IOP PUBLISHING JOURNAL OF OPTICS

J. Opt. 13 (2011) 024011 (8pp)

doi:10.1088/2040-8978/13/2/024011

Transformation-optics generalization of tunnelling effects in bi-layers made of paired pseudo-epsilon-negative/ mu-negative media

G Castaldi¹, I Gallina¹, V Galdi¹, A Alù² and N Engheta³

- ¹ Waves Group, Department of Engineering, University of Sannio, I-82100, Benevento, Italy
- ² Department of Electrical and Computer Engineering, The University of Texas at Austin, Austin, TX 78712, USA
- ³ Department of Electrical and Systems Engineering, University of Pennsylvania, Philadelphia, PA 19104, USA

E-mail: vgaldi@unisannio.it

Received 7 May 2010, accepted for publication 28 July 2010 Published 30 November 2010 Online at stacks.iop.org/JOpt/13/024011

Abstract

Transformation media designed by standard transformation-optics (TO) approaches, based on real-valued coordinate mapping, cannot exhibit single-negative (SNG) character unless such character is already possessed by the domain that is being transformed. In this paper, we show that, for a given field polarization, pseudo-SNG transformation media can be obtained by transforming a domain featuring double positive (or double-negative) character, via complex analytic continuation of the coordinate transformation rules. Moreover, we apply this concept to the TO-based interpretation of phenomena analogous to the tunnelling effects observable in bi-layers made of complementary epsilon-negative (ENG) and mu-negative (MNG) media, and explore their possible TO-inspired extensions and generalizations.

Keywords: transformation optics, single-negative media, tunnelling

(Some figures in this article are in colour only in the electronic version)

1. Introduction and background

Transformation optics (TO) (see e.g. [1–5]) is a powerful and systematic approach to the synthesis of metamaterials with broad field-manipulation capabilities. Its technological viability is rapidly getting established, in view of the formidable advances in the fabrication of artificial materials and metamaterials with controllable anisotropy and spatial inhomogeneity properties. The reader is referred to [6–14] for a sparse sampling of recent representative applications, ranging from the celebrated 'invisibility cloaking' [7], to hyper/superlensing scenarios [8–11], and electromagnetic (EM) analogues of relativistic effects [12] and celestial-mechanical phenomena [13, 14].

Standard TO is based on the design of a suitable realvalued coordinate transformation, which produces the desired field behaviour in a curved-metric fictitious space. Thanks to the formal invariance of Maxwell equations, this behaviour may be translated to a flat-metric physical space filled up with an anisotropic, spatially inhomogeneous 'transformation medium' [1–5].

The constitutive parameters of such transformation media are systematically derived from those of the fictitious space via the Jacobian matrix of the transformation (see, e.g. equation (1) below), and this imposes some restrictions on the material properties that can be obtained by transforming a given scenario into another. For instance, in the standard TO approach, the reciprocity character of the domain that is being transformed cannot be changed [15]. Moreover, the signs of the constitutive-tensor eigenvalues can either be all preserved or all flipped [15]. Thus, e.g. transforming a domain featuring a double positive (DPS) character,

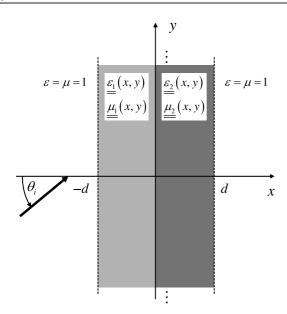


Figure 1. Geometry of the problem. Two transformation slabs (each of thickness d, and infinitely long in the y and z directions) with constitutive tensors given by (1) are paired in a vacuum space. Also shown is the direction and incidence angle θ_i pertaining to the plane-wave excitation case considered.

one can only obtain a DPS or a double-negative (DNG) transformation medium (and vice versa). The latter case is obtained via coordinate folding, and allows (though with some caveats [16]) the TO interpretation of interesting effects such as, e.g. superlensing [10, 11] and anti-cloaking [17, 18].

To sum up, important material properties, such as non-reciprocity and single-negative (SNG) character, cannot be generated via standard TO, unless already possessed by the domain that is being transformed. In order to overcome these limitations, some possible generalizations have been proposed. For instance, in [15] an extension based on 'triple spacetime metamaterials' has been proposed, which allows geometrical interpretation of non-reciprocal and indefinite media.

In [19], as a possible extension of a general class of transformation slabs, we speculated that, for a given field polarization, SNG transformation media could be obtained by transforming a domain featuring DPS (or DNG) character, via complex analytic continuation of the coordinate transformation. In this paper, we further elaborate on this concept, and address its application to the TO interpretation of the tunnelling effects observed in [20] in connection with bi-layers of (homogeneous, isotropic) epsilon-negative (ENG) and mu-negative (MNG) slabs, and their further generalization to (inhomogeneous, anisotropic) complementary media [21, 22]. Our results reproduce as special cases those in [20–22], and suggest other TO-inspired broad tunnelling conditions.

Accordingly, the rest of the paper is laid out as follows. In section 2, we introduce the problem scenario and formulation. In section 3, we outline the main analytical derivations, starting from the field calculation, and proceeding with the study of total-transmission conditions. In section 4, we provide

a physical interpretation of the results, and illustrate their relationship with those in [20, 21]. In section 5, we illustrate some novel representative results, not amenable to those in [20, 21]. Finally, in section 6, we provide some brief conclusions and hints to future research directions related to these findings.

2. Problem formulation

In [19], in connection with a general class of (DPS or DNG) transformation slabs, we have theoretically speculated that SNG transformation media could be generated by transforming a domain featuring DPS or DNG character, via a suitable analytical continuation of the coordinate transformation in the complex plane. From a physical viewpoint, one intuitively expects such coordinate transformation to exhibit an in-plane purely imaginary character, in order to map a propagating field solution in the fictitious 'above cut-off' space into an evanescent 'below cut-off' one in the transformed (SNG) domain. In this framework, while a single SNG transformation slab would be of limited interest (being inherently opaque), it may be of interest to verify that paired (ENG-MNG) transformation-media configurations may support interesting tunnelling phenomena under proper matching conditions, in analogy to what was shown in [20, 21]. Accordingly, as illustrated in figure 1(a), we consider a transformation bi-layer which occupies the region |x| < d in a physical space (x, y, z), characterized by the relative permittivity and permeability tensors

$$\underline{\underline{\varepsilon}_{\underline{\alpha}}}(x, y) = \underline{\underline{\mu}_{\underline{\alpha}}}(x, y)
= \det[\underline{\underline{J}_{\underline{\alpha}}}(x, y)]\underline{\underline{J}_{\underline{\alpha}}}^{-1}(x, y) \cdot [\underline{\underline{J}_{\underline{\alpha}}}^{-1}(x, y)]^{\mathrm{T}},$$
(1)

where $\alpha = 1$ for -d < x < 0, $\alpha = 2$ for 0 < x < d, the superscript T denotes matrix transposition, and $\underline{\underline{J}_{\alpha}}(x, y)$ are the Jacobian matrices of the two-dimensional (2D) coordinate transformations

$$x' = ia_{\alpha}u_{\alpha}(x),$$
 $y' = i\frac{y}{\dot{u}_{\alpha}(x)} + iv_{\alpha}(x),$ $z' = z,$

from a fictitious (vacuum) space (x', y', z'). In (2), and henceforth, $a_{\alpha} \neq 0$ are real scaling parameters, $u_{\alpha}(x)$ and $v_{\alpha}(x)$ are arbitrary continuous real functions, an overdot denotes differentiation with respect to the argument, and a time-harmonic $\exp(-i\omega t)$ dependence is assumed for all field quantities. Moreover, we assume that the derivatives $\dot{u}_{\alpha}(x)$ are continuous and positive within the bi-layer region |x| < d(so as to avoid singularities in (2)). We point out that the transformation in (2) is neither the only one, nor the most general in order to achieve the sought SNG character, and that it was chosen in view of its close resemblance to the (real) transformation considered in [19], so as to exploit (with some minor variations) our previous analytical derivations. As shown in [19], the constitutive tensors in (1) are real and symmetric, and hence they can be diagonalized, thereby providing a more insightful interpretation. Accordingly, by indicating with $(\xi_{\alpha}, \nu_{\alpha}, z)$ the principal reference systems (constituted by the orthogonal eigenvectors), and with $\Lambda_{\xi_{\alpha}}$ and

Table 1. Possible characters of the SNG transformation media in (3), depending on the field polarization and on the scaling parameter sign.

	Scaling parameter	
Polarization	$a_{\alpha} > 0$	$a_{\alpha} < 0$
TE	$\tilde{\varepsilon}_{\alpha z} < 0, \tilde{\mu}_{\alpha \xi} > 0, \tilde{\mu}_{\alpha \upsilon} > 0$ (ENG)	$\tilde{\varepsilon}_{\alpha z} > 0, \tilde{\mu}_{\alpha \xi} < 0, \tilde{\mu}_{\alpha \upsilon} < 0$ (MNG)
TM	$\tilde{\tilde{\varepsilon}}_{\alpha\xi} > 0, \tilde{\varepsilon}_{\alpha\upsilon} > 0, \tilde{\mu}_{\alpha z} < 0$ (MNG)	$\tilde{\tilde{\varepsilon}}_{\alpha\xi} < 0, \tilde{\varepsilon}_{\alpha\upsilon} < 0, \tilde{\mu}_{\alpha z} > 0$ (ENG)

 $\Lambda_{\nu_{\alpha}}$ the in-plane eigenvalues, the diagonalized forms can be written as (see [19] for details)

$$\underline{\underline{\tilde{\varepsilon}_{\alpha}}}(x,y) = \underline{\underline{\tilde{\mu}_{\alpha}}}(x,y) = \begin{bmatrix} \Lambda_{\xi_{\alpha}}(x,y) & 0 & 0\\ 0 & \Lambda_{\nu_{\alpha}}(x,y) & 0\\ 0 & 0 & -a_{\alpha} \end{bmatrix},$$
(3)

where

$$\operatorname{sgn}[\Lambda_{\xi_{\alpha}}(x,y)] = \operatorname{sgn}[\Lambda_{\nu_{\alpha}}(x,y)] = \operatorname{sgn}(a_{\alpha}). \tag{4}$$

Therefore, depending on field polarization and on the sign of the scaling parameters a_{α} , the corresponding transformation media may effectively behave as either ENG or MNG, as compactly summarized in table 1. However, since they exhibit by construction $\underline{\varepsilon_{\alpha}} = \underline{\mu_{\alpha}}$, the terms 'ENG', 'MNG', and 'SNG' may appear not entirely appropriate, and throughout the paper we shall refer to them as 'pseudo-ENG' (P-ENG), 'pseudo-MNG' (P-MNG), and 'pseudo-SNG' (P-SNG).

In what follows, generalizing the studies in [20, 21], we analyse the transmission properties of bi-layers made of paired P-ENG/P-MNG transformation media.

3. Analytical derivations

3.1. Field calculation

As a first step, we calculate analytically the EM response for (unit-amplitude) plane-wave incidence, and, without losing generality, we focus on the TM-polarized case (z-directed magnetic field). In the fictitious (vacuum) space, no reflection takes place, and the total field coincides with the incident one, which can be written as

$$H_z(x', y') = \exp[i(k_{x0}x' + k_{y0}y')],$$
 (5)

where, for a propagating wave with incidence angle θ_i with respect to the *x*-axis (see figure 1), the *x*- and *y*-domain wavenumbers are given by

$$k_{x_0} = k_0 \cos \theta_i, \qquad k_{y0} = k_0 \sin \theta_i, \tag{6}$$

with $k_0 = 2\pi/\lambda_0$ denoting the vacuum wavenumber (and λ_0 the corresponding wavelength).

In the standard TO approaches, the field in the physical space would be obtained by straightforward coordinate mapping (via (2)) of the fictitious-space expression in (5). However, in view of the discontinuity of the coordinate transformation in (2) at the interfaces $x = 0, x = \pm d$,

such mapping is not straightforward here, and special care is needed. Specifically, the physical-space field can be written as a superposition of forward- and backward-propagating coordinate-mapped (via (2)) plane waves, which can be compactly written as

$$H_z^{(\alpha)}(x, y) = A_{\alpha}^+ \exp[ik_{x\alpha}x'(x, y) + ik_{y\alpha}y'(x, y)] + A_{\alpha}^- \exp[-ik_{x\alpha}x'(x, y) + ik_{y\alpha}y'(x, y)],$$
(7)

with $\alpha=1,2$ labelling the bi-layer region (cf the coordinate mapping in (2)), and $\alpha=0$ and $\alpha=3$ labelling the surrounding vacuum regions x<-d and x>d, respectively, where an identity transformation (x'=x,y'=y) is assumed. In (7), the amplitude coefficients A_{α}^{+} , $\alpha=0-3$, as well as the wavenumbers $k_{x\alpha}$ and $k_{y\alpha}$, $\alpha=1-3$, need to be computed by enforcing the boundary conditions. First, we note that $A_{0}^{+}=1$, consistently with the assumed unit-amplitude excitation (cf (5)), whereas $A_{3}^{-}=0$ in order to fulfil the radiation condition. Next, by enforcing the phase-matching conditions at the interfaces $x=\pm d$ and 0, we obtain

$$k_{y1} = -ik_{y0}\dot{u}_1(-d), \qquad k_{y2} = -ik_{y0}\dot{u}_1(-d)\frac{\dot{u}_2(0)}{\dot{u}_1(0)},$$

$$k_{y3} = k_{y0}\frac{\dot{u}_1(-d)\dot{u}_2(0)}{\dot{u}_1(0)\dot{u}_2(d)},$$
(8)

$$k_{x\alpha} = \sqrt{k_0^2 - k_{y\alpha}^2}, \quad \text{Im}(k_{x\alpha}) \geqslant 0, \quad \alpha = 1-3, \quad (9)$$

which yield all the unknown wavenumbers in (7) as a function of the y-domain (vacuum) wavenumber k_{y0} in (6). Note that, consistently with our original intuition, the complex mapping in (2) transforms purely imaginary exponentials in the fictitious space, into real ones (with respect to the x-variable) in the bilayer region ($\alpha = 1, 2$) of the transformed domain.

Finally, by enforcing the continuity of the tangential field components at $x=\pm d$ and 0 (with the tangential electric field derived from (7) via the relevant Maxwell's curl equation), we obtain a linear system of six equations, whose (straightforward, but cumbersome) solution yields the remaining unknown amplitude coefficients A_0^- , A_1^+ , A_1^- , A_2^+ , A_2^- , A_3^+ . Here, we focus on the coefficient A_0^- , which plays the role of the reflection coefficient, of direct interest for the subsequent developments, and can be written as

$$A_{0}^{-} = \left(\frac{\chi_{0} + i\chi_{1} \tanh \kappa_{10} + i\chi_{2} \tanh \kappa_{20} + \chi_{12} \tanh \kappa_{10} \tanh \kappa_{20}}{\xi_{0} + i\xi_{1} \tanh \kappa_{10} + i\xi_{2} \tanh \kappa_{20} + \xi_{12} \tanh \kappa_{10} \tanh \kappa_{20}}\right) \times \exp(-2ik_{x0}d), \tag{10}$$

where

$$\kappa_{10} = a_1 k_{x1} [u_1(0) - u_1(-d)],
\kappa_{20} = a_2 k_{x2} [u_2(d) - u_2(0)],
\chi_0 = k_{x1} k_{x2} \left[\frac{k_{x3}}{\dot{u}_1(-d)\dot{u}_2(0)} - \frac{k_{x0}}{\dot{u}_1(0)\dot{u}_2(d)} \right],
\chi_1 = k_{x2} \left[\frac{k_{x0} k_{x3}}{\dot{u}_2(0)} + \frac{k_{x1}^2}{\dot{u}_1(-d)\dot{u}_1(0)\dot{u}_2(d)} \right],
\chi_2 = k_{x1} \left[\frac{k_{x0} k_{x3}}{\dot{u}_1(0)} + \frac{k_{x2}^2}{\dot{u}_1(-d)\dot{u}_2(0)\dot{u}_2(d)} \right],
\chi_{12} = \frac{k_{x1}^2 k_{x3}}{\dot{u}_1(0)\dot{u}_1(-d)} - \frac{k_{x0} k_{x2}^2}{\dot{u}_2(0)\dot{u}_2(d)},$$
(11)

$$\xi_{0} = -k_{x1}k_{x2} \left[\frac{k_{x3}}{\dot{u}_{1}(-d)\dot{u}_{2}(0)} + \frac{k_{x0}}{\dot{u}_{2}(d)\dot{u}_{1}(0)} \right],$$

$$\xi_{1} = k_{x2} \left[\frac{k_{x0}k_{x3}}{\dot{u}_{2}(0)} - \frac{k_{x1}^{2}}{\dot{u}_{1}(-d)\dot{u}_{1}(0)\dot{u}_{2}(d)} \right],$$

$$\xi_{2} = k_{x1} \left[\frac{k_{x0}k_{x3}}{\dot{u}_{1}(0)} - \frac{k_{x2}^{2}}{\dot{u}_{1}(-d)\dot{u}_{2}(0)\dot{u}_{2}(d)} \right],$$

$$\xi_{12} = -\frac{k_{x1}^{2}k_{x3}}{\dot{u}_{1}(0)\dot{u}_{1}(-d)} - \frac{k_{x2}^{2}k_{x0}}{\dot{u}_{2}(0)\dot{u}_{2}(d)}.$$
(13)

3.2. Total-transmission conditions

Based on the analytical solution above, we can now derive the conditions for total transmission, by zeroing the reflection coefficient in (10), namely,

$$\chi_0 + \mathrm{i} \chi_1 \tanh \kappa_{10} + \mathrm{i} \chi_2 \tanh \kappa_{20} + \chi_{12} \tanh \kappa_{10} \tanh \kappa_{20} = 0. \tag{14}$$

In general, the above equation needs to be solved numerically, and, for a given bi-layer configuration, its solutions (if any, and provided they are not roots of the denominator in (10) too) yield the conditions, in terms of the y-domain (vacuum) wavenumber values k_{y0} in (6) (i.e. the frequency and/or incidence angle), for which the field is totally transmitted through the bi-layer.

In what follows, we focus on a particularly interesting class of solutions, which are independent of k_{y0} , and can be studied analytically. By inspecting (14), one can observe that, in order for the real part to vanish for any value of k_{y0} , the functions χ_0 and $\chi_{12} \tanh \kappa_{10} \tanh \kappa_{20}$ would have to be linearly dependent. However, it can be verified that their Wronskian is generally nonzero, unless both functions vanish⁴. Recalling (11) (and that, by assumption, $a_{\alpha} \neq 0$ and $\dot{u}_{\alpha} > 0$), this implies the following necessary condition:

$$\chi_0 = \chi_{12} = 0, \qquad \forall \, k_{y0}.$$
(15)

From (8) and (9), it is evident that, by enforcing

$$\dot{u}_1(0)\dot{u}_2(d) = \dot{u}_1(-d)\dot{u}_2(0),\tag{16}$$

we obtain $k_{y3} = k_{y0}$ and $k_{x3} = k_{x0}$, which yield (from (12)) $\chi_0 = 0$. Similarly, by enforcing

$$\dot{u}_2(0) = \dot{u}_1(0),\tag{17}$$

we obtain $k_{y1} = k_{y2}$ and $k_{x1} = k_{x2}$, which, together with (16), yield (from (12)) $\chi_{12} = 0$. It can easily be verified that, under these conditions

$$\chi_1 = \chi_2 = \frac{k_{x1}}{\dot{u}_1(0)} \left[k_{x0}^2 + \frac{k_{x1}^2}{\dot{u}_1(-d)\dot{u}_2(d)} \right], \tag{18}$$

so that the total-transmission condition in (14) can be rewritten

$$tanh \kappa_{10} + tanh \kappa_{20} = 0, \tag{19}$$

which can easily be solved analytically, yielding $\kappa_{20} = -\kappa_{10}$, i.e. (recalling (11)),

$$a_2[u_2(d) - u_2(0)] = -a_1[u_1(0) - u_1(-d)].$$
 (20)

To sum up, the conditions

$$\dot{u}_2(0)\dot{u}_1(-d) = \dot{u}_2(d)\dot{u}_1(0), \qquad \dot{u}_2(0) = \dot{u}_1(0),
a_2[u_2(d) - u_2(0)] = -a_1[u_1(0) - u_1(-d)],$$
(21)

turn out to be necessary and sufficient in order to obtain total transmission for any value of k_{y0} , as it can also be verified that they do not imply the vanishing of the denominator in (10). Note that the conditions in (21) depend on the scaling parameters a_{α} and on the boundary values of the functions u_{α} and their derivatives, but are independent of the actual form of these functions as well as of the functions v_{α} . Moreover, while they may be interpreted as angle-independent conditions, they implicitly depend on frequency, in view of the unavoidable temporal-dispersion effects in passive SNG media.

Recalling the assumed positive character of the derivatives \dot{u}_{α} , which implies that $u_1(0) > u_1(-d)$ and $u_2(d) > u_2(0)$, it readily follows from the third condition in (21) that

$$\operatorname{sgn}(a_1) = -\operatorname{sgn}(a_2), \tag{22}$$

and thus, depending on the field polarization (see table 1), we obtain two possible configurations corresponding to the P-ENG/P-MNG and P-MNG/P-ENG pairings.

Under the total-transmission condition, the total phase accumulated by the field transmitted through the bi-layer can be written as

$$\Psi = -k_{y0}\dot{u}_1(-d)[-v_1(0) + v_1(-d) + v_2(0) - v_2(d)],$$
(23)

and therefore, at normal incidence $(k_{y0} = 0)$ for arbitrary functions v_{α} , or at arbitrary incidence for $v_2(0) - v_2(d) = v_1(0) - v_1(-d)$, the field will undergo a complete tunnelling through the bi-layer, without any phase delay. The bi-layer thus behaves as an EM 'nullity', in close resemblance with the case of conjugate matched (homogeneous, isotropic) ENG–MNG pairs in [20], and its (inhomogeneous, anisotropic) complementary-media generalization in [21, 22] (see also the discussion in section 4). More in general, an arbitrary aperture field distribution located at a source-plane $x = x_s < -d$ will ideally produce a perfect virtual image at the plane $x = x_i \equiv x_s + 2d$, apart from a possible rigid translation of $y_0 = \dot{u}_1(-d)[-v_1(0) + v_1(-d) + v_2(0) - v_2(d)]$ along the y-axis.

4. Physical interpretation

4.1. Special cases

In order to understand the physical mechanisms underlying the rather general class of transparent SNG transformation bi-layers identified by the conditions in (21), it is insightful to start by explaining their relation with the homogeneous, isotropic ENG–MNG bi-layers investigated in [20], and their

⁴ Alternatively, it can be verified that the product of two hyperbolic tangents (of generally nonzero argument) in (14) cannot be exactly represented in terms of the functions χ_0 and χ_{12} (which do not contain any exponential term).

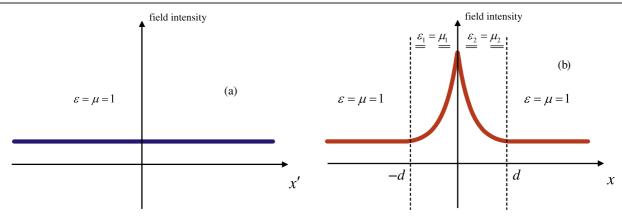


Figure 2. Schematic illustration of the effects of the complex coordinate transformation (2) which, under the total-transmission conditions (21), transforms a propagating (constant-intensity) plane-wave field (a) in the vacuum fictitious space into a localized surface mode (b) in the physical space.

further (inhomogeneous, anisotropic) generalizations within the framework on complementary media [21, 22].

In [20], it was shown that complete tunnelling (with zero phase delay) could be achieved by pairing two slabs (of thickness d_1 and d_2) made of homogeneous, isotropic ENG and MNG materials. In particular, under the conjugate-matching condition:

$$\varepsilon_2 = -\varepsilon_1, \qquad \mu_2 = -\mu_1, \qquad d_2 = d_1, \qquad (24)$$

such tunnelling effects would occur for any value of the incident wavenumber, as long as the above condition (24) remains satisfied. Such conditions were further generalized in [21, 22] to the case of anisotropic, inhomogeneous (possibly lossy) materials satisfying the complementary-media condition, which, in our chosen reference system, can be expressed as

$$\underline{\underline{\varepsilon}_{2}} = \begin{bmatrix}
-\varepsilon_{1xx} & \varepsilon_{1xy} & \varepsilon_{1xz} \\
\varepsilon_{1yx} & -\varepsilon_{1yy} & -\varepsilon_{1yz} \\
\varepsilon_{1zx} & -\varepsilon_{1zy} & -\varepsilon_{1zz}
\end{bmatrix},$$

$$\underline{\underline{\mu}_{2}} = \begin{bmatrix}
-\mu_{1xx} & \mu_{1xy} & \mu_{1xz} \\
\mu_{1yx} & -\mu_{1yy} & -\mu_{1yz} \\
\mu_{1zx} & -\mu_{1zy} & -\mu_{1zz}
\end{bmatrix}.$$
(25)

It can easily be verified that our general class in (21) reduces to the complementary-media case in (25) for

$$a_2 = -a_1,$$
 $u_2(x) = -u_1(-x),$ $v_2(x) = v_1(-x),$ (26)

which, in turn, yields the homogeneous, isotropic case in (24) for $u_2(x) = x$ and $v_2(x) = 0$.

In this framework, we point out that some seeming restrictions in our class (e.g. the fact that $\underline{\varepsilon_{\alpha}} = \underline{\mu_{\alpha}}$, compare with (1)), are only due to our original choice of transforming a vacuum fictitious space, and can accordingly be overcome by considering a more general filling (not necessarily homogeneous and isotropic, and possibly DNG).

4.2. Complex-mapping-induced surface modes

While the complementary-media arguments above provide an interesting interpretation of some special cases, the conditions

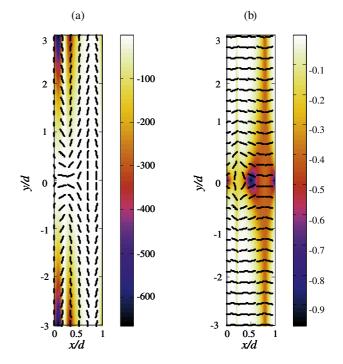


Figure 3. Relative-permittivity maps (in-plane components) pertaining to the P-ENG transformation slab in (30), shown in the principal reference system. As a reference, the principal axes directions ξ and υ are shown as short segments, in (a) and (b), respectively.

in (21) define a broad class of configurations that do not generally satisfy the symmetry conditions in (24) or (25).

A deeper physical insight can be gained by looking at the analytical structure of the field inside the bi-layer region. As anticipated, one intuitively expects the in-plane purely imaginary character of the coordinate transformation in (2) to map a propagating field (in the vacuum fictitious space, cf (5)) into an evanescent one in the P-SNG transformed domain. However, when pairing two conjugate SNG transformation slabs, an exponentially growing behaviour may also occur. Specifically, by particularizing the general expression in (7)

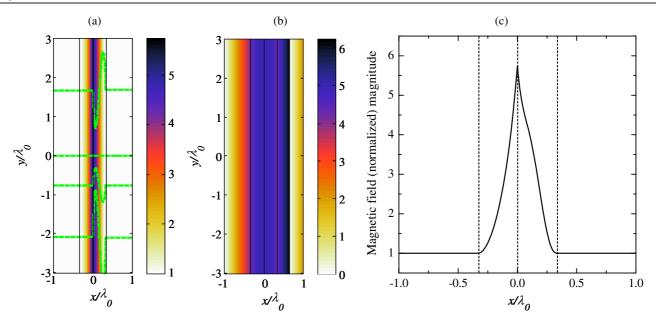


Figure 4. EM response, for TM-polarized normally incident ($\theta_i = 0$) plane-wave excitation, of a bi-layer obtained by pairing a homogeneous MNG slab (cf (29)) with the P-ENG transformation slab in figure 3, for $d = \lambda_0/3$. (a), (b) Magnetic field magnitude and phase (radian) maps, respectively, of the magnetic field component, with some representative (green-dashed) streamlines indicating the local energy flux (i.e. Poynting vector). (c) Field magnitude longitudinal cut (at y = 0).

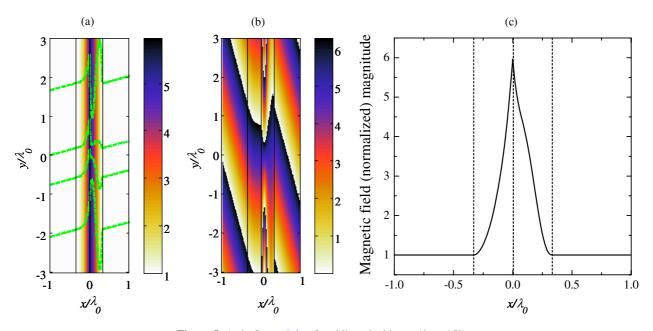


Figure 5. As in figure 4, but for oblique incidence $(\theta_i = 15^\circ)$.

under the total-transmission conditions in (21), we obtain

$$H_z^{(\alpha)}(x,y) = \left\{ \cosh[\kappa_\alpha(x)] \pm i \frac{k_{x0} \dot{u}_1(-d)}{k_{x1}} \sinh[\kappa_\alpha(x)] \right\}$$

$$\times \exp\left[-ik_{x0}d + ik_{y0}y \frac{\dot{u}_1(-d)}{\dot{u}_\alpha(x)} \right], \qquad \alpha = 1, 2, \quad (27)$$

where

$$\kappa_{\alpha}(x) = \pm a_{\alpha} k_{x\alpha} [u_{\alpha}(x) - u_{\alpha}(\mp d)]. \tag{28}$$

We note that the field exhibits unit amplitude at the interfaces $x = \pm d$ (as expected, in order to match the incident and

totally transmitted fields), while its magnitude inside the bi-layer region is always greater than unity, exponentially growing towards the interface x=0, where it reaches its peak. Thus, the complex mapping in (2), under the total-transmission conditions in (21), transforms a propagating (constant-intensity) plane-wavefield in the vacuum fictitious domain (see figure 2(a)) into a surface mode localized at the interface x=0 separating the two opposite-signed P-SNG slabs in the physical space (see figure 2(b)).

In the complementary-media case [20, 21], the presence of surface modes has been observed and associated with

tunnelling phenomena. Our complex-mapping arguments above provide an alternative TO-based interpretation, and extend the association between surface modes and tunnelling phenomena beyond the complementary-media scenario.

5. Representative numerical results

As a representative example, we consider a configuration featuring a homogeneous, isotropic MNG slab $(\tilde{\epsilon}_{1\xi} = \tilde{\epsilon}_{1\upsilon} = 1, \tilde{\mu}_{1z} = -1)$, i.e.

$$a_1 = 1,$$
 $u_1(x) = x,$ $v_1(x) = 0,$ (29)

paired with an inhomogeneous, anisotropic P-ENG transformation slab ($\tilde{\epsilon}_{2\xi} < 0$, $\tilde{\epsilon}_{2\nu} < 0$, $\tilde{\mu}_{2z} = 1$), obtained via

$$a_2 = -1,$$

$$u_2(x) = d \left[\frac{x}{d} - 3 \left(\frac{x}{d} \right)^2 + 6 \left(\frac{x}{d} \right)^3 - 3 \left(\frac{x}{d} \right)^4 \right],$$
(30)

where the polynomial coefficients in u_2 have been chosen so as to satisfy the total-transmission conditions in (21), as well as to ensure that $\dot{u}_2(x) > 0$ within the interval 0 < x < d. Figure 3 illustrates the distributions of the relative-permittivity principal components of the P-ENG transformation slab, from which the inhomogeneous, anisotropic (and, obviously, negative) character is evident. We highlight that the bilayer configuration defined by (29) and (30) does not satisfy the symmetry conditions in (24) or (25), and thus its EM response cannot be explained within the complementary-media framework.

Figure 4 illustrates the EM response to a normally incident ($\theta_i = 0$), unit-amplitude plane-wave illumination, for a thickness $d = \lambda_0/3$. Specifically, figures 4(a) and (b) show the field magnitude (with stream lines illustrating the local energy flux) and phase maps, respectively, whereas figure 4(c) shows a longitudinal cut of the field magnitude. One can readily observe the predicted localization effects (surface mode) at the interface x = 0 (better quantified in the longitudinal cut), as well as the perfect matching between the phase profiles at the input (x = -d) and output (x = d) interfaces, indicative of the zero phase delay induced by the bi-layer.

Qualitatively similar considerations hold for the case of oblique incidence ($\theta_i = 15^\circ$), illustrated in figure 5, with an expected increased complexity in the internal field structure and energy flux.

We also investigated the effects of the unavoidable material losses in the above illustrated tunnelling phenomena (see [20, 22] for the complementary-media case). With reference to the parameter configurations in figures 4 and 5, figure 6 shows the transmission coefficient magnitude as a function of the material loss-tangent $\tan \delta$ (assumed identical for the two constituents of the bi-layer). The lossy configuration was obtained as a perturbation of the lossless one above, by multiplying all the negative constitutive parameters $(\tilde{\mu}_{1z}, \tilde{\epsilon}_{2\xi}, \tilde{\epsilon}_{2v})$ by a factor $(1 - i \tan \delta)$, so as to ensure the positivity of their imaginary parts, and hence the passivity of

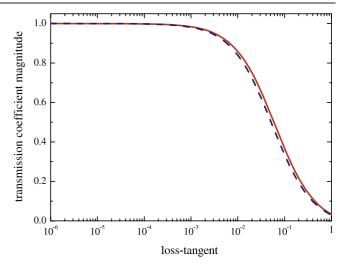


Figure 6. Parameters as in figures 4 and 5. Transmission coefficient magnitude as a function of the material loss-tangent (assumed identical for the two constituents of the bi-layer), for normal (red-solid curve) and oblique ($\theta_i = 15^\circ$, blue-dashed curve) incidence.

the media. As it can be observed, the tunnelling effects turn out to be rather robust up to moderate (loss-tangent = 0.01) levels of losses. Being inherently a resonant phenomenon, however, significant metamaterial losses may significantly affect the tunnelling, as confirmed in figure 6. Analogous to the case of complementary SNG pairs [20], the loss effect is predicted to be more sensitive to thicker complementary layers.

6. Conclusions

In this paper, we have presented a TO-based interpretation of tunnelling phenomena in bi-layers made of paired P-ENG/P-MNG media. Our proposed interpretation, which relies on a complex coordinate transformation, includes the (homogeneous, isotropic) scenario in [20] and its (inhomogeneous, anisotropic) complementary-media extensions in [21, 22], and suggests other interesting generalizations, thereby providing further evidence of the versatility and unifying character of TO.

Current and future research is aimed at the exploration of different TO-inspired scenarios, such as bi-layers made of P-SNG and DPS media, for which interesting tunnelling effects may occur at given frequencies and incidence angles.

References

- [1] Leonhardt U 2006 Optical conformal mapping *Science* 312 1777–80
- Pendry J B, Schurig D and Smith R D 2006 Controlling electromagnetic fields *Science* 312 1780–2
- [3] Leonhardt U and Philbin T G 2006 General relativity in electrical engineering *New J. Phys.* **8** 247
- [4] Shalaev V M 2008 Transforming light Science 322 384-6
- [5] Leonhardt U and Philbin T G 2009 Transformation optics and the geometry of light *Prog. Opt.* 53 69–152
- [6] Leonhardt U and Smith D R 2008 Focus on cloaking and transformation optics, Guest Editorial *New J. Phys.* 10 115019

- [7] Schurig D, Mock J J, Justice B J, Cummer S A, Pendry J B, Starr A F and Smith D R 2006 Metamaterial electromagnetic cloak at microwave frequencies *Science* 314 977–80
- [8] Salandrino A and Engheta N 2006 Far-field subdiffraction optical microscopy using metamaterial crystals: theory and simulations *Phys. Rev.* B 74 075103
- [9] Kildishev A V and Narimanov E E 2007 Impedance-matched hyperlens Opt. Lett. 32 3432–4
- [10] Tang M and Psaltis D 2008 Magnifying perfect lens and superlens design by coordinate transformation *Phys. Rev.* B 77 035122
- [11] Yan M, Yan W and Qiu M 2008 Cylindrical superlens by a coordinate transformation *Phys. Rev.* B **78** 125113
- [12] Greenleaf A, Kurylev Y, Lassas M and Uhlmann G 2007 Electromagnetic wormholes and virtual magnetic monopoles from metamaterials *Phys. Rev. Lett.* 99 183901
- [13] Genov D A, Zhang S and Zhang X 2009 Mimicking celestial mechanics in metamaterials *Nat. Phys.* 5 687–92
- [14] Narimanov E E and Kildishev A V 2009 Optical black hole: broadband omnidirectional light absorber Appl. Phys. Lett. 95 041106

- [15] Bergamin L 2008 Generalized transformation optics from triple spacetime metamaterials *Phys. Rev.* A 78 043825
- [16] Bergamin L and Favaro A 2010 Negative index of refraction, perfect lenses and transformation optics—some words of caution, arXiv:1001.4655 [physics.optics]
- [17] Chen H, Luo X, Ma H and Chan C T 2008 The anti-cloak Opt. Express 16 14603–8
- [18] Castaldi G, Gallina I, Galdi V, Alù A and Engheta N 2009 Cloak/anti-cloak interactions Opt. Express 17 3101–14
- [19] Gallina I, Castaldi G, Galdi V, Alù A and Engheta N 2010 General class of metamaterial transformation slabs *Phys. Rev.* B 81 125124
- [20] Alù A and Engheta N 2003 Pairing an epsilon-negative slab with a mu-negative slab: anomalous tunneling and transparency *IEEE Trans. Antennas Propag.* 51 2558–71
- [21] Pendry J B and Ramakrishna S A 2003 Focusing light with negative refractive index J. Phys.: Condens. Matter 15 6345–64
- [22] Wee W H and Pendry J B 2010 Looking beyond the perfect lens New J. Phys. 12 053018