

Evaluating Grad-CAM heatmaps of X-ray images

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Presentation

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1. Data

- COVID-19 Radiography Database ([link](#))
- Classes: Covid, Lung Opacity, Normal, Viral Pneumonia
- Down-sampling: 1345 images per class
- Training set (80% per class): $1076 * 4 = 4304$ images
Validation set (10% per class): $134 * 4 = 536$ images
Test set (10% per class): $135 * 4 = 540$ images

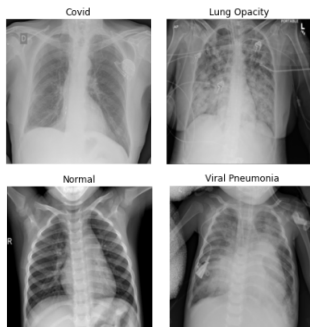


Figure: X-ray examples

2. Classifier Models

- Transfer Learning approach

Model: "sequential"

Layer (type)	Output Shape	Param #
vgg16 (Functional)	(None, 9, 9, 512)	14714688
max_pooling2d (MaxPooling2D)	(None, 2, 2, 512)	0
flatten (Flatten)	(None, 2048)	0
dense (Dense)	(None, 64)	131136
dense_1 (Dense)	(None, 4)	260
Total params: 14,846,004		
Trainable params: 131,396		
Non-trainable params: 14,714,688		

Model: "sequential_1"

Layer (type)	Output Shape	Param #
densenet201 (Functional)	(None, 9, 9, 1920)	18321984
max_pooling2d_1 (MaxPooling2D)	(None, 2, 2, 1920)	0
flatten_1 (Flatten)	(None, 7680)	0
dense_2 (Dense)	(None, 64)	491584
dense_3 (Dense)	(None, 4)	260
Total params: 18,813,828		
Trainable params: 491,844		
Non-trainable params: 18,321,984		

- Compiled with Categorical Crossentropy loss and Adam optimizer

3. The Grad-CAM algorithm

- Post-hoc
- Visual explanations
- Local explanations
- Denote by A_1, A_2, \dots, A_K the activation maps of the last convolutional layer of the CNN-based model.

Class dependent heatmaps are constructed as follows:

$$L_{Grad-CAM}^c = ReLU(\underbrace{\sum_k \underbrace{\left[\frac{1}{Z} \sum_i \sum_j \overbrace{\frac{\partial y^c}{\partial A_{ij}^k}}^{\text{step 1}} \right]}_{\text{step 2: } a_c^k}}_{\text{step 3}} A^k)$$

<https://arxiv.org/pdf/1610.02391.pdf>

4. Area Over Perturbation Curve

- How do we choose among two heatmaps ?
- Use MoRF technique to evaluate heatmaps.
Looks at the heatmaps as a decreasing sequence of importance regions $\{r_1, r_2, \dots, r_L\}$. For image x , compute images sequence by:

$$\begin{aligned}x_{MoRF}^{(0)} &= x \\ x_{MoRF}^{(k)} &= g(x_{MoRF}^{(k-1)}, r_k), \quad k = 1, 2, \dots, L\end{aligned}$$

and consider the MoRF perturbations graph as defined by the points

$$\{(k, f(x_{MoRF}^{(k)})), \quad k = 0, 1, \dots, L\}.$$

- Denote by $\langle \cdot \rangle$ the average over the entire test set

$$AOPC = \frac{1}{L+1} \langle \underbrace{\sum_{k=1}^L [f(x_{MoRF}^{(0)}) - f(x_{MoRF}^{(k)})]}_{\text{per image controls area over MoRF curve}} \rangle$$

5. Results: Train & Test

- The models were trained for 20 epochs and monitored with Model Checkpoint callback
- After training, get model instance that maximizes the diseases validation recall scores.
- Eventually, kept VGG16 based model of epoch 16 and DenseNet201 based model of epoch 15.

	precision	recall	f1-score	support
Covid	0.88	0.84	0.86	135
Lung Opacity	0.82	0.82	0.82	135
Normal	0.79	0.82	0.80	135
Viral Pneum	0.96	0.96	0.96	135
accuracy	-	-	0.86	540
macro avg	0.86	0.86	0.86	540
weighted avg	0.86	0.86	0.86	540

Figure 4: VGG16 based model - Classification report

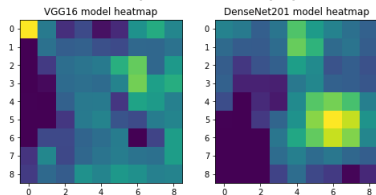
	precision	recall	f1-score	support
Covid	0.91	0.87	0.89	135
Lung Opacity	0.79	0.81	0.80	135
Normal	0.83	0.86	0.85	135
Viral Pneum	0.99	0.98	0.98	135
accuracy	-	-	0.88	540
macro avg	0.88	0.88	0.88	540
weighted avg	0.88	0.88	0.88	540

Figure 5: DenseNet201 based model - Classification report

- Note DenseNet201 has better recall and f1 scores! What about AOPC score ?

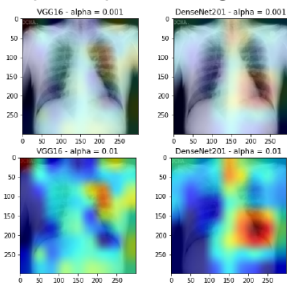
5. Results: Grad-CAM Heatmaps Visualization

- Consider Covid test image correctly classified by both models
- The heatmaps of the top predicted class are:



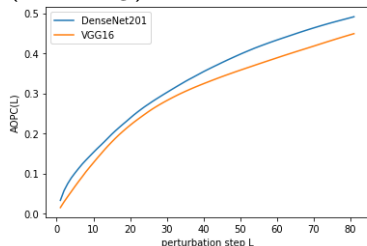
Note that they are divided in 81 regions (approx (34,34) pixel blocks)

- Super-imposed images on different α levels:



5. Results: AOPC graphs

- Per classifier compute AOPC values as function of perturbation step L , considering only correctly classified test images.
- Perturbations via random normal noise of mean 0 and standard deviation 0.1 (function g).



- Scores summary:

Base model	Test Recall	Test F1	AOPC
VGG16	86%	86%	0.45
DenseNet201	88%	88%	0.49

Thank You !