




Operating Systems  
CS F372

## Lect 30: Threads

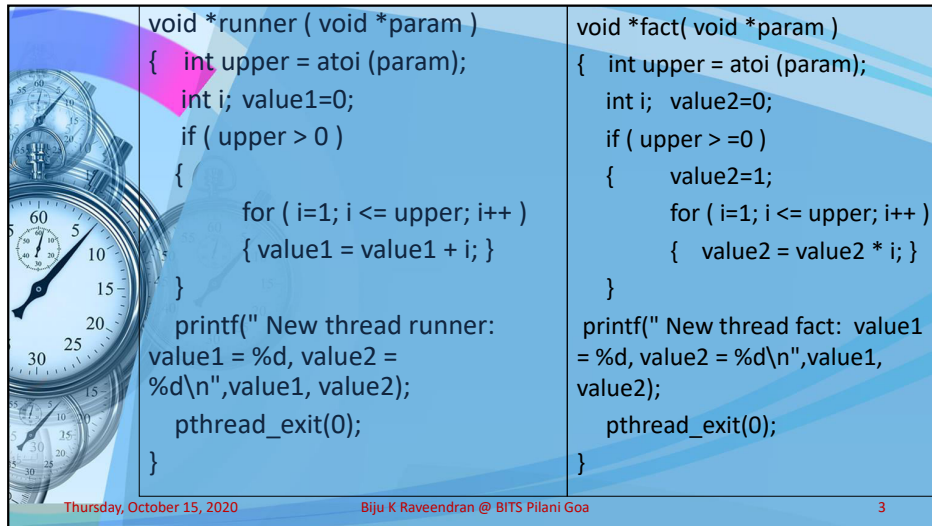
**BIJU K RAVEENDRAN**



## Threads

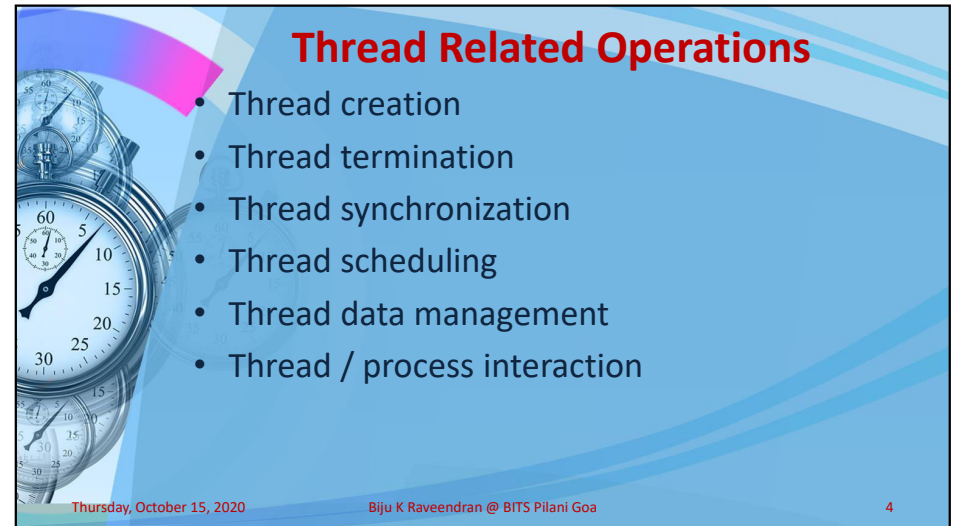
```
#include<pthread.h>
#include<stdio.h>
int value1, value2;
void *runner ( void *param );
void *fact ( void *param );
int main ( int argc, char *argv[ ] )
{
    pthread_t tid1,tid2;
    pthread_attr_t attr;
    pthread_attr_init(&attr);
    pthread_create(&tid1, &attr, runner1, argv[1]);
    pthread_create(&tid2, &attr, runner2, argv[2]);
    pthread_join(tid1, NULL);
    pthread_join(tid2, NULL);
    printf( "value1 = %d\t value2 = %d \n", value1,value2);
}
```

Thursday, October 15, 2020 Biju K Raveendran @ BITS Pilani Goa 2



<pre>void *runner ( void *param ) {     int upper = atoi (param);     int i; value1=0;     if ( upper &gt; 0 )     {         for ( i=1; i &lt;= upper; i++ )         { value1 = value1 + i; }     }     printf(" New thread runner: value1 = %d, value2 = %d\n",value1, value2);     pthread_exit(0); }</pre>	<pre>void *fact( void *param ) {     int upper = atoi (param);     int i; value2=0;     if ( upper &gt;= 0 )     {         value2=1;         for ( i=1; i &lt;= upper; i++ )         { value2 = value2 * i; }     }     printf(" New thread fact: value1 = %d, value2 = %d\n",value1, value2);     pthread_exit(0); }</pre>
---	---

Thursday, October 15, 2020 Biju K Raveendran @ BITS Pilani Goa 3



## Thread Related Operations

- Thread creation
- Thread termination
- Thread synchronization
- Thread scheduling
- Thread data management
- Thread / process interaction

Thursday, October 15, 2020 Biju K Raveendran @ BITS Pilani Goa 4

## Thread Creation

- `pthread_create`
- `extern int pthread_create (pthread_t *tid, __const pthread_attr_t *attr, void *(*__start_routine) (void *), void *arg)`
  - Creates a new thread of control that executes concurrently with the calling thread.
  - The new thread applies the function `start_routine` passing it `arg` as first argument.
  - The new thread terminates either explicitly, by calling `pthread_exit(3)`, or implicitly, by returning from the `start_routine` function.
  - The `attr` argument specifies thread attributes to be applied to the new thread.
  - The `attr` argument can also be `NULL`, in which case default attributes are used

Thursday, October 15, 2020

Biju K Raveendran @ BITS Pilani Goa

5

## Thread Creation

- Return value
  - On success, the identifier of the newly created thread is stored in the location pointed by the thread argument,
  - and a 0 is returned.
  - On error, a non-zero error code is returned.
- Errors
  - EAGAIN not enough system resources to create a process for the new thread.
  - EAGAIN more than `PTHREAD_THREADS_MAX` threads are already active.

Thursday, October 15, 2020

Biju K Raveendran @ BITS Pilani Goa

6

- Setting attributes for threads is achieved by filling a thread attribute object `attr` of type `pthread_attr_t`, then passing it as second argument to `pthread_create`.
  - Passing `NULL` is equivalent to passing a thread attribute object with all attributes set to their default values.
  - Thread attribute structure is in `/usr/include/bits/pthreadtypes.h`
- ```
#define __SIZEOF_PTHREAD_ATTR_T 56
typedef union
{
    char __size[__SIZEOF_PTHREAD_ATTR_T];
    long int __align;
} pthread_attr_t;
```
- Detachstate, Schedpolicy, Sched\_param structure, Inheritsched, Scope will be a part of the attribute

Thursday, October 15, 2020

Biju K Raveendran @ BITS Pilani Goa

7

## Attribute Initialization & Destroy

- `extern int pthread_attr_init (pthread_attr_t *attr)`
  - Initializes the thread attribute object `attr` and fills it with default values for the attributes.
  - Attribute objects are consulted only when creating a new thread.
  - The same attribute object can be used for creating several threads. Modifying an attribute object after a call to `pthread_create` does not change the attributes of the thread previously created.
- `extern int pthread_attr_destroy(pthread_attr_t *attr)`
  - Destroys a thread attribute object, which must not be reused until it is reinitialized.
  - `pthread_attr_destroy` does nothing in the LinuxThreads implementation.

Thursday, October 15, 2020

Biju K Raveendran @ BITS Pilani Goa

8

## Detach State

- Control whether the thread is created in the joinable state (value `PTHREAD_CREATE_JOINABLE`) or in the detached state (`PTHREAD_CREATE_DETACHED`).
- Default value: `PTHREAD_CREATE_JOINABLE`.
- Joinable state
  - Another thread can synchronize on the thread termination and recover its termination code using `pthread_join`
  - some of the thread resources are kept allocated after the thread terminates, and reclaimed only when another thread performs `pthread_join` on that thread.
- Detached state
  - The thread resources are immediately freed when it terminates
  - `pthread_join` cannot be used to synchronize on the thread termination

Thursday, October 15, 2020

Biju K Raveendran @ BITS Pilani Goa

9

## Detach State

- A thread created in the joinable state can later be put in the detached thread using `pthread_detach`.
- `extern int pthread_attr_setdetachstate (pthread_attr_t *attr, int detachstate)`
- `extern int pthread_attr_getdetachstate (__const pthread_attr_t *attr, int *detachstate)`

Thursday, October 15, 2020

Biju K Raveendran @ BITS Pilani Goa

10

## Sched Policy

- Select the scheduling policy for the thread: one of `SCHED_OTHER` (regular, non-realtime scheduling), `SCHED_RR` (realtime, round-robin) or `SCHED_FIFO` (realtime, first-in first-out).
- Default value: `SCHED_OTHER`.
- The real time scheduling policies `SCHED_RR` and `SCHED_FIFO` are available only to processes with super user privileges.
- The scheduling policy of a thread can be changed after creation with `pthread_setschedparam`

Thursday, October 15, 2020

Biju K Raveendran @ BITS Pilani Goa

11

## Sched Policy

- `extern int pthread_attr_setschedpolicy (pthread_attr_t *attr, int policy)`
- `extern int pthread_attr_getschedpolicy (__const pthread_attr_t *attr, int *policy)`

Thursday, October 15, 2020

Biju K Raveendran @ BITS Pilani Goa

12

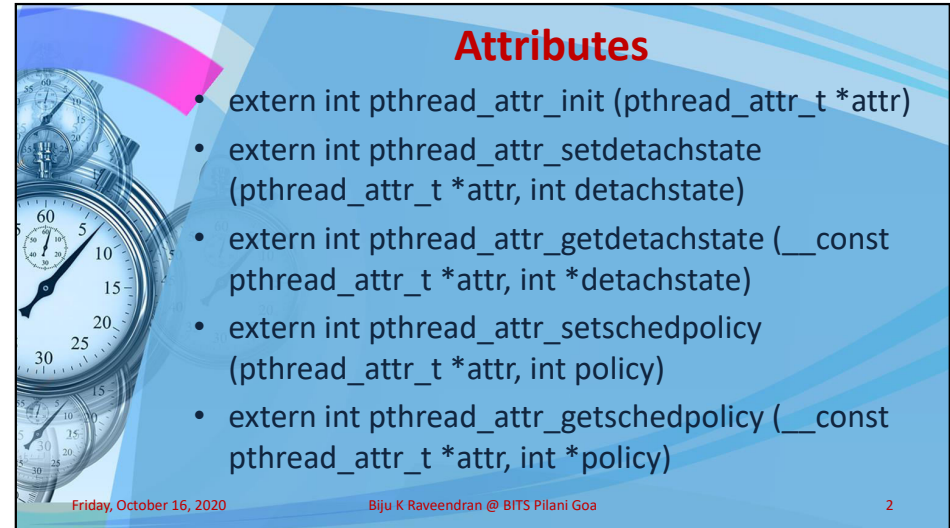




# Operating Systems CS F372

## Lect 31: Threads

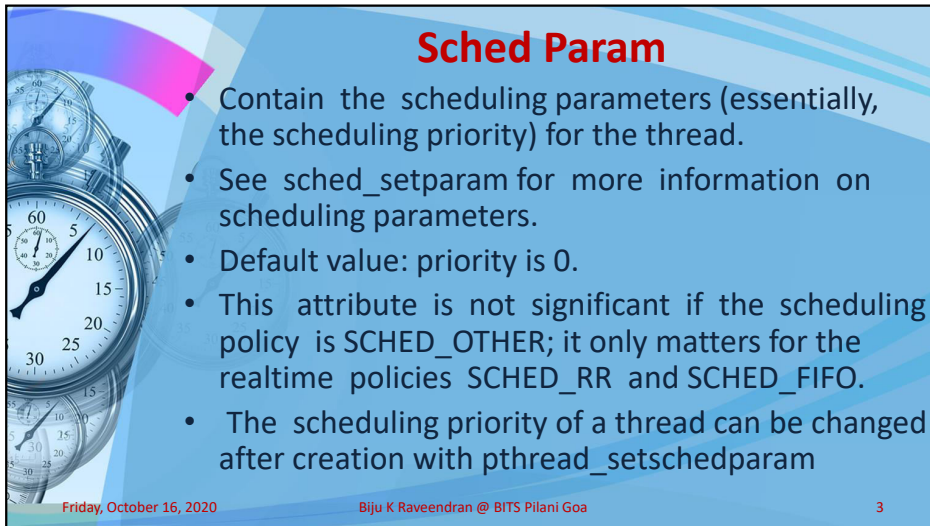
**BIJU K RAVEENDRAN**



## Attributes

- `extern int pthread_attr_init (pthread_attr_t *attr)`
- `extern int pthread_attr_setdetachstate (pthread_attr_t *attr, int detachstate)`
- `extern int pthread_attr_getdetachstate (__const pthread_attr_t *attr, int *detachstate)`
- `extern int pthread_attr_setschedpolicy (pthread_attr_t *attr, int policy)`
- `extern int pthread_attr_getschedpolicy (__const pthread_attr_t *attr, int *policy)`

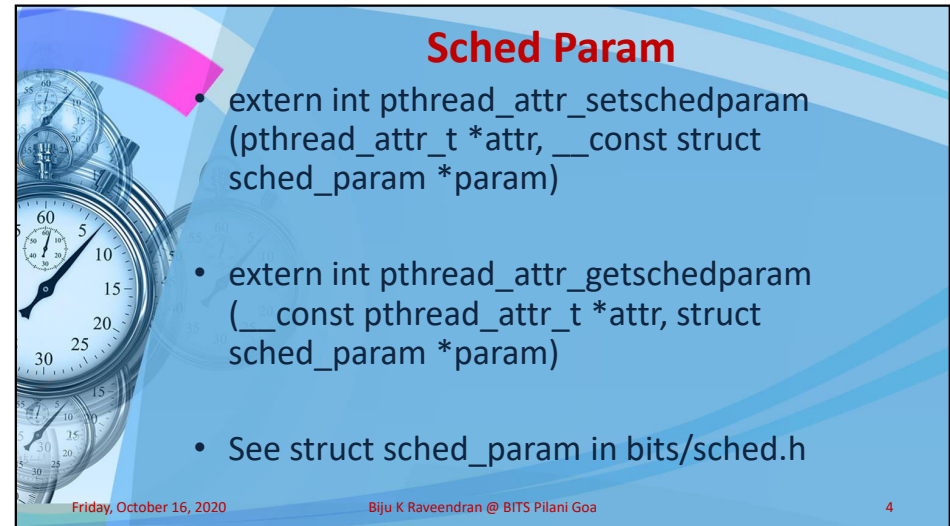
Friday, October 16, 2020 Biju K Raveendran @ BITS Pilani Goa 2



## Sched Param

- Contain the scheduling parameters (essentially, the scheduling priority) for the thread.
- See `sched_setparam` for more information on scheduling parameters.
- Default value: priority is 0.
- This attribute is not significant if the scheduling policy is `SCHED_OTHER`; it only matters for the realtime policies `SCHED_RR` and `SCHED_FIFO`.
- The scheduling priority of a thread can be changed after creation with `pthread_setschedparam`

Friday, October 16, 2020 Biju K Raveendran @ BITS Pilani Goa 3



## Sched Param

- `extern int pthread_attr_setschedparam (pthread_attr_t *attr, __const struct sched_param *param)`
- `extern int pthread_attr_getschedparam (__const pthread_attr_t *attr, struct sched_param *param)`
- See `struct sched_param` in `bits/sched.h`

Friday, October 16, 2020 Biju K Raveendran @ BITS Pilani Goa 4

## Inheritsched

- Indicate whether the scheduling policy and scheduling parameters for the newly created thread are determined by the values of the schedpolicy and schedparam attributes (value PTHREAD\_EXPLICIT\_SCHED) or are inherited from the parent thread (value PTHREAD\_INHERIT\_SCHED).
- Default value: PTHREAD\_EXPLICIT\_SCHED.
- `extern int pthread_attr_setinheritsched(pthread_attr_t *attr, int inherit)`
- `extern int pthread_attr_getinheritsched(__const pthread_attr_t *attr, int *inherit)`

Friday, October 16, 2020

Biju K Raveendran @ BITS Pilani Goa

5

## Scope

- Define the scheduling contention scope for the created thread.
- The only value supported in the LinuxThreads implementation is PTHREAD\_SCOPE\_SYSTEM
  - meaning that the threads contend for CPU time with all processes running on the machine (thread priorities are interpreted relative to the priorities of all other processes on the machine).
- The other value specified by the standard, PTHREAD\_SCOPE\_PROCESS
  - means that scheduling contention occurs only between the threads of the running process (thread priorities are interpreted relative to the priorities of the other threads of the process, regardless of the priorities of other processes)

Friday, October 16, 2020

Biju K Raveendran @ BITS Pilani Goa

6

## Scope

- `extern int pthread_attr_setscope(pthread_attr_t *attr, int scope)`
- `extern int pthread_attr_getscope(__const pthread_attr_t *attr, int *scope)`

Friday, October 16, 2020

Biju K Raveendran @ BITS Pilani Goa

7

## SetSchedParam

- `extern int pthread_setschedparam(pthread_t t_thread, int policy, __const struct sched_param *param)`
  - sets the scheduling parameters for the thread t\_thread as indicated by policy and param.
  - Policy can be either SCHED\_OTHER, SCHED\_RR or SCHED\_FIFO.
  - param specifies the scheduling priority for the two realtime policies.
- `extern int pthread_getschedparam(pthread_t t_thread, int *policy, struct sched_param *param)`
  - retrieves the scheduling policy and scheduling parameters for the thread t\_thread and store them in the locations pointed to by policy and param, respectively.
- Return value
  - return 0 on success
  - a non-zero error code on error.

Friday, October 16, 2020

Biju K Raveendran @ BITS Pilani Goa

8

## Self & equal

- `extern pthread_t pthread_self (void)`
  - return the thread identifier for the calling thread.
- `extern int pthread_equal (pthread_t __thread1, pthread_t __thread2)`
  - determines if two thread identifiers refer to the same thread.
  - Returns a non-zero value if thread1 and thread2 refer to the same thread. Otherwise, 0 is returned

Friday, October 16, 2020

Biju K Raveendran @ BITS Pilani Goa

9

## Detach

- `extern int pthread_detach (pthread_t th)`
  - put the thread th in the detached state.
  - applies to threads created in the joinable state, and which needs to be put in the detached state later.
  - After `pthread_detach` completes, subsequent attempts to perform `pthread_join` on th will fail.
  - If another thread is already joining the thread th at the time `pthread_detach` is called, `pthread_detach` does nothing and leaves th in the joinable state.
- Return value
  - On success, 0 is returned.
  - On error, a non-zero error code is returned.

Friday, October 16, 2020

Biju K Raveendran @ BITS Pilani Goa

10

## Exit

- `extern void pthread_exit (void *retval)`
  - terminates the execution of the calling thread.
  - All cleanup handlers that have been set for the calling thread with `pthread_cleanup_push` are executed in reverse order.
  - Finalization functions for thread-specific data are then called for all keys that have non- NULL values associated with them in the calling thread (see `pthread_key_create`).
  - Finally, execution of the calling thread is stopped.
  - The `retval` argument is the return value of the thread. It can be consulted from another thread using `pthread_join`.
- Return value
  - The `pthread_exit` function never returns.

Friday, October 16, 2020

Biju K Raveendran @ BITS Pilani Goa

11

## Join

- `extern int pthread_join (pthread_t th, void **__thread_return)`
  - suspends the execution of the calling thread until the thread identified by th terminates, either by calling `pthread_exit` or by being cancelled.
  - If `thread_return` is not NULL, the return value of th is stored in the location pointed to by `thread_return`.
  - The return value of th is either the argument it gave to `pthread_exit`, or `PTHREAD_CANCELED` if th was cancelled.
  - The joined thread th must be in the joinable state
  - When a joinable thread terminates, its memory resources (thread descriptor and stack) are not deallocated until another thread performs `pthread_join` on it.
  - It is must to call `pthread_join` once for each joinable thread created to avoid memory leaks.

Friday, October 16, 2020

Biju K Raveendran @ BITS Pilani Goa

12



## Join

- At most one thread can wait for the termination of a given thread.
- Calling `pthread_join` on a thread `th` on which another thread is already waiting for termination returns an error.
- Cancellation
  - `pthread_join` is a cancellation point.
  - If a thread is canceled while suspended in `pthread_join`, the thread execution resumes immediately and the cancellation is executed without waiting for the `th` thread to terminate.
  - If cancellation occurs during `pthread_join`, the `th` thread remains not joined.
- Return value
  - On success, the return value of `th` is stored in the location pointed to by `thread_return`, and 0 is returned.
  - On error, a non-zero error code is returned.

Friday, October 16, 2020

Biju K Raveendran @ BITS Pilani Goa

13

## Operating Systems CS F372

## Lect 32: Threads

BIJU K RAVEENDRAN

## Threading Issues

- The fork and exec system calls
  - If one thread in a system calls `fork()`
    - The new process duplicates all threads
    - The new process duplicates only the calling thread.

Monday, October 19, 2020

Biju K Raveendran @ BITS Pilani Goa

2

## Linux Threads

- Linux refers to them as *tasks* rather than *threads*
- Thread creation is done through **`clone()`** system call
- **`clone()`** allows a child task to share the address space of the parent task (process)
- Flags control behavior

| flag          | meaning                            |
|---------------|------------------------------------|
| CLONE_FS      | File-system information is shared. |
| CLONE_VM      | The same memory space is shared.   |
| CLONE_SIGHAND | Signal handlers are shared.        |
| CLONE_FILES   | The set of open files is shared.   |

Monday, October 19, 2020

Biju K Raveendran @ BITS Pilani Goa

3

## Thread Pools

- Create a number of threads in a pool where they await work
- Unlimited threads could exhaust system resources and one solution for this is pooling.
  - Create a number of threads at process startup and place them into a pool. When the server requires the thread it is allotted and after completion of task it will be back on pool.
- Advantages:
  - Usually slightly faster to service a request with an existing thread than create a new thread
  - Allows the number of threads in the application(s) to be bound to the size of the pool
  - Separating task to be performed from mechanics of creating task allows different strategies for running task

Monday, October 19, 2020

Biju K Raveendran @ BITS Pilani Goa

4

## Thread Cancellation

- Terminating a thread before it has finished
- In Linux, thread cancellation is handled through signals
- Two general approaches:
  - **Asynchronous cancellation**
    - Terminates the target thread immediately
  - **Deferred cancellation** [default type]
    - Allows the target thread to periodically check if it should be cancelled
    - Cancellation only occurs when thread reaches cancellation point, then cleanup is invoked

Monday, October 19, 2020

Biju K Raveendran @ BITS Pilani Goa

5

## CPU Scheduling

Monday, October 19, 2020

Biju K Raveendran @ BITS Pilani Goa

6

## Schedulers

- **Long-term scheduler** (or job scheduler)
  - New to Ready
  - Controls the degree of multiprogramming
- **Short-term scheduler** (or CPU scheduler or Dispatcher)
  - Ready to Running
  - Most frequently executed scheduler
- **Mid-term scheduler**
  - To Ready suspend / Blocked Suspend
  - Part of swapping function
  - Manages the degree of multiprogramming

Monday, October 19, 2020

Biju K Raveendran @ BITS Pilani Goa

7

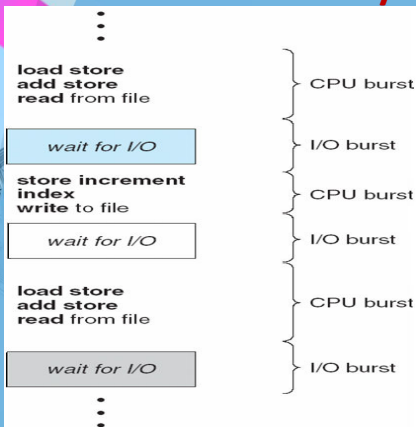


## CPU and I/O Bursts

Processes can be described as either:

**I/O-bound process** – spends more time doing I/O than computations, many short CPU bursts

**CPU-bound process** – spends more time doing computations; few very long CPU bursts



Monday, October 19, 2020

Biju K Raveendran @ BITS Pilani Goa

8

## CPU Scheduler

- Selects from among the processes in memory that are ready to execute, and allocates the CPU to one of them
- CPU scheduling decisions may take place when a process:
  1. Switches from running to waiting state
  2. Switches from running to ready state
  3. Switches from waiting to ready
  4. Terminates
- Scheduling under 1 and 4 is **nonpreemptive**
- All other scheduling is **preemptive**

Monday, October 19, 2020

Biju K Raveendran @ BITS Pilani Goa

9

Operating Systems  
CS F372

Lect 33:  
CPU Scheduling

BIJU K RAVEENDRAN

## CPU Scheduler

- Selects from among the processes in memory that are ready to execute, and allocates the CPU to one of them
- CPU scheduling decisions may take place when a process:
  1. Switches from running to waiting state
  2. Switches from running to ready state
  3. Switches from waiting to ready
  4. Terminates
- Scheduling under 1 and 4 is **nonpreemptive**
- All other scheduling is **preemptive**

Monday, October 26, 2020

Biju K Raveendran @ BITS Pilani Goa

2

## Dispatcher

- Dispatcher module gives control of the CPU to the process selected by the short-term scheduler; this involves:
  - switching context
  - switching to user mode
  - jumping to the proper location in the user program to restart that program
- Dispatch latency** – time it takes for the dispatcher to stop one process and start another running

Monday, October 26, 2020      Biju K Raveendran @ BITS Pilani Goa      3

## Dispatch Latency

```

graph TD
    A[P0 executing] --> B[save state into PCB0]
    B --> C[restore state from PCB1]
    C --> D[P1 executing]
    subgraph "dispatch latency"
        B
        C
    end
  
```

Monday, October 26, 2020      Biju K Raveendran @ BITS Pilani Goa      4

## Dispatch Latency

The diagram illustrates the timeline of an event response. Key components include:
 


- event**: The start of the timeline.
- interrupt processing**: A red circle around the initial response to the event.
- process made available**: The period after interrupt processing.
- dispatch latency**: The time between process availability and dispatch.
- conflicts**: A period where multiple processes may be ready for dispatch.
- dispatch**: A red circle around the selection of a process for execution.
- real-time process execution**: A red circle around the actual execution of the selected process.
- response to event**: The final point on the timeline.
- response interval**: The total time from event to response.

Monday, October 26, 2020      Biju K Raveendran @ BITS Pilani Goa      5

## CPU Scheduling Criteria

- CPU utilization**
  - Percentage of time that a processor is busy
- Throughput**
  - Number of processes that complete their execution per time unit
- Turnaround time**
  - Interval of time between the submission of a process and its completion.
  - Actual execution time + time spent waiting for resources including the processor

Monday, October 26, 2020      Biju K Raveendran @ BITS Pilani Goa      6




# CPU Scheduling Criteria

- **Waiting time**
  - Amount of time a process has been waiting in the ready queue for the processor
- **Response time**
  - For an interactive user, this is the time from submission of a request to until the request begins to be received (first response) .
- **Deadlines**
  - Maximize the number of processes meeting deadlines (Important for real-time jobs)

Monday, October 26, 2020

Biju K Raveendran @ BITS Pilani Goa


7

- 
- # CPU Scheduling Criteria
- **Waiting time**
    - Amount of time a process has been waiting in the ready queue for the processor
  - **Response time**
    - For an interactive user, this is the time from submission of a request to until the request begins to be received (first response) .
  - **Deadlines**
    - Maximize the number of processes meeting deadlines (Important for real-time jobs)
- Monday, October 26, 2020
- Biju K Raveendran @ BITS Pilani Goa
- 7

Monday, October 26, 2020

Biju K Raveendran @ BITS Pilani Goa

7




# Scheduling Algorithm Optimization Criteria

- Max CPU utilization
- Max throughput
- Min turnaround time
- Min waiting time
- Min response time

Monday, October 26, 2020

Biju K Raveendran @ BITS Pilani Goa


8

- 
- # Scheduling Algorithm Optimization Criteria
- Max CPU utilization
  - Max throughput
  - Min turnaround time
  - Min waiting time
  - Min response time
- Monday, October 26, 2020
- Biju K Raveendran @ BITS Pilani Goa
- 8

Monday, October 26, 2020

Biju K Raveendran @ BITS Pilani Goa

8




# First – Come – First – Served (FCFS)

- Non-preemptive Scheduling
- At decision point, the oldest process in the Ready queue is selected
- A short process may have to wait a very long time before it can execute
- Favors CPU-bound processes
  - I/O processes have to wait until CPU-bound process completes

Monday, October 26, 2020

Biju K Raveendran @ BITS Pilani Goa

9

- 
- # First – Come – First – Served (FCFS)
- Non-preemptive Scheduling
  - At decision point, the oldest process in the Ready queue is selected
  - A short process may have to wait a very long time before it can execute
  - Favors CPU-bound processes
    - I/O processes have to wait until CPU-bound process completes
- Monday, October 26, 2020
- Biju K Raveendran @ BITS Pilani Goa
- 9

Monday, October 26, 2020

Biju K Raveendran @ BITS Pilani Goa

9

**First – Come – First – Served (FCFS)**

| Process | Burst Time |
|---------|------------|
| $P_1$   | 24         |
| $P_2$   | 3          |
| $P_3$   | 3          |

If Arrival order is  $P_1, P_2, P_3$  The Gantt Chart for the schedule is:

|       |       |       |
|-------|-------|-------|
| $P_1$ | $P_2$ | $P_3$ |
| 0     | 24    | 27    |
|       |       | 30    |

For  $P_1, P_2$  and  $P_3$

- Waiting time  $\{0; 24; 27\}$ , Avg. WT  $(0 + 24 + 27)/3 = 17$
- Turn around time  $\{24; 27; 30\}$ , Avg. TAT  $(24+27+30)/3 = 27$
- Normalized Turn around time  $\{1; 9; 10\}$ , Avg. NTAT  $= 6.67$

**First – Come – First – Served (FCFS)**

| Process | Burst Time |
|---------|------------|
| $P_1$   | 24         |
| $P_2$   | 3          |
| $P_3$   | 3          |

If Arrival order is  $P_1, P_2, P_3$  The Gantt Chart for the schedule is:

|       |       |       |
|-------|-------|-------|
| $P_1$ | $P_2$ | $P_3$ |
| 0     | 24    | 27    |
|       |       | 30    |

For  $P_1, P_2$  and  $P_3$

- Waiting time  $\{0; 24; 27\}$ , Avg. WT  $(0 + 24 + 27)/3 = 17$
- Turn around time  $\{24; 27; 30\}$ , Avg. TAT  $(24+27+30)/3 = 27$
- Normalized Turn around time  $\{1; 9; 10\}$ , Avg. NTAT  $= 6.67$

**First – Come – First – Served (FCFS)**

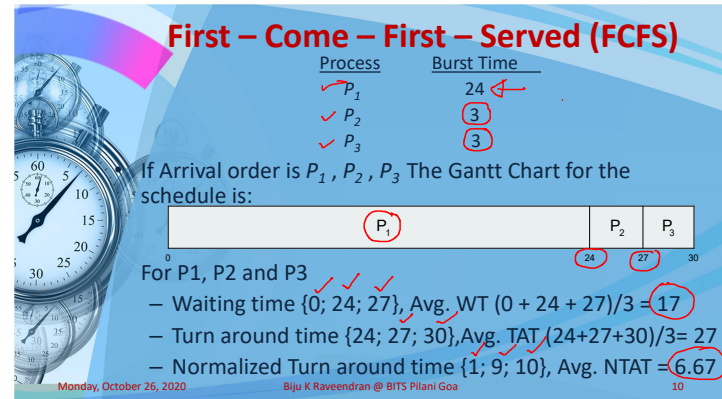
| Process | Burst Time |
|---------|------------|
| $P_1$   | 24         |
| $P_2$   | 3          |
| $P_3$   | 3          |

If Arrival order is  $P_1, P_2, P_3$  The Gantt Chart for the schedule is:

|       |       |       |
|-------|-------|-------|
| $P_1$ | $P_2$ | $P_3$ |
| 0     | 24    | 27    |
|       |       | 30    |

For  $P_1, P_2$  and  $P_3$

- Waiting time  $\{0; 24; 27\}$ , Avg. WT  $(0 + 24 + 27)/3 = 17$
- Turn around time  $\{24; 27; 30\}$ , Avg. TAT  $(24+27+30)/3 = 27$
- Normalized Turn around time  $\{1; 9; 10\}$ , Avg. NTAT  $= 6.67$



**First – Come – First – Served (FCFS)**

| Process | Burst Time |
|---------|------------|
| $P_1$   | 24         |
| $P_2$   | 3          |
| $P_3$   | 3          |

If Arrival order is  $P_1, P_2, P_3$  The Gantt Chart for the schedule is:

|       |       |       |
|-------|-------|-------|
| $P_1$ | $P_2$ | $P_3$ |
| 0     | 24    | 27    |
|       |       | 30    |

For  $P_1, P_2$  and  $P_3$

- Waiting time  $\{0; 24; 27\}$ , Avg. WT  $(0 + 24 + 27)/3 = 17$
- Turn around time  $\{24; 27; 30\}$ , Avg. TAT  $(24+27+30)/3 = 27$
- Normalized Turn around time  $\{1; 9; 10\}$ , Avg. NTAT  $= 6.67$

- First – Come – First – Served (FCFS)**

| Process | Burst Time |
|---------|------------|
| $P_1$   | 24         |
| $P_2$   | 3          |
| $P_3$   | 3          |

If Arrival order is  $P_1, P_2, P_3$  The Gantt Chart for the schedule is:

|       |       |       |
|-------|-------|-------|
| $P_1$ | $P_2$ | $P_3$ |
| 0     | 24    | 27    |
|       |       | 30    |

For  $P_1, P_2$  and  $P_3$

  - Waiting time  $\{0; 24; 27\}$ , Avg. WT  $(0 + 24 + 27)/3 = 17$
  - Turn around time  $\{24; 27; 30\}$ , Avg. TAT  $(24+27+30)/3 = 27$
  - Normalized Turn around time  $\{1; 9; 10\}$ , Avg. NTAT  $= 6.67$

Monday, October 26, 2020

Biju K Raveendran @ BITS Pilani Goa

10

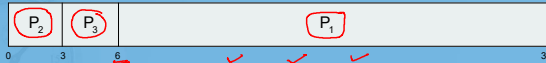


## FCFS Scheduler

Suppose that the processes arrive in the order

$P_2, P_3, P_1$

- The Gantt chart for the schedule is:



- Waiting time for  $P_1 = 6$ ;  $P_2 = 0$ ;  $P_3 = 3$
- Average waiting time:  $(6 + 0 + 3)/3 = 3$
- Much better than previous case
- Convoy effect short process behind long process

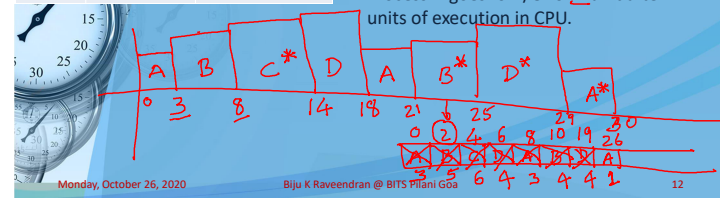
Monday, October 26, 2020

Biju K Raveendran @ BITS Pilani Goa

11

## FCFS with I/O

- Assume process A goes for I/O for 5 units of time after every 3 unit execution in CPU
- Assume B goes for I/O for 2 units after 5 units of execution in CPU
- Process C is a CPU bound process [no I/O]
- Process D goes for I/O for 1 unit after 4 units of execution in CPU.



Monday, October 26, 2020

Biju K Raveendran @ BITS Pilani Goa

12

## Operating Systems CS F372

### Lect 34: CPU Scheduling

BIJU K RAVEENDRAN

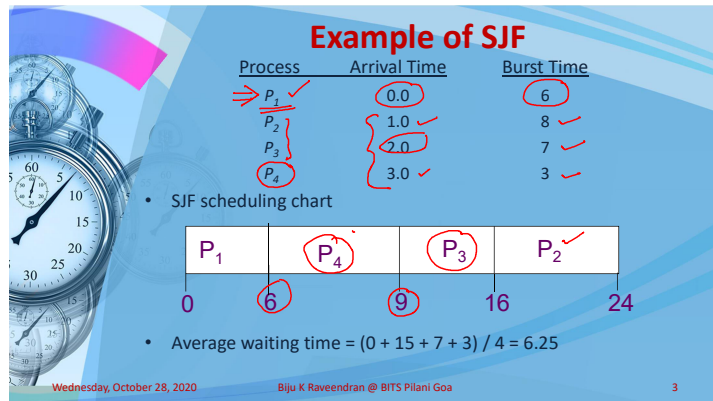
## Shortest Job First (SJF) Scheduler

- Associate with each process the length of its next CPU burst. Use lengths to schedule the process with the shortest time
- SJF is optimal – gives minimum average waiting time for a given set of processes
  - The difficulty is knowing the length of the next CPU request
- Non-preemptive policy
- Process with shortest expected processing time is selected next
- Short process jumps ahead of longer processes

Wednesday, October 28, 2020

Biju K Raveendran @ BITS Pilani Goa

2



### Determining Length of Next CPU Burst

- Can only estimate the length
- Can be done by using the length of previous CPU bursts, using exponential averaging

1.  $t_n$  = actual length of  $n^{th}$  CPU burst
2.  $\tau_{n+1}$  = predicted value for the next CPU burst
3.  $\alpha, 0 \leq \alpha \leq 1$
4. Define:  $\tau_{n+1} = \alpha t_n + (1 - \alpha) \tau_n$

- Commonly  $\alpha$  set to 1/2

Wednesday, October 28, 2020    Biju K Raveendran @ BITS Pilani Goa    4

### Examples of Exponential Averaging

- $\alpha = 0$ 
  - $\tau_{n+1} = \tau_n$
  - Recent history does not count
- $\alpha = 1$ 
  - $\tau_{n+1} = \alpha t_n$
  - Only the actual last CPU burst counts
- If we expand the formula, we get:
 
$$\tau_{n+1} = \alpha t_n + (1 - \alpha) \alpha t_{n-1} + \dots + (1 - \alpha)^j \alpha t_{n-j} + \dots + (1 - \alpha)^{n+1} \tau_0$$
- Since both  $\alpha$  and  $(1 - \alpha)$  are less than or equal to 1, each successive term has less weight than its predecessor

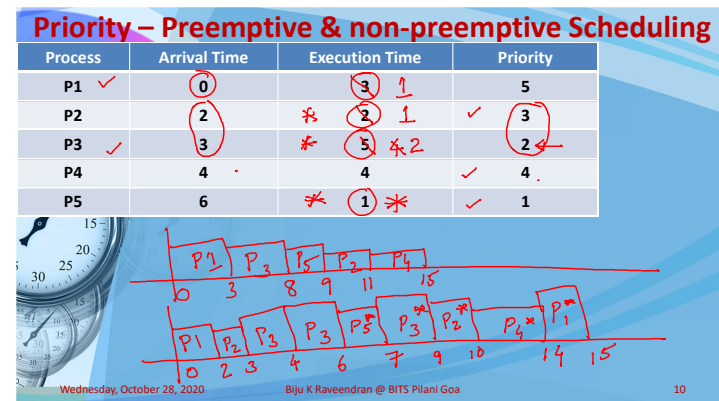
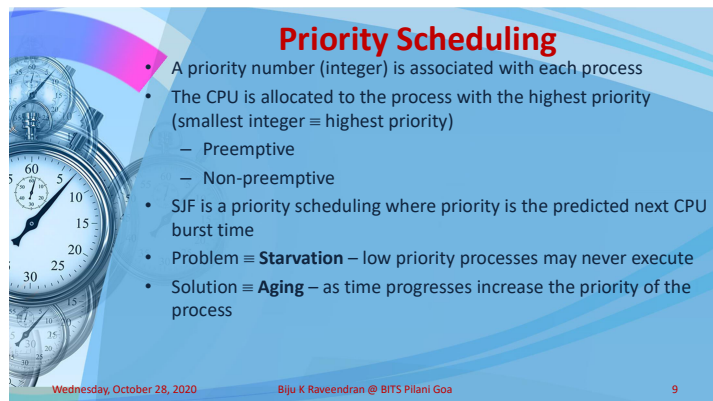
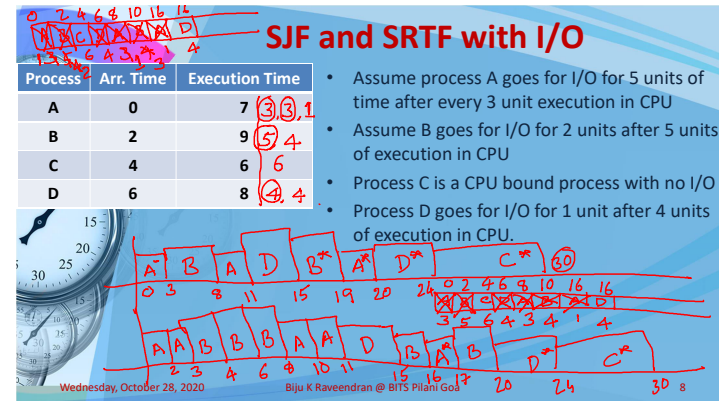
Handwritten notes: 80% and 20% with arrows pointing to the first two terms of the formula; 20% and 80% with arrows pointing to the last two terms. A red circle highlights the final term of the formula.

Wednesday, October 28, 2020    Biju K Raveendran @ BITS Pilani Goa    5

### Finding Next Burst

- Predictability of longer processes is reduced
- If estimated time for process not correct, the operating system may abort it
- Possibility of starvation for longer processes

Wednesday, October 28, 2020    Biju K Raveendran @ BITS Pilani Goa    6



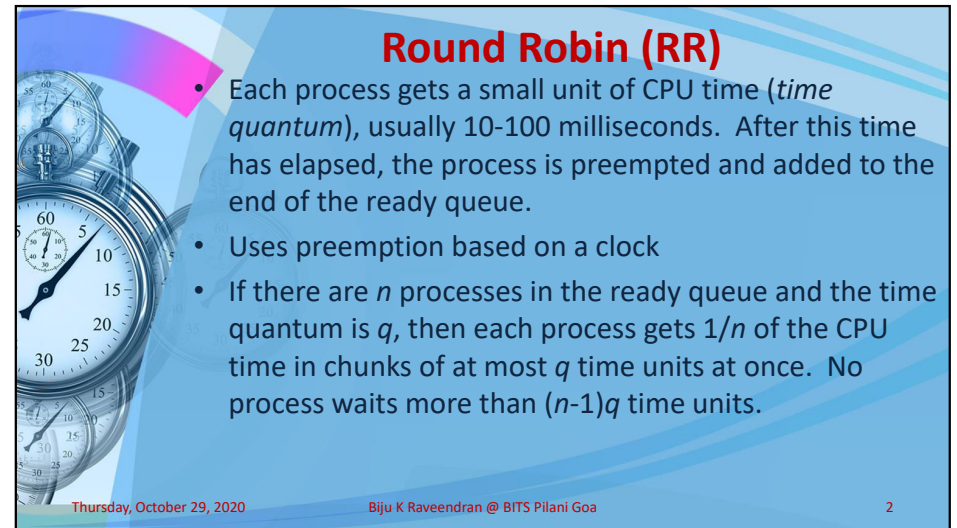




Operating Systems  
CS F372

**Lect 35:  
CPU Scheduling**

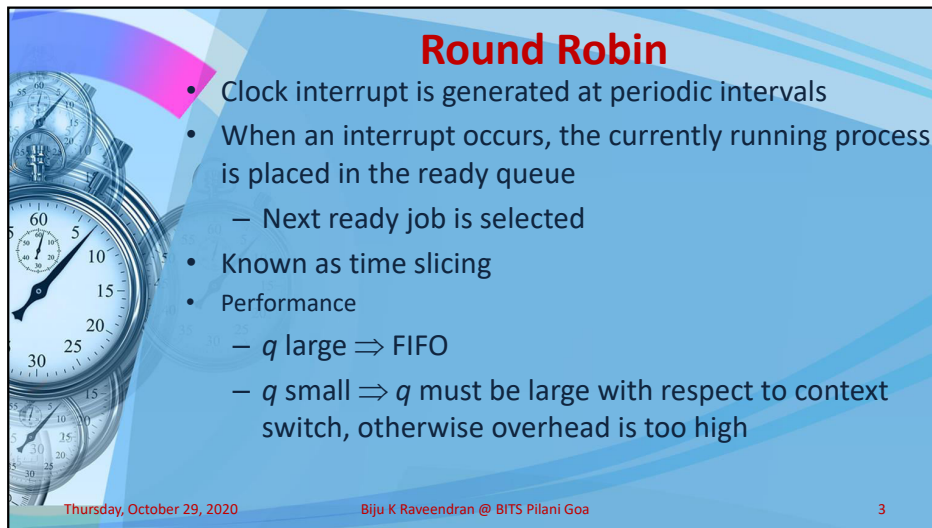
**BIJU K RAVEENDRAN**



## Round Robin (RR)

- Each process gets a small unit of CPU time (*time quantum*), usually 10-100 milliseconds. After this time has elapsed, the process is preempted and added to the end of the ready queue.
- Uses preemption based on a clock
- If there are  $n$  processes in the ready queue and the time quantum is  $q$ , then each process gets  $1/n$  of the CPU time in chunks of at most  $q$  time units at once. No process waits more than  $(n-1)q$  time units.

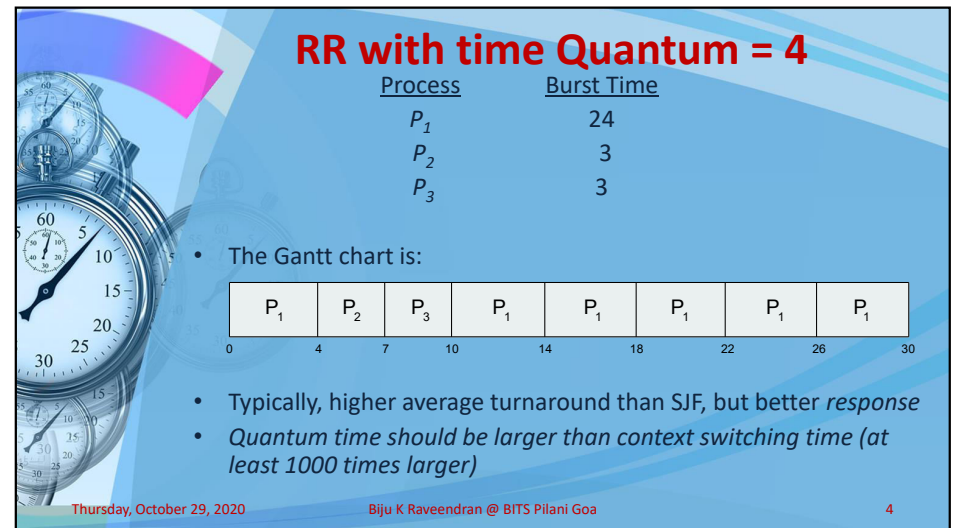
Thursday, October 29, 2020      Biju K Raveendran @ BITS Pilani Goa      2



## Round Robin

- Clock interrupt is generated at periodic intervals
- When an interrupt occurs, the currently running process is placed in the ready queue
  - Next ready job is selected
- Known as time slicing
- Performance
  - $q$  large  $\Rightarrow$  FIFO
  - $q$  small  $\Rightarrow q$  must be large with respect to context switch, otherwise overhead is too high

Thursday, October 29, 2020      Biju K Raveendran @ BITS Pilani Goa      3



## RR with time Quantum = 4

| Process | Burst Time |
|---------|------------|
| $P_1$   | 24         |
| $P_2$   | 3          |
| $P_3$   | 3          |

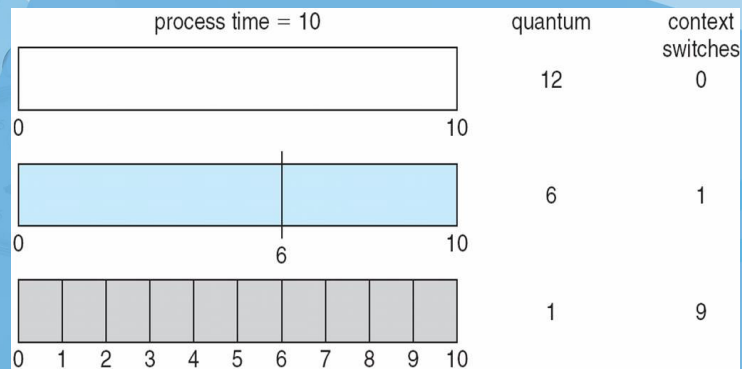
- The Gantt chart is:

|       |       |       |       |       |       |       |       |
|-------|-------|-------|-------|-------|-------|-------|-------|
| $P_1$ | $P_2$ | $P_3$ | $P_1$ | $P_1$ | $P_1$ | $P_1$ | $P_1$ |
| 0     | 4     | 7     | 10    | 14    | 18    | 22    | 26    |
| 30    |       |       |       |       |       |       |       |

- Typically, higher average turnaround than SJF, but better *response*
- Quantum time should be larger than context switching time (at least 1000 times larger)

Thursday, October 29, 2020      Biju K Raveendran @ BITS Pilani Goa      4

## Time Quantum & Context Switching



Thursday, October 29, 2020

Biju K Raveendran @ BITS Pilani Goa

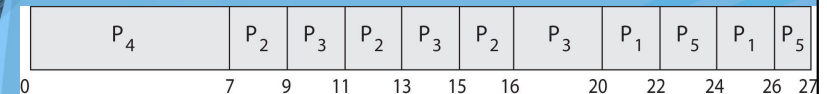
5

## Priority Scheduling with Round Robin

| Process | Burst Time | Priority |
|---------|------------|----------|
| $P_1$   | 4          | 3        |
| $P_2$   | 5          | 2        |
| $P_3$   | 8          | 2        |
| $P_4$   | 7          | 1        |
| $P_5$   | 3          | 3        |

- Run the process with the highest priority. Processes with the same priority run round-robin

- Gantt Chart with 2 ms time quantum



Thursday, October 29, 2020

Biju K Raveendran @ BITS Pilani Goa

6

## Multi-level Queue

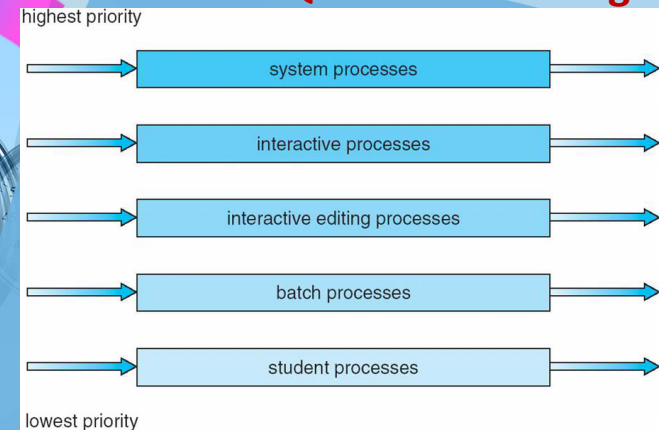
- Ready queue is partitioned into separate queues:
  - foreground (interactive)
  - background (batch)
- Each queue has its own scheduling algorithm
  - foreground – RR
  - background – FCFS
- Scheduling must be done between the queues
  - Fixed priority scheduling; (i.e., serve all from foreground then from background). Possibility of starvation.
  - Time slice – each queue gets a certain amount of CPU time which it can schedule amongst its processes; i.e., 80% to foreground in RR
  - 20% to background in FCFS

Thursday, October 29, 2020

Biju K Raveendran @ BITS Pilani Goa

7

## Multi-level Queue Scheduling



Thursday, October 29, 2020

Biju K Raveendran @ BITS Pilani Goa

8

## Multi-level Feedback Queue

- A process can move between the various queues; aging can be implemented this way
- Multilevel-feedback-queue scheduler defined by the following parameters:
  - number of queues
  - scheduling algorithms for each queue
  - method used to determine when to upgrade a process
  - method used to determine when to demote a process
  - method used to determine which queue a process will enter when that process needs service

Thursday, October 29, 2020

Biju K Raveendran @ BITS Pilani Goa

9

## Multi-level Feedback Queue

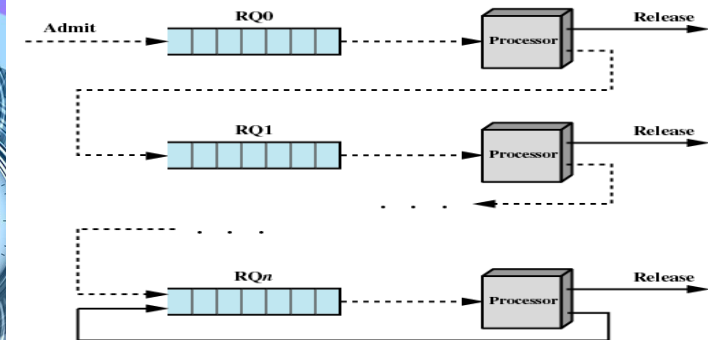


Figure 9.10 Feedback Scheduling

Thursday, October 29, 2020

Biju K Raveendran @ BITS Pilani Goa

10

## Example of Multi-level Feedback Queue

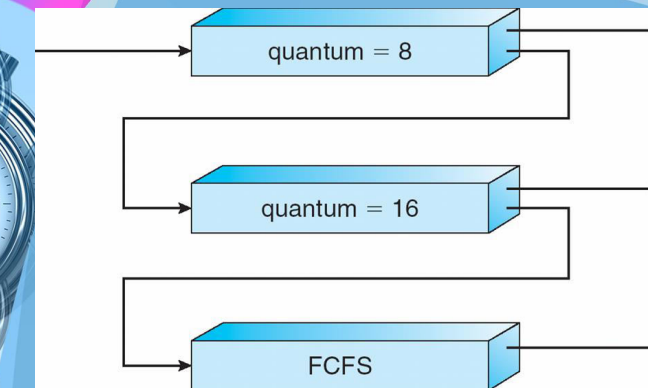
- Three queues:
  - $Q_0$  – RR with time quantum 8 milliseconds
  - $Q_1$  – RR time quantum 16 milliseconds
  - $Q_2$  – FCFS
- Scheduling
  - A new job enters queue  $Q_0$  which is served FCFS. When it gains CPU, job receives 8 milliseconds. If it does not finish in 8 milliseconds, job is moved to queue  $Q_1$ .
  - At  $Q_1$  job is again served FCFS and receives 16 additional milliseconds. If it still does not complete, it is preempted and moved to queue  $Q_2$ .

Thursday, October 29, 2020

Biju K Raveendran @ BITS Pilani Goa

11

## Multi-level Feedback Queue



Thursday, October 29, 2020

Biju K Raveendran @ BITS Pilani Goa

12



# SYNCHRONIZATION

Thursday, October 29, 2020

Biju K Raveendran @ BITS Pilani Goa

13

## Inter Process Communication

- Processes within a system may be **independent** or **cooperating**
- Cooperating process can affect or be affected by other processes, including sharing data
- Reasons for cooperating processes:
  - Information sharing, Computation speedup, Modularity, Convenience
- Cooperating processes need **inter process communication (IPC)**
  - Shared memory, Message passing

Thursday, October 29, 2020

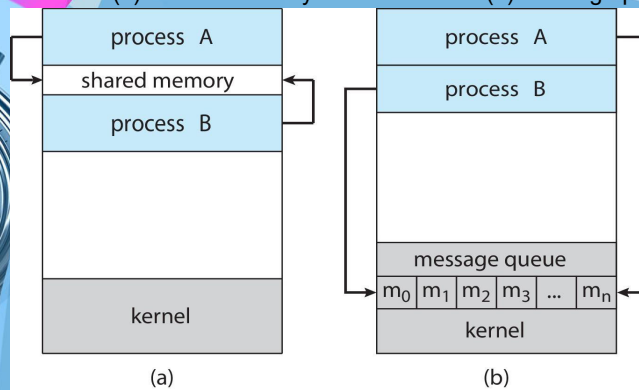
Biju K Raveendran @ BITS Pilani Goa

14

## Communication Models

(a) Shared memory.

(b) Message passing.



Thursday, October 29, 2020

Biju K Raveendran @ BITS Pilani Goa

15

## Synchronization

- Message passing may be either blocking or non-blocking
- Blocking** is considered **synchronous**
  - Blocking send:** The sender blocks until the message is received
  - Blocking receive:** The receiver blocks until a message is available
- Non-blocking** is considered **asynchronous**
  - Non-blocking:** The sender sends the message and continue
  - Non-blocking:** The receiver receives a valid message or null

Thursday, October 29, 2020

Biju K Raveendran @ BITS Pilani Goa

16

## Producer – Consumer Problem

- Paradigm for cooperating processes, *producer* process produces information that is consumed by a *consumer* process
  - unbounded-buffer* places no practical limit on the size of the buffer
  - bounded-buffer* assumes that there is a fixed buffer size

Thursday, October 29, 2020

Biju K Raveendran @ BITS Pilani Goa

17

## Producer – Consumer Problem

$in \leftarrow 0, out \leftarrow 0$

### PRODUCER

```
while (1) {  
    // Produce item;  
    Buffer[in] = item;  
    in = in + 1;  
}
```

### CONSUMER

```
while (1) {  
    while (in == out);  
    item = Buffer[out];  
    out = out + 1;  
}
```

Thursday, October 29, 2020

Biju K Raveendran @ BITS Pilani Goa

18

## Bounded Buffer – Shared Memory Solution

- Shared data

```
#define BUFFER_SIZE 10  
typedef struct {  
    ...  
} item;  
  
item buffer[BUFFER_SIZE];  
int in = 0;  
int out = 0;
```

Thursday, October 29, 2020

Biju K Raveendran @ BITS Pilani Goa

19

```
/* Producer */  
while (true) {  
    /* produce an item and put in nextProduced */  
    while (count == BUFFER_SIZE); // do nothing  
    buffer[in] = nextProduced;  
    in = (in + 1) % BUFFER_SIZE;  
    count++;  
}
```

```
/* Consumer */  
while (true) {  
    while (count == 0); // do nothing  
    nextConsumed = buffer[out];  
    out = (out + 1) % BUFFER_SIZE;  
    count--;  
    /* consume the item in nextConsumed */  
}
```

Thursday, October 29, 2020

Biju K Raveendran @ BITS Pilani Goa

20



### Bounded Buffer – Shared Memory Solution

- Shared data
 

```
#define BUFFER_SIZE 10
typedef struct {
    ...
} item;

item buffer[BUFFER_SIZE];
int in = 0;
int out = 0;
int count=0;
```

Friday, October 30, 2020 Biju K Raveendran @ BITS Pilani Goa 2

```
/* Producer */
while (true) {
    /* produce an item and put in nextProduced */
    while (count == BUFFER_SIZE); // do nothing
    buffer[in] = nextProduced;
    in = (in + 1) % BUFFER_SIZE;
    count++;
}

/* Consumer */
while (true) {
    while (count == 0); // do nothing
    nextConsumed = buffer[out];
    out = (out + 1) % BUFFER_SIZE;
    count--;
    /* consume the item in nextConsumed */
}
```

*Handwritten annotations:*

- count = 0* (above first while)
- count++* (above count++)
- Reg1 ← count* (above buffer[in] = nextProduced)
- Reg1 ← Reg1 + 1* (above in = (in + 1) % BUFFER\_SIZE)
- count ← Reg1* (above count++)
- Reg1 ← 0* (above second while)
- Reg1 ← Reg1 - 1* (above count--)
- Reg1 ← count* (above nextConsumed = buffer[out])
- Reg1 ← Reg1 + 1* (above out = (out + 1) % BUFFER\_SIZE)
- Reg1 ← count* (above count--)


Friday, October 30, 2020 Biju K Raveendran @ BITS Pilani Goa 3

### Producer – Consumer Problem

- Concurrent access to shared data may result in data inconsistency
- Maintaining data consistency requires mechanisms to ensure the orderly execution of cooperating processes

Friday, October 30, 2020 Biju K Raveendran @ BITS Pilani Goa 4






## Race Condition

- count++ could be implemented as  
 register1 = count  
 register1 = register1 + 1  
 count = register1
- count-- could be implemented as  
 register2 = count  
 register2 = register2 - 1  
 count = register2

Friday, October 30, 2020      Biju K Raveendran @ BITS Pilani Goa      5



## Race Condition

Shared var int x = 0;

**Process #1**

~~x = -1~~

```

int main ( )
{
  CS
  x = x + 1;
  return 0;
}

```

**Process #2**

~~x = +1~~


```

int main ( )
{
  CS
  x = x - 1;
  return 0;
}

```

Handwritten annotations show Process #1 loading x (0), incrementing to 1, and storing it. Process #2 loads x (1), decrements to 0, and stores it. The final value of x is 0, despite two increment operations.

Friday, October 30, 2020      Biju K Raveendran @ BITS Pilani Goa      6




## Race Condition

| <u>x = x + 1</u>            | <u>x = x - 1</u>            |
|-----------------------------|-----------------------------|
| Reg #1 ← x (load)           | Reg #1 ← x (load)           |
| Reg#1 ← Reg#1 + 1 (compute) | Reg#1 ← Reg#1 - 1 (compute) |
| x ← Reg #1 (store)          | x ← Reg #1 (store)          |

**Inter leaving can happen anywhere in this code**


Friday, October 30, 2020      Biju K Raveendran @ BITS Pilani Goa      7



## Maximum and Minimum values

- Race condition leads to unpredictable result
- Result can be within a range
  - Previous example it is -1 to +1.
- Maximum value situation
  - If all x -- operations getting nullified by x ++ operation
- Minimum value situation
  - If all x ++ operations getting nullified by x -- operation

Friday, October 30, 2020      Biju K Raveendran @ BITS Pilani Goa      8



## Race Condition

Shared var int x = 0;

**Process #1**

```
int main ()
{
  int i;
  for(i=0; i<100; i++)
    x = x + 1;
  return 0;
}
```


**Process #2**

```
int main ()
{
  int i;
  for(i=0; i<100; i++)
    x = x - 1;
  return 0;
}
```

**Find Maximum and Minimum value of x**

Handwritten notes:  $+100$ ,  $-100$ ,  $x++$ ,  $x--$ ,  $x \leftarrow x$ ,  $x \leftarrow -100$

Friday, October 30, 2020    Biju K Raveendran @ BITS Pilani Goa    9



## Race Condition

Shared var int x = 0;

**Process #1**

```
int main ()
{
  int i;
  for(i=0; i<100; i++) {
    x = x + 1;
    x = x - 1;
  }
  return 0;
}
```


**Process #2**

```
int main ()
{
  int i;
  for(i=0; i<100; i++) {
    x = x + 1;
    x = x - 1;
  }
  return 0;
}
```

**Find Maximum and Minimum value of x**

Handwritten notes:  $+199$ ,  $-199$ ,  $x++$ ,  $x--$ ,  $x \leftarrow x$


Friday, October 30, 2020    Biju K Raveendran @ BITS Pilani Goa    10



Handwritten notes:  $x++$ ,  $x--$ ,  $x \leftarrow x$ ,  $x \leftarrow -100$ ,  $x \leftarrow 100$

for (i=0; i<5; i++)  
 {  
 x++;  
 x--;  
 }  
 -9 → +9


Friday, October 30, 2020    Biju K Raveendran @ BITS Pilani Goa    11



## Race Condition

- The value of shared variable in execution is dependent on the order of a shared variable or resource.
- Atomic operation
  - The execution of a bunch of operations are atomic if and only if the operation(s) either execute completely or the execution will not take place at all

Friday, October 30, 2020    Biju K Raveendran @ BITS Pilani Goa    12



## Critical Section Problem

- Consider system of  $n$  processes  $\{p_0, p_1, \dots, p_{n-1}\}$
- Each process has critical section segment of code
  - Process may be changing common variables, updating table, writing file, etc
  - When one process in critical section, no other may be in its critical section
- *Critical section problem* is to design protocol to solve this
- Each process must ask permission to enter critical section in entry section, may follow critical section with exit section, then remainder section

Friday, October 30, 2020      Biju K Raveendran @ BITS Pilani Goa      13