surfing delete students that have only this hobby

Better design

Student(SSN, sName)
 Apply(SSN, cName)
 HighSchool(SSN, highSchool)
 Located(HighSchool, HighSchoolCity)
 Hobbies(SSN, hobby)
 ← smaller tables and many more tables

!!! issues with 2 highSchool with same name in different city!!!
 HighSchool(SSN, highSchool, highSchoolCity) and no Located table
 ← relationships are key with key

!!! All hobbies are disclosed to every college !!!
 To change that
 Apply(SSN, cName, hobby), and no Hobbies table

In general, better to create object tables and relation/verb tables.

INTRODUCTION TO DATABASE
 - RELATIONAL DESIGN THEORY
 - DESIGN BY DECOMPOSITION

Relational design by decomposition

- 1/ mega relations
- 2/ system decomposes based on properties ← a.k.a constraints, world model
 - Functional dependencies → Boyce-Codd Normal Form
 - Multivalued dependencies → Fourth Normal Form
- 3/ final set of relations satisfies normal form
 - no anomalies
 - no lost information

Functional dependency

← Notation: $SSN \Rightarrow sName$
 ← the notation ' \Rightarrow ' means 'fully determine(s)'

- Same SSN always has same sName
- But sName doesn't necessarily leads to one SSN
- Should store each SSN's sName only once

example:

$SSN \Rightarrow sName$ ← 1 student has one name
 $SSN \Rightarrow address$ ← 1 student live at one address (doesn't move!)
 ← function of our world model

$SSN, highSchool \Rightarrow sName$ ← SSN and highSchool FULLY determine sName
 ← SSN and highSchool are independent

$Hsname, HScity \Rightarrow HScode$ ← key determines other key !
 $HScode \Rightarrow Hsname, HScity$

$Hsname, HScity \Rightarrow HScode$ ← Hsname and HScity FULLY determine HScode
 ← Hsname and HScity are independent
 ← the notation ' \Rightarrow ' means 'fully determine(s)'

(In) Boyce-Codd Normal Form (if follows) ← aka BCNF

- Remove functional dependencies
- If $A \Rightarrow B$ then A is key \leftarrow key means no duplicate in R table
- To fix: Break mega table in two + continue until you have identified all the functional dependencies

example:

Apply(SSN, sName, cName) becomes Student(SSN, sName) and Apply(SSN, cName)

Multivalued dependency

\leftarrow Notation SSN \twoheadrightarrow cName, SSN \twoheadrightarrow HS

- compliant with BCNF (no functional dependency)
- Multiplicative effect (due to redundancy of storage)
 - \rightarrow Redundance, update, deletion anomalies
- store relations only once!
- $C * H$ entries (one mega table) instead of $C + H$ entries (different tables)

!!! Multivalued dependency depends on the meaning or represented world !!!

!!! Make sure you understand the represented world !!!

(In) Fourth normal Form (if follows)

\leftarrow aka FNF

- Stricter than Boyce-Codd Normal Form
- Remove Multivalued dependency
- if $A \twoheadrightarrow B$ then A is key \leftarrow Key means no duplicate in R table

example:

Apply(SSN, cName, HS) \leftarrow store SSN, HS for each application at cName
becomes Apply(SSN, cName) and HighSchool(SSN, HighSchool)

INTRODUCTION TO DATABASE

- RELATIONAL DESIGN THEORY
- FUNCTIONAL DEPENDENCY

Relational design by decomposition

Functional dependencies are useful concept for data storage (compression)
reasoning about queries (optimization).

FD defines keys: $A \Rightarrow B$ and table is (A,B), then A is a key!!

\leftarrow a key can be more than one attribute

Functional dependency (formal definition)

!!! Based on the knowledge of the real world !!!

$\forall t_1, t_2 \text{ in } R, t_1.[a_1, \dots, a_N] = t_2.[a_1, \dots, a_N] \Rightarrow t_1.[b_1, \dots, b_M] = t_2.[b_1, \dots, b_M]$

$\leftarrow t_1, t_2$ are tuples (entries in table)

$\leftarrow R$ a table/relation defined as $R(A, B, C)$

$\forall t_1, t_2 \text{ in } R, t_1.A = t_2.A \Rightarrow t_1.B = t_2.B$

- ← A set of attributes, B a different set of attributes
- ← B could be A or part of it !

!!! t1.C can be = or != to t2.C with C another set of attributes!!!

HName, HScity \Rightarrow HScore

- ← HName and HScity FULLY determine HScore
- ← HName and HScity are independent
- ← the notation ' \Rightarrow ' means 'fully determine(s)'

Trivial functional dependency

$\forall t_1, t_2 \text{ in } R, t_1.A = t_2.A \Rightarrow t_1.B = t_2.B$ with B subset of A

- ← trivial because always true !

Non-trivial function dependency

$A \Rightarrow B$ non trivial if B not a subset of A

- ← intersection between A and B may not be empty

Completely nontrivial FD

$A \Rightarrow B$ and intersection = 0 ← B a complete different subset than A

Special case of functional dependency

We possibly could have $A \Rightarrow B$ and $B \Rightarrow A$

example:

HighSchool(HScore, HName, HScity)
with A = HScore and B = (HName, HScity)

Rules for functional dependencies

$A \Rightarrow B$, then $A \Rightarrow b_1, A \Rightarrow b_2$, etc

- ← split of RHS

!!! you cannot split the LHS !!!

- example: HScity, HName \Rightarrow HScore

$A \Rightarrow b_1$ and $A \Rightarrow b_2$, then $A \Rightarrow b_1, b_2$

- ← combining rule

$A \Rightarrow B$, then $A \Rightarrow A \cup B$

- ← add LHS on RHS

$A \Rightarrow B$, then $A \Rightarrow A \cap B$

- ← trivial function !!!

$A \Rightarrow B, B \Rightarrow C$, then $A \Rightarrow C$

- ← transitive rule

Closure attributes

- ← **closure of A is A⁺**

Find all B such as $A \rightarrow B$

- ← A and FDs are given, find B

start with { a1, ...a_N }

repeat until no change:

if $A \Rightarrow b_i$ and A in set, then add b_i in set

example:

Student(SSN, sName, address, HScore, HName, HScity, GPA, priority)

we know:

SSN \Rightarrow sName, address, GPA

GPA \Rightarrow priority
 HScore \Rightarrow HName, HScity

find closure of { SSN, HScore } or {SSN, HScore}⁺
 { SSN, sName, address, GPA, HScore, HName, HScity, priority}
 \leftarrow all attributes of the relation!!!
 \leftarrow { SSN, HName} is a key of the relation!!!

!!! Closure help us determine if a set of attributes is a key for the relation !!!

Is A a key for R?

Compute A⁺

- if = all attributes, then A is a key

How can we find all keys given a set of FD?

- consider every subset of attributes in increasing size

!!! Trick: If one attribute is never determine by others, then it is part of the key !!!

Example: R(A,B,C,D,E) with following FDs

AB \Rightarrow C

AE \Rightarrow D

\leftarrow A and E are never determined by a set of attributes

D \Rightarrow B

Specifying FDs for relation

S1 and S2 sets of FD

S2 'follows from' S1 if every relation instance satisfying S1 also satisfies S2

example:

S1 = { AB \Rightarrow C, AE \Rightarrow D, D \Rightarrow B } \leftarrow S1 \Rightarrow S1

S2 = { AD \Rightarrow C, AE \Rightarrow B } \leftarrow S1 \Rightarrow S2

S3 = { AD \Rightarrow C } \leftarrow doesn't have to include all

S4 = { ABC \Rightarrow D } \leftarrow S3 doesn't follow from S1

Two Sets of functional dependencies are equivalent
 if S1 follows from S2 and S2 follows from S1

INTRODUCTION TO DATABASE

- RELATIONAL DESIGN THEORY
- FUNCTIONAL DEPENDENCY

Review: cross operation

R1 tuple x R2 tuple where attributes are same?

R1: | A | B | and R2: | B | C | produce R: | A | B | C | with 123, 125, 423, 425 entries

1 2	2 3
4 2	2 5

\leftarrow Beware of lossless join!

Relational design by decomposition

$R(a_1, \dots, a_N) ==$

- $R_1(b_1, \dots, b_M)$ and $R_2(c_1, \dots, c_P)$ \leftarrow or $R_1(B)$ and $R_2(C)$
- with $A = B \cup C$ \leftarrow B and C can have attributes in common (keys?)
- $R_1 \text{ cross } R_2 = R$

$R_1 = \text{project}_{\{B\}}(R)$

$R_2 = \text{project}_{\{C\}}(R)$

example:

Student(SSN, sName, address, HScore, HSname, HScity, GPA, priority)

* Decomposition #1

$S_1(\text{SSN}, \text{sName}, \text{addr}, \text{HScore}, \text{GPA}, \text{priority}) \quad \leftarrow R_1$

$S_2(\text{HScore}, \text{HSname}, \text{HScity}) \quad \leftarrow R_2$

* Decomposition #2

$S_1(\text{SSN}, \text{sName}, \text{address}, \text{HScore}, \text{HSname}, \text{HScity})$

$S_2(\text{sName}, \text{HSname}, \text{GPA}, \text{priority})$

\leftarrow Join doesn't work because not unique values

\leftarrow we may have new entries appear !!!

\leftarrow Bad decomposition (because join on not key)

!!! Reassembly should produce the original relation !!!

In Boyce-Codd Normal Form?

In Boyce-Codd Normal Form the LHS of all the FDs contain a key

!!! That key doesn't need to be the declared key in our database!!!

!!! A key is a set of attributes, that given FDs give all the attributes!!!

\leftarrow see closures

example:

Student(SSN, sName, address, HScore, HSname, HScity, GPA, priority)

FD:

$\text{SSN} \Rightarrow \text{sName}, \text{address}, \text{GPA}$

$\text{GPA} \Rightarrow \text{priority}$

$\text{HScore} \Rightarrow \text{HSname}, \text{HScity}$

Closure (key) = { SSN, HScore }

Does every FD have a key on the LHS? No! So no in BCNF !!!

\leftarrow we need to split the R in two !

example:

Apply(SSn, cName, state, date, major)

FD:

- SSN, cName, state \Rightarrow date, major

Ok, in BCNF ! {SSN, cName} is a key!!

Algorithm

input: relation R and FDs for R

output: decomposition of R into BCNF relations with 'lossless join'

Compute keys for R (using FDs)

\leftarrow The FDs are constants (i.e world view)

Repeat until all relations are in BCNF:

Pick any R' with $A \Rightarrow B$ that violates BCNF

Decompose R' into R1(A,B) and R2(A, rest)

\leftarrow split in 2 tables

Compute FDs for R1 and R2

\leftarrow Use closure !!! Not R's FDs!!!

Compute keys for R1 and R2

Reasoning:

$R: | A | B | \text{rest} | = R1: | A | B | \text{ } \bowtie R2: | A | \text{rest} |$

example:

Student(SSN, sName, address, HScore, HSname, HScity, GPA, priority)

FD:

$SSN \Rightarrow sName, address, GPA$

$GPA \Rightarrow priority$

$HScode \Rightarrow HSname, HScity$

First iteration: (start with $HScode \Rightarrow HSname, HScity$)

S1(HScore, HSname, HScity)

\leftarrow S1 BCNF ok !

S2 (SSN, sName, address, HScore, GPA, priority)

\leftarrow no HSname, HScity, but HScore

\leftarrow S2 not in BCNF!

Second iteration: (use $GPA \Rightarrow priority$)

S1

S2 is decomposed as follow

- S3 (GPA, priority)

\leftarrow S3 BCNF ok !

- S4 (SSN, sName, address, HScore, GPA)

\leftarrow S4 not in BCNF !

...

S4 is decomposed as follow

S5 (SSN, sname, addr, GPA)

\leftarrow S5 BCNF ok !

S6 (SSN, HScore)

\leftarrow S6 BCNF ok!

So database schema, use the following relation: S1, S3, S5, S6

!!! There is not one only BCNF decomposition (function of which FD you start with) !!!

INTRODUCTION TO DATABASE

- RELATIONAL DESIGN THEORY

- MULTIVALUED DEPENDENCIES & 4th NORMAL FORM

Relational design by decomposition (Review)

1/ mega relations

2/ system decomposes based on properties \leftarrow a.k.a constraints, world model

- Functional dependencies \rightarrow Boyce-Codd Normal Form

- Multivalued dependencies \rightarrow Fourth Normal Form

3/ final set of relations satisfies normal form

- no anomalies

- no lost information

Example:

Apply(SSN, cName, hobby)

\leftarrow reveal all hobbies to each college

FDs ? No

\leftarrow No FD possible !

Keys? All attributes

\leftarrow key = all attributes !!!

BCNF? Yes

\leftarrow No FD, so yes ! ;-)

Good design? No, ex 1 person applies to 5 colleges and 6 hobbies = 30 tuples

\Rightarrow separation of independent facts = 4th Normal Form

\Rightarrow separate college and hobby

Multivalued dependency

* Based on knowledge in real world

* All instances of relation must adhere

- $R \ A \twoheadrightarrow B$

with A, B set of attributes

\leftarrow A multidetermines B

$\leftarrow a_1, \dots, a_N$ and b_1, \dots, b_M

\leftarrow A multidetermines B

$\forall t_1, t_2 \text{ in } R : t_1[A] = t_2[A],$

then $\exists t_3 \text{ in } R$ such as $t_3[A] = t_1[A]$ and $t_3[B] = t_1[B]$ and $t_3[\text{rest}] = t_2[\text{rest}]$

$\leftarrow t_1, t_2, t_3 : 3 \text{ tuples}$

	A	B	rest	
t1	a	b1	r1	
t2	a	b2	r2	
t3	a	b1	r2	
but also				
t4	a	b2	r1	

\leftarrow SSN, cName, Hobby

\leftarrow B independent from rest

\leftarrow College independent from Hobby

\leftarrow Guaranteed to be there !?!?!?

!!! You need at least 2 tuples in table that starts with the same A, then find different B, and do cross (check C) !!!

$R(A,B,C)$ with multivalued dependency $A \twoheadrightarrow B$,

then **minimum** number of tuple in R is product of :

of \neq values for A * # of \neq values for B * # of different values for C

!!! if $A \twoheadrightarrow B$, then we also have $A \twoheadrightarrow \text{rest}$!!!

Example:

Apply(SSN, cName, hobby)

	SSN	cName	hobby
t1	123	stanford	trumpet
t2	123	berkeley	tennis
t3	123	stanford	tennis
and also			
t4	123	berkeley	trumpet

← reveal hobbies to all college !

Here we have $\text{SSN} \twoheadrightarrow \text{cName}$, but also $\text{SSN} \twoheadrightarrow \text{hobby}$

Modified example:

Apply(SSN, cName, hobby)

(1) but reveal hobbies to colleges selectively

← small detail, big difference !!!

* Multivalued dependencies (MVDs) ? None

* Good design? Yes

Expanded example:

Apply(SSN, cName, date, major, hobby)

(1) Reveal hobbies to college selectively

(2) Apply once to each college (on one day)

← date: date of application to college

←

← $\langle \rangle$ major at $\langle \rangle$ college ok

← $\langle \rangle$ major at a single college

May apply to multiple majors

Assume major are independent from hobby as well!

FD: SSN, cName \Rightarrow date

← SSN, cName fully determine date

← SSN, cName has only one date

← SSN and cName are independent

MVDs : SSN, cName, date \twoheadrightarrow major

← rest = hobby, indep from major

A: SSN, cName, date \twoheadrightarrow B: major

← # of entries: $\#A * \#B * \#C$

Trivial multivalued dependency

$A \twoheadrightarrow B$

if B included in A

or if $A \cup B = \text{all attributes}$

← ??????

← rest is EMPTY

Nontrivial MVD

all other cases ;-)

Rules for Multivalued Dependencies

FD-is-an-MVD rule

if $A \rightarrow B$, then $A \twoheadrightarrow B$

← Very important!

Intersection rule

$A \twoheadrightarrow B$ and $A \twoheadrightarrow C$ then $A \twoheadrightarrow B \cap C$

Transitive rule

$A \twoheadrightarrow B$ and $B \twoheadrightarrow C$ then $A \rightarrow C$ - B

Every rule for MVDs is a rule for FDs
because FD-is-MVD rule!!

Fourth normal Form

Relation R with MVDs is in 4NF if:
for each nontrivial $A \twoheadrightarrow B$, A is a key

Algorithm

input: relation R and FDs for R + MVDs for R

output: decomposition of R into 4NF relations (not BCNF relations) with 'lossless join'

Compute keys for R (using FDs) \leftarrow The FDs are constants (i.e world view)

Repeat until all relations are in 4NF (not BCNF):

Pick any R' with non trivial $A \twoheadrightarrow B$ (not $A \Rightarrow B$) that violates 4NF (not BCNF)

Decompose R' into $R_1(A,B)$ and $R_2(A, \text{rest})$ \leftarrow split in 2 tables

Compute FDs and MVDs (!!) for R_1 and R_2 \leftarrow Use closure !!! Not R 's FDs!!!

Compute keys for R_1 and R_2

Example:

Apply(SSN, cName, hobby)

- SSN \twoheadrightarrow cName

- no keys for R except all attributes

$A_1(\text{SSN}, \text{cName})$

$A_2(\text{SSN}, \text{hobby})$

\leftarrow no FDs and no MVDs so in 4NF

Example 2:

Apply(SSN, cName, date, major, hobby)

- (FD) SSN, cName \Rightarrow date

- (MVD) SSN, cName, date \twoheadrightarrow major

- no keys for R except all attributes

Using MVD, we decompose:

\leftarrow decompose first using MVDs

$A_1(\text{SSN}, \text{cName}, \text{date}, \text{major})$

$A_2(\text{SSN}, \text{cName}, \text{date}, \text{hobby})$

Using FD, we decompose

A_1 becomes

$A_3(\text{SSN}, \text{cName}, \text{date})$

$A_4(\text{SSN}, \text{cName}, \text{major})$

A_2 becomes

$A_5(\text{SSN}, \text{cName}, \text{date})$

$\leftarrow A_3 == A_5 !$

$A_6(\text{SSN}, \text{cName}, \text{hobby})$

So we have decomposed and the 4NF of R is A_3, A_4, A_6

INTRODUCTION TO DATABASE
 - RELATIONAL DESIGN THEORY
 - SHORTCOMING OF BCNF AND 4NF

Review:

BCNF:

Relation R with FDs is in BCNF if
 for each $A \Rightarrow B$, A is a key (or includes a key)

4NF:

Relation R with MVDs is in 4NF if:
 for each nontrivial $A \twoheadrightarrow B$, A is a key

Example:

Apply (SSN, cName, date, major)

- (1) Can apply to each college once for one major
 Student can apply to each college once
 Student can apply to each college for one major
- (2) Colleges have non-overlapping application dates
 Date is unique

FDs: (1) SSN, cName \Rightarrow date, major

(2) date \Rightarrow cName

← Not a key in the LHS, so not BCNF

Keys: SSN, cName

BCNF: No

A1 (date, cName)

A2 (SSN, date, major)

Good design?

A1, A2 in BCNF, but still not necessarily a good design !

To check (1), we will need to do a join of A1 and A2

cName and SSN not in same table !!!!

Example II:

Apply(SSN, HSname, GPA, priority)

- (1) Multiple HS okay
- (2) priority determined from GPA

FDs: (1) SSN \Rightarrow GPA

(2) GPA \Rightarrow priority

(1) + (2) SSN \Rightarrow priority

Keys: SSN, HSname

BCNF? No

S1(SSN, priority)

S2(SSN, HSname, GPA)

S3(SSN, GPA)

S4(SSN, HSname)

Good design?

S1, S3, S4 are BCNF

But priority is not in same table as GPA !

So no, because after decomposition,
 no guarantee dependencies can be checked on decomposed relations
 (require join to check them !)

← Dependency enforcement

Example III

Scores(SSN, sName, SAT, ACT)

(1) Multiple SATs and ACTs allowed

FDs: SSN \Rightarrow sName

no key

MVDs: SSN, sName \Rightarrow SAT

4NF? no

S1(SSN, sName, SAT)

S3(SSN, sName)

S4(SSN, SAT)

S2(SSN, sname, ACT)

S5(SSN, ACT)

Good design?

If every wuery returns name + composite score for SSN, then we need 'joins' all the time!

Use a denormalized table !

← Query workload

Example IV:

colleg(cName, state)

CollegeSize(cName, enrollment)

CollegeScores(cName, avgSAT)

CollegeGRades(cName, avgGPA)

BCNF/4NF? Yes

Good design? Not necessarily.... too decomposed!!

← overdecomposition