

16/8/2023

## Heaps-1 - Introduction.

Q

Given  $N$  ropes with their lengths.

Cost of connecting 2 ropes = sum of length of both.

Find min cost to connect all ropes.

$$\begin{array}{l}
 2 \text{ ---} \\
 5 \text{ ---} \\
 2 \text{ ---} \\
 6 \text{ ---} \\
 3 \text{ ---}
 \end{array}
 \left. \begin{array}{l}
 \} 7 \\
 \} 8 \\
 \} 11
 \end{array} \right\} 18
 \quad \left. \begin{array}{l}
 \text{cost} = (2+5) + (2+6) + (8+3) \\
 = (11+7) \\
 \Rightarrow 7+8+11+18 \\
 \Rightarrow 44
 \end{array} \right\}$$

$$\begin{array}{l}
 2 \text{ ---} \\
 5 \text{ ---} \\
 2 \text{ ---} \\
 6 \text{ ---} \\
 3 \text{ ---}
 \end{array}
 \left. \begin{array}{l}
 \} 7 \\
 \} 9 \\
 \} 9
 \end{array} \right\} 18
 \quad \left. \begin{array}{l}
 \text{cost} = (2+5) + (7+2) + (6+3) \\
 = (9+9) \\
 \Rightarrow 7+9+9+18 \\
 = 43
 \end{array} \right\}$$

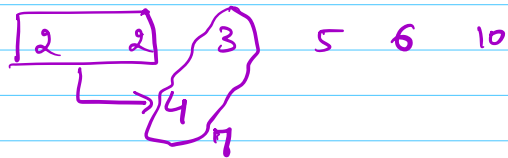
$$\begin{array}{l}
 2 \text{ ---} \\
 2 \text{ ---} \\
 3 \text{ ---} \\
 5 \text{ ---} \\
 6 \text{ ---}
 \end{array}
 \left. \begin{array}{l}
 \} 4 \\
 \} 7 \\
 \} 11
 \end{array} \right\}
 \quad \left. \begin{array}{l}
 \text{cost} = (2+2) + (4+3) + (5+6) \\
 + (7+11) \\
 = 4+7+11+18 \\
 \Rightarrow 40
 \end{array} \right\}$$

Observation: Connect smaller length ropes.

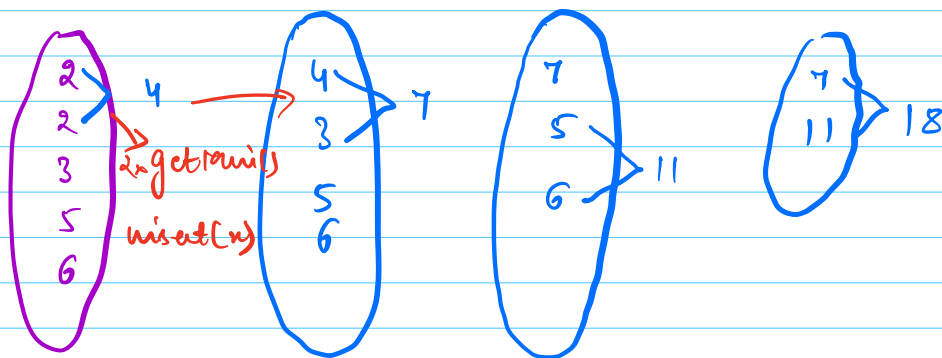
$$x_1 < y_2 < z_3 \text{ (lengths)}$$

$$\boxed{\begin{array}{c} x+y \\ (x+y)+z \\ 3+6 \end{array}} < \boxed{\begin{array}{c} x+z \\ (x+z)+y \\ 4+6 \end{array}} < \boxed{\begin{array}{c} y+z \\ (y+z)+x \\ 5+6 \end{array}}$$

Sorting



sort at every step  $\rightarrow$  insertion sort  
 $TC: O(N^2)$   
 $SC: O(1)$



DS  $\rightarrow$   $insert(x) > O(\log_2 N)$   
 $getmini()$   
 $\hookrightarrow$  also removes from DS

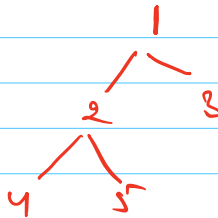
$$3 \times (\log_2 N) \times (N-1) \Rightarrow TC: O(N \log_2 N)$$

$$SC: O(N)$$

## Heaps (Priority Queue)

1) Structure  $\rightarrow$  complete binary tree.

CBT  $\rightarrow$  every level is complete except (maybe) the last level. All nodes of the last level are as left as possible.



2) Types

$\rightarrow$  Min heap  $\forall$  nodes

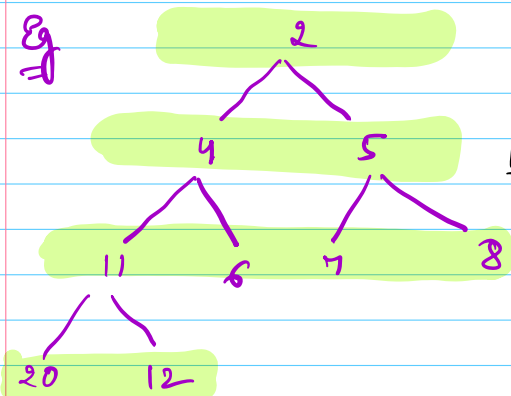
$\text{node} \cdot \text{data} \leq \text{children} \cdot \text{data}$

$\rightarrow$  Max heap  $\forall$  nodes

$\text{node} \cdot \text{data} \geq \text{children} \cdot \text{data}$

3) No relationship b/w left & right subtrees.

Eg



Storing in array -

0	1	2	3	4	5	6	7	8
2	4	5	11	6	7	8	20	12

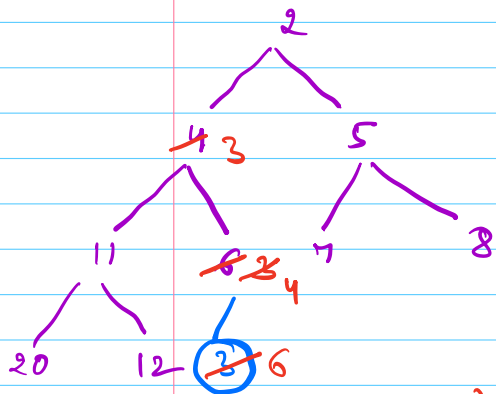
$\forall$  nodes  $i$

left child  $= 2*i + 1$

right child  $= 2*i + 2$

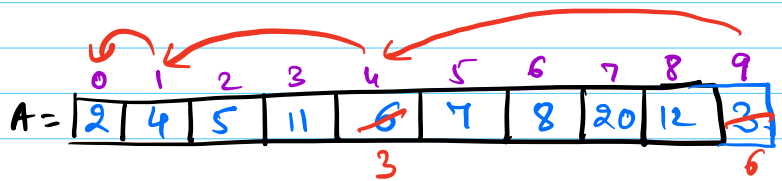
parent  $= (i-1)/2$

## Insertion in heap



insert(3)

TC:  $O(\log_2 N)$



Heapify  $\rightarrow$  maintain properties of heap after any operation.

$A[N] = x$ ,  $i = N$ ,  $N += 1$

insert(x)  $\rightarrow$

```
while (i > 0) {
    p = (i-1)/2;
```

```
    if (A[p] > A[i]) {
        swap(A[i], A[p]);
```

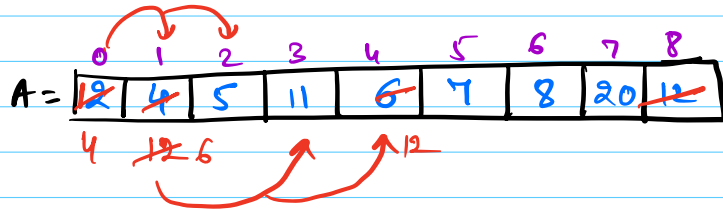
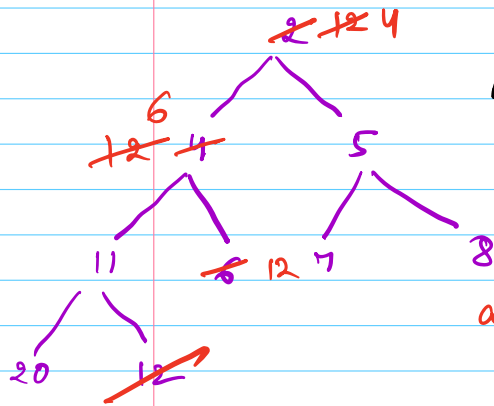
```
        i = p;
```

```
    }
    else {
        break;
```

```
    }
```

```
}
```

getMini [mini heap]



ans = A[0]    A[0] >= A[N-1] , N = N-1  
 i = 0  
 while ( i < N ) {  
     lc = 2\*i + 1  
     rc = 2\*i + 2. // lc + 1

remove last  
index.

2 child  
nodes

```

if (rc < N) {
  if (A[lc] <= A[i] && A[lc] <= A[rc]) {
    swap(A[lc], A[i]);
    i = lc;
  }
  else if (A[rc] <= A[i] && A[rc] <= A[lc]) {
    swap(A[rc], A[i]);
    i = rc;
  }
  else {
    break;
  }
}

```

single  
child

```

else if (lc < N) {
  if (A[lc] <= A[i]) {
    swap(A[lc], A[i]);
    i = lc;
  }
  else { break; }
}

```

no child → else break;  
 } return ans;

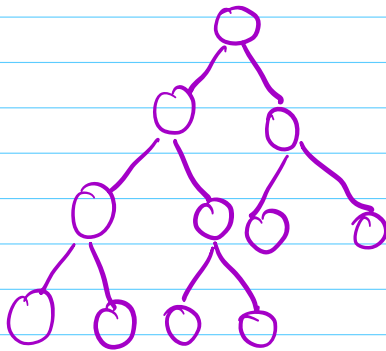
Searching  $\rightarrow$  Tc:  $O(N)$

## Build

1) Insert all elements  $1$  to  $n-1 \rightarrow Tc: O(N \log N)$

2) If all elements are known:

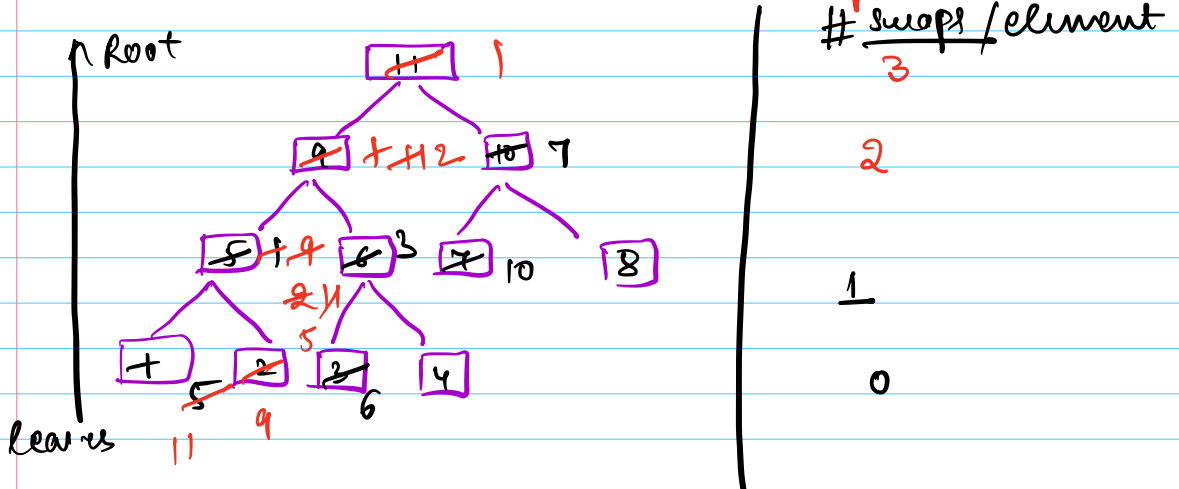
$N \rightarrow$	1	2	3	4	5	6	7	8	9	10	11
	1	1	2	2	3	3	4	4	5	5	6



# leaves in complete BT =  $(N+1)/2$

$$A = \begin{bmatrix} 5 & 8 & 6 & 1 & 7 & 2 & 3 & 4 & 9 & 11 & 10 \end{bmatrix}$$

consider worst case & build min heap.



Total swaps  $\Rightarrow \frac{N \times 0}{2} + \frac{N \times 1}{4} + \frac{N \times 2}{8} \dots$

$$= \sum \frac{N \times i}{2^{i+1}} = \frac{N}{2} \left( \sum \frac{i}{2^i} \right) \Rightarrow \frac{N \times 2}{2} \Rightarrow N$$

TC: O(N)

$$S = \frac{1}{2^1} + \frac{2}{2^2} + \frac{3}{2^3} \dots \quad \text{AGP}$$

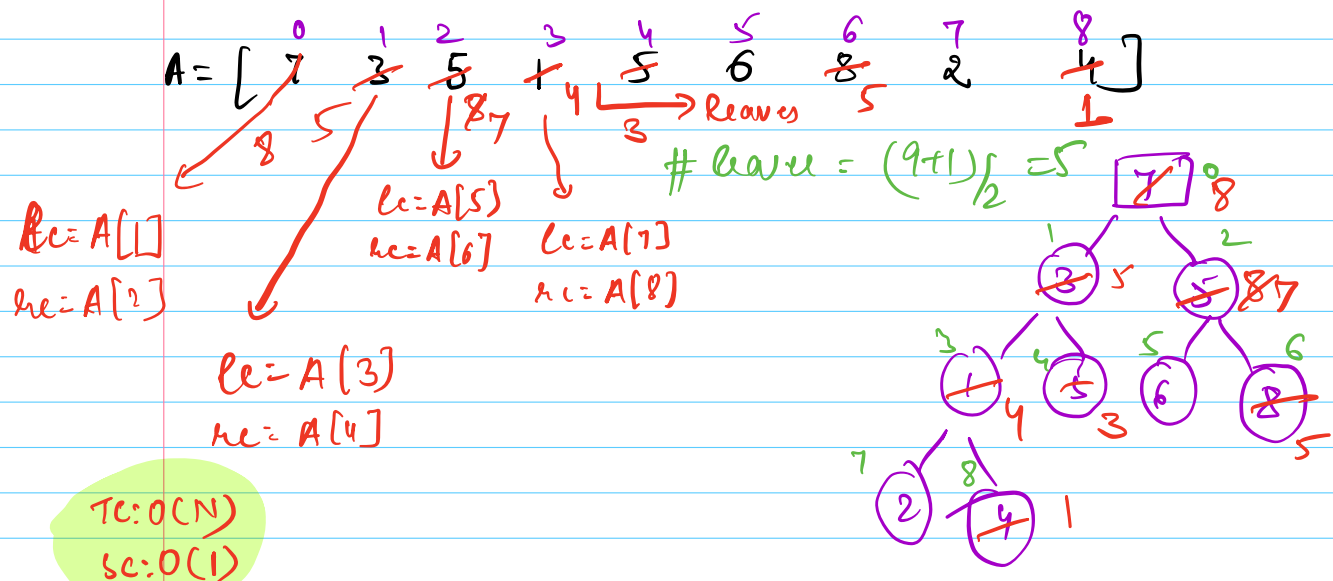
$$S/2 = \frac{1}{2^2} + \frac{2}{2^3} + \frac{3}{2^4} \dots$$

$$(S - S/2) = \frac{1}{2^1} + \frac{1}{2^2} + \frac{1}{2^3} \dots \quad \text{GP}$$

$$\frac{S}{2} = \frac{1/2}{1 - 1/2} \Rightarrow 1$$

$$S = 2$$

### Inplace Heap build (Max heap)





$A = [8 \ 5 \ 7 \ 4 \ 3 \ 6 \ 5 \ 2 \ 1]$