

## Section 4.6: Rank:

Note: Here we use our knowledge of vector spaces to look "inside" a matrix & explore the relationships btw rows & columns ::

### \*The Row Space\*

\* IF  $A$  is an  $m \times n$  matrix, each row of  $A$  has ' $n$ ' entries & is thus identified w/ a vector in  $\mathbb{R}^n$ .

\* The set of all Linear Combinations of the row-vectors is called, "The Row Space"

⇒ Denoted:  $\text{Row}(A)$

Note: Since each row has  $n$ -entries,  $\text{Row}(A)$  is a subspace of  $\mathbb{R}^n$  :

\* Since the rows of  $A$  = the columns of  $A^T$

⇒  $\text{Row}(A) = \text{Col}(A^T)$

### \*Theorem 13:

If two matrices ( $A$  &  $B$ ) are row-equivalent, then their row spaces are the same.

If  $B$  is in echelon form, the nonzero rows of  $B$  form a basis for the row space of  $A$ , as well as, for  $B$ .

## Example 1 (Row Space):

Let  $A = \begin{bmatrix} -2 & -5 & 8 & 0 & -17 \\ 1 & 3 & -5 & 1 & 5 \\ 3 & 11 & -19 & 7 & 1 \\ 1 & 7 & -13 & 5 & -3 \end{bmatrix}$

$\cdot \vec{r}_1 = (-2, -5, 8, 0, -17)$   
 $\& \cdot \vec{r}_2 = (1, 3, -5, 1, 5)$   
 $\cdot \vec{r}_3 = (3, 11, -19, 7, 1)$   
 $\cdot \vec{r}_4 = (1, 7, -13, 5, -3)$

Use the Definition of "Row Space" to explore the matrix.

### Observations:

Note:  $A$  is an  $m \times n = 4 \times 5$  matrix  $\left\{ \begin{array}{l} *m=4 \text{ rows} \\ *n=5 \text{ columns} \end{array} \right.$

#### • Since $A$ is a $4 \times 5$ matrix:

- i) Each row of  $A$  has  $n=5$  entries
- ii) Each row can be identified w/ a vector in  $\mathbb{R}^5$
- iii) Since each row has 5 entries,  $\text{Row}(A)$  is a subspace of  $\mathbb{R}^5$

#### • Since the $\text{Row}(A)$ is the set of all Linear Combinations of the row-vectors:

$$\therefore \text{Row}(A) = \text{Span} \{ \vec{r}_1, \vec{r}_2, \vec{r}_3, \vec{r}_4 \}$$

Note: IF we knew the dependence-relation amongst the rows, we could use the spanning set thm to shrink the spanning set to a basis... BUT row-operations on  $A$  change row-dep. relations, so row-reducing  $A$  does not help here. Although other benefits 3 as we shall see.

Example<sup>2</sup> [Row(A), Col(A), & Nul(A)]:

Find bases for the row-space, the column-space, and the null-space of the following matrix:

$$A = \begin{bmatrix} -2 & -5 & 8 & 0 & -17 \\ 1 & 3 & -5 & 1 & 5 \\ 3 & 11 & -19 & 7 & 1 \\ 1 & 7 & -13 & 5 & -3 \end{bmatrix}$$

Answer:

\* Recall (Thm 13): IF 2 Matrices ( $A \& B$ ) are row-equivalent, their row space is the same. IF  $B$  is in Echelon-Form, the non-zero rows of  $B$  form a basis for the row-space of  $A \& B$ .

\* Recall (Thm 6 ; Sect 4.3): The pivot columns of a matrix  $A$  form a basis for  $\text{Col}(A)$ .

\* Recall (4.2): Solving  $A\vec{x} = \vec{0}$  to produce an explicit description of the  $\text{Nul}(A) = \text{Spanning Set of the Nul}(A)$ ; which is the basis for  $\text{Nul}(A)$

• To find bases for  $\text{Row}(A)$  &  $\text{Col}(A)$ , row-reduce  $[A : \vec{0}]$  to echelon form:

$$\text{R}_1 \leftrightarrow \text{R}_2 \quad \left[ \begin{array}{ccccc|c} 1 & 3 & -5 & 1 & 5 & 0 \\ -2 & -5 & 8 & 0 & -17 & 0 \\ 3 & 11 & -19 & 7 & 1 & 0 \\ 1 & 7 & -13 & 5 & -3 & 0 \end{array} \right] \xrightarrow{\frac{2R_1 + R_2}{N.R_2}} \left[ \begin{array}{ccccc|c} 1 & 3 & -5 & 1 & 5 & 0 \\ 0 & 1 & -2 & 2 & -7 & 0 \\ 3 & 11 & -19 & 7 & 1 & 0 \\ 1 & 7 & -13 & 5 & -3 & 0 \end{array} \right] \xrightarrow{\frac{-3R_1 + R_3}{N.R_3}}$$

Example<sup>2</sup> [Row(A), Col(A), Null(A)] Continued...

$$\left[ \begin{array}{ccccc} 1 & 3 & -5 & 1 & 5 \\ 0 & 1 & -2 & 2 & -7 \\ 0 & 2 & -4 & 4 & -14 \\ 1 & 7 & -13 & 5 & -3 \end{array} \right] \sim \left[ \begin{array}{ccccc} 1 & 3 & -5 & 1 & 5 \\ 0 & 1 & -2 & 2 & -7 \\ 0 & 1 & -2 & 2 & -7 \\ 0 & 4 & -8 & 4 & -8 \end{array} \right] \sim \left[ \begin{array}{ccccc} 1 & 3 & -5 & 1 & 5 \\ 0 & 1 & -2 & 2 & -7 \\ 0 & 1 & -2 & 2 & -7 \\ 0 & 1 & -2 & 2 & -7 \end{array} \right]$$

$\frac{-R_1}{N \cdot R_4}$      $\frac{+R_2}{N \cdot R_4}$      $\frac{1}{2}R_3$      $\frac{-R_2}{N \cdot R_3}$      $\frac{+R_3}{N \cdot R_3}$      $\frac{1}{4}R_4$

$$\left[ \begin{array}{ccccc} 1 & 3 & -5 & 1 & 5 \\ 0 & 1 & -2 & 2 & -7 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & -2 & 1 & -2 \end{array} \right] \sim \left[ \begin{array}{ccccc} 1 & 3 & -5 & 1 & 5 \\ 0 & 1 & -2 & 2 & -7 \\ 0 & 0 & 0 & 0 & -1 \\ 0 & 0 & 0 & 0 & 0 \end{array} \right]$$

$\frac{-R_2}{N \cdot R_4}$      $\frac{+R_4}{N \cdot R_4}$     Then  $R_3 \leftrightarrow R_4$

\* Echelon Form \*

\* By Theorem 13 : The nonzero rows form a basis for Row(A):  
 (use the rows of the Echelon Form Matrix.)

∴ Basis for Row(A) =  $\{(1, 3, -5, 1, 5), (0, 1, -2, 2, -7), (0, 0, 0, -1, 5)\}$

Ans.

\* By Theorem 6: The pivot columns of A form a basis for Col(A):

∴ Basis for Col(A) =  $\{\vec{\alpha}_1, \vec{\alpha}_2, \vec{\alpha}_4\} = \left\{ \begin{bmatrix} -2 \\ 1 \\ 3 \\ 1 \end{bmatrix}, \begin{bmatrix} -5 \\ 3 \\ 11 \\ 7 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ 7 \\ 5 \end{bmatrix} \right\}$

Ans.

Note: While the Echelon Form of A provides bases for Row(A) & Col(A), we need rref to find the Null(A) :

Example<sup>2</sup> [Row(A), Col(A), Nul(A)] Continued...

To find a basis for  $\text{Nul}(A)$ , continue row-reducing  $[A : \vec{0}]$  to rref to find an 'explicit description':

$$\left[ \begin{array}{ccccc|c} 1 & 3 & -5 & 1 & 5 \\ 0 & 1 & -2 & 2 & -7 \\ 0 & 0 & 0 & -1 & 5 \\ 0 & 0 & 0 & 0 & 0 \end{array} \right] \xrightarrow{\substack{-3R_2 \\ +R_1 \\ N.R_1}} \left[ \begin{array}{ccccc|c} 1 & 0 & 1 & -5 & 26 \\ 0 & 1 & -2 & 2 & -7 \\ 0 & 0 & 0 & 1 & -5 \\ 0 & 0 & 0 & 0 & 0 \end{array} \right] \xrightarrow{-R_3} \left[ \begin{array}{ccccc|c} 1 & 0 & 1 & -5 & 26 \\ 0 & 1 & -2 & 2 & -7 \\ 0 & 0 & 0 & 1 & -5 \\ 0 & 0 & 0 & 0 & 0 \end{array} \right] \xrightarrow{\substack{5R_3 \\ +R_1 \\ N.R_1}} \sim \left[ \begin{array}{ccccc|c} 1 & 0 & 1 & -5 & 26 \\ 0 & 1 & -2 & 2 & -7 \\ 0 & 0 & 0 & 1 & -5 \\ 0 & 0 & 0 & 0 & 0 \end{array} \right] \xrightarrow{\substack{-2R_3 \\ +R_2 \\ N.R_2}}$$

$$\left[ \begin{array}{ccccc|c} 1 & 0 & 1 & 0 & 1 \\ 0 & 1 & -2 & 0 & 3 \\ 0 & 0 & 0 & 1 & -5 \\ 0 & 0 & 0 & 0 & 0 \end{array} \right] \Rightarrow \left\{ \begin{array}{l} x_1 = -x_3 - x_5 \\ x_2 = 2x_3 - 3x_5 \\ x_3 \text{ is free} \\ x_4 = 5x_5 \\ x_5 \text{ is free} \end{array} \right.$$

\*RREF :: \*

So,

$$\vec{x} = \begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \\ x_5 \end{bmatrix} = \begin{bmatrix} -x_3 - x_5 \\ 2x_3 - 3x_5 \\ x_3 \\ 5x_5 \\ x_5 \end{bmatrix} = x_3 \begin{bmatrix} -1 \\ 2 \\ 1 \\ 0 \\ 0 \end{bmatrix} + x_5 \begin{bmatrix} -1 \\ -3 \\ 0 \\ 5 \\ 1 \end{bmatrix}$$

ST  
 $x_3, x_5 \in \mathbb{R}$

\*Since the Spanning Set of  $A\vec{x} = \vec{0}$  Forms a basis for  $\text{Nul}(A)$ :

Basis for  $\text{Nul}(A) = \left\{ \begin{bmatrix} -1 \\ 2 \\ 1 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} -1 \\ -3 \\ 0 \\ 5 \\ 1 \end{bmatrix} \right\}$

JNS~

## \*Additional Observations About Row Space\*

- Unlike  $\text{Col}(A)$ , the  $\text{Row}(A)$  &  $\text{Nul}(A)$  have NO simple connection w/ the entries of matrix  $A$  itself.

\*Note: We can still find a basis for  $\text{Row}(A)$  uses the rows of  $A$  ... but, it takes extra work ::

1) Find  $A^T$

2) Row-reduce  $[A^T ; \vec{b}]$  to echelon form to identify the pivot columns.

3) Since the 'Pivot Columns of  $A^T$ ' = 'Rows of  $A$ ', the pivot columns of  $A^T$  form a basis for  $\text{Row}(A)$ .

As you can see, it is still advantageous to use the method previously defined in sections 4.2/4.3 ::

- **WARNING:** It is important to keep in mind that row operations may change the Linear Dependence Relations amongst the rows of a Matrix!!

⇒ IOW: Linear Independence amongst the rows of a matrix in echelon-form does NOT imply that these rows are Linearly Independent for the original matrix!!

## \*The Rank Theorem\*

Note: The following provides us w/ fundamental relations among the dimensions of  $\text{Col}(A)$ ,  $\text{Row}(A)$ , &  $\text{Nul}(A)$ .

### \*Definition:

The rank of matrix  $A$  is the dimension of the Column Space of  $A$ .  $\star \text{rank}(A) = \dim[\text{Col}(A)]$

• Since  $\text{Row}(A) = \text{Col}(A^T) \rightarrow \dim[\text{Row}(A)] = \text{rank}(A^T)$

Note: The dimension of the  $\text{Nul}(A)$  is sometimes called the "nullity of  $A$ ", but we will avoid this terminology in this course.

### \*Theorem<sup>14</sup> (The Rank Theorem):

The dimensions of the column space & the row space of an  $m \times n$  matrix  $A$  are equal.

This common dimension, the rank of  $A$ , also equals the number of pivot positions in  $A$  and satisfies the equation:

$$\text{rank}(A) + \dim[\text{Nul}(A)] = n$$

Example: Assume that the matrix A is row-equivalent to B. Without calculations, list  $\text{rank}(A)$  &  $\dim[\text{Nul}(A)]$ . Then find bases for  $\text{Col}(A)$ ,  $\text{Row}(A)$ , &  $\text{Nul}(A)$ :

$$A = \begin{bmatrix} 1 & 3 & 4 & -7 & -3 \\ 2 & 6 & 10 & -16 & -7 \\ -2 & -6 & -17 & 23 & 15 \\ -2 & -6 & 0 & 6 & 0 \end{bmatrix}$$

$$\sim B = \begin{bmatrix} 1 & 3 & 4 & -7 & 3 \\ 0 & 0 & 1 & -1 & -1 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

Answer:

\*Recall: For some  $m \times n$  matrix A

$$\text{i) } \text{Rank}(A) = \dim[\text{Col}(A)] = \dim[\text{Row}(A)]$$

$$\text{ii) } \text{Rank}(A) + \dim[\text{Nul}(A)] = n$$

\*Given: A & B are  $m \times n = 4 \times 5$  matrices  $\Rightarrow \begin{cases} *m = 4 \text{ rows} \\ *n = 5 \text{ columns} \end{cases}$

• Matrix B is in Echelon Form & has 3 pivot columns

$$\rightarrow \{\vec{b}_1, \vec{b}_3, \vec{b}_5\}$$

$\Rightarrow$  So Matrix A has 3 pivot columns:  $\{\vec{a}_1, \vec{a}_3, \vec{a}_5\}$

\*The pivot col. form a Basis for  $\text{Col}(A)$

$$\boxed{\therefore \dim[\text{Col}(A)] = \text{rank}(A) = 3}$$

Ans.

$\Rightarrow$  By the Rank Thm:  $\text{rank}(A) + \dim[\text{Nul}(A)] = n$

$$\Rightarrow 3 + \dim[\text{Nul}(A)] = 5$$

$$\boxed{\therefore \dim[\text{Nul}(A)] = 2}$$

Ans.

## Example Continued...

\* Find Bases for  $\text{Row}(A)$ ,  $\text{Gl}(A)$ , &  $\text{Nul}(A)$ :

Note: Here we use  $B$  (Echelon Form of  $A$ ) ::

:: By Thm 13, the nonzero rows form a basis for  $\text{Row}(A)$ :

$$\text{Basis for } \text{Row}(A) = \left\{ (1, 3, 4, -7, 3), (0, 0, 1, -1, -1), (0, 0, 0, 0, 1) \right\}$$

Ans.

:: By Thm 6, the pivot columns of  $A$  form a Basis for  $\text{Gl}(A)$ :

$$\text{Basis for } \text{Gl}(A) = \left\{ \begin{bmatrix} 1 \\ 2 \\ -2 \\ -2 \end{bmatrix}, \begin{bmatrix} 4 \\ 10 \\ -17 \\ 0 \end{bmatrix}, \begin{bmatrix} -3 \\ -7 \\ 15 \\ 0 \end{bmatrix} \right\}$$

Ans.

Note: To find a Basis for  $\text{Nul}(A)$ , we must continue row-reducing  $[B : \vec{0}]$  to rref.

$$\left[ \begin{array}{ccccc} 1 & 3 & 4 & -7 & 3 \\ 0 & 0 & 1 & -1 & -1 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 \end{array} \right] \xrightarrow{\substack{-4R_2 \\ +R_1 \\ N.R_1}} \left[ \begin{array}{ccccc} 1 & 3 & 0 & -3 & 7 \\ 0 & 0 & 1 & -1 & -1 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 \end{array} \right] \xrightarrow{\substack{-R_3 \\ +R_1 \\ N.R_1}} \left[ \begin{array}{ccccc} 1 & 3 & 0 & -3 & 0 \\ 0 & 0 & 1 & -1 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 \end{array} \right]$$

$$\Rightarrow \begin{cases} \cdot x_1 = -3x_2 + 3x_4 \\ \cdot x_2 \text{ is free} \\ \cdot x_3 = x_4 \\ \cdot x_4 \text{ is free} \\ \cdot x_5 = 0 \end{cases}$$

$$\Leftrightarrow \vec{x} = \begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \\ x_5 \end{bmatrix} = x_2 \begin{bmatrix} -3 \\ 1 \\ 0 \\ 0 \\ 0 \end{bmatrix} + x_4 \begin{bmatrix} 3 \\ 0 \\ 1 \\ 1 \\ 0 \end{bmatrix}$$

$$\text{ST } x_2, x_4 \in \mathbb{R}$$

∴ Basis for  $\text{Nul}(A)$ :

$$\left\{ \begin{bmatrix} -3 \\ 1 \\ 0 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 3 \\ 0 \\ 1 \\ 1 \\ 0 \end{bmatrix} \right\}$$

Ans.

Example: Assume Matrix A is row-equivalent to B. Without calculations, list the  $\text{rank}(A)$  &  $\dim[\text{Nul}(A)]$ . Then, find bases for  $\text{Row}(A)$ ,  $\text{Col}(A)$ , &  $\text{Nul}(A)$ .

$$A = \begin{bmatrix} 1 & 1 & -2 & 0 & 2 & -3 \\ 1 & 2 & -3 & 0 & 0 & -6 \\ 1 & -2 & 1 & 0 & 14 & -6 \\ 1 & 3 & -3 & 1 & -7 & -6 \\ 1 & 3 & -4 & 0 & 0 & -8 \end{bmatrix} \sim B = \begin{bmatrix} 1 & 1 & -2 & 0 & 2 & -3 \\ 0 & 1 & -1 & 0 & -2 & -3 \\ 0 & 0 & 1 & 1 & -5 & 3 \\ 0 & 0 & 0 & 0 & 1 & -2 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{bmatrix}$$

Answer:

\*List the  $\text{rank}(A)$  &  $\dim[\text{Nul}(A)]$ , w/o calculations:

- A & B are  $m \times n = 5 \times 6$  matrices
- B is in Echelon Form (of A) w/ pivot columns:  $\{\vec{b}_1, \vec{b}_2, \vec{b}_3, \vec{b}_4, \vec{b}_5\}$
- \*Note: A has pivot columns in the same positions ::
- Since the pivot columns of A form a Basis for  $\text{Col}(A)$   
 $\Rightarrow \dim[\text{Col}(A)] = 5$  (b/c  $\exists$  5-pivot columns)

$$\therefore \boxed{\text{Rank}(A) = \dim[\text{Col}(A)] = 5}$$

Ans.

$$\cdot \underline{\text{By the Rank Thm}}: \quad \text{rank}(A) + \dim[\text{Nul}(A)] = n$$

$$5 + \dim[\text{Nul}(A)] = 6$$

$$\therefore \boxed{\dim[\text{Nul}(A)] = 6-5 = 1}$$

Ans.

\*Find Bases for  $\text{Row}(A)$ ,  $\text{Col}(A)$ , &  $\text{Nul}(A)$ :

• By Thm 13: A Basis for  $\text{Row}(A)$  = Nonzero rows of Echelon Form (B)

$$\therefore \boxed{\{(1, 1, -2, 0, 2, -3), (0, 1, -1, 0, -2, -3), (0, 0, 1, 1, -5, 3), (0, 0, 0, 0, 1, -2)\}}$$

Ans.

## Example Continued...

- By Thm 6: The pivot columns of A form a Basis for  $\text{Col}(A)$

$$\left\{ \begin{bmatrix} 1 \\ 2 \\ -2 \\ 3 \\ 3 \end{bmatrix}, \begin{bmatrix} 1 \\ 2 \\ -1 \\ -3 \\ -4 \end{bmatrix}, \begin{bmatrix} -2 \\ -3 \\ 1 \\ -3 \\ -7 \end{bmatrix}, \begin{bmatrix} 2 \\ 0 \\ 14 \\ -7 \\ 0 \end{bmatrix}, \begin{bmatrix} -3 \\ -6 \\ -6 \\ -6 \\ -8 \end{bmatrix} \right\}$$

- To find a Basis for  $\text{Nul}(A)$ , row-reduce  $[B; \vec{0}]$  to rref:

$$\left[ \begin{array}{cccccc} 1 & 1 & -2 & 0 & 2 & -3 \\ 0 & 1 & -1 & 0 & -2 & -3 \\ 0 & 0 & 1 & 1 & -5 & 3 \\ 0 & 0 & 0 & 0 & 1 & -2 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{array} \right] \xrightarrow{\substack{-R_2 \\ +R_1 \\ N.R_1}} \left[ \begin{array}{cccccc} 1 & 0 & -1 & 0 & 4 & 0 \\ 0 & 1 & -1 & 0 & -2 & -3 \\ 0 & 0 & 1 & 1 & -5 & 3 \\ 0 & 0 & 0 & 0 & 1 & -2 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{array} \right] \sim \left[ \begin{array}{cccccc} 1 & 0 & -1 & 0 & 4 & 0 \\ 0 & 1 & -1 & 0 & -2 & -3 \\ 0 & 0 & 1 & 1 & -5 & 3 \\ 0 & 0 & 0 & 0 & 1 & -2 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{array} \right] \xrightarrow{\substack{R_3 \\ +R_1 \\ N.R_1}}$$

$$\left[ \begin{array}{ccccc} 1 & 0 & 0 & 1 & -1 \\ 0 & 1 & 0 & 1 & -7 \\ 0 & 0 & 1 & 1 & -5 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 \end{array} \right] \xrightarrow{\substack{+R_4 \\ R_1 \\ N.R_1}} \left[ \begin{array}{ccccc} 1 & 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 & -14 \\ 0 & 0 & 1 & 1 & -7 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 \end{array} \right] \xrightarrow{\substack{+5R_4 \\ R_3 \\ N.R_3}} \left[ \begin{array}{ccccc} 1 & 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 & -14 \\ 0 & 0 & 1 & 1 & -7 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 \end{array} \right]$$

$$N \left[ \begin{array}{ccccc} 1 & 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 \end{array} \right] \Rightarrow \left\{ \begin{array}{l} x_1 = -x_4 \\ x_2 = -x_4 \\ x_3 = -x_4 \\ x_4 \text{ is free} \\ x_5 = 0 \\ x_6 = 0 \end{array} \right. \Leftrightarrow \vec{x} = x_4 \begin{bmatrix} -1 \\ -1 \\ -1 \\ 1 \\ 0 \\ 0 \end{bmatrix} \text{ s.t. } x_4 \in \mathbb{R}$$

∴ Basis for  $\text{Nul}(A)$ :

$$\left\{ \begin{bmatrix} -1 \\ -1 \\ -1 \\ 1 \\ 0 \\ 0 \end{bmatrix} \right\}$$

### Example 3 (Rank Thm):

(a) If  $A$  is a  $7 \times 9$  matrix, with a 2-dim. null space, what is the rank of  $A$ ?

(b) Could a  $6 \times 9$  matrix have a 2-dim. null space? Explain.

Answer:

\*Recall (The Rank Theorem + Def.): For some  $m \times n$  matrix  $A$ ,

- $\text{Rank}(A) = \dim[\text{Col}(A)] = \dim[\text{Row}(A)]$
- $\text{Rank}(A) + \dim[\text{Nul}(A)] = n$

\*Part(a): \$ that  $A$  is a  $7 \times 9$  matrix ST  $\dim[\text{Nul}(A)] = 2$ :

- Since  $A$  is  $m \times n = 7 \times 9 \Rightarrow A$  has  $n=9$  columns.
- By the Rank Thm:  $\text{Rank}(A) + 2 = 9$

$$\Rightarrow \text{Rank}(A) = 9 - 2 = 7$$

$$\therefore \text{Rank}(A) = 7$$

JMS

\*Part(b): \$ that  $A$  is a  $6 \times 9$  matrix ST  $\dim[\text{Nul}(A)] = 2$ :

- By the Rank Thm:  $\text{Rank}(A) + \dim[\text{Nul}(A)] = n$   
 $\rightarrow \text{Rank}(A) + 2 = 6$   
 $\Rightarrow \text{Rank}(A) = 4$
- By Def:  $\boxed{\text{Rank}(A) = \dim[\text{Col}(A)] = 7}$  ... So the quick answer  
 is NO... But Why?
- Since each column has  $m=6$  entries:  $A$  can have at most  $n=6$  pivot positions
- Since the pivot columns of  $A$  form a Basis for  $\text{Col}(A)$  (By Thm):  
 $\Rightarrow \dim[\text{Col}(A)] = 7 > 6 \xrightarrow{xns} \therefore \text{Since } \dim[\text{Col}(A)] \text{ CANNOT exceed } 6, \text{ a } 6 \times 9 \text{ matrix CANNOT have } \dim[\text{Nul}(A)] = 2.$

Example: If an  $8 \times 6$  matrix  $A$  has rank 4, find the  $\dim[\text{Nul}(A)]$ ,  $\dim[\text{Row}(A)]$ , &  $\text{rank}(A^T)$ .

Answer:

\* Given:

- Matrix  $A$  is  $m \times n = 8 \times 6$
- $\text{rank}(A) = 4$

\* Find  $\dim[\text{Nul}(A)]$ :

Recall (The Rank Thm):  $\text{rank}(A) + \dim[\text{Nul}(A)] = n$

$$\Rightarrow 4 + \dim[\text{Nul}(A)] = 6$$

$$\therefore \dim[\text{Nul}(A)] = 6 - 4 = 2 \quad \boxed{\text{Ans.}}$$

\* Find  $\dim[\text{Row}(A)]$ :

Recall (The Rank Thm):  $\dim[\text{col}(A)] = \dim[\text{Row}(A)]$

$$\Rightarrow \text{Since } \text{rank}(A) = \dim[\text{col}(A)] = 4$$

$$\therefore \dim[\text{Row}(A)] = 4 \quad \boxed{\text{Ans.}}$$

\* Find  $\text{rank}(A^T)$ :

Recall (Def. of Rank):  $\text{Row}(A) = \text{Col}(A^T) \Rightarrow \dim[\text{Row}(A)] = \text{rank}(A^T)$

$$\therefore \text{rank}(A^T) = 4 \quad \boxed{\text{Ans.}}$$

Example: \$ a 6 \times 8\$ matrix has 5 pivot columns.

a) Is  $\text{Col}(A) = \mathbb{R}^5$ ? Explain.

b) Is  $\text{Nul}(A) = \mathbb{R}^3$ ? Explain.

Answer:

\*Given: A is an  $m \times n = 6 \times 8$  matrix  $\begin{matrix} m=6 \text{ rows} \\ n=8 \text{ columns} \end{matrix}$

\*Part (a): Is  $\text{Col}(A) = \mathbb{R}^5$ ? No.

Note: The columns of matrix A each have  $m=6$  entries  $\Rightarrow \text{Col}(A)$  is a subspace of  $\mathbb{R}^6$ .

∴  $\text{Col}(A)$  is a subspace of  $\mathbb{R}^6$  (NOT  $\mathbb{R}^5$ ) Ans

\*Since A has 5 pivot columns  $\Rightarrow \dim[\text{Col}(A)] = 5$  :

\*Part (b): Is  $\text{Nul}(A) = \mathbb{R}^3$ ? No.

Note: A vector  $\vec{x}$  that satisfies  $A\vec{x}$  has  $n=8$  entries  $\Rightarrow \text{Nul}(A)$  is a subspace of  $\mathbb{R}^8$

∴  $\text{Nul}(A)$  is a subspace of  $\mathbb{R}^8$  (NOT  $\mathbb{R}^3$ ) Ans.

\*Since A has 5 pivot columns  $\Rightarrow \dim[\text{Nul}(A)] = 8-5 = 3$  :

Example: If the null space of a  $7 \times 9$  matrix  $A$  is 2-dimensional, what is the dimension of the row space of  $A$ ?

Answer:

\* Given:

• Matrix  $A$  is  $m \times n = 7 \times 9$

•  $\dim[\text{Nul}(A)] = 2$

\*  $A^T$  is  $n \times m = 9 \times 7$ :  
since  $\text{Row}(A) = \text{Col}(A^T)$ ,  
 $\dim[\text{Row}(A)] = \text{rank}(A^T)$

\* Want:

•  $\dim[\text{Row}(A)] = ?$

Recall (The Rank Thm):  $\dim[\text{Col}(A)] = \dim[\text{Row}(A)]$

\* Since  $\text{rank}(A) = \dim[\text{col}(A)]$ , then:

$$\rightarrow \dim[\text{col}(A)] + \dim[\text{nul}(A)] = n$$

$$\text{So, } \dim[\text{col}(A)] + 2 = 9$$

$$\Rightarrow \dim[\text{col}(A)] = 9 - 2 = 7$$

$$\boxed{\therefore \dim[\text{Row}(A)] = 7}$$

Ans.

## Example:

- (a) IF  $A$  is a  $12 \times 9$  matrix, what is the largest possible  $\text{rank}(A)$ ?
- (b) IF  $A$  is a  $9 \times 12$  matrix, what is the largest possible  $\text{rank}(A)$ ?

## Explain:

### Answer:

Recall:  $\text{rank}(A) = \dim [\text{col}(A)]$

\*Part (a): \$ A \$ is a  $12 \times 9$  matrix:

- Since  $A$  has  $n=9$  columns  $\Rightarrow \exists @ \text{most } n=9 \text{ pivot columns}$
- Since the pivot columns of  $A$  form a Basis for  $\text{col}(A)$ :  
 $\Rightarrow \dim [\text{col}(A)]$  can have  $@ \text{most } 9$  (vectors in Basis)  
 $\therefore \boxed{\text{Largest Possible rank}(A) \text{ is } = 9}$  Ans.

\*Part (b): \$ A \$ is a  $9 \times 12$  matrix:

- Since  $A$  has  $n=12$  columns, BUT only  $m=9$  rows  
 $\Rightarrow \exists @ \text{most } "9" \text{ pivot positions (columns/rows)}$

$\therefore \boxed{\text{For the same reasoning as (a), the largest possible rank}(A) \text{ is } = 9}$

Ans.

### Example:

- (a) IF  $A$  is a  $6 \times 3$  matrix, what is the largest possible  $\dim[\text{Row}(A)]$ ?
- (b) IF  $A$  is a  $3 \times 6$  matrix, what is the largest possible  $\dim[\text{Row}(A)]$ ?

\*Explain\*

Recall:  $\dim[\text{Col}(A)] = \dim[\text{Row}(A)]$

Answer:

\*Part(a): \$ A \$ is a  $6 \times 3$  matrix:

- Since  $A$  has  $n=3$  columns  $\Rightarrow$   $\exists$  @ most 3 pivot columns.
- Since the pivot columns of  $A$  form a Basis for  $\text{Col}(A)$   
 $\Rightarrow \dim[\text{col}(A)]$  can have @ most 3 (vectors)

$\therefore$  Largest Possible  $\dim[\text{row}(A)] = 3$

Ans.

\*Part(b): \$ A \$ is a  $3 \times 6$  matrix:

- Since  $A$  has  $n=6$  columns, BUT only  $m=3$  rows  
 $\Rightarrow \exists$  @ most 3 pivot positions (columns/rows)

$\therefore$  For the same reasoning as (a), the largest possible  $\dim[\text{row}(A)] = 3$

Ans.

## \*Rank(A) & the Invertible Matrix Theorem\*

Note: The various vector space concepts associated w/ a matrix provide several more equivalence statements to the "Invertible Matrix Thm" seen in section 2.3 ::

→ The following is added to the bottom of that list.

### \*The Invertible Matrix Theorem (Continued...):

Let A be an  $m \times n$  matrix. Then the following are equivalent to the statement that:

- ① "A is invertible"
- ⋮
- ⑬ The Columns of A form a Basis in  $\mathbb{R}^n$ .
- ⑭  $\text{Col}(A) = \mathbb{R}^n$
- ⑮  $\dim[\text{Col}(A)] = n$
- ⑯  $\text{rank}(A) = n$
- ⑰  $\text{Nul}(A) = \{\vec{0}\}$
- ⑱  $\dim[\text{Nul}(A)] = 0$

Recall: ① From the Invertible Matrix Thm  $\Leftrightarrow$  ② is true.  
Iow: "A is invertible" IFF " $A^T$  is invertible"

Conclusion: Since  $\text{row}(A) = \text{col}(A^T)$ , every statement in the IMT holds true for  $A^T$  as well :: Producing a list of over 30 equivalence statements!!

## Example' (Fundamental Subspaces Determined by A):

Consider an  $m \times n$  matrix  $A$ .

(a) Which of the following subspaces are in  $\mathbb{R}^n$ ?

(b) How many distinct subspaces are in this list?

Subspaces:  $\text{Row}(A)$ ,  $\text{Col}(A)$ ,  $\text{Nul}(A)$ ,  $\text{Row}(A^T)$ ,  $\text{Col}(A^T)$ ,  $\text{Nul}(A^T)$

Answer:

\* Since  $A$  is an  $m \times n$  matrix:

- ①  $\text{Nul}(A)$  is a subspace of  $\mathbb{R}^n$  \* By Thm 2 (4.2) \*
- ②  $\text{Col}(A)$  is a subspace of  $\mathbb{R}^m$  \* By Thm 3 (4.2) \*

\* Since  $A^T$  is an  $n \times m$  matrix:

- ③  $\text{Nul}(A^T)$  is a subspace of  $\mathbb{R}^m$
- ④  $\text{Col}(A^T)$  is a subspace of  $\mathbb{R}^n$

\* Since rows of  $A$  = columns of  $A^T$ :

- ⑤  $\text{Row}(A)$  is a subspace of  $\mathbb{R}^n$  \* B/c  $\text{Row}(A) = \text{Col}(A^T)$  \*
- ⑥  $\text{Row}(A^T)$  is a subspace of  $\mathbb{R}^m$  \* B/c  $\text{Row}(A^T) = \text{Col}(A)$  \*

∴ Subspaces of  $\mathbb{R}^m$ :  $\boxed{\text{Col}(A), \text{Nul}(A^T), \& \text{Row}(A^T)}$

∴ Subspaces of  $\mathbb{R}^n$ :  $\boxed{\text{Nul}(A), \text{Col}(A^T), \& \text{Row}(A)}$

∴ Since  $\text{Row}(A) = \text{Col}(A^T)$  &  $\text{Row}(A^T) = \text{Col}(A)$ :  $\exists$  4 distinct subspaces

## Example<sup>2</sup> (Fundamental Subspaces Determined by A):

Let A be an  $m \times n$  matrix.

Explain why the equation  $A\vec{x} = \vec{b}$  has a solution  $\forall \vec{b} \in \mathbb{R}^m$  IFF the equation  $A^T \vec{x} = \vec{0}$  has only the trivial solution.

Answer:

\* Let A be an  $m \times n$  matrix.

\* The equation  $A\vec{x} = \vec{b}$  has a solution  $\forall \vec{b} \in \mathbb{R}^m$  IFF:

• The Columns of A span  $\mathbb{R}^m$

• A has a pivot position in every row

↳ Which happens IFF  $\dim[\text{col}(A)] = m$

\* Need to determine when this occurs :: Let's consider the transpose.

\* Since  $A^T$  is an  $n \times m$  matrix:

•  $\dim[\text{col}(A)] = \dim[\text{row}(A)] = \dim[\text{col}(A^+)] = \text{rank}(A^T)$

→  $\text{rank}(A^T) + \dim[\text{Nul}(A^+)] = \dim[\text{col}(A)] + \dim[\text{Nul}(A^+)] = m$

\* So, the  $\dim[\text{col}(A)] = m$  IFF:

•  $\dim[\text{Nul}(A^T)] = 0$

•  $\text{Nul}(A) = \{\vec{0}\}$  → Which only happens if:  $A^T \vec{x} = \vec{0}$  has only the trivial solution

