

## Binomial heaps

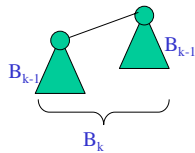
- Last time
  - Heaps
- Today
  - Binomial heaps

## Motivation

- Heap
  - Merging two heaps takes time  $O(n)$
  - Insertion takes time  $O(\log n)$
- Binomial heap
  - Merging two binomial heaps takes time  $O(\log n)$
  - Insertion takes amortized time  $O(1)$

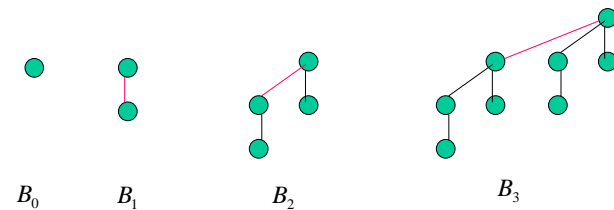
## Binomial trees

- A binomial tree,  $B_k$ , is an ordered tree defined recursively
  - $B_0$  consists of a single node
  - $B_k$  consists of two binomial trees,  $B_{k-1}$ , that are linked together
    - The root of one is the leftmost child of the other



## Binomial tree: the other view/definition

- The Binomial tree,  $B_k$ , consists of a root node with  $k$  children, where the  $i$ -th ( $1 \leq i \leq k$ ) child is in turn the root of a binomial tree  $B_{k-i}$ .



## Properties of binomial trees

- The binomial tree  $B_k$ 
  - Its height is  $k$
  - It has  $2^k$  nodes,
    - Proof by induction

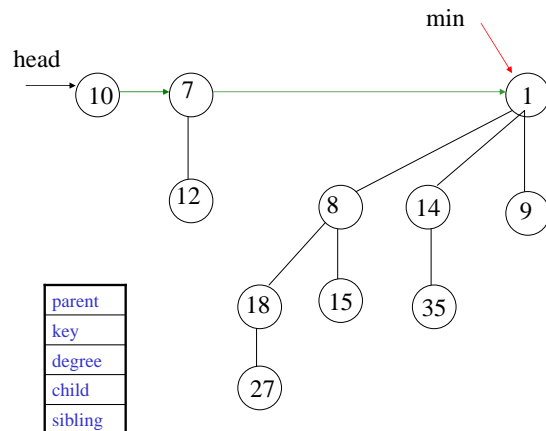
The name “binomial” comes from here

- of which  $\binom{k}{i}$  are at depth  $i$ ,  $0 \leq i \leq k$ .
  - Proof by induction using 
$$\binom{n}{r} = \binom{n-1}{r-1} + \binom{n-1}{r}$$

## Binomial heap

- A binomial heap is
  - a set of binomial trees of distinct sizes,
  - and each binomial tree satisfies the heap property:
    - Max\_heap: the key of any node is no smaller than its children
    - Min\_heap: the key of any node is no bigger than its children
- A binomial heap of size  $n$  has at most  $\lfloor \lg n \rfloor + 1$  binomial trees
- A practical representation of the binomial heap
  - The trees are sorted by their sizes in ascending order.
  - The roots are organized as a linked list for easy insertion and deletion of a binomial tree.
  - Maintaining a pointer pointing to a root with the maximum/minimum key of the binomial heap.
    - This is not implemented in the textbook
  - Each binomial tree use left-child, right sibling representation

## A binomial heap



## Unite two equal size binomial trees

```
BinomialTree uniteBinomialTrees(B1, B2){
    // B1, B2 are the same size: B1.degree = B2.degree
    if (B1.root().key < B2.root().key) {
        B.copy(B1);
        B.setDegree(B1.degree()+1);
        B2.root().setParent(B1.root());
        B2.root().setSibling(B1.child());
        B.setChild(B2);
    } else {
        // link in the other way
        ...
    }
}
```

It takes a time in  $O(1)$ .

### Unite two binomial heaps

```
binomialHeapsUnion(H1, H2)
{
  while (simultaneously following the links in H1 and H2) {
    if there are three degree i trees { // one from the carry-on
      merge two of them and set it as carry-on;
      add the remainder to H;
    } else if there are two degree i trees {
      merge the two trees;
      set it as carry on;
    } else if there is one degree i tree{
      add it to H;
    }
  }
  add the carry-on if exists to H.
}
```

Assume the result binomial heap contains  $n$  nodes. The construction can be done in  $\lfloor \lg n \rfloor + 1$  stages. Time in  $O(\log n)$

### minimum()

- Return the node pointed by the *min* pointer.
  - Cost  $O(1)$
- Without the *min* pointer
  - Traverse the link to find the min
  - Cost  $O(\lg n)$

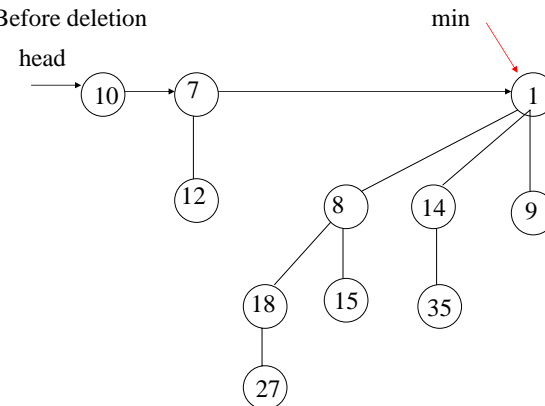
### extractMin(): remove the minimum node

```
extractMin(H)
{
  take the min binomial tree B out (H/B);
  remove the root of B;
  join the subtrees of B into a new binomial heap H';
  unite H/B and H';
}
```

Cost:  $O(\log n)$

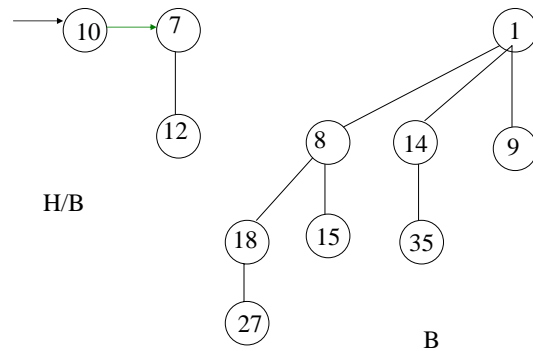
### Delete Example: step 0

Before deletion



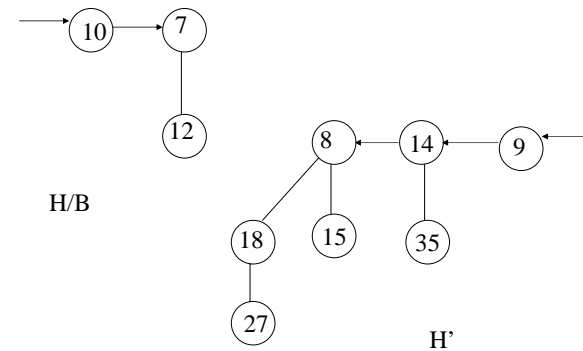
### Delete Example: step 1

Take the tree with *min* out



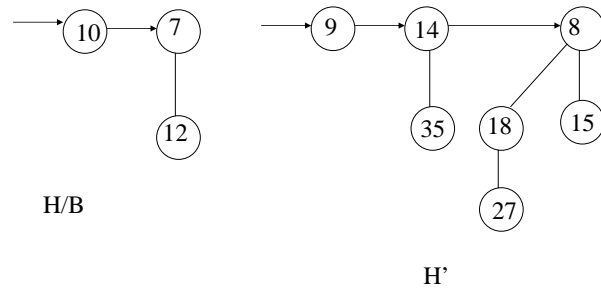
### Delete Example: step 2

Join the sub-trees in B as a binomial heap, H'



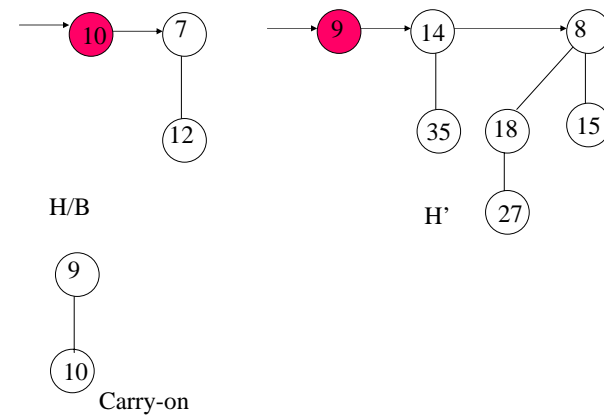
### Delete Example: step 2

Join the sub-trees in B as a binomial heap, H'



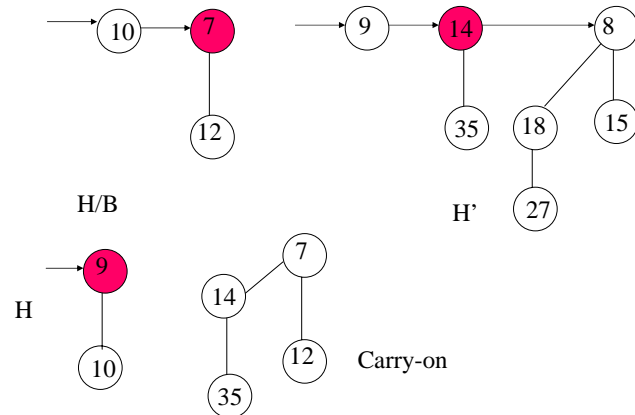
### Delete Example: step 3.1

Merge H/B and H': from left to right



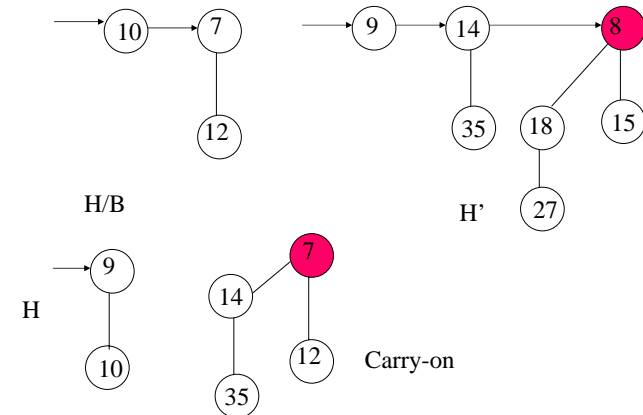
### Delete Example: step 3.2

Merge H/B and H': from left to right

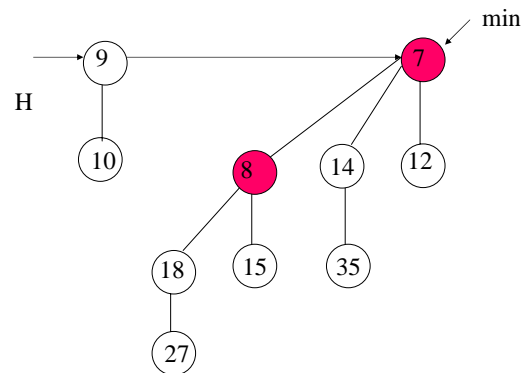


### Delete Example: step 3.3

Merge H/B and H': from left to right



### Delete Example: step 3.3



### decreaseKey

```
public void decreaseKey(Node x, int key)
{
    Node cur = x;
    Node parent = x.parent;

    while (parent != NULL && cur.key < parent.key){
        swap(cur.key, parent.key);
        cur = parent;
        parent = cur.parent;
    }
}
```

Cost?

### deleteKey

```
public void deleteKey(Node x)
{
    decreaseKey(x,  $-\infty$ );
    extractMin();
}
```

Cost?

### insert

```
insert(v, H)
{
    make a 1 node binomial tree B0;
    Build a binomial heap H0 that contains B0;
    merge H0 and H;
}
```

### insert

```
insert(v, H)
{
    1. make a 1 node binomial tree  $B_0^*$ ;
    2.  $i = 0$ ;
    3. while (1) {
        if (H include a  $B_i$ ) {
            remove  $B_i$  from H;
            merge  $B_i^*$  and  $B_i$  into a binomial tree  $B_{i+1}^*$ ;
             $i++$ ;
        } else
            break;
    }
    4. insert  $B_i^*$  into the list of roots of H.
}
```

### Amortized cost

- What is the amortized cost of insertion when we build a binomial heap of size  $n$ ?
- Accounting trick
  - Deposit a token when creating a tree
  - Withdraw one when deleting a tree
    - Note that we delete a tree at each loop iteration

### **Priority List**

- Use a BinomialHeap H to implement the priority list
  - **insert(S, x)**
    - H.insert(x)
  - **minimum(S)**
    - H.minimum()
  - **extractMin(L)**
    - H.extractMin()
  - **decreaseKey(S, x, k)**
    - H.decreaseKey(x, k);