

- `int sem_timedwait(sem_t *sem, const struct timespec *abs_timeout);`
 - wait for specific time. If not given till within that time then come out.
- `sem_post(sem_t *sem);`
 - increment the value of count by 1.
 - Non-blocking call.
 - `wait()` is blocking funct^b, but `signal()` is non-blocking funct^x.
- Header file for spin lock is `pthread.h`.

+ Recursive lock -

- 'kind' value with no options.
- `PTHREAD_MUTEX_NORMAL` - normal locking
- `PTHREAD_MUTEX_RECURSIVE` - Recursive locking
 - If a process locks itself n times leaves - surely then it shall unlock itself n times to unlock completely.
 - A process can only unlock itself.

11/11/19 Producer-Consumer Problem - (Unbounded)

- Producer -

```
while (1) {
    wait (in);
    Produce;
```

`Buffer [in] = item;`

`in = in + 1;`

`signal (in);`

- Consumer -

```
while (1) {
    wait (out);
    wait (in);
```

`item = Buffer [out];`

`out = out + 1;`

`signal (out);`

given lock
out.

mail() or

all
are looking
for item =
new in

- If a producer is producing then no other producer or consumer can enter the critical section.
- similarly if a consumer is consuming then no other producer or consumer can enter the critical section.
- Also there should be a check condition that if there is no item left (empty buffer) then consumer cannot consume anything.

Producer-Consumer (unbounded) -

- consumer same as free bounded consumer.
- Producer should check if the buffer is full before producing.
- There is a fixed size of buffer.

Producer -

```
while(1){  
    wait(empty);  
    wait(mutex);  
    Produce;  
    Buffer[in] = item;  
    in++;  
    signal(mutex);  
    signal(full);}
```

Consumer -

```
while(1){  
    wait(full);  
    wait(mutex);  
    item = Buffer[out];  
    out++;  
    signal(mutex);  
    signal(empty);}
```

* Reader-Writer Problem -

- If any reader is in the critical section then any no. of reader is allowed in the critical section but no writer is allowed.
- If a writer is in CS then neither any reader nor any other writer is allowed in CS.
- So no reader and writer cannot enter simultaneously.

- Reader - Only reads the data set
- writer - Can read and write both.

- Initialize $wt = 1$; $mutex = 1$; $readcount = 0$,

Reader -

```
do {
```

 visit(mutex);

 readcount++;

 if (readcount == 1)

 wait (writer);

 signal (mutex);

 // Reading is performed

 wait (writer);

 readcount--;

 if (readcount == 0)

 signal (writer);

 signal (mutex);

} while (TRUE);

writer -

```
do {
```

 wait (writer);

 // writer

 signal (wt);

 } while (TRUE);

13/11/19

- Reader can dominate writer i.e., a reader can execute before writer but not vice versa.

* Dining Philosophers Problem :-

- A person can have food if its left and right chopsticks both are free.

```
+ do {
    wait (chopstick [i]);
    wait (chopstick [(i) % 5]);
```

```
// eat
    signal (chopstick [i]);
    signal (chopstick [(i) % 5]);
    // think
} while (true);
```

- Deadlock if context switch happens after first wait and everyone picks its left/right chopstick only.

11/19 Monitors :-

- Implemented by using semaphores.
- Only one process will be active within the monitor even if there are multiple processes inside the monitor.
- wait() & signal() of monitor is diff. from that of semaphores.

- **wait()** - A process that invokes the op. is suspended until another process invokes **v.signal()**.
- **signal()** - Resumes exactly one process suspended process. If no process is suspended then does nothing.
- **Entry Queue** - For process outside monitor that wants to enter
- **Condition Variable** - To stop the ex. of multiple processes inside the monitor simultaneously.
 - suspended processes by **v.wait()**.
 - True process are inside monitor but not ready to execute.

* Conditional Variable Choices -

- P - Executing in monitor - Gives **v.signal** to Q.
- Q - In suspended state by **v.wait()**.
- Signal & wait - P waits until Q either leaves the monitor or ~~it is~~ Q exits for some other condition.
- Signal & continue - Q waits until P gets suspended/terminated.

Dining Philosopher Problem

Monitor Implementation using Semaphores

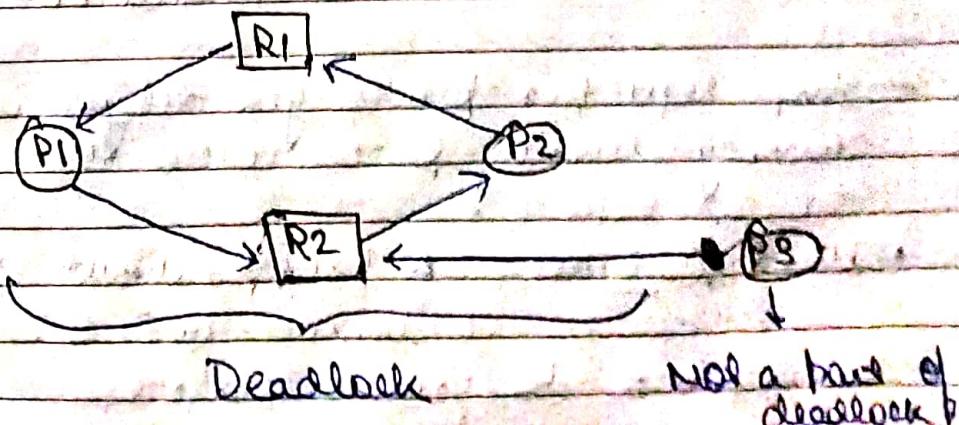
- Pickup() & Pdown() function uses wait(mutex) & signal(mutex) so it makes sure that only one process will ex. pickup() or down() at a time.

```

    • x.signal()
      if (x.count > 0)
        next_count++;
        signal(x.sem);
        wait (mutex);
        next_count--;
        count = next_count;
    • x.wait()
      if (next_count > 0)
        signal(next);
      else
        signal(mutex);
        wait (x.sem);
        count --;
```

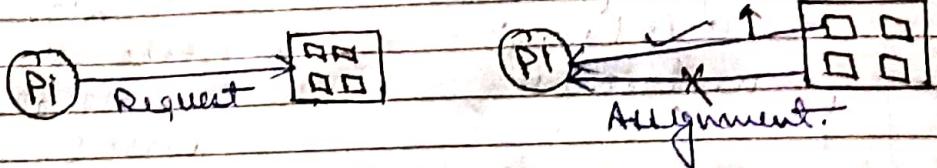
- If P signals for Q and blocked itself, then when Q gets blocked by some other condition or gets ex. completed, it will make + signal P.

Deadlock Problem



- Deadlock occurs when some processes are holding few resources and req. for other resources.
- A process which is not holding any resource will not be a part of deadlock.
- A process which is not requesting

- * Resource Cycle - Request → Use → Release
- Assignment - From resource to process
- Request - From process to resource.



* Deadlock Characterization - 4 Conditions req.

- Mutual Exclusion - Only Atmost one resource should be non-shareable i.e. at a time only 1 process can use it.

Eg 1 - File in Read Mode - NO mutual ex. - No deadlock
 T " " " write " - Mutual ex - deadlock

- Any requesting process gets delayed until the currently running process releases that resource.

- Hold & wait - A process should hold atleast 1 resource and req. any other resource to cause deadlock.

processes are
e.g. for other

holding
any resources
other
existing

→ Release
1
process
since.

Particular Time
↑
moment.

dition reg.

one resource
non-shareable
can use it.

Ex. - No
deadlock
- Deadlock

until the
resources
need atleast
any other

1

2

A process not holding or not requesting can never
be a part of deadlock.

• No Preemption - Almost all resources we use
are non-preemptible by nature.

If resources are preemptible then a process can
release it without completing its work and
avoid deadlock.

• Circular Wait - A circular cycle of request by
the processes should be formed.

- All the 4 conditions should be met for deadlock.
If any 1 of these condition is not met then
deadlock can be avoided.

+ Handling Deadlock -

• Prevention - Not allow system to enter deadlock
state.

- Too costly to implement.

- Prevent atleast 1 of the any 4 condition.

• Avoidance - ^{selection &} Allow the system to enter
deadlock and then recover

- Followed by most OS.

+ Deadlock Prevention -

• Prevent Hold & wait - If a process holds R₁ &
req. R₂ then it frees R₁
and requests for R₁ & R₂ together.

→ low resource utilization → starvation possible

- Req. all the resources at once & before beginning execution.

- Starvation possible.

• Prevent No P

• Prevent no Premption

Eg Priority Inversion - Priority is also a resource. Give your higher priority to some other lower priority process and takes it's lower priority.

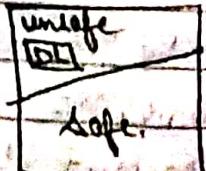
• Prevent Circular Wait - Take resources in a particular order only.

→ If a process has $R_2 \& R_1$ he wants R_1 then he has to release R_2 , take R_1 first and then R_2 . (If R_1 comes first in order than R_2).

→ Requires preemption to give up resources.

18/11/19 Deadlock Avoidance -

→ Prevent a process to get into unsafe state even though only a small % of us unsafe or is deadlock.



→ Underperformance / Underutilization

- Information needed -

Max requirement:

Allocated [R1 R2]

Available [R1 R2]

	Max Need	Currently Holding
P ₀	10	5
P ₁	4	2
P ₂	9	2

Total - 3 process, 12 resource available

- Currently available = $12 - (5+2+2) = 3$.

- System goes only in safe state. So resource will not allocate any resource to P₀/P₂ because they need more than 3 - unsafe state.

- Allocate 2 resource to P₁ → P₁ finishes and releases all 4 resources.

- Now available 5 resource → Allocate to P₀ → P₀ finishes and releases all 10.

- Now given 2 to P₂ and P₂ executes.

- If we allocate P₁ 2 resources and P₂ 1 resource then after P₁ executes and releases all 4 resource it will go into unsafe state so P₂ is not given resource even if the resource is free.

* Allocation Algorithm

- Single Instance - 2 edges -
 - i) Reg. [Process \rightarrow Resource]
 - ii) Assignment [Resource \rightarrow Process]
 - iii) Claim Edge [Proc. \rightarrow Resource]
 - represented by dashed line to represent that a process may req. that resource in future.

→ used to check if a claimed resource is already allocated or then whether that may result in unsafe state in future or not.

→ If a process req. a resource and there forms a cycle including claim edge then the system may result in deadlock so the resource will not be allocated to the requesting process.

* Multi-Instance Resource - Banker's Algorithm

- Multiple inst. of each resource is available.
- Underutilized resources - inefficient.

<u>Q</u>	<u>Allocation</u>	<u>Max.</u>	<u>Available</u>
A	ABC	ABC	ABC
P ₀	0 1 0	7 5 3	3 3 2
P ₁	2 0 0	3 2 2	
P ₂	3 0 2	9 0 2	
P ₃	2 1 1	2 2 2	
P ₄	0 0 2	4 3 3	

→ Resource
source → Process
req. → Resource
by desired time
of a process
resource in

is allocated
whether
state in

go then
block so
ted to

either
available.

like

2

- Total Available resource = ($E - A$) available currently.

Need

Need = Max. - Allocated.

A B C

P ₀	4	4	3
P ₁	1	2	2
P ₂	6	0	0
P ₃	0	1	1
P ₄	4	3	1

Available

A B C

3 3 2

3 1 1

3 1 1

3 1 1

3 1 1

3 1 1

3 1 1

3 1 1

3 1 1

3 1 1

3 1 1

3 1 1

3 1 1

3 1 1

3 1 1

3 1 1

Available

A B C

3 3 2

3 1 1

3 1 1

3 1 1

3 1 1

3 1 1

3 1 1

3 1 1

3 1 1

3 1 1

3 1 1

- Allocate to P₁ - 122

P₁ ex. and release -

Allocate to P₃ - 011

P₂ ex. and release -

Allocate to P₀ - 443

P₀ ex. and release

Allocate to P₄ - 431

P₄ ex. and release

Allocate to P₂ - 000

P₂ ex. and release

- If req > need then it is

an error as the process

is trying to ask for more resource than

the max allowed for

that process.

Total resource available. ← 10 5 7

19/11/19

Study time
Page No.: 1
Date: 1/1

* Deadlock Detection :-

- Wait-for Graph - Remove the resources in the resource allocation graph.

- If there exists a cycle then it is a deadlock.

	Allocation Reg.	Available
	A B C	A B C
P ₀	0 1 0	0 0 0
P ₁	2 0 0	2 0 2
P ₂	3 0 3	0 0 0
P ₃	2 1 1	1 0 0
P ₄	0 0 2	0 0 2

- Any process with 0 0 0 allocation or e.g. will not be a part of deadlock.

- Execute P₀.

Free P₀ Available

Execute P₂ Available

Free P₂ Available → P₀

Execute P₃ Available → P₀

Free P₃ Available → P₀

Execute P₄ Available → P₀

Free P₄ Available → P₀

Ex. P₁ Available → P₀

Free P₁ Available → P₀

5 2 4

0 0 2 → P₄

5 2 6

2 0 0 → P₁

7 2 6

Process	Allocation			Req.			Available		
	A	B	C	A	B	C	A	B	C
P ₀	0	1	0	0	0	0	1	0	0
P ₁	2	0	0	2	0	2	0	1	0
P ₂	3	0	1	3	0	1	0	1	0
P ₃	2	1	1	1	0	0	0	0	2

- Create P₀

- Free P₀

- Now with the available resources no process can complete so deadlock situation.

- Except P₀ all the processes are part of the deadlock.

• Defection Algo. Complexity = $O(mn^2)$.

Very heavy so the algo. is run only when utilization is less than a certain % which happens if most some processes are caught in deadlock stat. (Usually 40%).

where m = No. of resource

n = No. of Processes.

* Recovery from Deadlock:-

i). Recover Manually - kill process manually.

- kill a process which is a part of many cycles.

- Or a process which did wait much time.

- Wait or free up process.

study time
Page No.:
Date: / /

19/11/19

ii). Recover Automatically -

- Process Termination
- Resource Preemption - If a resource is preempted then the process has to go run the code again from the start.
- Incremental Backup - Backup only the diff from the last time the same file was backed up.
 - while restoring it will take an old complete file and process those backups to modify it to the recent file.

- Meas base
- CPU be
- Co

Memory Management

- Memory protection happens due to two seg - base seg and limit seg.
- CPU always generates logical add. — This needs to be converted to physical add.
- Compile Time Binding — During compilation the physical add. is specified of the code.
- If the code program needs to run at other system then it has to be recompiled on that system.
- Or if the code has to stored in some other mem. location in the same system then also it has to be recompiled.
 - Eg:-
 - Starting of Os.
 - Vector Tables.
 - Load Time Binding — At load time the starting location is st generated.
 - At compilation, logical add. not the starting add. is generated for the code. code.
 - To change the starting add. the prog. needs to be reloaded.

Eg:- CS, IP in MDP.

↓
Starting Add. → logical add of each instruction till we get the start time.

- Execution Time Binding - A Physical add. is generated during the run time of the prog.

- Prog. can be loaded at any place in the memory.

- Needs additional hardware support - Page table and segmentation table.

- Followed by us now.

20/4/19 * Protection :-

- All are logical

- Logical add. for all the process starts at 0 and should be less than limit e.g. value of that process.

- Logical add. is then added to the base value for that process to generate physical add.

- * Dynamic loading - In a prog. If a function is there, then it's code and data is not loaded in the memory until and unless it is called for the first time.

- Study time
Page No. _____
Date. / /
- All static linking libraries are also dynamic library linked i.e. it because the functions inside the libraries are implemented as modules.
 - The libraries are only linked at while making the executable file.
 - Dynamic linking libraries are linked to the user program during ex. only if it is called when it is called for the first time.
 - Dynamic linking again uses dynamic loading to load off. modules in the memory.
 - Header file includes all the function declaration but no implementation. The contents of header file is copied to the main program.
 - Implementation of functions is present in another file (libc.so). so linking means linking is getting the add of to this file.
 - Dynamic linking takes less time during ex. than static linking because of stub add. replacing.
 - Stubs are created during compilation.

* Swapping - The complete process is not loaded in the main memory.

- Some blocks are present in main memory and other blocks in swap (secondary) memory.

- The blocks that are req. are swapped in from swap memory to main memory.

- Swap out can be that program's own unused block or can be some other program's unused block.

- In compile time binding or load time binding since the physical add. is generated and fixed before code ex. of pkey. so while swapping in the code program parts, the parts should be loaded at that block of memory only even if other blocks are unused in memory.

- In Ex. time binding, page tables and segment tables are used which are updated acc. if accordingly.

* Contiguous Allocation -

* Multiple Partition - Each process has one partition in the memory.

* External Fragmentation - Unused blocks of memory

process is not
the main memory

main memory
(secondary)

are swapped in
main memory.

main own unused
in programs unused

load time binding
is generated and
so while
program parts those
that block
are blocks are

taken and reg-
n are updated

has one parti-
memory.

es of memory

are broken into a period of time when process
are executed between two processes



, Not big example to
have another prog.
An unshared memory
space (say like upto
22 %).

- Processes cannot be loaded sequentially in the main memory because it will cause coupling problem.
- External Fragmentation - When the block size is fixed in main memory.
 - If prog is smaller than the mem. block is allocated to any process.
 - so if the prog is smaller than the block size it results in external frag, i.e. some part of that block remains unused.
- External frag is caused when the blocks are not of fixed size.
- Paging - Memory is divided into multiple pages of fixed size (usually 4K).
- Direct external page may be caused.

- Segmentation - No fixed size of blocks of memory.
 - Dynamic allocation of memory.
 - Internal fragmentation may be caused.
 - So both segmentation and paging are used.
 - Each segment is divided into pages of fixed size to prevent ex. fragmentation.
 - Partition - fixed size.
 - One process can occupy only 1 partition.
 - Dynamic Partition - No fixed size of partition
 - Results in holes.
 - Run fit algo. to find hole to allocate to a process.
- i). First Fit - start searching from the start of memory to find the first hole that will accommodate the process.
- ii). Next Fit - start searching from the pt from where the last process was executed.
- iii). Best Fit - search for the complete memory to find a hole which will result in least wasted space of allocated to a process.