

# *Semantic Matching using Neural Networks*

Information Retrieval

CSE, IIT Kharagpur

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# Semantic Matching

tf-idf LM etc

## Definition

.. conduct query/document analysis to represent the meanings of query/document with richer representations and then perform matching with the representations."

i.e., go beyond keyword (lexical) matching.

We will discuss both unsupervised and supervised methods of semantic matching.

Relevance Feedback (Pseudo)  
Beyond Keyword matching?  
→ Query Expansion

# *Semantic Matching: What have we seen till now?*

- Query expansion
- Relevance Feedback
- Translation Model (How to model word similarity?)

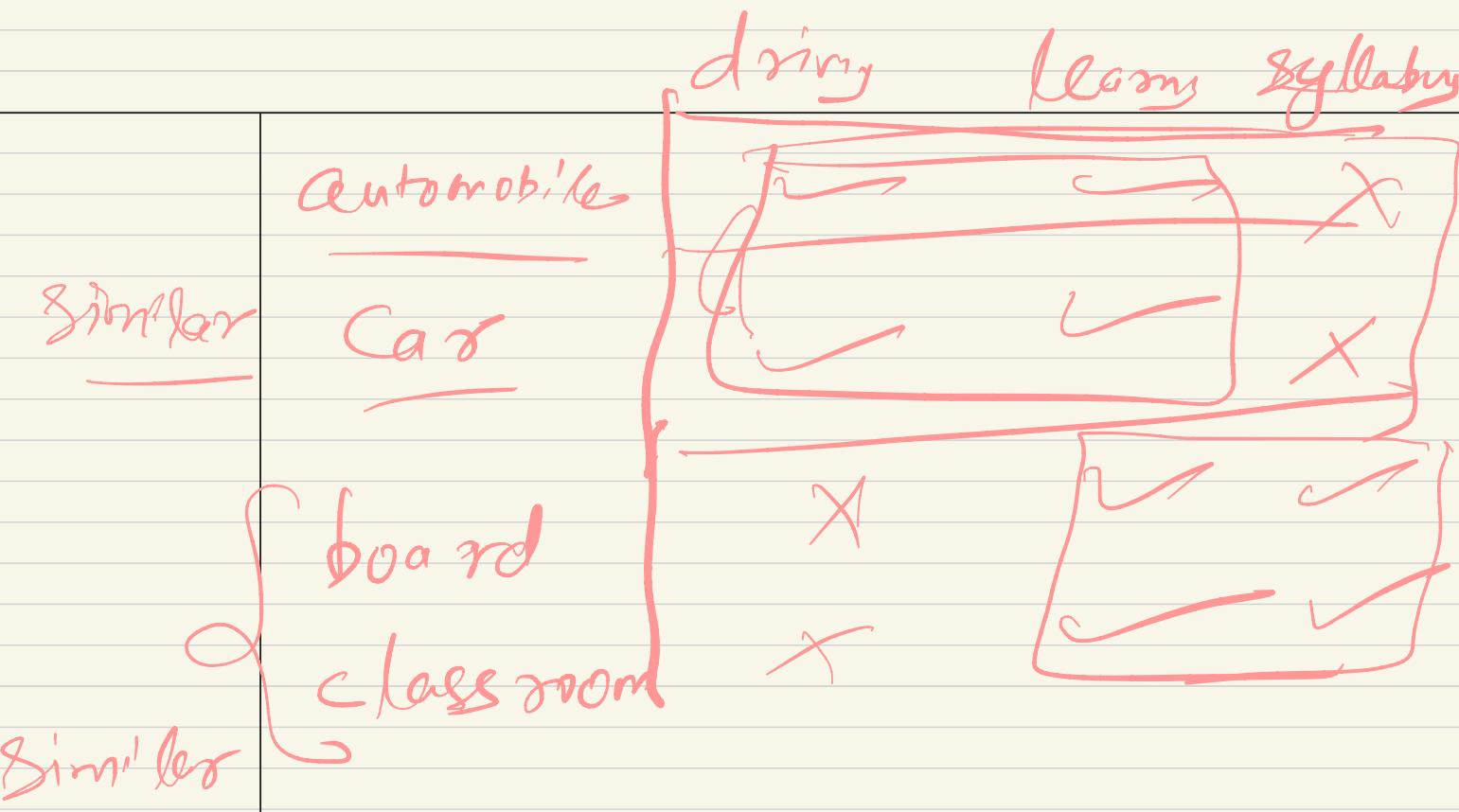
# *Semantic Matching: What have we seen till now?*

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## *Distrributional Hypothesis*

Words that occur in similar contexts tend to have similar meanings.

*Word similarity*  
Distributional Semantic Models



Pointwise Mutual information

# *Semantic Matching: What have we seen till now?*

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## *Distrributional Hypothesis*

Words that occur in similar contexts tend to have similar meanings.

Word embeddings have proved to be very important for modeling semantic similarity

# *Word2Vec – A distributed representation*

## *Distributional representation – word embedding?*

Any word  $w_i$  in the corpus is given a distributional representation by an embedding

$w_i \in R^d$   
i.e., a  $d$ -dimensional vector, which is mostly learnt!

without any  
annotation

# *Word2Vec – A distributed representation*

## *Distributional representation – word embedding?*

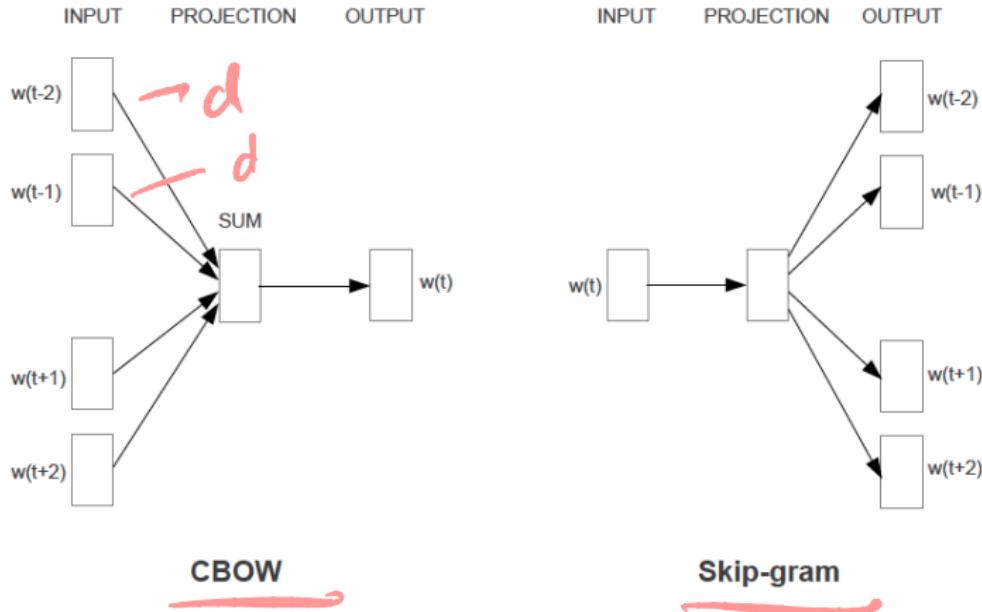
Any word  $w_i$  in the corpus is given a distributional representation by an embedding

$$w_i \in R^d$$

i.e., a  $d$ -dimensional vector, which is mostly learnt!

$$\text{linguistics} = \begin{pmatrix} 0.286 \\ 0.792 \\ -0.177 \\ -0.107 \\ 0.109 \\ -0.542 \\ 0.349 \\ 0.271 \end{pmatrix} \rightarrow 8\text{-dim}$$

## Two Variations: CBOW and Skip-grams



# What do we finally have?

- For each word  $w_i$  in vocabulary (size  $V$ ), we have two vectors:  $v_i^{IN}$  and  $v_i^{OUT}$ , each of  $d$ -dimensions.
- Generally, you can just add these vectors and use  $v_i = v_i^{IN} + v_i^{OUT}$
- Ideally, similar words will have similar vectors

How do we go about using these for the retrieval task

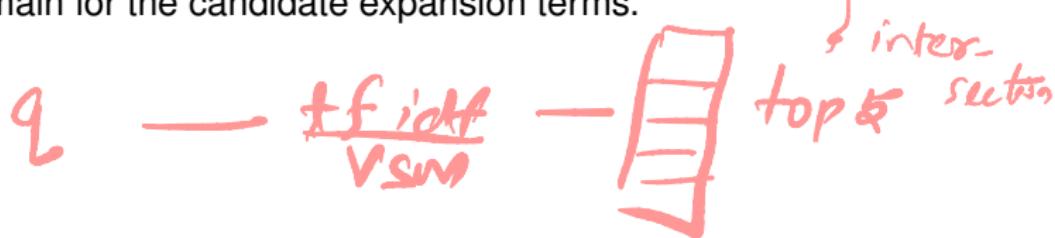
Cosine Similarity  
Query Expansion? →

# Pre-trained word embeddings for query expansion

## Basic Idea

Identify expansion terms using word2Vec cosine similarity

- Pre-retrieval: Taking nearest neighbors of query terms as the expansion terms
- Post-retrieval: Using a set of pseudo-relevant documents to restrict the search domain for the candidate expansion terms.



# Neural Translation Language Model

Language Model: Using Query Likelihood

$$P(q|d) = \prod_{t_q \in q} p(t_q|d)$$

What happens in translation language model



# Neural Translation Language Model

## Language Model: Using Query Likelihood

$$P(q|d) = \prod_{t_q \in q} p(t_q|d)$$

What happens in translation language model

$$p(t_q|d) = \sum_{t_d \in d} p(t_q|t_d)p(t_d|d)$$

You can use similarity between term embeddings for term-term translation probability, thus

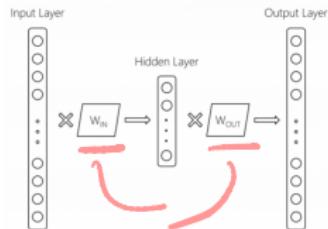
$$p(t_q|t_d) = \frac{\cos(\vec{v}_{t_q}, \vec{v}_{t_d})}{\sum_{t \in V} \cos(\vec{v}_t, \vec{v}_{t_d})}$$

$$\underline{p(t_q|t_d)}$$



# Dual Embedding Space Model (DESM)

Dual Embeddy



Word2vec optimizes IN-OUT dot product which captures the co-occurrence statistics of words from the training corpus:

- We can gain by using these two embeddings differently

Nalisnick et al., 2016. Improving Document Ranking with Dual Word Embeddings. (WWW '16 Companion).

Given  $\underline{x}$       •       $\frac{\underline{v}_{in.}}{z_1, z_2, z_3 -}$

# Dual Embedding Space Model (DESM)

vale			seahawks			eminem		
IN-IN	OUT-OUT	IN-OUT	IN-IN	OUT-OUT	IN-OUT	IN-IN	OUT-OUT	IN-OUT
yale	yale	yale	seahawks	seahawks	seahawks	eminem	eminem	eminem
harvard	uconn	faculty	49ers	broncos	highlights	rihanna	rihanna	rap
nyu	harvard	alumni	broncos	49ers	jerseys	ludacris	dre	featuring
cornell	tulane	orientation	packers	nfl	tshirts	kanye	kanye	tracklist
tulane	nyu	haven	nfl	packers	seattle	beyonce	beyonce	diss
tufts	tufts	graduate	steelers	steelers	hats	2pac	tupac	performs

- IN-IN and OUT-OUT cosine similarities are high for words that are similar by function or type (typical) and the Universities / Names
- IN-OUT cosine similarities are high between words that often co-occur in the same query or document (topical).

# Pre-trained word embeddings for document retrieval

DESM [Nalisnick et al., 2016]: Using IN-OUT similarity to model document aboutness.

- ▶ A document is represented by the centroid of its word OUT\_vectors:

$$\vec{v}_{d,\text{OUT}} = \frac{1}{|d|} \sum_{t_d \in d} \frac{\vec{v}_{t_d,\text{OUT}}}{\|\vec{v}_{t_d,\text{OUT}}\|}$$

- ▶ Query-document similarity is average of cosine similarity over query words:

$$\text{DESM}_{\text{IN-OUT}}(q, d) = \left\{ \frac{1}{q} \sum_{t_q \in q} \frac{\vec{v}_{t_q,\text{IN}}^\top \vec{v}_{t_d,\text{OUT}}}{\|\vec{v}_{t_q,\text{IN}}\| \|\vec{v}_{t_d,\text{OUT}}\|} \right\}$$

- ▶ IN-OUT captures more topical notion of similarity than IN-IN and OUT-OUT.

OUT-OUT      IN-IN

# How do you evaluate this?

- Train CBOW from either
  - 600 million Bing queries
  - 342 million web document sentences
- Test on 7,741 randomly sampled Bing queries
  - 5 level eval (Perfect, Excellent, Good, Fair, Bad)
- Two approaches
  1. Use DESM model to rerank top results from BM25
  2. Use DESM alone or a mixture model of it and BM25

$$MM(Q, D) = \alpha DESM(Q, D) + (1 - \alpha) BM25(Q, D)$$

$\alpha \in \mathbb{R}, 0 \leq \alpha \leq 1$

75K~~K~~'s  
Top 15 doc.  
returned by  
system  
tf-idf  
tf  
Val set

## Results: Reranking k-best list

top 10

	Explicitly Judged Test Set		
	NDCG@1	NDCG@3	NDCG@10
BM25	23.69	29.14	44.71
LSA	22.41*	28.25*	44.24*
DESM (IN-IN, trained on body text)	23.59	29.59	45.51*
DESM (IN-IN, trained on queries)	23.75	29.72	46.36*
DESM (IN-OUT, trained on body text)	24.06	30.32*	46.57*
DESM (IN-OUT, trained on queries)	25.02*	31.14*	47.89*

Pretty decent gains – e.g., 2% for NDCG@3

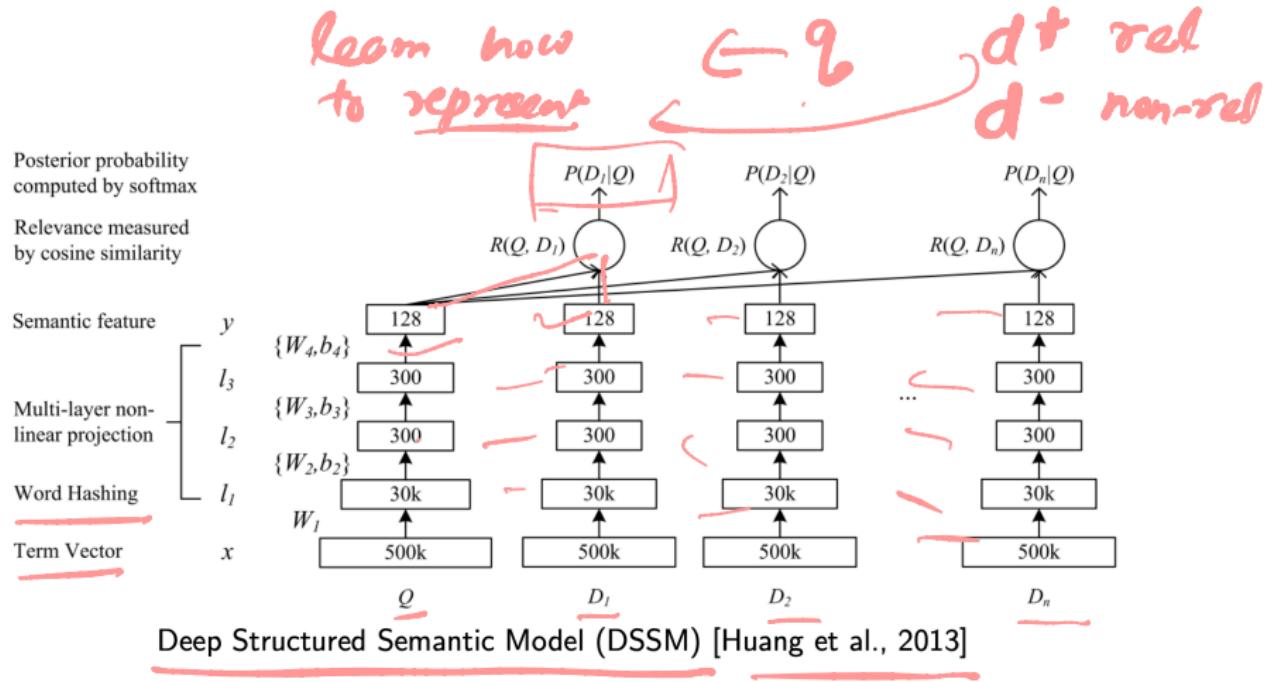
Gains are bigger for model trained on queries than docs

## Results: whole ranking system

	Explicitly Judged Test Set		
	NDCG@1	NDCG@3	NDCG@10
BM25	21.44	26.09	37.53
LSA	04.61*	04.63*	04.83*
DESM (IN-IN, trained on body text)	06.69*	06.80*	07.39*
DESM (IN-IN, trained on queries)	05.56*	05.59*	06.03*
DESM (IN-OUT, trained on body text)	01.01*	01.16*	01.58*
DESM (IN-OUT, trained on queries)	00.62*	00.58*	00.81*
BM25 + DESM (IN-IN, trained on body text)	21.53	26.16	37.48
BM25 + DESM (IN-IN, trained on queries)	<b>21.58</b>	26.20	37.62
BM25 + DESM (IN-OUT, trained on body text)	21.47	26.18	37.55
BM25 + DESM (IN-OUT, trained on queries)	21.54	26.42*	37.86*

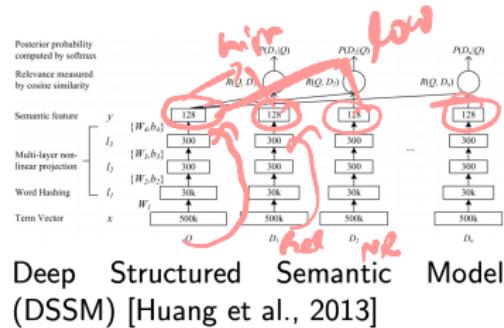
Unsupervised

# Semantic Matching – with Supervision



1. Represent query and document as vectors  $q$  and  $d$  in a latent vector space

2. Estimate the matching degree between  $q$  and  $d$  using cosine similarity



## Why supervised?

We learn to represent queries and documents in the latent vector space by forcing the vector representations

- for relevant query-document pairs  $(q, d^+)$  to be close in the latent space; and
- for irrelevant query-document pairs  $(q, d^-)$  to be far in the latent vector space

# Understanding DSSM - How to represent text

How to represent text (e.g., Shinjuku Gyoen)?

500K

1. Bag of Words (BoW) [large vocabulary (500000 words)]

{ 0, ..., 0 (apple), 0, ..., 0, 1 (gyoen), 0, ..., 0, 1 (shinjuku), 0, ..., 0 }

500 dim

2. Bag of Letter Trigrams (BoLT) [small vocabulary (30621 letter 3-grams)]

{ 0, ..., 0 (abc), 0, ..., 1 (-gy), 0, ..., 0, 1 (.sh), 0, ..., 0, 1 (en\_), 0, ..., 0, 1 (gyo), 0, ..., 0, 1 (hin), 0, ..., 0, 1 (inj), 0, ..., 0, 1 (juk), 0, ..., 0, 1 (ku\_), 0, ..., 0, 1 (oen), 0, ..., 0, 1 (shi), 0, ..., 0, 1 (uku), 0, ..., 0, 1 (yoe), 0 }

30K

Bat      mammal  
Wood       
2 vectors

# Understanding DSSM - Architecture

$x = \text{BoW}(\text{text})$

$\mathbf{l}_1 = \text{WordHashing}(x)$  301C

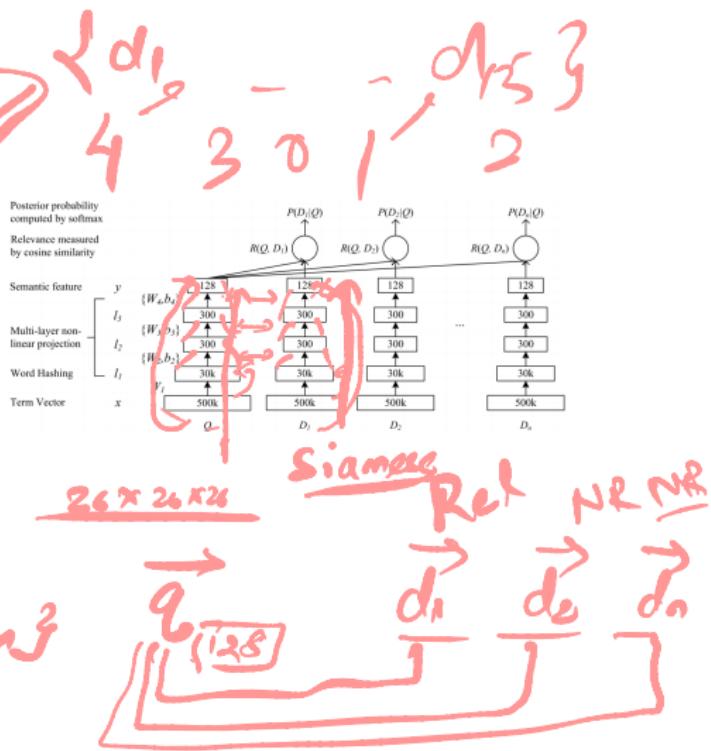
$\mathbf{l}_2 = \tanh(W_2 \mathbf{l}_1 + b_2)$

$\mathbf{l}_3 = \tanh(W_3 \mathbf{l}_2 + b_3)$

$\mathbf{l}_4 = \tanh(W_4 \mathbf{l}_3 + b_4)$

[28]

web queries  $Q$   
 $l_1, l_2, l_3, l_4$



$$\max P(D_i^+ | \theta)$$

softmax

$$softmax(D_i^+ | \theta) \approx \frac{e^{t \cos(D_i, \theta)}}{\sum e^{t \cos(D_j, \theta)}}$$

hyper-Poisson

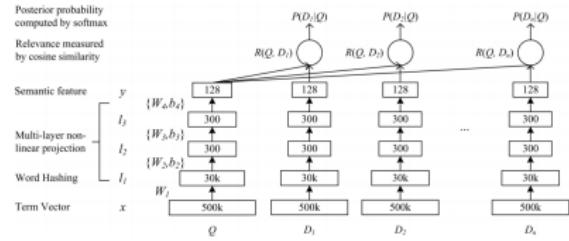
$$\max P(D_i^+ | \theta) = \frac{e^{t \cos(D_i, \theta)}}{\sum e^{t \cos(D_j, \theta)} \approx e^{t \cos(D_i, \theta)}}$$

-log P(D<sub>i</sub><sup>+</sup> | θ)  
Error

# DSSM - Training Objective

## Likelihood

$$\prod_{(q, d^+) \in \text{DATA}} P(d^+ | q) \rightarrow \max$$



$$P(d^+ | q) = \frac{e^{\gamma \cos(\mathbf{q}, \mathbf{d}^+)}}{\sum_{d \in D} e^{\gamma \cos(\mathbf{q}, \mathbf{d})}} \approx \frac{e^{\gamma \cos(\mathbf{q}, \mathbf{d}^+)}}{\sum_{d \in D^+ \cup D^-} e^{\gamma \cos(\mathbf{q}, \mathbf{d})}}$$

↑  
NR

## Evaluation Details

- 16,510 English queries sampled from one year query log files of Bing
- Each query is associated with 15 web document titles
- Relevance judgement on a scale of 0 to 4

# DSSM - Results

Model	NDCG		
	@1	@3	@10
TF-IDF	0.319	0.382	0.462
BM25	0.308	0.373	0.455
WTM	0.332	0.400	0.478
LSA	0.298	0.372	0.455
PLSA	0.295	0.371	0.456
DAE	0.310	0.377	0.459
BLTM	0.337	0.403	0.460
DPM	0.329	0.401	0.479
DSSM	0.362	0.425	0.498

web queries  
doc titles

①

Unsupervised  
Deep learning  
Recent

②

Supervised  
Latent space  
?

9

[ ] } # of words

Noun

10 words

average

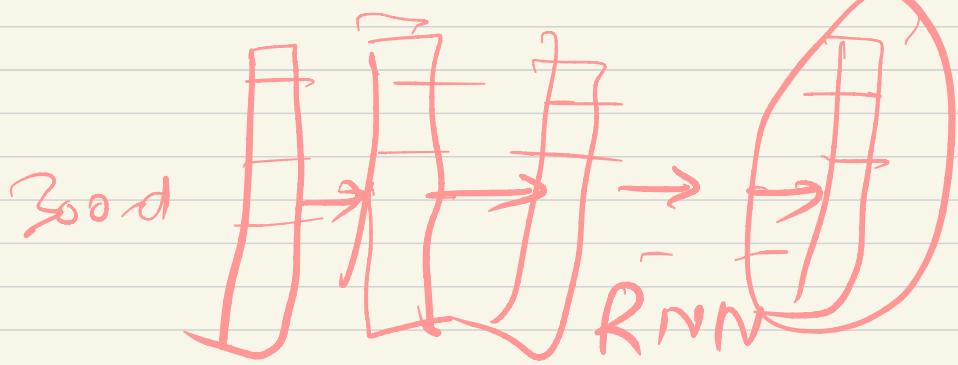
word

vector

final

middle  
state

mean-pool



LSTM