

HawR: Module LIP makes lattice
signatures fast, compact and simple

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CWI



Genealogy:

[DvW22]: signatures from "LIP"

worst case \rightarrow average case

suggestion of \mathbb{Z}^n

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NTRU lattices with
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Hawk!

∴

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Primer on LIP:

Lattice $B \in \mathbb{R}^{n \times n}$ linearly independent columns.

$$L = L(B) = B \cdot \mathbb{Z}^n = \left\{ \sum_{i=1}^n x_i b_i : x_i \in \mathbb{Z} \right\}.$$

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E.g. $\mathcal{R} = \mathbb{Z} \rightarrow \det(U) \in \{1, -1\},$

$\mathcal{R} = \mathcal{O}_K \rightarrow \det(U) \in \mathcal{O}_K^\times \iff \text{all elements of norm 1}$

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$$\begin{aligned} \ell_U: \mathcal{R}^n &\rightarrow \mathcal{R}^n \text{ a bijection} & \text{and} \quad B \cdot \mathbb{Z}^n &= B \cdot (U \mathbb{Z}^n) \\ x &\mapsto Ux & &= (BU) \cdot \mathbb{Z}^n \end{aligned}$$

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$$U \in GL_n(\mathcal{R}) \iff \det(U) \in \mathcal{R}^\times.$$

$\varphi_U: \mathbb{R}^n \rightarrow \mathbb{R}^n$ a bijection and $B \cdot \mathbb{Z}^n = B \cdot (U \mathbb{Z}^n)$

$x \mapsto Ux$

different basis,
same L

$= (BU) \cdot \mathbb{Z}^n$

(full rank n) lattice are the space

$$GL_n(\mathbb{R}) / GL_n(\mathbb{Z})$$

(full rank n) lattice are the space $\frac{GL_n(\mathbb{R})}{GL_n(\mathbb{Z})}$

Any "hardness"?

Given $(B, B' = Bu)$



"search" do $B^{-1}B' = u$



"decision" as above

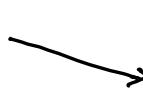
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Need a coarser notion...

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"decision" as above

Recall $O_n(\mathbb{R}) \subseteq GL_n(\mathbb{R})$ the "orthogonal group".

- endomorphisms $O: \mathbb{R}^n \rightarrow \mathbb{R}^n$, $\forall x \in \mathbb{R}^n$ $\|Ox\| = \|x\|$

- $O^t O = I_n(\mathbb{R})$.

Def lattice Isomorphism (lattice rotations version)

Λ, Λ' lattices are isomorphic when $O \in C_n^{\mathbb{R}}$

$O \cdot \Lambda = \Lambda'$; written $\Lambda \sim \Lambda'$.

Def lattice Isomorphism (lattice rotations version)

L, L' lattices are isomorphic when $O \in O_n^+(\mathbb{R})$

$O \cdot L = L'$; written $L \sim L'$.

If rank n lattices are the space $GL_n(\mathbb{R}) / GL_n(\mathbb{Z})$ then

isomorphic rank n lattices are $O_n^+(\mathbb{R}) \backslash \left(GL_n(\mathbb{R}) / GL_n(\mathbb{Z}) \right)$

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Concretely, if $L = L(B)$
 $L' = L(B')$ then $L \sim L' \longleftrightarrow B' = OBU$.

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$U = I_n(\mathbb{Z})$ ↗
also easy

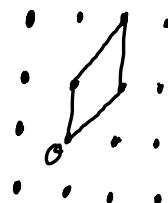
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Easy $U = \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}$, $B = I_2(\mathbb{Z})$

$$B \begin{array}{c} \cdot \\ \vdots \\ \square \\ \vdots \\ \cdot \end{array}$$

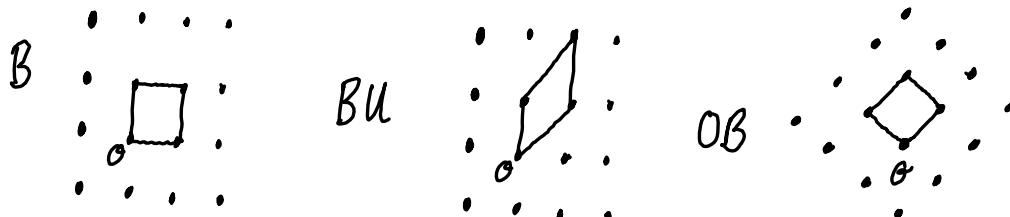
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Hard?



Practical Concerns

$O_n(R)$
 $GL_n(R)$

} difficult for implementations and "robust"
cryptography

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To remove $O_n(R)$ \rightarrow use quadratic forms

To remove $GL_n(\mathbb{R})$ → choose e.g. integer lattices

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Quadratic forms :

If $A = A(B)$, $B \rightarrow B^t B = Q \in S_n^{>0}(\mathbb{R})$

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Quadratic forms :

If $\mathcal{L} = \mathcal{L}(B)$, $B \rightarrow B^t B = Q \in S_n^{>0}(\mathbb{R})$

If $\mathcal{L}' \sim \mathcal{L} \Rightarrow B' = OBU \Rightarrow (B')^t B' = U^t Q U.$

We now first consider $O_n(\mathbb{R}) \setminus GL_n(\mathbb{R}) \simeq S_n^{>0}(\mathbb{R})$

then can define isomorphic $Q, Q' \in S_n^{>0}(\mathbb{R})$ when $U \in GL_n(\mathbb{Z})$

$Q' = U^t Q U$, i.e. $(O_n(\mathbb{R}) \setminus GL_n(\mathbb{R})) / GL_n(\mathbb{Z})$.

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- Q contains geometric information of \mathcal{L}
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e.g. $x, y \in \mathbb{Z}^n \Rightarrow Bx, By \in \mathcal{L}$, and $\langle Bx, By \rangle = x^t B^t B y$
 $= x^t Q y$

Summary

Def Lattice Isomorphism (Quadratic forms)

$Q, Q' \in S_n^{>0}(\mathbb{R})$ are isomorphic, written $Q' \sim Q$ when
 $U \in GL_n(\mathbb{Z})$, $Q' = U^T Q U$.

Def $Q \in S_n^{>0}(\mathbb{R})$ $\langle \cdot, \cdot \rangle_Q : \mathbb{R}^n \times \mathbb{R}^n \rightarrow \mathbb{R}$

$$(x, y) \mapsto x^T Q y$$

$\|\cdot\|_Q : \mathbb{R}^n \rightarrow \mathbb{R}$

$$x \mapsto \sqrt{\langle x, x \rangle_Q}$$

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$\| \cdot \|_Q : \mathbb{R}^n \rightarrow \mathbb{R}$

$$x \mapsto \sqrt{\langle x, x \rangle_Q}$$

- $Q = I_n(\mathbb{R}) \rightarrow$ Euclidean norm

- $x, y \in \mathbb{R}^n$, $\langle x, y \rangle_Q = \langle Bx, By \rangle$, $\|x\|_Q = \|Bx\|$

Algorithms on \mathbb{Q}

Often A is described by B . We perform computations $A(B)$.

Algorithms on Q

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If A only requires:

- geometric information
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- e.g.
- eros
 - size reduction
 - LLL
 - BKZ

Cryptography how ?

Given $Q' \sim Q$ we define $[Q] = \{Q' : Q' \sim Q\}$

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following problems
conjectured hard
over $\Lambda = \mathbb{Z}^n$.

Cryptography now!

WC - SLIP^Q

Given $Q, Q' \in [Q]$ find $U \in GL_n(\mathbb{Z})$, $Q' = U^t Q U$

WC - ΔLIP^{Q_0, Q_1}

Given Q_0, Q_1 and $Q' \in [Q_b]$ for some $b \in \{0, 1\}$,
return b

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Note again : hardness may vary with $Q, (Q_0, Q_1)$

Coding theory equivalent

Two linear $[n, k]_q$ codes C, C' are equivalent when
 $\sigma \in S_n, \alpha \in (\mathbb{F}_q^\times)^n$

$$C' = \left\{ (a_1 x_{\sigma^{-1}(1)}, \dots, a_n x_{\sigma^{-1}(n)}) : (x_1, \dots, x_n) \in C \right\}$$

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$$C' = DP_\sigma C \quad \curvearrowright \text{recall } \lambda' = 0 \cdot \lambda$$

Choose your adventure

WC-sLIP Q

WC- Δ LIP $^{Q_0, Q_1}$

choose a lattice
for Q

define average
case distribution
over $[Q]$

Discrete Gaussians $Q \in S_n^{>0}(\mathbb{R})$

$$\rho_{Q,\sigma} : \mathbb{R}^n \longrightarrow \mathbb{R} \quad x \longmapsto \exp\left(\frac{-\|x\|_Q^2}{2\sigma^2}\right)$$

$$\|x\|_Q^2 = x^T Q x$$

Then for $c \in \mathbb{R}^n$

$$D_{Q, \mathbb{Z}^n + c, \sigma} : \mathbb{Z}^n + c \longrightarrow [0, 1]$$

$$x \longmapsto \frac{\rho_{Q,\sigma}(x)}{\rho_{Q,\sigma}(\mathbb{Z}^n + c)}$$

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- For "large enough" σ we can sample efficiently

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- If $\Lambda = \Lambda(B)$ and $Q = B^t B$ then

$$D_{Q, \mathbb{Z}^n, \sigma}(x) = D_{\Lambda, \sigma}(Bx), \text{ and}$$

$$D_{Q, \mathbb{Z}^{n+e}, \sigma}(x) = D_{\Lambda + Bc, \sigma}(Bx)$$

Note

- For "large enough" σ we can sample efficiently
- If $L = L(B)$ and $Q = B^t B$ then

$$D_{Q, \mathbb{Z}^n, \sigma}(x) = D_{L, \sigma}(Bx), \text{ and}$$

$$D_{Q, \mathbb{Z}^{n+1}, \sigma}(x) = D_{L+B\zeta, \sigma}(Bx)$$

- Usual tail bound

$$\Pr_{x \in D_{Q, \mathbb{Z}^{n+1}, \sigma}} [\|x\| > T \sigma \sqrt{n}] \leq C T^n e^{-\frac{n}{2}(T^2 - 1)}$$

A distribution over $[Q]$.

$D_\sigma([Q])$

- parameter or "width"
- defined algorithmically
- uses a representative element of $[Q]$ but independent of it.

A distribution over $[Q]$.

$D_\sigma([Q])$:

Input : $Q \in [Q]$, $\sigma > 0$

Output : (R, U) with $R = U^t Q U$, $U \in GL_n(\mathbb{Z})$

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1. $\{\mathbf{y}_i\}_{i=1}^n \leftarrow \underset{i.i.d.}{D_{Q,\sigma}}$
2. $(R, U) = Extract(\{\mathbf{y}_i\}_{i=1}^n, Q)$

A distribution over $[Q]$.

$D_\sigma([Q])$:

Input: $Q \in [Q]$, $\sigma > 0$

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1. $\{\xi_i\}_{i=1}^n \xleftarrow{\text{i.i.d.}} D_{Q, \sigma}$

uses HNF to

2. $(R, U) = \text{Extract}(\{\xi_i\}_{i=1}^n, Q) \rightarrow$ find a canonical form

[DrW22]: - constant success probability for large σ

- $R \in [Q]$ and independent of Q .

average case problems

$$ac - sLIP_o^Q$$

Given Q and $Q' \leftarrow D_o([Q])$ find $U \in GL_n(\mathbb{Z})$
 $Q' = U^t Q U$.

$$ac - \Delta LIP_o^{Q_0, Q_1}$$

Let $L \leftarrow \mathcal{U}(\xi_0, \xi_1)$, given (Q_0, Q_1) and $Q' \leftarrow D_o([Q_0])$
find b .

average case problems

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Given Q and $Q' \leftarrow D_{\sigma}([Q])$ find $U \in GL_n(\mathbb{Z})$
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Let $L \leftarrow \mathcal{U}(E_0, E_1)$, given (Q_0, Q_1) and $Q' \leftarrow D_{\sigma}([Q_1])$
find b .

For large enough σ

$$\begin{cases} WC - sLIP^Q \rightarrow ac - sLIP_{\sigma}^Q \\ WC - \Delta LIP_{\sigma}^{Q_0, Q_1} \rightarrow ac - \Delta LIP_{\sigma}^{Q_0, Q_1} \end{cases}$$

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\mathbb{Z}^n BAD
then GOOD

$\left\{ \begin{array}{l} - \mathcal{L} \text{ and } \tilde{\mathcal{L}} \text{ have minima close to} \\ \text{Minkowski's bound. Easy to decide} \\ \text{in } \mathcal{L}. \end{array} \right.$

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We reduce forgery to a different problem in
Hawk.

[OrW22] signature  made to "look like" Hawk

Public parameters :

- $H : \{0,1\}^* \rightarrow \mathbb{F}_q^n$
- A form Q determining $[Q]$, e.g. $Q = I_n(\mathbb{Z})$
- $\sigma_{keygen}, \sigma_{sign}$ "large enough"

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Public parameters :

- $H : \{0,1\}^* \rightarrow \mathbb{F}_q^n$ \mathbb{F}_q is discretised \mathbb{R}/\mathbb{Z} ,
 $\{0, \frac{1}{q}, \dots, \frac{q-1}{q}\}$.
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$[DrW22]$ signatures :

$$H: \mathbb{F}_{q,1}^n \xrightarrow{*} \mathbb{F}_q^n, Q = I_n(\mathbb{Z}), (\sigma_{\text{keygen}}, \sigma^{\text{sign}})$$

$KGen(1^n)$:

$$(R, U) \leftarrow D_{\sigma_{\text{keygen}}}([Q]), \text{ return } (\text{pk} = R, \text{sk} = U)$$

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key recovery is
ac-shIP $_{\sigma}^Q$

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$\text{Sign}(\text{sk}, m)$:

$$r \xleftarrow{\$} \mathbb{F}_{0,1}^n \text{ salt}$$

$$h = H(m, r)$$

$$x \leftarrow D_{Q, \mathbb{Z}^n + th, \sigma_{\text{sign}}}$$

$$\text{return sig} = (r, h - U^{-1}x)$$

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$$x \xleftarrow{*} D_{Q, \mathbb{Z}^n + \ell h, \sigma_{\text{sign}}}$$

$$\text{return sig} = (r, h - U^{-1}x)$$

$$- x \in \mathbb{Z}^n + \ell h \text{ so}$$

$$h - U^{-1}x \in \mathbb{Z}^n$$

$$- \|x\|_Q = \|U^{-1}x\|_R$$

[DrW22] signatures:

$$H: \mathbb{F}_q^k \xrightarrow{*} \mathbb{F}_q^n, Q = I_n(\mathbb{Z}), (\sigma_{\text{keygen}}, \sigma_{\text{sign}})$$

KGen(1^n):

$$(R, U) \leftarrow D_{\sigma_{\text{keygen}}}([Q]), \text{ return } (\text{pk} = R, \text{sk} = U)$$

$$\begin{aligned} &Vf(\text{pk}, m, \text{sig} = (r, h - U^{-1}x)) \\ &\quad h = H(m, r) \end{aligned}$$

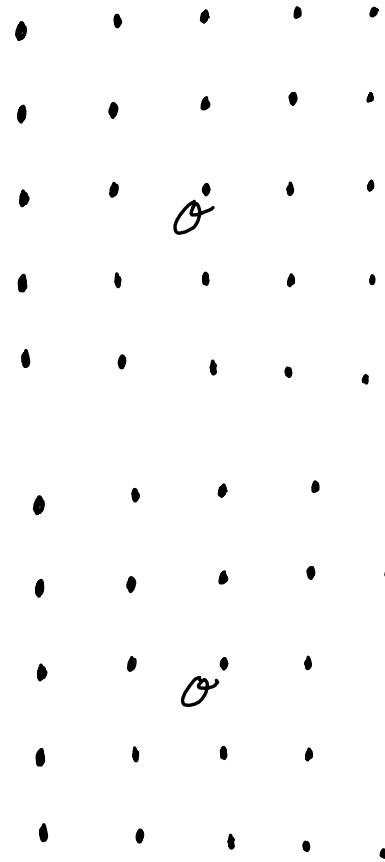
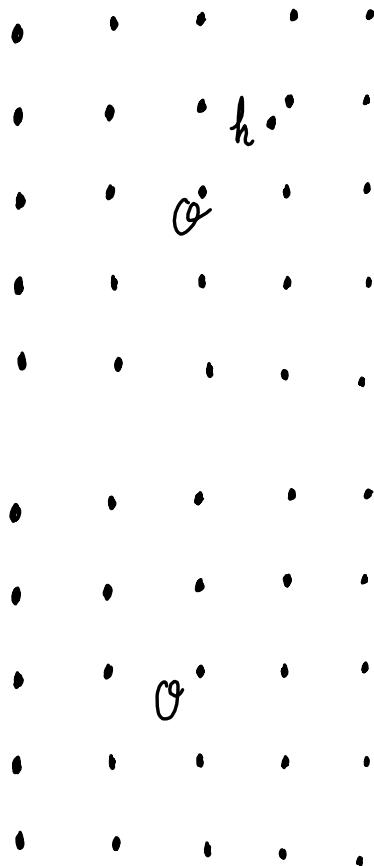
- $x \in \mathbb{Z}^n + Uh$ so
 $h - U^{-1}x \in \mathbb{Z}^n$
- $\|x\|_Q = \|U^{-1}x\|_R$

return $\llbracket h - U^{-1}x \in \mathbb{Z}^n \rrbracket$

$$1 \llbracket \|U^{-1}x\|_R \leq (1+\varepsilon)\sigma_{\text{sign}}\sqrt{n} \rrbracket$$

$$R = U^T Q U$$

Q



$$-\mathcal{R} = \mathcal{U}^T Q \mathcal{U}$$

Q

h
o
o

• ll

- -ih
- o
- o

$$-R = U^T Q - U$$

Q

h
o
o

• 11

A grid of black dots on a white background. The dots are arranged in a regular pattern, except for one central dot which is circled with a hand-drawn circle.

coset
 $Z^2 + \ell h$

A grid pattern consisting of 16 vertical columns and 10 horizontal rows. The intersections of the grid are marked with black dots. In addition to these dots, there are several 'x' marks scattered across the grid. Notable 'x' marks include one at the intersection of the 4th column from the left and the 5th row from the top, another at the intersection of the 7th column and the 3rd row, and a third at the intersection of the 9th column and the 2nd row. There are also smaller clusters of 'x' marks in the 1st, 2nd, 5th, 6th, 8th, 9th, and 10th columns.

$$R = U^T Q U$$

Q

• 11

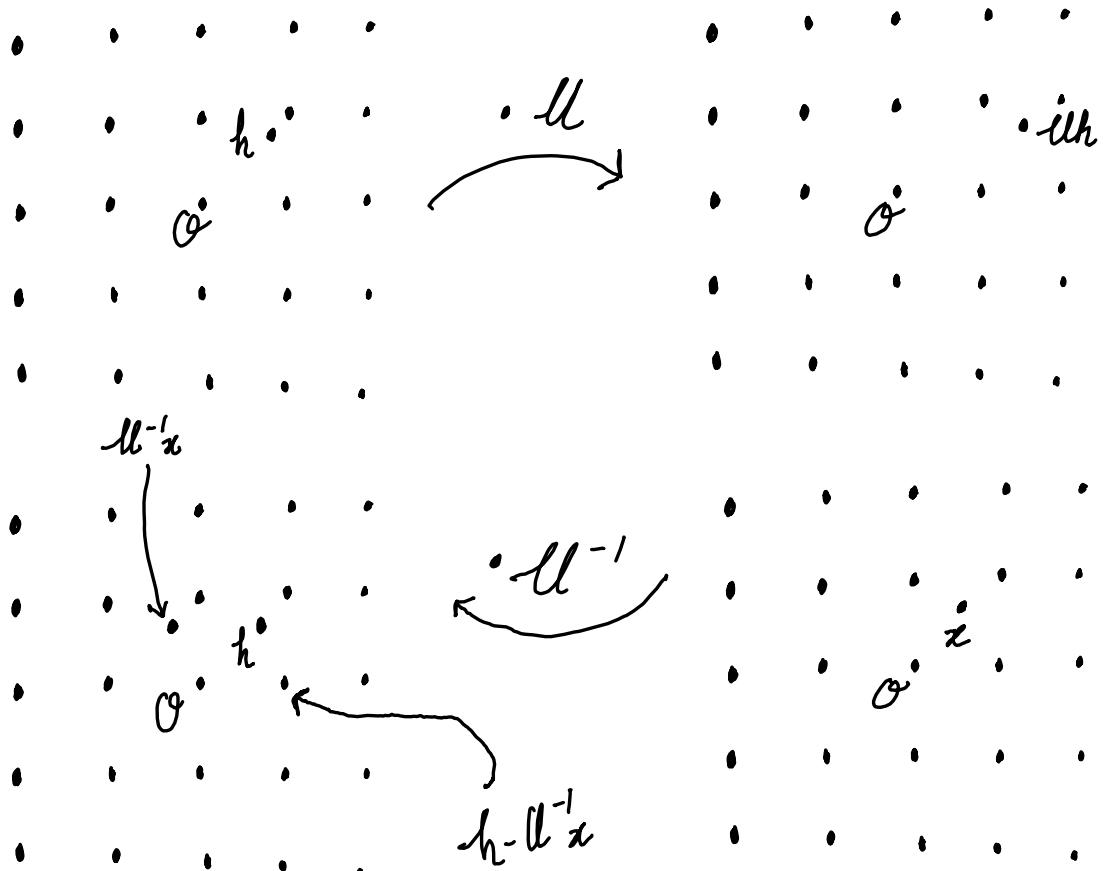
h
o
o

$x \leftarrow D_Q, \mathbb{Z}^2 + \text{ell}, \text{sign}$

$x \leftarrow D_{Q, \mathbb{Z}^2 + \ell h, \sigma \text{sign}}$

$$R = U^T Q U$$

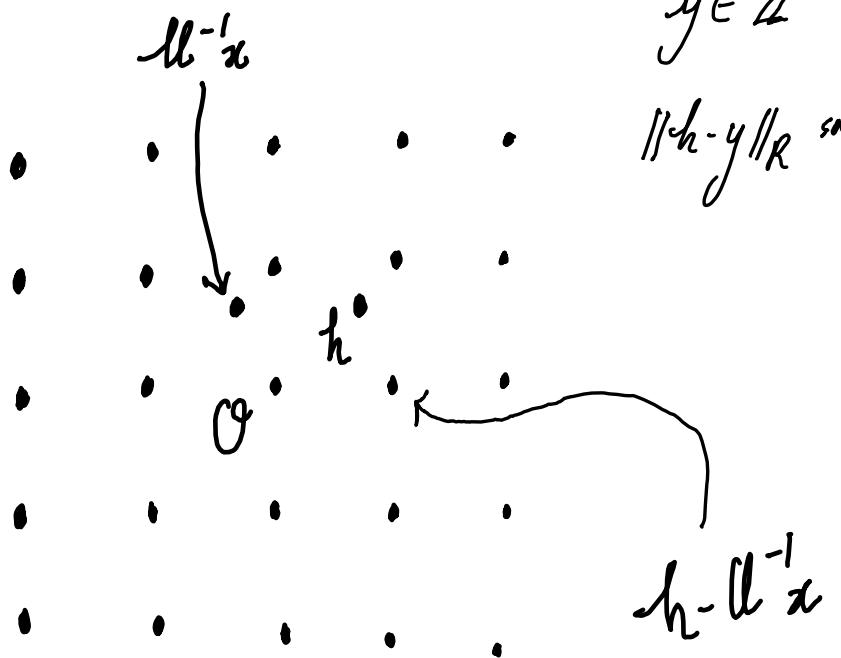
Q

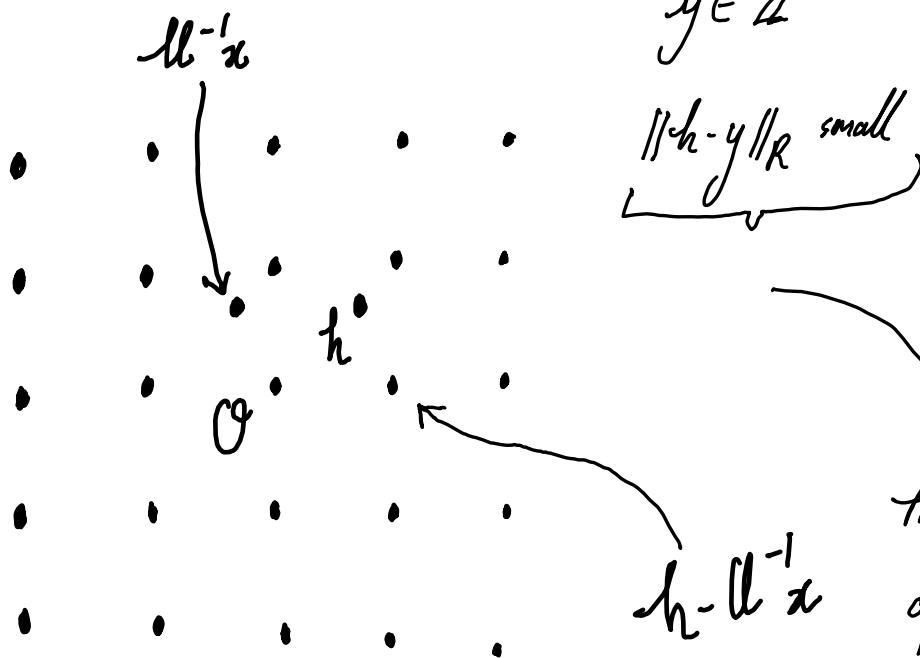


- can publicly compute h
- check $h - ll^{-1}x \in \mathbb{Z}^n$
- check $\|ll^{-1}x\|_R$

$$\begin{matrix} & ll^{-1}x \\ \cdot & \left(\begin{array}{cccccc} \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & h & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \end{array} \right) & \cdot \\ & 0 & \cdot \end{matrix}$$

$h - ll^{-1}x$





Forgery

$$y \in \mathbb{Z}^n$$

$$\|h - y\|_R \text{ small}$$

Honest

$$h - U^{-1}x \in \mathbb{Z}^n$$

$$\begin{aligned} \|U^{-1}x\|_R &\text{ small} \\ &= \|x\|_Q \end{aligned}$$

$$\begin{aligned} \text{If } Q &= B^t B \\ R &= U^t Q U \end{aligned}$$

then $B^t U y$ is an
approx CVP solution
for $B^t U h$ over
 $\Lambda = L(BU)$

lattice reduction cost estimates

↳ lattice reduction cost estimates

Reduction based security:

Theorem [DvW22]

For well chosen $Q, S \in \mathbb{S}_n^{>0}(\mathbb{R})$ and
large enough $(\sigma_{\text{keygen}}, \sigma_{\text{sign}})$, \exists

→ lattice reduction cost estimates

Reduction based security:

Theorem [DrW22]

For well chosen $Q, S \in \mathbb{S}_n^{>0}(\mathbb{R})$ and
large enough $(\sigma_{\text{keygen}}, \sigma_{\text{sign}})$, if

$\Pi = (K\text{Gen}, \text{Sign}, V_f)$ is ROM-EUF-CMA secure

if ac- $\Delta\text{LIP}_{\sigma_{\text{keygen}}}^{Q, S^{-1}}$ is hard

Kben(\mathbb{F}_q^n):

$$pk = R, sk = M$$

// $n \times n$ matrices

Sign(m, sk)

...

$$x \leftarrow D_{Q, \mathbb{Z}^n + Mh, \sigma_{\text{sign}}}$$

// sample g cosets of \mathbb{Z}

$$\mathbb{Z} + \frac{i}{g}, i \in \{0, \dots, g-1\}.$$

Kben(\mathbb{Z}^n):

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Sign(m, sk)

...

$$x \leftarrow D_{Q, \mathbb{Z}^n + Mh, \sigma_{\text{sign}}}$$

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① Use module structure \leadsto NTRU lattices

- Define average case

- Worst case \longrightarrow average case

Kben(\mathbb{F}^n):

$$pk = R, sk = H$$

// $n \times n$ matrices

Sign(m, sk)

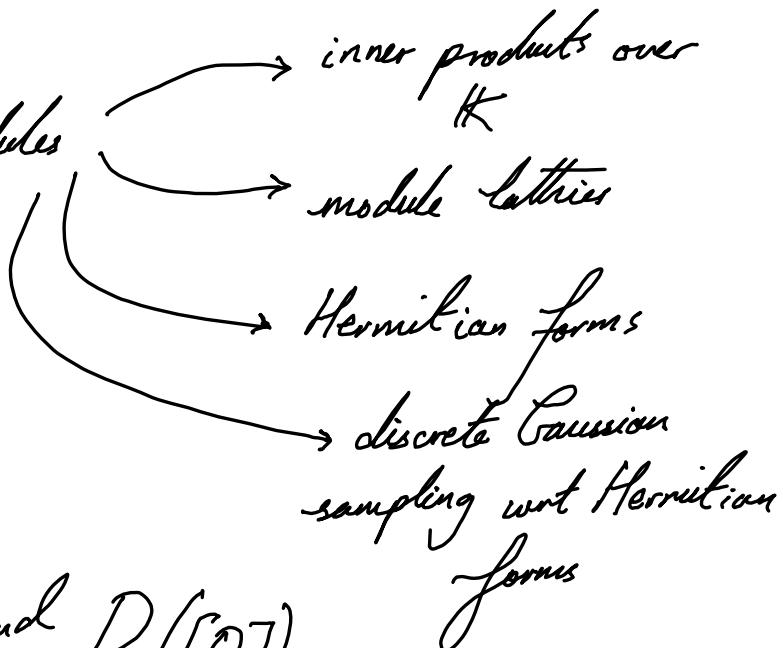
...

$x \leftarrow D_{Q, \mathbb{Z}^n + H\mathbb{Z}^n, \sigma_{\text{sign}}}$ // sample g cosets of \mathbb{Z}
 $\mathbb{Z} + \frac{i}{g}, i \in \{0, \dots, g-1\}.$

- ① Use module structure \rightsquigarrow NTRU lattices
 - Define average case
 - Worst case \longrightarrow average case
- ② Set $g=2$, $H: \mathbb{F}_2[\mathbb{F}]^n \rightarrow \mathbb{F}_2[\frac{1}{2}\mathbb{F}]^n$, new reduction

Adding module structure

1) Define sLIP over modules



2) Choice of $[Q]$ and $D([Q])$.

Number field K of degree n $\xrightarrow{\sigma} \mathbb{R}^n$

$\mathcal{O}_K^\ell, \mu \in GL_\ell(\mathcal{O}_K) \longrightarrow \mathbb{Z}^{\ell^n}, \mu \in GL_n(\mathbb{Z})$

$B \in K^{l \times l} \longrightarrow B \in \mathbb{R}^{l \times l}$

$M = B \cdot \mathcal{O}_K^\ell \longrightarrow L = B \cdot \mathbb{Z}^{\ell^n}$

$B^* \longrightarrow B^t$

$B^* B \in \mathcal{H}_\ell^{>0}(K) \longrightarrow B^t B \in \mathcal{S}_{\ell^n}^{>0}(\mathbb{R})$

Number field \mathbb{K} of degree n $\xrightarrow{\sigma} \mathbb{R}^n$

$\mathcal{O}_{\mathbb{K}}^l, \mu \in \text{GL}_l(\mathcal{O}_{\mathbb{K}}) \longrightarrow \mathbb{Z}^{l_n}, \mu \in \text{GL}_{l_n}(\mathbb{Z})$

$B \in \mathbb{K}^{l \times l} \longrightarrow B \in \mathbb{R}^{l_n \times l_n}$

$M = B \cdot \mathcal{O}_{\mathbb{K}}^l \longrightarrow L = B \cdot \mathbb{Z}^{l_n}$

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$B^* B \in \mathcal{H}_l^{>0}(\mathbb{K}) \longrightarrow B^t B \in \mathcal{S}_{l_n}^{>0}(\mathbb{R})$

rank l Hermitian form

het 'fix': $K = \frac{\mathbb{Q}[X]}{\langle X^{2^k} + 1 \rangle}$, $\mathcal{O}_K = \frac{\mathbb{Z}[X]}{\langle X^{2^k} + 1 \rangle}$, $n = 2^\ell$, $\ell > 1$

$$\sigma_i : K \rightarrow \mathbb{C} \quad i \in \{1, \dots, n\} \text{ and } \sigma_i = \overline{\sigma_{i+\frac{n}{2}}}$$

$$\sigma : K \rightarrow \mathbb{C}^n \quad x \mapsto (\sigma_1(x), \dots, \sigma_n(x))$$

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→ as an inner product space, will consider
 $\text{Im}(\sigma)$ in \mathbb{R}^n or \mathbb{C}^n

$$\text{Let's fix: } K = \frac{\mathbb{Q}[X]}{\langle X^{2^k} + 1 \rangle}, \quad \mathcal{O}_K = \frac{\mathbb{Z}[X]}{\langle X^{2^k} + 1 \rangle}, \quad n = 2^k \quad k > 1$$

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All of this to say $I \triangleleft \mathcal{O}_K \rightarrow$ consider
 $\sigma(I)$ a lattice in \mathbb{R}^n .

σ also gives an inner product

$$\langle \cdot, \cdot \rangle_{\sigma} : K \times K \longrightarrow \mathbb{R}$$
$$(a, b) \mapsto \langle \sigma(a), \sigma(b) \rangle_C = \sum_{i=1}^n \overline{\sigma_i \cdot (a)} \sigma_i \cdot (b)$$

σ also gives an inner product

$$\begin{aligned}\langle \cdot, \cdot \rangle_{\sigma} : K \times K &\longrightarrow \mathbb{R} \\ (a, b) &\longmapsto \langle \sigma(a), \sigma(b) \rangle_C = \sum_{i=1}^n \overline{\sigma_i(a)} \sigma_i(b)\end{aligned}$$

When $K = \frac{\mathbb{Q}[X]}{\langle X^{2^k} + 1 \rangle}$ ()
vec is a scaled
isometry of σ

$$\begin{aligned}\text{vec} : K &\longrightarrow \mathbb{Q}^n \\ a = \sum_{i=0}^{n-1} a_i X^i &\longmapsto (a_0, \dots, a_{n-1})\end{aligned}$$

σ also gives an inner product

$$\begin{aligned}\langle \cdot, \cdot \rangle_{\sigma} : K \times K &\longrightarrow \mathbb{R} \\ (a, b) &\longmapsto \frac{1}{n} \langle \sigma(a), \sigma(b) \rangle_{\mathbb{C}} = \frac{1}{n} \sum_{i=1}^n \overline{\sigma_i(a)} \sigma_i(b)\end{aligned}$$

$$\text{vec} : K \longrightarrow \mathbb{Q}^n$$

$$a = \sum_{i=0}^{n-1} a_i x^i \longmapsto (a_0, \dots, a_{n-1})$$

$$\langle \text{vec}(a), \text{vec}(b) \rangle_{\mathbb{R}} = \langle a, b \rangle_{\sigma}$$

① We often use coefficient embedding
as simpler.

For $\langle \alpha \rangle \triangleleft \mathcal{O}_K$ we give a basis of $\sigma(\langle \alpha \rangle)$

$$\text{rot}(\alpha) = \begin{pmatrix} 1 & 1 & 1 \\ \text{vec}(\alpha) & \text{vec}(\chi_\alpha) & \dots & \text{vec}(\chi_{\alpha^{n-1}}) \\ 1 & 1 & 1 \end{pmatrix} \in \mathbb{Q}^{n \times n}$$

For $\langle a \rangle \triangleleft \mathcal{O}_K$ we give a basis of $\sigma(\langle a \rangle)$

$$\text{rot}(a) = \begin{pmatrix} 1 & 1 & & \\ \text{vec}(a) & \text{vec}(X_a) & \cdots & \text{vec}(X^{\frac{a-1}{a}}) \\ 1 & 1 & & \end{pmatrix} \in \mathbb{Q}^{n \times n}$$

- Describe $\sigma(\langle a \rangle)$ as $a \in K$
- consider $Q = \text{rot}(a)^T \text{rot}(a) \in S_n^{>0}(Q) \quad // \mathcal{C} = 1$

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Enter "adjoint"

$* : \mathbb{K} \rightarrow \mathbb{K}$ is an involutory automorphism
 $a \mapsto a^*$ (that exists for "m fields")

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$$\sigma_i(a^*) = \overline{\sigma_i(a)} \Rightarrow \dots \Rightarrow \text{rot}(a)^t = \text{rot}(a^*)$$

and $\text{rot}(a)^t \text{rot}(a) = \text{rot}(a^*a)$

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→ describe $Q \in S_n^{>0}(\mathbb{Q})$ by a^*a .

① Problem: Cenby-Szydlo can recover
a from a^*a . "Ideal-sLIP" not hand.

We need rank $\ell > 1$.

$$B = \begin{pmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{pmatrix} \in K^{2 \times 2} \quad \text{and} \quad M = B \cdot \mathcal{O}_K^2$$

and generalise rot, vec, adjoint...

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and generalise rot, vec, adjoint...

$$\text{rot}(B) = \begin{pmatrix} \text{rot}(b_{11}) & \text{rot}(b_{12}) \\ \text{rot}(b_{21}) & \text{rot}(b_{22}) \end{pmatrix} \in Q^{2n \times 2n}$$

$$\text{vec}(x = (x_1, x_2)) = \begin{pmatrix} \overline{\text{vec}(x_1)} \\ \overline{\text{vec}(x_2)} \end{pmatrix} \in Q^{2n}$$

$$B^* = \begin{pmatrix} b_{11}^* & b_{21}^* \\ b_{12}^* & b_{22}^* \end{pmatrix}$$

} as
"expected"

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$$B^* B \in \mathcal{H}_2^{>0}(K) \longleftrightarrow \text{rot}(B)^t \text{rot}(B) \in \mathcal{S}_{2n}^{>0}(Q)$$

$$\mathcal{U}_2(K_R) \backslash GL_2(K)$$

$$\mathcal{O}_{2n}(R) \backslash GL_{2n}(R)$$

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Def module lattice isomorphisms (Hermitian forms version)

$Q, Q' \in \mathcal{H}_\ell^{>0}(K)$ are $Q' \sim Q$ when

$$\mu \in \text{GL}_\ell(\mathcal{O}_K) \quad Q' = \mu^* Q \mu$$

Write $[Q] = \{Q' : Q \sim Q'\}$.

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Upside of $\ell > 2$

- Parity-Symmetry not known
- move between $Q = B^*B \in \mathcal{H}_2^{>0}(K)$
 $\text{rot}(Q) = \text{rot}(B)^t \text{rot}(B) \in S_{2n}^{>0}(Q)$

as desired

Upside of $\ell > 2$

- Pentry-Sapello not known
- move between $Q = B^* B \in \mathcal{H}_2^{>0}(K)$
 $\text{rot}(Q) = \text{rot}(B)^t \text{rot}(B) \in \mathcal{S}_{2n}^{>0}(Q)$

as desired

↳ efficient manipulation and storage using K

↳ simple Gaussian sampling using $\mathcal{S}_{2n}^{>0}(Q)$.

Gaussian sampling w.r.t. $Q \in \mathcal{H}_\ell^{>0}(K)$

Our setup

$$D_{Q, \mathcal{O}_K^{\times L} + c, \sigma}(x) = D_{\text{rot}(Q), \mathbb{Z}^{nL} + \text{vec}(c), \sigma(\text{vec}(x))}$$

Gaussian sampling w.r.t. $Q \in \mathcal{H}_\ell^{>0}(K)$

Our setup

$$D_{Q, \phi_K^\ell + c, \sigma}(x) = \underbrace{D_{\text{rot}(Q), \mathbb{Z}^{nl} + \text{vec}(c), \sigma(\text{vec}(x))}}$$

$$Q = I_\ell(K) \text{ then}$$

coordinatewise $\mathbb{Z} + \text{vec}(c)_i$

i.e. $D_{\mathbb{Z}^{nl} + \text{vec}(c), \sigma}$

Def $wc-msLIP^Q$

Given $Q, Q' \in [Q]$ find $U \in SL_2(\mathcal{O}_K)$

$$Q' = U^* Q U.$$

Def $wc-msLIP^Q$

Given $Q, Q' \in [Q]$ find $U \in SL_2(\mathcal{O}_K)$

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Our case : $Q = I_2(K)$ then

$$[Q] = \{ U^* U : U \in SL_2(\mathcal{O}_K) \}$$

$$\text{rot}(Q) = \begin{pmatrix} 1 & \dots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \dots & 1 \end{pmatrix} \in \mathbb{Z}^{2n \times 2n} \longrightarrow \mathcal{L} = \mathbb{Z}^{2n \times 2n}$$

Need to sample $[Q]$ for an average case.

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In NTRU one samples $\begin{pmatrix} f \\ g \end{pmatrix} \in \mathcal{O}_K^{2 \times 2}$ from "D"
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↪ $\begin{pmatrix} f & F \\ g & G \end{pmatrix} \in SL_2(\mathcal{O}_K)$, when $d=1$

↪ D gives distribution on $\mathcal{O}_K^{2 \times 2}$

↪ $(D / \text{Complete}(D))$ gives distribution
on $\mathcal{O}_K^{2 \times 2}$

$D_o^{NTRU}([Q]), \quad Q \in \mathcal{H}_2^{\geq 0}(K)$

- 1.) $\begin{pmatrix} f \\ g \end{pmatrix} \leftarrow D_{Q,o}$
- 2.) $\begin{pmatrix} F \\ G \end{pmatrix} \leftarrow \text{Complete}(d=1, f, g)$
- 3.) $\begin{pmatrix} F \\ G \end{pmatrix} \leftarrow \text{SizeRed}(Q, f, g, F, G)$
- 4.) $U = \begin{pmatrix} f & F \\ g & G \end{pmatrix}, \quad R = U^* Q U$
5. return (R, U)

$D_\sigma^{NTRU}([Q]), \quad Q \in \mathcal{H}_2^{\geq 0}(K)$

- 1.) $\begin{pmatrix} f \\ g \end{pmatrix} \leftarrow D_{Q,\sigma}$
- 2.) $\begin{pmatrix} F \\ G \end{pmatrix} \leftarrow \text{Complete}(d=1, f, g)$
- 3.) $\begin{pmatrix} F \\ G \end{pmatrix} \leftarrow \text{SizeRed}(Q, f, g, F, G)$
- 4.) $U = \begin{pmatrix} F & F \\ g & G \end{pmatrix}, \quad R = U^* Q U$
5. return (R, U)
 - efficient for large enough σ
 - $R \in [Q]$ and independent of Q .

D_f ac-msLIP_σ^Q

Given $Q, Q' \leftarrow D_o^{NTRU}([Q])$ find $U \in SL_2(\mathcal{O}_K)$

$$Q' = U^* Q U$$

Def $\text{ac-msLIP}_{\sigma}^Q$

Given $Q, Q' \leftarrow D_{\sigma}^{\text{NTRU}}([Q])$ find $U \in SL_2(\mathcal{O}_K)$

$$Q' = U^* Q U$$

Thm (Similar to [Dvw22])

$$\text{ac-msLIP}_{\sigma}^Q \rightarrow \text{ac-msLIP}_{\sigma}^Q$$

Hawk: $H: \mathcal{E}_{0,15}^* \rightarrow \mathcal{E}_{0,\frac{1}{2}5^{2n}}$, $Q = I_2(K)$, $(\sigma_{\text{keygen}}, \sigma_{\text{sign}})$

Keygen(1^n):

$$(R, U) \leftarrow D_{\sigma}^{\text{NTAU}}([Q]), \text{pk} = R, \text{sk} = U$$

Sign(sk, m):
 $r \leftarrow \mathcal{U}(\mathcal{E}_{0,15}^{\text{saltless}})$

$$h = H(m, r)$$

$$x \leftarrow D_{Q, \mathbb{Z}^{2n+1}h, \sigma_{\text{sign}}}$$

$$\text{return } \text{sig} = (r, h - U^{-1}x)$$

Verf(pk, m, sig): $h = H(m, r)$

$$\text{return } \left[\left[h - U^{-1}x \in \mathcal{O}_K^2 \right] \wedge \left[\|U^{-1}x\|_R \leq (1+\varepsilon)\sqrt{n} \sigma_{\text{sign}} \right] \right]$$

Hawk: $H: \mathbb{E}_{0,1}^{\mathbb{F}^n} \rightarrow \mathbb{E}_{0,\frac{1}{2}\mathbb{F}^{2n}}$, $Q = I_2(K)$, $(\sigma_{\text{hygen}}, \sigma_{\text{sign}})$

KGen(1^n):

$$(R, U) \leftarrow D_{\sigma}^{\text{NTAU}}([Q]), \rho_h = R, sk = U$$

$\xrightarrow{\text{ac-msLIP}} \sigma_{\text{hygen}}^Q$

Sign(sk, m):

$$r \leftarrow \mathcal{U}(\mathbb{E}_{0,1}^{\mathbb{F}^{\text{saltless}}})$$

$$h = H(m, r)$$

$$x \leftarrow D_{Q, \mathbb{Z}^{2n+1} h, \sigma_{\text{sign}}} \quad \} \text{ purposefully "casual"}$$

$$\text{return } \text{sig} = (r, h - U^{-1}x)$$

Vf(pk, m, sig): $h = H(m, r)$

$$\text{return } \left[h - U^{-1}x \in \mathcal{O}_K^2 \right] \wedge \left[\|U^{-1}x\|_R \leq (1+\varepsilon)\sqrt{n} \sigma_{\text{sign}} \right]$$

Practical security an approx module CVP over
NTRU like bases

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Reductionist security:

To "omSVP"

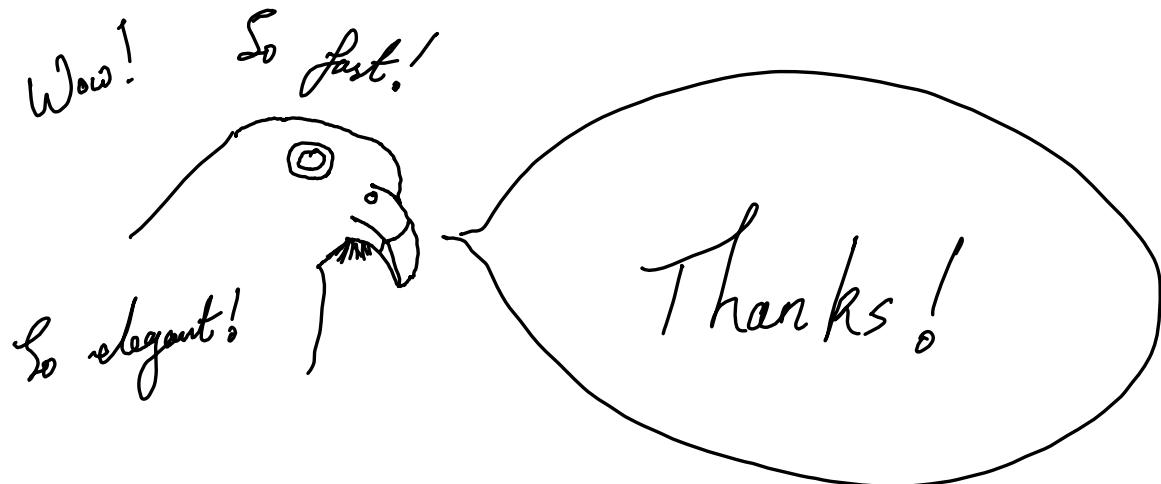
Intuition: Given $Q' \leftarrow D_o^{NTRU}([Q])$
and $O^{\text{samp}(.)}$
giving $x \leftarrow D_{Q', o}$

find "new" $x^* \in O_K^2$, $\|x^*\|_Q \approx \sqrt{2n\sigma}$.

Implementation :

- No floating points
- Drop and (publicly) recover half of
 - $\xrightarrow{\text{sig}}$
- small table based sampling of
 $D_{\mathbb{Z}, \sigma \text{sign}}, D_{\mathbb{Z} + \frac{1}{2}, \sigma \text{sign}}$
- fully isochronous

	[46]	This work	Gain	[46]	This work	Gain
	FALCON	HAWK	($\frac{\text{FALCON}}{\text{HAWK}}$)	FALCON	HAWK	($\frac{\text{FALCON}}{\text{HAWK}}$)
	512	512		1024	1024	
AVX2 KGen	7.95 ms	4.25 ms	$\times 1.87$	23.60 ms	17.88 ms	$\times 1.32$
Reference KGen	19.32 ms	13.14 ms	$\times 1.47$	54.65 ms	41.39 ms	$\times 1.32$
AVX2 Sign	193 μ s	50 μ s	$\times 3.9$	382 μ s	99 μ s	$\times 3.9$
Reference Sign	2449 μ s	168 μ s	$\times 14.6$	5273 μ s	343 μ s	$\times 15.4$
AVX2 Vf	50 μ s	19 μ s	$\times 2.63$	99 μ s	46 μ s	$\times 2.15$
Reference Vf	53 μ s	178 μ s	$\times 0.30$	105 μ s	392 μ s	$\times 0.27$
Secret key (bytes)	1281	1153	$\times 1.11$	2305	2561	$\times 0.90$
Public key (bytes)	897	1006 ± 6	$\times 0.89$	1793	2329 ± 11	$\times 0.77$
Signature (bytes)	652 ± 3	542 ± 4	$\times 1.21$	1261 ± 4	1195 ± 6	$\times 1.05$



eprint : 2022/1155

code : ludopelles/hawk-aux