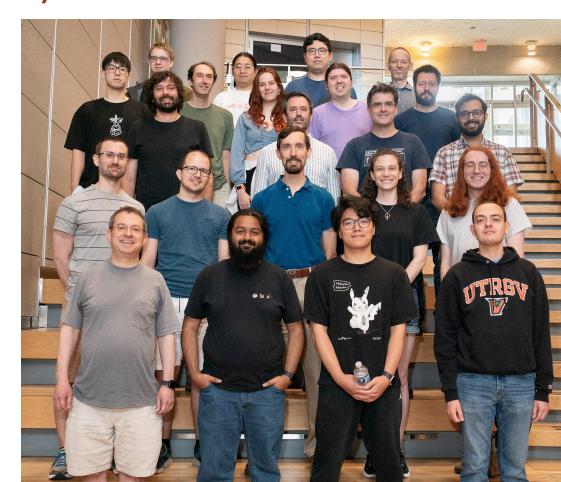
## SMT solvers and quantifiers

Chris Hawblitzel (and the Verus Team)

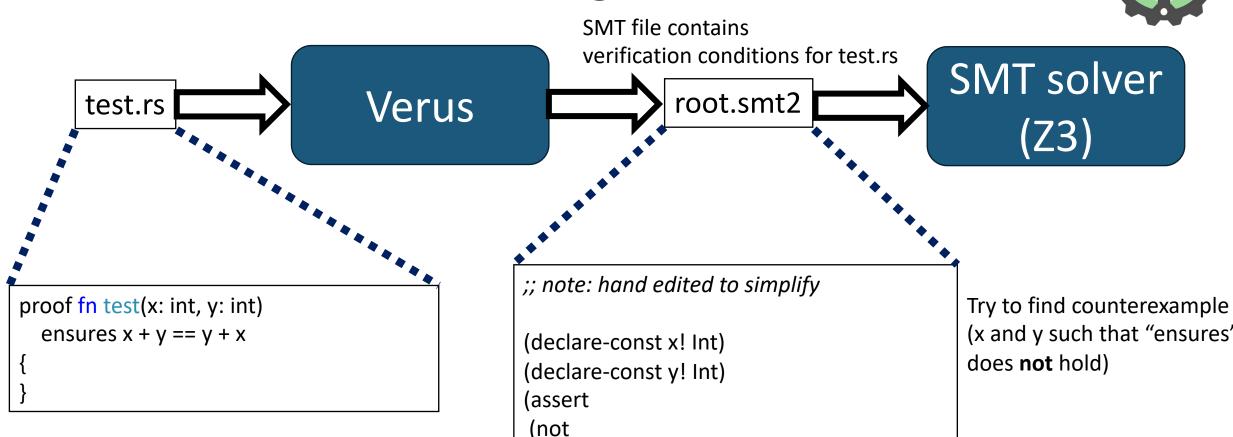
Microsoft Research





## Verification condition generation





(check-sat)

(= (Add x! y!) (Add y! x!))

verus smt1.rs --log-all

(x and y such that "ensures"

### Decidable and undecidable theories

#### **DECIDABLE THEORIES**

```
boolean expressions
linear integer arithmetic
bit vector arithmetic
uninterpreted functions
datatypes
```

```
e ::= true | false | !e | e && e | e ==> e | ...

e ::= ... | -2 | -1 | 0 | 1 | 2 | e + e | e - e | e <= e | ...

e ::= ... | e & e | e << e | ...

e ::= ... | f(e,...,e)

e ::= ... | S(e, ..., e) | S.f | match e { ... }
```

#### UNDECIDABLE

```
nonlinear integer arithmetic e ::= ... | e * e | e / e | e % e quantifiers e ::= ... | (forall|x: t| e) | (exists|x: t| e)
```

What algorithms solve the decidable theories? What heuristics can help with the undecidable components?

## SMT components used by Verus



#### Core Components (always enabled)

#### SAT solver

true | false | !e e && e | ...

#### Uninterp funcs

spec fn f(x,...,x);
f(e,...,e)

#### Quantifiers

forall|x: t| e exists|x: t| e

#### Linear int arith

```
-2 | -1 | 0 | 1 | 2
| e + e | e - e
| e <= e | ...
```

#### Datatypes

```
struct S { ... }
enum E { ... }
S(e,...,e) | e.f | ...
```

```
Additional Components (via "assert by")

Bit vector arith

e & e | e << e |

...

| e * e | e / e | e % e
```

- undecidable, but really important
- programmable by triggers

decidable, but can be slow

undecidable,

often unpredictable

## Congruence for uninterpreted functions



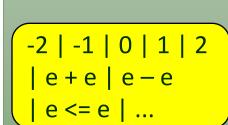
#### Uninterp funcs

spec fn f(x,...,x); f(e,...,e)

# Congruence principle: equal inputs imply equal output

### Example algorithm: linear arithmetic

#### Linear int arith





Example: 
$$(4*x + 2*y - 2*z \le 0) \&\& (3*x + 2*y - z \le 0) \&\& (-4*x - 3*y + z \le 0) \&\& (-5*x - 4*y + z \le 0)$$

Sample algorithm: "Fourier-Motzkin elimination"

Eliminate one variable at a time:

$$2*x + y \le z$$

$$3*x + 2*y \le z$$

$$z \le 4*x + 3*y$$

$$z \le 5*x + 4*y$$

$$3*x + 2*y \le 5*x + 4*y$$

$$3*x + 2*y \le 5*x + 4*y$$

Sufficient for real numbers, rational numbers. Integers require additional work.

## Example: uninterp funcs + arithmetic



```
push(s, x + y) == push(s, y + x)
#[verifier::external body]
struct MySeq;
impl MySeq {
  spec fn empty() -> MySeq;
                                                                                        Uninterp funcs
  spec fn len(self) -> nat;
  spec fn push(self, value: int) -> MySeq;
                                                                                        spec fn f(x,...,x);
                                                                                        f(e,...,e)
proof fn test(x: int, y: int) {
  let s = MySeq::empty();
                                                      Linear int arith
  assert(s.push(x + y))
    == s.push(y + x));
                                                                                       X + Y == Y + X
                                                        e <= e | ...
```

## Exercise: uninterp funcs + arithmetic



```
impl MySeq {
  spec fn empty() -> MySeq;
  spec fn len(self) -> nat;
  spec fn push(self, value: int) -> MySeq;
proof fn axiom my seq empty()
  ensures ... empty len is 0 ...
{ admit(); }
proof fn axiom my seq push len(s: MySeq, value: int)
  ensures ... push adds 1 to len ...
{ admit(); }
proof fn test(x: int, y: int) {
  let s0 = MySeq::empty();
  let s1 = s0.push(x + y);
  let s2 = s1.push(x - y);
  assert(s2.len() == 2); // make this succeed
```

#### Quantifiers



```
spec fn f(i: int) -> int;
spec fn g(i: int) -> int;

proof fn test()
   requires
      forall|x: int, y: int| f(x) > g(y)
   ensures
      f(g(7)) > g(3)
{
}
```

Quantifiers

forall|x: t| e exists|x: t| e



```
Example:

\forall f,g.

(forall|x: int, y: int| f(x) > g(y))
```

==> f(g(7)) > g(3)

#### Quantifiers

forall|x: t| e exists|x: t| e



Example (as satisfiability query):

(forall|x: int, y: int| f(x) > g(y))

&& 
$$f(g(7)) \le g(3)$$

Infinitely many possible instantiations of x, y

•••

&& 
$$f(2) > g(7)$$

&& 
$$f(4) > g(6)$$

&& 
$$f(4) > g(7)$$

&& 
$$f(5) > g(10)$$

&& 
$$f(5) > g(-5)$$

•••

#### Quantifiers



```
Example: pattern ("trigger") to match \exists f,g. (forall|x: int, y: int| #![trigger f(x), g(y)] f(x) > g(y)) && f(g(7)) <= g(3)
```

#### Quantifiers



```
Example: (alternate trigger notation for trigger f(x), g(y))

\exists f,g.

(forall|x: int, y: int| #[trigger] f(x) > #[trigger] g(y))

&& f(g(7)) <= g(3)
```

#### Quantifiers



```
Example: pattern ("trigger") to match \exists f,g. (forall | x: int, y: int | #![trigger f(x), g(y)] f(x) > g(y)) && f(g(7)) <= g(3) matches f(x) with g(y) with f(x) = g(7) f(x) = g(7)
```

Quantifiers



```
pattern ("trigger") to match
Example:
∃f,g.
      (forall |x: int, y: int | #![trigger f(x), g(y)] f(x) > g(y))
                                             f(g(7)) > g(3)
 && f(g(7)) \le g(3)
                             &&
  matches
                matches
                                  contradiction
               g(y) with
  f(x) with
                                  (no counterexample,
  x = g(7)
                y = 3
                                  original formula is valid)
```

#### Quantifiers

forall|x:t| e exists|x:t| e



```
Example: pattern ("trigger") to match \exists f,g. (forall|x: int, y: int| #![trigger f(x), g(y)] f(x) > g(y)) && f(g(7)) <= g(3)
```

Triggers may be written by user, or chosen automatically by Verus.

#### Quantifiers

forall|x:t| e



```
Example: pattern ("trigger") to match \exists f,g. (forall|x: int, y: int| #![trigger f(x), g(y)] f(x) > g(y)) && f(g(7)) <= g(3)
```

- The trigger must mention all the quantified variables
  - both and x and y
- The trigger matches only if \*all\* of its patterns match
  - both f(x) and g(y) must match some expressions

#### Quantifiers

forall|x: t| e exists|x: t| e



```
Example: badly behaved trigger

∃f.

(forall|x: int| #![trigger f(x)] f(f(x)) > f(x)

&& f(3) <= f(f(2))

&& f(f(3)) > f(3)

&& f(f(f(3))) > f(f(3))

&& f(f(f(f(3)))) > f(f(f(3)))

...
```

Beware of infinite matching loops

#### Quantifiers

forall|x: t| e exists|x: t| e



```
Example: nicely behaved trigger

If. (forall|x: int| #![trigger f(f(x))] f(f(x)) > f(x)

&& f(3) <= f(f(2))
&& f(f(2)) > f(2)
```

Triggers are the programming language for quantifiers. Choose triggers carefully!

## Exercise: quantifiers

```
impl MySeq {
  spec fn empty() -> MySeq;
  spec fn len(self) -> nat;
  spec fn push(self, value: int) -> MySeq;
proof fn axiom_my_seq_empty()
  ensures ... empty len is 0 ...
{ admit(); }
proof fn axiom my seq push len quant()
  ensures forall|s: MySeq, value: int| ... push adds 1 to len ...
{ admit(); }
proof fn test(x: int, y: int) {
  let s0 = MySeq::empty();
  let s1 = s0.push(x + y);
  let s2 = s1.push(x - y);
  assert(s2.len() == 2); // make this succeed
```



### Exercise: broadcasts

```
broadcast proof fn axiom_my_seq_empty()
  ensures #[trigger] MySeq::empty().len() == 0
{ admit(); }
broadcast proof fn axiom_my_seq_push_len(s: MySeq, value: int)
  ensures ... push adds 1 to len ...
{ admit(); }
proof fn test(x: int, y: int) {
  broadcast use axiom_my_seq_empty;
  broadcast use axiom_my_seq_push_len;
  let s0 = MySeq::empty();
  let s1 = s0.push(x + y);
  let s2 = s1.push(x - y);
  assert(s2.len() == 2); // make this succeed
```



## Exercise: extensional equality

```
proof fn axiom seq equal(x: Seq<u8>, y: Seq<u8>)
  requires
    ...x and y's lengths are equal...,
    ...x and y's elements are equal...,
  ensures
    x == y,
{ admit() }
proof fn demand eq(x: Seq<u8>, y: Seq<u8>)
  requires x == y
proof fn test_seq_eq() {
  axiom_seq_equal(...);
  demand_eq(seq![10] + seq![20, 30],
        seq![10, 20] + seq![30]); // make this succeed
```



Seq::add([10], [20, 30]) == Seq::add([10, 20], [30])



#### Uninterp funcs

```
spec fn f(x,...,x);
f(e,...,e)
```

congruence doesn't help here!

[10] = [10, 20] [20, 30] = [30]

## Interpreted functions (nonrecursive)



Core Components (always enabled)

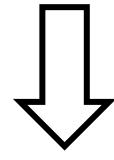
#### Uninterp funcs

```
spec fn f(x,...,x);
f(e,...,e)
```

#### Quantifiers

```
forall|x: t| e
exists|x: t| e
```

```
spec fn f(x: int, y: int) -> int {
    x + 2 * y
}
```



```
spec fn f(x: int, y: int) -> int;
axiom:
forall|x: int, y: int|
    #[trigger] f(x, y) == x + 2 * y
```

## Interpreted functions (recursive)



Core Components (always enabled)

#### Uninterp funcs

```
spec fn f(x,...,x);
f(e,...,e)
```

#### Quantifiers

```
forall|x: t| e
exists|x: t| e
```

```
spec fn f(x: int) -> bool
  decreases x
  x \le 0 \mid | !f(x - 1)
Naive axiom generates matching loop:
   f(x)
  == x <= 0 \mid \mid !f(x - 1)
  == x <= 0 \mid | !(x - 1 <= 0 \mid | !f(x - 1 - 1))
  == x <= 0 \mid | !(x-1 <= 0 \mid | !(x-1-1 <= 0 \mid | !f(...)))
Verus axiom uses "fuel" to limit unrolling depth
proof fn test() {
  reveal with fuel(f, 4);
  assert(!f(3));
```

## Assert-by for bit vector, nonlinear arith



#### Core Components (always enabled)

#### SAT solver

true | false | !e e && e | ...

#### Linear int arith

```
-2 | -1 | 0 | 1 | 2
| e + e | e - e
| e <= e | ...
```

#### Uninterp funcs

spec fn f(x,...,x); f(e,...,e)

#### Datatypes

```
struct S { ... }
enum E { ... }
S(e,...,e) | e.f | ...
```

#### Quantifiers

forall|x: t| e exists|x: t| e

#### Additional Components (via "assert by")

Bit vector arith

```
e & e | e << e |
...
```

#### Nonlin int arith

```
e * e
e / e | e % e
```

```
proof fn test_by(x: u32)
  requires x <= 200,
{
  assert(x >> 8 == 0) by(bit_vector)
    requires(x <= 255);
}</pre>
```

## Assert-by for bit vector, nonlinear arith



#### Core Components (always enabled)

#### SAT solver

true | false | !e e && e | ...

### Uninterp funcs

spec fn f(x,...,x); f(e,...,e)

#### Quantifiers

forall|x: t| e exists|x: t| e

#### Linear int arith

```
-2 | -1 | 0 | 1 | 2
| e + e | e - e
| e <= e | ...
```

#### Datatypes

```
struct S { ... }
enum E { ... }
S(e,...,e) | e.f | ...
```

```
proof fn test_by(x: u32, y: u32)
  requires x > 1 && y > 0
{
  assert(x * y > y) by(nonlinear_arith)
    requires(x > 1 && y > 0);
}
```

#### Additional Components (via "assert by")

```
Bit vector arith
```

```
e & e| e << e |
...
```

```
Nonlin int arith
```

```
e * e
e / e | e % e
```