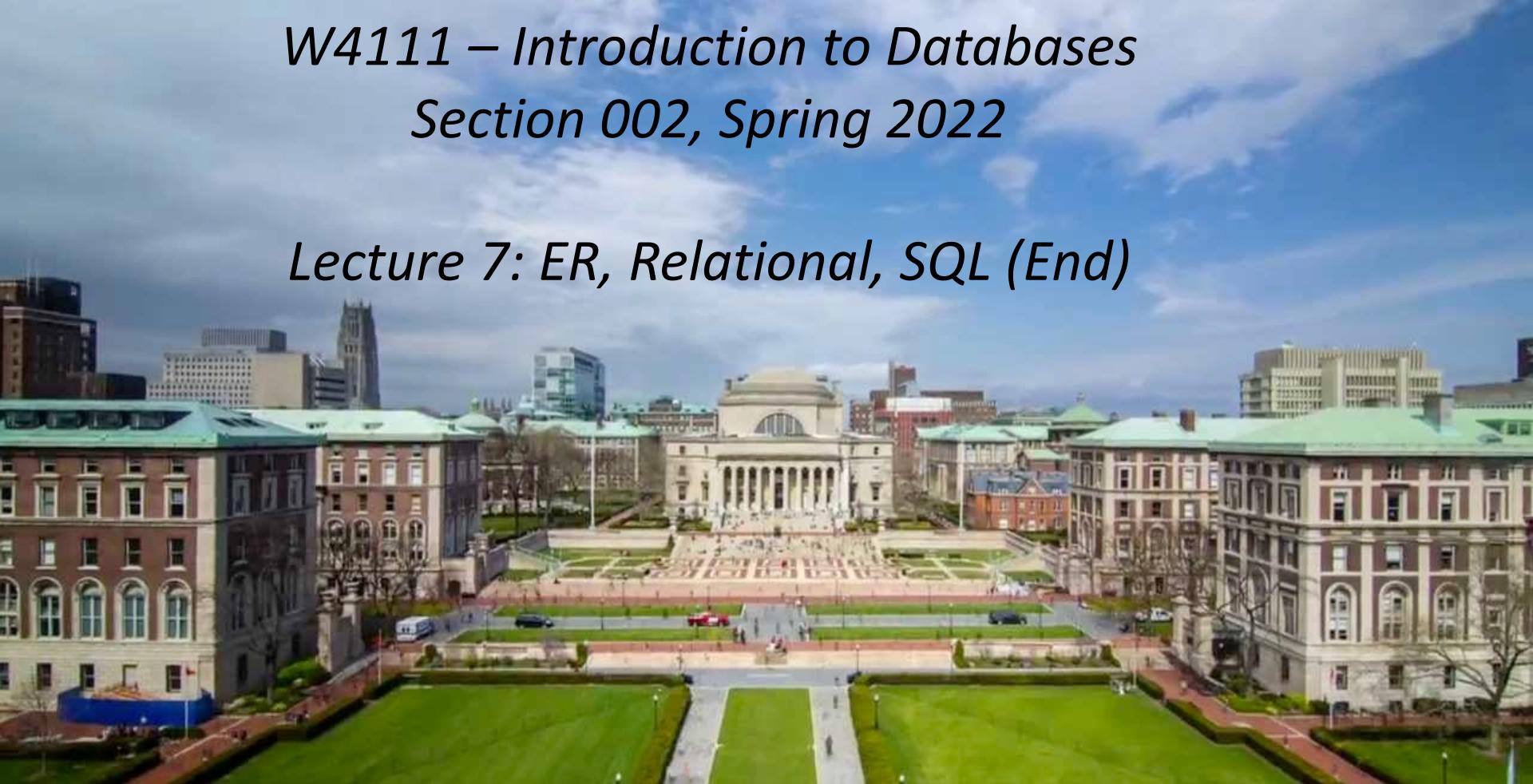


*W4111 – Introduction to Databases
Section 002, Spring 2022*

Lecture 7: ER, Relational, SQL (End)



Contents

Contents

- Midterm and homework assignments.
- Cursors revisited.
- Functions, procedures and triggers.
- Security.
- Some advanced ER concepts.
- Worked example – modeling Columbia University and showing:
 - Complex ER (inheritance)
 - Views
 - Constraints
 - Indexes
 - Procedures, Functions, Triggers
 - And showing how to “get data from the web.”

Midterm and Homework Assignments

Exams and Homework

- There is a clear preference.
- This is midterm week.
 - Not assigning HW3 this week.
 - Giving you a break, at least for my class.
- Current tentative schedule:
 - Midterm: 20-MAR to 27-MAR.
 - HW3: 27-MAR to 09-APR.
 - HW4: 10-MAR to 23-MAR.
 - HW5: 24-MAR to 03-MAY.
 - Final: 03-MAY to 10-MAY

📊 Midterm Schedule: I prefer the dates for the***
midterm to be:



340 votes • Closes in 2 hours

Cursors

(Switch to Notebook)

Comments

- This was a very inefficient way to implement the transformation.
 - “select * from name_basics” computes the result set all at once.
We will also see that this can cause locking/concurrency control issues.
 - I could have done the loop without using fetchmany() by counting how many rows the application has already processed.
 - cursor.execute(
“select * from name_basics limit 10 offset %s”, (read_count)
)
 - I should have also “batched the inserts” by sending all 10 rows in one insert.
- We will see in Module III that there are systems and frameworks optimized for extract-transform-load to perform these tasks.
- I was simply explaining cursors.

Functions, Procedures, Triggers

Concepts



Functions and Procedures

- Functions and procedures allow “business logic” to be stored in the database and executed from SQL statements.
- These can be defined either by the procedural component of SQL or by an external programming language such as Java, C, or C++.
- The syntax we present here is defined by the SQL standard.
 - Most databases implement nonstandard versions of this syntax.

Note:

- The programming language, runtime and tools for functions, procedures and triggers are not easy to use.
- My view is that calling external functions is an anti-pattern (bad idea).
 - External code degrades the reliability, security and performance of the database.
 - Databases are often mission critical and the heart of environments.



Language Constructs for Procedures & Functions

- SQL supports constructs that gives it almost all the power of a general-purpose programming language.
 - Warning: most database systems implement their own variant of the standard syntax below.
- Compound statement: **begin ... end**,
 - May contain multiple SQL statements between **begin** and **end**.
 - Local variables can be declared within a compound statements
- While and repeat statements:
 - **while** boolean expression **do**
 sequence of statements ;
end while
 - **repeat**
 sequence of statements ;
 until boolean expression
end repeat



(Core) Language Constructs (Cont.)

- **For** loop
 - Permits iteration over all results of a query
- Example: Find the budget of all departments

```
declare n integer default 0;
for r as
    select budget from department
        where dept_name = 'Music'
do
    set n = n + r.budget
end for
```

Note:

- There are various other looping constructs.



(Core) Language Constructs – if-then-else

- Conditional statements (**if-then-else**)

```
if boolean expression
    then statement or compound statement
    elseif boolean expression
        then statement or compound statement
    else statement or compound statement
end if
```

Note:

- We will not spend a lot of time writing functions, procedures, or triggers.
- The language and development environment are not easy to use.

Functions



Declaring SQL Functions

- Define a function that, given the name of a department, returns the count of the number of instructors in that department.

```
create function dept_count (dept_name varchar(20))
    returns integer
begin
    declare d_count integer;
    select count (*) into d_count
        from instructor
        where instructor.dept_name = dept_name
    return d_count;
end
```

- The function *dept_count* can be used to find the department names and budget of all departments with more than 12 instructors.

```
select dept_name, budget
from department
where dept_count (dept_name) > 12
```



Table Functions

- The SQL standard supports functions that can return tables as results; such functions are called **table functions**
- Example: Return all instructors in a given department

```
create function instructor_of (dept_name char(20))  
    returns table (  
        ID varchar(5),  
        name varchar(20),  
        dept_name varchar(20),  
        salary numeric(8,2))  
  
return table  
(select ID, name, dept_name, salary  
from instructor  
where instructor.dept_name = instructor_of.dept_name)
```

- Usage

```
select *  
from table (instructor_of ('Music'))
```

Procedures



SQL Procedures

- The *dept_count* function could instead be written as procedure:

```
create procedure dept_count_proc (in dept_name varchar(20),
                                   out d_count integer)
begin
    select count(*) into d_count
    from instructor
    where instructor.dept_name = dept_count_proc.dept_name
end
```

- The keywords **in** and **out** are parameters that are expected to have values assigned to them and parameters whose values are set in the procedure in order to return results.
- Procedures can be invoked either from an SQL procedure or from embedded SQL, using the **call** statement.

```
declare d_count integer;
call dept_count_proc ('Physics', d_count);
```



SQL Procedures (Cont.)

- Procedures and functions can be invoked also from dynamic SQL
- SQL allows more than one procedure of the same name so long as the number of arguments of the procedures with the same name is different.
- The name, along with the number of arguments, is used to identify the procedure.

Triggers



Triggers

- A **trigger** is a statement that is executed automatically by the system as a side effect of a modification to the database.
- To design a trigger mechanism, we must:
 - Specify the conditions under which the trigger is to be executed.
 - Specify the actions to be taken when the trigger executes.
- Triggers introduced to SQL standard in SQL:1999, but supported even earlier using non-standard syntax by most databases.
 - Syntax illustrated here may not work exactly on your database system; check the system manuals



Triggering Events and Actions in SQL

- Triggering event can be **insert**, **delete** or **update**
- Triggers on update can be restricted to specific attributes
 - For example, **after update of takes on grade**
- Values of attributes before and after an update can be referenced
 - **referencing old row as** : for deletes and updates
 - **referencing new row as** : for inserts and updates
- Triggers can be activated before an event, which can serve as extra constraints. For example, convert blank grades to null.

```
create trigger setnull_trigger before update of takes
referencing new row as nrow
for each row
    when (nrow.grade = ' ')
begin atomic
    set nrow.grade = null;
end;
```



Trigger to Maintain credits_earned value

- **create trigger** *credits_earned* **after update of** *takes* **on** (*grade*)
referencing new row as *nrow*
referencing old row as *orow*
for each row
when *nrow.grade* \neq 'F' **and** *nrow.grade* **is not null**
and (*orow.grade* = 'F' **or** *orow.grade* **is null**)
begin atomic
 update *student*
 set *tot_cred*= *tot_cred* +
 (**select** *credits*
 from *course*
 where *course.course_id*= *nrow.course_id*)
 where *student.id* = *nrow.id*;
end;



Statement Level Triggers

- Instead of executing a separate action for each affected row, a single action can be executed for all rows affected by a transaction
 - Use **for each statement** instead of **for each row**
 - Use **referencing old table** or **referencing new table** to refer to temporary tables (called ***transition tables***) containing the affected rows
 - Can be more efficient when dealing with SQL statements that update a large number of rows



When Not To Use Triggers

- Triggers were used earlier for tasks such as
 - Maintaining summary data (e.g., total salary of each department)
 - Replicating databases by recording changes to special relations (called **change** or **delta** relations) and having a separate process that applies the changes over to a replica
- There are better ways of doing these now:
 - Databases today provide built in materialized view facilities to maintain summary data
 - Databases provide built-in support for replication
- Encapsulation facilities can be used instead of triggers in many cases
 - Define methods to update fields
 - Carry out actions as part of the update methods instead of through a trigger



When Not To Use Triggers (Cont.)

- Risk of unintended execution of triggers, for example, when
 - Loading data from a backup copy
 - Replicating updates at a remote site
 - Trigger execution can be disabled before such actions.
- Other risks with triggers:
 - Error leading to failure of critical transactions that set off the trigger
 - Cascading execution

Summary

Comparison

comparing triggers, functions, and procedures

	triggers	functions	stored procedures
change data	yes	no	yes
return value	never	always	sometimes
how they are called	reaction	in a statement	exec

Comparison – Some Details

Sr.No.	User Defined Function	Stored Procedure
1	Function must return a value.	Stored Procedure may or not return values.
2	Will allow only Select statements, it will not allow us to use DML statements.	Can have select statements as well as DML statements such as insert, update, delete and so on
3	It will allow only input parameters, doesn't support output parameters.	It can have both input and output parameters.
4	It will not allow us to use try-catch blocks.	For exception handling we can use try catch blocks.
5	Transactions are not allowed within functions.	Can use transactions within Stored Procedures.
6	We can use only table variables, it will not allow using temporary tables.	Can use both table variables as well as temporary table in it.
7	Stored Procedures can't be called from a function.	Stored Procedures can call functions.
8	Functions can be called from a select statement.	Procedures can't be called from Select/Where/Having and so on statements. Execute/Exec statement can be used to call/execute Stored Procedure.
9	A UDF can be used in join clause as a result set.	Procedures can't be used in Join clause

A *trigger* has capabilities like a procedure, except ...

- You do not call it. The DB engine calls it before or after an INSERT, UPDATE, DELETE.
- The inputs are the list of incoming new, modified rows.
- The outputs are the modified versions of the new or modified rows.

Examples

Function: compute batting average. (Lahman's baseball DB)

Trigger: Make student ID immutable. (Book database)

Procedure: Add customer and address (Classic Models modified)

Function to Computer Career Batting Avg

```
DELIMITER $$  
CREATE DEFINER='root'@'localhost' FUNCTION `get_career_batting_average`(inPlayerID varchar(16)) RETURNS double  
DETERMINISTIC  
BEGIN  
  
declare result double;  
  
select sum(h)/if(sum(ab)=0, NULL, sum(ab)) into result from batting where playerID = inPlayerID  
group by playerID;  
  
set result = round(result, 3);  
  
RETURN result;  
  
END$$  
DELIMITER ;
```

Trigger to Make Student ID Immutable

```
CREATE DEFINER='root'@'localhost' TRIGGER `student_BEFORE_UPDATE` BEFORE UPDATE ON `student` FOR EACH ROW BEGIN  
  
if new.ID != old.ID then  
    SIGNAL SQLSTATE '50000'  
    SET MESSAGE_TEXT = 'IDs are immutable fool.', MYSQL_ERRNO = 1001;  
end if;  
  
END
```

- In general, DEFINER= ... Is extremely important.
- This allows DBA to implement functions that access data/do things that a general user cannot do.

Add User and Address to Modified Classic Models

```
CREATE DEFINER='root' @ 'localhost' PROCEDURE `add_customer_modified_and_address`(
    in in_customerName varchar(50),
    in in_contactLastName varchar(50),
    in in_contactFirstName varchar(50),
    in in_phone varchar(50),
    in in_salesRepEmployeeNumber int,
    in in_creditLimit decimal(10,2),
    in in_addressLine1 varchar(128),
    in in_addressLine2 varchar(128),
    in in_city varchar(128),
    in in_state varchar(128),
    in in_country varchar(128),
    in in_postalCode varchar(128)
)
BEGIN

declare address_id int;
declare new_customer_id int;

set new_customer_id = (select max(customerNumber) from customers_modified) + 1;
set address_id = (select address_id from addresses where
    in_addressLine1 = in_addressLine1
    and
    ((addresses.addressLine2 = in_addressLine2) or (addresses.addressLine2 is NULL and in_addressLine2 is NULL))
    and
    addresses.city = in_city
    AND
    addresses.country = in_country and
    ((addresses.state = in_state) or (addresses.state is NULL and in_state is NULL))
    and
    ((addresses.postalCode = in_postalCode) or (addresses.postalCode is NULL and in_postalCode is NULL)));
if address_id is NULL then
    insert into addresses values (in_addressLine1, in_addressLine2, city, state, country, postalCode);
    set address_id = (select last_insert_id());
end if;
insert into customers_modified values(new_customer_id, in_customerName, in_contactLastName, in_contactFirstName, in_phone, in_salesRepEmployeeNumber, in_creditLimit);

END
```

Security

Security Concepts (Terms from Wikipedia)

- Definitions:
 - “A (digital) identity is information on an entity used by computer systems to represent an external agent. That agent may be a person, organization, application, or device.”
 - “Authentication is the act of proving an assertion, such as the identity of a computer system user. In contrast with identification, the act of indicating a person or thing's identity, authentication is the process of verifying that identity.”
 - “Authorization is the function of specifying access rights/privileges to resources, ... More formally, "to authorize" is to define an access policy. ... During operation, the system uses the access control rules to decide whether access requests from (authenticated) consumers shall be approved (granted) or disapproved.
 - “Within an organization, roles are created for various job functions. The permissions to perform certain operations are assigned to specific roles. Members or staff (or other system users) are assigned particular roles, and through those role assignments acquire the permissions needed to perform particular system functions.”
 - “In computing, privilege is defined as the delegation of authority to perform security-relevant functions on a computer system. A privilege allows a user to perform an action with security consequences. Examples of various privileges include the ability to create a new user, install software, or change kernel functions.”
- SQL and relational database management systems implementing security by:
 - Creating identities and authentication policies.
 - Creating roles and assigning identities to roles.
 - Granting and revoking privileges to/from roles and identities.



Authorization

- We may assign a user several forms of authorizations on parts of the database.
 - **Read** - allows reading, but not modification of data.
 - **Insert** - allows insertion of new data, but not modification of existing data.
 - **Update** - allows modification, but not deletion of data.
 - **Delete** - allows deletion of data.
- Each of these types of authorizations is called a **privilege**. We may authorize the user all, none, or a combination of these types of privileges on specified parts of a database, such as a relation or a view.



Authorization (Cont.)

- Forms of authorization to modify the database schema
 - **Index** - allows creation and deletion of indices.
 - **Resources** - allows creation of new relations.
 - **Alteration** - allows addition or deletion of attributes in a relation.
 - **Drop** - allows deletion of relations.



Authorization Specification in SQL

- The **grant** statement is used to confer authorization
grant <privilege list> on <relation or view > to <user list>
- <user list> is:
 - a user-id
 - **public**, which allows all valid users the privilege granted
 - A role (more on this later)
- Example:
 - **grant select on department to Amit, Satoshi**
- Granting a privilege on a view does not imply granting any privileges on the underlying relations.
- The grantor of the privilege must already hold the privilege on the specified item (or be the database administrator).



Privileges in SQL

- **select**: allows read access to relation, or the ability to query using the view
 - Example: grant users U_1 , U_2 , and U_3 **select** authorization on the *instructor* relation:

```
grant select on instructor to U1, U2, U3
```
- **insert**: the ability to insert tuples
- **update**: the ability to update using the SQL update statement
- **delete**: the ability to delete tuples.
- **all privileges**: used as a short form for all the allowable privileges



Revoking Authorization in SQL

- The **revoke** statement is used to revoke authorization.
revoke <privilege list> on <relation or view> from <user list>
- Example:
revoke select on student from U₁, U₂, U₃
- <privilege-list> may be **all** to revoke all privileges the revoker may hold.
- If <revoker-list> includes **public**, all users lose the privilege except those granted it explicitly.
- If the same privilege was granted twice to the same user by different grantors, the user may retain the privilege after the revocation.
- All privileges that depend on the privilege being revoked are also revoked.



Roles

- A **role** is a way to distinguish among various users as far as what these users can access/update in the database.
- To create a role we use:
 - **create a role <name>**
- Example:
 - **create role instructor**
- Once a role is created we can assign “users” to the role using:
 - **grant <role> to <users>**



Roles Example

- **create role** instructor;
- **grant** *instructor* **to** Amit;
- Privileges can be granted to roles:
 - **grant select on** *takes* **to** *instructor*;
- Roles can be granted to users, as well as to other roles
 - **create role** teaching_assistant
 - **grant** *teaching_assistant* **to** *instructor*;
 - *Instructor* inherits all privileges of *teaching_assistant*
- Chain of roles
 - **create role** dean;
 - **grant** *instructor* **to** *dean*;
 - **grant** *dean* **to** Satoshi;



Authorization on Views

- `create view geo_instructor as
(select *
from instructor
where dept_name = 'Geology');`
- `grant select on geo_instructor to geo_staff`
- Suppose that a `geo_staff` member issues
 - `select *
from geo_instructor;`
- What if
 - `geo_staff` does not have permissions on `instructor`?
 - Creator of view did not have some permissions on `instructor`?



Other Authorization Features

- **references** privilege to create foreign key
 - **grant reference** (*dept_name*) **on** *department* **to** Mariano;
 - Why is this required?
- transfer of privileges
 - **grant select on** *department* **to** Amit **with grant option**;
 - **revoke select on** *department* **from** Amit, Satoshi **cascade**;
 - **revoke select on** *department* **from** Amit, Satoshi **restrict**;
 - And more!

Note:

- Like in many other cases, SQL DBMS have product specific variations.

Switch to notebook.

Some Advanced ER Concepts



Weak Entity Sets

- Consider a *section* entity, which is uniquely identified by a *course_id*, *semester*, *year*, and *sec_id*.
- Clearly, section entities are related to course entities. Suppose we create a relationship set *sec_course* between entity sets *section* and *course*.
- Note that the information in *sec_course* is redundant, since *section* already has an attribute *course_id*, which identifies the course with which the section is related.
- One option to deal with this redundancy is to get rid of the relationship *sec_course*; however, by doing so the relationship between *section* and *course* becomes implicit in an attribute, which is not desirable.



Weak Entity Sets (Cont.)

- An alternative way to deal with this redundancy is to not store the attribute *course_id* in the *section* entity and to only store the remaining attributes *section_id*, *year*, and *semester*.
 - However, the entity set *section* then does not have enough attributes to identify a particular *section* entity uniquely
- To deal with this problem, we treat the relationship *sec_course* as a special relationship that provides extra information, in this case, the *course_id*, required to identify *section* entities uniquely.
- A **weak entity set** is one whose existence is dependent on another entity, called its **identifying entity**
- Instead of associating a primary key with a weak entity, we use the identifying entity, along with extra attributes called **discriminator** to uniquely identify a weak entity.



Weak Entity Sets (Cont.)

- An entity set that is not a weak entity set is termed a **strong entity set**.
- Every weak entity must be associated with an identifying entity; that is, the weak entity set is said to be **existence dependent** on the identifying entity set.
- The identifying entity set is said to **own** the weak entity set that it identifies.
- The relationship associating the weak entity set with the identifying entity set is called the **identifying relationship**.
- Note that the relational schema we eventually create from the entity set *section* does have the attribute *course_id*, for reasons that will become clear later, even though we have dropped the attribute *course_id* from the entity set *section*.

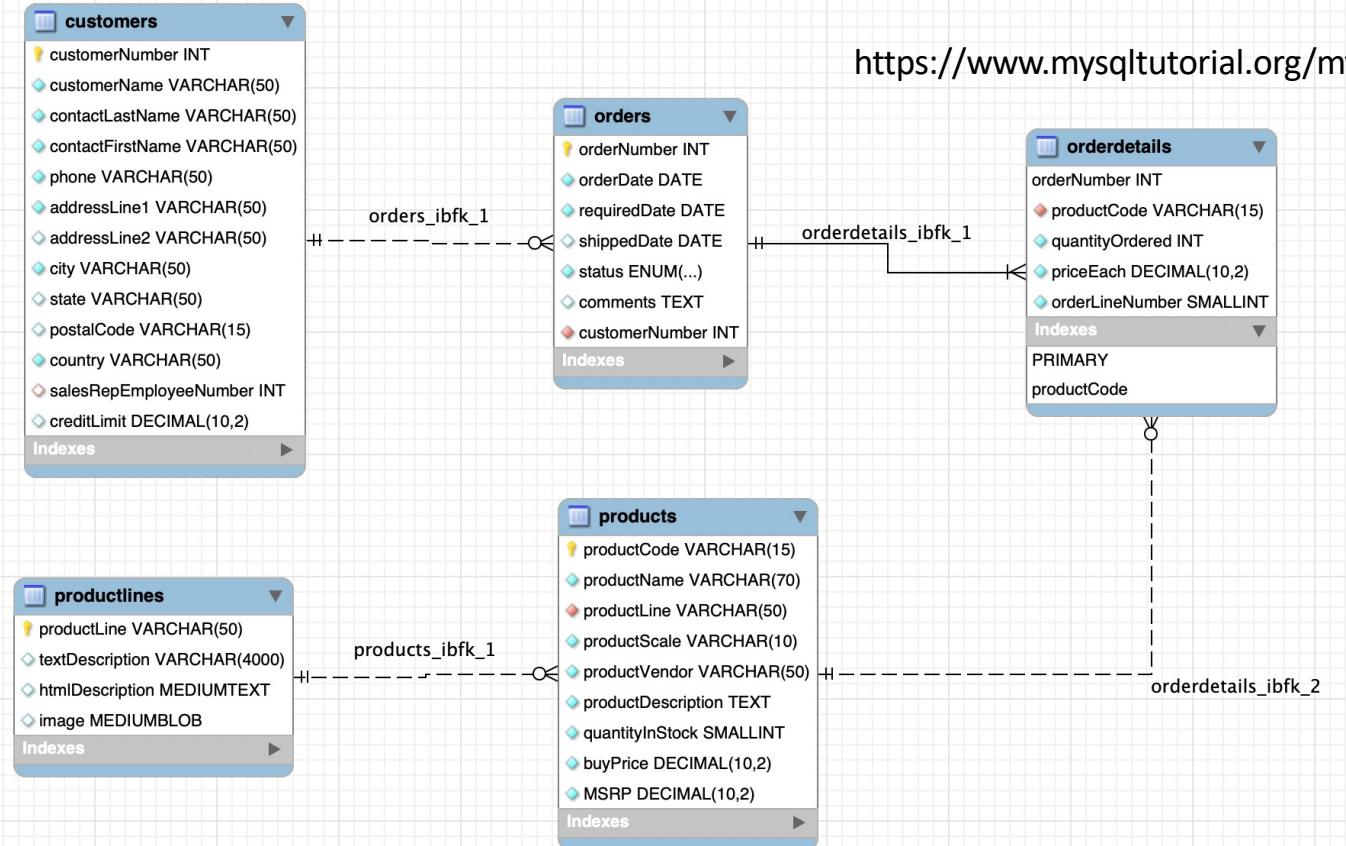


Expressing Weak Entity Sets

- In E-R diagrams, a weak entity set is depicted via a double rectangle.
- We underline the discriminator of a weak entity set with a dashed line.
- The relationship set connecting the weak entity set to the identifying strong entity set is depicted by a double diamond.
- Primary key for *section* – (*course_id*, *sec_id*, *semester*, *year*)



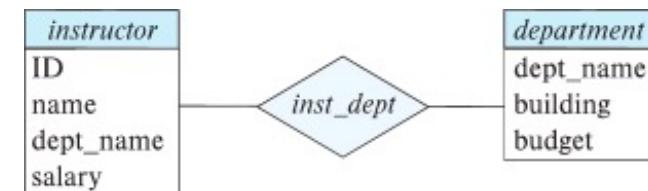
An Example – Classic Models



<https://www.mysqltutorial.org/mysql-sample-database.aspx/>

Redundant Attributes

- Suppose we have entity sets:
 - *instructor*, with attributes: *ID, name, dept_name, salary*
 - *department*, with attributes: *dept_name, building, budget*
- We model the fact that each instructor has an associated department using a relationship set *inst_dept*
- The attribute *dept_name* in *instructor* replicates information present in the relationship and is therefore redundant
 - and needs to be removed.
- BUT: when converting back to tables, in some cases the attribute gets reintroduced, as we will see later.



Design Alternatives

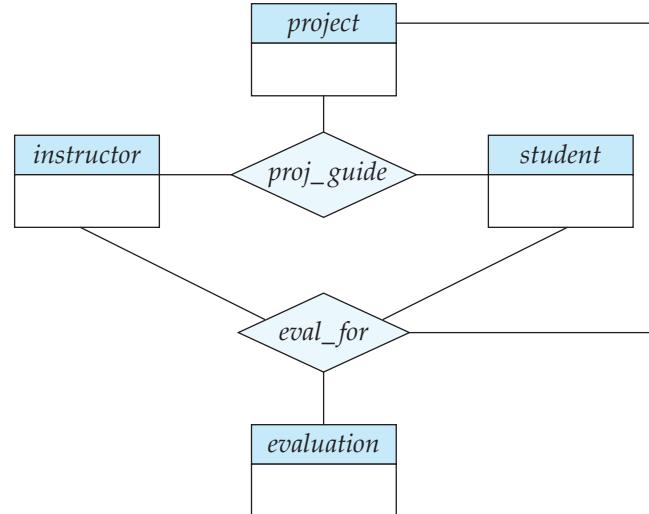
- In designing a database schema, we must ensure that we avoid two major pitfalls:
 - Redundancy: a bad design may result in repeat information.
 - **Redundant representation of information may lead to data inconsistency among the various copies of information**
 - Incompleteness: a bad design may make certain aspects of the enterprise difficult or impossible to model.
- Avoiding bad designs is not enough. There may be a large number of good designs from which we must choose.

**Emphasis
Added**



Aggregation

- Consider the ternary relationship *proj_guide*, which we saw earlier
- Suppose we want to record evaluations of a student by a guide on a project





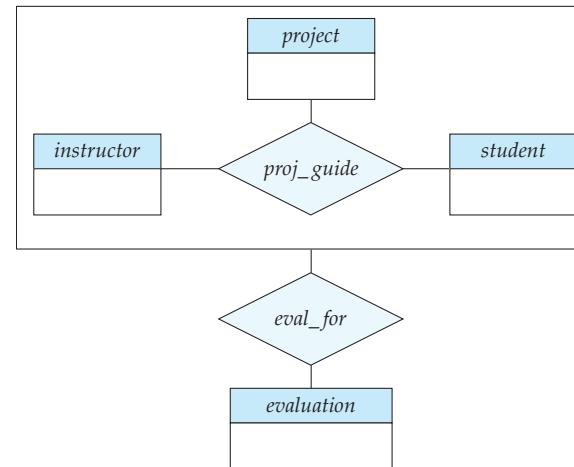
Aggregation (Cont.)

- Relationship sets *eval_for* and *proj_guide* represent overlapping information
 - Every *eval_for* relationship corresponds to a *proj_guide* relationship
 - However, some *proj_guide* relationships may not correspond to any *eval_for* relationships
 - So we can't discard the *proj_guide* relationship
- Eliminate this redundancy via *aggregation*
 - Treat relationship as an abstract entity
 - Allows relationships between relationships
 - Abstraction of relationship into new entity



Aggregation (Cont.)

- Eliminate this redundancy via *aggregation* without introducing redundancy, the following diagram represents:
 - A student is guided by a particular instructor on a particular project
 - A student, instructor, project combination may have an associated evaluation





Reduction to Relational Schemas

- To represent aggregation, create a schema containing
 - Primary key of the aggregated relationship,
 - The primary key of the associated entity set
 - Any descriptive attributes
- In our example:
 - The schema *eval_for* is:
$$\text{eval_for} (s_ID, project_id, i_ID, evaluation_id)$$
 - The schema *proj_guide* is redundant.



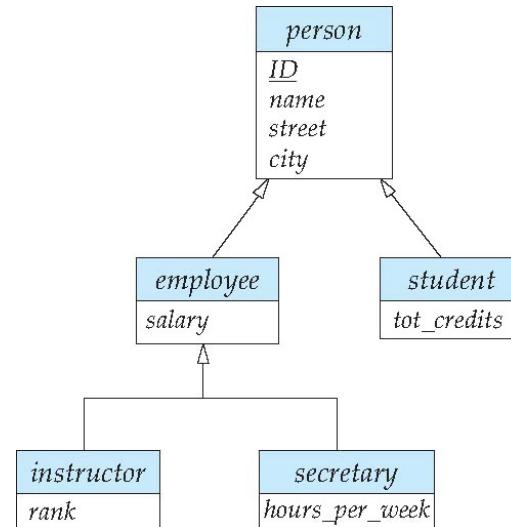
Specialization

- Top-down design process; we designate sub-groupings within an entity set that are distinctive from other entities in the set.
- These sub-groupings become lower-level entity sets that have attributes or participate in relationships that do not apply to the higher-level entity set.
- Depicted by a *triangle* component labeled ISA (e.g., *instructor* “is a” *person*).
- **Attribute inheritance** – a lower-level entity set inherits all the attributes and relationship participation of the higher-level entity set to which it is linked.



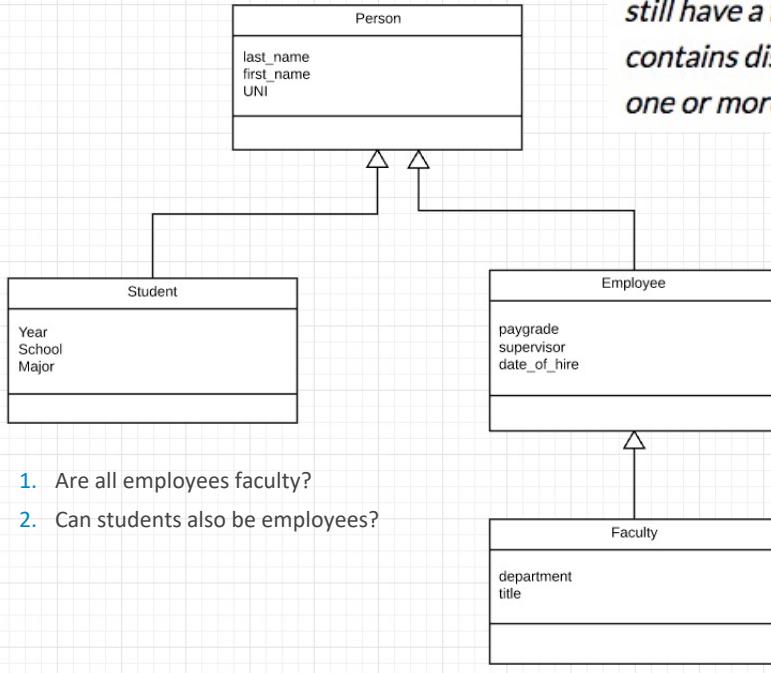
Specialization Example

- **Overlapping** – *employee* and *student*
- **Disjoint** – *instructor* and *secretary*
- Total and partial



Inheritance, IsA, Specialization

In the process of designing our entity relationship diagram for a database, we may find that attributes of two or more entities overlap, meaning that these entities seem very similar but still have a few differences. In this case, we may create a subtype of the parent entity that contains distinct attributes. A parent entity becomes a supertype that has a relationship with one or more subtypes.



1. Are all employees faculty?
2. Can students also be employees?

The subclass association line is labeled with specialization constraints. Constraints are described along two dimensions:

1 incomplete/complete

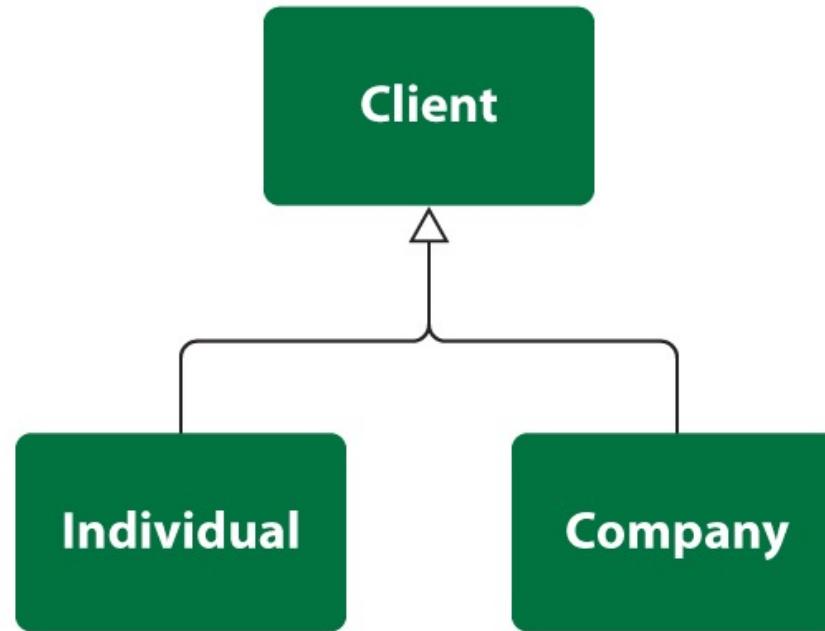
- In an **incomplete** specialization only some instances of the parent class are specialized (have unique attributes). Other instances of the parent class have only the common attributes.
- In a **complete** specialization, every instance of the parent class has one or more unique attributes that are not common to the parent class.

2 disjoint/overlapping

- In a **disjoint** specialization, an object could be a member of only one specialized subclass.
- In an **overlapping** specialization, an object could be a member of more than one specialized subclass.

Simpler Example

In class Client we distinguish two subtypes: Individual and Company. This specialization is disjoint (client can be an individual or a company) and complete (these are all possible subtypes for supertype).

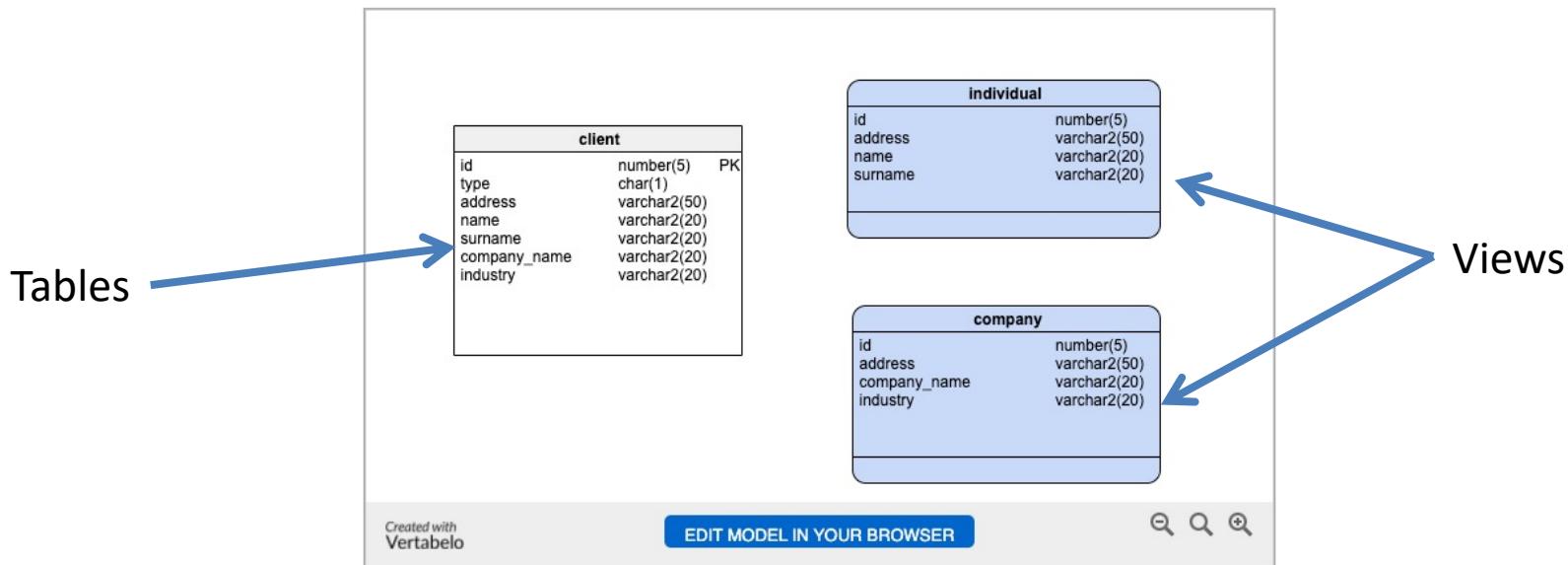


One Table Implementation

One table implementation

In a one table implementation, table `client` has attributes of both types.

The diagram below shows the table `client` and two views: `individual` and `company`:



Two Table Implementation



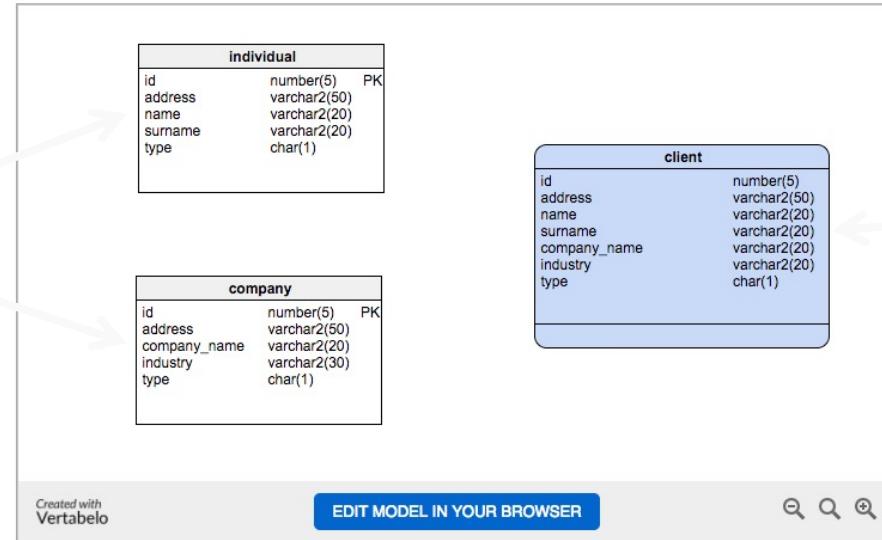
Two-table implementation

In a two-table implementation, we create a table for each of the subtypes. Each table gets a column for all attributes of the supertype and also a column for each attribute belonging to the subtype. Access to information in this situation is limited, that's why it is important to create a view that is the union of the tables. We can add an additional attribute called 'type' that describes the subtype.

The diagram below presents two tables, `individual` and `company`, and a view (the blue one) called `client`.

Tables

View



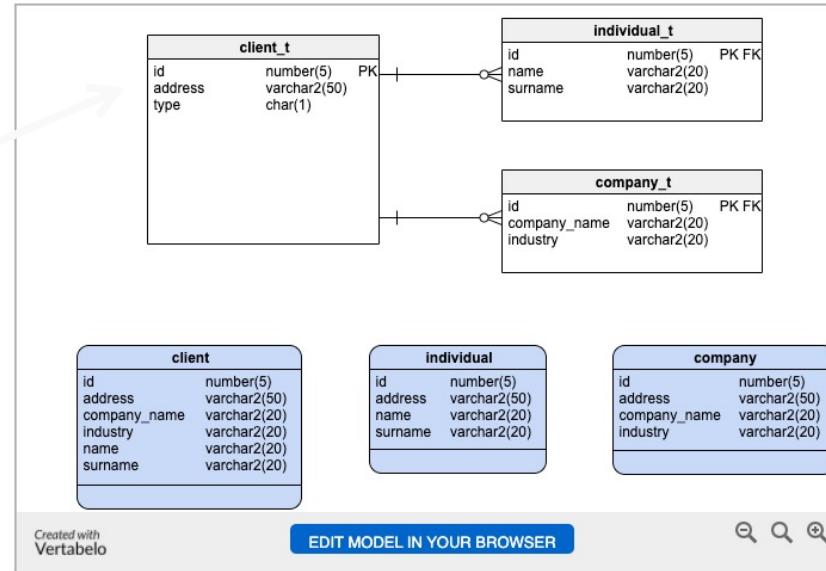
Two Table Implementation



Three-table implementation

In a third solution we create a single table `client_t` for the parent table, containing common attributes for all subtypes, and tables for each subtype (`individual_t` and `company_t`) where the primary key in `client_t` (base table) determines foreign keys in dependent tables. There are three views: `client`, `individual` and `company`.

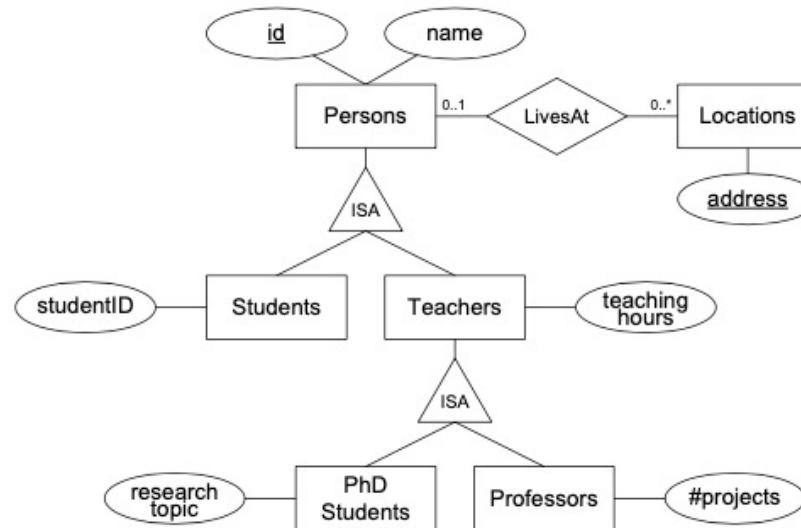
Tables



Views



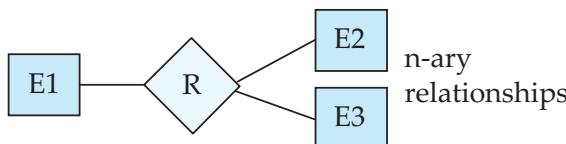
ISA Relationship





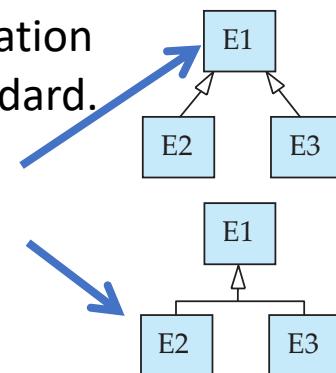
ER vs. UML Class Diagrams

ER Diagram Notation

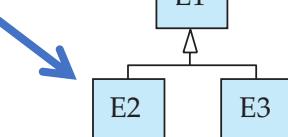


n-ary relationships

I use this approach
in Crow's Foot Notation
but that is not standard.

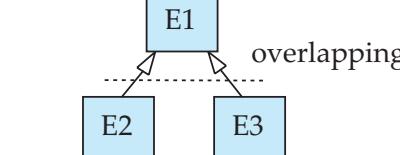
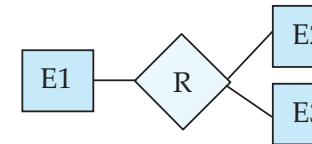


overlapping
generalization

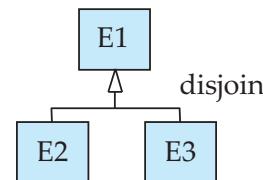


disjoint
generalization

Equivalent in UML



overlapping



disjoint

- * Generalization can use merged or separate arrows independent of disjoint/overlapping

Faculty, Student Inheritance Example

Topics

- Departments table – show how I got the data.
- Generating test data.
- Two table pattern for inheritance. Descriminator in the view.
 - ER diagram convention.
 - DDL
- Generate UNI with a function.
- Make the UNI immutable with a trigger.
- Check constraint on enrollment versus graduation date.
- Procedure for creating/updating/etc a person.
- Hiding sensitive information.
- Keys and indexes: UNI, email, names, department