

# Context-Free Languages and Grammars

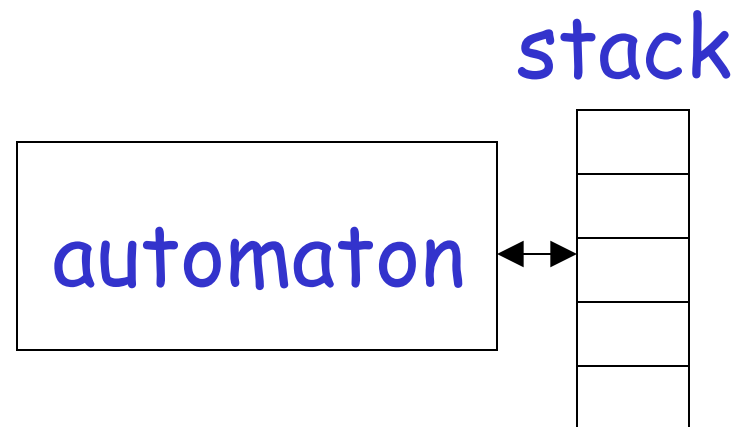
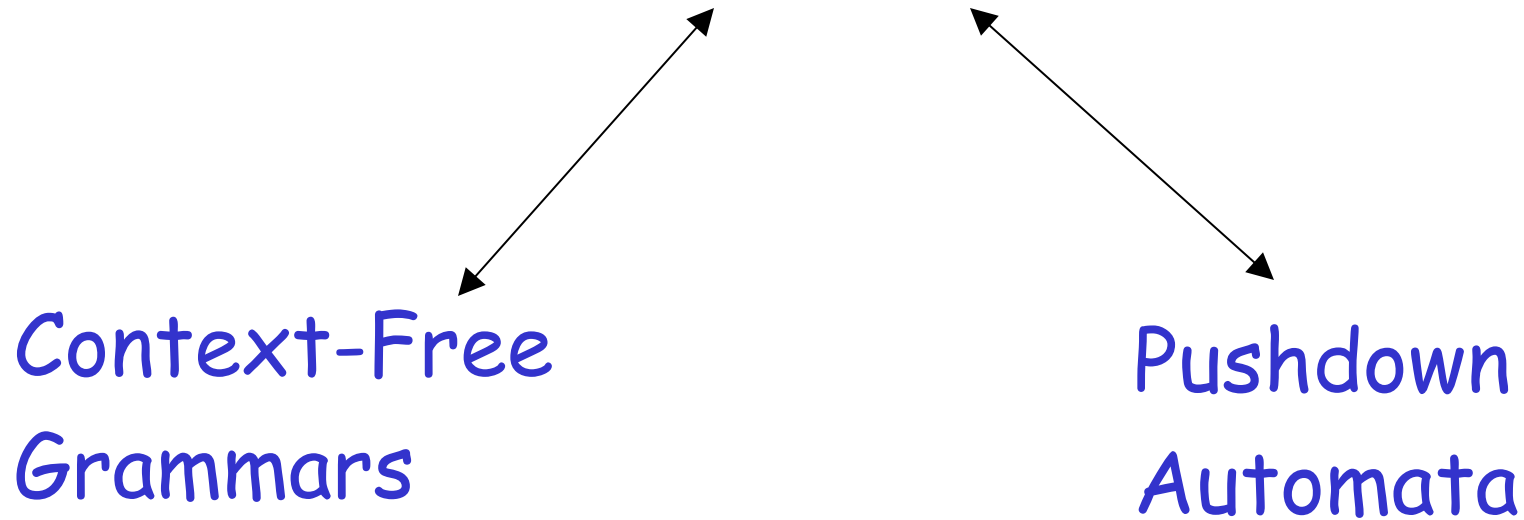
## Context-Free Languages

$$\{a^n b^n : n \geq 0\} \quad \{ww^R\}$$

## Regular Languages

$$a^* b^* \quad (a + b)^*$$

# Context-Free Languages



# Context-Free Grammars

# Grammars

Grammars express languages

Example: the English language grammar

$$\langle sentence \rangle \rightarrow \langle noun\_phrase \rangle \langle predicate \rangle$$
$$\langle noun\_phrase \rangle \rightarrow \langle article \rangle \langle noun \rangle$$
$$\langle predicate \rangle \rightarrow \langle verb \rangle$$

$\langle \textit{article} \rangle \rightarrow a$

$\langle \textit{article} \rangle \rightarrow \textit{the}$

$\langle \textit{noun} \rangle \rightarrow \textit{cat}$

$\langle \textit{noun} \rangle \rightarrow \textit{dog}$

$\langle \textit{verb} \rangle \rightarrow \textit{runs}$

$\langle \textit{verb} \rangle \rightarrow \textit{sleeps}$

## Derivation of string “the dog sleeps”:

$\langle sentence \rangle \Rightarrow \langle noun\_phrase \rangle \langle predicate \rangle$   
 $\Rightarrow \langle noun\_phrase \rangle \langle verb \rangle$   
 $\Rightarrow \langle article \rangle \langle noun \rangle \langle verb \rangle$   
 $\Rightarrow the \langle noun \rangle \langle verb \rangle$   
 $\Rightarrow the \ dog \langle verb \rangle$   
 $\Rightarrow the \ dog \ sleeps$

## Derivation of string “a cat runs”:

$\langle sentence \rangle \Rightarrow \langle noun\_phrase \rangle \langle predicate \rangle$   
 $\Rightarrow \langle noun\_phrase \rangle \langle verb \rangle$   
 $\Rightarrow \langle article \rangle \langle noun \rangle \langle verb \rangle$   
 $\Rightarrow a \langle noun \rangle \langle verb \rangle$   
 $\Rightarrow a \ cat \langle verb \rangle$   
 $\Rightarrow a \ cat \ runs$



## Language of the grammar:

$$L = \{ \text{"a cat runs"}, \\ \text{"a cat sleeps"}, \\ \text{"the cat runs"}, \\ \text{"the cat sleeps"}, \\ \text{"a dog runs"}, \\ \text{"a dog sleeps"}, \\ \text{"the dog runs"}, \\ \text{"the dog sleeps"} \}$$

# Productions

Sequence of  
Terminals (symbols)

$\langle \textit{noun} \rangle \rightarrow \textit{cat}$

$\langle \textit{sentence} \rangle \rightarrow \langle \textit{noun\_phrase} \rangle \langle \textit{predicate} \rangle$

Variables

Sequence of Variables

# Another Example

Sequence of  
terminals and variables

Grammar:

$$S \rightarrow \overbrace{aSb}$$

$$S \rightarrow \lambda$$

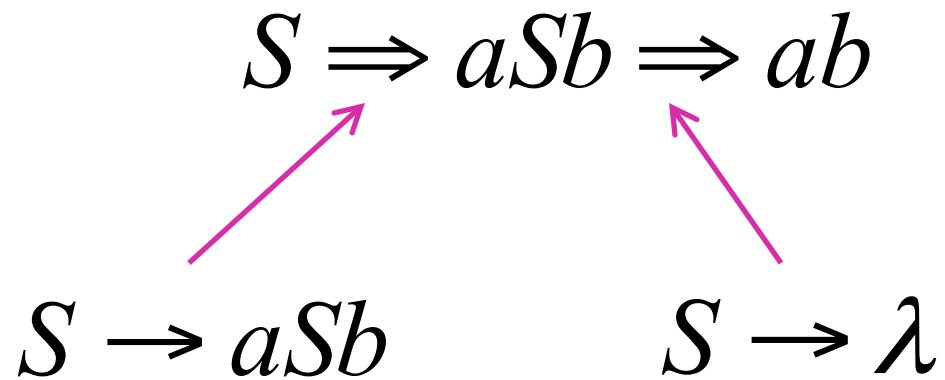
Variable

The right side  
may be  $\lambda$

Grammar:  $S \rightarrow aSb$

$S \rightarrow \lambda$

Derivation of string  $ab$ :



Grammar:  $S \rightarrow aSb$

$S \rightarrow \lambda$

Derivation of string  $aabb$  :

$S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aabb$



$S \rightarrow aSb$

$S \rightarrow \lambda$

Grammar:  $S \rightarrow aSb$

$S \rightarrow \lambda$

Other derivations:

$S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aaaSbbb \Rightarrow aaabbbb$

$S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aaaSbbb$   
 $\Rightarrow aaaaSbbbb \Rightarrow aaabbbbb$

Grammar:  $S \rightarrow aSb$

$$S \rightarrow \lambda$$

Language of the grammar:

$$L = \{a^n b^n : n \geq 0\}$$

# A Convenient Notation

We write:  $S \xRightarrow{*} aaabbb$

for zero or more derivation steps

Instead of:

$S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aaasbbb \Rightarrow aaabbbb$



In general we write:  $w_1 \xRightarrow{*} w_n$

If:  $w_1 \Rightarrow w_2 \Rightarrow w_3 \Rightarrow \cdots \Rightarrow w_n$

in zero or more derivation steps

Trivially:  $w \xRightarrow{*} w$

## Example Grammar

$$S \rightarrow aSb$$

$$S \rightarrow \lambda$$

## Possible Derivations

$$\overset{*}{S} \Rightarrow \lambda$$

$$\overset{*}{S} \Rightarrow ab$$

$$\overset{*}{S} \Rightarrow aaabbb$$

$$\overset{*}{S} \Rightarrow aaSbb \overset{*}{\Rightarrow} aaaaaaSbbbbbb$$

## Another convenient notation:

$$\begin{array}{l} S \rightarrow aSb \\ S \rightarrow \lambda \end{array} \quad \longrightarrow \quad S \rightarrow aSb \mid \lambda$$

$$\begin{array}{l} \langle \textit{article} \rangle \rightarrow a \\ \langle \textit{article} \rangle \rightarrow \textit{the} \end{array} \quad \longrightarrow \quad \langle \textit{article} \rangle \rightarrow a \mid \textit{the}$$

# Formal Definitions

**Grammar:**  $G = (V, T, S, P)$

Set of  
variables



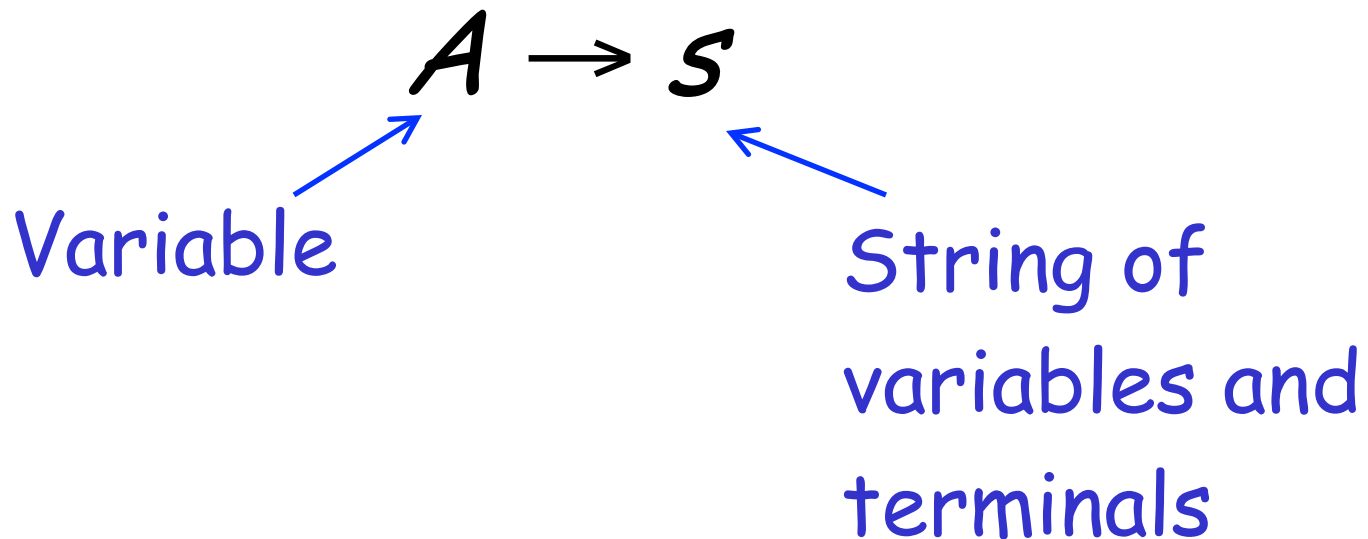
Set of  
terminal  
symbols

Start  
variable

Set of  
productions

# Context-Free Grammar: $G = (V, T, S, P)$

All productions in  $P$  are of the form



# Example of Context-Free Grammar

$$S \rightarrow aSb \mid \lambda$$

productions

$$P = \{S \rightarrow aSb, S \rightarrow \lambda\}$$

$$G = (V, T, S, P)$$

$V = \{S\}$   
variables

$T = \{a, b\}$   
terminals

start variable

# Language of a Grammar:

For a grammar  $G$  with start variable  $S$

$$L(G) = \{w: S \xRightarrow{*} w, \quad w \in T^*\}$$

String of terminals or  $\lambda$

Example:

context-free grammar  $G : \boxed{S \rightarrow aSb \mid \lambda}$

$$L(G) = \{a^n b^n : n \geq 0\}$$

Since, there is derivation

$$S \xRightarrow{*} a^n b^n \quad \text{for any } n \geq 0$$



## Context-Free Language definition:

A language  $L$  is context-free  
if there is a context-free grammar  $G$   
with  $L = L(G)$

Example:

$$L = \{a^n b^n : n \geq 0\}$$

is a context-free language

since context-free grammar  $G$  :

$$S \rightarrow aSb \mid \lambda$$

generates  $L(G) = L$

## Another Example

Context-free grammar  $G$  :

$$S \rightarrow aSa \mid bSb \mid \lambda$$

Example derivations:

$$S \Rightarrow aSa \Rightarrow abSba \Rightarrow abba$$

$$S \Rightarrow aSa \Rightarrow abSba \Rightarrow abaSaba \Rightarrow abaaba$$

---

$$L(G) = \{ww^R : w \in \{a,b\}^*\}$$

Palindromes of even length

## Another Example

Context-free grammar  $G$ :

$$S \rightarrow aSb \mid SS \mid \lambda$$

Example derivations:

$$S \Rightarrow SS \Rightarrow aSbS \Rightarrow abS \Rightarrow ab$$

$$S \Rightarrow SS \Rightarrow aSbS \Rightarrow abS \Rightarrow abaSb \Rightarrow abab$$

---

$$L(G) = \{w : n_a(w) = n_b(w),$$

$$\text{and } n_a(v) \geq n_b(v)$$

in any prefix  $v\}$

Describes  
matched

parentheses:

$$() ((( ))) (( )) \quad a = (, \quad b = )$$

# Derivation Order and Derivation Trees

# Derivation Order

Consider the following example grammar with 5 productions:

- |                       |                            |                            |
|-----------------------|----------------------------|----------------------------|
| 1. $S \rightarrow AB$ | 2. $A \rightarrow aaA$     | 4. $B \rightarrow Bb$      |
|                       | 3. $A \rightarrow \lambda$ | 5. $B \rightarrow \lambda$ |

- |                       |                            |                            |
|-----------------------|----------------------------|----------------------------|
| 1. $S \rightarrow AB$ | 2. $A \rightarrow aaA$     | 4. $B \rightarrow Bb$      |
|                       | 3. $A \rightarrow \lambda$ | 5. $B \rightarrow \lambda$ |

Leftmost derivation order of string *aab*:

$$\begin{array}{ccccccccc} & 1 & & 2 & & 3 & & 4 & & 5 \\ S & \Rightarrow & AB & \Rightarrow & aaAB & \Rightarrow & aaB & \Rightarrow & aaBb & \Rightarrow & aab \end{array}$$

At each step, we substitute the  
leftmost variable

- |                       |                            |                            |
|-----------------------|----------------------------|----------------------------|
| 1. $S \rightarrow AB$ | 2. $A \rightarrow aaA$     | 4. $B \rightarrow Bb$      |
|                       | 3. $A \rightarrow \lambda$ | 5. $B \rightarrow \lambda$ |

Rightmost derivation order of string *aab*:

$$\begin{array}{ccccccccc} & 1 & & 4 & & 5 & & 2 & & 3 \\ S & \Rightarrow & AB & \Rightarrow & ABb & \Rightarrow & Ab & \Rightarrow & aaAb & \Rightarrow & aab \end{array}$$

At each step, we substitute the  
rightmost variable



- |                       |                            |                            |
|-----------------------|----------------------------|----------------------------|
| 1. $S \rightarrow AB$ | 2. $A \rightarrow aaA$     | 4. $B \rightarrow Bb$      |
|                       | 3. $A \rightarrow \lambda$ | 5. $B \rightarrow \lambda$ |

Leftmost derivation of  $aab$ :

$$\begin{array}{ccccccccc}
 1 & & 2 & & 3 & & 4 & & 5 \\
 S & \Rightarrow & AB & \Rightarrow & aaAB & \Rightarrow & aaB & \Rightarrow & aaBb & \Rightarrow & aab
 \end{array}$$

Rightmost derivation of  $aab$ :

$$\begin{array}{ccccccccc}
 1 & & 4 & & 5 & & 2 & & 3 \\
 S & \Rightarrow & AB & \Rightarrow & ABb & \Rightarrow & Ab & \Rightarrow & aaAb & \Rightarrow & aab
 \end{array}$$

# Derivation Trees

Consider the same example grammar:

$$S \rightarrow AB \quad A \rightarrow aaA \mid \lambda \quad B \rightarrow Bb \mid \lambda$$

And a derivation of *aab*:

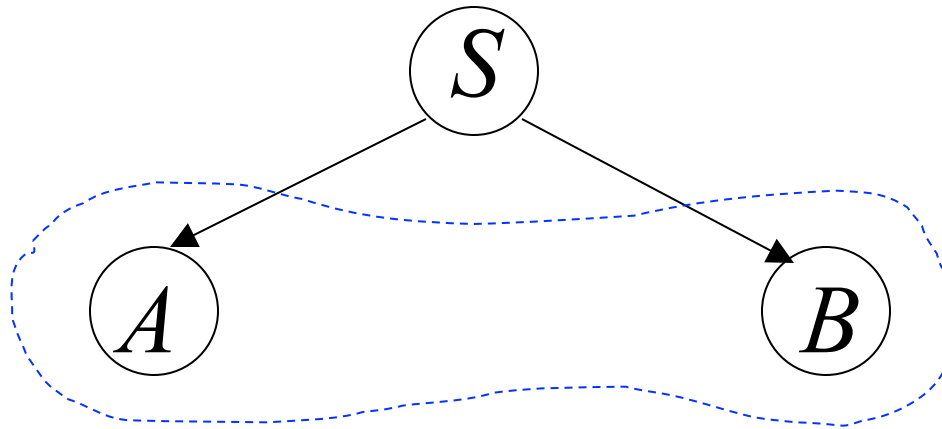
$$S \Rightarrow AB \Rightarrow aaAB \Rightarrow aaABb \Rightarrow aaBb \Rightarrow aab$$

$$S \rightarrow AB$$

$$A \rightarrow aaA \mid \lambda$$

$$B \rightarrow Bb \mid \lambda$$

$$S \Rightarrow AB$$



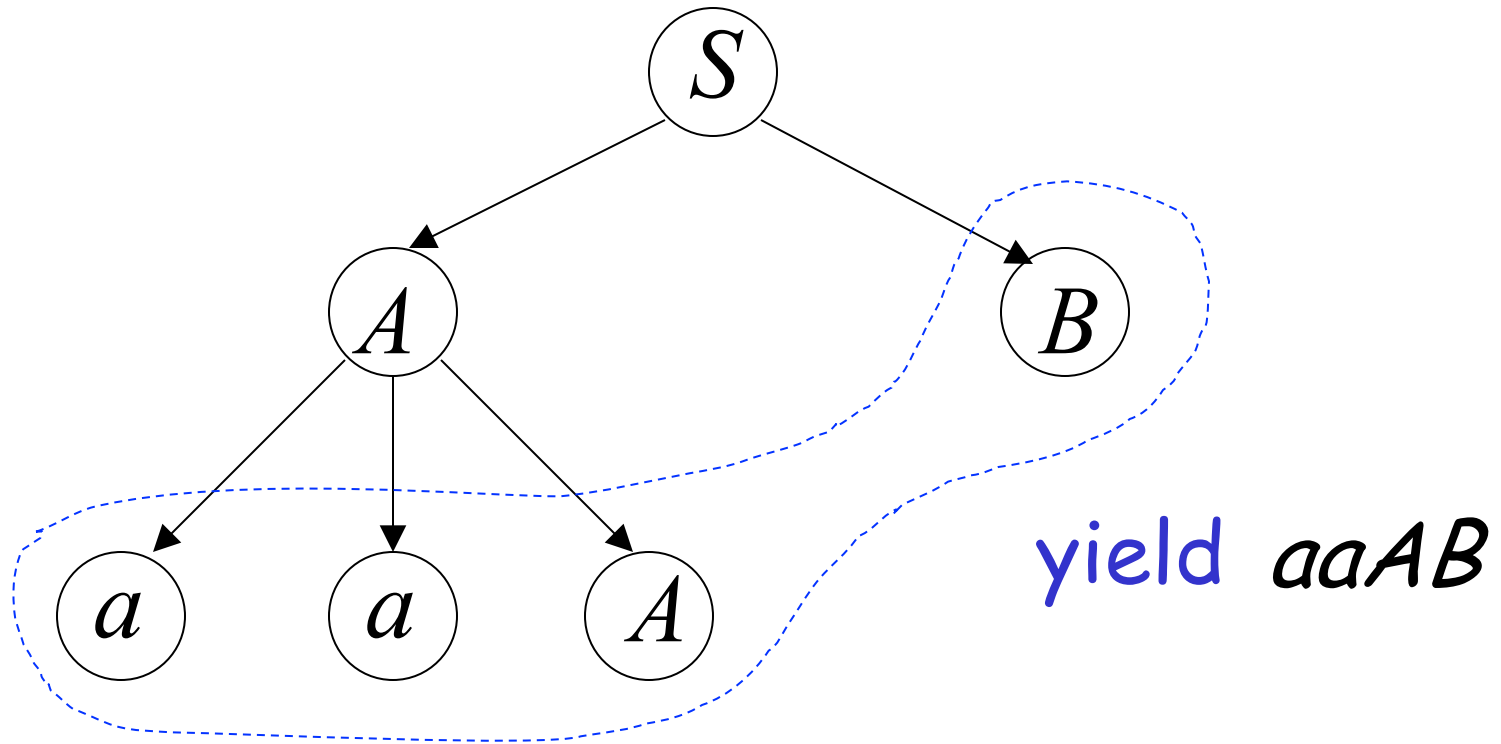
yield  $AB$

$$S \rightarrow AB$$

$$A \rightarrow aaA \mid \lambda$$

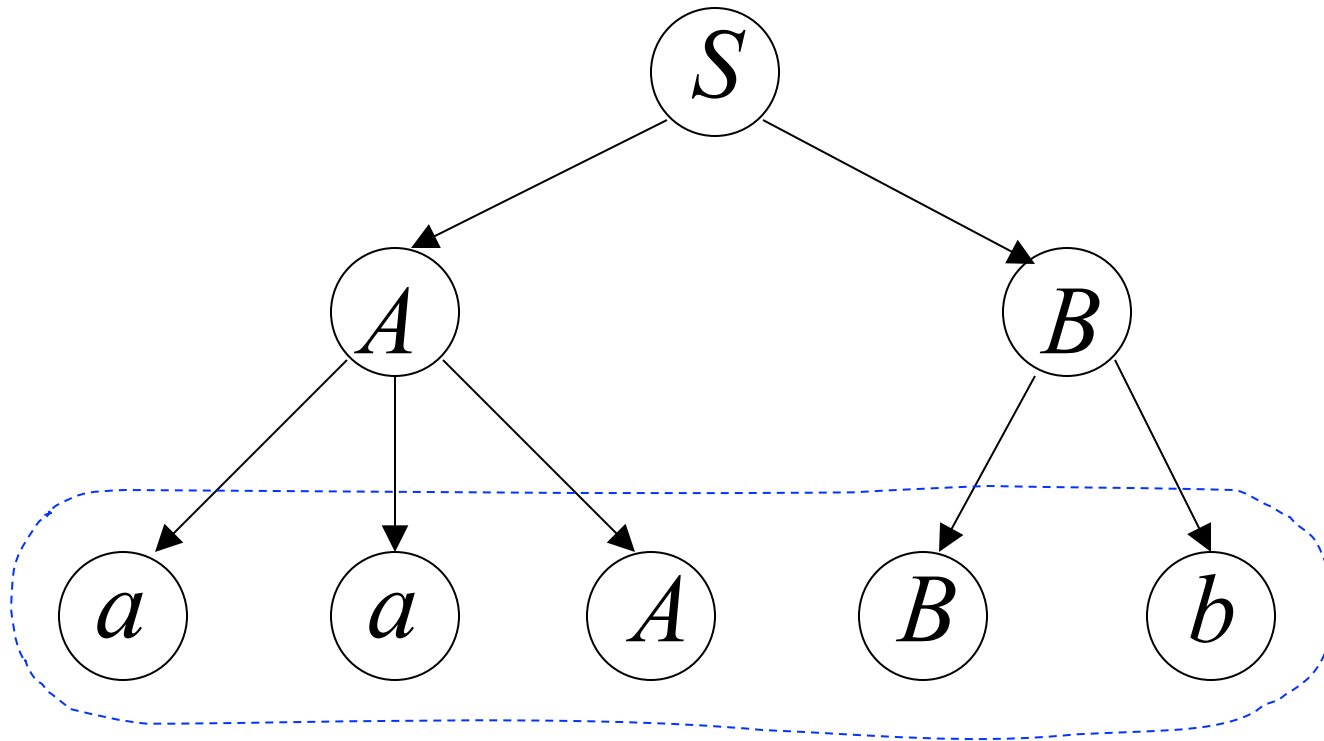
$$B \rightarrow Bb \mid \lambda$$

$$S \Rightarrow AB \Rightarrow aaAB$$



$$S \rightarrow AB \quad A \rightarrow aaA \mid \lambda \quad B \rightarrow Bb \mid \lambda$$

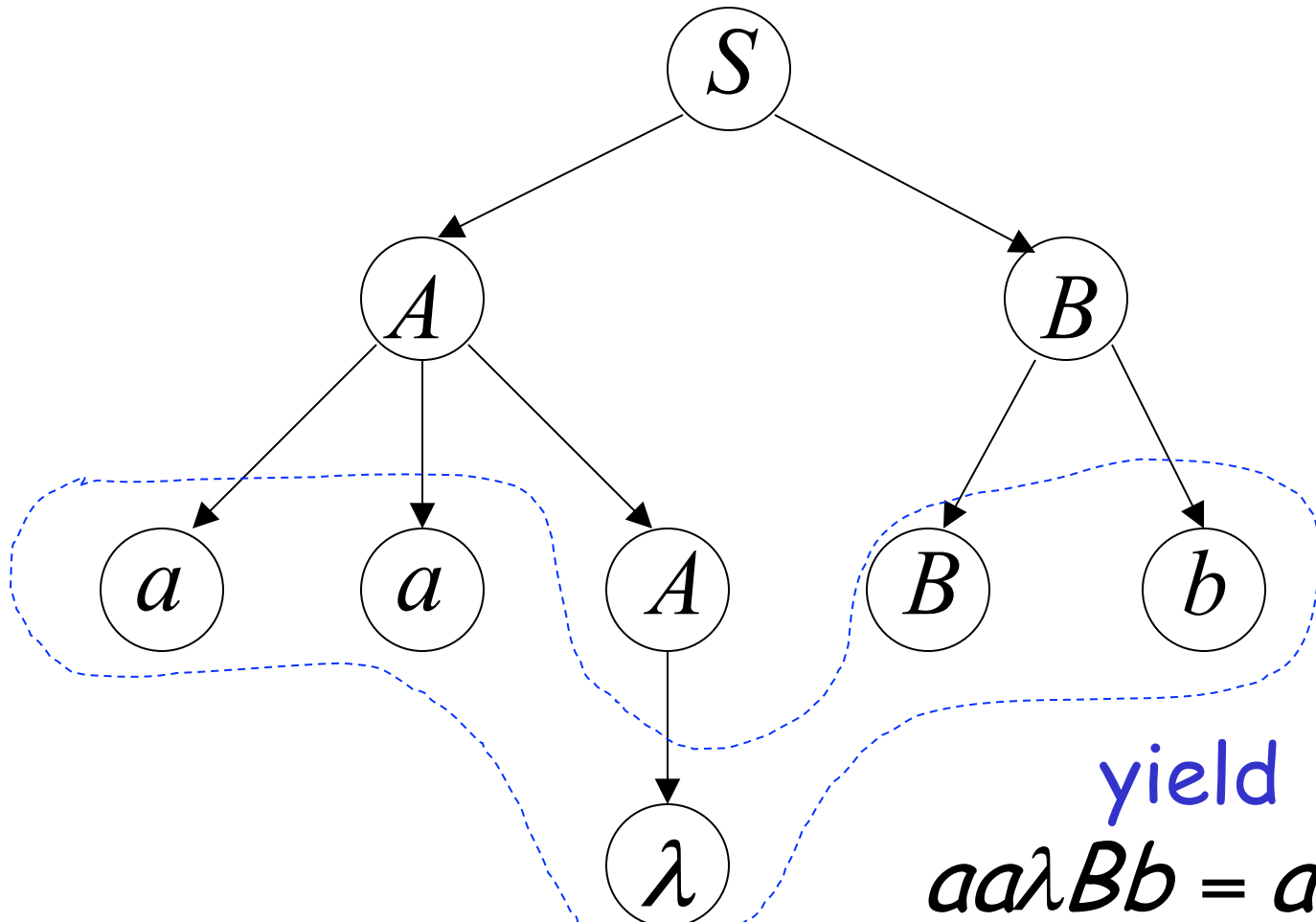
$$S \Rightarrow AB \Rightarrow aaAB \Rightarrow aaABb$$



yield  $aaABb$

$$S \rightarrow AB \quad A \rightarrow aaA \mid \lambda \quad B \rightarrow Bb \mid \lambda$$

$$S \Rightarrow AB \Rightarrow aaAB \Rightarrow aaABb \Rightarrow aaBb$$



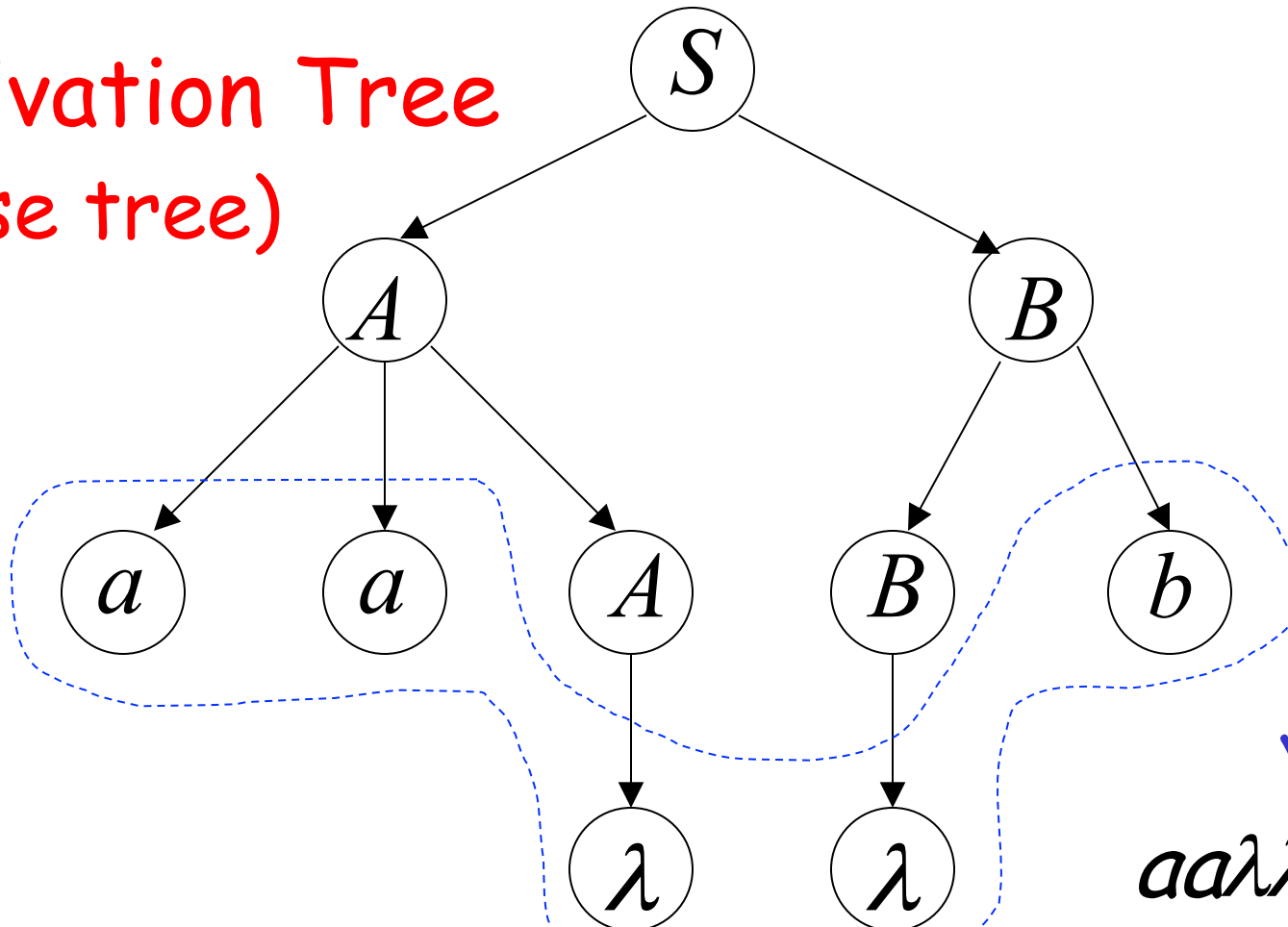
$$S \rightarrow AB$$

$$A \rightarrow aaA \mid \lambda$$

$$B \rightarrow Bb \mid \lambda$$

$$S \Rightarrow AB \Rightarrow aaAB \Rightarrow aaABb \Rightarrow aaBb \Rightarrow aab$$

Derivation Tree  
(parse tree)



yield

$$aa\lambda\lambda b = aab$$

Sometimes, derivation order doesn't matter

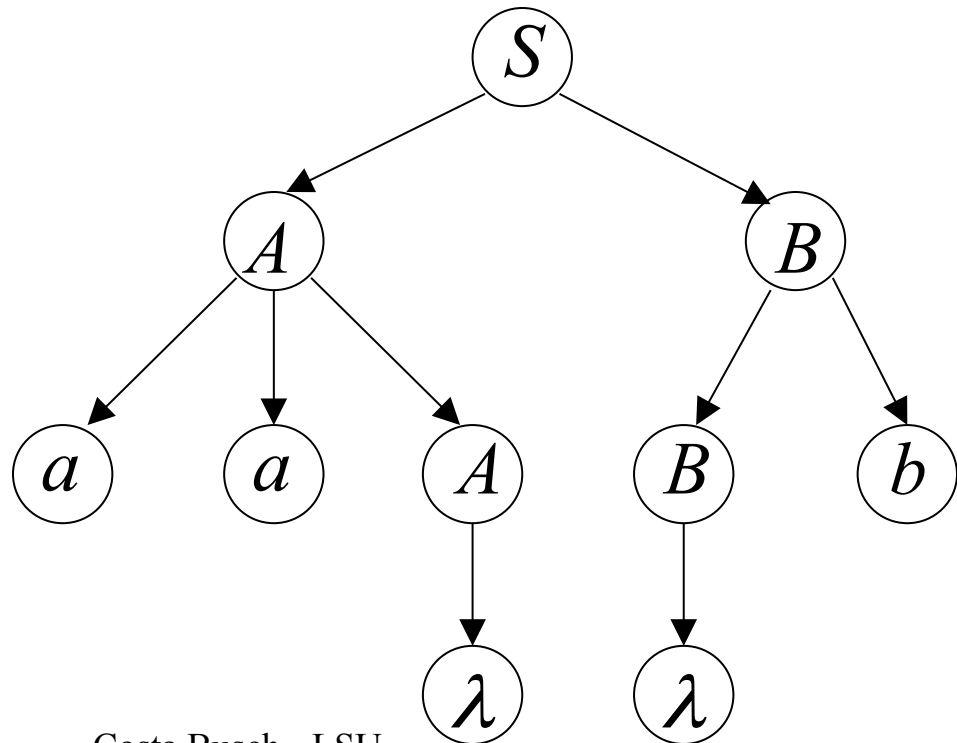
Leftmost derivation:

$$S \Rightarrow AB \Rightarrow aaAB \Rightarrow aaB \Rightarrow aaBb \Rightarrow aab$$

Rightmost derivation:

$$S \Rightarrow AB \Rightarrow ABb \Rightarrow Ab \Rightarrow aaAb \Rightarrow aab$$

Give same  
derivation tree





Ambiguity

# Grammar for mathematical expressions

$$E \rightarrow E + E \mid E * E \mid (E) \mid a$$

Example strings:

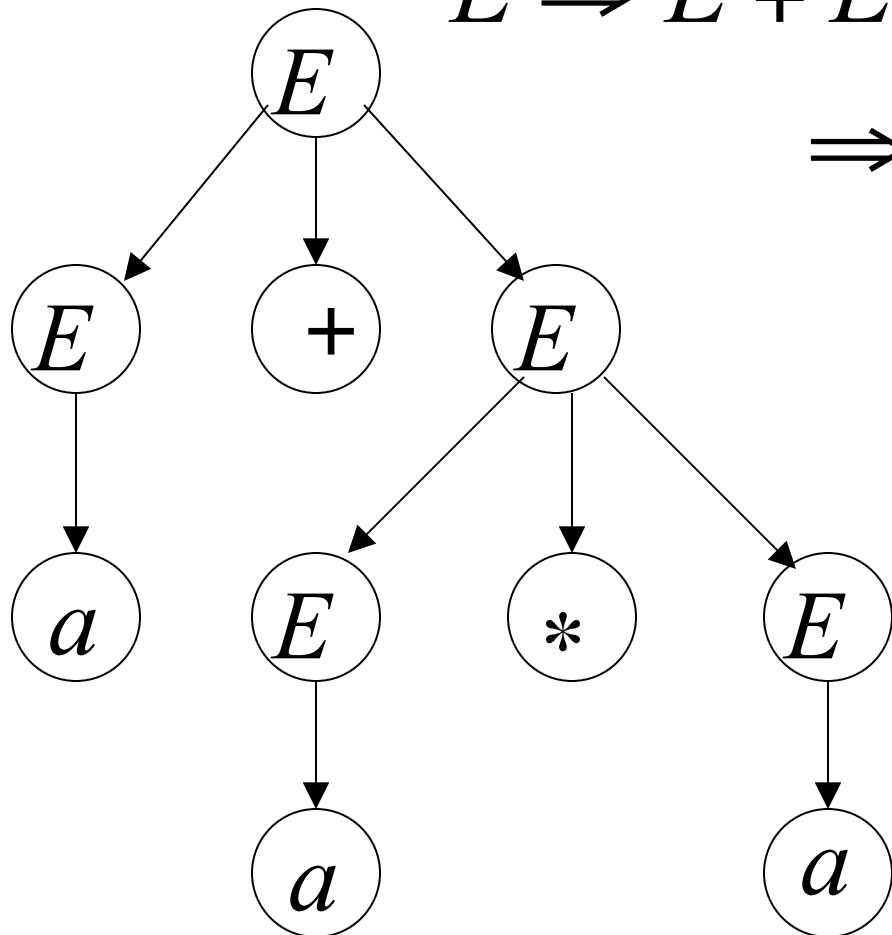
$$(a + a) * a + (a + a * (a + a))$$



Denotes any number

$$E \rightarrow E + E \mid E * E \mid (E) \mid a$$

$$\begin{aligned} E &\Rightarrow E + E \Rightarrow a + E \Rightarrow a + E * E \\ &\Rightarrow a + a * E \Rightarrow a + a * a \end{aligned}$$

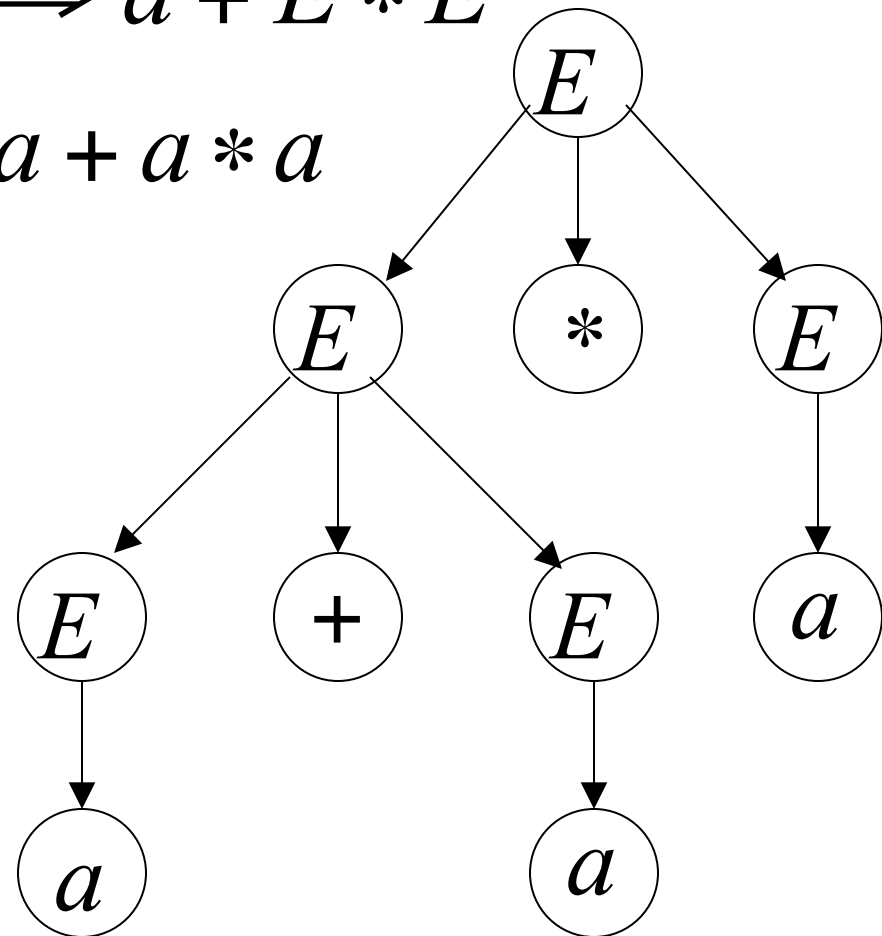


A leftmost derivation  
for  $a + a * a$

$$E \rightarrow E + E \mid E * E \mid (E) \mid a$$

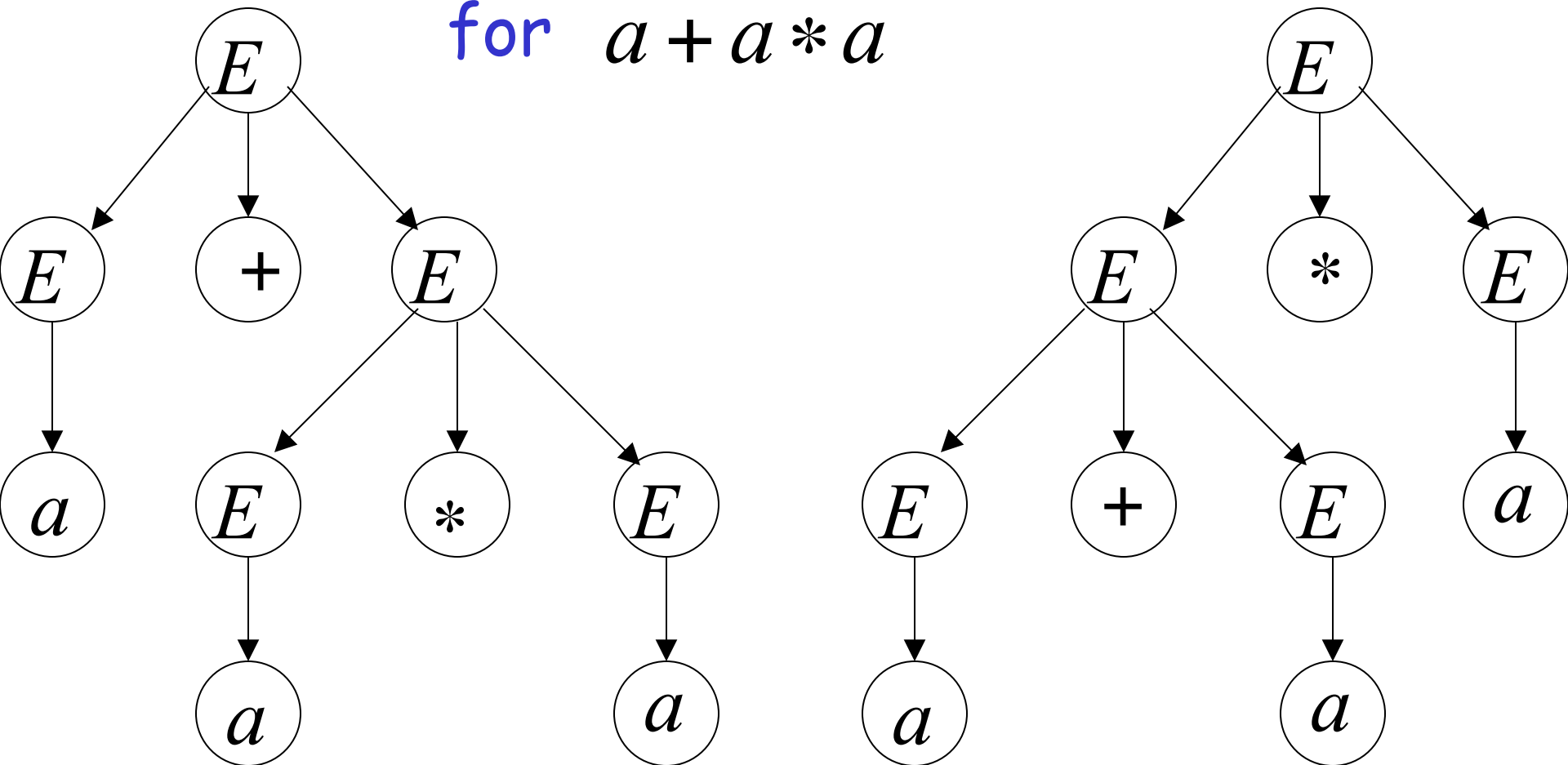
$$\begin{aligned} E &\Rightarrow E * E \Rightarrow E + E * E \Rightarrow a + E * E \\ &\Rightarrow a + a * E \Rightarrow a + a * a \end{aligned}$$

Another  
leftmost derivation  
for  $a + a * a$



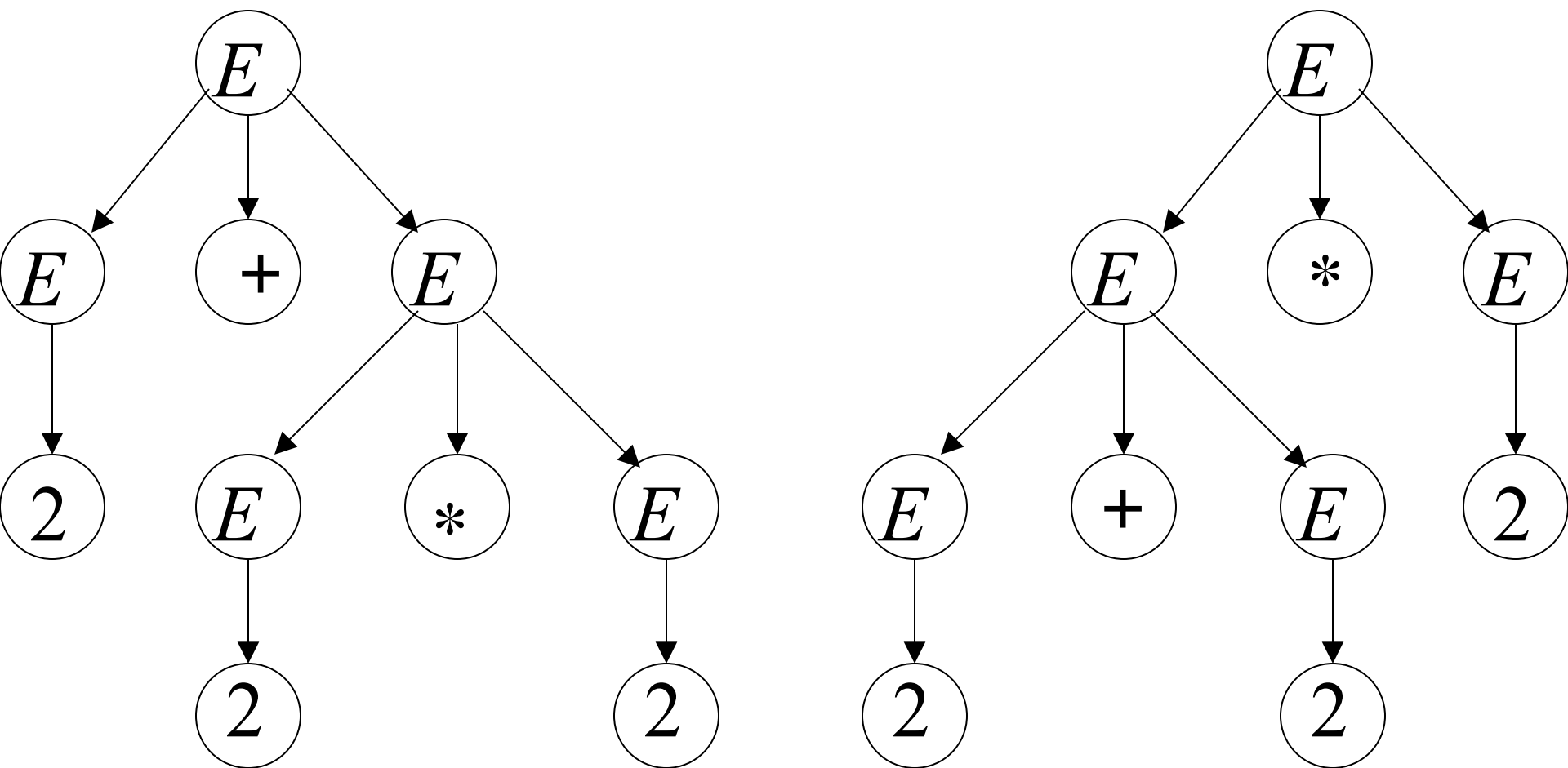
$$E \rightarrow E + E \mid E * E \mid (E) \mid a$$

Two derivation trees  
for  $a + a * a$



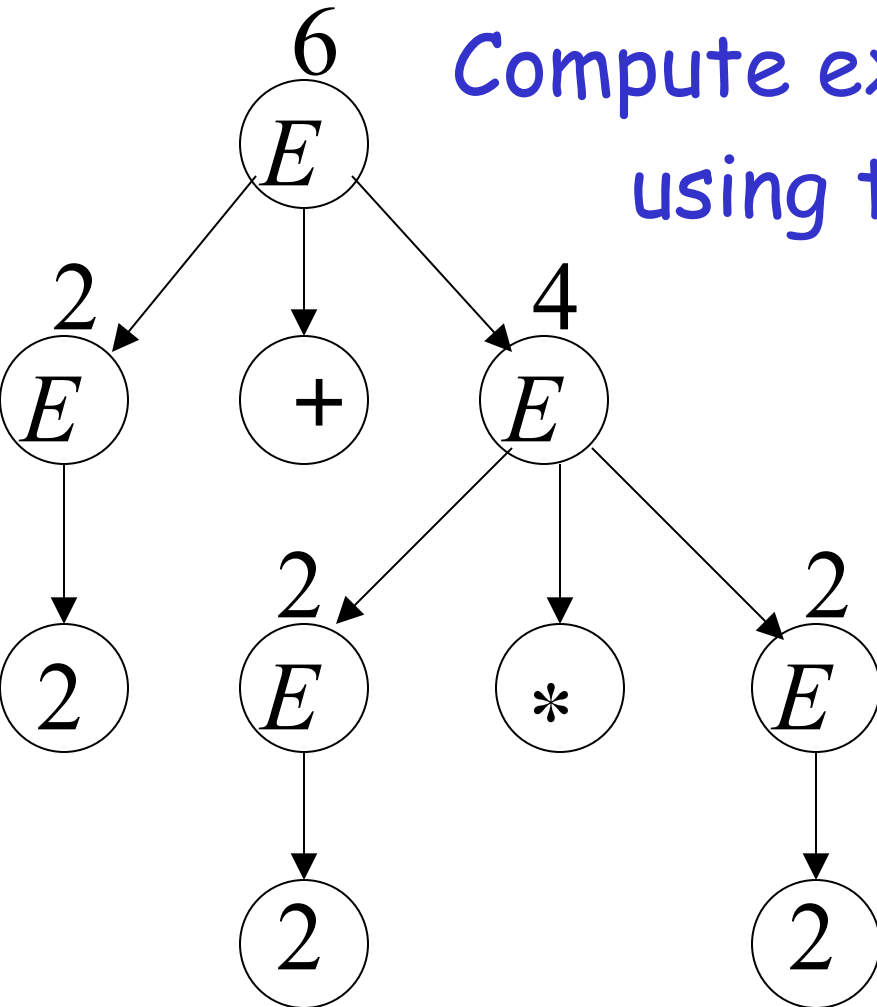
take  $a = 2$

$$a + a * a = 2 + 2 * 2$$



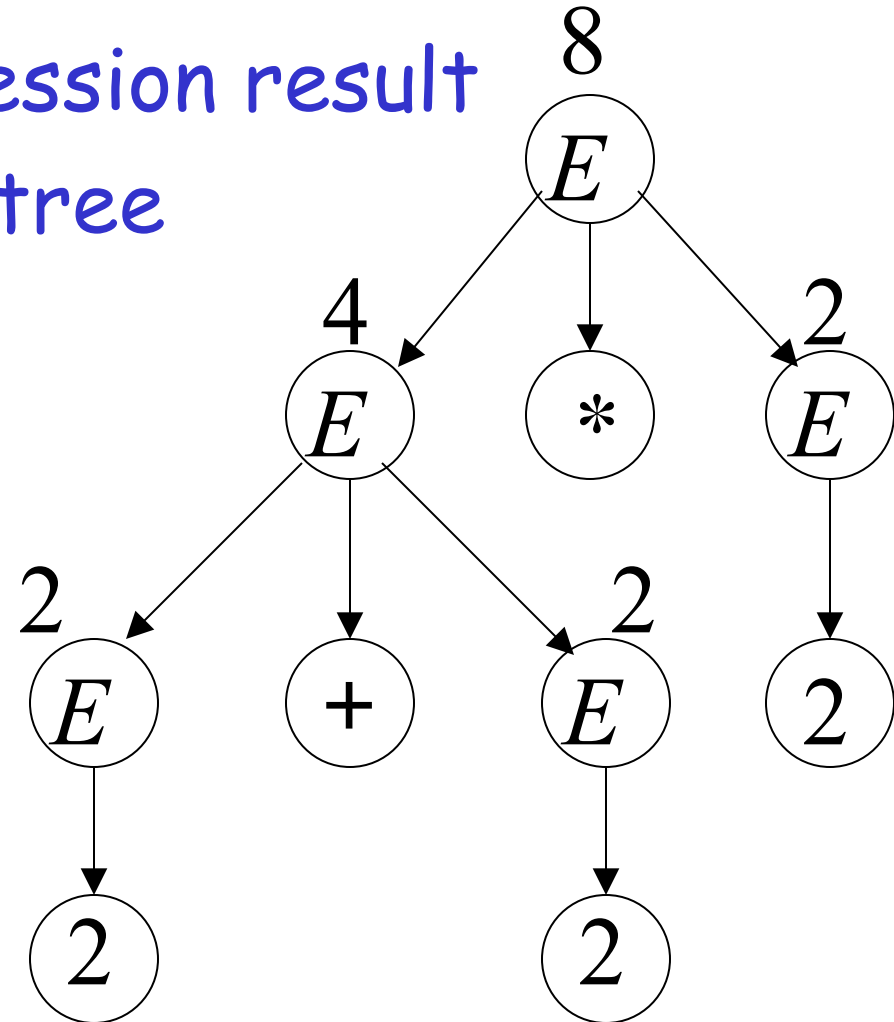
Good Tree

$$2 + 2 * 2 = 6$$



Bad Tree

$$2 + 2 * 2 = 8$$



Compute expression result  
using the tree

Two different derivation trees  
may cause problems in applications which  
use the derivation trees:

- Evaluating expressions
- In general, in compilers  
for programming languages



# Ambiguous Grammar:

A context-free grammar  $G$  is ambiguous if there is a string  $w \in L(G)$  which has:

two different derivation trees

or

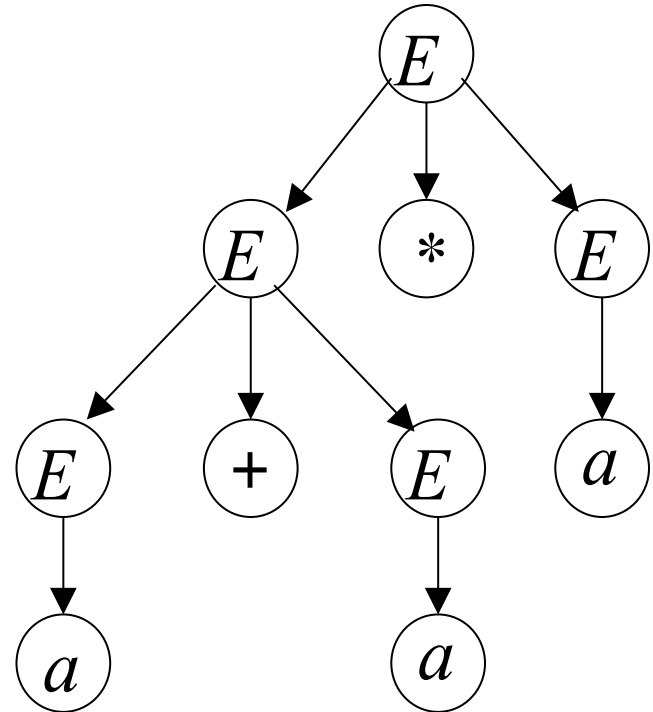
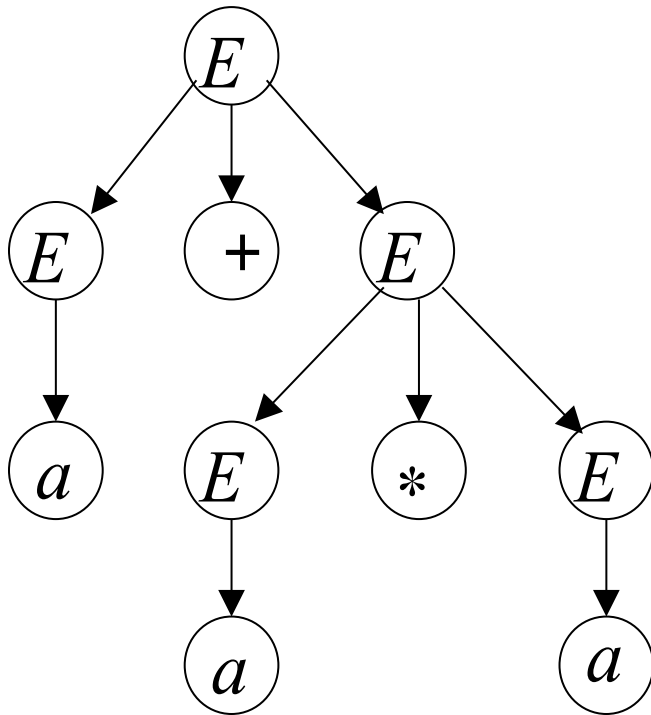
two leftmost derivations

(Two different derivation trees give two different leftmost derivations and vice-versa)

Example:

$$E \rightarrow E + E \mid E * E \mid (E) \mid a$$

this grammar is ambiguous since  
string  $a + a * a$  has two derivation trees



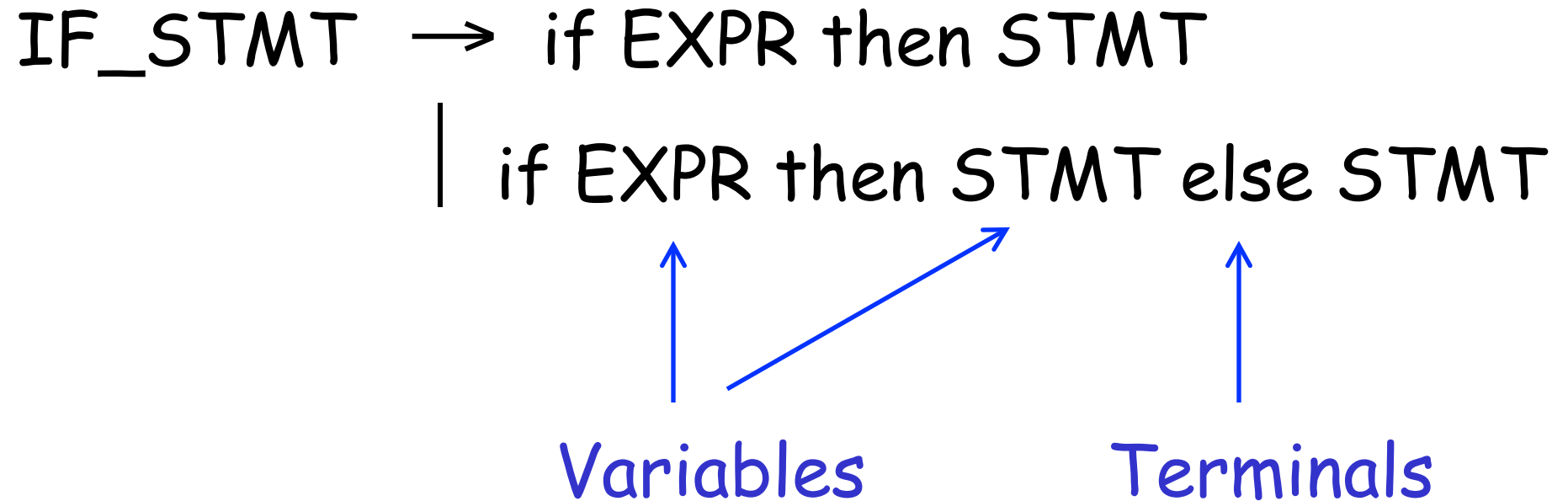
$$E \rightarrow E + E \mid E * E \mid (E) \mid a$$

this grammar is ambiguous also because  
string  $a + a * a$  has two leftmost derivations

$$\begin{aligned} E &\Rightarrow E + E \Rightarrow a + E \Rightarrow a + E * E \\ &\Rightarrow a + a * E \Rightarrow a + a * a \end{aligned}$$

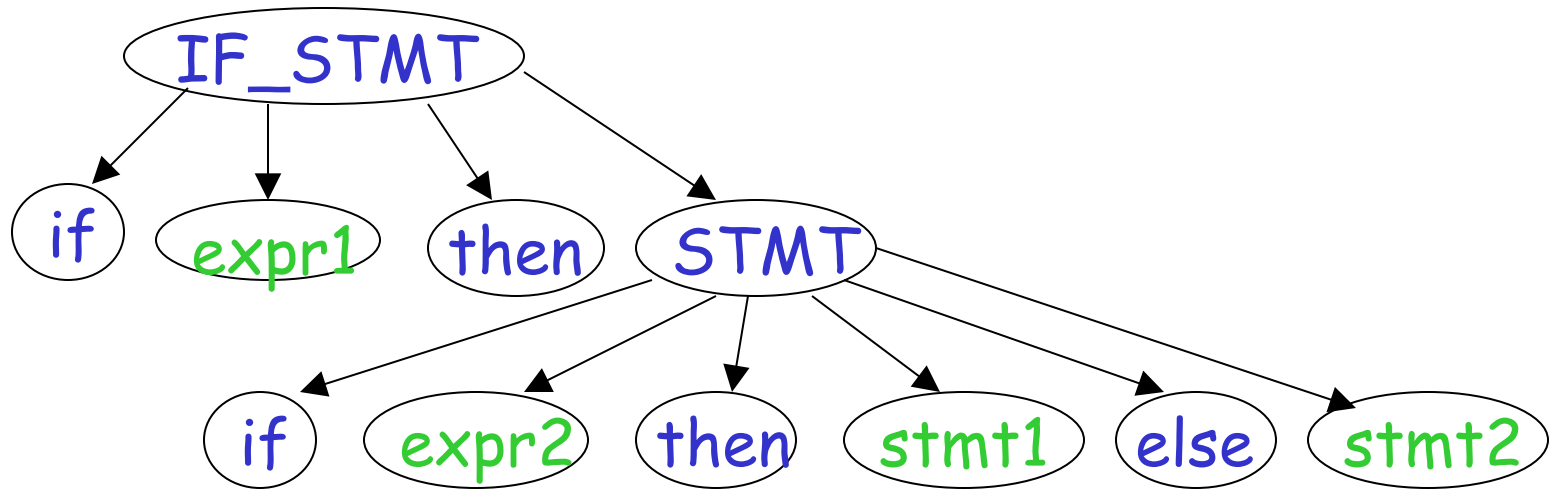
$$\begin{aligned} E &\Rightarrow E * E \Rightarrow E + E * E \Rightarrow a + E * E \\ &\Rightarrow a + a * E \Rightarrow a + a * a \end{aligned}$$

## Another ambiguous grammar:

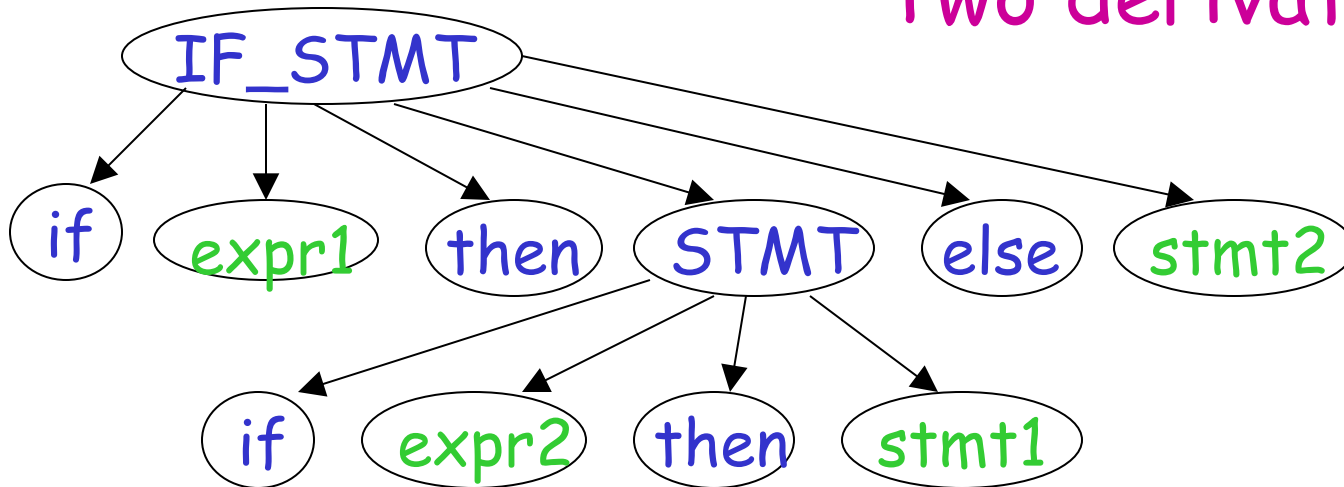


Very common piece of grammar  
in programming languages

# If *expr1* then if *expr2* then *stmt1* else *stmt2*



Two derivation trees



In general, ambiguity is bad  
and we want to remove it

Sometimes it is possible to find  
a non-ambiguous grammar for a language

But, in general it is difficult to achieve this

## A successful example:

### Ambiguous Grammar

$$E \rightarrow E + E$$

$$E \rightarrow E * E$$

$$E \rightarrow (E)$$

$$E \rightarrow a$$

### Equivalent

### Non-Ambiguous Grammar

$$E \rightarrow E + T \mid T$$

$$T \rightarrow T * F \mid F$$

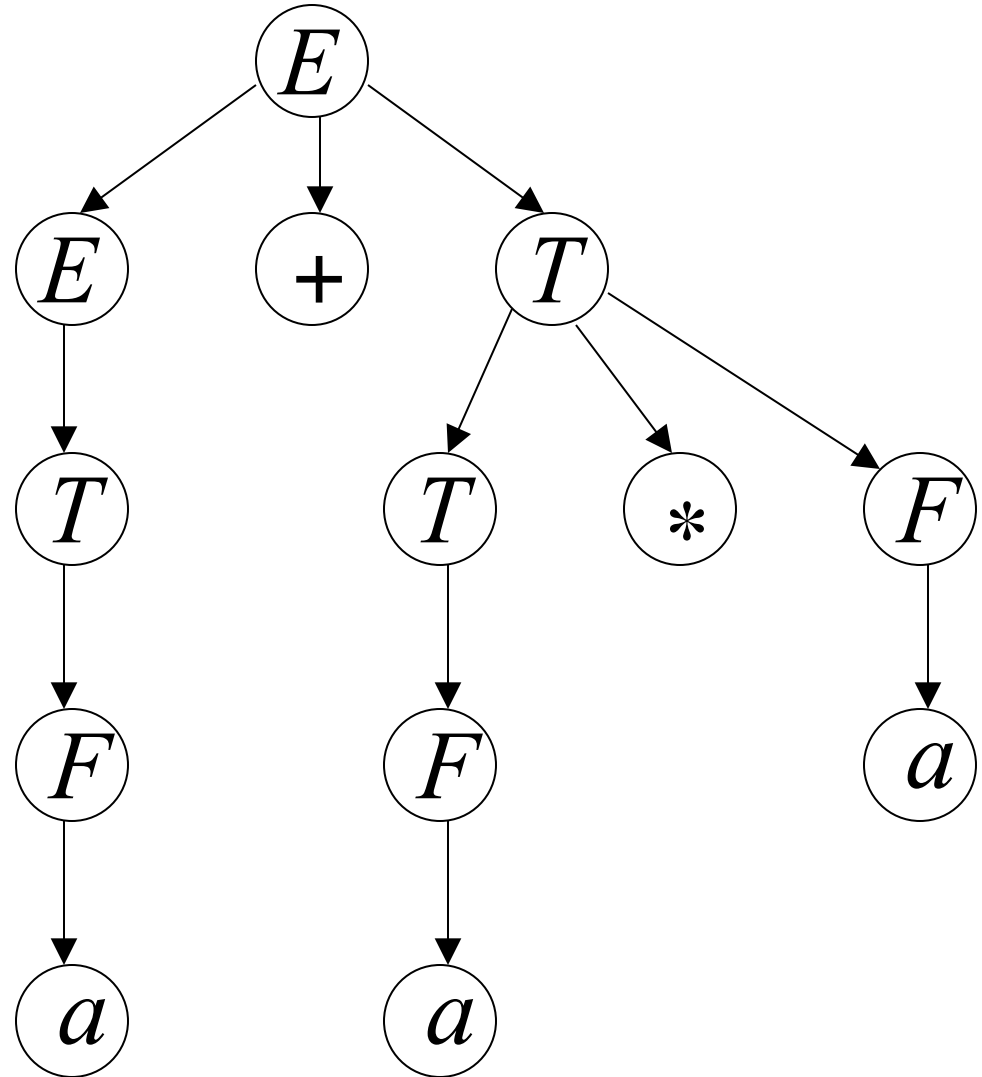
$$F \rightarrow (E) \mid a$$

generates the same language

$$\begin{aligned}
 E &\Rightarrow E + T \Rightarrow T + T \Rightarrow F + T \Rightarrow a + T \Rightarrow a + T * F \\
 &\Rightarrow a + F * F \Rightarrow a + a * F \Rightarrow a + a * a
 \end{aligned}$$

$$\begin{array}{l}
 E \rightarrow E + T \mid T \\
 T \rightarrow T * F \mid F \\
 F \rightarrow (E) \mid a
 \end{array}$$

Unique  
derivation tree  
for  $a + a * a$





An un-successful example:

$$L = \{a^n b^n c^m\} \cup \{a^n b^m c^m\}$$

$$n, m \geq 0$$

$L$  is inherently ambiguous:

every grammar that generates this language is ambiguous

Example (ambiguous) grammar for  $L$ :

$$L = \{a^n b^n c^m\} \cup \{a^n b^m c^m\}$$


$$S \rightarrow S_1 \mid S_2$$

$$S_1 \rightarrow S_1 c \mid A$$

$$A \rightarrow aAb \mid \lambda$$

$$S_2 \rightarrow aS_2 \mid B$$

$$B \rightarrow bBc \mid \lambda$$

The string  $a^n b^n c^n \in L$   
has always two different derivation trees  
(for any grammar)

For example

