

1. Merge Sort and Analysis of Recurrences

2. Loop Invariants

## Merge Sort:

Sort the first and second halves of the array recursively.

Merge these two sorted lists to obtain the sorted order of all the elements.

Merge( $A[1,2,\dots,n], B[1,2,\dots,m]$ ):

Given two sorted lists of size  $m$  &  $n$ ;

Merge outputs  $A \cup B$  in sorted order  
using at most  $(m+n)$  comparisons.

<b>A</b>	<b>2</b>	<b>5</b>	<b>6</b>	<b>10</b>	<b>14</b>		
<b>B</b>	<b>1</b>	<b>3</b>	<b>4</b>	<b>11</b>	<b>15</b>	<b>18</b>	<b>20</b>
<b>C</b>							

Merge(A[1,2,...,n],B[1,2,...m]):

i=1, j=1, k=0

While ( i<n and j<m)

    If (A[i]<B[j]) set C[k]=A[i] and increment i

    Else Set C[k]=B[j] and increment j

If (i=n) Copy remaining elements of B to C.

If (j=m) Copy remaining elements of A to C.

Output C.

## Merge Sort:



Sort the first and second halves of the array recursively.

Merge these two sorted lists to obtain the sorted order of all the elements.

$$T(n) = 2T(n/2) + O(n)$$

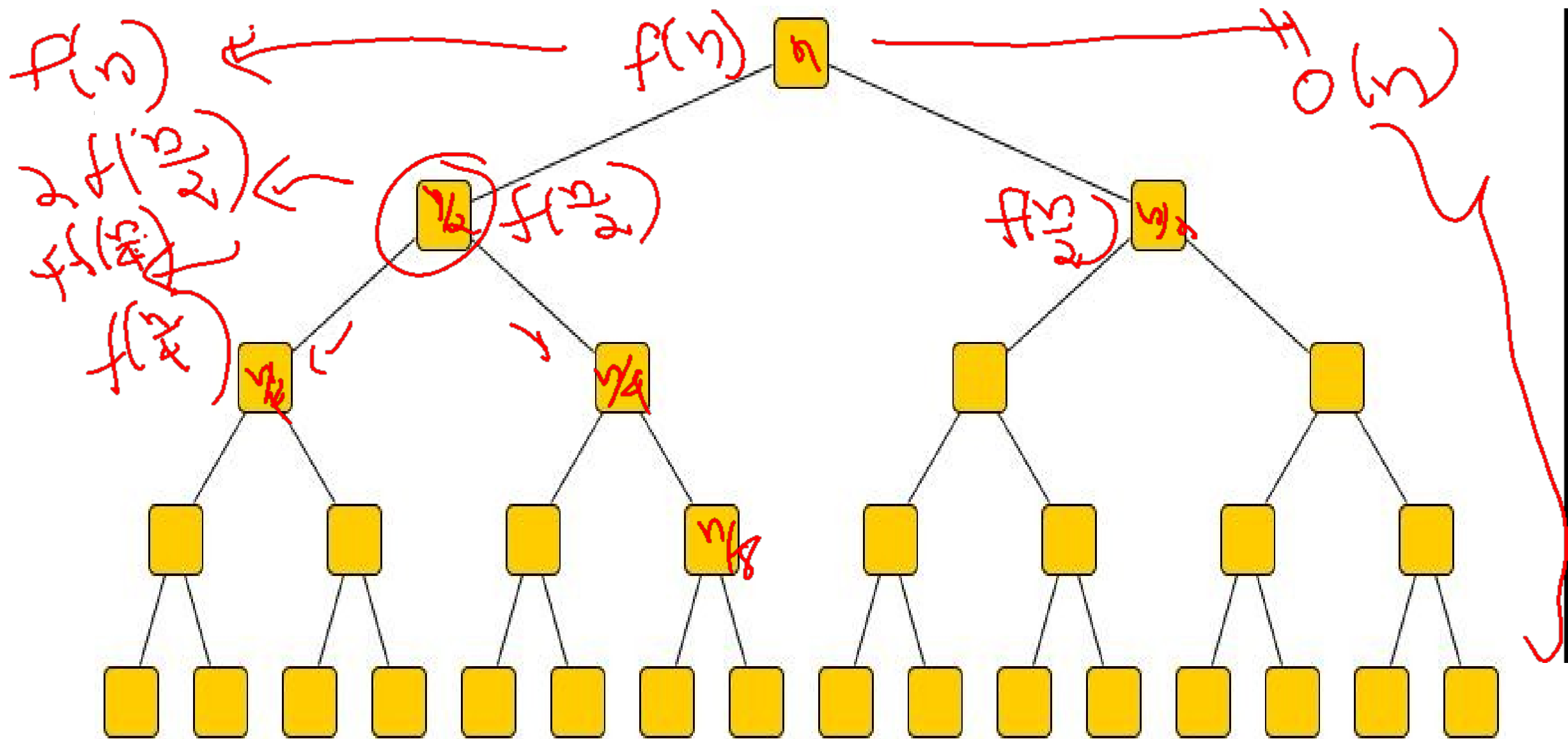
## Analysis:

$$\begin{aligned}T(n) &= 2T(n/2) + cn \\&= cn + 2(2T(n/4) + cn/2) \\&= cn + cn + 4T(n/4) \\&= cn + cn + cn + 8T(n/8)\end{aligned}$$

$\Rightarrow \underbrace{cn + cn + \dots + cn}_{k \text{ times}}$

$$= cn \log_2 n = O(n \log n)$$

$k = \log_2 n$



# Instructions at level 1:  $f(n)$

# Instructions at level 2:  $2f(n/2)$

# Instructions at level 3:  $4f(n/4)$

$$f(n) = cn$$

$$n = 2^k$$

$$T(n) = f(n) + 2f(n/2) + 4f(n/4) + \dots$$

$$= cn + 2\left(c\frac{n}{2}\right) + 4\left(c\frac{n}{4}\right) + \dots$$

$$= \underline{\underline{cn \log_2 n}}$$



Ex 1:  $T(n) = 2T(n/2) + \text{sqrt}(n)$

$\sum_{k=0}^{\log_2 n} 2^k \sqrt{n/2^k}$   
 $= \sqrt{n} \sum_{k=0}^{\log_2 n} 2^{k/2}$   
 $= \sqrt{n} \frac{2^{(\log_2 n + 1)/2} - 1}{2^{1/2} - 1}$   
 $= O(\sqrt{n})$

Ex 2:  $T(n) = 8T(n/2)$

$8 \times 8 T(n/4) = 8^2 T(n/4)$   
 $= 8^3 T(n/8)$   
 $= \dots$   
 $= 8^{\log_2 n} T(1) = n^3$

$T(n) = \sqrt{n} + 2\left(\sqrt{\frac{n}{2}}\right) + 4\left(\sqrt{\frac{n}{4}}\right) + \dots$

$\frac{2^{n+1} - 1}{2 - 1} = O(2^n)$   
 $\log_2 2 = 1$   
 $n$   
 $= \sqrt{n} (1 + \sqrt{2} + (\sqrt{2})^2 + \dots + (\sqrt{2})^k)$   
 $= \sqrt{n} O(\sqrt{2}^k)$   
 $= \sqrt{n} \cdot O(\sqrt{n}) = O(n)$

$$\begin{aligned}
 & \log_c b \cdot \log_c a \\
 & \log_c b \cdot \log_c a \\
 & \log_c b \cdot \log_c a
 \end{aligned}$$

$$\begin{aligned}
 T(n) &= 8 T\left(\frac{n}{2}\right) + \Theta(1) \\
 &= 8^2 T\left(\frac{n}{2^2}\right) + \Theta(\log n) \\
 &= 8^3 T\left(\frac{n}{2^3}\right) + \Theta(\log n) \\
 &\vdots \\
 &= 8^k T\left(\frac{n}{2^k}\right) + \Theta(\log n) \\
 &= 8^k \cdot 1 + \Theta(\log n) \\
 &= 8^k + \Theta(\log n) \\
 &= 8^{\log_2 n} + \Theta(\log n) \\
 &= n^3 + \Theta(\log n) \\
 &= \Theta(n^3)
 \end{aligned}$$

## Method 2: Master Theorem

$$T(n) = aT(n/b) + f(n) \quad a, b > 1$$

Compare  $n^{(\log_b a)}$  and  $f(n)$ . If one of them is larger, that's the solution.

If both are equal, the solution is

$$T(n) = O(f(n) \log n)$$

Handwritten notes:

- $f(n)$  (multiple instances)
- $\log_b a$
- $n$
- $T(n) = aT(\frac{n}{b})$  (circled)
- $= a^{\log_b n} b^{\log_b n}$
- $\log_b a$
- $f(n) (1 + \frac{a}{b} + \frac{a^2}{b^2} + \dots) = \frac{f(n)}{1 - \frac{a}{b}}$
- $\frac{a}{b} < 1$
- $\frac{a}{b} > 1$
- $\frac{a}{b} = 1$
- $T(n) = O(f(n) \log n)$
- $n = n$

## Master Theorem E.g. 1

$$T(n) = aT\left(\frac{n}{b}\right) + f(n)$$

$$T(n) = 3T(n/2) + 5n$$

$$\theta(n^{\log_2 3}) \text{ vs } O(n)$$

$$\log_2 3 \approx 1.58$$

$$T(n) = O(n^{\log_2 3})$$

$$3^{\log_2 n} = n^{\log_2 3}$$

## Master Theorem E.g. 2

$$T(n) = 4T(n/2) + n^2$$

$$n^{\log_2 4} = n^2$$
$$T(n) = O(n^2 \log n)$$

$$\textcircled{1} T(n) = 3T\left(\frac{n}{5}\right) + O(\sqrt{n})$$

$$\textcircled{2} T(n) = 3T\left(\frac{n}{3}\right) + O(n)$$



$\log_3$   
 $n$

$\sqrt[3]{n}$   
 $\sqrt[3]{n}$

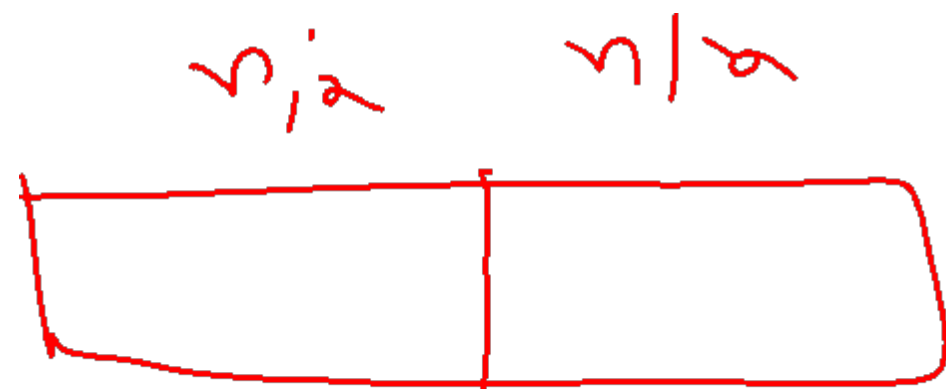
$\log_3$   
 $\sqrt[3]{n}$   
 $\sqrt[3]{n}$

$\log_2 n = O(\log_3 n)$

$\log_3 n = O(\log_2 n)$   
 $= O(\log n)$

$\log_3$   
 $n$

$n = n$   
 $\Theta(n) = O(n \log n)$



$x, A[mid]$   $3n/4$

A horizontal double-headed arrow is positioned below the text, spanning the width of the  $3n/4$  label.



Skewed  
binary  
search



## Sorting:

Input:  $A[1,2,\dots,n]$

Output:  $B[1,2,\dots,n]$ :

$$B[1] \leq B[2] \leq \dots \leq B[n]$$

and

$$\{B[1], B[2], \dots, B[n]\} = \{A[1], A[2], \dots, A[n]\}$$

5	2	4	6	1	3
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5	2	4	6	1	3
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5	2	4	6	1	3
---	---	---	---	---	---

5	2	4	6	1	3
---	---	---	---	---	---

5	2	4	6	1	3
---	---	---	---	---	---

# Insertion Sort

For  $j=2$  to  $n$

$\text{key} = A[j]$

    //Insert  $A[j]$  into the sorted sequence  $A[1, \dots, j-1]$

$i = j-1$

    while ( $i > 0$  and  $A[i] > \text{key}$ )

$A[i+1] = A[i]$

$i = i-1$

$A[i+1] = \text{key}$

## Insertion Sort: Loop Invariants

For  $j=2$  to  $n$

$\text{key} = A[j]$

    //Insert  $A[j]$  into the sorted sequence  $A[1, \dots, j-1]$

$i = j-1$

    while ( $i > 0$  and  $A[i] > \text{key}$ )

$A[i+1] = A[i]$

$i = i-1$

$A[i+1] = \text{key}$