

Homework #4

1. (10 points) Exercise 5.2-4 (page 122): Hat-check problem.

Ans:

Let the random variable X be the number of men that get their own hat. Then, by the definition of expectation, we have:

$$\text{Ex}(X) = \sum_{k=0}^n k \cdot \Pr(X = k)$$

However, it is very difficult to compute the probability $\Pr(X=k)$ for $k = 1, 2, \dots, n$, because the event for a man gets his own hat is NOT mutually independent. Even so we can solve the problem by using **linearity of expectation**.

Let X_i be an indicator for whether the i -th man gets his own hat or not. That is, $X_i=1$ means the i -th man gets his own hat, and $X_i=0$ means the i -th man gets the wrong hat. So the number of men that get their own hat is the sum of all these indicators:

$$X = \sum_{i=0}^n X_i$$

Then take the expected value of both sides and apply linearity of expectation:

$$\text{Ex}(X) = \text{Ex}\left(\sum_{i=0}^n X_i\right) = \sum_{i=0}^n \text{Ex}(X_i)$$

We know that every single man is as likely to get one hat as another, so the probability for a man to get his own hat is just $1/n$. So, we have:

$$\text{Ex}(X_i) = 1 \cdot \Pr(X_i = 1) + 0 \cdot \Pr(X_i = 0) = \frac{1}{n}$$

$$\text{Then, } \text{Ex}(X) = \sum_{i=0}^n \text{Ex}(X_i) = n \cdot \frac{1}{n} = 1.$$

2. (90 points) **Problem 5-2 (page 143-144): Searching an unsorted array. Only: (a), (b), (e), (h) only case $k=1$, and (i) Assume that the number of indices i such that $A[i] = x$ is 1.**

Ans:

A is an unsorted array consisting of n elements, searching for a value x in A.

(a) Pseudocode of random search:

RANDOM-SEARCH (A, x) :

```
1.  n = A.length
2.  let visited[1..n] be a new bool array
3.  for i = 1 to n
4.      visited[i] = FALSE
5.  visited_counter = 0
6.  while visited_counter < n
7.      r = RANDOM(1,n)    // Choose a random number between 1 and n
8.      if visited[r]
9.          continue
10.     else
11.         if A[r] == x
12.             return r
13.         visited[r] = TRUE
14.         visited_counter += 1
15. return 0    // FAIL
```

(b) Let X be the number of indices into A that we pick before we find x . Then “ $X=i$ ” means we does not find x for the first $(i-1)$ random choices of index and hit x at the i -th picking. Since there is exactly one index i such that $A[i] = x$, the probability of hitting x for each random choice is $1/n$, where n is the length of A. Therefore, the probability of $X=i$ is $\frac{1}{n} (1 - \frac{1}{n})^{i-1}$. By the definition of expectation, we have:

$$\text{Ex}(X) = \sum_{i=0}^{\infty} i \cdot \Pr(X = i) = \sum_{i=0}^{\infty} i \cdot \frac{1}{n} \left(1 - \frac{1}{n}\right)^{i-1}$$

$$\text{Let } S = \sum_{i=1}^{\infty} i \cdot \left(1 - \frac{1}{n}\right)^{i-1} = 1 \cdot \left(1 - \frac{1}{n}\right)^0 + 2 \cdot \left(1 - \frac{1}{n}\right)^1 + 3 \cdot \left(1 - \frac{1}{n}\right)^2 + \dots + (i+1) \cdot \left(1 - \frac{1}{n}\right)^i + \dots$$

$$\text{Then, } \left(1 - \frac{1}{n}\right) \cdot S = \sum_{i=1}^{\infty} i \cdot \left(1 - \frac{1}{n}\right)^i = 1 \cdot \left(1 - \frac{1}{n}\right)^1 + 2 \cdot \left(1 - \frac{1}{n}\right)^2 + \dots + i \cdot \left(1 - \frac{1}{n}\right)^i + \dots$$

$$\text{So, } S - \left(1 - \frac{1}{n}\right) \cdot S = \sum_{i=0}^{\infty} \left(1 - \frac{1}{n}\right)^i = \left(1 - \frac{1}{n}\right)^0 + \left(1 - \frac{1}{n}\right)^1 + \dots + \left(1 - \frac{1}{n}\right)^i + \dots$$

$$\text{That is } \frac{1}{n} \cdot S = \sum_{i=1}^{\infty} \left(1 - \frac{1}{n}\right)^i = \frac{1 \cdot \left(1 - \left(1 - \frac{1}{n}\right)^{\infty}\right)}{1 - \left(1 - \frac{1}{n}\right)} = n \text{ where we know that } S = n^2.$$

Finally, we have:

$$\text{Ex}(X) = \sum_{i=0}^{\infty} i \cdot \left(1 - \frac{1}{n}\right)^i \cdot \frac{1}{n} = \frac{1}{n} \sum_{i=0}^{\infty} i \cdot \left(1 - \frac{1}{n}\right)^i = \frac{1}{n} S = n$$

(e) We know that $A[1]$, $A[2]$, ..., $A[i]$ will be searched before the algorithm terminates if $A[i] = x$. Because there is exactly one index i such that $A[i] = x$ and all possible permutations of the input array are equally likely, we know that the probability that $A[i] = x$ for $i=1, 2, \dots, n$, is $1/n$. Let N be the number of searched elements before we find x , then we know that $N=i$ if $A[i]=x$.

Assume that the comparison of each search step costs a constant time c , the average running time will be:

$$T_{avg}(n) = c \cdot \text{Ex}(N) = c \cdot \sum_{i=1}^n i \cdot \Pr(A[i] = x) = c \cdot \frac{1}{n} \frac{n(n+1)}{2} = \frac{c}{2}(n+1)$$

The worst case happens when the x appears at the end of A , and the running time is:

$$T_{worst}(n) = c \cdot N(A[n] = x) = cn$$

(h) Assume that the permutation of one element costs c_1 and comparison for search costs c_2 . We only consider case $k=1$, where there is exactly one index i such that $A[i] = x$. The running time of SCRAMBLE-SEARCH should be the sum of both permuting and searching cost.

The worst case is that x is the last element of the resulting permuted array. According to (e), we know that:

$$T_{avg}(n) = c_1 \cdot n + c_2 \cdot \text{Ex}(N) = c_1 n + \frac{c_2}{2}(n + 1),$$

$$T_{worst}(n) = c_1 \cdot n + c_2 \cdot n$$

(i) By the assumption that the index $i = 1$ for $A[i] = x$. Because $A[1]=x$, we would choose DETERMINISTIC-SEARCH so that the algorithm will find x and terminate by picking only one element.