

# Application Assignment: Filter #1

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## 1 Introduction

We are supposed to design the equivalent FIR and IIR filter realizations for filter number 1. This is a bandpass filter whose specifications are available below.

## 2 Filter Specifications

The sampling rate for the filter has been specified as  $F_s = 48$  kHz. If the un-normalized discrete-time (natural) frequency is  $F$ , the corresponding normalized digital filter (angular) frequency is given by  $\omega = 2\pi\left(\frac{F}{F_s}\right)$ .

### 2.1 The Digital Filter

1. *Tolerances:* The passband ( $\delta_1$ ) and stopband ( $\delta_2$ ) tolerances are given to be equal, so we let  $\delta_1 = \delta_2 = \delta = 0.15$ .
2. *Passband:* The passband of filter number  $j$ ,  $j$  going from 0 to 13 is from  $\{4 + 0.6j\}$  kHz to  $\{4 + 0.6(j+2)\}$  kHz. Since our filter number is 1, substituting  $j = 1$  gives the passband range for our bandpass filter as 4.6 kHz - 5.8 kHz. Hence, the un-normalized discrete time filter passband frequencies are  $F_{p1} = 5.8$  kHz and  $F_{p2} = 4.6$  kHz. The corresponding normalized digital filter passband frequencies are  $\omega_{p1} = 2\pi\frac{F_{p1}}{F_s} = 0.2416\pi$  and  $\omega_{p2} = 2\pi\frac{F_{p2}}{F_s} = 0.1916\pi$  kHz. The centre frequency is then given by  $\omega_c = \frac{\omega_{p1} + \omega_{p2}}{2} = 0.2166\pi$ .
3. *Stopband:* The *transition band* for bandpass filters is  $\Delta F = 0.3$  kHz on either side of the passband. Hence, the un-normalized *stopband* frequencies are  $F_{s1} = 5.8 + 0.3 = 6.1$  kHz and  $F_{s2} = 4.6 - 0.3 = 4.3$  kHz. The corresponding normalized frequencies are  $\omega_{s1} = 0.25416\pi$  and  $\omega_{s2} = 0.17916\pi$ .

### 2.2 The Analog filter

In the bilinear transform, the analog filter frequency ( $\Omega$ ) is related to the corresponding digital filter frequency ( $\omega$ ) as  $\Omega = \tan \frac{\omega}{2}$ . Using this relation, we obtain the analog passband and stopband frequencies as  $\Omega_{p1} = 0.399$ ,  $\Omega_{p2} = 0.311$  and  $\Omega_{s1} = 0.422$ ,  $\Omega_{s2} = 0.289$  respectively.

### 3 The IIR Filter Design

*Filter Type:* We are supposed to design filters whose stopband is monotonic and passband equiripple. Hence, we use the *Chebyshev approximation* to design our bandpass IIR filter.

#### 3.1 The Analog Filter

1. *Low Pass Filter Specifications:* If  $H_{a,BP}(j\Omega)$  be the desired analog band pass filter, with the specifications provided in Section 2.2, and  $H_{a,LP}(j\Omega_L)$  be the equivalent low pass filter, then

$$\Omega_L = \frac{\Omega^2 - \Omega_0^2}{B\Omega} \quad (1)$$

where  $\Omega_0 = \sqrt{\Omega_{p1}\Omega_{p2}} = 0.352$  and  $B = \Omega_{p1} - \Omega_{p2} = 0.088$ . The low pass filter has the passband edge at  $\Omega_{Lp} = 1$  and stopband edges at  $\Omega_{Ls1} = 1.459$  and  $\Omega_{Ls2} = -1.588$ . We choose the stopband edge of the analog low pass filter as  $\Omega_{Ls} = \min(|\Omega_{Ls1}|, |\Omega_{Ls2}|) = 1.459$ .

2. *The Low Pass Chebyshev Filter Parameters:* The magnitude squared of the Chebyshev low pass filter is given by

$$|H_{a,LP}(j\Omega_L)|^2 = \frac{1}{1 + \epsilon^2 c_N^2(\Omega_L/\Omega_{Lp})} \quad (2)$$

where

$$c_N(x) = \begin{cases} \cosh(N \cosh^{-1} x) & x > 1 \\ \cos(N \cos^{-1} x) & 0 \leq x \leq 1 \end{cases}$$

$N \in \mathbb{Z}$ , which is the order of the filter, and  $\epsilon$  are design parameters. Since  $\Omega_{Lp} = 1$ , (2) may be rewritten as

$$|H_{a,LP}(j\Omega_L)|^2 = \frac{1}{1 + \epsilon^2 c_N^2(\Omega_L)} \quad (3)$$

Also, the design parameters have the following constraints

$$\begin{aligned} \frac{\sqrt{D_2}}{c_N(\Omega_{Ls})} &\leq \epsilon \leq \sqrt{D_1}, \\ N &\geq \left\lceil \frac{\cosh^{-1} \sqrt{D_2/D_1}}{\cosh^{-1} \Omega_{Ls}} \right\rceil, \end{aligned} \quad (4)$$

where  $D_1 = \frac{1}{(1-\delta)^2} - 1$  and  $D_2 = \frac{1}{\delta^2} - 1$ . After appropriate substitutions, we obtain

$$N \geq 4 \quad (5)$$

$$0.337 \leq \epsilon \leq 0.6197 \quad (6)$$

$$D_1 = 0.3841 \quad (7)$$

$$D_2 = 43.444 \quad (8)$$

In Fig. 2, we plot  $|H(j\Omega)|$  for a range of values of  $\epsilon$ , for  $N = 4$ . We find that for larger values of  $\epsilon$ ,  $|H(j\Omega)|$  decreases in the transition band. We choose  $\epsilon = 0.4$  for our IIR filter design.

3. *The Low Pass Chebyshev Filter:* Thus, we obtain

$$|H_{a,LP}(j\Omega_L)|^2 = \frac{1}{1 + 0.16c_4^2(\Omega_L)} \quad (9)$$

where

$$c_4(x) = 8x^4 - 8x^2 + 1. \quad (10)$$

The poles of the frequency response in (2) are generally obtained as  $r_1 \cos \phi_k + jr_2 \sin \phi_k$ , where

$$\begin{aligned} \phi_k &= \frac{\pi}{2} + \frac{(2k+1)\pi}{2N}, k = 0, 1, \dots, 2N-1 \\ r_1 &= \frac{\beta^2 - 1}{2\beta}, r_2 = \frac{\beta^2 + 1}{2\beta}, \beta = \left[ \frac{\sqrt{1 + \epsilon^2} + 1}{\epsilon} \right]^{\frac{1}{N}} \end{aligned} \quad (11)$$

Thus, for  $N$  even, the low-pass stable Chebyshev filter, with a gain  $G$  has the form

$$H_{a,LP}(s_L) = \frac{G_{LP}}{\prod_{k=0}^{\frac{N}{2}-1} (s_L^2 - 2r_1 \cos \phi_k s_L + r_1^2 \cos^2 \phi_k + r_2^2 \sin^2 \phi_k)} \quad (12)$$

Substituting  $N = 4$ ,  $\epsilon = 0.4$  and  $H_{a,LP}(j) = \frac{1}{\sqrt{1+\epsilon^2}}$ , from (11) and (12), we obtain

$$H_{a,LP}(s_L) = \frac{0.3125}{s_L^4 + 1.1068s_L^3 + 1.6125s_L^2 + 0.9140s_L + 0.3366} \quad (13)$$

In Fig. 3 we plot  $|H(j\Omega)|$  using (9) and (13), thereby verifying that our low-pass Chebyshev filter design meets the specifications.

Given below is the pole-zero plot of transfer function  $|H(j\Omega)|$  from equation(9):

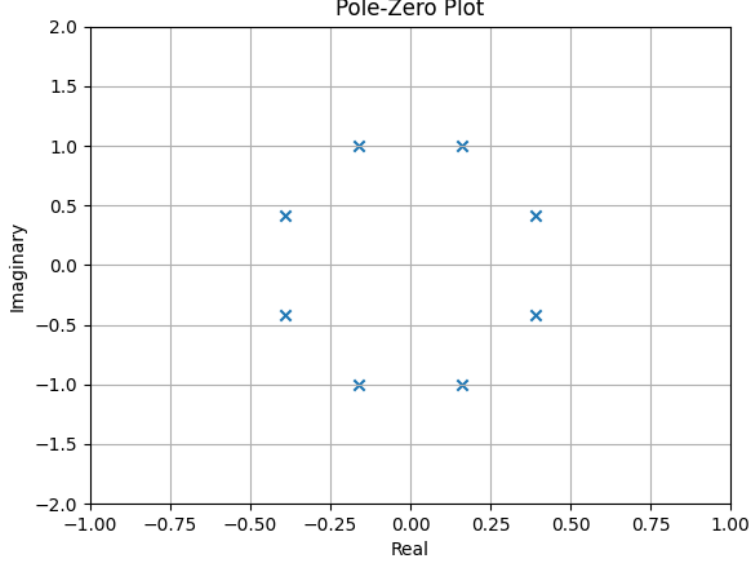


Figure 1: Pole-zero plot of  $H_{a,LP}(j\Omega_L)$

4. *The Band Pass Chebyshev Filter:* The analog bandpass filter is obtained from (13) by substituting  $s_L = \frac{s^2 + \Omega_0^2}{Bs}$ . Hence

$$H_{a,BP}(s) = G_{BP} H_{a,LP}(s_L) \Big|_{s_L = \frac{s^2 + \Omega_0^2}{Bs}}, \quad (14)$$

where  $G_{BP}$  is the gain of the bandpass filter. After appropriate substitutions, and evaluating the gain such that  $H_{a,BP}(j\Omega_{p1}) = 1$ , we obtain

$$H_{a,BP}(s) = \frac{2.0601 \times 10^{-5} s^4}{s^8 + 0.0979s^7 + 0.5081s^6 + 0.0370s^5 + 0.0952s^4 + 0.0046s^3 + 0.0078s^2 + 0.0002s + 0.0002} \quad (15)$$

In Fig. 4, we plot  $|H_{a,BP}(j\Omega)|$  as a function of  $\Omega$  for both positive as well as negative frequencies. We find that the passband and stopband frequencies in the figure match well with those obtained analytically through the bilinear transformation.

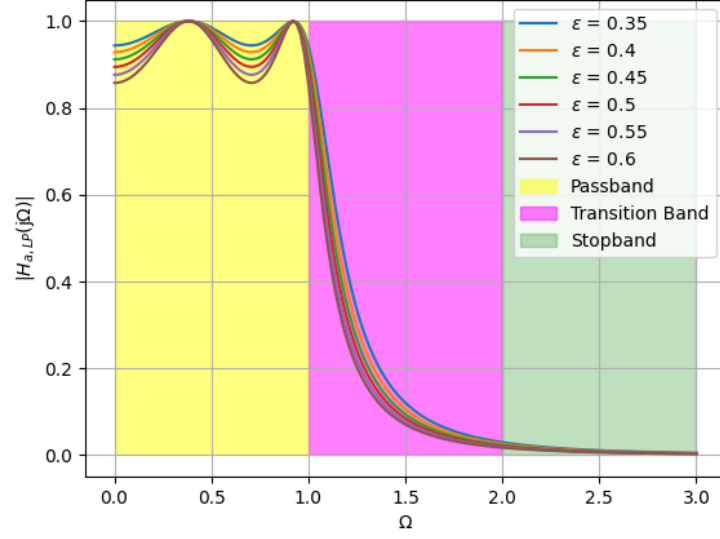


Figure 2: The Analog Low-Pass Frequency Response for  $0.35 \leq \epsilon \leq 0.6$

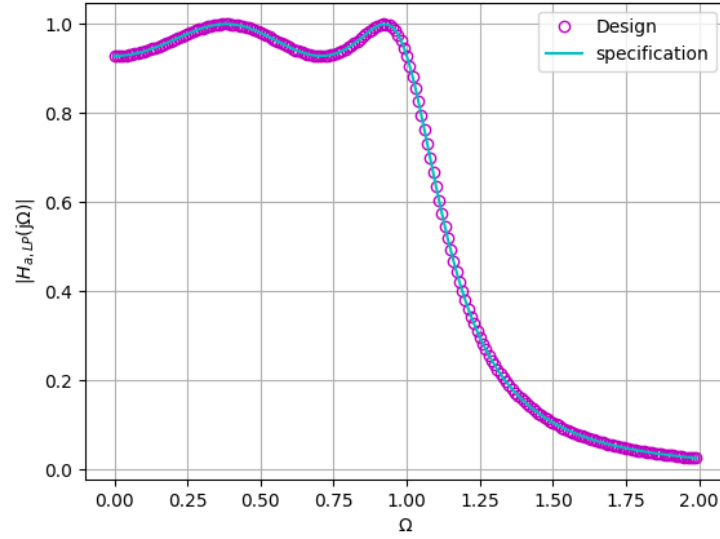


Figure 3: The magnitude response plots from the specifications in Equation 9 and the design in Equation 13

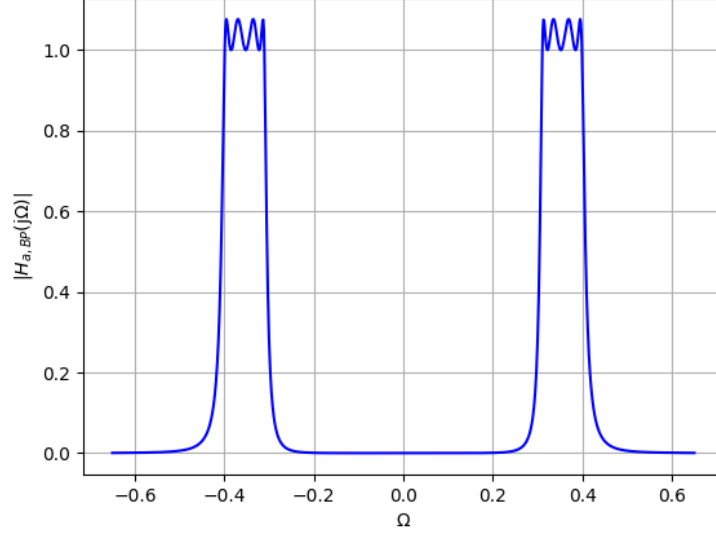


Figure 4: The analog bandpass magnitude response plot from Equation 15

### 3.2 The Digital Filter

From the bilinear transformation, we obtain the digital bandpass filter from the corresponding analog filter as

$$H_{d,BP}(z) = GH_{a,BP}(s)|_{s=\frac{1-z^{-1}}{1+z^{-1}}} \quad (16)$$

where  $G$  is the gain of the digital filter. From (15) and (16), we obtain

$$H_{d,BP}(z) = G \frac{N(z)}{D(z)} \quad (17)$$

where  $G = 2.0601 \times 10^{-5}$ ,

$$N(z) = 1 - 4z^{-2} + 6z^{-4} - 4z^{-6} + z^{-8} \quad (18)$$

and

$$D(z) = 1.7511 - 10.6506z^{-1} + 30.9794z^{-2} - 55.1588z^{-3} + 65.4285z^{-4} \\ - 52.8121z^{-5} + 28.3995z^{-6} - 9.3484z^{-7} + 1.4717z^{-8} \quad (19)$$

The plot of  $|H_{d,BP}(z)|$  with respect to the normalized angular frequency (normalizing factor  $\pi$ ) is available in Fig. 5. Again we find that the passband and stopband frequencies meet the specifications well enough.

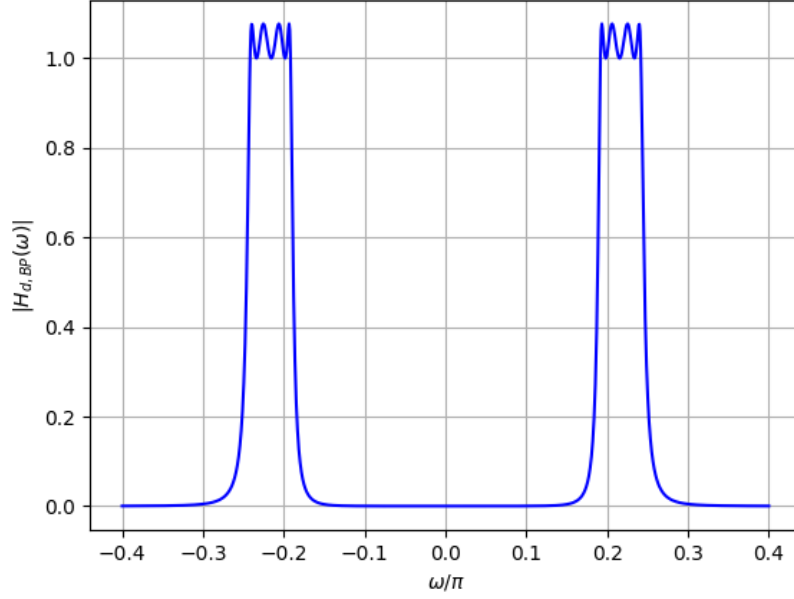


Figure 5: The magnitude response of the bandpass digital filter designed to meet the given specifications

## 4 The FIR Filter

We design the FIR filter by first obtaining the (non-causal) lowpass equivalent using the Kaiser window and then converting it to a causal bandpass filter.

### 4.1 The Equivalent Lowpass Filter

The lowpass filter has a passband frequency  $\omega_l$  and transition band  $\Delta\omega = 2\pi\frac{\Delta F}{F_s} = 0.0125\pi$ . The stopband tolerance is  $\delta$ .

1. The *passband frequency*  $\omega_l$  is defined as  $\omega_l = \frac{\omega_{p1} - \omega_{p2}}{2}$ . Substituting the values of  $\omega_{p1}$  and  $\omega_{p2}$  from section 2.1, we obtain  $\omega_l = 0.025\pi$ .
2. The *impulse response*  $h_{lp}(n)$  of the desired lowpass filter with cutoff frequency  $\omega_l$  is given by

$$h(n) = \begin{cases} \frac{\sin(\omega_l n)}{n\pi} w(n), & n \neq 0 \\ \frac{\omega_l}{\pi}, & n = 0 \end{cases} \quad (20)$$

where  $w(n)$  is the Kaiser window obtained from the design specifications.

## 4.2 The Kaiser Window

The Kaiser window is defined as

$$\begin{aligned} w(n) &= \frac{I_0 \left[ \beta N \sqrt{1 - \left( \frac{n}{N} \right)^2} \right]}{I_0(\beta N)}, & -N \leq n \leq N, & \beta > 0 \\ &= 0 & \text{otherwise,} \end{aligned} \quad (21)$$

where  $I_0(x)$  is the modified Bessel function of the first kind of order zero in  $x$  and  $\beta$  and  $N$  are the window shaping factors. In the following, we find  $\beta$  and  $N$  using the design parameters in section 2.1.

1.  $N$  is chosen according to

$$N \geq \frac{A - 8}{4.57\Delta\omega}, \quad (22)$$

where  $A = -20 \log_{10} \delta$ . Substituting the appropriate values from the design specifications, we obtain  $A = 16.4782$  and  $N \geq 48$ .

2.  $\beta$  is chosen according to

$$\beta N = \begin{cases} 0.1102(A - 8.7) & A > 50 \\ 0.5849(A - 21)^{0.4} + 0.07886(A - 21) & 21 \leq A \leq 50 \\ 0 & A < 21 \end{cases} \quad (23)$$

In our design, we have  $A = 16.4782 < 21$ . Hence, from (23) we obtain  $\beta = 0$ .

3. We choose  $N = 100$ , to ensure the desired low pass filter response. Substituting in (21) gives us the rectangular window

$$\begin{aligned} w(n) &= 1, & -100 \leq n \leq 100 \\ &= 0 & \text{otherwise} \end{aligned} \quad (24)$$

From (20) and (24), we obtain the desired lowpass filter impulse response

$$\begin{aligned} h_{lp}(n) &= \frac{\sin(\frac{n\pi}{40})}{n\pi} & -100 \leq n \leq 100 \\ &= 0, & \text{otherwise} \end{aligned} \quad (25)$$

The impulse response of the filter in (25) is shown in Fig. 6.



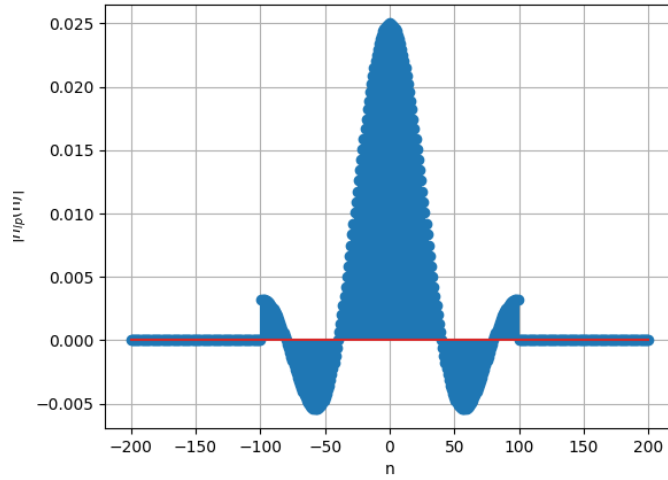


Figure 6: The impulse response of the FIR lowpass digital filter designed to meet the given specifications

The frequency response of the fourier transform of impulse response in (25) is shown in Fig. 7.

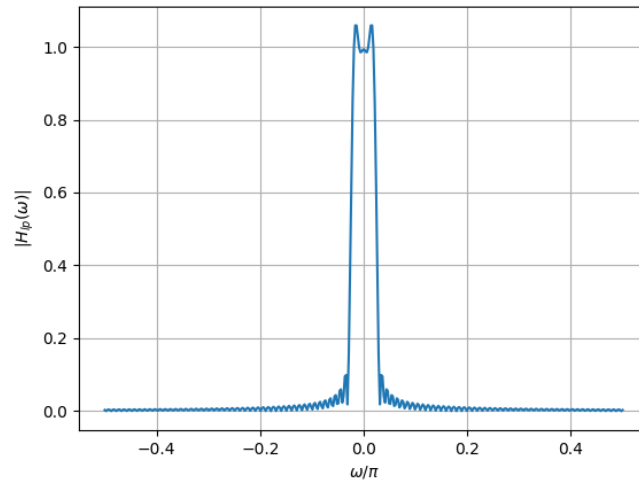


Figure 7: The frequency response of fourier transform of the impulse response

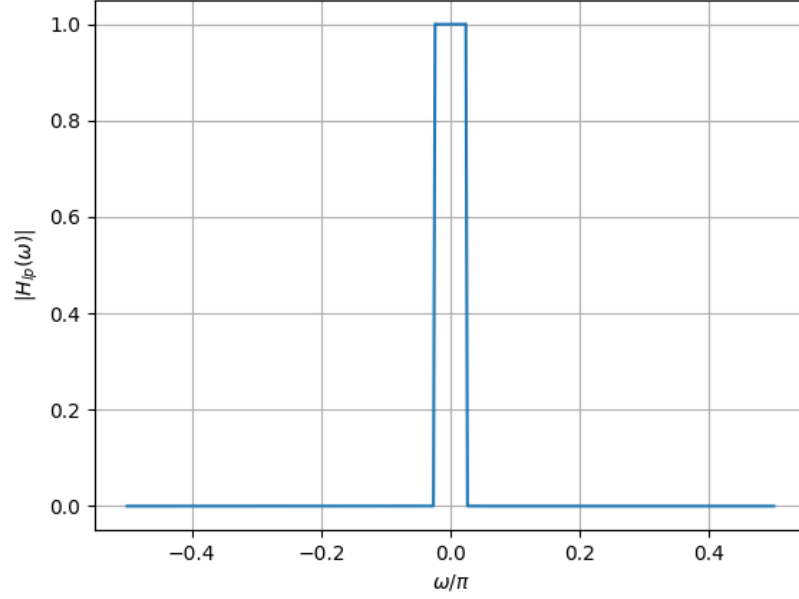


Figure 8: The ideal frequency response of fourier transform of the impulse response

### 4.3 The FIR Bandpass Filter

The centre of the passband of the desired bandpass filter was found to be  $\omega_c = 0.2166\pi$  in Section 2.1. The impulse response of the desired bandpass filter is obtained from the impulse response of the corresponding lowpass filter as

$$h_{bp}(n) = 2h_{lp}(n)\cos(n\omega_c) \quad (26)$$

Thus, from (25), we obtain

$$\begin{aligned} h_{bp}(n) &= \frac{2 \sin(\frac{n\pi}{40}) \cos(\frac{13n\pi}{60})}{n\pi} & -100 \leq n \leq 100 \\ &= 0, & \text{otherwise} \end{aligned} \quad (27)$$

The magnitude response of the FIR bandpass filter designed to meet the given specifications is plotted in *Fig. 9*.

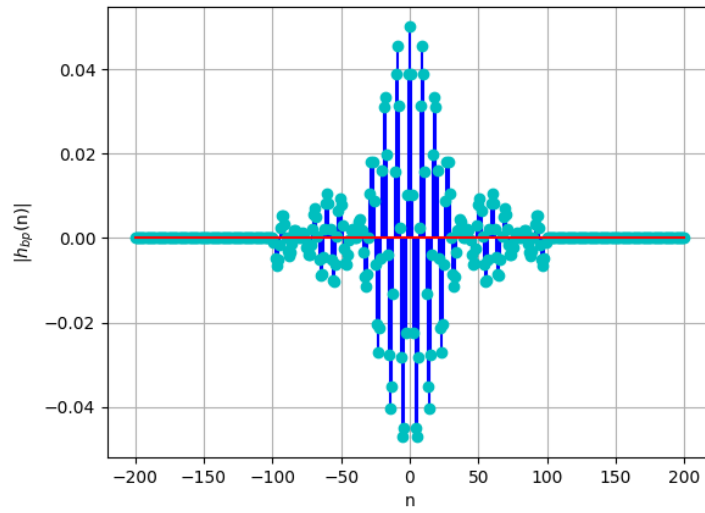


Figure 9: The impulse response of the FIR bandpass digital filter designed to meet the given specifications

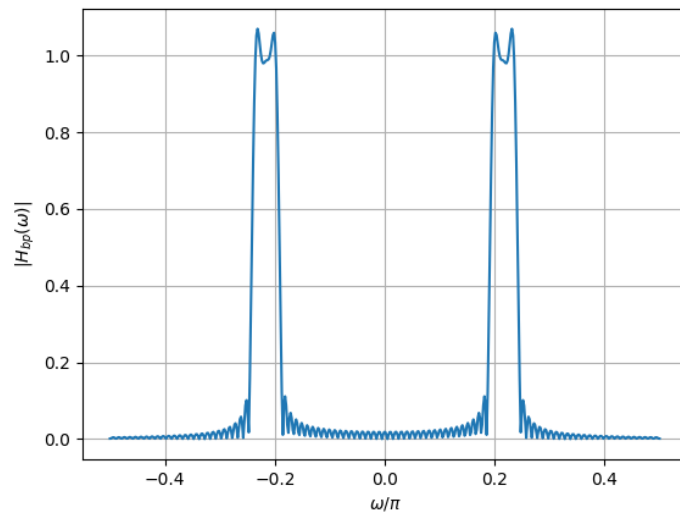


Figure 10: The frequency response of the fourier transform of impulse response given in fig(9)