

	Nice Value	State	Quan. time	Page No.	Study time
+	-20	100	200 ms		
	-1	119	420 ms		
	0	120	100 ms		
	1	121	95 ms		
	19	129	15 ms		

- Normal user cannot set -ve nice values.
Only superuser or admin can.

- + Dynamic Priority - It should give imp. to I/O bound / wait-ing processes over CPU processes.

$$\text{Dy. Pr.} = \text{St. pr.} - \text{bonus} + 5$$

Bonus value is based on sleeping time i.e. how much time the process waits in I/O device and not ready queue

Avg. Sleep time (ms)	Bonus	Granularity
0 - 100	0	5120
100 - 200	1	2560
200 - 300	2	1280
300 - 400	3	640
400 - 500	4	320
500 - 600	5	160
600 - 700	6	80
700 - 800	7	40
800 - 900	8	20
900 - 1000	9	10
1s and greater	10	10

process has to preempt and give CPU to new process. After the execution of new process, the previous process will continue execution, but for the remaining time quantum.

- All the processes of 1 priority level finishes execution before executing lower priority (higher no.) process.
- Starvation occurs in real-time processes also but there is no mechanism to stop that in real-time process.
- sched_yield() - Sys. call to give up CPU by a running process voluntarily.
- The process will execute with next time with the remaining time quantum only.
- Any joining from outside (i.e. new admission or ~~fresh~~ blocked/suspended state) will join at the back of the queue.
- The process which is already in the CPU (i.e. currently running) will have option to join in front (FIFO) or back (RR).
- At every decision pt. the dynamic priority of concerned process changes, but quantum time is changed only when the present quantum time is expired.

- ★ Windows CPU Sched.
- Push migration algo. uses past history of the core to determine which is busy and hence is free/fidle.

★ Windows CPU Sched.

- Priority: 0 - Mem. Management
- 1-15 - Variable

16-31 - Real time.

- One queue for each priority level.
- Higher no. = higher priority.

- High priority to int. process - mouse/window.

• Variable :- HIGH-PRIORITY-CLASS

ABOVE-NORMAL

NORMAL

BELLOW-NORMAL

LOWEST

IDLE

- These classes present in both Real-time Range and Variable Range

- From windows 7 - Two levels of thread mgt
- User level thread scheduling
- Kernel level thread scheduling

+ kernel-level scheduling -

- Preemptive scheduling.
- Includes process affinity change.

25/10/19 Synchronization:

• Independent Process - If a process is not dependent on any other process
data wise or temporally.

• Cooperating Process - If a process is dep. on other processes.

- Most the processes are cooperating process.

Two mechanism - i). Shared memory
ii). Message Passing

- If data size is large then shared memory is better because no. of msg will also be great. large.

- If security is concerned then message passing is better.

• Msg. Passing - A process can send msg / signal to kernel and then kernel forwards it to other process.

- Secure because kernel handles the msg.

- If data is large then no. of msgs will also be large so system degradation.

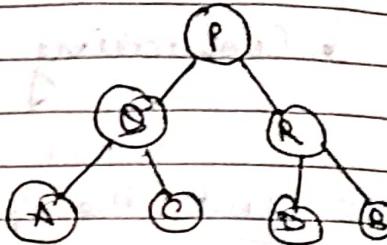
- If SIGCONT reaches the child process before

the SIGSTOP signal then after the child process is blocked by the father, it cannot continue exec because no other SIGCONT is going to come to it. To avoid this we use WUNTRACED in parent process.

→ No exec condition in msg passing because no shared space available, data is not sent/received b/w the processes.

• Communication link -

If Process A needs to send a msg / signal to process B then it will go through process P.



→ So if P is stopped / blocked then no link is present so communication not possible.

→ A & C can communicate via process Q irrespective of state of process P.

• Direct :-

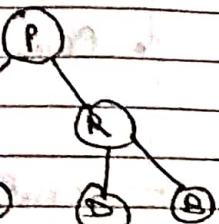
→ Exactly one link is associated b/w exactly one pair of process.

→ One to one comm. → Sender & receiver

• Direct link:-

• Mailbox → Multiple process will get mail/mgs at a common mailbox and the process can take it from there.

the child
it cannot
SIGCONT
and thus we
cess.
because no
not sent)



when A cor
cation - not

now Q

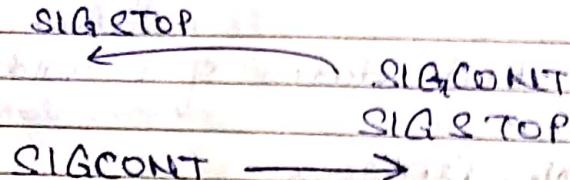
we exactly
of socket

at mail/
mail box
from there.

- Blocking Send - Sender blocked until the msg. is received.
Blocking Receiver - Reciever blocked until a msg is available,
eg - SIGSTOP - receiver waits until SIGCONT is received.
- Non-Blocking Send - Sender sends the msg and continue.
eg - SIGCONT.
- Non-Blocking Receive - Reciever receives a signal or a NULL to continue.
eg - Exit status of a child process.
- Synch. Problems -
 - If a msg is lost or process fails before ending receive process is permanently blocked in case of blocking receive - deadlock.
 - TCP - Msg acknowledge sent by receiver to the sender.
eg - File transfer transfer blue diff. system.
 - If acknowledgement is not received then the sender will send the msg/packet again.
- UDP - No msg acknowledgement is given by receiver to sender.
eg - Video call.

- point
- No bt in sending a packet again at a later time because that data is not req. late.
- TCP is reliable.
UDP is faster for smaller msg but no reliability.
- In case of non-blocking receive if the process executes receive before msg sent, the msg gets lost.

Eg - child Parent



- If the child misses its sigcont then both child and parent will get from blocked permanently at their resp. SIGSTOP signals - Deadlock

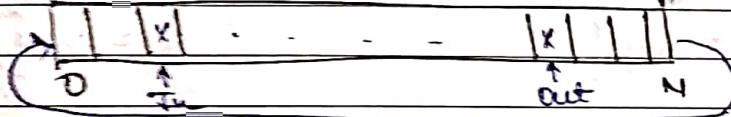
* Produce - Consumer Problem - (Buffering).

- * Zero Cap. - whenever the producer produces, consumer has to consume.
- Strict Scheduling - PI → C1 → PI → C1 . . .

- * Bounded Cap - Fixed Cap. of N.
- Producer checks if the buffer full.
- Consumer has to check if the buffer is not empty.

- Study Time
- | | |
|-----------|-----|
| Page No.: | |
| Date: | 1/1 |
- ~~date~~
again at a
rate is not
done
- * Infinite Buffer - Infinite length buffer
 - Consumer has to check if buffer is not full.
 - Producer has to need not check if the buffer is full.

- In a bounded buffer, once the prod/con. reaches the end of buffer they will have to come back at the start and continue from there (Circular production/consumption).
 - This does not happen in unbounded buffer.
- $\leftarrow \overline{3} \equiv \text{in} \rightarrow 0, \text{out} \rightarrow 0$
- If $\text{in} = \text{out}$ - Buffer empty.



- The place where 'in' is pointing is empty so the producer has to fill the object there next.
- The place where 'out' is pointing is not empty so the consumer has to take the obj from that place.
- If since 'in' place is empty so it is difficult to check for buffer full in this mechanism
- So maintain a counter.
 - If $\text{count} == 0$ - Buffer Empty
 - If $\text{count} == \text{Buffer-size} - 1$ - Buffer Full.

- Race condition may occur because producer and consumer are running in diff threads so they will try to increase and decrease the counter resp.

5/11/19 - If there is only one producer and consumer then IN & OUT variable can be a local variable.

- If more than one producer/consumer then the IN & OUT must be a global variable.

+ `for(i=0; i<100; i++)` `for(i=0; i<100; i++)`
 $\{ x = x+1;$ $\{ x = x+1;$
 $x = x-1\}$ $x = x-1\}$

$$- x_{\max} = 199 ; x_{\min} = -199.$$

+ `for(i=0; i<100; i++)` `for(i=0; i<100; i++)`
 $\{ x = x+1;$ $\{ x = x-1;$
 $x = x-1\}$ $x = x+1\}$

$$- x_{\max} = 200 ; x_{\min} = -200.$$

- To prevent race condition make the operation atomic - either the operation executes completely or do not execute at all.

* Critical Section - That section/set of code in a program which acts as atomic operation.

- If context switch happens in between the execution

because proc.
in diff. threads
and decrease

consumer
and consumer
be a local

variable. - Race
condition

$i < 100 ; i++$)

+ do {

100, i++)

the operations
execute
all:

of code in a
date as

in the eve.

of critical section then at next time when
the process executes again it will have to
execute that section from the start again.

- Or if there is a shared variable/file then
a process using it can lock it until it is
executing so no other process can
use that and hence condition is satisfied.

- After the process executes, it unlocks the
variable/file for other processes.

} while (1).

Entry section — only 1 process at
critical section at a time will be
able to go through
Exit section

- (flag == 0) - check
Critical code

make flag = 1;

(flag == 1) - Check
Critical code

- so only 1 process will be able to execute that
at a time.

• Min. 3 properties of Critical section problem -

1). Mutual Ex. - If any process P_i is ex. at
its critical section then no other
process should ex. its critical section.
Others should wait at entry section.

ii). Progress - If no process is in ex. critical section and some process want to ex. it's critical section then that process should not be delayed indefinitely.

iii). Bounded waiting - If many process wants to ex. critical section then one process should not go again and again to avoid starvation of other processes. also give other processes a chance.

6/11/19

- These conditions are there for the same critical section of a prog i.e. multiple process trying to execute the same piece of code.

→ Eg:- Barber's Chair -
- Multiple faculty and student at the same time.

- File Reading is not mutually exclusive -
Any multiple process can read simultaneously
- So not a critical section problem.

- Alg. 1 - No progress if any 1 process dies. (Turn ~~ago~~)
- Alg. 2 - If both flag 10 & flag 11 becomes false due to context switching then both process won't be able to ex. critical section. — Deadlock fails progress.

ex. critical
one process wants
then that
will indefinitely.

process wants
critical section
not go again
valued of other
processes to a

the same criton
free process
piece of code.

exclusive —
simultaneously
problem.

older. (Turn)
it becomes
it switching
it be able
— Deadlock

- Alg. 3 - Peterson's Algorithm
 - Uses both algo. 1 & 2 to overcome each other problem of progress.

- Alg. 3 - Peterson's Algorithm
 - Two shared variables - turn & flag.
 - Deadlock will not happen - either of any one process can always enter critical section.
 - Bounded waiting due to turn variable - Both process will get a chance one after the other.
 - This algo. is good only for 2 process, so for more than two processes this is not used.
 - To enter the critical section, each process has to check multiple condition which would take very long time and in some other process may change the variable during that time & race condition during condition checking.

- Alg. 4 - Bakery Algorithm
 - For more than 3 processes.
 - Each process gets a token no. - The no. to one with smallest token no. gets a chance to ex. critical section.
 - If multiple process has same token no. then the one with smallest bid will enter critical section.

- Token no. is a 16 digit array in shared memory.
- If a process does not want to enter critical section then it's no. is 0. Only
- so only those process will get a no. which want to enter critical section.
- while giving token no. to a process, race condition may arise so multiple process may get the same token no.
- After a process has executed the critical section once it will make its token no. 0.

- $\text{number}[j,j] < \text{number}[i,i]$
- First compare the token no., then then check the pid.
- Token no. is given as First come First Serve algo. no starvation.

* HW Synchronisation -

- OS controls main memory but not the Cache - Cache is transparent.
- This is because OS is slower than cache.
- Disabling the interrupt will prevent time slice robbery to maintain synchronisation
 - only for uniprocessor unicore.

array in shared

to be critical
int.
will get a
critical section

process, race
multiple process

be critical
its taken no.

no + then

come first

then

but not the

then cache,

recent time

synchronization

• swap / test and set — It's implemented just
inst. for synchronisation.

- All inst. f in an int. set architecture is
atomic. (Add, sub, load, etc.)

• - So disabling the int. before critical section
will prevent preemption.

- Enable the int. after critical section.

- If a process makes a system call, then it may
be preempted by the OS even if int. is
disabled for it.

- Not efficient for multiprocessor
system system.

+ Inst. cycle — Inst. fetch → Inst. decode →
Execute → Load back → write
back → Exception handling.

- Special atomic inst. are used for syn-
chronisation instead of int. disable.

* Memory Barriers :

• MESI — Modified Exclusive Shared Invalid

- Used for synchronisation b/w multiple cores.

- Modified — If data is modified in 1st cache
of core 1, but still not updated in
the shared 2nd cache.

• The most recent data is in the main memory.

Exclusive - The data most recent data is in L1 cache and only one core has that data in L1.

Shared - The most recent data is present in L1 cache of multiple cores.

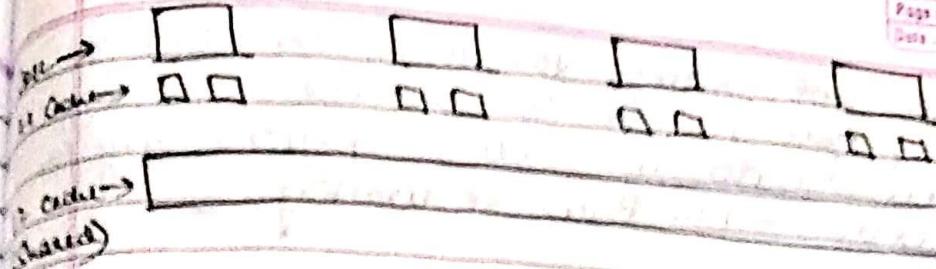
Invalid - The data is present in multiple cores. So if one core modifies the data in N's L1 cache then the data of other cores becomes invalid.

• Strongly Ordered - If one core modifies the data in its cache then it also tells the other cores to modify off their data.

• Loosely / Weakly Ordered - If one core modifies the data in its cache then it makes the data in other core as invalid.

- When any core e.g. the modified data can request from the first core.

- Loosely is better than strongly because for strongly, for each update a core needs to send a signal to each cache core but for loosely a core needs to make send invalid signal only once and the no. of signals is reduced.



- In bus if n devices are connected then at a time only two devices will be active (sender and receiver) and other n will have to wait till ~~the previous~~ the previous +

→ Test & set Instruction →

- Works only with shared memory - Tightly coupled system.

- Not for loosely coupled system.

- lock is a shared memory so only one process can access it at a time.

- Test and Set is an atomic inst. in the Inst. set architecture so it won't be preempted or broken.

- lock will be true whenever a process is in Critical Section so others will be in while loop.

- When the process in Critical section finishes it will make lock = false so that any other process can ex. next.

- Does not satisfy the third condition because any process can be selected to execute most randomly, so a process may be starved - NO bounded waiting.

* Swept Inst.:-

- Sweep is also an atomic inst.
- Shared variable lock and local variable key for every process.
- Both mechanism are similar - In Test & Set return value is used but in sweep local key variable is used.

- Spin lock - while one process is executing in critical section the other processes will be executing while loop infinitely.

- Now if the other process has higher priority than the process in critical section then CPU will always be with the other process so spin lock will not end. - Deadlock (Only in uniprocessor systems).
- Spin lock is bad for uniprocessor CPU but not bad in multiple core CPU.

* Bounded Waiting with Test and Set. -

- Use a shared data structure waiting list.

condition because
e to execute
process may be
ng.

local variables

In Test & Set
swap local

executing
the other
file loop

higher priority
than them
other process

- Deadlock

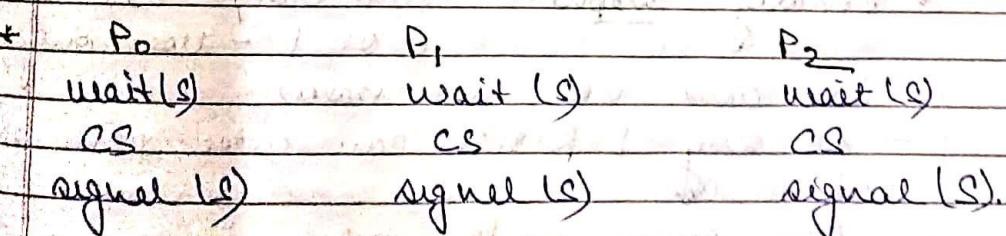
but

set -
waiting [n].

After a process has completed execution
of Critical Section it will allow the next
process to enter the Critical section so
Bounded waiting is satisfied.

11/19 Semaphores :-

- Uses Test and Set and Swap for synchronisation. — Software soln for the hardware inst.
- Special variable called semaphore, used for signalling. — Stores int. value.
- Int variable 'val' — Only two op. possible —
 - wait() — Decreases 'val' by 1. If Atomic
 - signal() — Increases 'val' by 1. If inst.
- only 1 wait() or signal() can execute at a time.



- S is initialised with 1. So when any 1 process execute wait, it will enter CS and make S=0. So no other process can ex. CS. When P that process finishes ex. It will make S=1 and any other process can ex. wait and enter CS.

- If more than 1 process needs to be ex., then I can be interleaved with a value greater than 1.
- Since wait and signal are atomic act. thus, process can ex. wait/signals up to 1 time, so no race condition.
- Critical section is not allowed to stay longer than happens in the middle of Critical section with some other processes can not enter critical section till the first process finishes.
- Counting Semaphore = no. above alg. is called counting semaphore because it keeps no. of process that can enter crit. sect.
- Binary Semaphore = val. can be only 0 or 1 - used only 1 bit and not int. value.
 - so only 1 process can enter crit. sect. at a time
 - Also called mutex lock
- Most of times binary does not support counting semaphore
- Cannot do counting semaphore can be implemented using binary semaphore.

- Busy Waiting / spin lock — If a process is in crit. Sectⁿ then a other process is waiting in CPU for the first process to complete.
- If a higher priority process comes then it will keep on executing in a loop in CPU so starvation / deadlock. — only in uniprocessor
- Helpful in multiprocessor because if the process which is waiting is already available in some other core so it saves the context switching time.
- For short lock time it may be useful.
- So all both Counting and Binary semaphores and spin lock are available in std. C library.
- Since semaphore is in a shared memory so this algo. works in fully coupled systems but not in totally distributed systems but not in loosely distributed systems.
- wait() and signal() should be atomic so it becomes a critical section problem — TestAnd Set and swap is used in these functions.
- Instead of test and set or swap, disabling int can also be used but not recommended.

- Blocking Implementation - Not spin lock.
 - If a process is in busy waiting in CPU then it can break or change its state from running to blocked state due to other process I can enter in CPU.
 - When the process completes CQ and resource signal is then unlock that process.
 - Note it is not
 - The blocked/waiting queue is in FIFO so boundedness condition is satisfied.
 - It also has busy waiting part but for very short time until the process gets blocked.

* Deadlock & Starvation

- Deadlock may occur if more than 1 semaphore is used and where there is contention such as two or more processes waiting for the same resource.
- To come out of deadlock, priority inversion can be done i.e. priority of both the processes is switched so only 1 process can execute either ex. signal at the end.

* Counting Semaphore using Binary Semaphores

- In signal() - the signal(s) for wait(s) of the bit the first line is present at the last statement of wait() operation.

not when lock
waiting in CPU
diff. while from
that other
for CS and
lock that been.

is in FIFO so
isafe.

but for very
gets blocked.

than 1 sema-
there is
file ex. wait()

priority among
values the
process can
at the end

by Semaphores?
) for wait(s)
wait at
U operation.

Header File - Semaphore.h

- int sem_init(sem_t *sem, int pthead, int bthead, int value);
- pthead = 0 - Semaphore shared b/w threads
- bthead = 1 - " " " process.
- value - Initial value of semaphore for no. of processes allowed in CS.

Named Semaphore -

- O_CREAT - Create a new semaphore
- O_EXCL - Exclusive
- Returns a name identifier.
- int sem_wait(sem_t *sem);
 - Eg. wait(s) op.
 - Decrement value by 1. (Semaphore count).
 - Block the process if any other process is already in CS.
 - Decrement only if value to 0. so count can never become -ve. so no. of waiting process cannot be tracked.

int sem_trywait(sem_t *sem);

- Same as sem_wait except if decrement cannot be performed then it will return an error and not get blocked.

- `int sem_timedwait(sem_t *sem, const struct timespec *abs_timeout)`
 - wait for specific time. If not given till within that time then come out.
- `sem_post(sem_t *sem)`
 - increment the value of count by 1.
 - Non-blocking call.
 - `Wait()` is blocking function but `signal()` is non-blocking function.
- Header file for spin lock is `pthread.h`.

A Recurrent Lock

- 'kind' value with 4 options.
- `PTHREAD_MUTEX_NORMAL` - normal locking
- `PTHREAD_MUTEX_RECURSIVE` - Recurrent locks
 - If a process locks itself n times then it will unlock itself n times to unlock completely.
 - A process can only unlock itself.