

# Pattern matching for $(231,213)$ avoiding permutations

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## Contents

1	Introduction	2
2	Definition	2
3	Pattern Matching Problem With Text and Motif Avoiding $(231,213)$	3
4	Pattern Matching With Motif Avoiding $(231,213)$	6
5	Pattern Matching With Bivincular Motif Avoiding $(231,213)$	8
6	Longest Subsequence Avoiding $(231,213)$ for a Permutation	10
7	Longest Subsequence Avoiding $(231,213)$ Common for Two Permutation	11
8	Conclusion	12

## Abstract

Given two permutations, a text  $\pi$  and a motif  $\sigma$ , the **permutation pattern problem** asks if there exists a normalized subsequence of  $\pi$  which equals  $\sigma$ . We study this problem for the permutation avoiding  $(231,231)$ .

# 1 Introduction

## 2 Definition

This section presents the decomposition used and some notation.

We denote by  $\text{Av}_n(213, 231)$  the class of permutation of size  $n$  which avoid the motif 213 and 231.  $\mathcal{S}_n$  the permutation of size  $n$ .  $[n]$  the set  $\{1, 2, \dots, n\}$ . For a permutation  $p$ , let  $p[i : j]$ , be the permutation from element  $i$  to  $j$ ,  $p[: j]$  be the prefix ending at index  $j$  and  $p[i :]$  be the suffix starting at index  $i$ .

Given two permutations  $\sigma$  and  $\pi$ , we say that  $\sigma$  is a motif/match of  $\pi$ , if and only if there exists an increasing function  $\sigma : [|\sigma|] \rightarrow [|\pi|]$  such that :  $\forall i$  and  $\forall j$   $i, j \in |\sigma|$  if  $\sigma(i) < \sigma(j)$  then  $\pi(\sigma(i)) < \pi(\sigma(j))$ .

A **Stripe** of a permutation is a mapping into **up step** (noted  $u$ ) or a **down step** (noted  $d$ ). An element  $i$  of a permutation  $p$  is an up step if and only if  $i$  is not the last element and  $p(i) < p(i + 1)$ . An element  $i$  of a permutation  $p$  is a down step if and only if  $i$  is not the last element and  $p(i) > p(i + 1)$ .

Formally a Stripe is a function  $\mathcal{S} : [n - 1] \rightarrow \{d, u\}$  define as :

$$\mathcal{S}(p, i) = \begin{cases} u & \text{if } 1 \leq i < n \text{ and } p(i + 1) - p(i) > 0 \\ d & \text{if } 1 \leq i < n \text{ and } p(i + 1) - p(i) < 0 \end{cases}$$

By extension we define  $\mathcal{S} : \mathcal{S}_n \rightarrow \{d, u\}^n$  such as :  $\mathcal{S}(p) = \mathcal{S}(p, 1)\mathcal{S}(p, 2)\dots\mathcal{S}(p, n - 1)$ . We call  $\mathcal{S}(p)$  the stripe of  $p$ . For example the stripe of the permutation  $(12, 11, 10, 1, 2, 3, 4, 9, 8, 5, 6, 7)$ , is  $d, d, d, u, u, u, u, d, d, u, u$ .

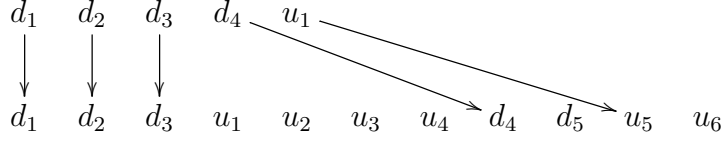
Formally we say that the stripe of  $\sigma \in \mathcal{S}_m$  is a motif of a stripe of  $\pi \in \mathcal{S}_n$  if and only if there exist an increasing function  $\phi : [|\sigma|] \rightarrow [|\pi|]$  such that :

$$\forall i \in [|\sigma|], \mathcal{S}(\sigma, i) = \mathcal{S}(\pi, \phi(i))$$

If a stripe  $R_2$  is a motif of a stripe  $R_1$ , it means that there exists a subsequence of  $R_1$  such as this sequence is equal to  $R_2$ . For example, the stripe  $(d_1, d_2, d_3, d_4, u_1)$  is a motif of the stripe

$(d_1, d_2, d_3, u_1, u_2, u_3, u_4, d_4, d_5, u_5, u_6)$  , because we can match  $(d_1, d_2, d_3, d_4, u_1)$  to  $(d_1, d_2, d_3, d_4, u_5, u_6)$ . But the stripe  $(d, u, d, d, d)$  is not a motif of the stripe  $(d_1, d_2, d_3, u_1, u_2, u_3, u_4, d_4, d_5, u_5, u_6, u_7)$ .

A **bivincular motif** generalize a motif. A bivincular motif allows



to force element to be adjacent in position or/and in value. A bivincular motif is a motif with two set  $(\sigma, X, Y)$ , the first set contains the first index of two consecutive indexes and the second set contains the first indexes of the two consecutive elements. Formally, Let  $(\sigma, X, Y)$  be a bivincular motif and  $\pi$  a permutation. if  $i \in X$ , and  $i$  is matched with  $j$  in  $\pi$  then  $i+1$  must be matched with  $j+1$ , with the special case of 0 and  $|\sigma|$  : if  $0 \in X$  then the first element of  $\sigma$  must be matched with the first element of  $\pi$ , and if  $|\sigma| \in X$  then the last element of  $\sigma$  must be matched with the last element of  $\pi$ . if  $i \in Y$ , and  $i$  is matched with  $j$  in  $\pi$  then  $i+1$  must be matched with  $l$  such as  $\pi(l) = \pi(j) + 1$ , with the special case of 0 and  $|\sigma|$  : if  $0 \in Y$  then the minimal element of  $\sigma$  must be matched with the minimal element of  $\pi$ , and if  $|\sigma| \in Y$  then the maximal element of  $\sigma$  must be matched with the maximal element of  $\pi$ .

### 3 Pattern Matching Problem With Text and Motif Avoiding (231,213)

In this section we focus on the problem of pattern matching if both the motif and the text avoid (231,213). We first exhibit some properties of a stripe of a permutation avoiding (231,213).

**Lemma 1** If a permutation is in  $\text{Av}_n()$  then the first element is either the minimal or the maximal element.

**Proof** Suppose that we have  $p \in \mathcal{S}_n$ , and  $p(1) \neq 1$  and  $p(1) \neq n$  then we have either  $p(1)...n...1$  or  $p(1)...1...n$ , either the motif 231 or 213, which is not possible by hypothesis.  $\square$

**Corollary 2** A permutation  $p \in \mathcal{S}_n$  is in  $\text{Av}_n(213, 231)$  if and only if for  $1 \leq i \leq n$ ,  $p[i : ]$  start either with the maximal element or the minimal element of  $p[i : ]$ .

**Corollary 3** If  $p \in \text{Av}_n(213, 231)$  its stripe can be define such as,

$$\mathcal{S}(p, i) = \begin{cases} u & \text{if } p(i) \text{ is the minimal element of } p[i : ] \\ d & \text{if } p(i) \text{ is the maximal element of } p[i : ] \end{cases}$$

**Lemma 4** Let  $p \in \text{Av}_n(213, 231)$ ,  $\forall i, j \in [n]$ ,  $i < j$ , if  $\mathcal{S}(p, i) = u$  and  $\mathcal{S}(p, j) = d$  then  $p(i) < p(j)$ .

In other word, for every index  $i$  and  $j$  of an  $(231, 213)$  avoiding permutation  $p$ , if  $i$  is a up step and if  $j$  is a down step then  $p(i) < p(j)$ .

**Proof** By induction on the size of the permutation : the proposition is true for the permutations  $(1, 2)$  and  $(2, 1)$ . Suppose the proposition true for  $\text{Av}_n(213, 231)$ . Let  $p \in \text{Av}_n(213, 231)$ , we show that the proposition holds for  $p$ . Let  $p'$  be the permutation  $p[2 : ]$ .  $p' \in \text{Av}_n(213, 231)$ , so every element of  $p'$  verify our lemma (by induction). We still need to compare the first element with the rest. But the first element is the maximal (minimal) element of all the permutation (by Lemma 1). Moreover if the first element is the maximal (minimal) it will mapped to an down step (up step). So the proposition is also true for the first element.  $\square$

**Lemma 5** Let  $p \in \text{Av}_n(213, 231)$ ,  $\forall i, j \in [n]$ ,  $i < j$ , if  $\mathcal{S}(p, i) = u$  and  $\mathcal{S}(p, j) = u$  then  $p(i) < p(j)$ .

In other word, for every index  $i$  and  $j$  of an  $(231, 213)$  avoiding permutation  $p$ , if  $i$  is a up step and  $j$  is a up step and  $i < j$  then  $p(i) < p(j)$ .

**Lemma 6** Let  $p \in \text{Av}_n(213, 231)$ ,  $\forall i, j \in [n]$ ,  $i < j$ , if  $\mathcal{S}(p, i) = d$  and  $\mathcal{S}(p, j) = d$  then  $p(i) > p(j)$ .

In other word, for every index  $i$  and  $j$  of an  $(231, 213)$  avoiding permutation  $p$ , if  $i$  is a down step and  $j$  is a down step and  $i < j$  then  $p(i) > p(j)$ .

**Proposition 7** Let  $\pi, \sigma \in \text{Av}_n(213, 231)$ .  $\sigma$  is a motif of  $\pi$  if and only if the stripe of  $\sigma$  is a motif of the stripe of  $\pi$ .

**Proof**

$\Rightarrow$  Suppose that  $\sigma$  is a motif of  $\pi$  by subsequence  $s$ . By definition  $s$  normalized is equal to  $\sigma$  so they have the same stripe.

$\Leftarrow$  Let  $\pi$  be a permutation avoiding  $(213, 231)$ ,  $R_1$  be its stripe,  $\sigma$  be a permutation avoiding  $(213, 231)$ , and  $R_2$  its stripe. Let  $\phi$  be the function that match  $R_2$  to  $R_1$ . We define  $\varphi$  as,  $\forall x \in [|\sigma| - 1]$ ,  $\varphi(x) = \phi(x)$ , and  $\varphi(|\sigma|) = \phi(|\sigma| - 1) + 1$ . Suppose that  $\exists x, y \in [|\sigma|]$ ,  $\sigma(x) < \sigma(y)$  and  $\pi(\varphi(x)) > \pi(\varphi(y))$ , without lost of generality we can

suppose that  $x < y$ , Let see all the case possible that can make this hypothesis possible :

- if  $\sigma(x) < \sigma(y)$ ,  $\mathcal{S}(\sigma, x) = u$  and  $\mathcal{S}(\sigma, y) = u$  (if  $x$  and  $y$  are increasing in  $\sigma$ ), then  $\mathcal{S}(\pi, \varphi(x)) = u$  and  $\mathcal{S}(\pi, \varphi(y)) = u$ , (the match are also increasing). As  $x < y$  and as  $\varphi$  is an increasing function,  $\varphi(x) < \varphi(y)$ . And thus, by **Lemma 5**,  $\pi(\varphi(x)) < \pi(\varphi(y))$ . Which contradict our hypothesis, so this case is not possible.
- if  $\sigma(x) < \sigma(y)$ ,  $\mathcal{S}(\sigma, x) = u$  and  $\mathcal{S}(\sigma, y) = d$  then  $\mathcal{S}(\pi, \varphi(x)) = u$  and  $\mathcal{S}(\pi, \varphi(y)) = d$ , As  $x < y$  and as  $\varphi$  is an increasing function,  $\varphi(x) < \varphi(y)$ . And thus, by **Lemma 4**,  $\pi(\varphi(x)) < \pi(\varphi(y))$ . Which contradict our hypothesis, so this case is not possible.
- if  $\sigma(x) > \sigma(y)$ ,  $\mathcal{S}(\sigma, x) = d$  and  $\mathcal{S}(\sigma, y) = d$  then  $\mathcal{S}(\pi, \varphi(x)) = d$  and  $\mathcal{S}(\pi, \varphi(y)) = d$ , As  $x < y$  and as  $\varphi$  is an increasing function,  $\varphi(y) < \varphi(x)$ . And thus, by **Lemma 6**,  $\pi(\varphi(y)) > \pi(\varphi(x))$ . Which contradict our hypothesis, so this case is not possible.
- if  $\sigma(x) > \sigma(y)$ ,  $\mathcal{S}(\sigma, x) = d$  and  $\mathcal{S}(\sigma, y) = u$  then  $\mathcal{S}(\pi, \varphi(x)) = d$  and  $\mathcal{S}(\pi, \varphi(y)) = u$ , As  $x < y$  and as  $\varphi$  is an increasing function,  $\varphi(y) < \varphi(x)$ . And thus, by **Lemma 4**,  $\pi(\varphi(y)) > \pi(\varphi(x))$ . Which contradict our hypothesis, so this case is not possible.  $\square$
- If  $y = |\sigma|$  and  $x = |\sigma| - 1$ , Clearly if  $\mathcal{S}(\sigma, x) = u$ , then  $\sigma(y) - \sigma(x) > 0$  and because we have a match in the ribbon  $\pi(\varphi(y)) - \pi(\varphi(x)) > 0$ . Same idea goes if  $\mathcal{S}(\sigma, x) = d$ . So this case is not possible.
- if  $y = |\sigma|$  and  $x < |\sigma| - 1$ , if  $\mathcal{S}(\sigma, x) = u$  ie  $\sigma(x+1) - \sigma(x) > 0$ . Then we must have either  $\sigma(x) < \sigma(x+1) < \sigma(|\sigma|)$  or  $\sigma(x) < \sigma(|\sigma|) < \sigma(x+1)$  to avoid 231 or 213 in the motif. Because we have a match in the stripe and what we prove above,  $\pi(\varphi(x+1)) - \pi(\varphi(x)) > 0$ . But by hypothesis  $\pi(\varphi(|\sigma|)) < \pi(\varphi(x))$ , so we have either  $\sigma(|\sigma|) < \sigma(x) < \sigma(x+1)$  or  $\sigma(|\sigma|) < \sigma(x) < \sigma(x+1)$  which both lead to a 231 or a 213 motif in the text which is not possible. The same idea goes if  $\mathcal{S}(\sigma, x) = d$ .

So our hypothesis is not realisable. So our assertion is true.  $\square$

**Proposition 8** Let  $\sigma$  and  $\pi$  be two permutations avoiding (231, 213), we can decide in linear time if  $\sigma$  appear in  $\pi$ .

**Proof** To solve the permutation pattern for a permutation in  $\text{Av}_n(213, 231)$ , we find a match of the stripe of the pattern in the stripe of the text. This can be done linearly by a greedy algorithm, by matching a step whenever it is possible. And thank to the

definition of the definition of a stripe in **Corollary 3**, we do not have to compute the stripe advance. Therefore we have an linear (online) algorithm.  $\square$

## 4 Pattern Matching With Motif Avoiding (231,213)

**Proposition 9** Let  $\sigma, \pi$  be two permutation, with  $\sigma$  a permutation avoiding (231,213), we can decide in  $O(|\sigma| * |\pi|^2)$  time and  $O(|\sigma| * |\pi|^2)$  space if  $\sigma$  is a motif of  $\pi$ .

For the algorithm we introduce a decomposition, we decompose the motif into adjacent element of same step in the stripe (called such sequence segment), add the last element to the right most segment, and label them from right to left with increasing number. Let's call this decomposition  $S$ . For each segment, we call important the leftmost element denoted by  $IMP$ . For example, given the motif  $(12, 11, 10, 1, 2, 3, 4, 9, 8, 5, 6, 7)$ ,  $S(1) = (5, 6, 7)$ ,  $IMP(1) = 5$ ,  $S(2) = (9, 8)$ ,  $IMP(2) = 9$ ,  $S(3) = (1, 2, 3, 4)$ ,  $IMP(3) = 1$ ,  $S(4) = (12, 11, 10)$  and  $IMP(4) = 12$ .

Given a up step (down step) segment  $S(s)$ , if we want to match the suffix  $s_n s_{s-1} \dots s_1$  starting with an up step (down step), and given that we know every match of  $s_{s-1} \dots s_1$ , the optimal way of matching  $s_{s-1} \dots s_1$  is to choose the match that minimize (maximize) the maximal (minimal) element of the match and that allow a match of  $s_n$ . In other word if we want to match  $\sigma[IMP(s) :]$  with  $\pi[i :]$  and match  $IMP(s)$  with  $i$ . The optimal way of matching  $\sigma[IMP(s-1) :]$  is to choose the minimal (maximal) element  $\pi(i')$  such that : (1)  $\sigma[IMP(s-1) :]$  is matched with  $\pi[i' :]$  and  $IMP(s-1)$  is matched with  $e$ . (2) The segment  $S(s)$  is matched with  $\pi[i : i' - 1]$  and  $IMP(s)$  is matched with  $i$ . (3) Every elements of the match of (2), is inferior (superior) to the minimal (maximal) element of the match of (1). Indeed when we will match  $s_{n+1} s_n s_{s-1} \dots s_1$  more element will be available, because every matched element of  $s_{n+1}$  must be superior (inferior) to every matched element of  $s_{s-1} \dots s_1$ .

Consider the following problem :  
 $LM(s, i) =$  the optimal match of  $IMP(s-1)$  if there exists a match of  $\sigma[IMP(s) :]$  with  $\pi[i :]$  and  $IMP(s)$  is matched with element  $i$ .

This problem can be solve by induction.

$$\text{BASE : } LM(1, i) = \begin{cases} MIN_{i < i'} \{0\} \cup \{i' \mid i' \text{ such that } LIS(i, i', \pi(i')) \leq S(1)\} & \text{if } \mathcal{S}(\sigma, IMP(1)) = u \\ MAX_{i < i'} \{0\} \cup \{i' \mid i' \text{ such that } LDS(i, i', \pi(i')) \leq S(1)\} & \text{if } \mathcal{S}(\sigma, IMP(1)) = d \end{cases}$$

$$\text{STEP : } LM(s, i) = \begin{cases} MIN\{0\} \cup I(s, i) & \text{if } \mathcal{S}(\sigma, IMP(s)) = u \\ MAX\{0\} \cup D(s, i) & \text{if } \mathcal{S}(\sigma, IMP(s)) = d \end{cases}$$

With  $I(s, i)$  ( $D(s, i)$ ) the set of element such that if  $e \in I(s, i)$  then there exists a match of  $\sigma[IMP(s-1) : ]$  in  $\pi[e : ]$  and there is a match of  $s$  in  $\pi[i : e-1]$  with every element inferior (superior) to the match of  $\sigma[IMP(s-1) : ]$  in  $\pi[e : ]$ . Formally we define  $I(s, i)$  and  $D(s, i)$  such as:

$$I(s, i) = \{e \mid i < e \text{ and } LM(s-1, e) \neq 0 \\ \text{and } LIS(i, e-1, IMP(s-1)) \leq S(s)\}$$

$$D(s, i) = \{e \mid i < e \text{ and } LM(s-1, e) \neq 0 \\ \text{and } LDS(i, e-1, IMP(s-1)) \leq S(s)\}$$

With  $LIS(i, j, k)$  ( $LDS(i, j, k)$ ) is the longest increasing (decreasing) sequence in  $\pi$  starting at  $i$  and ending at  $j$ , with every element of this sequence inferior (superior) to  $k$ .  $LIS$  and  $LDS$  can be computed in  $O(|\pi|^2 * \log(\log(|\pi|)))$  (see [1]).

In the base case, we are looking for a match for the first segment. Each segment is either up step or down step. If the segment is an up step (down step) we have to find an increasing (decreasing) sequence in the text of same size of longest that the size of the segment, and to find the optimal solution we must assure that the last element of the sequence is minimal (maximal).

For the induction, it is the same idea except that we must assure that every element of the sequence is superior to the maximum element of the rest of the match or inferior to the minimal element of the rest of the match which is given by  $LM$  of the previous segment.

There exists a match of  $\sigma$  in  $\pi$  if and only if there exists a  $LM(n, i)$  for  $1 \leq i \leq |\pi|$ , with  $n$  the number of segment in  $\sigma$ . Moreover the basic case can be computed in  $O(|\pi|^2)$  and the induction on  $O(|\sigma| * |\pi|^2)$   $\square$ .

## 5 Pattern Matching With Bivincular Motif Avoiding (231,213)

**Proposition 10** Let  $(\sigma, X, Y)$  be a bivincular motif avoiding (231,213),  $\pi$  a permutation, we can decide in  $O(|\sigma| * |\pi|^3)$  time and  $O(|\pi|^3)$  space if  $\sigma$  appear in  $\pi$ .

**Proof** We consider the following problem :

Given a bivincular motif  $(\sigma, X, Y)$  avoiding (231, 213), a text  $\pi$ ,  $i, j$ ,  $i < j$ .

$$\mathbb{P}_{(\sigma, X, Y), \pi, \min, \max}(i, j) = \begin{cases} true & \text{If } \sigma[i:] \text{ is a motif of } \pi[j:] \\ & \text{with every element in} \\ & [min, max] \\ false & otherwise \end{cases}$$

$\mathbb{P}_{\sigma, \pi, \min, \max}$  is closed under induction. it can be solved by means of the following relations:

**BASE :**

if  $|\sigma| \notin X$  :

$$\mathbb{P}_{(\sigma, X, Y), \pi, \min, \max}(|\sigma|, j) = \begin{cases} true & \text{if } min < \pi(j) < max \\ false & otherwise \end{cases}$$

$$\mathbb{P}_{(\sigma, X, Y), \pi, \min, \max}(|\sigma| + 1, j) = true$$

if  $|\sigma| \in X$  :

$$\mathbb{P}_{(\sigma, X, Y), \pi, \min, \max}(|\sigma|, |\pi|) = \begin{cases} true & \text{if } min < \pi(|\pi|) < max \\ false & otherwise \end{cases}$$

$$\mathbb{P}_{(\sigma, X, Y), \pi, \min, \max}(|\sigma| + 1, |\pi|) = true$$

if  $|\sigma| \notin X$  and  $|\sigma| \in Y$  and  $\sigma(|\sigma|)$  is the maximal element:

$$\mathbb{P}_{(\sigma, X, Y), \pi, \min, \max}(|\sigma|, j) = \begin{cases} true & \text{if } min < \pi(j) < max \\ & \pi(j) \text{ is the maximal element} \\ false & otherwise \end{cases}$$

$$\mathbb{P}_{(\sigma, X, Y), \pi, \min, \max}(|\sigma| + 1, j) = true$$

if  $|\sigma| \in X$  and  $|\sigma| \in Y$  and  $\sigma(|\sigma|)$  is the maximal element:

$$\mathbb{P}_{(\sigma, X, Y), \pi, \min, \max}(|\sigma|, |\pi|) = \begin{cases} true & \text{if } min < \pi(|\pi|) < max \\ & \pi(|\pi|) \text{ is the maximal element} \\ false & otherwise \end{cases}$$

$$\mathbb{P}_{(\sigma, X, Y), \pi, \min, \max}(|\sigma| + 1, |\pi|) = true$$



**STEP :**

$$\mathbb{P}_{(\sigma, X, Y), \pi, \min, \max}(i, j) = \left\{ \begin{array}{ll} \bigcup_{j < k} \mathbb{P}_{(\sigma, X, Y), \pi, t(j), \max}(i+1, k) & \begin{array}{l} \text{if } \mathcal{S}(\sigma, i) = u \text{ and } i \notin X \\ \text{and } i \notin Y \\ \text{and } \min < \pi(j) < \max \end{array} \\ \\ \bigcup_{j < k} \mathbb{P}_{(\sigma, X, Y), \pi, \min, t(j)}(i+1, k) & \begin{array}{l} \text{if } \mathcal{S}(\sigma, i) = d \\ \text{and } i \notin X \text{ and } i \notin Y \\ \text{and } \min < \pi(j) < \max \end{array} \\ \\ \mathbb{P}_{(\sigma, X, Y), \pi, t(j), \max}(i+1, j+1) & \begin{array}{l} \text{if } \mathcal{S}(\sigma, i) = u \\ \text{and } i \in X \text{ and } i \notin Y \\ \text{and } \min < \pi(j) < \max \end{array} \\ \\ \mathbb{P}_{(\sigma, X, Y), \pi, \min, t(j)}(i+1, j+1) & \begin{array}{l} \text{if } \mathcal{S}(\sigma, i) = d \\ \text{and } i \in X \text{ and } i \notin Y \\ \text{and } \min < \pi(j) < \max \end{array} \\ \\ \mathbb{P}_{(\sigma, X, Y), \pi, t(j), \max}(i+1, \pi^{-1}(\pi(j) + 1)) & \begin{array}{l} \text{if } \mathcal{S}(\sigma, i) = u \\ \text{and } i \notin X \text{ and } i \in Y \\ \text{and } \min < \pi(j) < \max \\ \text{and } \pi^{-1}(\pi(j) + 1) > j \end{array} \\ \\ \mathbb{P}_{(\sigma, X, Y), \pi, \min, t(j)}(i+1, \pi^{-1}(\pi(j) - 1)) & \begin{array}{l} \text{if } \mathcal{S}(\sigma, i) = d \\ \text{and } i \notin X \text{ and } i \in Y \\ \text{and } \min < \pi(j) < \max \\ \text{and } \pi^{-1}(\pi(j) - 1) > j \end{array} \\ \\ \mathbb{P}_{(\sigma, X, Y), \pi, t(j), \max}(i+1, j+1) & \begin{array}{l} \text{if } \mathcal{S}(\sigma, i) = u \\ \text{and } i \in X \text{ and } i \in Y \\ \text{and } \pi(j) + 1 = \pi(j+1) \\ \text{and } \min < \pi(j) < \max \end{array} \\ \\ \mathbb{P}_{(\sigma, X, Y), \pi, \min, t(j)}(i+1, j+1) & \begin{array}{l} \text{if } \mathcal{S}(\sigma, i) = d \\ \text{and } i \in X \text{ and } i \in Y \\ \text{and } \pi(j) - 1 = \pi(j+1) \\ \text{and } \min < \pi(j) < \max \end{array} \\ \\ False & Otherwise \end{array} \right.$$

At each step (i,j), If  $i \notin X$  and  $i \notin Y$ , we match the current element of  $\sigma$  ( $\sigma(i)$ ) with the current element of  $\pi$  ( $\pi(j)$ ), if possible. Then we match  $\sigma[i+1 : ]$  with every suffixes  $\pi$  starting after  $j$ .

If  $i \in X$  and  $i \notin Y$ , we match  $\sigma(i)$  to  $\pi(j)$ , then we match  $\sigma[i+1:]$  with  $\pi[j+1:]$  with the condition that  $\sigma(i+1)$  is matched to  $\pi(j+1)$ .

If  $i \notin X$ ,  $i \in Y$  and  $i$  is a down step, we match  $\sigma(i)$  to  $\pi(j)$ , then we match  $\sigma[i+1:]$  with  $\pi[\pi^{-1}(\pi(j)-1):]$  with the condition that  $\sigma(i+1)$  is matched to the element  $\pi(j)-1$ .

If  $i \notin X$ ,  $i \in Y$  and  $i$  is an up step, we match  $\sigma(i)$  to  $\pi(j)$ , then we match  $\sigma[i+1:]$  with  $\pi[\pi^{-1}(\pi(j)+1):]$  with the condition that  $\sigma(i+1)$  is matched to the element  $\pi(j)+1$ .

If  $i \in X$ ,  $i \in Y$  and  $i$  is a down step, we match  $\sigma(i)$  to  $\pi(j)$ , then we match  $\sigma[i+1:]$  with  $\pi[j+1:]$  with the condition that  $\sigma(i+1)$  is matched to  $\pi(j+1)$ , and that  $\pi(j+1) = \sigma(i)-1$ .

If  $i \in X$ ,  $i \in Y$  and  $i$  is an up step, we match  $\sigma(i)$  to  $\pi(j)$ , then we match  $\sigma[i+1:]$  with  $\pi[j+1:]$  with the condition that  $\sigma(i+1)$  is matched to  $\pi(j+1)$ , and that  $\pi(j+1) = \sigma(i)+1$ .

There are two cases to consider searching for a match :

If  $|\sigma| \in X$  there exists a match if and only if

$\bigcup_{0 < \min < \max < |\pi|} \mathbb{P}_{\sigma, \pi, \min, t(j)}(0, 0)$  is true.

If  $|\sigma| \notin X$  there exists a match if and only if

$\bigcup_{0 < k < |\pi|, 0 < \min < \max < |\pi|} \mathbb{P}_{\sigma, \pi, \min, t(j)}(0, k)$  is true.  $\square$

## 6 Longest Subsequence Avoiding (231,213) for a Permutation

In this section we present an algorithm to solve the problem of the longest subsequence avoiding (231,213). To do so, we need the set of element that are matched to an up step and the set of element that are matched to a down step. For a permutation  $p$  of size  $n$ , we define  $P(p) = \{i | \mathcal{S}(p, i) = u\} \cup \{n\}$  and  $M(p) = \{i | \mathcal{S}(p, i) = d\} \cup \{n\}$ .

**Proposition 11** If  $s$  is a longest subsequence avoiding (213,231) with last element at index  $f$  in  $p$  then  $P(p)$  is a longest increasing subsequence with last element at index  $f$  and  $M(p)$  is a longest decreasing subsequence with last element at index  $f$ .

**Proof** Let's  $s$  is a longest subsequence avoiding (213,231) with last

element at index  $f$  in  $p$ , suppose that  $P(p)$  is not a longest increasing subsequence with last element at index  $f$ . Let's  $s_m$  be a longest increasing subsequence with last element  $f$ . Thus  $|s_m| > P(p)$ , clearly the sequence  $s_m \cup M(p)$  is avoiding (213,231) and is longer than  $s$  witch is not possible. The case for  $M(p)$  follows the same idea.  $\square$

**Proposition 12** Given a permutation  $p$ , finding the longest subsequence avoiding (231,213) can be done in  $O(|\pi| * \log(\log(|\pi|)))$  time and in  $O(n)$  space.

**Proof** The proposition 11 lead to algorithm where we have to compute longest increasing and decreasing subsequence ending at every index. Then finding the maximum sum of longest increasing and decreasing subsequence ending at the same index. Computing the longest increasing and decreasing can be done in  $O(|\pi| * \log(\log(|\pi|)))$  time and  $O(n)$  space (see [1]), then finding the maximum can be done in linear time.  $\square$

## 7 Longest Subsequence Avoiding (231,213) Common for Two Permutation

In this section we present an algorithm to find the longest common subsequence avoiding (231,213) between two permutations.

**Proposition 13** Given two permutation  $p_1$  and  $p_2$  The longest common subsequence avoiding (231,213) can be solve in  $O(|p_1|^3 * |p_2|^3)$ .

**Proof** Consider the following problem, that compute the longest stripe common to  $p_1$  and  $p_2$ . Given two permutation  $p_1$  and  $p_2$ .

$S_{p_1, p_2}(min_1, max_1, min_2, max_2, i_1, i_2) = \max\{ |m| \mid s \text{ is a pattern occurring in } p_1[i_1 : ] \text{ by the subsequence } s_1 \text{ and } min(s_1) = min_1 \text{ and } max(s_1) = max_1 \text{ and } s \text{ is occurring in } p_2[i_2 : ] \text{ by the subsequence } s_2 \text{ and } min(s_2) = min_2 \text{ and } max(s_2) = max_2 \}$

We show that this family of problems are closed under induction.

**BASE :**

$$S_{p_1, p_2, \min_1, \max_1, \min_2, \max_2}(|p_1|, |p_2|) = \begin{cases} 1 & \text{if } \min_1 < p_1(j) < \max_1 \\ & \text{and } \min_2 < p_2(j) < \max_2 \\ 0 & \text{otherwise} \end{cases}$$

**STEP :**

$$S_{p_1, p_2, \min_1, \max_1, \min_2, \max_2}(i_1, i_2) = \max \begin{cases} S_{p_1, p_2, \min_1, \max_1, \min_2, \max_2}(i_1, i_2 + 1) \\ S_{p_1, p_2, \min_1, \max_1, \min_2, \max_2}(i_1 + 1, i_2) \\ S'_{p_1, p_2, \min_1, \max_1, \min_2, \max_2}(i_1, i_2) \end{cases}$$

$$\text{with } S'_{p_1, p_2, \min_1, \max_1, \min_2, \max_2}(i_1, i_2) = \begin{cases} 1 + S_{p_1, p_2, p_1(i_1), \max_1, p_2(i_2)}(\max_2, i_1 + 1, i_2 + 1) & \begin{array}{l} p_1(i_1) < \min_1 \\ \text{and } p_2(i_2) < \min_2 \end{array} \\ 1 + S_{p_1, p_2, \min_1, p_1(i_1), \min_2, p_2(i_2)}(i_1 + 1, i_2 + 1) & \begin{array}{l} p_1(i_1) > \max_1 \\ \text{and } p_2(i_2) > \max_2 \end{array} \\ 0 & \text{otherwise} \end{cases}$$

For every pair  $i, j$  we either ignore the element of  $p_1$ , either ignore the element of  $p_2$ , either we match as the same step (if possible). Those relation lead to a  $O(|p_1|^3 * |p_2|^3)$  time and  $O(|p_1|^3 * |p_2|^3)$  space algorithm.  $\square$

## 8 Conclusion

## References

- [1] Sergei Bespamyatnikh and Michael Segal. Enumerating longest increasing subsequences and patience sorting, 2000.