

# CSORW4231 HOMEWORK 7

Due Thu, May 11 Jun Hu  
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**Problem 1.** Exercise 23.1-3 and 23.1-8 on Page 629.

*Solution.*

**23.1-3** Denote  $A$  be the set containing the minimum spanning tree edges. By removing the edge  $(u, v) \in A$  we obtain the set  $A'$ . Considering a cut determines  $(S, V - S)$  s.t.  $u \in S$  and  $v \in V - S$  and no cut edges in  $A'$ , then  $(u, v)$  must be the light edge among these cut edges.

Because in order to form the minimum spanning tree edges  $A$ , the light edge is needed to be selected from the cut edges. If  $(u, v)$  is not the light edge, there must be another edge  $(u', v')$  s.t.  $A = A' \cup \{(u', v')\}$ . However, by our assumption,  $A = A' \cup \{(u, v)\}$ , which means  $(u', v') = (u, v)$ . To sum up,  $(u, v)$  has to be the light edge among the cut edges.

**23.1-8** Denote  $L'$  be the sorted list of  $T'$ , edge  $e \in T$  and  $e' \in T'$ ,  $\exists$ :

$$w(e_1) \leq w(e_2) \leq \dots \leq w(e_k) \leq \dots \leq w(e_i) \leq \dots \leq w(e_j) \leq \dots \leq w(e_n) \in L$$

$$w(e'_1) \leq w(e'_2) \leq \dots \leq w(e'_k) \leq \dots \leq w(e'_i) \leq \dots \leq w(e'_j) \leq \dots \leq w(e'_n) \in L'$$

Both  $L$  and  $L'$  contain  $n$  elements, suppose  $L' \neq L$ , assume the first different occurred at  $w(e_i) \neq w(e'_i)$ , without loss of generality, assume  $w(e_i) > w(e'_i)$ :

If  $T$  contains  $e'_i$ , because weights before  $e_i$  in  $T$  are the same with weights before  $e'_i$  in  $T'$ , so  $w(e'_i)$  in  $L$  should at least emerge after  $w(e_i)$ , assume  $e'_i = e_j$  in  $T$ , that is  $w(e'_i) = w(e_j) \geq w(e_i)$ , which contradicts that the assumption  $w(e_i) > w(e'_i)$  at the beginning.

If  $T$  doesn't contain  $e'_i$ , by adding  $e_i$  in  $T$  will form a cycle, in this cycle, there must be an edge  $e_j$  doesn't in  $T'$ , s.t.  $\exists e_j \geq e'_i$ , weights before  $w(e)$  and  $w(e')$  are in the same order, so  $e_j \geq e_i$ . However, due to the minimum spanning tree properties, other edges in this cycle in  $T$  must have weights smaller than  $e'_i$ ,  $e_j$  is also one of these edges, s.t.  $w(e_j) \leq w(e'_i)$ , that is  $w(e) \leq w(e_j) \leq w(e'_i)$  which contradicts the assumption  $w(e_i) > w(e'_i)$  at the beginning.

Consequently, there is no such different weights existing in the  $L$  or  $L'$ ,  $L$  and  $L'$  must be in the same order of edge weights.

□

**Problem 2.** Problem 23-4 on Page 641: Alternative minimum-spanning-tree algorithms. For each of the three algorithms, either give a counterexample or prove that it always outputs a minimum spanning tree. Make sure your proof is written clearly and concisely. Also there is no need to describe efficient implementations of these algorithms.

*Solution.*

- a. the algorithm always returns a minimum spanning tree correctly.

Correctness: Because the algorithm will delete all the edges as long as the remain part is still connected, all cycles in  $G$  will be broken, the returned edge set  $T$  must be a tree. Denote  $T^*$  be a minimum spanning tree of  $G$ ,  $|T| = |T^*|$  for each edge  $e \notin T$  (removed by the algorithm),  $\exists e \in T^*$  or  $e \notin T^*$ .

If  $e \notin T^*$ , which is trivial, the removal is correct.

If  $e \in T^*$ . First of all,  $e$  must lie in a cycle, otherwise  $e$  can not be removed because of causing disconnection. Secondly,  $e$  will be deleted only if any other edge is not larger than  $w(e)$ , otherwise it would be discovered before  $e$  and deleted. There also must be an edge  $v \notin T^*$ ,  $v \in T$  in this cycle was kept by the algorithm instead of  $e$  to hold all connected property.  $\exists w(v) \leq w(e)$ . Moreover, because  $e \in T^*$ , it can be even speculated that only exists  $w(v) = w(e)$ , the removal of  $e$  is still correct.

- b. the algorithm may not return a minimum spanning tree. Counterexample: As **Figure 1**. If the arbitrary order of the edges is:  $\{(v, w) : 3, (u, w) : 2, (u, v) : 1\}$ . The algorithm will return  $T = \{(v, w) : 3, (u, w) : 2\}$ , with a summation of weights of 5, but the minimum spanning tree is  $\{(u, v) : 1, (u, w) : 2\}$ , with the minimum summation of weights of 3.

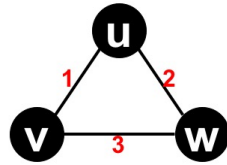


Figure 1: Graph of **b**

- c. the algorithm always returns a minimum spanning tree correctly.

Correctness: For each edge  $e \in G$ , it will be first adding to  $T$  and only one edge be removed to prevent from forming a cycle, typically this will add all edges if there is no cycle at all. So in the end,  $T$  will contain no cycle but all connected by edges as a tree. Denote  $T^*$  be a minimum spanning tree of  $G$ ,  $|T| = |T^*|$  for each edge  $e \notin T$  (removed by the algorithm),  $\exists e \in T^*$  or  $e \notin T^*$ .

If  $e \notin T^*$ , which is trivial, the removal is correct.

If  $e \in T^*$ . First of all,  $e$  lie in the new forming cycle. Secondly,  $e$  will be deleted only if any other edge is not larger than  $w(e)$ , otherwise the larger edge in the cycle will be deleted. There also must be an edge  $v \notin T^*$ ,  $v \in T$  in this cycle was kept by the algorithm instead of  $e$  to hold all connected property.  $\exists w(v) \leq w(e)$ . Moreover, because  $e \in T^*$ , it can be even speculated that only exists  $w(v) = w(e)$ , the removal of  $e$  is still correct.

□

**Problem 3.** Problem 24-4 on Page 679: Gabows scaling algorithm for single-source shortest paths.

*Solution.*

- a.** Since weights of the graph  $G = (V, E)$  are nonnegative, we can implement Dijkstra's algorithm to find the single-source shortest paths.

Especially,  $\delta(s, v) \leq |E|$ , the shortest path distances is bounded by  $E$ , and they are integers, which means we can maintain an array of linked list  $L = [0, 1, 2, \dots, i, \dots, |E|]$ , s.t.  $i$  can represent any  $\delta(s, v)$ , and  $L[i]$  contains such vertices that  $v.d = i$ . To construct the  $L$  takes  $O(V)$ . For DECREASE-KEY on the vertex  $v$ , simply remove  $v$  from  $L[v.d]$  and decrease its key to  $i$  by adding it to the  $L[i]$  list, each call takes  $O(1)$ , totally  $O(E)$ . For EXTRACT-MIN, no need searching all elements in  $L$ , the smallest  $i$  s.t.  $L[i]$  is non-empty returns the minimum. Because we always extract vetices with the non-decreasing shortest path distance  $i$ , so there will not be any vertex having shortest path distance less than  $i$ , each EXTRACT-MIN takes  $O(1)$ , and for the whole array of  $|E|$  linked lists, with the total elements of the lists are  $|V|$ , and no backtracking, so it takes  $O(E + V)$ . Because  $|E| > |V| - 1$ , The overall running time is  $O(E)$ .

- b.** Because  $w_1$  uses only the first first significant bit of the actual edge weights, which means  $\forall (u, v) \in E$  s.t.  $w_1(u, v) \in \{0, 1\}$ . The maximum shortest path is at the most  $|V| - 1$  for all weights to be 1. That is  $\delta_1(s, v) \leq |V| - 1 \leq |E|$ . use the conclusion in **a**, it takes  $O(E)$  to compute  $\delta_1(s, v)$

- c.** By definition,  $w_i$  is the  $i$  most significant bits of  $w$ , consequently can be obtained by shifting  $w_{i-1}$  to left by 1 space, which is calculated by doubling  $w_{i-1}$  plus the  $i$ th significant bit – that is either 0 or 1. As a result,  $w_i(u, v) = 2w_{i-1}(u, v)$  or  $w_i(u, v) = 2w_{i-1}(u, v) + 1$ . The equations implies  $2w_{i-1}(u, v) \leq w_i(u, v) \leq 2w_{i-1}(u, v) + 1$ .

Let  $P$  be the shortest path from  $s$  to  $v$ ,  $\forall v \in V, \exists$ :

$$\begin{aligned} \delta_i(s, v) &= \min \sum_{(u, w) \in P} w_i(u, w) \\ \min \sum_{(u, w) \in P} 2w_{i-1}(u, w) &\leq \min \sum_{(u, w) \in P} w_i(u, w) \leq \min \sum_{(u, w) \in P} (2w_{i-1}(u, w) + 1) \\ 2 \cdot \min \sum_{(u, w) \in P} w_{i-1}(u, w) &\leq \delta_i(s, v) \leq \min(2 \sum_{(u, w) \in P} w_{i-1}(u, w) + \sum_{(u, w) \in P} 1) \\ 2\delta_{i-1}(s, v) &\leq \delta_i(s, v) \leq 2\delta_{i-1}(s, v) + |V| - 1 \end{aligned}$$

- d.** By definition and **c**

$$\widehat{w}_i(u, v) = w_i(u, v) + 2\delta_{i-1}(s, u) - 2\delta_{i-1}(s, v) \geq 2w_{i-1}(u, v) + 2\delta_{i-1}(s, u) - 2\delta_{i-1}(s, v)$$

By triangle inequality:

$$w_{i-1}(u, v) + \delta_{i-1}(s, u) \geq \delta_{i-1}(s, v)$$

s.t.

$$\widehat{w}_i(u, v) \geq 0$$

- e.** Let  $P = \langle s, u_1, u_2, \dots, u_n, v \rangle$  be the shortest path from  $s$  to  $v$ :

$$\begin{aligned}\widehat{\delta}_i(s, v) &= \min \sum_{e \in P} \widehat{w}_i(e) \\ &= \min (\widehat{w}_i(s, u_1) + \widehat{w}_i(u_1, u_2) + \dots + \widehat{w}_i(u_n, v))\end{aligned}$$

Using  $\widehat{w}_i(u, v) = w_i(u, v) + 2\delta_{i-1}(s, u) - 2\delta_{i-1}(s, v)$  to expand the equation s.t.:

$$\begin{aligned}\widehat{\delta}_i(s, v) &= \min \left( 2\delta_{i-1}(s, s) - 2\delta_{i-1}(s, v) + \sum_{e \in P} w_i(e) \right) \\ &= -2\delta_{i-1}(s, v) + \min \left( \sum_{e \in P} w_i(e) \right) \\ &= -2\delta_{i-1}(s, v) + \delta_i(s, v)\end{aligned}$$

Which is:

$$\delta_i(s, v) = \widehat{\delta}_i(s, v) + 2\delta_{i-1}(s, v)$$

And because we've proved in **c**

$$\delta_i(s, v) \leq \delta_i(s, v) + |V| - 1$$

s.t.:

$$\widehat{w}_i(u, v) \leq |V| - 1 \leq |E|$$

- f.**  $\widehat{w}_i(u, v)$  can be compute in  $O(E)$  as described in **d**.  
 $\widehat{\delta}_i(s, v)$  is bounded by  $|E|$  as shown in **e**, using results in **a** to compute  $\widehat{\delta}_i(s, v)$  in  $O(E)$ .  
 From above results, we can compute  $\delta_i(s, v)$  by the equation in **e** in  $O(V) = O(E)$  for each vertex.

As shown in **b**, compute  $\delta_1(s, v)$  in  $O(E)$ , then compute  $i = 2$  from  $\delta_1(s, v)$  in  $O(E)$ ,  $\dots$ , when  $i = k$ , that we have  $\delta(s, v) = \delta_k(s, v)$ , s.t. the  $\delta(s, v)$  is computed in  $k(O(E)) = O(E \lg W)$ .

□

**Problem 4.** Problem 25-2 on Page 706: Shortest paths in  $d$ -dense graphs. Skip a). For a  $d$ -ary min-heap, Insert takes time  $O(\log_d n)$ ; Extract-Min takes time  $O(d \log_d n)$ ; and Decrease-Key takes time  $O(\log_d n)$ . Check Chapter 6 and Problem 6-2 if you are interested in  $d$ -ary min-heaps. But for this problem you may use these facts for free.

*Solution.*

- b.** Set  $d = n^\varepsilon = |V|^\varepsilon$ , Dijkstra's algorithm takes:

$$O(V \cdot d \log_d V + E \cdot \log_d V) = O\left(\frac{V}{\varepsilon} V^\varepsilon + \frac{E}{\varepsilon}\right) = O(V^{1+\varepsilon} + E) = O(E)$$

- c.** Run the algorithm of **b** in  $|V|$  times for each vertex as the source, which takes  $O(VE)$ .
- d.** By using  $d$ -array min-heaps, we can invoke Johnson's algorithm, which first perform Bellman-Ford to re-weight edges to be all non-negative, then perform Dijkstra's algorithm as described. The running time takes  $O(VE)$  totally.

□

**Problem 5.** Show that if CLIQUE (the decision problem, where a pair  $(G, k)$  is in the language iff the undirected graph  $G$  has a clique of size at least  $k$ ) is in P, then there is a polynomial-time algorithm that, given any undirected graph  $G$ , finds a clique of  $G$  of maximum size.

*Solution.*

If CLIQUE is in P to solve  $(G(V, E), k)$ , so it takes  $O(V^\varepsilon)$  as the input is the undirected graph. We can run this CLIQUE of decision problem from  $k = |V|$ , if return == 'no', call CLIQUE on  $k = k - 1$ , if return == 'yes', current  $k$  is the maximum size of the clique of  $G$ . The loop will run  $O(V)$  in the worst case, so the algorithm takes  $O(V^{\varepsilon+1})$  – that is in P as well.

□

**Problem 6.** In the Dominating Set (decision) problem we are given a directed graph  $G = (V, E)$  and an integer  $k$ . We are asking whether there is a set  $D$  of  $k$  or fewer vertices such that for each  $v \notin D$  there is a  $u \in D$  with  $(u, v) \in E$ . Show that Dominating Set is NP-complete. (Start from Vertex Cover, obviously a very similar problem, and make a simple local replacement.)

*Solution.*

First of all, Dominating Set is in NP. given any set  $D$ , we can verify each  $v \notin D$  and all its neighbors  $u$  whether or not there exists  $u \in D$  easily. The verification takes polynomial time.

Recall the Vertex Cover is in NP-Completeness: given a graph  $G = (V, E)$  and an integer  $k$ . We are asking whether there is a set  $D$  of  $k$  at most  $k$  size of vertex cover (A vertex cover is a subset  $D \subseteq V$  that for each edge  $(u, v)$  either  $u \in D$  or  $v \in D$  or both)?

Reduction: Given a directed graph  $G = (V, E)$ , we replace each  $e \in E$  by a triangle to form  $G'$ , s.t.,  $k' = k$ ,  $G' = (V', E')$  where  $V' = V \cup V_{add}$  s.t.  $V_{add} = \{v_{e_i} | e_i \in E\}$  and  $E' = E \cup E_{add}$  where  $E_{add} = \{(v_{e_i}, v_m), (v_{e_i}, v_n) | e_i = (v_m, v_n) \in E\}$ .

If yes-instance for Vertex Cover, that is  $G$  has a the subset  $D$  of  $k$ , then  $D$  also can form a dominating set in  $G'$ . Because for  $u$  either in the  $D$ , or  $u$  is not but  $v$  must in for the edge  $(u, v)$ .

On the other hand, if there is  $k'$  size subset dominating set for  $G'$ , this dominating set  $D$  can just use the vertex  $u \in V$ , because whenever a  $v_{e_j}$  is in the dominating set, we can just substitute it with one of  $v_m, v_n$  to keep the  $k'$  unchanged, then for any  $u \notin D$ , there is an edge  $(u, v)$  that  $v \in D$ . So there also exists  $k = k'$  size subset vertex cover for graph  $G$ .

□