# Comparison Between Space-Efficient Algorithms for Approximating Polygonal Curves in 2-D Spaces

## Victor Cuadrado Juan

January 24, 2013

#### Abstract

This document contains a comparison between two algorithms for approximating polygonal curves in two dimensional spaces, present in Space-efficient algorithms for approximating polygonal curves in two dimensional space [1] and On approximating polygonal curves in two and three dimensions [2], focusing on the space cost of them. First, the problem of efficiently approximating polygonal curves in 2-D (line simplification) is presented, focusing in understandability, and last the algorithms are discussed.

# Contents

1	Introduction	2
2	The error criterions	4
3	Concepts and definitions for the algorithms	8
4	Algorithms	12
5	The approximating algorithm on $\mathcal{O}(n^2)$ space	13
6	The approximating algorithm on $\mathcal{O}(n)$ space	17
7	On using $L_1$ and $L_\infty$ metrics	22

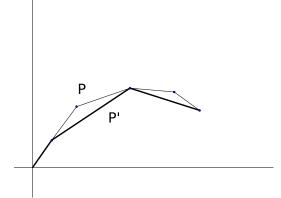


Figure 1: A polygonal curve P and it's simplification, P' (with thick lines).

### 1 Introduction

The problem of line simplification covered in this paper is widely present in computer applications: graphics, cartography and image processing are all populated with data in the form of polygonal curves. A polygonal curve in  $R^2$  is specified by an ordered set  $[p_1, p_2, ..., p_n]$  of vertex points in  $R^2$  such that any two consecutive vertices  $p_i, p_{i+1}$  are connected by the line segment  $\overline{p_i, p_{i+1}}$ ,  $1 \le i < n$ . It is possible that the polygonal curve has self-intersections (figure 1). This representation allows for an efficient description of the boundaries of shapes.

# The problem

Specifically, the problem of approximating a polygonal curve is, as said in [1]: given a polygonal curve  $P = [p_1, p_2, ..., p_n]$  in  $R^2$ , to determine another polygonal curve  $P' = [p_1, p_2, ..., p_m]$  of m vertices in  $R^2$  such that:

- 1.  $m \leq n$  desirably, m is significantly smaller than n,
- 2. the vertex sequence of P' is a subsequence of the vertex sequence of P , with  $p'_1 = p_1$  and  $p'_m = p_n$  (first and last are the same between P and P'), and
- 3. each edge  $\overline{p_i, p_{i+1}}$  of P is an approximating line segment of the subcurve  $[p_j, p_{j+1}, ..., p_k]$  of P, where  $p'_i = p_j$  and  $p'_{i+1} = p_k$  and j < k. That is, for every point  $\underline{p}$  of the subcurve  $[p_j, p_{j+1}, ..., p_k]$  of P, the error incurred by using  $\overline{p'_i, p'_{i+1}} = \overline{p_j, p_k}$  to approximate p, based on a given error criterion, is no bigger than a specified error tolerance. Such a line segment  $\overline{p'_i, p'_{i+1}}$  is called the approximating line segment of the corresponding subcurve  $[p_j, p_{j+1}, ..., p_k]$  of P(figure 2).

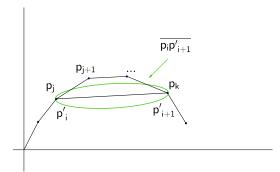


Figure 2: Aprox. line segment of the subcurve  $[p_i, p_{i+1}, ..., p_k]$  of P

The third point of the constraints can be said also as follows:

$$\forall p \in [p_j, p_{j+1}, ..., p_k] \underline{\ , \text{ the approximating line segment error of}} \underline{\ p'_{i}, p'_{i+1}} = \overline{p_j, p_k} \text{ is } \leq \varepsilon.$$

With:

- m being the number of vertices of the approximation of P, P',
- $\varepsilon$  being the allowed error when creating the approximation P' of P.  $\varepsilon$  will define the "closeness" of P' to P when is used by an error criterion (we will see some later).

m and  $\varepsilon$  are related between them: the smaller  $\varepsilon$  is, the larger m tends to be (if we allow less error when approximating, intuitively we will need more points for creating the approximation), and vice versa (if we allow more error, we can roughly approximate P when less points).

Based on the relation between m and  $\varepsilon$ , two versions of optimization problems on approximating polygonal curves in the plane can be considered:

- given  $\leq \varepsilon$ , minimize m (called the min-# problem),
- given m, minimize  $\leq \varepsilon$  (called the min- $\varepsilon$  problem).

If this constraints are achieved, we end with another curve that resembles the original one, but has fewer vertices. Obviously, it is desirable to obtain a curve that does not get distorted, with independency of the method of approximation we use. The role of the third constraint presented in the formalisation of the approximation method is to specify which vertices of P should be deleted. So, it's the primary parameter for controlling to output of the shape of the approximating curve P', and so, is the error criterion which defines the goodness of fit between the two curves. There are multiple approaches to measure the error of approximation of a vertex, but the choice

of a method depends primary on how we answer the following question: how do we mathematically define a "good approximation"?. There are several definitions of error tolerance (that can be found in [3], [4], [5] and more). In this paper, as we review the algorithms presented in [1] and [2], we are going to see only the error criterion for those algorithms: the tolerance zone criterion and the infinite beam criterion (also called the parallel-strip criterion in [2]). In this paper we study both the approximation problems only in 2-D space. Although we are not explicity choosing a metric now, the more used distance metrics are  $L_1$ ,  $L_2$  and  $L_{\infty}$ , and we will stick the discussion to them. We will present the algorithms with the  $L_2$  metric, and highlight the differences for  $L_1$  and  $L_{\infty}$  later in the paper.

# 2 The error criterions

#### Tolerance zone criterion

As said in [1], under it, we define the approximation error between a segment  $\overline{p_j}, \overline{p_k}$  and the corresponding subcurve  $S = [p_j, p_{j+1}, ..., p_k]$  of P as the maximum distance in an  $L_h$  metric<sup>1</sup> between  $\overline{p_j}, \overline{p_k}$  and each point on the subcurve S.

because of P being a polygonal curve, the maximum distance between  $\overline{p_j, p_k}$  and the points of S can be computed iteratively finding the maximum  $L_h$  distance between  $\overline{p_j, p_k}$  and each vertex  $p_l \in S$  (with  $j \leq l \leq k$ ): we name that distance  $dist_h(\overline{p_j, p_k}, p_l)$ , and it shall be the  $L_h$  distance between  $\overline{p_j, p_k}$  and  $p_l$ . Using this distance, the tolerance zone has a distinct shape, caused by the semi-circumferences created by  $\varepsilon$  from  $p_j$  and  $p_k$  (example at figure 3).

The tolerance zone criterion is also explained in [6], with an equivalent definition, as follows: Based on the tolerance zone criterion, a vertex  $p_k$  of P is within distance  $\varepsilon$  (and then inside the toleranze zone) from a segment  $\overline{p_i,p_j}$ , with  $i\leq k\leq j$ , if this three conditions are proven true:

- 1. Condition 1:  $dist(L(\overline{p_i}, \overline{p_j}), p_k) \leq \varepsilon$ . (see figure 4)
- 2. Condition 2: If the convex angle  $(\leq \pi)$  defined by  $\overline{p_i, p_k}$  and  $\overline{p_i, p_j}$  is greater than  $\pi/2$ , then  $d(p_k, p_i) \leq \varepsilon$ .  $d(p_k, p_i)$  is the  $L_2$  distance between  $p_k$  and  $p_i$  (intuitively, when defining the segments, we then define a polygon. We always choose the angle between the two segments that falls inside the polygon). (see figure 5)

<sup>&</sup>lt;sup>1</sup>we consider  $h \in \{1, 2, \infty\}$ 

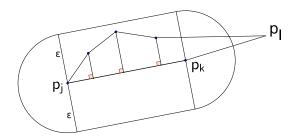


Figure 3: the point  $p_l$  is out of the tolerance zone. Note the semicircles with radius equal  $\leq \varepsilon$  that are formed by the  $\leq \varepsilon$  distances on  $p_j$  and  $p_k$ 

3. Condition 3: If the convex angle defined by  $\overline{p_j, p_k}$  and  $\overline{p_j, p_i}$  is greater than  $\pi/2$  then  $d(p_k, p_j) \leq \varepsilon$ . (see figure 6)

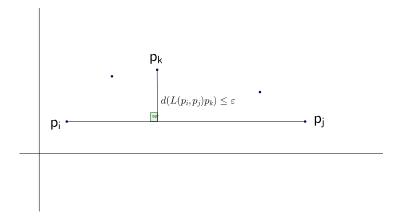


Figure 4: Example of a distance within condition 1.

#### Infinite beam criterion

As said in [1], under it, we define the approximation error between a segment  $\overline{p_j, p_k}$  and the corresponding subcurve  $S = [p_j, p_{j+1}, ..., p_k]$  of P as the maximum  $L_h$  distance between the line (and not the segment, as in the tolerance zone criterion)  $L(\overline{p_j, p_k})$  that contains  $\overline{p_j, p_k}$  and each point of the subcurve S.  $dist_h(L(\overline{p_j, p_k}), p_l)$  shall be  $L_h$  distance between the line  $L(\overline{p_j, p_k})$  and the vertex  $p_l$  of S. As it can be seen in the example at 7, this creates an infinite parallel strip region.

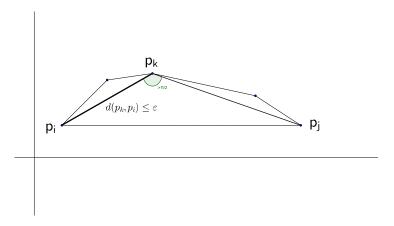


Figure 5: Example of a distance within condition 2.

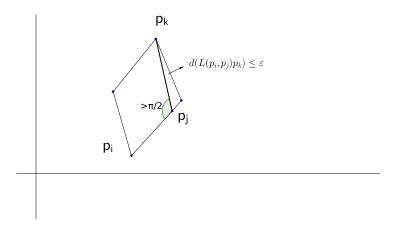


Figure 6: Example of a distance within condition 3.

The infinite beam criterion is a popular criterion: experiments and studies (as in [7] and [8]) show that this criterion bounded with a proper method of approximation, is capable of approximations that are among the most perceptually pleasing. Also, within this criterion, as the input curve P is approximated by the curve P' within a tolerance  $\varepsilon$  if and only if the vertices of P, it is sufficient to consider only the vertices of the input curve, and that allows for simple algorithms which are easy to implement.

**Approximation error** After seeing these error criteria, we can define the approximation error generated when using a curve  $P' = [p_1, p_2, ..., p_m]$  to approximate P as: ([1])

$$\max_{i=1}^{m-1} \{ \max \{ dist_h(\overline{p_i', p_{i+1}'}, p_l) | p_i' = p_j', p_{i+1}' = p_k', and \ j \le l \le k \} \}$$

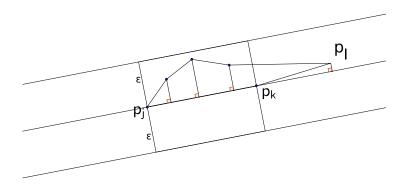


Figure 7: the point  $p_l$  is inside of the tolerance zone. Note the infinite region created by the two lines parallel to the line  $L(\overline{p_j}, \overline{p_k})$ , each of them at a distance of  $\leq \varepsilon$  from  $L(\overline{p_j}, \overline{p_k})$ .

This means is the maximum error between the vertixes of P' and P (see figure 8).  $\varepsilon$  gives the upper limit of the approximation error.

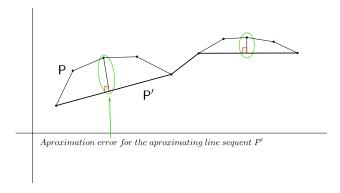


Figure 8: Example of the approximation error for the aproximating line segment P'. Notice is the max between all posibles.

# 3 Concepts and definitions for the algorithms

## Error tolerance region

When a point  $p_k$  abides to the three conditions of the tolerance zone criterion (in section 2), it belongs to a region called the *error tolerance region*  $^2$  of the line segment  $\overline{p_i, p_j}$ . Lets name the line from  $p_i$  to  $p_j$   $ray(p_i, p_j)$ . Because the line segment  $\overline{p_i, p_j} = ray(p_i, p_j) \cap ray(p_j, p_i)$ , the error tolerance region of  $\overline{p_i, p_j}$  is the intersection of the error tolerance regions of  $ray(p_i, p_j)$  and  $ray(p_j, p_i)$ .

Lets choose three points from P,  $p_i$ ,  $p_j$ , and  $p_k$ ,  $i < k \le j$ . If  $dist(ray(p_i, p_j), p_k) \le \varepsilon$ , that gives us  $ray(p_i, p_j)$ , which is an approximating ray of  $p_k$ . If  $dist(ray(p_i, p_j), p_k) \le \varepsilon$  for each k with  $i < k \le j$ , then  $ray(p_i, p_j)$  is an approximating ray of the chain  $[p_i, p_{i+1}, ..., p_j]$  of P. Therefore, under the tolerance zone criterion for example:

 $dist(\overline{p_ip_j}, p_k) \le \varepsilon \Leftrightarrow dist(\overline{p_ip_j}, p_k) \le \varepsilon \text{ and } dist(\overline{p_jp_i}, p_k) \le \varepsilon.$ 

 $(\overline{p_ip_j})$  is an approximating line segment of  $p_k \Leftrightarrow ray(p_i,p_j)$  and  $ray(p_j,p_i)$  are both approximating rays of  $p_k$ ). Therefore, the process to find a set of approximating line segments (and find the error tolerance region, then) is to first compute all approximating rays  $ray(p_i,p_j)$ , and last, all approximating rays  $ray(p_j,p_i)$ ,  $1 \le i < j \le n$ .

# Expressing the error tolerance region

Lets take two vertices  $p_i$  and  $p_k$  from P. We can build a circumference with radius  $= \varepsilon$  and centered in  $p_k$ . There appear two tangent lines of the circumference from  $p_i$ , which we call  $r_a$  and  $r_b$ .  $r_a$  and  $r_b$  form a plane  $D_{ik}$ : it will be the whole plane if  $d(p_i, p_k) \leq \varepsilon$  (see figure 10), or the convex region between by  $r_a$  and  $r_b$  (see figure 9). Then, by the conditions of the tolerance region:

$$dist(ray(p_i, p_j), p_k) \leq \Leftrightarrow \varepsilon p_j \in D_{ik}$$
.

Lets see now when a segment is a valid approximating line segment. As said in [1]:

For  $1 \leq i < j \leq n$  let  $F_{ij} = \bigcap_{k=i+1}^{j} D_{ik}$  and let  $B_{ij} = \bigcap_{k=i}^{j-1} D_{ik}$ . Observe that, if  $F_{ij}$  (resp.,  $B_{ij}$ ) is not empty, then every ray  $r \in F_{ij}$  (resp.,  $B_{ij}$ ) that starts from  $p_i$  (resp.,  $p_j$ ) has non empty intersection with the disc of radius

<sup>&</sup>lt;sup>2</sup>In comparison with the conditions listed in the tolerance zone criterion (see section 2), only Condition 1 needs to be satisfied for the infinite beam criterion, and hence the shape of the error tolerance region of a segment  $\overline{p_i, p_j}$  is an infinite "strip" of width  $2\varepsilon$  in 2-D.

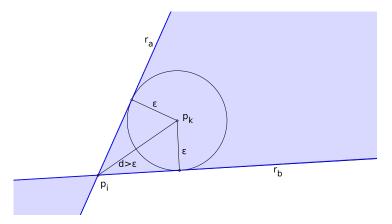


Figure 9: Example of a plane formed by vertices  $p_i$  and  $p_k$ . Note that  $r_a$  and  $r_b$  create a double cone. Every point situated on the coloured area is inside the error tolerance region.

centered at  $p_k$ , for each  $i \leq j \leq k$ . Thus, under the tolerance zone criterion,  $\overline{p_ip_j}$  is an approximating line segment of P (and hence  $e_{ij} \in E$ )  $\Leftrightarrow pj \in F_{ij}$  and  $pi \in B_{ij}$ . Note that  $F_{ij}$  (resp.,  $B_{ij}$ ) always consists of one (possibly empty) cone. Each  $F_{ij}$  or  $B_{ij}$  takes only O(1) space to store (3 points per plane, or 1 point, and two inecuations). We can conclude that a  $\overline{p_ip_j}$  segment is an approximating line segment of P when  $\overline{p_ip_j} \in F_{ij} \cap B_{ij}$ .

#### Example of a valid approximating line segment

Supose that we have  $i \in \{1, 2, 3, 4, 5 \text{ and } j \in \{2, 2, 3, 4, 6\}$ . Is  $\overline{p_2p_5}$  a valid approximating line segment? For i = 2 and j = 5, we need to compute:

$$F_{ij} = F_{25} = D_{23} \cap D_{24} \cap D_{25}. \ B_{ij} = B_{25} \cap D_{52} \cap D_{53} \cap D_{54}.$$

First, we compute  $F_{ij}$  (as seen in figure 11). Notice how the  $D_{ik}$  intersect between them, and form the plane  $F_{25}$ , with blue colour. The segment  $\overline{p_2p_5}$ , in red color, is inside that plane.

Second, we compute  $B_{ij}$  (as seen in figure 12). Notice how the  $D_{ik}$  intersect between them, and form the plane  $B_{25}$ , with green colour. The segment  $\overline{p_2p_5}$ , in red color, is inside that plane. Because that the segment  $\overline{p_2p_5}$  is in  $F_{ij}$  and  $B_{ij}$ , we can say that it is an approximating line segment for the points  $p_2$  and  $p_5$ .

### Shortest path

Lets build a directed acyclic graph G = (V, E) for the curve approximation, where:

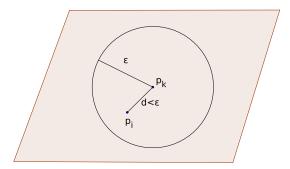


Figure 10: Example of a plane formed by vertices  $p_i$  and  $p_k$ : note that because of their closeness and being at a distance  $\leq \varepsilon$  between them,  $D_{ik}$  is the whole plane.

- V is the vertex set of the curve P,
- E is the set of all arcs  $e_{ij} = (p_i, p_j), i < j, \leftrightarrow \overline{p_i, p_j}$  is the approximating line segment for the chain  $S = [p_i, p_{i+1}, ..., p_i]$  of P.

Now we define the length of the shortest path  $SD(p_i, p_j)$ , from  $p_i$  to  $p_j$ ,  $1 \le i < j \le n$ , in G. Let  $w(e_{ij})$  denote the weight of an edge  $e_{ij} \in E$ . Because of the graph G is directed acyclic, the following inductive relation holds:  $SD(p_1, p_j) = minSD(p_1, p_k) + w(e_{kj})|1 \le k < j \le n$  and  $e_{kj} \in E$ ,  $SD(p_1, p_j) = 0$ 

We can use an incremental algorithm for computing  $SD(p_1, p_j)$  (using the  $SD(p_1, p_k)$ 's, with  $1 \leq k < j$ ). We will describe only an algorithm for computing the length  $SD(p_1, p_n)$  of a shortest  $p_1$ -to- $p_n$  path in G, but the algorithm can be easily modified to record, in addition to  $SD(p_1, p_n)$ , the shortest path tree of G rooted at  $p_1$ , which we could use to obtain the shortest path for a curve approximation.

# Convex hull of a set of points

Let S be a set of points in the plane. A convex hull of S is the smallest convex polygon that contains all the points of S. A polygon P is said to be convex if P is non-intersecting, and for any two points p and q on the boundary of P, segment  $\overline{pq}$  lies entirely inside P. And intuitive definition of a convex hull could be as follows: Imagine the points of S as being pegs; then the convex hull of S is the shape of a rubber band stretched around the pegs (see figure 13).

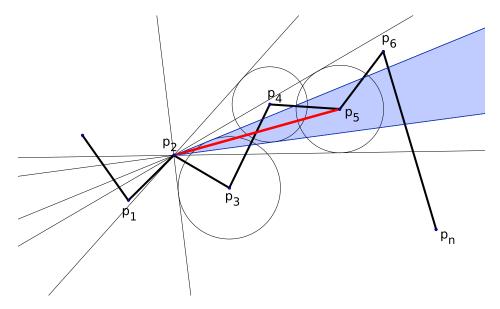


Figure 11: The blued plane is  $F_{ij}$ . Only the tangent lines of each of the  $D_{ik}$  for calculating  $F_{ij}$  have been drawn, and the polygonal line is represented as a thick line.

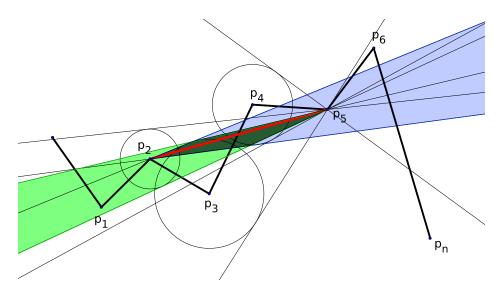


Figure 12: The blued plane is  $F_{ij}$ , and the greened plane is  $B_{ij}$ . The intersection is in a darker colour. Only the tangent lines of each of the  $D_{ik}$  for calculating  $B_{ij}$  have been drawn.

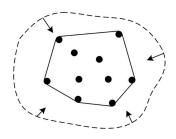


Figure 13: Example of the intuitive definition of a convex hull

# 4 Algorithms

The algorithms here explained and quoted are renamed for an easy reading of this document. The two algorithms are:

- The approximating algorithm with  $O(n^2)$  space complexity<sup>3</sup>. It uses a directed graph for the  $L_2$  min-# problem, and a binary search that invokes the  $L_2$  min-# line segment algorithm for the min- $\varepsilon$  problem. It constructs the graph in  $O(n^2)$  and gets  $O(n^2 \log n)$  time. It's complexities are then as shown in table 1.
- the approximating algorithm with O(n) space complexity<sup>4</sup>. Differently to the algorithm with  $O(n^2)$  space complexity, it does not need to maintain a directed graph for the  $L_2$  min-# problem, and only stores a fraction of the  $O(n^2)$  approximation errors for the the binary search process for the min- $\varepsilon$  problem. It's complexities are then as shown in table 1.

	infinite beam crit.		tolerance zone crit.	
	$\operatorname{time}$	space	$_{ m time}$	space
min-#	$O(n^2)$	$O(n^2)$	$O(n^2)$	$O(n^2)$
$\min$ - $\epsilon$	$O(n^2 log n)$	$O(n^2)$	$O(n^2)$	$O(n^2)$

Table 1: The complexities of the approximating algorithm on  $O(n^2)$  space.

<sup>&</sup>lt;sup>3</sup>present in On approximating polygonal curves in two and three dimensions [2]

<sup>&</sup>lt;sup>4</sup>present in Space-efficient algorithms for approximating polygonal curves in two dimensional space [1]

	infinite beam crit.		tolerance zone crit.	
	$_{ m time}$	space	${ m time}$	$\operatorname{space}$
min-#	- ( )	O(n)	$O(n^2)$	O(n)
$\min$ - $\epsilon$	$O(n^2 log n)$	O(n)	$O(n^2 log n)$	O(n)

Table 2: The complexities of the approximating algorithm on O(n) space.

# 5 The approximating algorithm on $O(n^2)$ space

# For the min-# problem

#### Under the tolerance zone criterion

It is solved by first constructing a directed acyclic graph G = (V, E), as seen in 3. The number of edges in G, |E|, is  $O(n^2)$ , and the time complexity of the min-# algorithm is dominated by the time for constructing G.

The construction of the graph G(V,E) is as follows:

```
algorithm build_graph
{input: a polygonal curve P = [p_1, p_2, ..., p_n] in \mathbb{R}^2, with \leftarrow
    error tolerances for each point: [\varepsilon_1, \varepsilon_2, ..., \varepsilon_n]
{output: a directed graph G(V,E) of the O(n^2) candidate \hookleftarrow
    approximating line segments of P}
for i=1 to n do v_i \leftarrow v_p (set vertices of V to correspond\hookleftarrow
     with those on P)
for i=1 to n-1 do
begin
  incrementalPlane \leftarrow R^2 (set to an open cone)
  for j=i+1 to n do and meanwhile incrementalPlane \neq \emptyset (\leftarrow
       meanwhile [p_i,p_j] continues to be an approximating \leftarrow
  begin
     if p_j \in incrementalPlane then e_{ij} < -[p_i, p_j] with weight (\hookleftarrow
     incrementalPlane \leftarrow incrementalPlane \cap D_{ii}
  end
end
```

Note the 2 nested for. Since we need at most two lines to represent a  $D_{ik}$  double cone plane (an open cone doesn't require anything, is just the whole plane), the seen algorithm build\_graph only requires constant storage to maintain and update the incrementalPlane variable. Therefore,

$$max\{\sum_{i=1}^{n-1} O(1), \sum_{i=1}^{n-1} (\sum_{i=i+1}^{n} O(1))\} = \sum_{i=1}^{n-1} (\sum_{i=i+1}^{n} O(1)) \approx O(n)^2$$

This gives  $O(n^2)$  time and  $O(n^2)$  space completixies for building the graph.

For finding the required path P' from the graph G, a forward dynamic programming technique [9] is used. The time complexity is proportional to |E|:  $(O(n^2))$  . Because we need each  $e_ik$ , the space complexity for maintaining G is  $O(n^2)$ : this gives  $O(n^2)$  time and  $O(n^2)$  space complexities for the whole algorithm.

#### Under the infinite beam criterion

With uniform error tolerances for the vertices in P ( $\forall p_i$  in P,  $\varepsilon_i = \varepsilon$ ), the build\_graph algorithm resolves the problem in  $O(n^2)$  time and also  $O(n^2)$  space for the infinite beam criterion.

### For the min- $\varepsilon$ problem

#### Under the tolerance zone criterion and infinite beam criterion

It first computes and stores the  $O(n^2)$  approximation errors for all the segments  $\overline{p_i, p_j}$  defined on P, and then performs a binary search on these errors for the sought error, at each step of the search applying a min-# algorithm.

**Lemma, in [2]** Let  $\varepsilon_A$ ,  $\varepsilon_B > 0$  be two error tolerances (see figure 14 for an example of an error tol. zone inside a infinite beam). Suppose that we solve the min-# problem twice: once with  $\varepsilon_A$  to obtain a curve  $P_A$ ' of  $m_A$  vertices, and a second time for  $\varepsilon_B$  to obtain a curve  $P_B$ ' of  $m_B$  vertices. Then

- 1. if  $\varepsilon_A < \varepsilon_B$  then  $m_A \geq m_B$
- 2. if  $m_A < m_B$  then  $\varepsilon_A > \varepsilon_B$

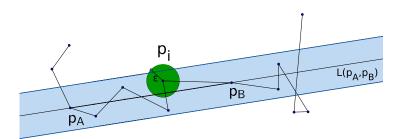


Figure 14: Example of a point  $p_i$  with it's error tolerance region created by its  $\varepsilon$ , and the infinite beam region created by  $p_A$  and  $p_B$ .

If we store in a sorted array Q the maximum error for each of the  $O(n^2)$  segments of pairs of vertices of P, then we can apply a binary search on Q (because of this lemma), at each step invoking build\_graph (from the min-# problem) with a uniform error tolerance that is the median of Q. If:

(A) |P'| < m: all elements in Q above the median element  $\varepsilon$  allow no more line segments than m. We recur to the lower half to find a P' with more vertices and lower  $\varepsilon$ 's.

- (B) |P'| > m: all elements in Q below the median element  $\varepsilon$  require no less vertices than m. We recur to the upper half of Q.
- (C) |P'| = m: it is possible that an element  $\varepsilon' < \varepsilon$  exists in the lower half and yields |P'| = m as well (as said in the previous lemma,5). We recur to the lower half. Otherwise, we return with the curve of error  $\varepsilon$ .

The algorithm associated with this binary search, as seen in [2], is as follows:

```
algorithm minimize_error
{input: a polygonal curve P = [p_1, p_2, ..., p_n] in R^2}
{output: a curve P' = [p_1, p_2, ..., p_n] satisfing the min-\ensuremath{\longleftrightarrow}
    varepsilon problem}
(1) compute the maximum approx. error (err_{ab}) for all \leftarrow
    \overline{p_A,p_B} where p_A,p_B \in P, A < B, \hookleftarrow
    err_{ab} = max_{A < i < B} D(p_i, L(p_A, p_B)). Store all the err_{ab} in an \leftarrow
    array Q.
(2) Sort Q by increasing order.
(3) i <-1, j <-|Q|=n(n-1)/2
(4) \varepsilon < -Q[(i+j)/2], the median element in \{Q[i], \ldots, \leftarrow
    [j]
(5) solve the min-# problem using build_graph with the \leftrightarrow
    uniform error \varepsilon of (4) to obtain a curve P' = \leftrightarrow
    [p'_1, p'_2, ..., p'_m*] of m* vertices.
(6)
  if i = j then (corresponds to [A])
     begin
     if (i+j)/2 -1 < 1
       return P' with error \varepsilon (the element to the left of\leftrightarrow
             our median < 1)
     else
       begin (recurre the lower half)
       \varepsilon' < -Q[(i+j)/2 -1]
       invoke build_graph with \varepsilon' to obtain P'' with m** \leftrightarrow
            vertices
       if m** = m* then return P'' with error \varepsilon'
       else return P' with error \varepsilon
       end
     end
  else if m* \le m then j < - (i+j)/2 (corresponds to [B\leftrightarrow
      ]), recurre to the lower half
  else if m*>m then i <- (i+j)/ 2 (corresponds to [\leftarrow
      C]), recurre to the upper half
(7) repeat from step (4)
```

To complete step (1), an on-line convex hull algorithm is used. It is called on-line because it computes the vertices one by one, at the pace they are available. As seen in [2], for two vertices  $p_A, p_B$  of P, A < B, and given the convex hull  $CH[p_A, ..., p_B]$  of the subchain  $[p_A, ..., p_B]$  of P, it is possible to determine the vertex p with the maximum error vertex of the subchain in  $O(\log n)$  time (see figure 15),and the algorithm has  $O(n^2 \log^2 n)$  time complexity. As Q requires  $O(n^2)$ , the algorithm build graph has  $O(n^2)$  space complexity, and thus, the whole problem has  $O(n^2)$  space complexity.

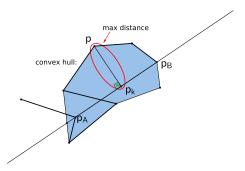


Figure 15: Example of the max error vertex p, using a convex hull.

# 6 The approximating algorithm on O(n) space

# For the min-# problem

#### Under the tolerance zone criterion

Start with  $SD(p_1, p_n) = 0$ . Suppose that for a vertex  $p_j$ ,  $2 \le j \le n$ , we have obtained  $SD(p_1, p_k)$  for each  $p_k$   $1 \le k < j$ . We must obtain  $SD(p_1, p_j)$  from the  $SD(p_1, p_k)$ 's. We must identify all edges  $e_{kj} \in E$  with  $1 \le k < j$  (O(j) time). To know if and edge  $e_{kj} \in E$  we need to check if

- 1.  $p_j \in F_{kj}$  (O(1) time: it consists in 4 comparisons, 2 for the x coordinates of  $r_a$  and 2 for the y coordinates of  $r_b$ ), and
- 2.  $p_k \in B_{kj}$  (O(1) time, idem).

For doing those " $\in$ " checks, we need the  $F_{kj}$ 's and  $B_{kj}$ 's. Lets assume we have mantained  $F_{kj_1}$  for every k with  $1 \le k \le j-1$ . By definition:  $F_{kj} = F_{kj_1} \cap D_{kj}$ . (this takes O(1) time).  $B_{kj} = B_{kj_1} \cap D_{kj}$ . (O(1) time, idem). So we don't need to maintain  $B_{kj}$  and  $F_{kj}$ . And then, Maintaining  $SD(p_1, p_k)$ 's and  $F_{k,j-1}$ 's uses O(n) space.

#### Under the infinite beam criterion

The difference with the tolerance zone criterion is that computing  $F_{ij} = \bigcap_{k=i+1}^{j} D_{ik}$  costs  $O(n^2)$  space, instead of  $O(n^2)$  space. Under the infinite beam criterion, the algorithm cannot maintain  $F_{k,j-1}$  for all k with  $1 \le k \le j-1$  as for the tolerance zone criterion (this would take  $O(n^2)$  space). Instead, this algorithm performs this computation:

$$SD(p_1, p_k) = min\{SD(p_1, p_k), SD(p_1, p_i) + w(e_{ik})\}$$
 for each  $k \in i < k \le n$  and  $e_{ik} \in E$ 

(note that  $SD(p_1, p_k)$  may not containt the correct shortest path  $p_1$  to  $p_k$ ). At the beginning of the i-th iteration, the value of  $SD_{p_1,p_k}$  is correct. So, if the edges  $e_{ik} \in E$  are available for all k,  $1 < k \le n$ , then the values of  $SD_{p_1,p_k}$ 's can be maintained with the relation with  $SD(p_1,p_i)$ 's shown. So, to process the i-th iteration is to identify all edges  $e_{ik}$ , and this is to test, for each k,  $1 < k \le n$ , if  $p_k \in F_{ik}$ . This testing can be done by a binary treeguided scheme [10], where each leaf of a complete binary tree  $T_i$  is associated with a  $D_{ik}$  and each internal node is associated with the  $\bigcap_{k=i+1}^{j} D_{ik}$ . This tree-guided scheme finds all the edges in  $e_{ik} \in E$  in O(nlogn) time (then  $O(n^2logn)$ ) time for the whole algorithm ) and O(n) space.

## For the min- $\varepsilon$ problem

#### Under the tolerance zone criterion and infinite beam criterion

The approximating algorithm on  $O(n^2)$  space stores the  $O(n^2)$  approximation errors of P in a sorted array for the binary search. But binary search on set A can be performed in 3 stages, only storing O(n) well chosen samples, meanwhile performing the binary search of all the  $O(n^2)$  without increasing it's time bound, as is said in [1].

That technique consists of O(1) stages, each of which perform:

- 1. Take O(n) samples from the set S of all the elements that are currently being used for the current call of the binary search, so that between any two of them there is a probably "small" subset of S.
- 2. Make the binary search call on the O(n) samples.
- 3. At the end of this binary search, reduce the problem to one such "small" subset of the set S (so only the elements of that subset are going to be active).

For (1), we need to sort all the  $O(n^2)$  approximation errors into n sets (each will be of size O(n)). For the tolerance zone criterion, let

$$err(\overline{p_i p_j}) = max_{ki}^j \{ dist(\overline{p_i p_j}, pk) \}$$

denote the approximation error of the segment  $\overline{p_i p_j}$ . Or, if we are working with lines (infinite beam criterion), let

$$err(L(\overline{p_i p_j})) = max_{ki}^j \{ dist(L(\overline{p_i p_j}), pk) \}$$

denote the approximation error of the line  $L(\overline{p_ip_i})$ .

Since  $err(\overline{p_ip_j}) = max\{err(L(\overline{p_ip_j})), max_{ki}^j\{d(\overline{p_ip_j}), d(pj, pk)\}\}$ , for all the segments  $\overline{p_ip_j}$  such that  $1 \leq i < j \leq n$ , we have  $err(\overline{p_ip_j})|1 \leq i < j \leq n \subseteq err(L(\overline{p_ip_j}))|1 \leq i < j \leq n \cup d(p_ip_j)|1 \leq i \leq j \leq n$ . We can call:

- $ERR(P) = err(\overline{p_i p_j})|1 \le i < j \le n$ ,
- "line error" L- $ERR(P) = err(L(\overline{p_i p_j}))|1 \le i < j \le n$ , and
- "vertex error" V- $ERR(P) = d(p_i p_i) | 1 \le i \le j \le n$ .

Because L- $ERR(P) \cup V$ -ERR(P) is a superset of ERR(P), the error  $\varepsilon \in ERR(P)$  that is the solution for the 2-D min- $\varepsilon$  problem is contained in L- $ERR(P) \cup V$ -ERR(P). So, we search for the  $\varepsilon \in ERR(P)$  by performing binary search on L- $ERR(P) \cup V$ -ERR(P). Note that |L- $ERR(P) \cup V$ - $ERR(P)| = <math>O(n^2)$ . For all i such  $1 \le i < n$ , let:

- L- $ERR_i$  be the set of the approximation errors  $err(L(\overline{p_ip_j}))$  for all the lines  $L(\overline{p_ip_j})$ , and
- V- $ERR_i$  be the set of the  $d(p_i, p_i)$ 's.

For all j with  $i \leq j \leq n$  (assume that  $err(L(p_ip_i)) = 0$  there is no distance to compute there). Note that all the errors in  $L\text{-}ERR_i$  can be computed in O(nlogn) time and O(n) space by maintaining on-line convex hulls of planar points (Toussaint's approach [11]). Also,  $V\text{-}ERR_i$  can be computed in O(n) time and space. Let  $ERR_i = L\text{-}ERR_i \cup V\text{-}ERR_i$ . Then we can obtain  $|ERR_i| \leq 2n$  and  $ERR_i$  in O(nlogn) time and O(n) space. Since  $L\text{-}ERR(P) \cup V\text{-}ERR(P) = \bigcup_{i=1}^{n-1} ERR_i$ , the  $O(n^2)$  approximation errors of  $L\text{-}ERR(P) \cup V\text{-}ERR(P)$  are put into the sets  $ERR_1$ ,  $ERR_2$ , ...,  $ERR_{n-1}$ . Without loss of generality, its assumed that errors in  $L\text{-}ERR(P) \cup V\text{-}ERR(P)$  are distinct and ties can be easily undone.

The following lemma, used in many selection algorithms before (see [12]), is crucial to this O(n) space binary search algorithm [1].

**Lemma, in [1]** Suppose that a set S of r distinct elements is organized as m sorted sets  $C_i$  of size O(r/m) each. For every i=1,2,...,m, let C'i be the subset of Ci that consists of every s-th element of Ci (i.e., the s-th, (2s)-th, (3s)-th, . . ., elements of Ci ). Let  $S' = \bigcup_{i=1}^m C_i'$ . If w (resp., z) is the  $\alpha$ -th (resp.,  $\beta$ -th) i=1 smallest element of S , with w < z, then there are at most  $s(\beta - \alpha + m - 1)$  elements of S that are between w and z (i.e., these elements are  $\geq w$ but  $\leq z$ ). (see the proof in [13], and 16)

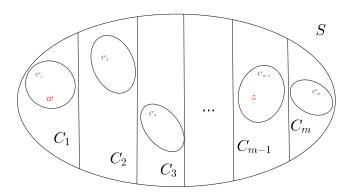


Figure 16: Construction of sets of lemma 6. If:  $w < z \to n^0$  of elements k,  $w \le k \le z$  is  $s(\beta - \alpha + m - 1)$ . Note that  $C_i$  sets are sorted sets.

We are at the beginning of our first phase: from the sets  $ERR_1$ ,  $ERR_2$ , . . . ,  $ERR_{n-1}$ , each of size O(n), lets pick the O(n) sample elements needed for the binary search process. The basic idea for the sampling, as in [1], is: Partition the n - 1 sets of approximation errors  $ERR_k$  into  $\sqrt{n}$  groups  $G_i$  of (more or less)  $\sqrt{n}$  sets each. Treat each group  $G_i$  (of size  $O(n^{1.5})$ ) as one single sorted set and select  $O(\sqrt{n}) = O(n^{0.5})$  sample elements from  $G_i$ . Now there are O(n) elements of  $G_i$  between every two consecutive samples from  $G_i$  and the total number of selected samples from all the  $\sqrt{n}$  groups is O(n) ( $O(n^{1.5}) - O(n^{0.5}) = O(n)$ ). but because the purpose of this method is to only use O(n) space, its not possible to explicitly store a group  $G_i$  for the sampling process. Instead, its needed to sample from the  $\sqrt{n}$  sets of  $G^i$  with the following procedure, as seen in [1]:

#### procedure sampling $(G_i)$

1. As seen, for each set of approximation errors  $ERR_k$  of  $G_i$ , first compute  $ERR_k$  and sort it. After that, lemma 6 is applied: every  $(\sqrt{n})$ -th element from the sorted set  $ERR_k$  is selected, and the selected elements are put in the set  $S_i$  for  $G_i$ .

2. At the end,  $S_i$  is sorted. Then every  $(\sqrt{n})$ -th element from  $S_i$  is chosen. These chosen elements are the ones wanted, and form the samples of  $G_i$ , which are stored into the wanted set  $Sample(G_i)$  (of size  $O(\sqrt{n})$ ). The quality of those samples obtained in  $Sample(G_i)$  is ensured because there are O(n) elements of  $G_i$  between every two consecutive samples in  $Sample(G_i)$ .

The cost in time of the procedure is  $O(n^{1.5}logn)$ : we need to compute and sort each of the n sets  $ERR_k$  of  $G_i$ . The cost in space is O(n): there is only need to store once every  $ERR_k$  in Step 1, and store the set  $S_i$  of size O(n).

## Algorithm

Now, after knowing how the sample is made, we can apply and this algorithm, consisting in three stages, as seen in [1]:

#### first stage

We perform the said procedure sampling on each of the  $\sqrt{n}$  groups  $G_i$  and obtain O(n) samples (with  $O(n^2logn)$  time and  $O(\sqrt{n})$  space boundaries). We construct our sample set as  $Sample = \bigcup_{i=1}^{\sqrt{n}} Sample(G_i)$ . We then perform binary search on the sorted set Sample, at each step of the search using the min-# algorithm and an approximation error  $\varepsilon$ ' from Sample. This binary search takes  $O(T_{min-\#}logn)$  time<sup>5</sup>, so this stage uses  $O(n^2logn+T_{min-\#}logn)$  time and O(n) space.

After performing the binary search on Sample, we have two values,  $\varepsilon_a$  and  $\varepsilon_b$ , which are consecutive errors,  $\in Sample$ , such that the sought error which is the solution to the min- $\varepsilon$  problem satisfies  $\varepsilon_a \leq \varepsilon \leq \varepsilon_b$ . We call now the elements between  $\varepsilon_a$  and  $\varepsilon_b$  and in L- $ERR(P) \cup V$ -ERR(P) currently active. Every other element is called not active. The number of currently active elements is in  $O(n^{1.5})$ .

#### second stage

We arrive here with  $O(n^{1.5})$  currently active elements. We partition that set into  $\sqrt{n}$  subsets  $G'_i$  of size O(n) each, by the following steps:

1. Calculate  $ERR_k$ ,  $\forall k \in 1 \leq k < n$ , and then, comparing the elements of  $ERR_k$  with  $\varepsilon_a$  and  $\varepsilon_b$ , find the number of currently active elements in  $ERR_k$ .

 $<sup>^5</sup>T_{min-\#}$  being the time cost of a min-# algorithm

2. Once we have the currently active elements of ERR-k's, separate them into n subsets  $G'_i$ , by associating each  $G'_i$  with multiple appropriate ERR-k's. This can be achieved by a simple prefix sum computation on the numbers of the currently active elements  $\in ERR_1$ ,  $ERR_2$ , . . . ,  $ERR_{n-1}$ . Because of the recomputing of the ERR-k's, this second step takes  $O(n_2logn)$  time, and we mantain O(n) space.

After those steps, we then find each set  $G_i'$  from its associated ERR-k's. Then we sort every  $G_i'$ , and select as a sample every  $(\sqrt{n})$ -th element from  $G_i'$  (as in lemma 6).  $|G_i'| = O(n)$  and between every two consecutive samples in  $G_i'$ , there are  $\sqrt{n} + 1$  elements. Lets call Sample' the set of the selected samples from all the  $\sqrt{n}G_i'$ 's elements (therefore |Sample'| = O(n)). If we apply a binary search on Sample' again, we find two consecutive errors  $\varepsilon_a'$  and  $\varepsilon_b'$  in Sample' such that  $\varepsilon_a' \leq \varepsilon \leq \varepsilon_b'$  (this binary search is within the same complexity bounds as the one on the first stage). In the outcome of the binary search,  $\varepsilon_a'$  and  $\varepsilon_b'$ , as seen in lemma 6, there are O(n) (currently active) elements of L- $ERR(P) \cup V$ -ERR(P), and thus, the binary search is performed on O(n) samples.

#### third stage

Finally, we use the currently active elements from the ERR-k's (in O(n)), and perform a binary search. This binary search obtains the error  $\varepsilon$  we want to find. This stage has the same complexity bounds as stage 1.

So, at the end this algorithm has  $O(n^2 log n + T_{min-\#} log n)$  time and O(n) space complexities,  $T_{min-\#}$  being the time complexity of a min-# algorithm.

# 7 On using $L_1$ and $L_{\infty}$ metrics

The definitions of the  $L_1$  and  $L_{\infty}$  metrics are in the form:

$$L_1$$
-norm :  $||x||_1 = (|x|'_1 + |x|'_2 + \dots + |x|'_n)^1$   
 $L_{\infty}$ -norm :  $||x||_{\infty} = max(|x|_1, |x|_2, \dots, |x|_n)$ 

If we use those definitions for computing the error tolerance region of a point:

•  $L_1$ -norm:  $p_i$ : error tol. region $(p_i, \varepsilon_i) = \{q | D(p, q_i) \le \varepsilon_i\} = \{q | (|X(q) - X(p_i)| + |Y(q) - Y(p_i)|) \le \varepsilon_i\}$ , which has the form of a diamond.

•  $L_{\infty}$ -norm:  $p_i$ : error tol. region $(p_i, \varepsilon_i) = \{q | D(p, q_i) \le \varepsilon_i\} = \{q | max(|X(q) - X(p_i)|, |Y(q) - Y(p_i)|) \le \varepsilon_i\}$ , which has the form of a square, with sides parallels to the axis.

For the min-# problem as well as the min- $\varepsilon$  problem, and using the error tolerance region as a square( $L_{\infty}$ ) or diamond( $L_1$ ), the planes  $D_{ik}$ (double cones) idea is still valid and the basic shape of the  $D_{ik}$  planes are the same. So the computation of a  $D_{ik}$ , the intersection between  $D_{ik}$ 's, and testing whether a vertex lies inside of a  $D_{ik}$  is unaffected, and the algorithm ideas are still valid.

### References

- [1] D. Chen and O. Daescu, "Space-efficient algorithms for approximating polygonal curves in two dimensional space," *Computing and Combinatorics*, pp. 45–55, 1998.
- [2] D. Eu and G. Toussaint, "On approximating polygonal curves in two and three dimensions," *CVGIP: Graphical Models and Image Processing*, vol. 56, no. 3, pp. 231–246, 1994.
- [3] L. Cordella and G. Dettori, "An o (n) algorithm for polygonal approximation," *Pattern recognition letters*, vol. 3, no. 2, pp. 93–97, 1985.
- [4] G. Dettori, "An on-line algorithm for polygonal approximation of digitized plane curves," in *Proceedings of the 6th International Joint Conference on Pattern Recognition*, vol. 2, pp. 739–741, 1982.
- [5] J. Sklansky and V. Gonzalez, "Fast polygonal approximation of digitized curves," *Pattern Recognition*, vol. 12, no. 5, pp. 327–331, 1980.
- [6] W. Chan and F. Chin, "Approximation of polygonal curves with minimum number of line segments," *Algorithms and Computation*, pp. 378–387, 1992.
- [7] E. White, "Assessment of line-generalization algorithms using characteristic points," *Cartography and Geographic Information Science*, vol. 12, no. 1, pp. 17–28, 1985.
- [8] R. McMaster, "A statistical analysis of mathematical measures for linear simplification," *Cartography and Geographic Information Science*, vol. 13, no. 2, pp. 103–116, 1986.

- [9] C. Papadimitriou and K. Steiglitz, Combinatorial optimization: algorithms and complexity. Dover publications, 1998.
- [10] G. Barequet, D. Chen, O. Daescu, M. Goodrich, J. Snoeyink, et al., "Efficiently approximating polygonal paths in three and higher dimensions," Algorithmica, vol. 33, no. 2, pp. 150–167, 2002.
- [11] G. Toussaint, "On the complexity of approximating polygonal curves in the plane," in *Proceedings of the IASTED International Symposium on Robotics and Automation*, p. 59, 1985.
- [12] D. Chen, W. Chen, K. Wada, and K. Kawaguchi, "Parallel algorithms for partitioning sorted sets and related problems," *Algorithms—ESA'96*, pp. 234–245, 1996.
- [13] H. Imai and M. Iri, "Computational-geometric methods for polygonal approximations of a curve," *Computer Vision, Graphics, and Image Processing*, vol. 36, no. 1, pp. 31–41, 1986.

### Miscelanea

• All illustrations but figure 13 have been done using GeoGebra 4.0 (http://www.geogebra.org/), a free and open source dynamic mathematics software (thanks to Miguel González Pérez for pointing me to it and teaching me how to use it). The illustrations can be downloaded from https://github.com/viccuad/papers/approx\_polygonal\_curves/images/geogebras/ in .ggb (geogebra's file extension). Geogebra allows to see the functions associated with all the curves, lines and planar regions, and allows also to move on the fly the points and lines: it has proven a valuable tool to understand all the geometric cases of the definitions that appear when trying to approximate polygonal curves.