



# Analysis of Algorithms

COMP.4040, Summer 2019

## Chapter 3: Growth of Functions

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*Learning with Purpose*

# Announcement

No class on May 27 (Monday)

My today's office hours cancelled

TA (Yan Li)'s

1-2pm today, Dandeneau Hall 420

# Homework 2

**Due Date:** May 30, 2019 (Th), Before the class starts

Honor Statement needs to be enclosed for each assignment, otherwise the homework will not be graded

# Outline

Introduce various asymptotic notations

Growth of functions

# Asymptotic Notation

# Asymptotical Analysis

## BIG IDEA: Asymptotical Analysis

rate of growth/order of growth of function as  $n \rightarrow \infty$

the running time is dominated by the leading terms

the higher-order terms (leading terms) grow much faster than the lower terms

# Asymptotical Analysis

Use asymptotic notations to simplify the asymptotical analysis :

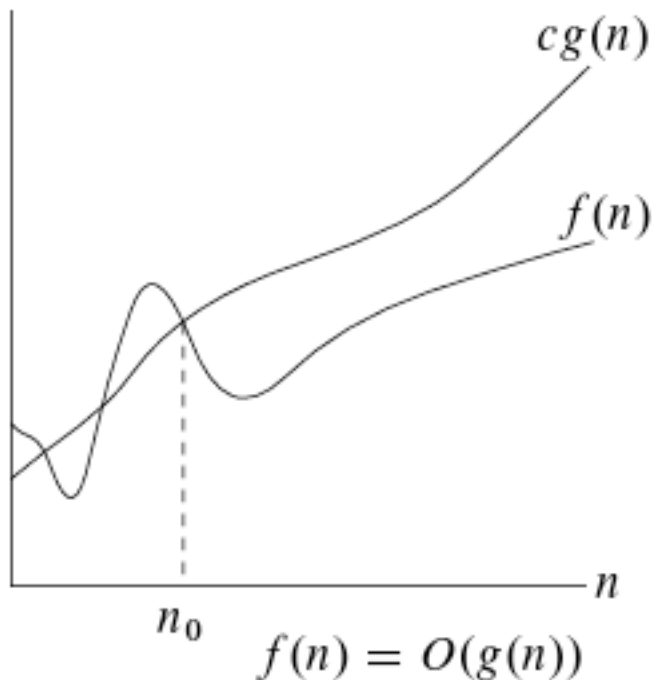
mostly refer to running time, could refer to space or other factors

Conventions:

$O$ -notation,  $\Omega$ -notation,  $\Theta$ -notation,  
 $o$ -notation,  $\omega$ -notation

# O-notation

$O(g(n)) = \{f(n) : \text{there exist positive constants } c \text{ and } n_0 \text{ such that } 0 \leq f(n) \leq cg(n) \text{ for all } n \geq n_0\}.$



for all values  $n$  at and to the right of  $n_0$  (i.e.,  $n \geq n_0$ ), the value of the function  $f(n)$  is on or below  $cg(n)$



# O-notation (Cont'd)

What is  $O(g(n))$ ?

the SET of ALL the functions that satisfy: there exists positive constants  $c$  and  $n_0$ , such that for all  $n \geq n_0$ ,  $0 \leq f(n) \leq cg(n)$

What is  $f(n)$ ?

a member of  $O(g(n))$ , belongs to the set of  $O(g(n))$

# O-notation (Cont'd)

Examples of O-notation (details in class)

$2n^2 = O(n^3)$ , with  $c = 1$  and  $n_0 = 2$

examples of functions in  $O(n^2)$ :

$n^2$ ,  $n^2+n$ ,  $n^2+1000n$ ,  $1000n^2+1000n$ ,  $n$ ,  
 $n^{1.99}$ ,  $n^2/\lg \lg n$

a lot of examples in class

# O-notation Summary

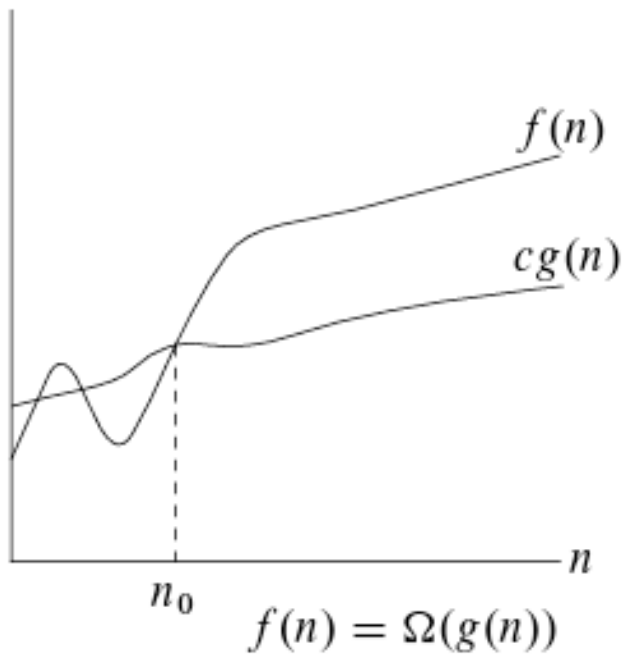
There are infinite number of set of functions for both  $f(n)$  and  $g(n)$

O-notation does **not say WHAT** functions are, just say the relationship between two functions

O-notation claims an **asymptotic upper bound** on a function  $f(n)$ , but does **not claim** about HOW TIGHT an upper bound it is

# $\Omega$ -notation

$\Omega(g(n)) = \{f(n) : \text{there exist positive constants } c \text{ and } n_0 \text{ such that } 0 \leq cg(n) \leq f(n) \text{ for all } n \geq n_0\} .$



$\Omega$ -notation gives an **asymptotic lower bound** on a function

# $\Omega$ -notation (Cont'd)

What is  $\Omega(g(n))$ ?

the SET of ALL the functions that satisfy: there exists positive constants  $c$  and  $n_0$ , such that for all  $n \geq n_0$ ,  $0 \leq cg(n) \leq f(n)$

What is  $f(n)$ ?

a member of  $\Omega(g(n))$ , belongs to the set of  $\Omega(g(n))$

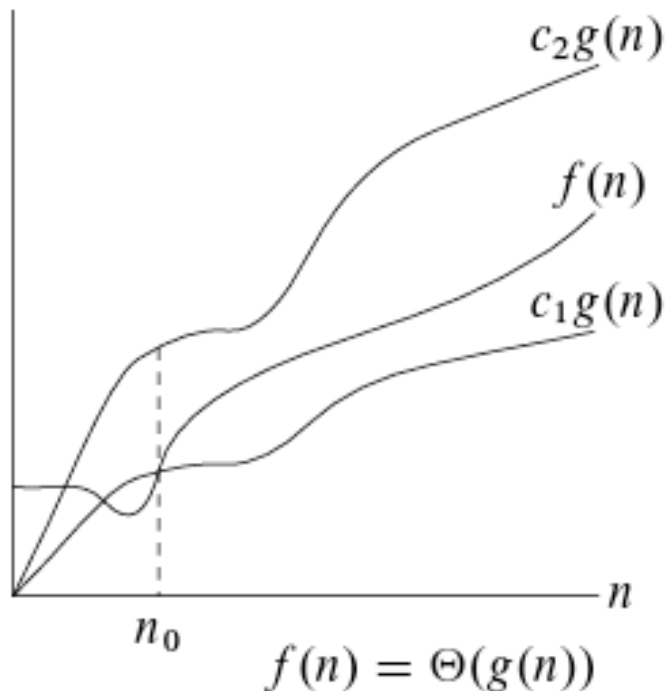
# $\Omega$ -notation (Cont'd)

Examples in class

$\Omega(g(n))$  says, one algorithm at least needs this time (e.g., at least this bad)

# $\Theta$ -notation

$\Theta(g(n)) = \{f(n) : \text{there exist positive constants } c_1, c_2, \text{ and } n_0 \text{ such that } 0 \leq c_1g(n) \leq f(n) \leq c_2g(n) \text{ for all } n \geq n_0\} .^1$



need to choose different constants  $c_1$  and  $c_2$

# $\Theta$ -notation (Cont'd)

## *Theorem 3.1*

For any two functions  $f(n)$  and  $g(n)$ , we have  $f(n) = \Theta(g(n))$  if and only if  $f(n) = O(g(n))$  and  $f(n) = \Omega(g(n))$ . ■

$\Theta$ -notation gives an **asymptotically tight** bound for  $f(n)$



# $\Theta$ -notation (Cont'd)

$\Theta(1)$ :  $\Theta(n^0)$

**$\Theta$  is symmetric:**

if  $f(n) = \Theta(g(n))$ , then  $g(n) = \Theta(f(n))$

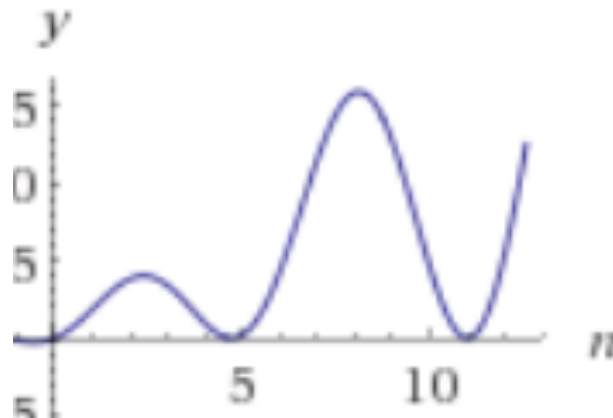
e.g.,  $n^3 = \Theta(3n^3 - n^2)$ ,  $3n^3 - n^2 = \Theta(n^3)$

$n^3 \neq \Theta(n)$ ,  $n \neq \Theta(n^3)$

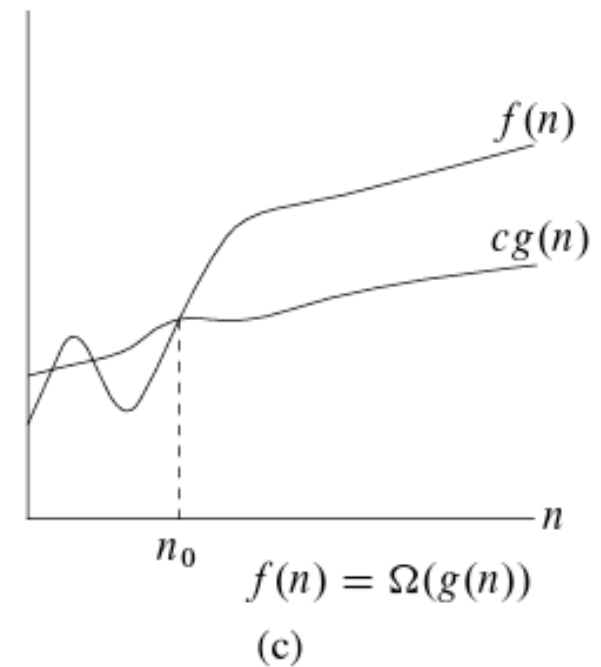
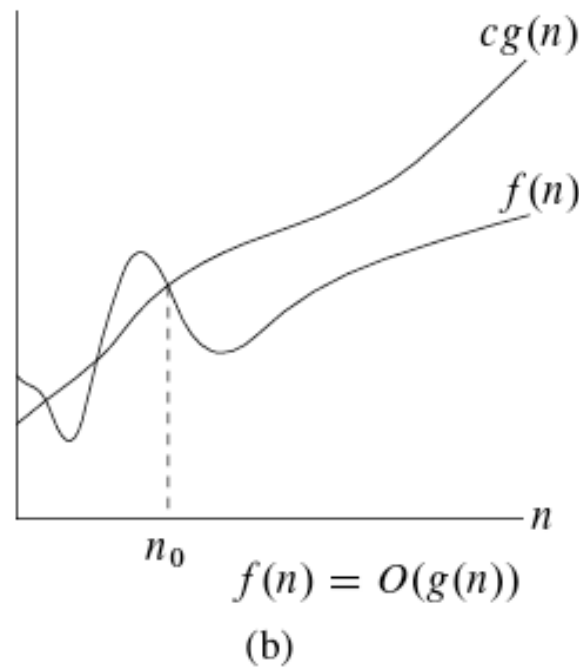
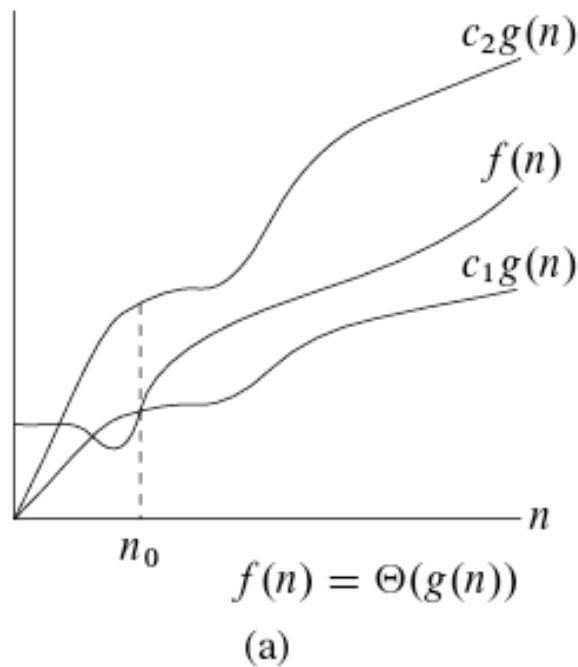
# $\Theta$ -notation (Cont'd)

not all functions have  $\Theta$ , e.g.,  $f(n) = n(1 + \sin n)$

$f(n) \in O(n)$ ,  $f(n) \in \Omega(0)$ , not in  $\Theta(n)$  or  $\Theta(0)$



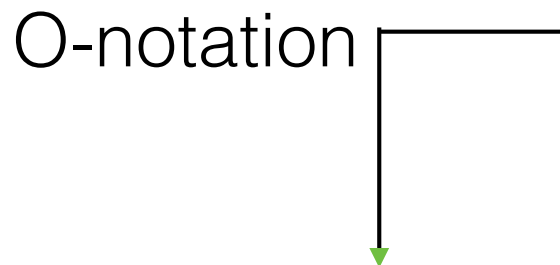
# Comparison of $\Theta$ -, $O$ -, $\Omega$ -,



# Comparison of $O$ -, $\Omega$ -, $\Theta$ -

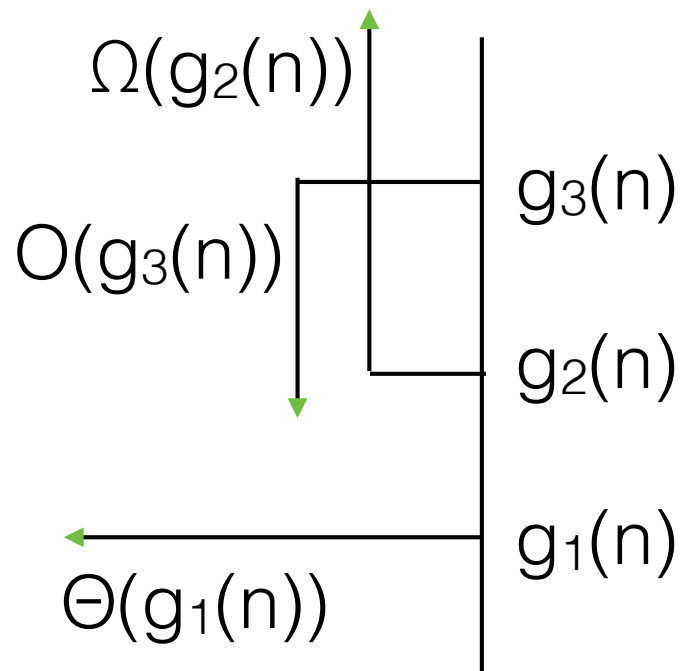
Arrow diagram (idea from Prof. Karen Daniels)

help to compare functions in different notations



# Comparison of $O$ -, $\Omega$ -, $\Theta$ -

Arrow diagram, e.g.,



$g_3(n)$  grows faster than  $g_2(n)$   
 $g_2(n)$  grows faster than  $g_1(n)$

# Comparison of $O$ -, $\Omega$ -, $\Theta$ -

Examples with the Arrow diagram

$$f_1(n) = \lg^2 n, f_2(n) = \lg(n^2)$$

Is  $f_2(n) \in O(f_1(n))$  true or false?

Is  $f_2(n) \in \Theta(f_1(n))$  true or false?

# Asymptotic notation in equations (Cont'd)

$$2n^2+3n+1 = 2n^2+\Theta(n)$$

there exists a function,  $f(n) \in \Theta(n)$ , such that  $2n^2+3n+1 = 2n^2 + f(n)$ . In particular,  $f(n) = 3n+1$

$$2n^2+\Theta(n) = \Theta(n^2)$$

for all functions  $g(n) \in \Theta(n)$ , there exists a function  $h(n) \in \Theta(n^2)$ , such that  $2n^2+g(n) = h(n)$

$$\text{chain together: } 2n^2+3n+1 = 2n^2+\Theta(n) = \Theta(n^2)$$

When asymptotic notation appears in formula, we interpret as “anonymous function that we don’t care to name”

# o-notation (little-o)

$o(g(n)) = \{f(n) : \text{for any positive constant } c > 0, \text{ there exists a constant } n_0 > 0 \text{ such that } 0 \leq f(n) < cg(n) \text{ for all } n \geq n_0\} .$

Difference between O- & o-?

O-notation denotes an upper bound that may or may not be asymptotically tight

o-notation denotes an **upper bound that is NOT asymptotically tight**

no matter what  $c$  we choose, when  $n \geq n_0$ , we have  $f(n) < c(g(n))$

$n_0$  is a value in terms of  $c$

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0 .$$



# o-notation examples

$3n = O(n^2)$  and  $3n^2 = O(n^2)$  are both true

$3n = o(n^2)$ , true or false?      $n_0 = 3/c$   
 $3n^2 = o(n^2)$ , true or false?

# $\omega$ -notation (little- $\omega$ )

$\omega(g(n)) = \{f(n) : \text{for any positive constant } c > 0, \text{ there exists a constant } n_0 > 0 \text{ such that } 0 \leq cg(n) < f(n) \text{ for all } n \geq n_0\} .$

Difference between  $\Omega$ - &  $\omega$ -?

$\Omega$ -notation denotes a lower bound that may or may not be asymptotically tight

$\omega$ -notation denotes an **lower bound that is NOT asymptotically tight**

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \infty$$

# $\omega$ -notation examples

$$n^2 \lg n = \omega(n^2)$$

$$n^2 \neq \omega(n^2)$$

$$n^2/1000 \neq \omega(n^2)$$

# Comparisons of functions

Analogy of notations (a way to compare “sizes” of functions)

$$O \approx \leq$$

$$\Omega \approx \geq$$

$$\Theta \approx =$$

$$o \approx <$$

$$\omega \approx >$$

# Another look of Insertion Sort

Best-case running time:  $T(n): an + b$

Worst-case running time:  $T(n): an^2 + bn + c$

belongs to both  $\Omega(n)$  and  $O(n^2)$ , and these bounds are asymptotically as tight as possible

$O(n^2)$ : bound on worst-case running time, and this also applies to its running time on every input

$\Theta(n^2)$ : bound on worst-case running time, but this does not imply a  $\Theta(n^2)$  bound on its running time on every input

# Standard notations and common functions

See textbook (page 54-60) and notes

Monotonicity

Exponentials

Logarithms

Factorials

# Logarithms and Exponents

1.  $\log_b ac = \log_b a + \log_b c$
2.  $\log_b (a / c) = \log_b a - \log_b c$
3.  $\log_b (a^c) = c \log_b a$
4.  $\log_b a = \log_c a / \log_c b$
5.  $b^{\log_c a} = a^{\log_c b}$
6.  $(b^a)^c = b^{ac}$
7.  $b^a b^c = b^{a+c}$
8.  $b^a / b^c = b^{a-c}$
9.  $a^{-1} = \frac{1}{a}$

# Standard notations and common functions

**iterated logarithm function**,  $\lg^* n$  (read “lg star of n”), the number of logarithms to make the result to be 1

$$\lg^* 2 = 1$$

$$\lg^* 4 = 2$$

$$\lg^* 16 = 3$$

$$\lg^* 65536 = \lg^* (2^{16}) = 4$$

$$\lg^*(2^{65536}) = 5$$

iterated logarithm is a VERY slow growing function