

# CSORW4231 HOMEWORK 5

Due Mon, Apr 10

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**Problem 1.** Exercise 15.4-5 on Page 397: Longest monotonically increasing subsequence.

*Solution.*

Algorithm Assuming a sequence  $X$ , with  $n$  numbers.

**LMIS( $X$ )**

```
1      copy  $X$  to  $X'$ 
2       $Y = \text{QUICK-SORT}(X')$  in increasing order
3      return  $\text{LCS}(X, Y)$ 
```

Correctness LMIS( $X$ ) should return the ① longest ② monotonically increasing subsequence.

Let  $Z$  be a longest monotonically increasing subsequence of  $X$ . If  $Z$  holds monotonically increasing,  $Z$  must be a subsequence of the sorted sequence  $Y$ . If  $Z$  is not a subsequence of  $Y$ , and contains  $x_i \leq x_j$  which is not in  $Y$ . However,  $X$  still contains  $x_i \leq x_j$ , if we sort  $X$  in increasing order  $Y'$ , which is the same sequence of  $Y$ ,  $Y'$  must contain  $x_i \leq x_j$ , but  $Y$  doesn't, this is a contradiction!

Since  $Z$  must be a subsequence of  $Y$ , as the subsequence of  $X$ , we only need to invoke longest common subsequence  $\text{LCS}(X, Y)$  to obtain the longest subsequence.

In conclusion, LMIS( $X$ ) is the longest monotonically increasing subsequence holds.

Running-time Analysis of pseudocode:

Line 1 copy  $n$  numbers takes  $O(n)$ .

Line 2 QUICK-SORT on  $n$  numbers takes  $O(n^2)$ .

Line 3 LCS on 2 sequences of  $n$  numbers takes  $O(n^2)$ .

Therefore, LMIS( $X$ ) takes  $O(n^2)$ .

□

**Problem 2.** Problem 15-2 on Page 405: Longest palindrome subsequence.

*Solution.*

Algorithm Assuming a non-empty string sequence  $S$ , with  $n$  characters.

**LPS( $S$ )**

```
1      reverse the sequence S to S'
2      new sequence Q = LCS(S, S')
3      if length of Q is odd, the central character is c, before c is
        subsequence M of Q, M' is reversed M
4      return string McM'
5      if length of Q is even, the first half of Q is M, M' is
        reversed M
6      return string MM'
```

Correctness When  $n = 1$ , suppose  $S = \langle s_0 \rangle$ , so  $LCS(S, S') = s_0$ , s.t.  $c = s_0$ ,  $M$  and  $M'$  are blank,  $LPS(S) = McM' = s_0$ , correct.

$n > 1$ :

Either  $McM'$  or  $MM'$  is apparently 'palindromic'.

Assume  $R$  is a longest palindromic subsequence of  $S$ . according to the feature of palindrome,  $R$  is also the subsequence of  $S'$ . Length of  $LCS(S, S')$  is the upper bound of length of  $R$ . The length of  $LPS(S)$  equals to  $Q$  holds the 'longest'. Combining above, if  $McM'$  is a subsequence of  $S$ , then everything holds.

The next proof is taking the odd condition, for the even condition, just adding a pseudo center  $c'$  to transform to the odd condition. In short, parts split by  $c$  for  $S$  and  $S'$  are the reversed situation to find  $LCS(S_p, S'_p)$ , but because the order, the results may not just the reverse, but using substitution to solve it. In details, denote notation  $X'$  to be the reversed of  $X$ : this will think of  $S = XcY$  and  $S' = AcB$ , by noticing that  $X' = B$  and  $Y = A'$ , while  $M$  is  $LCS(X, A)$  (the part before  $c$ ), then  $M'$  is also a  $LCS(X', A')$  which is  $LCS(B, Y)$ , so using  $M'$  to substitute the original  $LCS(Y, B)$  (the part after  $c$ ), the  $McM'$  is still a  $LCS(S, S')$ .  $McM'$  holds a subsequence of  $S$ .

In conclusion,  $LPS(S)$  is a longest palindrome subsequence.

Running-time Analysis of pseudocode:

Reverse operation takes  $O(n)$ , condition IF takes  $O(1)$ , LCS on 2 sequences of  $n$  characters takes  $O(n^2)$ .

Therefore,  $LPS(S)$  takes  $O(n^2)$ .

□

**Problem 3.** Problem 15.3 on Page 405: Bitonic euclidean traveling-salesman problem.

*Solution.*

Algorithm First sort  $n$  points by x-coordinate, left to right, as  $p_1, p_2, \dots, p_n$ .

For  $1 \leq i \leq j \leq n$ , denote  $BD(i, j)$  be the shortest bitonic distance from  $p_1$  through two different path to  $p_i$  and  $p_j$ , we first solve this sub-problem:

$$BD(i, j) = \begin{cases} \overline{p_i, p_j} & \text{if } i = 1, j = 2 \\ BD(i, j-1) + \overline{p_{j-1}, p_j} & \text{elif } i < j-1 \\ \min_{1 \leq k < j} (BD(k, i) + \overline{p_k, p_j}) & \text{elif } i = j-1 \end{cases}$$

To obtain the shortest path of the input  $n$  points. We compute  $BD(n, n)$ , which is the shortest bitonic distance of the  $n$  points, and in the meanwhile, for each pair  $(i, j)$ , we store  $k$  in  $P(i, j)$ , s.t.  $p_k$  is the neighbor of  $p_i$  to  $p_j$ , then retrieve the path from  $P(i, j)$ .

Correctness An optimal solution to a problem (instance) contains optimal solutions to sub-problems. We need to prove the sub-problems.

1. For condition  $i = 1, j = 2$ , trivial.

2. Bitonic tour from  $p_1, p_2, \dots, p_n$ , then  $p_{n-1}$  and  $p_n$  must be neighbors. If there are  $p_m, p_l$  as neighbors of  $p_n$ , then the path will be somewhere like  $p_m, p_n, p_l$  and  $p_{n-1}$ , which contradicts the sorted points  $x_{n-1} < x_n$ . This explain the condition that  $i < j-1$ ,  $\overline{p_{j-1}, p_j}$  will always be the only path.

3. If  $i = j-1$ , there must be a point  $p_k$  s.t.  $k < j-1$  path towards  $p_j$ , under this condition, we need to find the  $p_k$  to keep the path shortest.

In conclusion, above 3 on the algorithm holds for each recursion, so the algorithm holds.

Running-time Sorting takes  $O(n \lg n)$ , the bottom of the recursion takes  $O(1)$ , the main part is the times of recursion.

Roughly,  $j$  is from  $n$  to 2, and  $i$  is from  $j-1$  to 1, the recursion will call  $O(n^2)$  times.

Totally, the algorithm takes  $O(n^2)$ .

□

**Problem 4.** Exercise 15.1-2 on Page 370: Counterexample.

*Solution.*

length	$i =$	1	2	3
price	$p_i =$	2	50	60
density	$\frac{p_i}{i} =$	2	25	20

Let the rod to be length 3, the greedy algorithm will cut to rod = 2 and 1, which has price of 52, but the optimal solution is rod = 3, which has price of 60. This is a contradiction.

□

**Problem 5.** Problem 17-2 on Page 473 (Skip c): Making binary search dynamic.

*Solution.*

a. In general, just do  $\text{BST-SEARCH}(T, \text{key})$  on the  $A_i$  forest.

Algorithm **OBST-SEARCH**( $A_i, \text{key}$ )

```

1  i = 0
2  while not find the key, and i <= k-1
3    BST-SEARCH(Ai, key)
4    i = i + 1
```

Correctness Obviously, because all the elements will be searched before the key is found. If the key is not in the forest,  $\text{BST-SEARCH}(T, \text{key})$  will return null.

Running-time In the worst case,  $\text{BST-SEARCH}(A_i, \text{key})$  is called from  $i = 0$  to  $k - 1$ .  
In the while loop,  $A_i$  size is  $2^i$   $\text{BST-SEARCH}(A_i, \text{key})$  takes  $O(\lg(2^i)) = O(i)$   
Because  $k = \lceil \lg(n + 1) \rceil$ , sum them all:

$$\sum_{i=0}^{k-1} O(i) = O(k^2) = O(\lg^2 n)$$

b. In general, inserting is adding another  $A_0$  to the forest, merge them to  $A_1$  if there is another identical  $A_0$ , do this until no such  $A_i$ .

Algorithm **OBST-SEARCH**( $A_i, \text{key}$ )

```

1  i = 0
2  while exists two identical A(i)s
3    BST-MERGE two A(i) into A(i+1)
4    i = i + 1
```

Correctness Obviously, because the new element will eventually end up somewhere in the  $A_i$ .

Running-time In the worst case, the  $i$  added from 0 to  $k - 1$ , and each merge from  $i$  to  $i + 1$  take  $O(i + 1) = O(i)$ ,  $k = \lceil \lg(n + 1) \rceil$ , so similarly,

$$\sum_{i=0}^{k-1} O(i) = O(k^2) = O(\lg^2 n)$$

For the amortized time, notice that the merge occurs for  $n_0$  every time, but for  $n_1$  every  $2^1$  time, ..., as for  $n_{k-1}$ , it is  $2^k$  time. Suppose the insertions operate  $m$  times. Totally the running time is:

$$\sum_{i=0}^{k-1} \lceil \frac{m}{2^i} \rceil O(2^{i+1}) \leq 2mO(k) = m \lg n$$

Each insertion operation, takes

$$\frac{m \lg n}{m} = \lg n$$

□

**Problem 6.** Problem 17-3 on Page 473: Amortized weight-balanced trees.

*Solution.* In general, to minimize the average completion time, we always want to finish the task which is the shortest at the moment.

- a. Do in-order walk of the subtree rooted at  $x$  and store the sorted array in  $\Theta(x.size)$  space.  
 Take the median of the array as the root. (takes  $O(1)$  running time).  
 Recursively repeat median picking on the new left and right subtrees.  
 Each operation recurrence guarantees  $\frac{1}{2}$ -balanced.  
 Running time:  $T(x.size) = 2T(\frac{1}{2}x.size) = \Theta(x.size)$
- b. Tree is split associated with  $\alpha$ . For each iteration, in worst case, search leads to the larger subtrees with  $\alpha n$  nodes. Because  $\frac{1}{2} \leq \alpha < 1$ , the running time is  $T(n) = T(\alpha n) + 1 = O(\lg n)$
- c. By definition, as  $\Delta(x) \geq 0$  and  $c$  is a sufficiently large constant that depends on  $\alpha$  non-negative, so potential is always non-negative.  
 The summation is applies when  $\Delta(x) \geq 2$ . Suppose  $x.left.size$  is larger (counterpart is the same in general),

$$\begin{aligned} x.left.size - x.right.size &\geq 2 \\ x.left.size - (x.size - x.left.size - 1) &\geq 2 \\ x.left.size &\geq \frac{1}{2} + \frac{1}{2}x.size \end{aligned}$$

Which is a contradiction to  $\frac{1}{2}$ -balanced tree that  $x.left.size \leq \frac{1}{2}x.size$ , so the summation won't apply, the potential will be 0.

- d. Suppose left subtree is larger.  
 $\Delta(x) = x.left.size - x.right.size = \alpha x.size - ((1 - \alpha)x.size - 1) = m(2\alpha - 1) + 1$   
 The amortized cost of rebuilding the subtree is the actual cost with their potential difference:  $\hat{c}_i = c_i + \Phi_i - \Phi_{i-1}$   
 To take  $O(1)$  time, we need  $m + \Phi_i - \Phi_{i-1} = O(1)$ , because we rebuilt it to be  $\frac{1}{2}$ -balanced, and we already know the potential after rebuilding is 0, so we get  $m = \Phi_{i-1} + O(1) \leq \Phi_{i-1} \leq c(m(2\alpha - 1) + 1)$ , which means:

$$c \geq \frac{1}{2\alpha - 1 + \frac{1}{m}} \geq \frac{1}{2\alpha - 1}$$

- e. Similarly, the actual cost plus the potential difference is the amortized cost given by  $\hat{c}_i = c_i + \Delta\Phi_i$  for insertion or deletion.  
 And insertion or deletion are based on search, which takes  $O(\lg n)$ , as shown in answer b..
- b..  
 As shown in d., it takes  $O(1)$  to rebuilt, for node  $i$  in the path of root to insert or delete  $x$  node,  $\Delta(i)$  will increase  $O(1)$ , the worst case is this happens for every such node, as shown in b., there are  $O(\lg n)$  (depth of the tree) such nodes, such that  $\Delta\Phi_i = O(\lg n)$ . In sum, the running time takes  $O(\lg n)$ .

□