# CS 6160 Cryptology Lecture 2: Classical Ciphers and Perfect Secrecy

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August 26, 2021

# Caeser Cipher/Shift Cipher

- Named after Julius Ceaser who used it to communicate with his generals.
- Replace each letter with one that is a fixed number of places down the alphabet.

#### Ceasar cipher

$$\mathcal{M} = \{A, B, \dots, Z\}^*$$
  $\mathcal{K} = \{0, 1, 2, \dots, 25\}$   $extit{Gen} = k, k \in \mathcal{K}$ 

$$Enc_k(m_1m_2...m_n) = (c_1c_2...c_n)$$
, where  $c_i = m_i + k \mod 26$   
 $Dec_k(c_1c_2...c_n) = (m_1m_2...m_n)$  where  $m_i = c_i - k \mod 26$ .

# Caeser Cipher/Shift Cipher

- Encrypted messages look scrambled (unless k is known).
- Encrypt with k = 7



- Cryptanalysis
  - ► We just need to try all 26 different values of *k* see if the resulting plaintext is readable.
  - ▶ If the message is relatively long, the scheme is easily broken.

## Substitution Cipher

- Choose a permutation  $\pi$  of the alphabet set  $\{A,B\ldots,Z\}$  and apply that to all letters in the plaintext.
- Permutation : one-one, onto function from a set to itself
- Brute-force won't work you have to try  $26! \approx 2^{88}$  possible keys.

#### Substitution Cipher

$$\mathcal{M}=\{A,B,\ldots,Z\}^*$$
  $\mathcal{K}=$  the set of permutations of  $\{A,B\ldots,Z\}$   $extit{Gen}=\pi,\pi\in\mathcal{K}$ 

$$Enc_{\pi}(m_1m_2\dots m_n)=c_1c_2\dots c_n, \text{ where } c_i=\pi(m_i)$$

$$Dec_{\pi}(c_1c_2\dots c_n)=m_1m_2\dots m_n \text{ where } m_i=\pi^{-1}(c_i).$$

Cryptanalysis of Substitution Cipher?

## Different types of attacks

- Passive attack Ciphertext-only attack : Attack performed with only ciphertexts. Most difficult attack.
- Passive attack Known-plaintext attack (KPA): Attacker is given the pair (plaintext, ciphertext). Relevant because the attacker may know side information (e.g. headers) that allows him to deduce some plaintexts.
- Active attack Chosen-plaintext attack (CPA): Attacker obtains (plaintext, ciphertext) where plaintexts are of his choice. e.g: information we encrypt is not guaranteed to come from trusted sources.
- Active attack Chosen-ciphertext attack (CCA): Attacker requests (plaintext, ciphertext) for arbitrary ciphertexts of his choice. E.g: We cannot always trust the provenance of the ciphertexts we decrypt.

## Cryptanalysis of Substitution Cipher

- Chosen plaintext attack completely insecure!
- Ciphertext only (passive) attack? Frequency analysis
- E.g. in the ciphertext, if x is the most common letter it is likely that  $\pi(e) = x$ .

```
a 0.0804 h 0.0549 o 0.0760 v 0.0099 b 0.0154 i 0.0726 p 0.0200 w 0.0192 c 0.0306 j 0.0016 q 0.0011 x 0.0019 d 0.0339 k 0.0067 r 0.0612 y 0.0173 e 0.1251 l 0.0414 s 0.0554 z 0.0009 f 0.0230 m 0.0253 t 0.0925 g 0.0196 n 0.0709 u 0.0271
```

Additionally, we need to make use of the frequencies of digrams (two letter seq.) and trigrams (three letter seq.) in the plaintext language. For e.g. frequent three letter words: "and", "the".

# Vigenère cipher

- So far, all were monoalphabetic ciphers each symbol in the plaintext is mapped to a unique symbol in the ciphertext based on the secret key.
- Vigenère cipher is a polyalphabetic cipher same plaintext symbol can be mapped to more than one ciphertext symbols.
- A generalization of the shift cipher where each letter of the plaintext is shifted by different amounts.
- Key is a string  $k=k_1\dots k_n$  with  $k_i\in\{0,\dots,25\}$
- Encryption of  $m = m_1 \dots m_l$  under key k is  $(m_1 + k_1 \mod 26)(m_2 + k_2 \mod 26) \dots (m_n + k_n \mod 26)(m_{n+1} + k_1 \mod 26), \dots)$ .

# Vigenère cipher

$$\mathcal{K} = \{k = (k_1 \dots k_n) : k_i \in \{0, \dots, 25\}\},$$
 $Gen = k, k \in \mathcal{K}$ 
 $Enc_k(m_1 m_2 \dots m_l) = c_1 c_2 \dots c_l, \text{ where } c_i = m_i + k_j \mod 26,$ 
 $j = i \mod n$ 
 $Dec_k(c_1 c_2 \dots c_l) = m_1 m_2 \dots m_l \text{ where } m_i = c_i - k_j \mod 26,$ 
 $j = i \mod n$ 

 $\mathcal{M} = \{A, B, \dots, Z\}^*$ 

S E N D R E I N F O R C E M E N T S V I G E N E R E V I G E N E R E V I N M T H E I Z R A W X G R Q V R O A

# Cryptanalysis of Vigenère cipher

- If both the plaintext and the ciphertext are known, it is easy to break the system. Just compute the difference between each letter in the ciphertext and the plaintext.
- And insecure of course with a chosen plaintext attack.
- What about ciphertext only attack?
- The key space is of size  $26^n$  so except for small n a brute force attack is not possible.
- Frequency distribution wont work.
- Charles Babbage and "Kasiski Test" (Both came up independently and Babbage was earlier. )

## 'Kasiski Test''

#### First step - determining *n*

- Determine the keyword length n.
- Any two (or more) identical segments of plaintext will encrypt to the same ciphertext letters whenever the distance is a multiple of *n*.
- Look for identical segments of the ciphertext.
  - ► Suppose we have *m* such identical segments.
  - Record the distance between starting position of two segments say  $l_1, l_2, ...$
  - ▶ Prove : n divides  $l_1, l_2$  and n divides the gcd of  $l_1, l_2, \ldots$ , and therefore n is the GCD.

'Kasiski Test''

#### Another way to determine n

- Guess for n and divide the ciphertext into n bins  $B_0, B_1, \ldots, B_{n-1}$  by placing the ith ciphertext into  $B_{i \mod n}$ .
- If the frequency distribution of the symbols *n* each bin resembles the expected distribution of a "meaningful" English text, then our guess is most probably correct.

#### Second step - determining the keyword

- Suppose we have got the correct keyword length n and the ciphertext symbols are arranged in bins  $B_0,\ldots,B_{n-1}$  as in Strategy II.
- The ciphertext symbols in each bean  $B_i$  is the result of applying a "shift cipher" (i.e., a cyclic shift of the corresponding plaintext letters.)
- Use the frequency distribution of ciphertext symbols in  $B_i$  to make a guess for the *i*th letter of the keyword.
- Use partial guesses for the key letters to guess the keyword.

## Vernam Cipher – One Time Pad

$$\mathcal{M}=\{0,1\}^*$$
  $\mathcal{K}=\{0,1\}^*$  where key length  $=$  message length  $extit{Gen}=k,k\in\mathcal{K}$ 

$$Enc_k(m_1m_2...m_n) = c_1c_2...c_n$$
, where  $c_i = m_i \oplus k_i$   
 $Dec_k(c_1c_2...c_n) = m_1m_2...m_n$  where  $m_i = c_i \oplus k_i$ 

- Vigenère cipher with key length equal to the length of the plaintext.
- Key must be chosen in a completely random way and only used once.
- Perfectly secret but impractical! Key should be as long as message and used only once.

## One Time Pad

- Encrypting and Decrypting : just XOR with the secret!

$$Enc_k(m) = c = m \oplus k$$
  
 $Dec_k(c) = m = c \oplus k$ 

- Why is it secure? Every  $m \in \mathcal{M}$  and ciphertext  $c \in \mathcal{C}$  correspond to a unique key k
- What is perfect secrecy?

  A method is secure iff the odds of the adversary to figure out m are the same whether or not he has seen c.
- How to formalize this notion?

## Perfectly Secret Encryption

#### Definition

Let  $m \in \mathcal{M}$  be a random message and  $c \in \mathcal{C}$  be the ciphertext of m. The encryption scheme is said to be perfectly secure if for an adversary  $Pr[M=m|\mathcal{C}=c]=Pr[M=m]$ .

# One Time Pad is Perfectly Secure Proof: To show that Pr[M = m | C = c] = Pr[M = m] for each pair m, c.

$$Pr[(M=m|C=c)] = \frac{Pr[(M=m\cap C=c)]}{Pr[C=c]}$$

by Bayes law,

$$=\frac{Pr[(M=m)]\cdot Pr[(C=c|M=m)]}{Pr[C=c]}$$

by conditional prob. def.,

$$= \frac{Pr[(M=m)] \cdot Pr[(C=c|M=m)]}{\sum_{m' \in \mathcal{M}} (Pr[M=m'] \cdot Pr[C=c|M=m'])}$$

by expanding Pr[C=c] as the sum of all cond. prob

## Proof Contd

- Note that  $Pr[C = c|M = m'] = Pr[k = c \oplus m']$  in OTP.
- Since every  $k \in \{0,1\}^n$  is equally likely to be a key  $Pr[k=c \oplus m'] = \frac{1}{2^n}$ .

$$Pr[M = m | C = c] = \frac{Pr[M = m] \cdot \frac{1}{2^n}}{\sum_{m' \in \mathcal{M}} (Pr[M = m']) \cdot \frac{1}{2^n}}$$
$$= \frac{Pr[M = m]}{\sum_{m' \in \mathcal{M}} (Pr[M = m'])}$$
$$= \frac{Pr[M = m]}{1}$$

## Shannon's result

- OTPs are not practical especially because of the key length.
- Can we have a clever way of getting perfect secrecy with shorter keys? Unfortunately the answer is no!

#### Theorem (Shannon)

For any perfectly secure scheme where Alice and Bob share a key k from space K and can encrypt any message m from space M, we must have  $|K| \ge |M|$ .

Thus OTP is optimal in this regard. Anybody else claiming that they have discovered an unbreakable cipher with shorter keys are wrong!

## Shannon's result - Proof

- For any valid ciphertext c, let A be the number of messages m that could result from the decryption of c under some secret key k.
- Let us estimate A in two ways:
- For a given key  $k \in \mathcal{K}$  there can be at most one m since Alice could decrypt c in at most one way for each k.
- Thus  $|A| \leq |\mathcal{K}|$ .
- Claim :  $|A| = |\mathcal{M}|$ , i.e. every  $m \in \mathcal{M}$  can result in producing c.
- If not for some m, then Pr[M=m]>0 before we saw c, but Pr[M=m|C=c]=0, contradiction to perfect security!
- Thus,  $|A| = |\mathcal{M}| \le |\mathcal{K}|$ .

### Observations

- Perfect secrecy is w.r.t. computationally unbounded adversary. This is why we assumed Eve to be a PPT.
- Is this true? : Every encryption scheme for which  $|\mathcal{K}|$  equals

perfectly secret. A: False.

- ▶ Let  $\mathcal{M} = \{a, b\}$ ,  $\mathcal{K} = \{k_1, k_2\}$ ,  $\mathcal{C} = \{0, 1\}$ .
- ▶ Let  $Enc_k(a) = 0$  and  $Enc_k(b) = 1$  for  $k = k_1, k_2$ .
- ► Dec algorithm will return a on input ciphertext 0 and b on input ciphertext 1.
- ► Clearly, the scheme is correct.

$$Pr[M = a | C = 1] = 0 \neq (1/2) = Pr[M = a]$$

not perfectly secret!

- Gen must choose the key uniformly from the set of all keys but that is not enough! for every message m and ciphertext c there is a unique key mapping m to c

## Observations/Exercises

- Ceaser cipher is definitely not secure. What if we encrypt only one letter? i.e.,  $\mathcal{M} = \mathcal{C} = \{0,\dots,25\}$  and not  $\{0,1,\dots,25\}^*$ ? Prove that in such a scenario it is a perfectly secure cipher!
- Consider an encryption scheme (Gen, Enc, Dec) where for any two messages  $m, m' \in \mathcal{M}$  the distribution of the ciphertext when m is encrypted is identical to the distribution of the ciphertext when m' is encrypted. i.e.

$$Pr[Enc_{K}(m) = c] = Pr[Enc_{K}(m') = c], \forall c \in C$$
 (1)

The encryption scheme is said to have adversarial indistinguishability.

- Q: Show that it is equivalent to saying an encryption scheme is perfectly secret.