# Introduction to Database Systems

2023-Fall

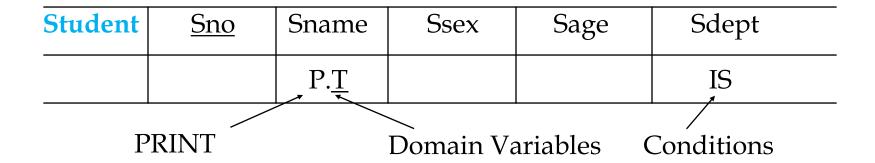
# 3. User Interfaces and SQL Language

#### **User interface of DBMS**

- A DBMS must offer some interfaces to support user to access database, including:
  - Query Languages
  - Interface and maintaining tools (GUI)
  - APIs
  - Class Library
- Query Languages
  - Formal Query Language
  - Tabular Query Language
  - Graphic Query Language
  - Limited Natural Language Query Language

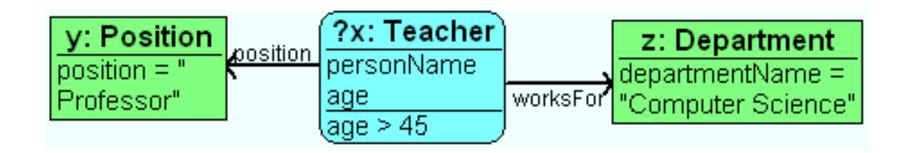
## **Example of Tabular Query Language**

• Find the names of all students in the department of Info. Science



## **Example of Graphic Query Language**

Find all Teachers, which have position="Professor" and which have age>"45" and which work for department="Computer Science"



#### **User interface of DBMS**

- A DBMS must offer some interfaces to support user to access database, including:
  - Query Languages
  - Interface and maintaining tools (GUI)
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  - Class Library
- Query Languages
  - Formal Query Language
  - Tabular Query Language
  - Graphic Query Language
  - Limited Natural Language Query Language

## **Relational Query Languages**

- Query languages: Allow manipulation and retrieval of data from a database.
- Relational model supports simple, powerful QLs:
  - Strong formal foundation based on logic.
  - Allows for much optimization.
- Query Languages != programming languages!
  - QLs not expected to be "Turing complete".
  - QLs not intended to be used for complex calculations.
  - QLs support easy, efficient access to large data sets.

## Formal Relational Query Languages

- Two mathematical Query Languages form the basis for "real" languages (e.g. SQL), and for implementation:
  - *Relational Algebra*: More operational, very useful for representing execution plans.
  - *Relational Calculus*: Lets users describe what they want, rather than how to compute it. (Non-operational, *declarative*.)
- The most successful relational database language --- SQL (Structured Query Language; Standard Query Language(1986); Now SQL: 2016.)

# **SQL Language**

## **SQL** Roots

- Developed @IBM Research in the 1970s
  - System R project
  - Vs. Berkeley's Quel language (Ingres project)
- Commercialized/Popularized in the 1980s
  - "Intergalactic Dataspeak"
  - IBM beaten to market by a startup called Oracle

## **SQL's Persistence**

- Over 40 years old!
- Questioned repeatedly
  - 90's: Object-Oriented DBMS (OQL, etc.)
  - 2000's: XML (Xquery, Xpath, XSLT)
  - 2010's: NoSQL & MapReduce
- SQL keeps re-emerging as the standard
  - Even Hadoop, Spark etc. mostly used via SQL
  - May not be perfect, but it is useful

#### **SQL Pros and Cons**

- Declarative!
  - Say what you want, not how to get it
- Implemented widely
  - With varying levels of efficiency, completeness
- Constrained
  - Not targeted at Turing-complete tasks
- General-purpose and feature-rich
  - many years of added features
  - extensible: callouts to other languages, data sources

## **SQL Language**

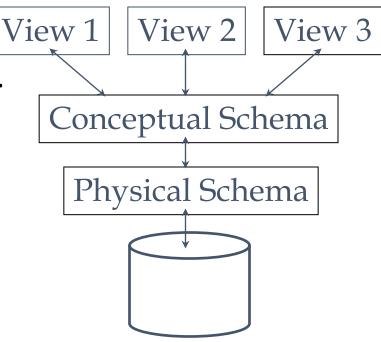
- It can be divided into four parts according to functions.
  - Data Definition Language (DDL), used to define, delete, or alter data schema.
  - Query Language (QL), used to retrieve data
  - Data Manipulation Language (DML), used to insert, delete, or update data.
  - Data Control Language (DCL), used to control user's access authority to data.
- RDBMS responsible for efficient evaluation.
  - Choose and run algorithms for declarative queries
    - Choice of algorithm must not affect query answer.

## Important terms and concepts

- Base table
- View
- Data type supported
- NULL
- UNIQUE
- DEFAULT
- PRIMARY KEY
- FOREIGN KEY
- CHECK (Integration Constraint)

# Levels of Abstraction: ANSI-SPARC Architecture

- Many <u>views</u>, single <u>conceptual (logical) schema</u> and <u>physical schema</u>.
  - Views describe how users see the data.
  - Conceptual schema defines logical structure
  - Physical schema describes the files and indexes used.



Schemas are defined using DDL; data is modified/queried using DML.

## **Example Instances**

• We will use these instances of the Sailors, Reserves and Boats relations in our examples.

 R1
 sid
 bid
 day

 22
 101
 10/10/96

 58
 103
 11/12/96

**B1** 

<u>bid</u>	<u>bname</u>	<u>color</u>
101	tiger	red
103	lion	green
105	hero	blue

*S*1

sid	sname	rating	age
22	dustin	7	45.0
31	lubber	8	55.5
58	rusty	10	35.0

*S*2

sid	sname	rating	age
28	yuppy	9	35.0
31	lubber	8	55.5
44	guppy	5	35.0
58	rusty	10	35.0

#### The SQL DDL: Sailors

CREATE TABLE Sailors (
sid INTEGER,
sname CHAR(20),
rating INTEGER,
age FLOAT

PRIMARY KEY (sid));

	<u>sid</u>	sname	rating	age
L	1	Fred	7	22
	2	Jim	2	39
	3	Nancy	8	27

#### The SQL DDL: Boats

CREATE TABLE Boats (
bid INTEGER,
bname CHAR (20),
color CHAR(10),
PRIMARY KEY (bid));

<u>bid</u>	bname	color
101	Nina	red
102	Pinta	blue
103	Santa Maria	red

#### The SQL DDL: Reserves

```
CREATE TABLE Reserves (
sid INTEGER,
bid INTEGER,
day DATE,
PRIMARY KEY (sid, bid, day)
FOREIGN KEY (sid) REFERENCES Sailors,
FOREIGN KEY (bid) REFERENCES Boats);
```

## **Updates to tables**

- Insert
  - insert into instructor values ('10211', 'Smith', 'Biology', 66000);
- Delete
  - Remove all tuples from the student relation
    - **delete from** student
- Drop Table
  - drop table *r*
- Alter
  - alter table r add A D
    - where A is the name of the attribute to be added to relation r and D is the domain of A.
    - All exiting tuples in the relation are assigned null as the value for the new attribute.
  - alter table r drop A
    - where A is the name of an attribute of relation r
    - Dropping of attributes not supported by many databases.

## DML 1

#### The SQL DML

• Find all 27-year-old sailors:

SELECT \*

FROM Sailors AS S

WHERE S.age=27;

#### **Sailors**

<u>sid</u>	sname	rating	age
1	Fred	7	22
2	Jim	2	39
3	Nancy	8	27

• To find just names and rating, replace the first line to: SELECT S.sname, S.rating

## **Basic Single-Table Queries**

```
SELECT [DISTINCT] < column expression list> FROM < single table> [WHERE < predicate>]
```

- Simplest version is straightforward
  - Produce all tuples in the table that satisfy the predicate
  - Output the expressions in the SELECT list
  - Expression can be a column reference, or an arithmetic expression over column refs

#### **SELECT**

- SQL allows duplicates in relations as well as in query results.
- To force the elimination of duplicates, insert the keyword distinct after select.
- Find the department names of all instructors, and remove duplicates

select distinct dept\_name
from instructor

• The keyword all specifies that duplicates should not be removed.

select all dept\_name
from instructor

#### dept\_name

Comp. Sci.
Finance
Music
Physics
History
Physics
Comp. Sci.
History
Finance
Biology
Comp. Sci.

#### **SELECT**

• An asterisk in the select clause denotes "all attributes"

select \*
from instructor

An attribute can be a literal with no from clause

select '437'

- Results is a table with one column and a single row with value "437"
- Can give the column a name using:

**select** '437' as *FOO* 

#### **SELECT**

• An attribute can be a literal with **from** clause

**select** 'A' **from** *instructor* 

• Result is a table with one column and N rows (number of tuples in the *instructors* table), each row with value "A"

#### The where Clause

- The where clause specifies conditions that the result must satisfy
  - Corresponds to the selection predicate of the relational algebra.
- To find all instructors in Comp. Sci. dept

```
select name
from instructor
where dept name = 'Comp. Sci.'
```

- SQL allows the use of the logical connectives and, or, and not
- Comparisons can be applied to results of arithmetic expressions
- To find all instructors in Comp. Sci. dept with salary > 70000
   select name
   from instructor
   where dept\_name = 'Comp. Sci.' and salary > 70000



#### **SELECT DISTINCT**

SELECT [DISTINCT] <*column expression list*> FROM <*single table*> [WHERE <*predicate*>]

**SELECT DISTINCT** S.name, S.gpa **FROM** students S **WHERE** S.dept = 'CS'

- DISTINCT specifies removal of duplicate rows before output
- Can refer to the students table as "S", this is called an alias

## **The Rename Operation**

The SQL allows renaming relations and attributes using the AS clause:

#### old-name AS new-name

- Find the names of all instructors who have a higher salary than some instructor in 'Comp. Sci'.
  - select distinct T.name
     from instructor as T, instructor as S
     where T.salary > S.salary and S.dept\_name = 'Comp. Sci.'
- Keyword **AS** is optional and may be omitted instructor **AS**  $T \equiv instructor T$

## A Note on Range Variables

 Really needed only if the same relation appears twice in the FROM clause. The previous query can also be written as:

SELECT S.sname

FROM Sailors S, Reserves R

WHERE S.sid=R.sid AND bid=103

OR SELECT sname

FROM Sailors, Reserves

WHERE Sailors.sid=Reserves.sid

AND bid=103

It is good style, however, to use range variables always!

## **Expressions and Strings**

SELECT S.age, age1=S.age-5, 2\*S.age AS age2 FROM Sailors S
WHERE S.sname LIKE 'B\_%B'

- Illustrates use of arithmetic expressions and string pattern matching: Find triples (of ages of sailors and two fields defined by expressions) for sailors whose names begin and end with B and contain at least three characters.
- AS and = are two ways to name fields in result.
- LIKE is used for string matching. '\_' stands for any one character and '%' stands for 0 or more arbitrary characters.

#### Where Clause Predicates

- SQL includes a between comparison operator
- Example: Find the names of all instructors with salary between \$90,000 and \$100,000 (that is,  $\geq$  \$90,000 and  $\leq$  \$100,000)
  - select name
     from instructor
     where salary between 90000 and 100000
- Tuple comparison
  - select name, course\_id
     from instructor, teaches
     where (instructor.ID, dept\_name) = (teaches.ID, 'Biology');

## **Tuple Relational Calculus**

- Query has the form: { t[<attribute list>] | P(t)}
- t is called *tuple variable*.
- ✓ Answer includes all tuples t<attribute list> that make the formula P(t) be true.
- ✓ Example query: Find all sailors' name whose rating above 7 and younger than 50;

```
\{ t[N] \mid t \in Sailors \land t.T > 7 \land t.A < 50 \}
```

#### **ORDER BY**

SELECT S.name, S.gpa, S.age\*2 AS a2 FROM Students S WHERE S.dept = 'CS' ORDER BY S.gpa, S.name, a2;

- ORDER BY clause specifies output to be sorted
  - Lexicographic ordering
- Obviously must refer to columns in the output
  - Note the AS clause for naming output columns!

#### ORDER BY, Pt. 2

SELECT S.name, S.gpa, S.age\*2 AS a2 FROM Students S WHERE S.dept = 'CS' ORDER BY S.gpa DESC, S.name ASC, a2;

- Ascending order by default, but can be overridden
  - DESC flag for descending, ASC for ascending
  - Can mix and match, lexicographically

#### LIMIT

```
SELECT S.name, S.gpa, S.age*2 AS a2
FROM Students S
WHERE S.dept = 'CS'
ORDER BY S.gpa DESC, S.name ASC, a2;
LIMIT 3;
```

- Only produces the first <integer> output rows
- Typically used with ORDER BY
  - Otherwise the output is <u>non-deterministic</u>
  - Not a "pure" declarative construct in that case output set depends on algorithm for query processing

### **Aggregate Operators**

- Significant extension of relational algebra.
  - COUNT (\*)
  - COUNT ([DISTINCT] A)
  - SUM ([DISTINCT] A)
  - AVG ([DISTINCT] A)
  - MAX (A)
  - MIN (A)
- A is single column

#### **Aggregates**

**SELECT** [DISTINCT] **AVG**(S.gpa) **FROM** Students S **WHERE** S.dept = 'CS'

- Before producing output, compute a summary (a.k.a. an aggregate) of some arithmetic expression
- Produces 1 row of output
  - with one column in this case
- Other aggregates: SUM, COUNT, MAX, MIN

# **Examples of Aggregate Operators**

SELECT COUNT (\*) SELECT COUNT (DISTINCT S.rating)
FROM Sailors S
WHERE S.sname='Bob'

SELECT AVG (S.age) SELECT AVG (DISTINCT S.age)

FROM Sailors S FROM Sailors S

WHERE S.rating=10 WHERE S.rating=10

SELECT S.sname

FROM Sailors S

WHERE S.rating= (SELECT MAX(S2.rating) FROM Sailors S2)

#### Find the name and age of the oldest sailor(s)

- The first query is *illegal*! (We'll look into the reason a bit later, when we discuss GROUP BY.)
- The third query is equivalent to the second query, and is allowed in the SQL/92 standard, but is not supported in some systems.

```
SELECT S.sname, MAX (S.age)
FROM Sailors S

SELECT S.sname, S.age
FROM Sailors S

WHERE S.age =

(SELECT MAX (S2.age)
FROM Sailors S2)
```

```
SELECT S.sname, S.age
FROM Sailors S
WHERE (SELECT MAX (S2.age)
FROM Sailors S2)
= S.age
```

#### **Motivation for Grouping**

- So far, we've applied aggregate operators to all (qualifying) tuples. Sometimes, we want to apply them to each of several *groups* of tuples.
- Consider: Find the age of the youngest sailor for each rating level.
  - In general, we don't know how many rating levels exist, and what the rating values for these levels are!
  - Suppose we know that rating values go from 1 to 10; we can write 10 queries that look like this (!):

For 
$$i = 1, 2, ..., 10$$
:

SELECT MIN (S.age)

FROM Sailors S

WHERE S.rating =  $i$ 

#### **GROUP BY**

**SELECT** [DISTINCT] **AVG**(S.gpa), S.dept **FROM** Students S **GROUP BY** S.dept

- Partition table into groups with same GROUP BY column values
  - Can group by a list of columns
- Produce an aggregate result per group
  - Cardinality of output = # of distinct group values
- Note: can put grouping columns in SELECT list

# **Group By**

- Find the average salary of instructors in each department
  - select dept\_name, avg (salary) as avg\_salary
     from instructor
     group by dept\_name;

ID	name	dept_name	salary
76766	Crick	Biology	72000
45565	Katz	Comp. Sci.	75000
10101	Srinivasan	Comp. Sci.	65000
83821	Brandt	Comp. Sci.	92000
98345	Kim	Elec. Eng.	80000
12121	Wu	Finance	90000
76543	Singh	Finance	80000
32343	El Said	History	60000
58583	Califieri	History	62000
15151	Mozart	Music	40000
33456	Gold	Physics	87000
22222	Einstein	Physics	95000

dept_name	avg_salary
Biology	72000
Comp. Sci.	77333
Elec. Eng.	80000
Finance	85000
History	61000
Music	40000
Physics	91000

#### **HAVING**

SELECT [DISTINCT] AVG(S.gpa), S.dept FROM Students S GROUP BY S.dept HAVING COUNT(\*) > 2

- The HAVING predicate *filters* groups
- HAVING is applied *after* grouping and aggregation
  - Hence can contain anything that could go in the SELECT list
  - I.e. aggs or **GROUP BY** columns
- HAVING can only be used in aggregate queries
- It's an optional clause

## **Putting it all together**

```
SELECT S.dept, AVG(S.gpa), COUNT(*)
FROM Students S
WHERE S.gender = 'F'
GROUP BY S.dept
HAVING COUNT(*) >= 2
ORDER BY S.dept;
```

# Find age of the youngest sailor with age ≥ 18, for each rating with at least 2 <u>such</u> sailors

SELECT S.rating, MIN (S.age) AS minage Sailors instance:

FROM Sailors S

WHERE S.age >= 18

GROUP BY S.rating

HAVING COUNT (\*) > 1

#### Answer relation:

rating	minage
3	25.5
7	35.0
8	25.5

sid	sname	rating	age	
22	dustin	7	45.0	
29	brutus	1	33.0	
31	lubber	8	55.5	
32	andy	8	25.5	
58	rusty	10	35.0	
64	horatio	7	35.0	
71	zorba	10	16.0	
74	horatio	9	35.0	
85	art	3	25.5	
95	bob	3	63.5	
96	frodo	3	25.5	

# Find age of the youngest sailor with age ≥ 18, for each rating with at least 2 <u>such</u> sailors.

rating	age		rating	age					
7	45.0		1	33.0					
1	33.0		3	25.5					
8	55.5		3	63.5			rating	minage	
8	25.5		3	25.5			3	25.5	
10	35.0		7	45.0			$\neg$	7	35.0
7	35.0		7	35.0				8	25.5
10	16.0		8	55.5					
9	35.0		8	25.5					
3	25.5								
3	63.5		9	35.0					
3	25.5		10	35.0					

#### **DISTINCT Aggregates**

Are these the same or different?

SELECT COUNT(DISTINCT S.name) FROM Students S WHERE S.dept = 'CS';

SELECT DISTINCT COUNT(S.name) FROM Students S WHERE S.dept = 'CS';

# What Is This Asking For?

**SELECT** S.name, **AVG**(S.gpa) **FROM** Students S **GROUP BY** S.dept;

# **SQL DML: General Single-Table Queries**

```
SELECT [DISTINCT] < column expression list>
FROM < single table>
[WHERE < predicate>]
[GROUP BY < column list>
[HAVING < predicate>] ]
[ORDER BY < column list>]
[LIMIT < integer>];
```

#### Summary

- Relational model has well-defined query semantics
- Modern SQL extends "pure" relational model
   (some extra goodies for duplicate row, non-atomic types... more in next lecture)
- Typically, many ways to write a query
  - DBMS figures out a fast way to execute a query, regardless of how it is written.