

# Recurrent Neural Network(RNN) and LSTM

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#### **Contents**

- Sequential Models
- RNN Introduction
- Back Propagation Through Time
- RNN Model Building
- The problem of Vanishing Gradients
- LSTM models
- LSTM Model building



### Can I have your number....

- Take your smart phone. Open a notepad or new message or mail.
- You need to type "Can I have your number"
- •Type "Can" then start choosing the words from the suggestions made by your smart phone





### What was the model behind text prediction?

Can I
Can I have
Can I have your
Can I have your number

Input	Output	
Can	1	
Can I	have	
Can I have	your	
Can I have your	number	
Sequence of words	next word	



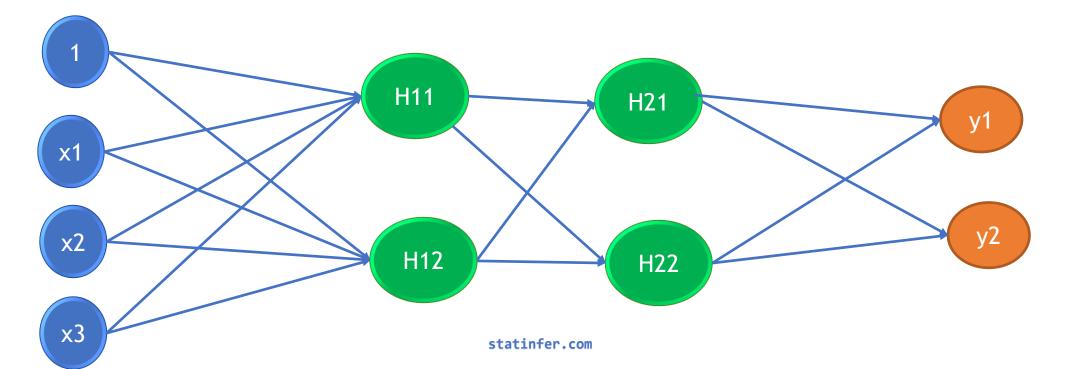
#### A model for sequences

- What model was used for predicting the next word? A sequential model
- Model accepts sequence of inputs and predicts the output/next-item in the sequence.
- Was it ANN model? A universal function approximation model.
- •Or was it CNN model? A model that preserves spatial dependency.
- •Or some other model?



#### **ANN** for sequential data

- •To train this model, we need to supply x1,x2,x3 and y. At all points.
- •In ANN the x1, x2 and x3 are not sequential. i.e x3 doesn't depend on x2 and x2 doesn't depend on x1
- In ANN y ~ x1+x2+x3 is same as y ~ x3+x2+x1





#### **ANN** for sequential data

- ANN doesn't assume any order in input variables.
- •In a sequential model, the order is critical.
- •In a sequential model, the output of previous prediction is input for the next prediction.
- •In ANN, the outputs are independent of each other

Input	Output	Two inputs for
Can		predicting this
Can I	have	



#### ANN is not suitable for sequential models

- ANN is good for predicting independent text. But not for sequential text.
- ANN is best suited for non-sequential data
- ANN might do a good job in predicting next word, given a word(or words)
- We can somehow change the shape of the data, transform it and finally build an ANN. But it is very inefficient.

Input	Output	
Can	1	
Can I	have	
Can I have	your	
Can I have your	number	
Sequence of words	next word	

ANN doesn't work

Input	Output	
Can	I	
I	have	
have	your	
your	number	
One word	next word	

ANN works



#### CNN is not suitable for sequential models

- CNN doesn't look at each word at a time.
- It preserves the spatial dependence. But, CNN doesn't really preserve the sequence.
- Kernel filters in CNN nullify the sequential ordering in the data. CNN doesn't work for sequential data

Input	Output	
Can		
Can I	have	
Can I have	your	
Can I have your	number	
Sequence of words	next word	

Input	Output
I Can have your	number
Your can I have	number
Can your I have	number
Can I have your	number
Words cluster	related word

CNN doesn't work

**CNN** works

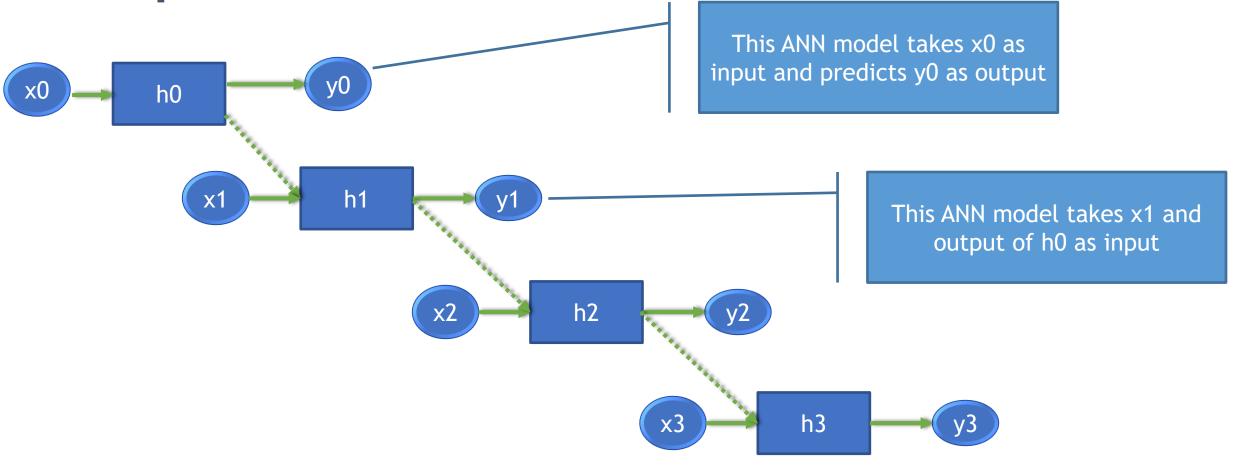


#### Sequential ANNs for Sequential data

- To build a model for sequential data, we need several dependent models in a sequence.
- We may have to build a model for single word prediction and use the output as the input for the next word prediction
- Remember, ANN does a good job for prediction of next word.
- •We can use ANN for predicting the next word. We may have to take the output of ANN and use it as input in the next ANN

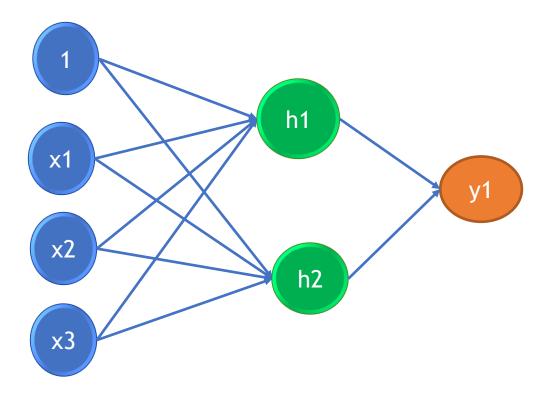


#### **Sequential Models**

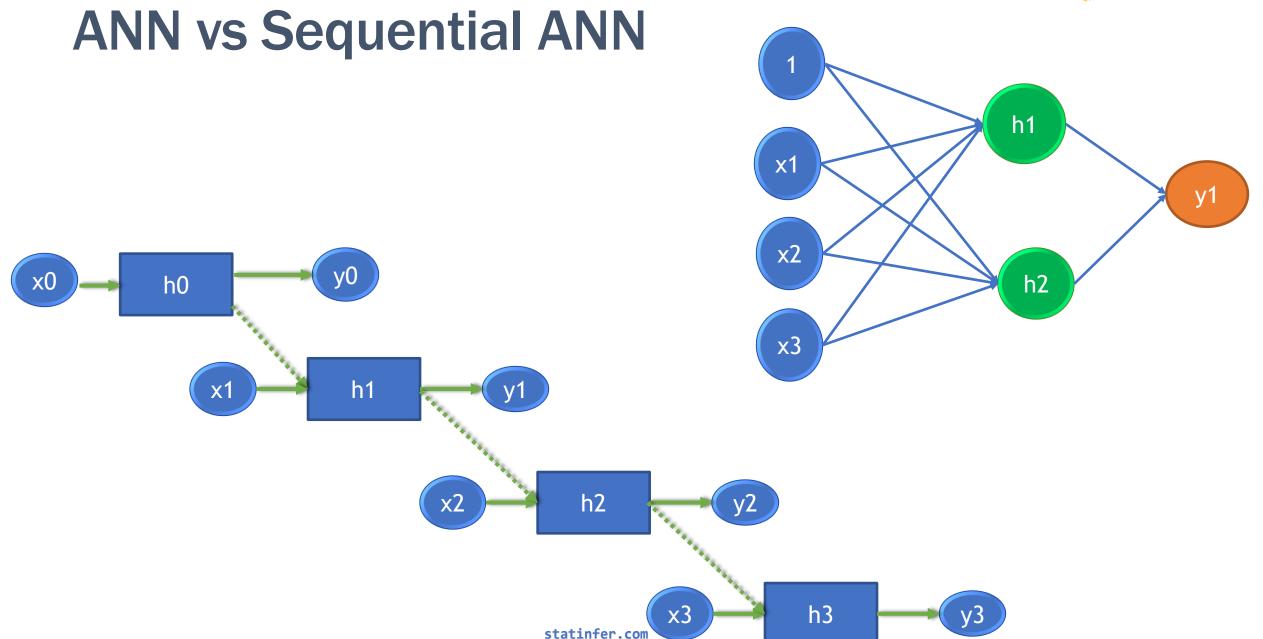




### **ANN**

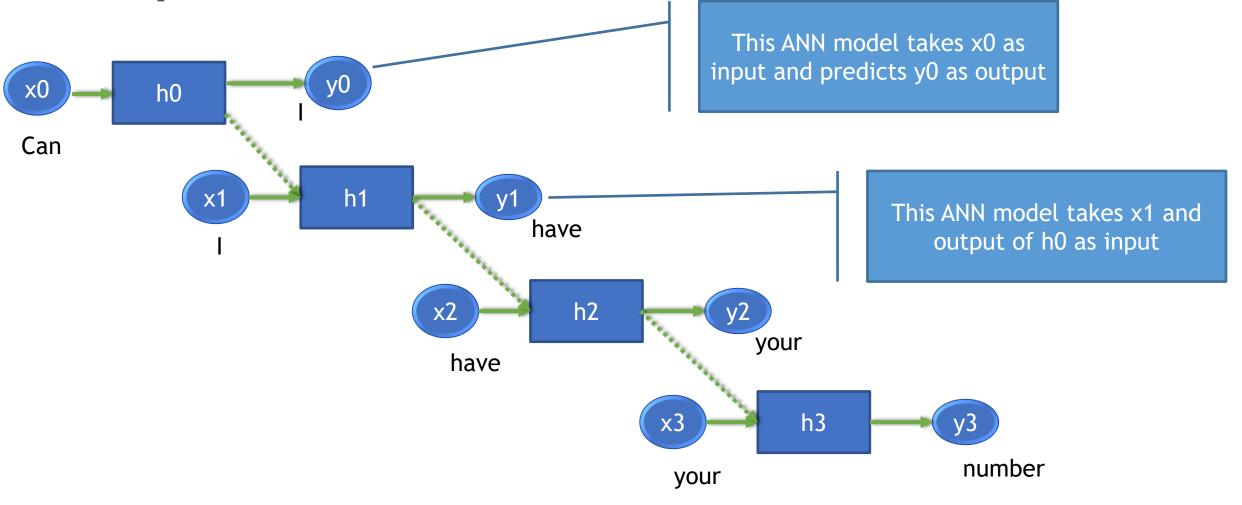






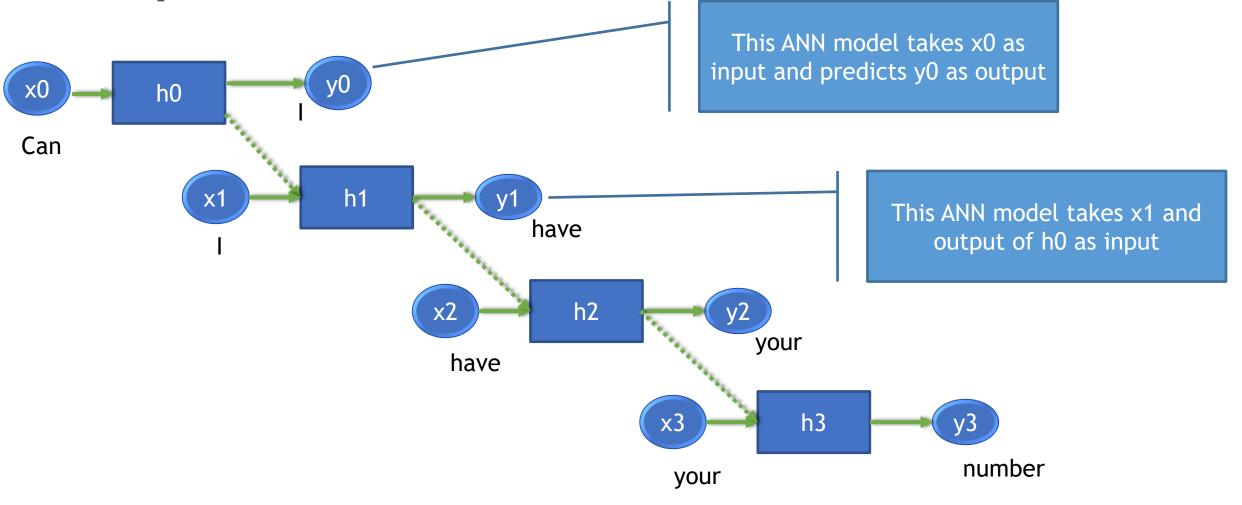


#### **Sequential Models**



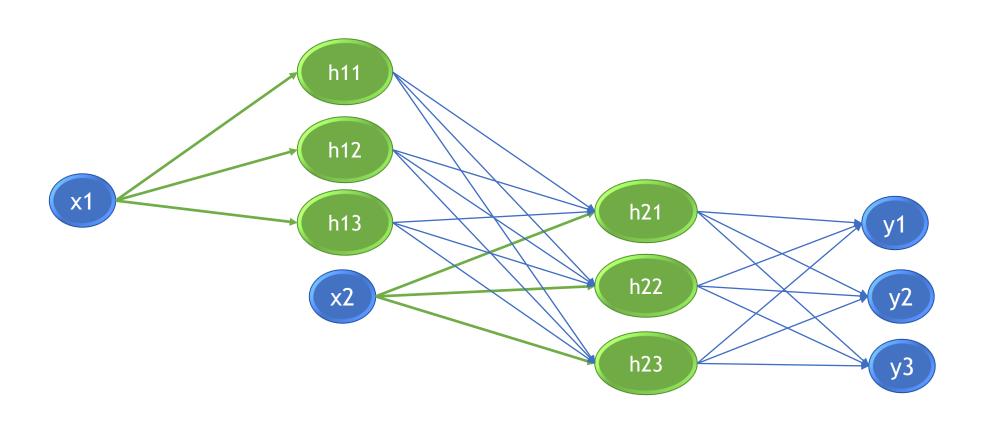


#### **Sequential Models**



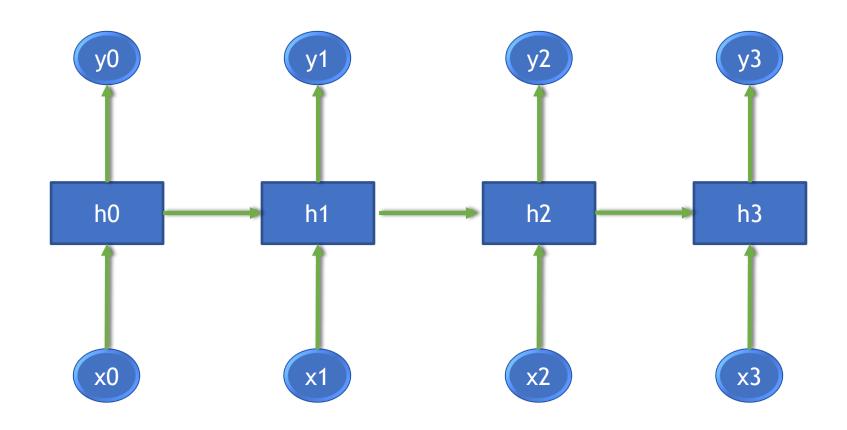


### Sequential Models – two time steps



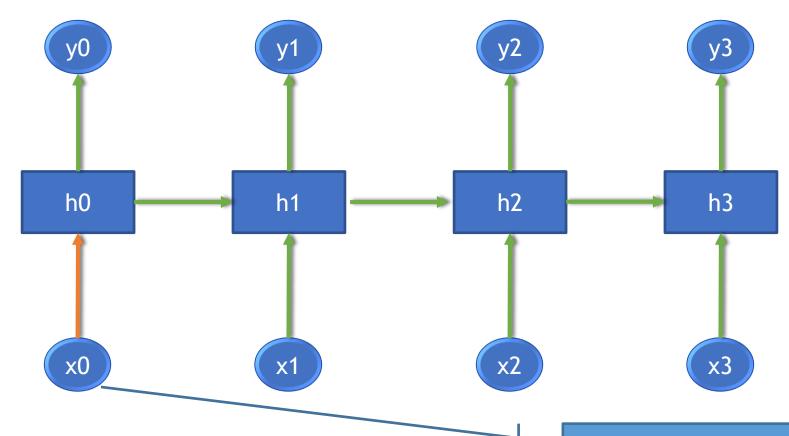


## Sequential Models – Different Representation



## **Sequential Models – Different Representation**



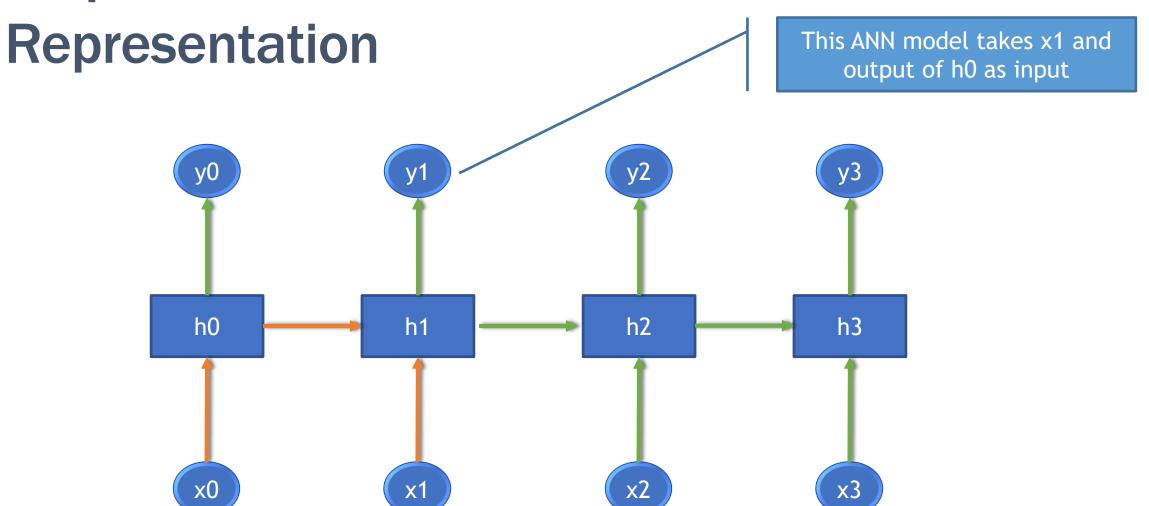


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This ANN model takes x0 as input and predicts y0 as output

#### **Sequential Models - Different**







#### LAB: Manual Sequential Model

- •Imagine that you have just 3 words in every sentence. Given a word you need predict the next word. Given those two words, predict the third word.
- Take 4 gram data as input. Load the data.
- •Model-1 First word  $(x1) \rightarrow$  Second word (x2)
- Model-2 {Hidden layer from model1 (h1) + Second word(x2) }→ Third word



### **LAB: Manual Sequential Model**

- •Model-1: Take first two columns from the data. Build an ANN model to predict the second word, given one word.
- Model-2: Take first three columns from the data. Build an ANN model to predict the third word, given first two words. Take the output of hidden node from the first model as input while predicting the third word
- Get the predictions for below data points
  - Love it
  - Love to



#### **Approach: Manual Sequential Model**

- Download Data
- 2. Create Word to Number dictionary
- 3. Prepare one hot encoding vectors
- 4. Build model -1(m1) by taking word1 as input and word2 as output
- 5. Get m1 hidden layer output (h1)
- 6. Get word2 data
- 7. Append word2 and hidden layer output of previous model
- 8. Build model-2(m2) by taking word2 and h2 as input and word3 as output



```
import pandas as pd
column_names = ['word1', 'word2', 'word3', 'word4']
gram2 = pd.read_csv('Datasets\\love_gram.txt', delimiter='\t', names=column_names)
gram2 = gram2.drop(['word4'], axis=1)
print("Few sample records from data \n", gram2.sample(10))
print("\nFrequency of word1 vlaues \n", gram2["word1"].value_counts())
print("\nFrequency of word2 vlaues \n", gram2["word2"].value_counts())
column
```

Few sample records from data word1 word2 word3 3413 love see 1650 love with each 401 do hated to 4220 love when 1684 love have 33 hate to 290 to think hate 3263 love see 1773 find love 3848 love when

```
Frequency of word1 vlaues
 love
          4327
loved
          416
hate
          400
hated
           80
loves
           24
lovely
           24
loving
hates
Name: word1, dtype: int64
```

Frequency	of word2	vlaues
to	1866	
it	1361	
the	548	
with	240	
him	144	
you	144	
of	136	
her	104	
for	96	
and	88	
what	56	
is	48	
in	40	
each	40	



Finding unique words to create a word dictionary

'to' 'too' 'united' 'use' 'very' 'view' 'watch' 'way' 'we' 'what' 'when'

'wife' 'will' 'with' 'work' 'you' 'your']

```
chars = []
for i in list(gram2.columns.values):
   for j in pd.unique(gram2[i]):
       chars.append(j)
chars = np.unique(chars)
print('Count of unique words overall:', len(chars))
print('unique words list:', chars)
Count of unique words overall: 139
unique words list: ['a' 'able' 'about' 'admit' 'affair' 'affection' 'all' 'and' 'another'
'answer' 'as' 'at' 'be' 'because' 'being' 'better' 'between' 'bother'
'break' 'care' 'cared' 'come' 'concern' 'country' 'cut' 'disappoint' 'do'
'each' 'every' 'fact' 'feel' 'feeling' 'find' 'first' 'for' 'from' 'get'
'go' 'god' 'going' 'got' 'hate' 'hated' 'hates' 'have' 'he' 'hear'
'hearing' 'her' 'here' 'him' 'his' 'husband' 'i' 'idea' 'if' 'in'
'interrupt' 'is' 'it' 'kind' 'know' 'leave' 'letter' 'life' 'like'
 'listen' 'look' 'lost' 'lot' 'love' 'loved' 'lovely' 'loves' 'loving'
 'make' 'makes' 'man' 'marriage' 'me' 'minute' 'more' 'most' 'much'
 'music' 'my' 'nature' 'neighbor' 'not' 'nothing' 'of' 'on' 'one' 'ones'
 'or' 'other' 'over' 'play' 'respect' 'say' 'see' 'sit' 'smell' 'so'
 'someone' 'song' 'sound' 'story' 'stronger' 'support' 'take' 'talk'
 'tell' 'than' 'that' 'the' 'them' 'they' 'think' 'this' 'thought' 'thy'
```

Iterating through each column to find unique words



Creating a word to indices dictionary and reverse

```
char_indices = dict((c, i) for i, c in enumerate(chars))
indices_char = dict((i, c) for i, c in enumerate(chars))

print("char_indices dictionary \n", char_indices)
print("char_indices.keys \n", char_indices.keys())
print("char_indices.values \n", char_indices.values())
print("\n #############################"")
print("indices_char dictionary \n", indices_char)
print("indices_char keys \n", indices_char.keys())
print("indices_char values \n", indices_char.values())
```

har\_indices dictionary

{'aī. 0, 'able': 1, 'about': 2, 'admit': 3, 'affair': 4, 'affection': 5, 'all': 6, 'and': 7, 'another': 8, 'ans wer': 9, 'as': 10, 'at': 11, 'be': 12, 'because': 13, 'being': 14, 'better': 15, 'between': 16, 'bother': 17, 'b reak': 18, 'care': 19, 'cared': 20, 'come': 21, 'concern': 22, 'country': 23, 'cut': 24, 'disappoint': 25, 'do': 26, 'each': 27, 'every': 28, 'fact': 29, 'facel': 30, 'faeling': 31, 'find': 32, 'first': 33, 'for': 34, 'from': 35, 'get': 36, 'got': 36, 'got': 40, 'hate': 41, 'hated': 42, 'hates': 43, 'have': 44, 'h e': 45, 'hear': 46, 'hearring': 47, 'her': 48, 'here': 49, 'him': 50, 'his': 51, 'husband': 52, 'i': 53, 'idea': 54, 'if': 55, 'in': 56, 'interrupt': 57, 'is': 58, 'it': 59, 'kind': 60, 'know': 61, 'leave': 62, 'letter': 63, 'life': 64, 'like': 65, 'listen': 66, 'look': 67, 'lost': 68, 'lot': 69, 'love': 70, 'loved': 71, 'lovely': 72, 'loves': 73, 'loving': 74, 'make': 75, 'makes': 76, 'man': 77, 'marriage': 78, 'me': 79, 'minute': 80, 'more': 81, 'most': 82, 'much': 83, 'music': 84, 'my': 85, 'nsture': 86, 'neighbor': 87, 'not': 88, 'nothing': 89, 'of': 90, 'on': 91, 'cne': 92, 'ones': 93, 'or': 94, 'other': 95, 'over': 96, 'play': 97, 'respect': 98, 'say': 99, 's ee': 100, 'sit': 101, 'small': 102, 'soc': 103, 'somone': 104, 'song': 106, 'stocy': 107, 'stronge r': 108, 'support': 109, 'take': 110, 'talk': 111, 'tell': 112, 'than': 113, 'that': 114, 'the': 115, 'them': 116, 'they': 117, 'think': 118, 'this': 119, 'thought': 120, 'thy': 121, 'to': 122, 'too': 123, 'united': 124, 'us e': 125, 'very': 126, 'view': 127, 'watch': 128, 'way': 129, 'we': 130, 'what': 131, 'when': 132, 'wife': 133, 'will': 134, 'with': 135, 'work': 136, 'you': 137, 'your': 138}

dict\_keys(('a', 'able', 'about', 'admit', 'affair', 'affection', 'all', 'and', 'another', 'answer', 'as', 'at', 'be', 'because', 'being', 'better', 'between', 'bother', 'break', 'care', 'cared', 'come', 'concern', 'country', 'cut', 'disspoint', 'do', 'each', 'every', 'fact', 'feel', 'feeling', 'find', 'first', 'for', 'from', 'get', 'g o', 'god', 'going', 'got', 'hate', 'hated', 'hates', 'have', 'he', 'hear', 'hearing', 'her', 'here', 'him', 'his', 'husband', 'i', 'idea', 'if', 'in', 'interrupt', 'is', 'it', 'kind', 'know', 'leave', 'letter', 'life', 'like', 'listen', 'look', 'loot', 'love', 'loved', 'lovely', 'loves', 'loving', 'make', 'makes', 'man', 'marri age', 'me', 'minute', 'more', 'more', 'more', 'more', 'more', 'more', 'more', 'come', 'low', 'make', 'makes', 'man', 'marri age', 'me', 'or', 'cther', 'cover', 'play', 'respect', 'say', 'see', 'sit', 'smell', 'so', 'someone', 'song', 'sound', 'story', 'stronger', 'support', 'take', 'talk', 'tell', 'than', 'that', 'the', 'them', 'they', 'this', 'thought', 'thy', 'to', 'too', 'united', 'use', 'very', 'view', 'watch', 'way', 'we', 'what', 'when', 'w ife', 'will', 'with', 'work', 'you', 'your'])

dict\_values([0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33, 34, 35, 36, 37, 38, 39, 40, 41, 42, 43, 44, 45, 46, 47, 48, 49, 50, 51, 52, 53, 54, 55, 56, 57, 58, 59, 60, 61, 62, 63, 64, 65, 66, 67, 68, 69, 70, 71, 72, 73, 74, 75, 76, 77, 78, 79, 80, 81, 82, 83, 84, 85, 86, 87, 88, 89, 90, 91, 92, 93, 94, 95, 96, 97, 98, 99, 100, 101, 102, 103, 104, 105, 106, 107, 108, 109, 110, 111, 112, 113, 114, 115, 116, 117, 118, 119, 120, 121, 122, 123, 124, 125, 126, 127, 128, 129, 130, 131, 132, 133, 134, 135, 136, 137, 138])

words to Indices

Indices to words

words to indices and inverse

.ndices\_char dictionary

{0: 'a, 1: 'able', 2: 'about', 3: 'admit', 4: 'affair', 5: 'affection', 6: 'all', 7: 'and', 8: 'another', 9: answer', 10: 'as', 11: 'at', 12: 'be', 13: 'because', 14: 'being', 15: 'better', 16: 'between', 17: 'bother', 18 : 'break', 19: 'care', 20: 'cared', 21: 'come', 22: 'concern', 23: 'country', 24: 'cut', 25: 'disappoint', 26: ' do', 27: 'each', 28: 'every', 29: 'fact', 30: 'feel', 31: 'feeling', 32: 'find', 33: 'first', 34: 'for', 35: 'fr om', 36: 'get', 37: 'go', 38: 'god', 39: 'going', 40: 'got', 41: 'hate', 42: 'hated', 43: 'hates', 44: 'have', 4 5: 'he', 46: 'hear', 47: 'hearing', 48: 'her', 49: 'here', 50: 'him', 51: 'his', 52: 'husband', 53: 'i', 54: 'id ea', 55: 'if', 56: 'in', 57: 'interrupt', 58: 'is', 59: 'it', 60: 'kind', 61: 'know', 62: 'leave', 63: 'letter', 64: 'life', 65: 'like', 66: 'listen', 67: 'look', 68: 'lost', 69: 'lot', 70: 'love', 71: 'loved', 72: 'lovely', 73: 'loves', 74: 'loving', 75: 'make', 76: 'makes', 77: 'man', 78: 'marriage', 79: 'me', 80: 'minute', 81: 'more ', 82: 'most', 83: 'much', 84: 'music', 85: 'my', 86: 'nature', 87: 'neighbor', 88: 'not', 89: 'nothing', 90: 'o f', 91: 'on', 92: 'one', 93: 'ones', 94: 'or', 95: 'other', 96: 'over', 97: 'play', 98: 'respect', 99: 'say', 10 0: 'see', 101: 'sit', 102: 'smell', 103: 'so', 104: 'someone', 105: 'song', 106: 'sound', 107: 'story', 108: 'st ronger', 109: 'support', 110: 'take', 111: 'talk', 112: 'tell', 113: 'than', 114: 'that', 115: 'the', 116: 'them ', 117: 'they', 118: 'think', 119: 'this', 120: 'thought', 121: 'thy', 122: 'to', 123: 'too', 124: 'united', 125 'use', 126: 'very', 127: 'view', 128: 'watch', 129: 'way', 130: 'we', 131: 'what', 132: 'when', 133: 'wife', 1 34: 'will', 135: 'with', 136: 'work', 137: 'you', 138: 'your'}

dict keys ([0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33, 34, 35, 36, 37, 38, 39, 40, 41, 42, 43, 44, 45, 46, 47, 48, 49, 50, 51, 52, 53, 54, 55, 56, 57, 58, 59, 60, 61, 62, 63, 64, 65, 66, 67, 68, 69, 70, 71, 72, 73, 75, 76, 77, 78, 79, 80, 81, 82, 83, 84, 85, 86, 87, 88, 89, 90, 91, 92, 93, 94, 95, 96, 97, 98, 99, 100, 101, 102, 103, 104, 105, 106, 107, 108, 109, 110, 111, 112, 113, 114, 115, 116, 117, 118, 119, 120, 121, 122, 123, 124, 125, 126, 127, 128, 129, 130, 131, 132, 133, 134, 135, 136, 137, 138])

indices\_char values

dict\_values(['a', 'able', 'about', 'admit', 'affair', 'affection', 'all', 'and', 'another', 'answer', 'as', 'at
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y, 'cut', 'disappoint', 'do', 'each', 'every', 'fact', 'feelig', 'find', 'first', 'for', 'from', 'get'
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'on', 'one', 'one', 'or', 'other', 'over', 'play', 'respect', 'say', 'see', 'sit', 'smell', 'so', 'someone', 'so
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ink', 'this', 'thought', 'thy', 'to', 'too', 'united', 'use', 'very', 'view', 'watch', 'way', 'we', 'what', 'whe
n', 'wife', 'will', 'with', 'work', 'your')



```
char indices dictionary
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26, 'each': 27, 'every': 28, 'fact': 29, 'feel': 30, 'feeling': 31, 'find': 32, 'first': 33, 'for': 34, 'from':
35, 'get': 36, 'go': 37, 'god': 38, 'going': 39, 'got': 40, 'hate': 41, 'hated': 42, 'hates': 43, 'have': 44, 'h
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'life': 64, 'like': 65, 'listen': 66, 'look': 67, 'lost': 68, 'lot': 69, 'love': 70, 'loved': 71, 'lovely': 72,
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will': 134, 'with': 135, 'work': 136, 'you': 137, 'your': 138}
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 dict keys(['a', 'able', 'about', 'admit', 'affair', 'affection', 'all', 'and', 'another', 'answer', 'as', 'at',
'be', 'because', 'being', 'better', 'between', 'bother', 'break', 'care', 'cared', 'come', 'concern', 'country'
cut', 'disappoint', 'do', 'each', 'every', 'fact', 'feel', 'feeling', 'find', 'first', 'for', 'from', 'get', 'g'
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'. 'husband'. 'i'. 'idea'. 'if'. 'in'. 'interrupt'. 'is'. 'it'. 'kind'. 'know'. 'leave'. 'letter'. 'life'. 'like
age', 'me', 'minute', 'more', 'most', 'much', 'music', 'my', 'nature', 'neighbor', 'not', 'nothing', 'of', 'on',
'one', 'ones', 'or', 'other', 'over', 'play', 'respect', 'say', 'see', 'sit', 'smell', 'so', 'someone', 'song',
'sound', 'story', 'stronger', 'support', 'take', 'talk', 'tell', 'than', 'that', 'the', 'them', 'they', 'think',
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1, 132, 133, 134, 135, 136, 137, 138])
```

words to Indices



indices char dictionary

Indices to words

```
{0: 'a', 1: 'able', 2: 'about', 3: 'admit', 4: 'affair', 5: 'affection', 6: 'all', 7: 'and', 8: 'another', 9: '
answer', 10: 'as', 11: 'at', 12: 'be', 13: 'because', 14: 'being', 15: 'better', 16: 'between', 17: 'bother', 18
: 'break', 19: 'care', 20: 'cared', 21: 'come', 22: 'concern', 23: 'country', 24: 'cut', 25: 'disappoint', 26: '
do', 27: 'each', 28: 'every', 29: 'fact', 30: 'feel', 31: 'feeling', 32: 'find', 33: 'first', 34: 'for', 35: 'fr
om', 36: 'get', 37: 'go', 38: 'god', 39: 'going', 40: 'got', 41: 'hate', 42: 'hated', 43: 'hates', 44: 'have', 4
5: 'he', 46: 'hear', 47: 'hearing', 48: 'her', 49: 'here', 50: 'him', 51: 'his', 52: 'husband', 53: 'i', 54: 'id
ea', 55: 'if', 56: 'in', 57: 'interrupt', 58: 'is', 59: 'it', 60: 'kind', 61: 'know', 62: 'leave', 63: 'letter',
64: 'life', 65: 'like', 66: 'listen', 67: 'look', 68: 'lost', 69: 'lot', 70: 'love', 71: 'loved', 72: 'lovely',
73: 'loves', 74: 'loving', 75: 'make', 76: 'makes', 77: 'man', 78: 'marriage', 79: 'me', 80: 'minute', 81: 'more
', 82: 'most', 83: 'much', 84: 'music', 85: 'my', 86: 'nature', 87: 'neighbor', 88: 'not', 89: 'nothing', 90: 'o
f', 91: 'on', 92: 'one', 93: 'ones', 94: 'or', 95: 'other', 96: 'over', 97: 'play', 98: 'respect', 99: 'say', 10
0: 'see', 101: 'sit', 102: 'smell', 103: 'so', 104: 'someone', 105: 'song', 106: 'sound', 107: 'story', 108: 'st
ronger', 109: 'support', 110: 'take', 111: 'talk', 112: 'tell', 113: 'than', 114: 'that', 115: 'the', 116: 'them
'. 117: 'thev'. 118: 'think', 119: 'this', 120: 'thought', 121: 'thy', 122: 'to', 123: 'too', 124: 'united', 125
: 'use', 126: 'very', 127: 'view', 128: 'watch', 129: 'way', 130: 'we', 131: 'what', 132: 'when', 133: 'wife', 1
34: 'will', 135: 'with', 136: 'work', 137: 'you', 138: 'your'}
indices char keys
 dict keys([0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27
                              35, 36, 37, 38, 39, 40, 41, 42, 43, 44, 45, 46, 47, 48, 49, 50, 51, 52, 53, 54, 55
, 56, 57, 58, 59, 60, 61, 62, 63, 64, 65, 66, 67, 68, 69, 70, 71, 72, 73, 74, 75, 76, 77, 78, 79, 80, 81, 82, 83
, 84, 85, 86, 87, 88, 89, 90, 91, 92, 93, 94, 95, 96, 97, 98, 99, 100, 101, 102, 103, 104, 105, 106, 107, 108, 1
09, 110, 111, 112, 113, 114, 115, 116, 117, 118, 119, 120, 121, 122, 123, 124, 125, 126, 127, 128, 129, 130, 131
, 132, 133, 134, 135, 136, 137, 138])
indices char values
dict values(['a', 'able', 'about', 'admit', 'affair', 'affection', 'all', 'and', 'another', 'answer', 'as', 'at
                             'better', 'between', 'bother', 'break', 'care', 'cared', 'come', 'concern', 'countr
                                                        'feel',
                                                                'feeling', 'find', 'first', 'for', 'from', 'get'
                               'hate', 'hated', 'hates', 'have', 'he', 'hear', 'hearing', 'her', 'here', 'him',
                       'idea'. 'if'. 'in'. 'interrupt'. 'is'. 'it'. 'kind'. 'know'. 'leave'. 'letter'. 'life'.
                                 'lot', 'love', 'loved', 'lovely', 'loves', 'loving',
                                                   'respect'.
                                                              'say',
                       'stronger', 'support', 'take', 'talk', 'tell', 'than', 'that', 'the', 'them', 'they', 'th
ink', 'this', 'thought', 'thy', 'to', 'too', 'united', 'use', 'very', 'view', 'watch', 'way', 'we', 'what', 'whe
n', 'wife', 'will', 'with', 'work', 'you', 'your'])
```



One Hot encoded representation

```
#Lets take example of two words
print("The word is -->"+gram2['word1'][0])
print("The one hot encoded version of the word is \n", X1[0])

print("\nThe word is --> "+gram2['word1'][500])
print("The one hot encoded version of the word is \n", X1[500])
```

This is how word 'hate' looks like after conversion to indices and then to one-hot encoding

Hate is at index 41, Which is denoted as 1, rest 138 values being zero



Defining our model

Hidden node in layer1 = 10 input shape: X1.shape[1] = 139 Activation function = sigmoid

```
model1 = Sequential()
model1.add(Dense(10, input_dim=X1.shape[1], activation='sigmoid'))
model1.add(Dense(y1.shape[1] ,kernel_initializer="uniform", activation='softmax'))
model1.summary()
```

Layer (type)	Output Shape	Param #
dense_1 (Dense)	(None, 10)	1400
dense_2 (Dense)	(None, 139)	1529

Total params: 2,929

Trainable params: 2,929 Non-trainable params: 0 Output layer nodes = Output shape:y1.shape[1]=139

Activation function = SoftMax for probability of each char



Compiling and training the model

```
model1.compile(loss='binary crossentropy', optimizer='adam', metrics=['accuracy'])
history = model1.fit(X1, y1, epochs=20, batch size=50, verbose=1)
scores = model1.evaluate(X1, y1)
print("%s: %.2f%%" % (model1.metrics names[1], scores[1]*100))
Epoch 13/20
Epoch 14/20
Epoch 15/20
Epoch 16/20
Epoch 17/20
Epoch 18/20
Epoch 19/20
Epoch 20/20
acc: 99.28%
```

Loss function =

'binary\_crossentropy'(for 0,1

kind output)

Optimizer = 'adam'

Scoring matrix = 'Accuracy'

Training for:
20 Epochs
With a batch\_size of 50



[0.8334678 0.83259743 0.8430732 0.8439461 0.85551775 0.84998465

0.8653672 0.85076314 0.86628264 0.8691472 11

• Getting intermediate hidden states from model 1 to be appended to word2

```
model1h = Sequential()
model1h.add(Dense(10, input dim=y1.shape[1], weights=model1.layers[0].get weights()))
model1h.add(Activation('sigmoid'))
                                                                                   Hidden state nodes: 10
# Getting the hidden layer activations
                                                                                Input dim = y1.shape[1]: same
h1 = model1h.predict(X1)
                                                                                   as model1 output shape
#peak into our hidden layer activations
print(h1.shape)
print(h1[:5])
                                                                                Initialized weights for hidden
                                                                                states = output weights from
(5351, 10)
0.85551775 0.84998465
                                                                                           model1
 0.8653672  0.85076314  0.86628264  0.8691472  1
[0.8334678 0.83259743 0.8430732 0.8439461 0.85551775 0.84998465
 0.8653672  0.85076314  0.86628264  0.8691472  1
 [0.8334678 0.83259743 0.8430732 0.8439461 0.85551775 0.84998465
                                                                               Getting the hidden state nodes
 0.8653672  0.85076314  0.86628264  0.8691472  1
                                                                                            values
 [0.8334678 0.83259743 0.8430732 0.8439461 0.85551775 0.84998465
 0.8653672  0.85076314  0.86628264  0.8691472  1
```



• Preparing the data from model2, appending hidden states with word2

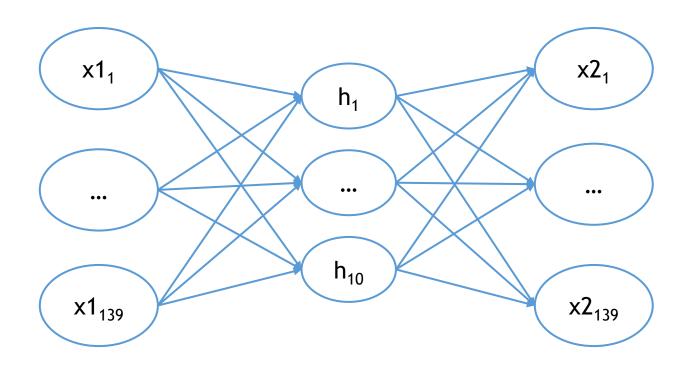
```
X2 2 = gram2['word2'].map(char indices)
X2 2 = keras.utils.to categorical(np.array(X2 2), num classes=len(char indices))
X2 = np.append(h1, X2 2, axis=1)
print (X2.shape)
(5351, 149)
y2 = gram2['word3'].map(char indices)
y2 = keras.utils.to categorical(np.array(y2), num classes=len(char indices))
print (y2.shape)
print(y2[:2])
(5351, 139)
```

Mapping and Onehot encode word2

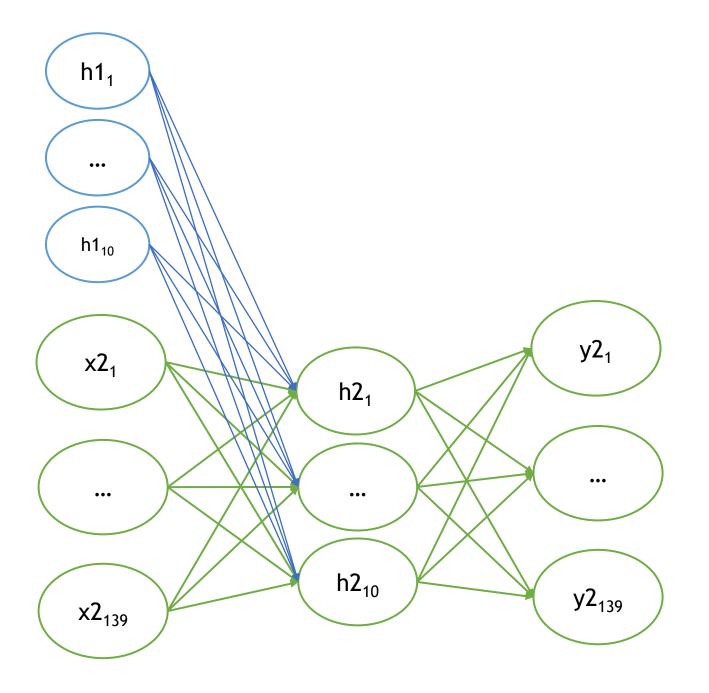
Appending word2 with Hidden states from model1,
This is Input for model2

Mapping and Onehot encode word3, which is Output for model2











#### Defining model2

```
model2 = Sequential()
model2.add(Dense(10, input dim=X2.shape[1], activation='sigmoid'))
model2.add(Dense(y2.shape[1], kernel initializer='uniform', activation='softmax'))
model2.summary()
                             Output Shape
                                                        Param #
Layer (type)
dense 4 (Dense)
                              (None, 10)
                                                         1500
dense 5 (Dense)
                              (None, 139)
                                                        1529
Total params: 3,029
Trainable params: 3,029
Non-trainable params: 0
```

Nodes in layer1 = 10

input shape: X2.shape[1] =
 10(from Hidden
 state)+139(from word2)

Activation function = sigmoid

Output shape: output shape of word3



Compiling and training model2

```
model2.compile(loss='binary crossentropy', optimizer='adam', metrics=['accuracy']
model2.fit(X2, y2, epochs=20, batch size=50, verbose=1)
scores = model2.evaluate(X2, y2)
print("%s: %.2f%%" % (model2.metrics names[1], scores[1]*100))
Epoch 13/20
Epoch 14/20
Epoch 15/20
Epoch 16/20
Epoch 17/20
Epoch 18/20
Epoch 19/20
Epoch 20/20
acc: 99.45%
```

Loss function =

'binary\_crossentropy'(for 0,1

type output)

Optimizer = 'adam'

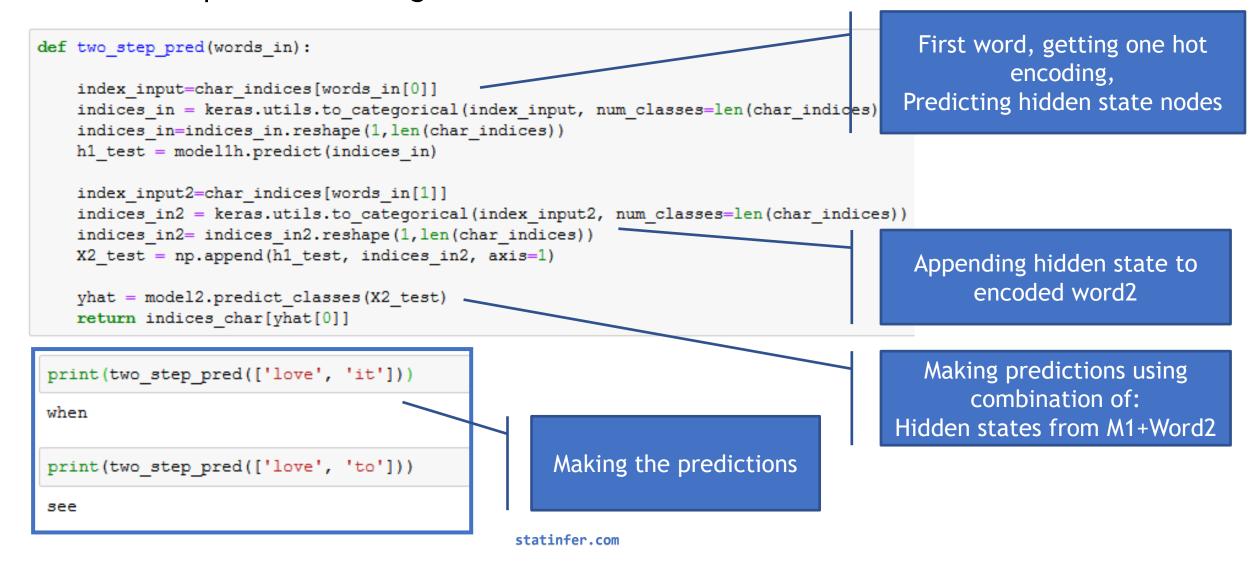
Scoring matrix = 'Accuracy'

Training for:
20 Epochs
With a batch\_size of 50



#### **Code: Manual Sequential Model**

Custom output function to get combined results from model1 and model2





#### The sequential models

- •We manually created two ANNs and combined them.
- •Since we are working with only 3 words, we crated two ANN models.
- •How may ANNs are required if are working senesces having 4 words.
- What if there is no limitation on the number of words.
- •Is there a way to automatically build the sequential models for any variable input size?

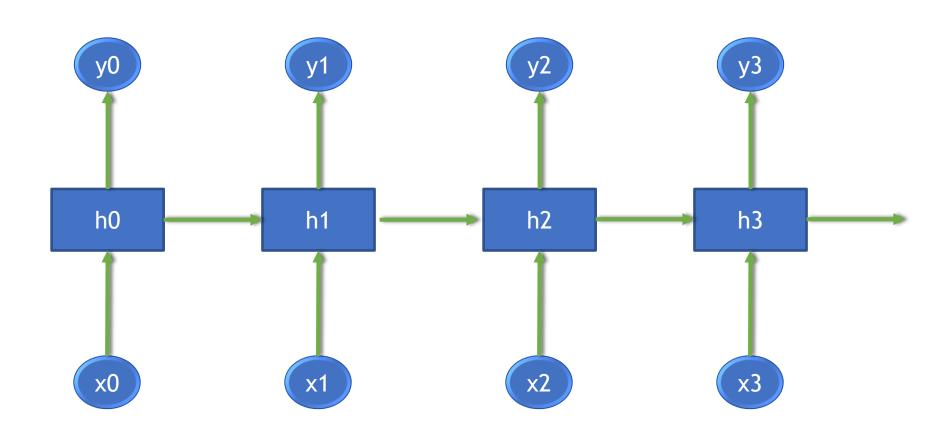


#### RNNs - The (programmed)sequential models

- Recurrent Neural Networks
- RNNs are very similar to the manual sequential model that we built in the previous lab
- RNNs are built for sequential input data
- RNNs will automatically build multiple ANNs in sequence
- RNNs also take care of sequential dependency
- RNNs are ANNs with memory
- •RNN builds multiple ANN models sequentially and connect the ANN at time 't' with ANN at time 't+1'

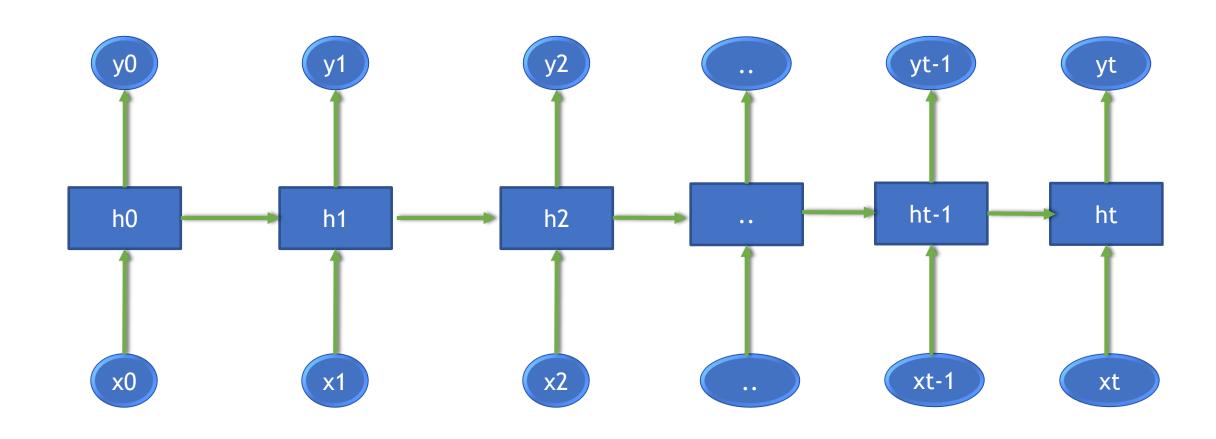


#### **RNN Architecture**





## RNN – Layered ANN's over time



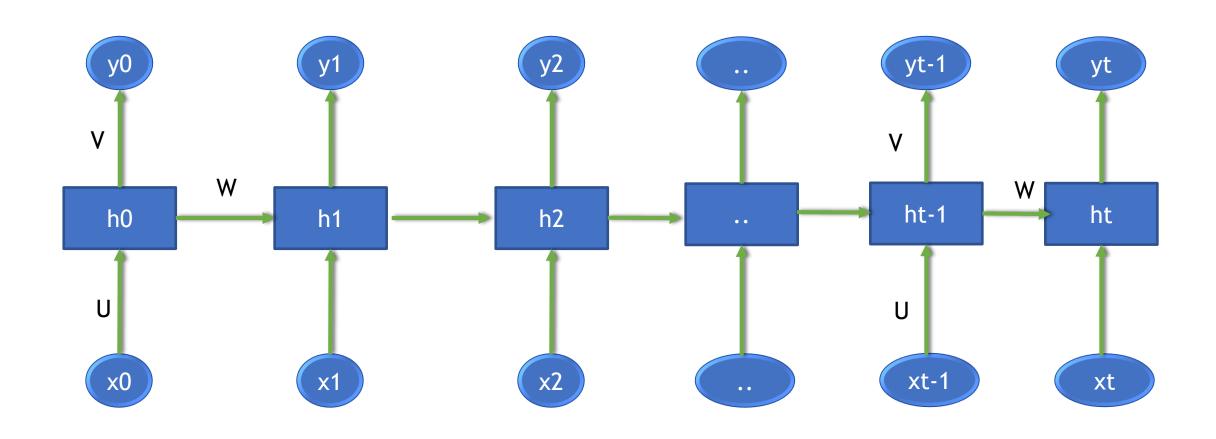


## RNN – Layered ANN's over time

- •At every time point 't', RNN is taking input xt and output from previous hidden state ht-1
- There are three different weights that we need to calculate
- Weights going from xt to ht (Ut)
- Weights going from ht to yt (Vt)
- Weights going from ht-1 to ht(Wt)
- •Remember ...ANN uses back propagation to find its weights. RNN uses BPTT (back propagation through time) to find all these weights(U,V,W) automatically

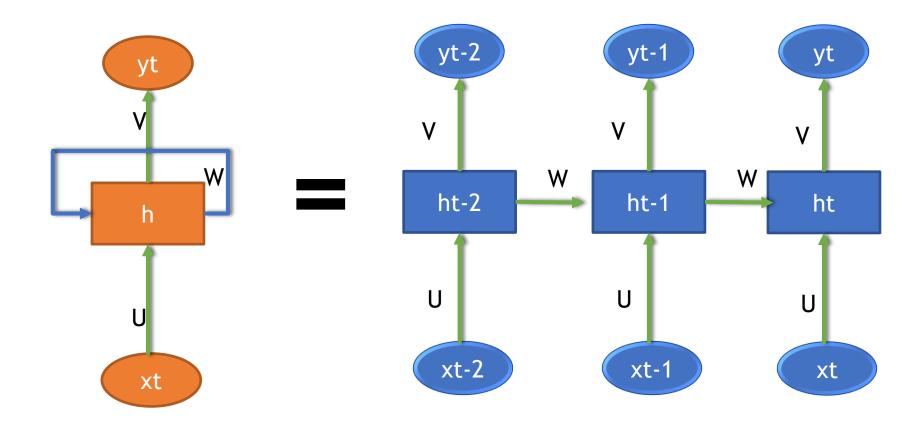


#### **RNN Architecture**



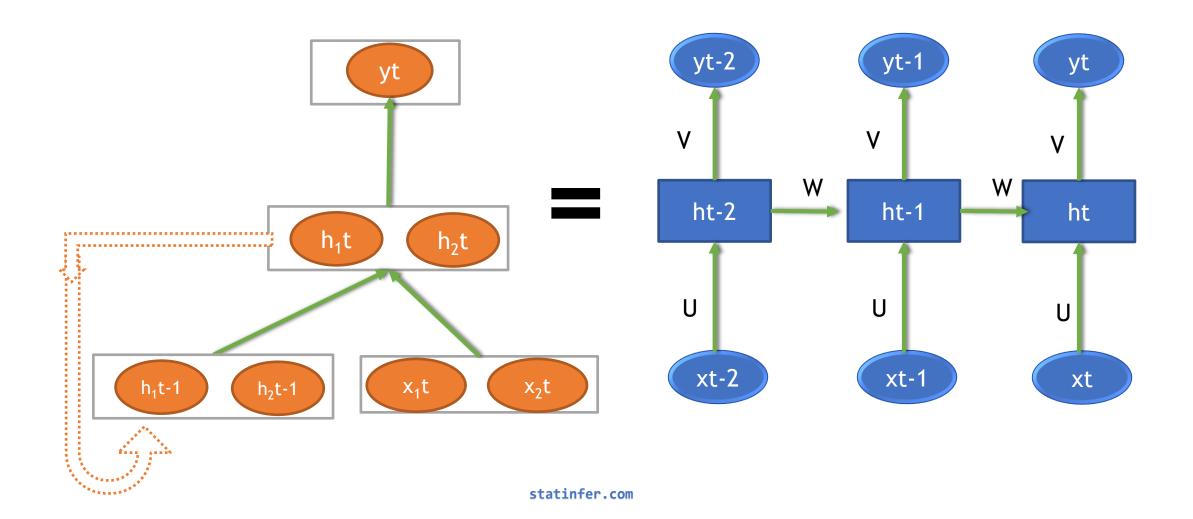


# Many ways to visualize RNN models

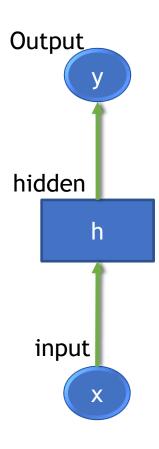




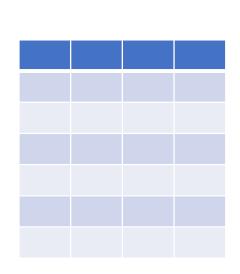
#### Many ways to visualize RNN models

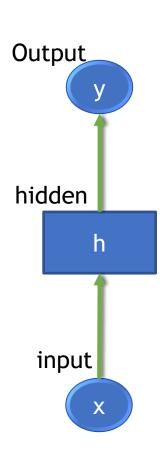




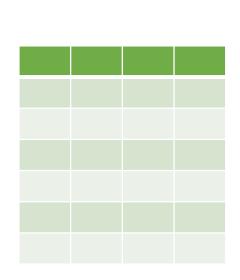


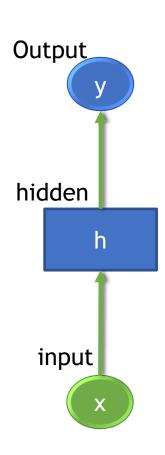




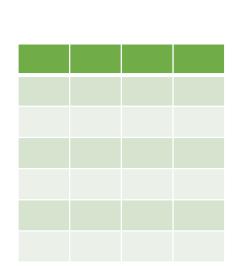


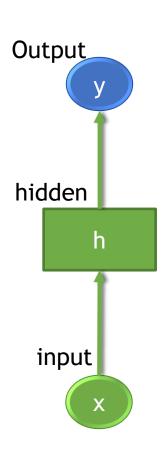




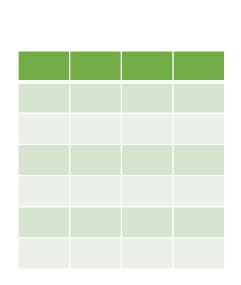


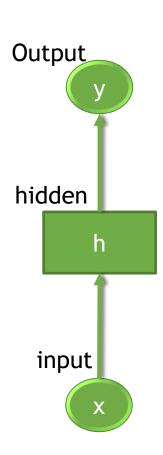




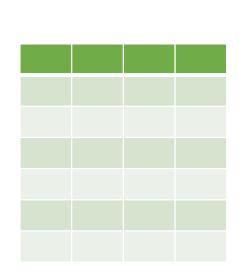


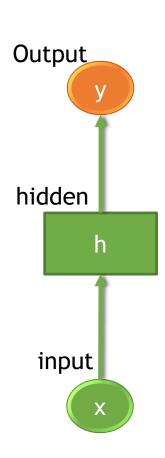






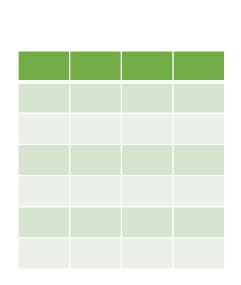


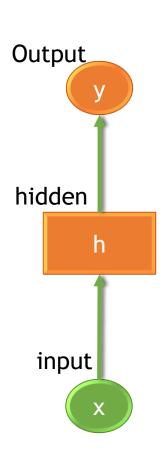




Calculate error at output layer and propagate it backwords.

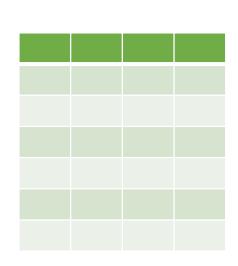


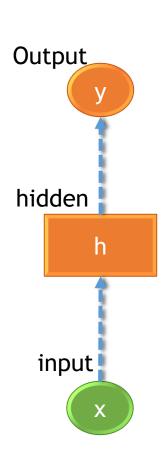




Calculate error at output layer and propagate it backwords.

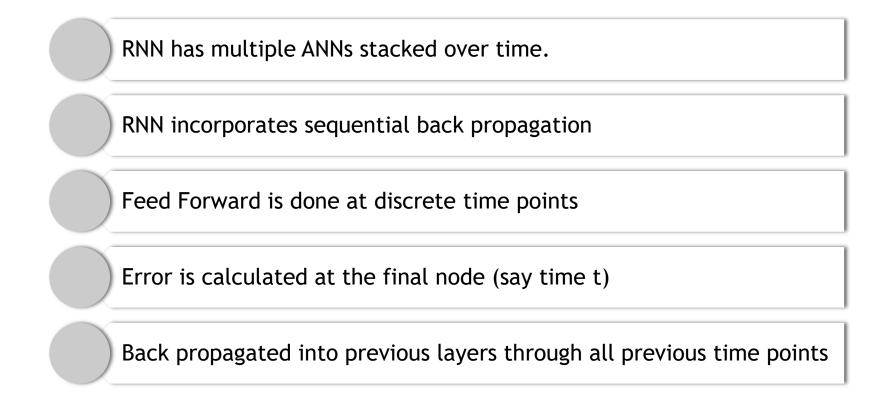






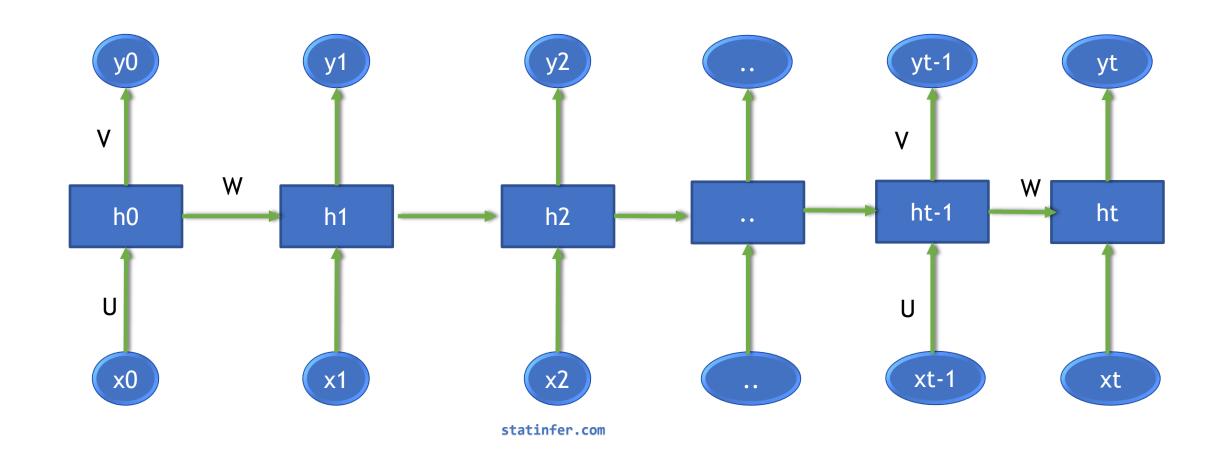
Weight corrections to reduce the error



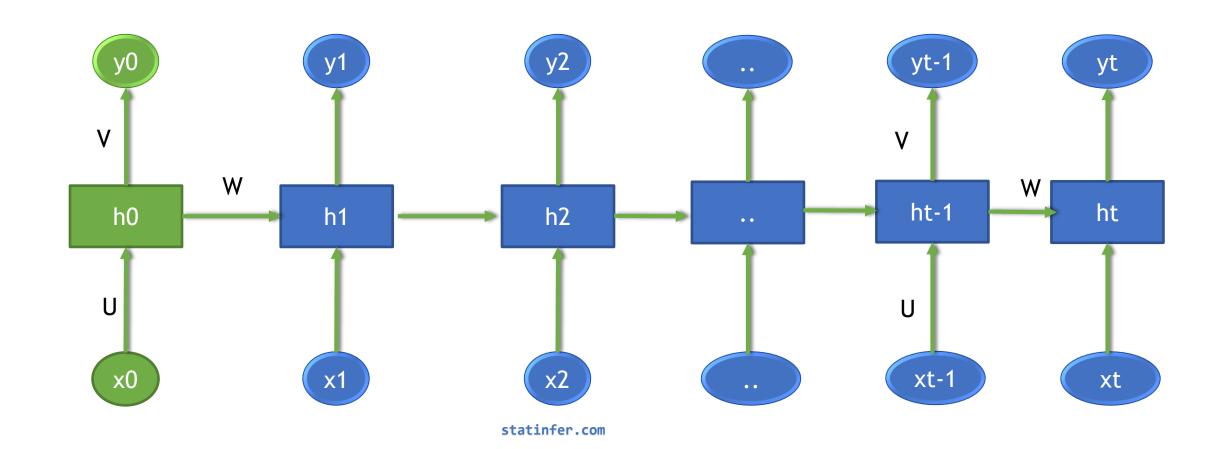


This algorithm is known as Back Propagation Through Time

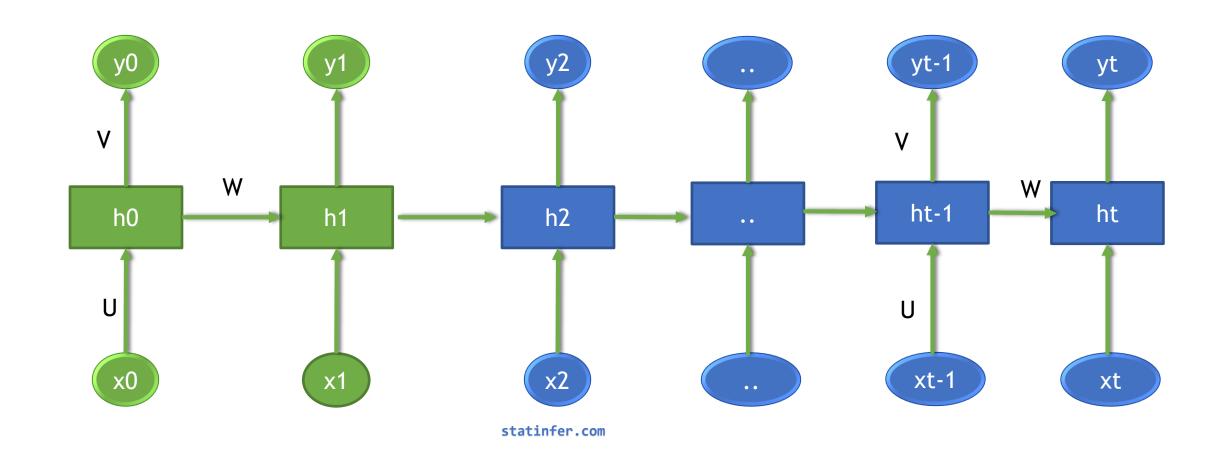




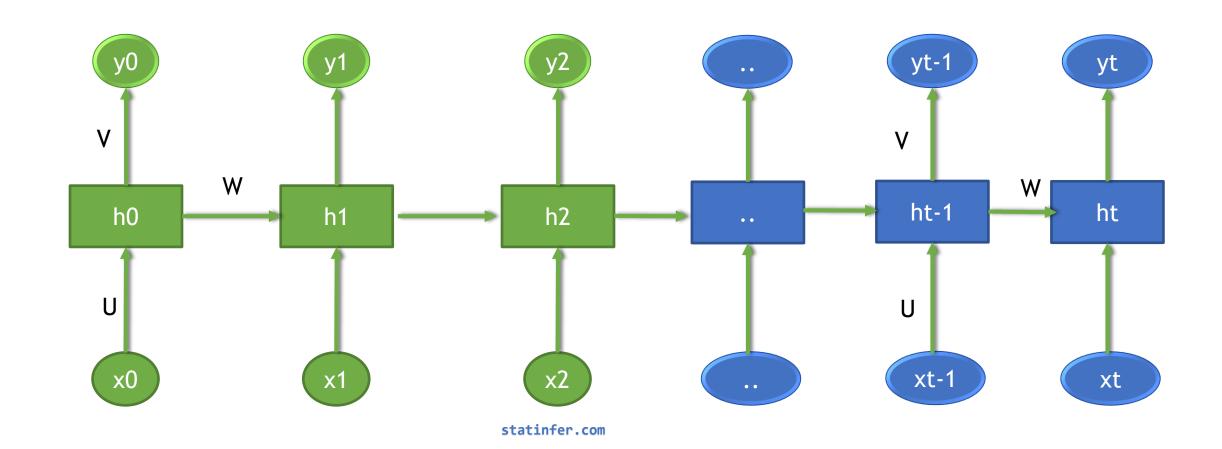




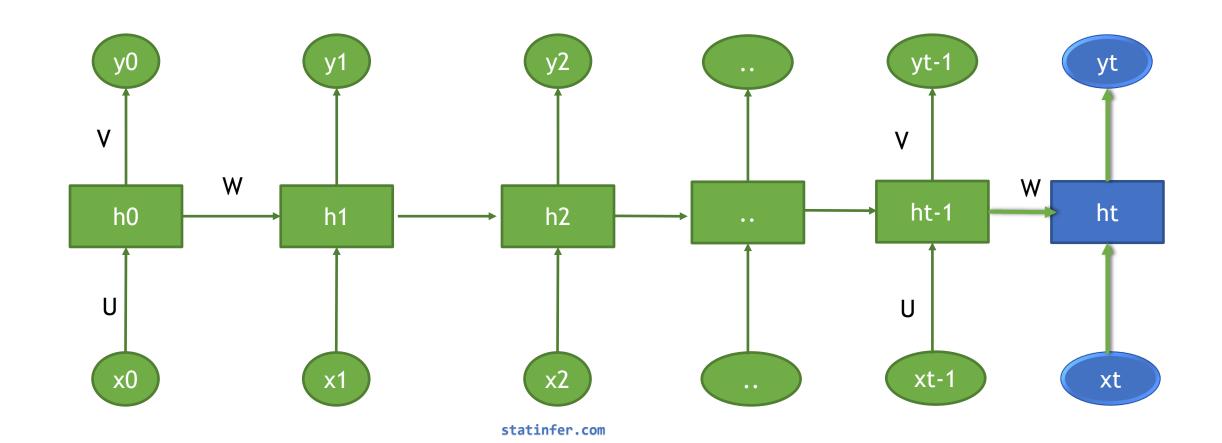




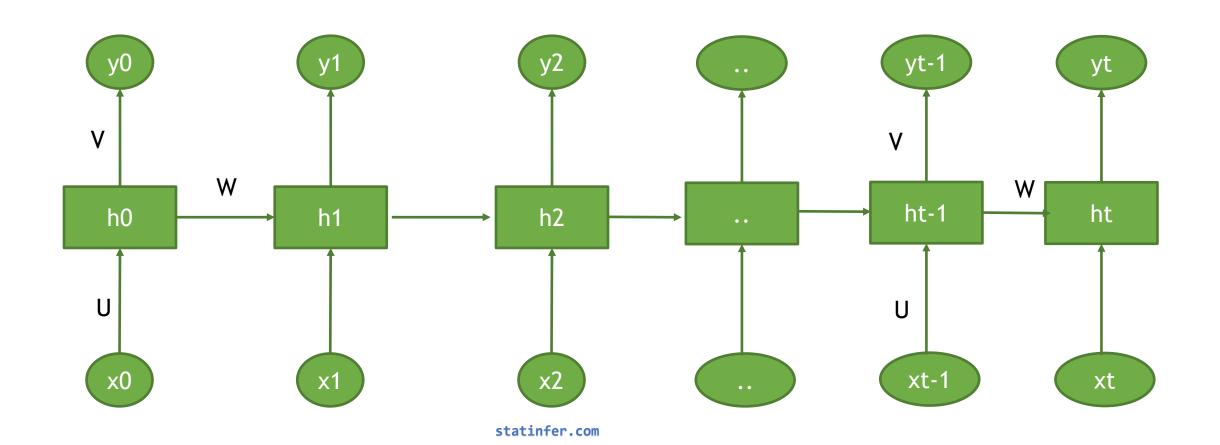






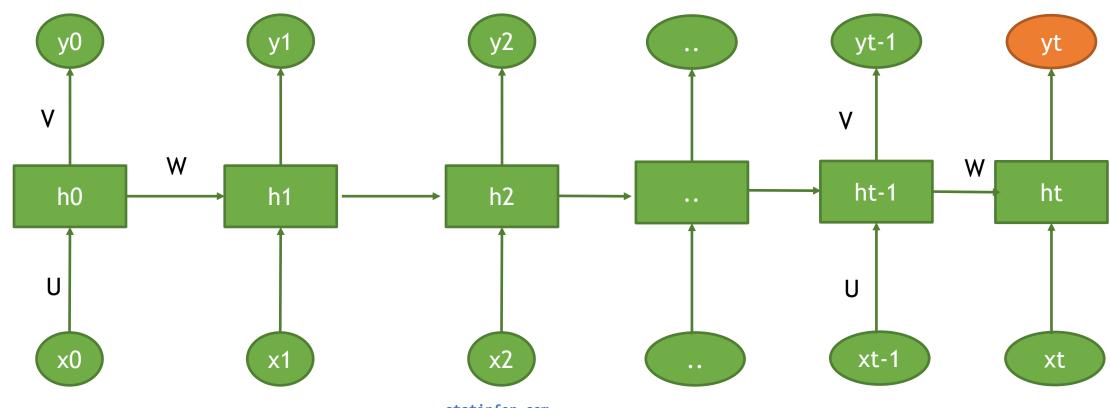






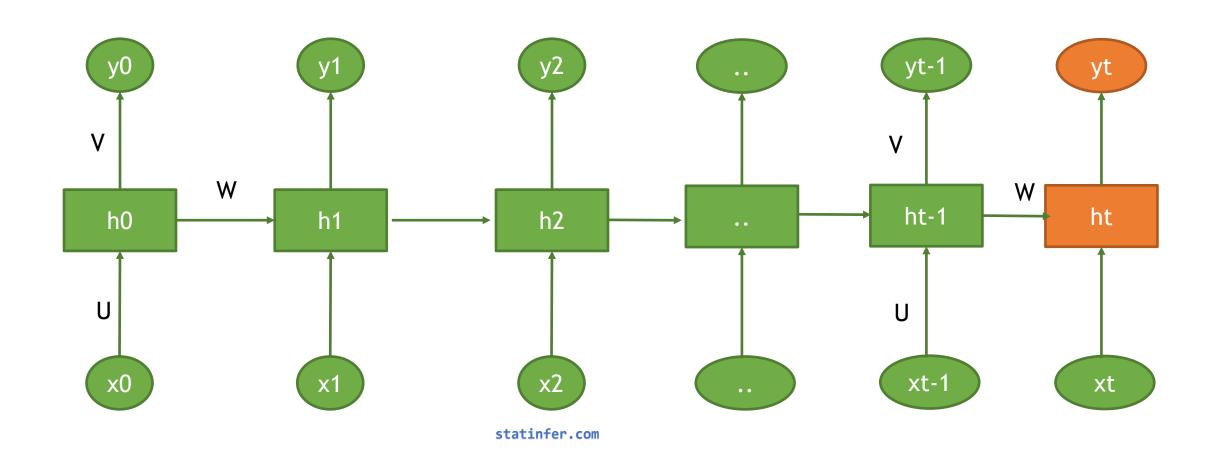


Calculate error at output layer and propagate it backwords.



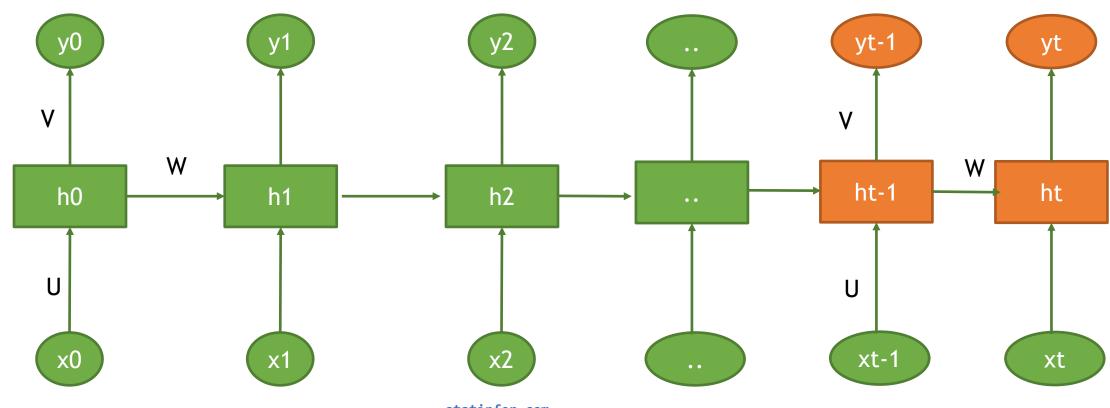


Calculate error at output layer and propagate it backwords.



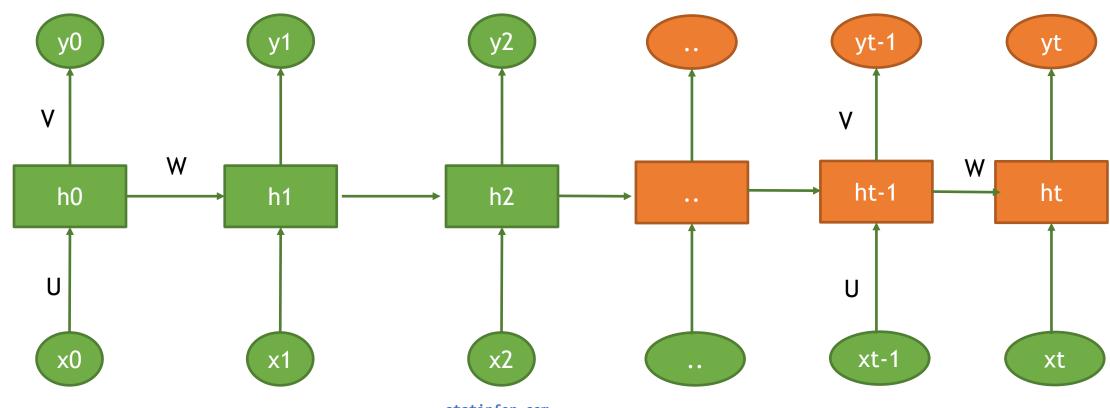


Calculate error at output layer and propagate it backwords.



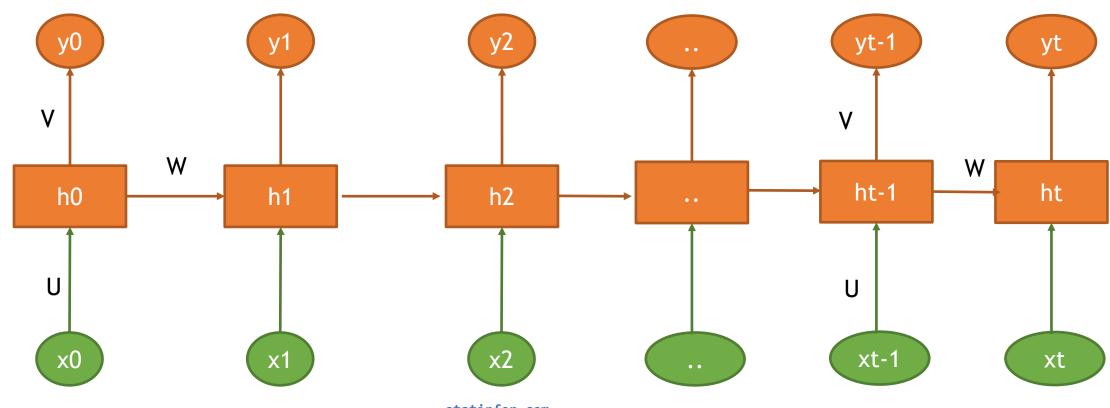


Calculate error at output layer and propagate it backwords.



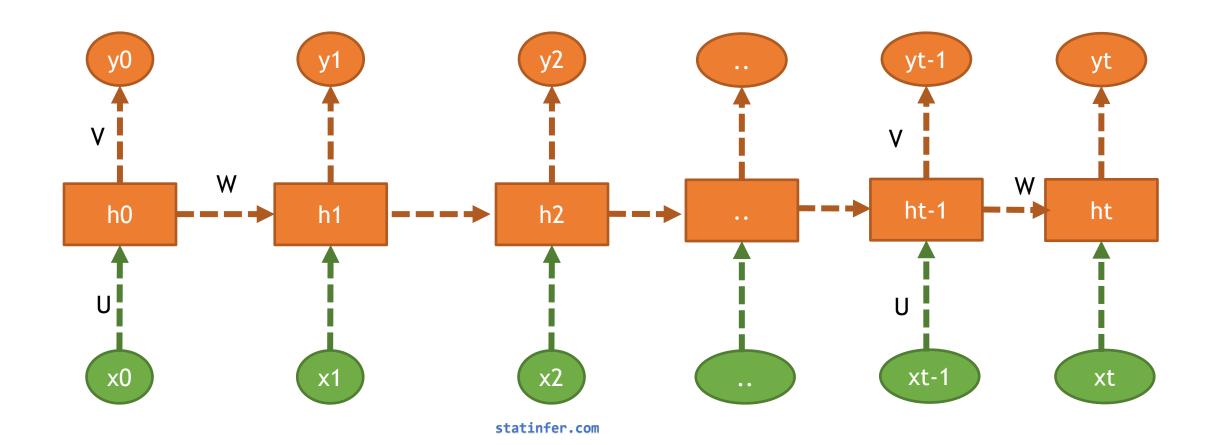


Calculate error at output layer and propagate it backwords.





Finally, weight corrections to reduce the error





#### **Building RNN Models in Keras**

- We have to mention time stamps
- Number of hidden nodes at each time stamp



#### LAB: RNN for word prediction

- Take Love gram data as input. Load the data. Build RNN model
- Generate text starting with below words
  - Love to
  - Love the
  - Love it



- Preparing the data
  - X3= [word1, word2]; y3= word3
  - Mapping and encoding X3 and y3

```
X3 = gram2[['word1','word2']]
for i in list(X3.columns.values):
    X3[i] = X3[i].map(char_indices)

X3=np.array(X3)
X3=np.reshape(X3,(X3.shape[0],2,1))
X3 = keras.utils.to_categorical(np.array(X3), num_classes=len(char_indices))
print(X3.shape)

Y3 = gram2['word3'].map(char_indices)
y3 = keras.utils.to_categorical(np.array(y3), num_classes=len(char_indices))
print(y3.shape)
Creating array of X3
```



- This is how an observation of encoded X3 looks like:
  - 0<sup>th</sup> observation

```
X3[0]
0., 0., 0., 0., 0., 0., 0., 0., 1., 0., 0., 0., 0., 0.,
           Word1
Word2
0., 0., 0., 0., 0., 0., 0., 0., 0., 1., 0., 0., 0., 0.,
```



- Defining our SimpleRNN model
  - SimpleRNN('number of hidden nodes in each rnn cell', input\_shape=(timesteps, input\_data\_dim))

```
model3 = Sequential()
model3.add(SimpleRNN(30, input shape=(X3.shape[1],X3.shape[2])))
model3.add(Dense(len(char indices)))
model3.add(Activation('softmax'))
model3.summary()
                              Output Shape
                                                         Raram #
Layer (type)
simple rnn 1 (SimpleRNN)
                              (None, 30)
                                                         5100
dense 6 (Dense)
                              (None, 139)
                                                         4309
activation 2 (Activation)
                              (None, 139)
```

```
Number of hidden nodes in each RNN cell = 30
```

Time steps/length of sequence = X3.shape[1] = 2

Dimension of each variable: X3.shape[2] = 139

Output layer dim: size of y= 139(same as number of words)

Total params: 9,409 Trainable params: 9,409 Non-trainable params: 0



- Enabeling checkpoints, compiling and training the model
  - filepath: where weights will be saved(make sure the path exists)
  - Monitor: evaluation matrix
  - Mode: min, max, auto; to decide whats best for the evaluation matrix. Eg:
    - Accuracy: we need 'max'
    - Error: we need 'min'
  - Save\_best\_only: to save weights only for the epoch with best monitor value

\* Please install h5py using !conda install h5py or !pip install h5py

H5py helps us save weights of model in hdf5 format

Passing checkpoints to callbacks list



### **Code: RNN for word prediction**

Enabling checkpoints, compiling and training the model

```
Callbacks to make model
# compile network
model3.compile(loss='categorical crossentropy', optimizer='adam', metrics=['accuracy'])
                                                               save the epochs according
# fit network
                                                                 to our configuration
model3.fit(X3, y3, epochs=20, verbose=1, validation data=(X3, y3), callbacks=callbacks list)
Epoch 00017: val acc improved from 0.66511 to 0.66660, saving model to Datasets\Other\Weights trained.hdf5
Epoch 18/20
666
Epoch 00018: val acc did not improve from 0.66660
Epoch 19/20
666
Epoch 00019: val acc did not improve from 0.66660
Epoch 20/20
666
Epoch 00020: val acc did not improve from 0.66660
<keras.callbacks.History at 0xe3616a0>
```



### **Code: RNN for word prediction**

Loading saved model weights and running for a few more epochs

```
weightsfile= "datasets\\other\\Weights trained.hdf5"
model3.load weights(weightsfile)
# compile network
model3.compile(loss='categorical crossentropy', optimizer='adam', metrics=['accuracy'])
# fit network
model3.fit(X3, y3, epochs=10, verbose=1, validation data=(X3, y3))
EDOCH J/IV
666
Epoch 6/10
66
Epoch 7/10
666
Epoch 8/10
666
Epoch 9/10
681
Epoch 10/10
<keras.callbacks.History at 0x121b5eb8>
```

Load saved weights to model

Train the model for 10 more epochs



# **Code:** RNN for word prediction

Writing a custom prediction function and making predictions

```
Map test text into
def rnn word pred(in text):
                                                                                char_to_indices, then
    print("Input is - " , in text)
                                                                                   Onehot-encode
    encoded = [char indices[i] for i in in text]
    encoded = np.array(encoded).reshape(1,2,1)
    encoded =keras.utils.to categorical(np.array(encoded), num classes=len(char indices))
    yhat = model3.predict classes(encoded, verbose=0)[0]—
                                                                             Make prediction by passing
    print("Output is --> " ,indices char[yhat])
                                                                                   through model
rnn word pred(["love", "the"])
rnn word pred(["love", "it"])
rnn word pred(["love", "to"])
                                                                              Making some predictions
Input is - ['love', 'the']
Output is --> way
Input is - ['love', 'it']
Output is --> when
Input is - ['love', 'to']
Output is --> see
```



### RNN - Issues

<u>Her</u> heart was heavy because it was open, and so things filled it, and so things rushed out of it, but still the heart kept beating, tough and frighteningly powerful and meaning to shrug off the rest of <u>her</u> and continue on its own

My heart was heavy because it was open, and so things filled it, and so things rushed out of it, but still the heart kept beating, tough and frighteningly powerful and meaning to shrug off the rest of me and continue on its own

His heart was heavy because it was open, and so things filled it, and so things rushed out of it, but still the heart kept beating, tough and frighteningly powerful and meaning to shrug off the rest of <a href="https://doi.org/10.2016/journal.com/">https://doi.org/10.2016/journal.com/</a> and continue on its own

- "her" in the last line depends on "Her" in the beginning.
- If the sentence starts with "My" then it will end up with "me"
- Standard RNNs fail to train such long sequences



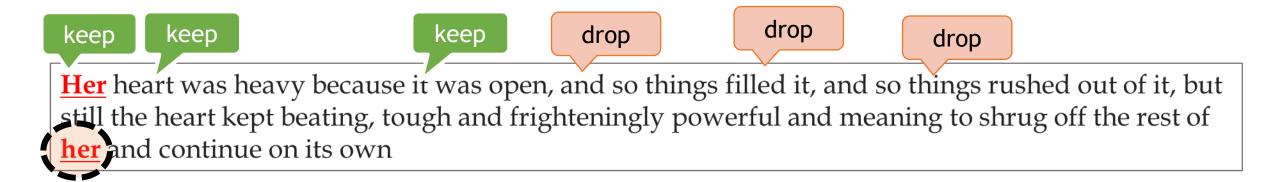
# Standard RNNs can't learn long sequences

- •In real world applications, RNNs trained with BPTT have difficulties in learning long-term dependencies.
- •RNN in theory Should learn very long sequences
- •RNN in practise limited to looking back at only a few steps.



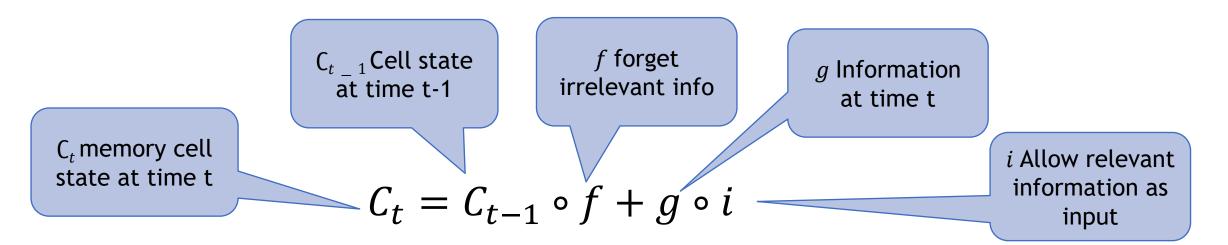
### LSTM - main idea

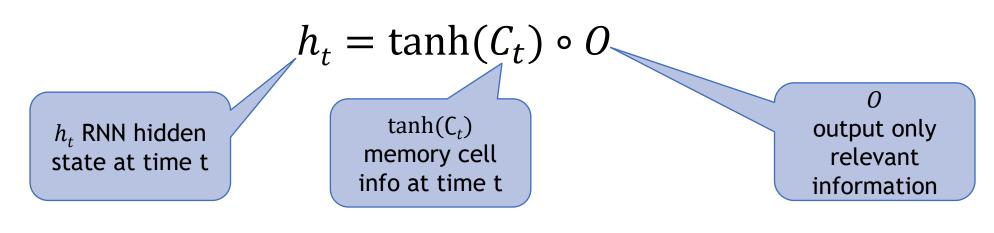
- •In standard RNNs every hidden unit and input from time stamp is given importance. This may not be necessary in every scenario
  - We may want to ignore (forget) few intermediate inputs
  - · We may want to keep some specific information for long interval
- In the below example, to predict last few words, we may need only few key words from beginning





### **LSTM - Calculations**







### **LSTM - Calculations**

 $x_t$  input at time t

Input gate

$$i = \sigma(x_t U^i + h_{t-1} W^i)$$

Forget gate

$$f = \sigma(x_t U^f + h_{t-1} W^f)$$

 $h_{t-1}$  hidden state at time t-1

Output gate

$$o = \sigma(x_t U^o + h_{t-1} W^o)$$

$$g = tanh(x_t U^g + h_{t-1} W^g)$$

actual input at time t

$$C_t = C_{t-1} \circ f + g \circ i$$

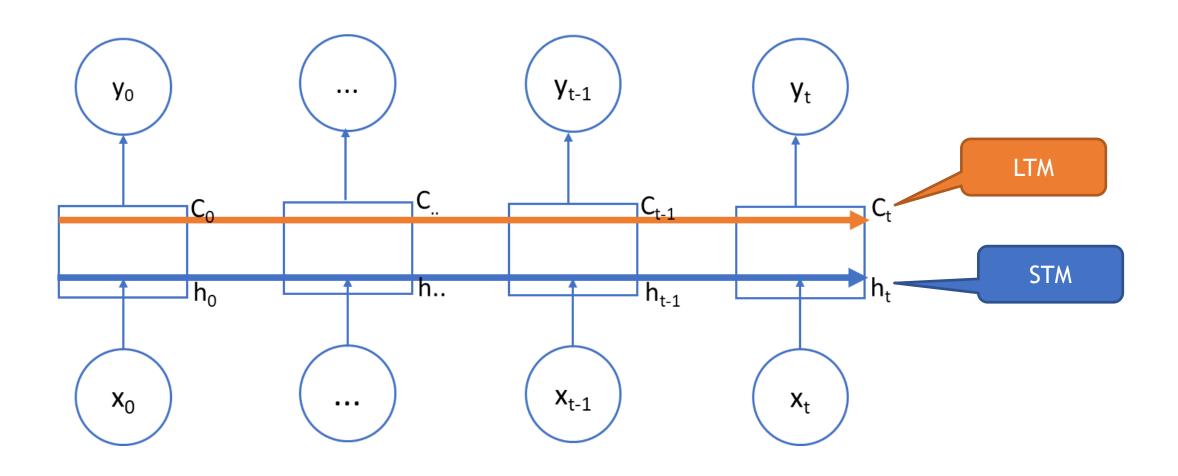
C<sub>t</sub> memory cell state at time t

$$h_t = \tanh(C_t) \circ O$$

 $h_t$  RNN hidden state at time t



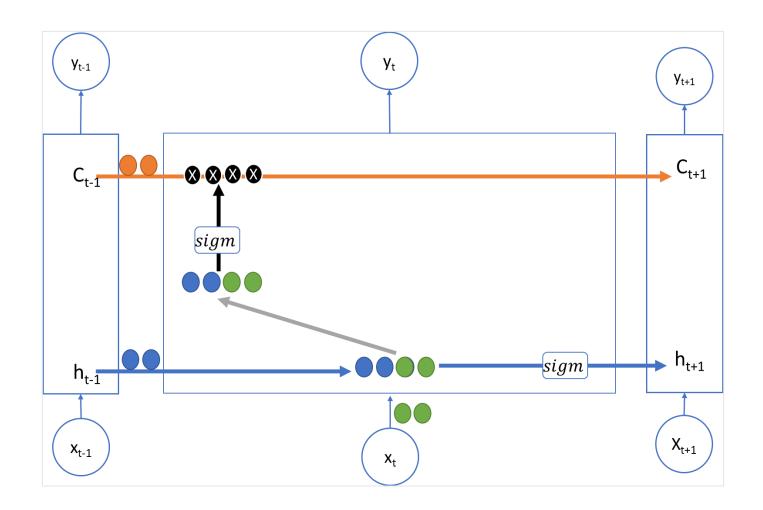
### LSTM - main idea





# Forget Gate -

#### To erase or retain information from cell state



$$f = \sigma(x_t U^f + h_{t-1} W^f)$$
 Weights associated with forget gate

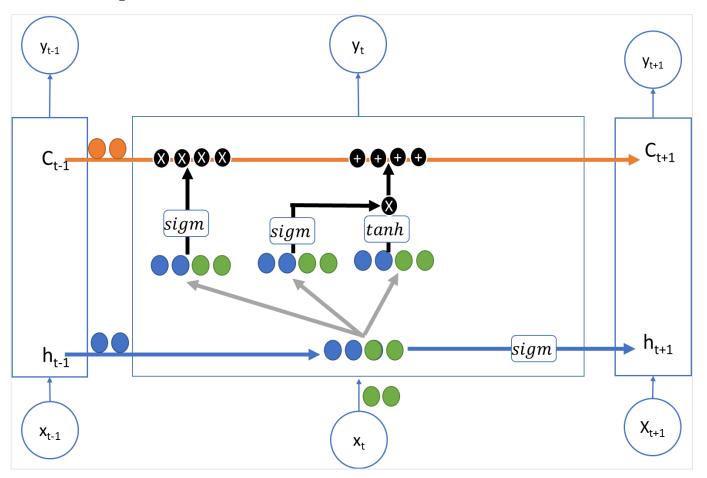
$$C_t = C_{t-1} \circ f$$
  
Updating the cell state

$$h_t = \sigma(x_t U + h_{t-1} W)$$
  
Regular Weights U and W

# Input gate

#### **√** stat*i*nfer

### To input information into cell state



$$f = \sigma(x_t U^f + h_{t-1} W^f)$$
 Weights associated with forget gate

$$C_t = C_{t-1} \circ f$$
  
Updating the cell state

$$i = \sigma(x_t U^i + h_{t-1} W^i)$$
Together associated with the input of

Weights associated with the input gate

$$g = tanh(x_tU^g + h_{t-1}W^g)$$
  
Weights associated with current input updater

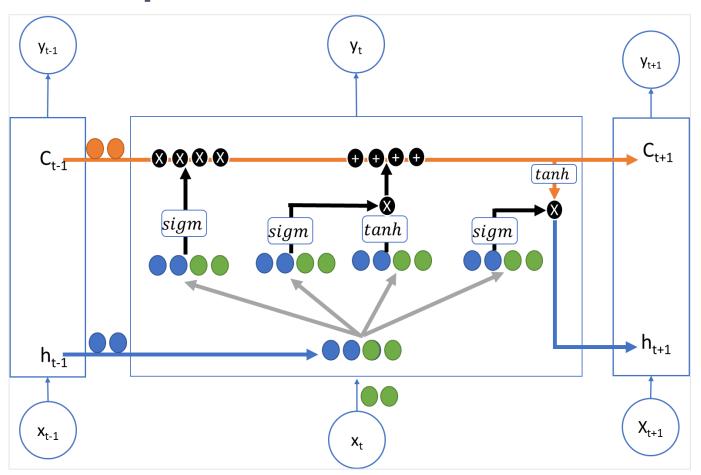
$$C_t = C_{t-1} \circ f + g \circ i$$
 Write current input into cell state

$$h_t = \sigma(x_t U + h_{t-1} W)$$
  
Regular Weights U and W

# **Output** gate

#### **√** stat*i*nfer

### To output relevant information from cell state



$$f = \sigma(x_t U^f + h_{t-1} W^f)$$
  
Weights associated with forget gate

$$C_t = C_{t-1} \circ f$$
  
Updating the cell state

$$i = \sigma(x_t U^i + h_{t-1} W^i)$$

Weights associated with the input gate

$$g = tanh(x_t U^g + h_{t-1} W^g)$$

Weights associated with current information updater

$$C_t = C_{t-1} \circ f + g \circ i$$

Write current input into cell state

$$O = \sigma(x_t U^o + h_{t-1} W^o)$$

Weights associated with output gate

$$h_t = tanh(C_t) \circ O$$

The final output from the memory cell.



# How gates work? - Example text data

- Predict next word
  - Jim is a software engineer. He works for an IT company. Lynda is a teacher. \_\_\_\_\_
- Historical data Training data examples
  - "Lynda was late that day. She apologized"
  - "Lynda's alarm goes off at 5 am. She gets up early."
  - "Jim told Lynda 'you have such beautiful eyes.' Lynda smiled at him. She continued to walk."
  - "Jim is a software engineer. He works for an IT company. Lynda is a teacher. She teaches in a school."
  - "Lynda likes exploring new cities. She traveled to Paris last month."
  - · "Lynda got a promotion last month. She got a good pay hike."



# How gates work? – Example text data

- Predict next word
  - Jim is a software engineer. He works for an IT company. Lynda is a teacher. \_\_\_\_\_
- Forget gate
  - Based on historical data, deletes the current subject from cell state
- Input gate
  - Based on historical data, input gate inputs the subject(Lynda) into cell state.
- Output gate
  - Based on the historical data, the output gate will decide to output to be "she" or "her"
- Cell state
  - Lynda- the subject will be carried to next cell state.



# LAB: LSTM Model building

- Data Set: 3Gram\_12Chars.csv
- Prepare data for the model.
- •Use each sentence to make 14 characters as input and next character as output.
- Build an LSTM model try predicting next few letters



### Conclusion

- We discussed about sequential models and details of RNN algorithm
- •Though Back Propagation Through Time is a good algorithm, most of the times it fails due to vanishing and exploding gradients
- Standard RNNs can be used for short term dependencies.
- We may need to use LSTMs for long sequences.



# **Appendix**