

- Preemption require two process — the present process will move from running to ready and new will

* LINUX System Model —

Running State — Two states

- Executing state for running process.
- Ready state for ready process

- Blocking state is divided in 3

- Uninterruptable — Moves to ready only when the event is finished.

- Any other signal cannot move move to ready

- All I/O

- Interruptable — Moves to ready with when either the event has occurred or there is a signal to move it to ready.

03/09/19

- Stopped — Moves from running to stopped if a process is waiting for a signal.
— When signal is received it moves to ready

Study time
Page No.: / /
Date: / /

Study time
Page No.: / /
Date: / /

- * Base state with value - 00000000
- Running - 00000000
- Init. - 00000001
- Unint - 00000010
- TASK MAX STATE - $0X1000$
 - Max no. of states
 - Value cannot be greater than this.
- TASK TRACED - $0X0003$
 - used for debugging
 - Used with gdb
 - Given off after each instruction.
- TASK PARKED - $0X00000000$
 - Task stopped where other processes can wake the process.
 - Process not going to be used for a long time.
- Zombie and dead are both for terminated processes.
 - Process becomes dead after zombie.
 - When parent collects the address of child then zombie becomes dead.
- If we need don't take the address of terminated process then the process stays at zombie indefinitely.
- A user can create multiple processes so if a user creates infinite process then it will affect other users.
- As there is a limit to no. of processes by a user.

- Each zombie takes a process count so the user can now have 1 less process to exec. so it's better to kill a zombie.

blocked

- TASK_WAKEKILL - Client process but only specific kill signal can do it but not any other signal.

- TASK_WAKING - When any core/process wakes a process but the process is still not ready - it's in an intermediate waking state.

- TASK_NOLOAD - No task loaded & executed by the system
 - not wof will run without being accounted by the system.

←

P1

$\text{Reg1} \leftarrow \text{load}(x)$
 $\text{Reg1} \leftarrow \text{Reg1} + 1$
 $\text{store}(x) \leftarrow \text{Reg1}$

P2

$\text{Reg1} \leftarrow \text{load}(x)$
 $\text{Reg1} \leftarrow \text{Reg1} - 1$
 $\text{store}(x) \leftarrow \text{Reg1}$

→ can meet in race condition if either process is switched before they are completed as the variable value is not updated.
 → x can have value 9, 10, 11 in diff. sequences.

This can happen with a process also so when 1 process is wake woken up by some core and another core is trying to use the process resources before it's ready.

- So the processor is kept at working state to let the core know that process is not ready.
- To prevent race condition interrupt can be blocked which will prevent time slice expiry because clock cannot raise an int.
- + b) - Node switch takes place
 - Core process switches from user process space to kernel of space.
 - Process can run in both the spaces.
- c) - Context switch
 - kernel also running as a process.
 - Better than (b).

* Process Generation

- Layout - Child process of bash except process.
- Memory - Divided in frames - e.g.
 - Memory table - keeps the info of ~~various~~ frames occupied by all the processes.
- * I/O Table - keeps info about the I/O resources
- Devices
 - Resource Req - Req. by process to os to give the resource.
 - i) use - Process uses the resource
 - ii) release - Process releases the resource after the use.
 - os collects it.

- * File Table - keeps info about the file opened by any process (or)
 - Per process file table.
 - System wide file table.
- * Process Table - Info of all the processes including zombie.

10/19 Process Control Block :-

- Even if the process is in blocked state it will have its process control block available in main memory.
- Struct task_struct {
 - ''
 - '' — 600 lines of code.
- Process control block is an instance of this structure.
- An array stores all the process control block.
- Pid - The entry at in the array at which the process control block is stored for a process.
- Unique pid for each process.
- pid = 0 - 32767.

* Process Identification -

- PID - ID in the array which is available first



opened by

- Circular search - starts from the place where it was allocated last.
- unsigned number. (int).

Pid 0 - INIT or SUID process.

To shutdown a Os we give command INIT 0 which will kill the first process.

Pid 1 - INIT process.

- ppid - Parent process id.

- Parent's id is also maintained.

- get pid|ppid - Prints the Pid|ppid no.

- To send a signal to a process its pid has to be mentioned/used in the inst.

- gld - Group ID.

- In any group if Pid = gld then that process is the leader of the group.

- sid - Session Id.

- When we login we create a session and any process created in that session will have been under the same session.

- Pid and ppid are compulsory id.

- Pid and ppid cannot be set by user. Other ids can be changed using setuid() / setsid().

If a Parent Process dies before the child then -
i) either grandparent will become the parent.
ii) or INIT process will become the parent.
In most Os INIT becomes the parent.

- A parent process maintains a link to its first child and last child.
- Each child maintains a link with its previous sibling and next sibling.

* Process State Information -

- Stores the info about the process the process worked last on, i.e. the previous PC value, prog. counter, flags value, pointer, etc.
- This is backed up whenever the process ~~last~~ is moved to blocked/stoped stat due to time slice expiry, I/O or event wait.
- This is stored in the process control block.

* Process State Information -

- Argument Vector - Array of 20 char array or 16 string array
 - used to store the arguments in command line.
 - Argv [0] - Contains the executable name of the prog.
- Environment Vector - Path Variable / Env. Variables
 - which tells which file the prog. should search for if it needs a file.
 - Most of the variables are inherited from the parent but it can be modified by child which will not be reflected in parent

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Page No.: / / Date: / /

study time
Page No.: / / Date: / /

link to its first
with its parent.

uses the process
previous leg
eg value, stack

process has been
tall due to
t wait.
not block.

has array
any
ments in
scutcher name

Env. Variable
which place
and search

from the
by child
parent

- Any modification done by parent after the creation of child will not be reflected to child process.

- Scheduling and state information -

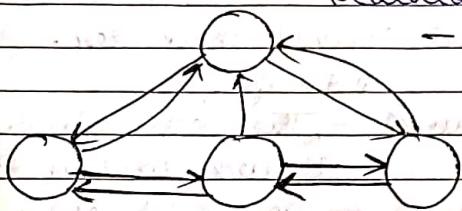
- Process State
- Priority
- Scheduling Algo. - Linux works with a no. of scheduling algo. - class of Algo.

- Data structure - linking with other processes.

- Maintained as a tree.

- Parent.

- Child.



- If there is no link b/w the children then parent becomes the left/right sibling of that child.

- So whenever a child process dies the parent has to collect the info and modify the links of its siblings.

- ~~A child cannot have multiple parent~~

- Orphan Process - When the parent dies the child process becomes orphan process and join INIT process as the parent.

→ This will increase the breadth the of process so killing a parent process is not good.

→ Process Privileges.

→ Inter Process Communication.

→ Mem. Management.

→ Resource Ownership & Utilization.

→ User running Time (utime) and kernel running time (stime) is maintained separately for each process which tells the total running time, user space and kernel space resp.

Bitmap of

→ ~~no~~ cores allowed → The cores which are all allowed to run the process.

→ no core allowed → Count of cores allowed.

→ Total Running Time = utime + stime.

→ nvcue - No. of voluntarily context switching,
→ By the process.

→ nvicue - No. of involuntarily context switching,
→ By the OS or some other process.

→ Total Context Switching = nvcue + nvicue.

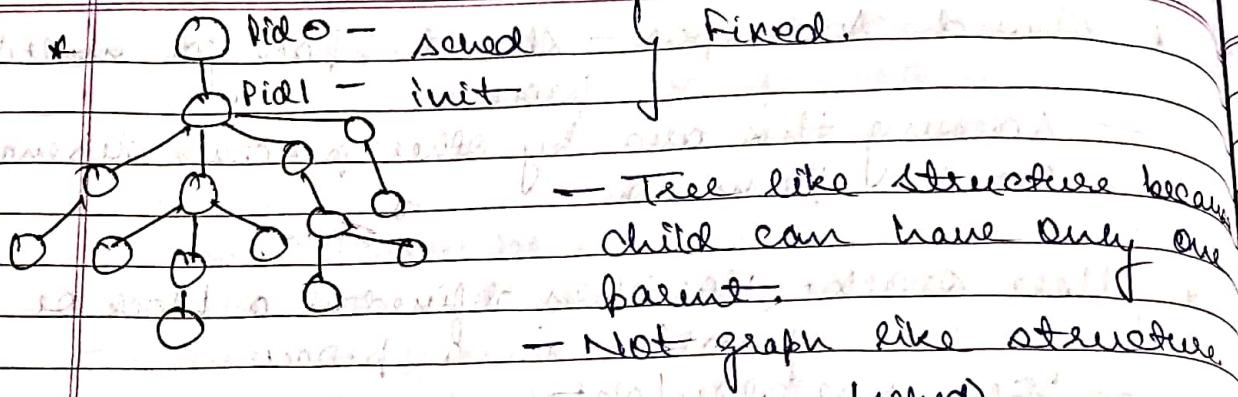
06/09/19 → ~~that stack~~, Private User Area -

→ Private user area is global area for all the function of the process.

- * Shared Ad. space - shared space for multiple processes.
 - Accessing this area by other processes depends on the permission
- * Use stack - variables defined in a block or function in a process.
 - Local variables/data.

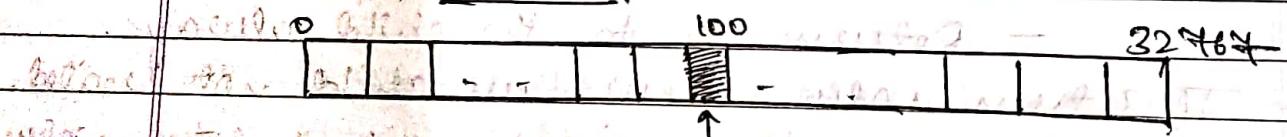
Process Management:-

- fork() - Creates new process.
 - only function that returns two values
 - Return values are not returned to the same processes.
 - Returns one value to the parent i.e. child's pid to the parent
 - Returns 0 to the child always.
 - If return value is -ve then child not created.
In this case there will be only 1 return value to the parent and not to the child because child not created.
 - $x = \text{fork();}$
 - n will be there in both parent and child process.
 - n value in parent process is the pid of child process.
 - n value in child process is 0.



- Pid 0 - send - fixed.
- Pid 1 - init
- Tree like structure because child can have only one parent.
- Not graph like structure.
- INIT 0 - kill the top process - shutdowns the process.
- If parent dies child usually joins to init process (Pid 1). Child can also join the grandparent depending upon the os.
- Sched - Maintains scheduling and swapping.

A Process Creation



The search for an empty Pid starts from the last allocated Pid, and it is a circular array. So child can get a lower pid than parent.

- When a parent opens a file and after opening the file that parent creates a child process then child will also have that file opened in its block and the count of file increases by 1. — All resource sharing.
- Limited Resource Sharing
- No Resource sharing

- In Linux for unlimited resources all file exec -e sharing is followed by parent and child.
- If parent opens a file after the child is created will not be opened in child.
- If child opens a file then it will not be opened in parent.
- After the creation of child, parent and child and in process, any changes made in child and parent will not reflect in each other.
- Child will have a copy of variables/struct/ data of parent process. Even the first time it is created but the modification is not reflected in each other because the actual variable is not shared - it is just copied.
- Similarly with file pointer.
- This happens for the global variable of the program also.
- + Execution - Two ways to create child - fork() & vfork().

- vfork() - Suspends the parent until child finishes its process and terminates.
 - Deprecated sys. call - NOT used now.
 - Parent thus can only create 1 child in this process.
- fork() - Child and parent execute concurrently i.e. file sharing is possible.
 - Both processes join the ready queue - child joins first and then parent.
 - Both the processes can execute parallelly i.e. at the same time if CPU supports it (multicore/multi processor).

— The variables are not shared b/w child and parent. Both have diff. copies of all the variables global variables of the prog.

Page No.:
Date: / /

- Child is created in a separate memory space than parent. So any modification done by either of them will not be reflected in each other.
- Data block and stack block is replicated for the child but code block is not replicated because code is used only so both child and parent follow the same code.
- Both parent and child will continue execution from the next statement of fork().

```
int g = 100;
int main()
{
    int level, x = 0;
    var1 = fork();
    if (var1 > 0)
    {
        printf("I am parent\n");
        n = 10, g = 1000;
        wait();
    }
    else if (var1 == 0)
    {
        printf("I am child\n");
        n = 200, g = 2000;
    }
    printf("Level %d and parent %d\n", level, parent);
}
```

child and parent
variables study time
Page No.: Date: / /

study time
Page No.: Date: / /

memory space
allocated in each
process

is replicated
in not replica-
so both
same code
time except
of fork().

(P0)

(PT)

$$\begin{aligned} \text{Pid} &= 2000 \\ g &= 100 \quad 1000 \\ h &= 10 \end{aligned}$$

$$var1 = 2100$$

$$\text{Pid} = 2100$$

$$\begin{aligned} g &= 100 \quad 2000 \\ h &= 10 \quad 200 \\ var1 &= 0 \end{aligned}$$

- each process must have to allocate some space to kernel like block.
- Each process tree is given some address space in the kernel space. If kernel space is full then no ^{new} process can be created.

09/09/19 fork failed lesson -

- Not enough resources available.
- No permission to create more processes or max. no. of process reached.

- fork returns -ve no. to parent process if child is not created in case of an error.

- wait(NULL) - Written in parent process code.

- wait for a child process to complete and then come out moves to next statement in parent process.

- For multiple children so that many wait() has to be written in parent.

- Instead of NULL an address can be passed to wait() which store the status of child process.

- When the parent reaches the wait() statement and executes it it moves to the blocked state

active until the child has completed execution.

- On creation of child both the parent and child will contain the same program code from the next statement of `fork()`. Parent will not go to blocked state.
- Because of wait() in parent code the child will always terminate first and then the parent. This avoids the creation of orphan process.
- Child will join the ready queue before parent but execution depends on the scheduling so parent may or may not execute before the child process.
- If `wait()` is written outside the parent block then it will block the parent.
- If `wait()` is in a process with no children the process will not wait for any process to end.
- `wait()` will wait for any of the n child processes to complete. Can be any child which is terminated first.
- `waitpid()` will wait for the child with specific pid to complete.
- If we remove `wait()` from parent's block, the parent process may terminate before the child process which will make the child

complex exec.

the parent and
the program exec
one). Parent
executes.

code the child
and then the
of orphan prog.

before parent
scheduling so
before (the

the parent

no children
my process to

child process
is terminate

with specific

's block
for the
child

process an orphan process and it will join INIT
as its parent process. — Bad prog., Avoid it.

* wait (& variable).

— we are passing the add. of variable so the
child process will return a value to that
add.

→ The

The return value of wait() is the pid of the
child process which completed execution.

— The last return in a process acts as exit().

* exit (return/error no) — In child we write exit()
with a diff error no at
diff. blocks so that we can know from where
the child prog. exited.

— This no. is stored in the variable add. passed
as an argument in the wait() statement
of parent process.

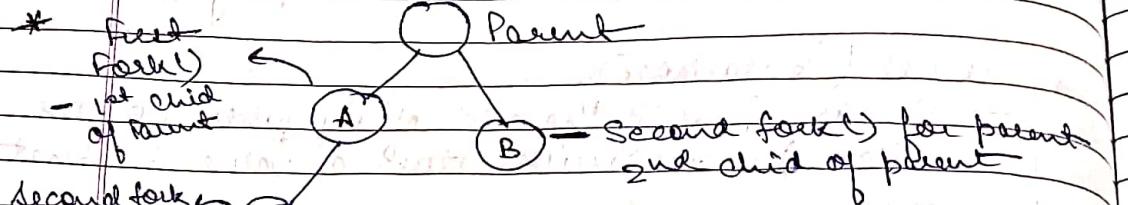
• waitpid (pid, & status, 0)

— The parent waits for the child with p for that
specific pid to terminate.

— If pid = -1 the waitpid() behaves exactly as
wait() i.e. it will wait until any of the
children has terminated.

* Process Creation Example:

* fork()

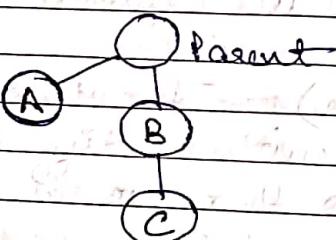


Second fork()
of prog - 1st
fork for the
child process
child of the
1st child by
parent.

fork(); A
fork(); B

- Only two wait() required
as the parent can have at max
two children processes.

10/09/19



- if(fork()) - A

if (!fork()) - B

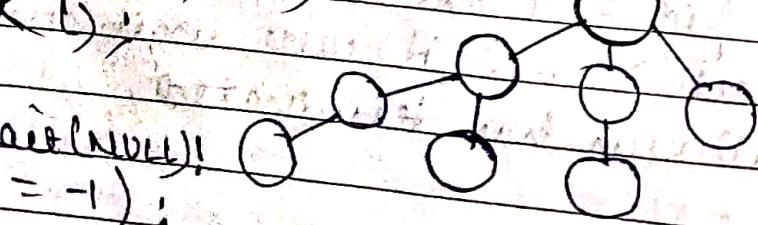
fork(); - C

- Max two wait() required.

* $\text{fork}(); i < 3; i++)$
 $\text{fork}();$

while($\text{key}(\text{NUL}) != -1$);

= -1);

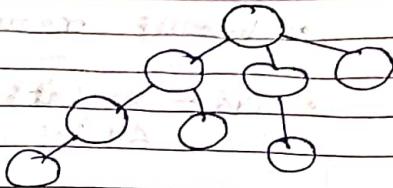


Page No.: study time
Date: / /

Page No.: study time
Date: / /

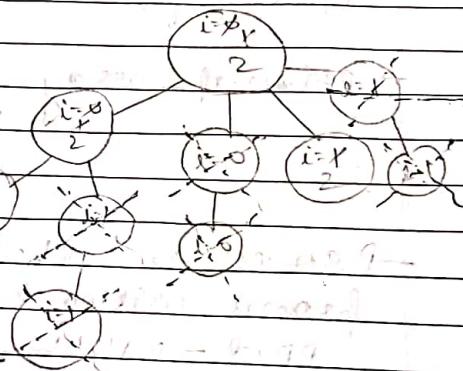
int n = 0;

* for (i=0; i<3; i++)
{ fork();
n = n + 5;
}

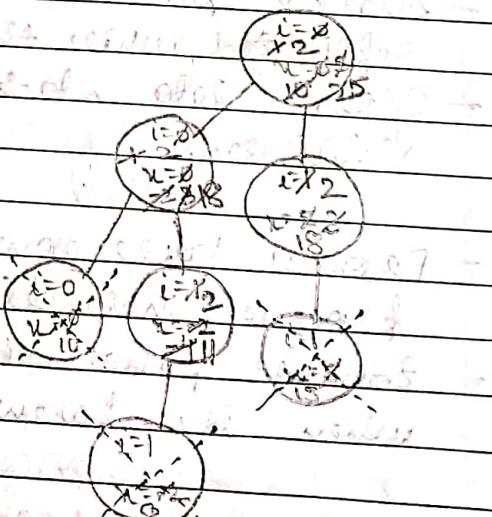


All process will have the same value of $n = 15$ at the end of the loop.

* for (i=0; i<2; i++)
{ if (fork())
{ if (!fork())
{ fork();
exit(0);
}



* $n = 0$,
for (i=0; i<2; i++)
{ if (fork())
{ $n = n + 5$;
} else if (!fork())
{ $n = n + 10$;
exit(0);
} else { $n = n - 2$; }
}



$n = n + 15$,

11/09/19.

- smallest execution unit is a process

• Pid - 32 bit: 2 - 326767

64 bit: 2 - 4194302

- Process control block is an array of structures which keep the data of each process.

- Size can be configured manually - By default 32767.

(/proc/sys/kernel/pid_max)

- Pidmap array - Bit map array to determine which Pids are already allocated.

- Process 0 (sched - swapper process) is the only process without a parent parent.
PPid - NULL.

- Shared space is the only space which is not replicated while the creating a child.

- Global data, stack, other variables etc. are replicated.

- Process table contains info about all the processes including the zombie process.

- Zombie process is removed from the table when its parent collects the data.

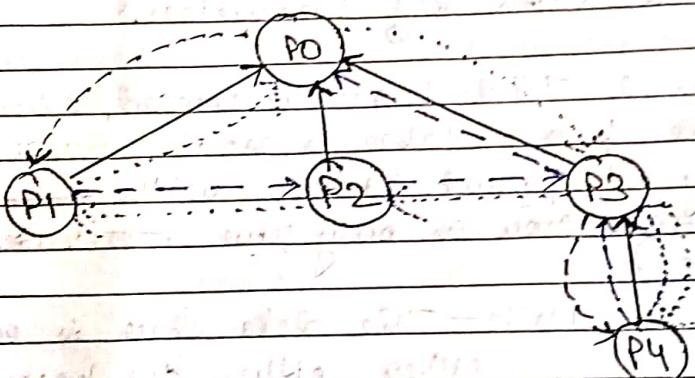
* Parent - Parent process that can monitor any child process of some other Real-Parent process.

- Used when while debugging - process.
- In process the child will return to Real Parent but to the monitoring Parent.

* Real-Parent — That process which gave birth to the child.

- usually real-parent and parent are the same process.
- Only in exceptional cases like tracing real-parent process is diff from parent process.

*



- Children Next — first child created (--->) Children Previous — last child created (....>)

- Sibling Next — Next sibling. (--->)

- for last child the next sibling is the parent process.

Aibling Previous — Previous sibling. (....>)

- for first child the previous sibling is the parent process.

- whenever a child process is killed then all these linkages have to be modified acc. so only OS can terminate the a process & send -9 to the because it has to modify all the linkages.
- * fork.c - File which contains the execution of fork(). (Linear).
- do fork() is executed when you call a fork() fp function or when you create a thread with diff. parameters.
- when a child process is created, a new entry in the process table is created which is the copy of parent process with only few modification in only few attributes!
- Copy On Write - The data space is copied only when either the parent or child writes for the first time.
 - If either processes doesn't write anything then they can use the same data space.
 - Code is read only so no copy is made for child. Both the processes use the same code from the same space.

Eg - exec("ls /bin/ls < /dev/null > /tmp/ls")
- The process which execute the command will die so this has to be executed as a child process.

led them all
modified acc. so
a process to
as to modify all

the execution of

you call a
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new entry
which is the
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utes!

copied only
out of child

anything then

for child.
be from

the command
executed

— so & in this case the data will not be copied
because it will only display data and not
modify anything.

• Demand Paging/Segmentation —

— no copy of data is req. by the
process it is not loaded in the main mem.

• meth.h — Dynamically linking lib.

— linked with the prog. When it is used for the
first time while execution.

13/09/19 — usually a child process runs on the same core
as the parent because the code is already
present in the cache - Locality of Reference.

* `Vfork()` — Parent process will be in suspended
state until the child completes its
execution.

— New copy of data space is not created and
child uses the same space as parent.

— Any changes done by child is reflected to
parent also.

and code space

— Shared mem. never gets replicated.

— Global variable gets replicated because global
variables are specific to any process where it
is shared b/w the various functions but not
shared b/w diff. processes.

— Parent gets the return value first and then the
child.

chance

16/09/19

- Parent moves to ready queue to give the child the chance to execute but the scheduler doesn't allow a newly created child to execute bcs many other processes are running so child also doesn't get executed.
- So both the processes move to the ready queue.

- (fork) is used when Child likes immediate using exec() system call.

- In kernel space & kB of mem. must be present to store Process Control Block and kernel mode stack.
- If the space is not available then it will be fork failure.

Flag updates :-

- Clears PF-SUPERPRIV (super user privileges are not given to child). - Child has to get the privilege on its own.

Clears PF_USEDFPU :-

- Int unit pipeline is diff from floating pt unit because if they are in same pipeline all the calculations will become floating pt calculation which will take more time.
- So floating pt unit is screened off for child.

- Clears the trace flags.

- Sets the NO-EVF flag i.e. the child will

chance to
give the child
scheduler desire
to execute because
running so child
()

to the ready

after immediate
call.

must be
all block

wire
when it will

child processes are
at the priority

using pid will
all the

executing at
more time.

for

will

not execute exec() function.

- Sets / Resets Vfork flag according to the calling
inst. (fork()) or vfork().

16/09/19 mad

* New Resource allotment to child -

- User can set the mask for signal handler to
identify which signal handler to follow.

• Process list - contains the pid of only the running
(Process table) processes in the system.

• hash pid() - To search and insert new process
entry in pidhash table.

• nr_tasks - Total no. of tasks in the system
(All users combined).

• user → count - Total no. of process only for
that user.

* Vfork() - Used heavily when fork() did not
implement copy on write.

- It does not make a copy of addl. space and
page table.

- Child usually executes exec() / .exit() command

- Note: fork() implements copy on write so the
only diff. b/w current fork() and vfork()
is that page table is replicated by fork()
but not by vfork().

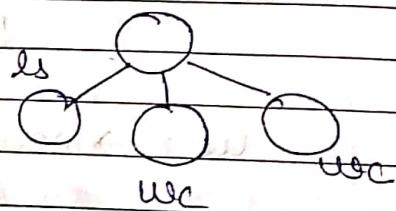
- fork() is dangerous because any changes done by child is reflected to the parent also.

- Main memory is divided into small multiple pages so Page Table keeps track of all pages used by the process.

- The child can only access its own page table so new page table is created for child.

- The page table is replicated for the first time Child is created when first time any data is changed by either child or parent a new block of data is created for the child and its page table is updated accordingly.

* execve



- All the child process will die immediately after its execution.

- It's better to execute this command with exec than in command line.

* pid & wait (int *status).

- Returns the pid of child process that terminated (if successful).

- Stores the exit status of child in the pointer shared passed as argument.

use any changes done
the parent also.

into well multiple
stack of all pages

is own page take
for child for

when
the first time
take any
child or parent
accordingly

User

terminated

pointer

* ~~pid & waitpid (int pid, int * status, int options)~~
~~* waitpid ()~~

- wait for process with particular pid to complete execution.

pid > 0 - child with pid that is passed.

pid = 0 - Child with group pid same as that of calling process.

pid = -1 - Child with any pid.

pid < -1 - Child with group id is same as the absolute value of what is passed.

- WNOHANG - Return immediately if no child has exited.

- WUNTRACED - Return for children which are stopped but whose status has not been reported.

- WCONTINUED - Returns if a stopped child (by using SigStop) has been resumed by the delivery SigCont.

- RetrunValue - pid of child which is exited.

- 0 if WNOHANG and no child was available.

- -1 in case of error

14/04/19

* exec() family :-

- execve() - long representation of representation
- execv() - reduced rep.

- Both of them is executed as exec only.
- The process which executes it dies so they used in child process and not a parent process.
- exec() returns a value only if it is a failure. Returns only -1.

- execv(); - Process dies at this instant
- pinfo(); - Goes to this command only if exec() fails

- * execvp(); - Does not req. to give a path
 - Path is taken from the path variable of environment variable.

- First arg is the file name.

- * execve() - Req. to give the path of file variable
 - as the last argument
 - Path is 2nd arg 1st argument

- * execv() - Arguments are stored in a 2-D array and the pointer is passed as a parameter.

In exec() first argument is the path

In execve() the first arg. is the path because it is not necessary that the env variable has the path.

Page No. _____
Date: _____

Page No. _____
Date: _____

representation

exec only

- exec no body
at a parent

If it is a

user inst.

and only if

give a path
variable of

one variable

in a 2-D

and as a

path
the path
the one

+ last argument of any of the inst is result.

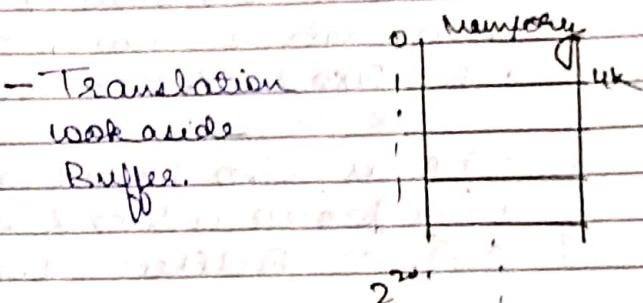
• /bin: /bin: ... - message path for exec()

* Multi-tasking in mobile systems

* When to switch a process?

- Process gives logical add. and not physical add.
so to access cache if mem. access is req. then
it will take so much time to access cache
also.

Page No.	Frame No.
22	20.



- 4k = 2¹² bits.

- 2³² = 4 GB. total memory

$$\therefore \text{No. of frames} = \frac{4 \text{ GB}}{4 \text{ KB}} = \frac{2^{32}}{2^{12}} = 2^{20} \text{ bits.}$$

- Sector add i.e. last 12 bits will be same in both LA & PA (Offset)

- So the remaining 32 bits is given/stored as IP to TLR and the 20 bits of physical add. is stored/given as O/P from TLR.

- Appending it with 12 bit sector add we get the complete physical add.

99% of the time PA is taken from TLB.

- Logical Add. always starts from 0.
- In case of context switch the logical add. new corresponds to some other process so TLB is flushed.
- Note there will cache miss every time because and TLB doesn't have any page information so it gets it from main memory.
- In context switching the reg. data of previous data of process is stored and in PCB and new data of new process is loaded from PCB - Fixed cost. - Fixed Direct Impact.
- TLB is also flushed and new page data for new process is loaded so - Variable Cost. - Indirect Impact

- Virtual Add. is for the swap space available in HDD and not the main memory.
- So max size of pro. that can run is of the size of main memory + swap space.
- But at a time not all the data can be located at in the main mem so some data is stored in swap space which is switched from swap to main memory and the page detail in TB is updated accordingly.

from TLB.

local add.
process no TLB

every time became
information so

data of previous
d in PCB and
loaded from
Impact.

page data for
when - Variable

available
only.

is of
space.

etc can be
so some
ch is

memory

updated

* Clock Int. - Context switching happens due to
time slice expiry.

- To stop it we can disable the clock int. Of
the processor.

* I/O Int - Int. from an I/O or when a
process goes for I/O op.

18/09/19 * Fixed Direct Impact - Fixed cost in context
switching.

- For Reg. data.

- Depends on Architecture.

* Indirect Impact - Because of TLB and cache.

- Variable Cost.

- Dominant impact - 80%.

- So Quantum time should be decent enough
to avoid too much context switching.

- Preemption time is unproductive work and
the time is wasted in context switch.

* Memory Fault - Not segmentation fault.

- When a page is not available in main memory
so it has to be requested from virtual
space.

- In intel min. 6 pages should be present in
main memory to run a process.

- All the pages that are loaded on demand.

- Every block in cache/main memory has a valid/
invalid

left. To flush cache/memory we make all the bits as invalid.

- Each process has a Page Table which keeps track of all the pages which are available in main memory and which are available in HDD.
- OS can modify the page table any of any place so while loading a page for some process only OS can set the bit of previous process as invalid, load the new page and set the bit for an valid for new process.

* Process Termination :-

- exit() - stdlib.h - Header file.
- A parent can kill its child using SIGKILL but vice versa not possible.
- void exit (int status).
 - Handled by _exit().
 - return 0 is also calling exit function.
- PF EXITING - Process flag to denote process is existing.