Solar wind, F10.7, and geomagnetic activity relationship
to the equatorial plasma mass density at

geosynchronous orbit

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6 Abstract

We consider two types of events, identified by decreases in  $D_{st}$  below a threshold value and increases in the the equatorial mass density at geosynchronous altitudes,  $\rho_{eq}$ , above a threshold value using the  $Takahashi\ et\ al.\ [2010]$  dataset. From the  $D_{st}$  events and 1-day averages, we find that there is a statistically weak and small-amplitude difference between  $\rho_{eq}$  on the day of the event and the days before and after. When hourly averages are considered, a significant peak is found to occur six hours after event onset, and the primary factor that determines the post-onset peak amplitude in  $\rho_{eq}$  is elevated F10.7. In addition, for hourly averages,  $\rho_{eq}$  following the onset of a  $D_{st}$  event depends on the north-south component of the interplanetary magnetic field,  $B_z$  after the time of onset, with higher average  $B_z$  four hours after the event onset corresponding to larger  $\rho_{eq}$  value 7-11 hours after onset. From the  $\rho_{eq}$  events, we find a weak dependence on  $B_z$  after the onset of an event, with higher average  $B_z$  four hours after onset.

## 20 1 Introduction

The dependence of the plasmapause location on geomagnetic activity was observed as early 21 as Carpenter [1966] and there are well-validated models of the plasmapause location as 22 a function of L, MLT, and geomagnetic activity (Lemaire [1998], Moldwin et al. [2002], 23 O'Brien and Moldwin [2003]). Models also exist for the plasmasphere density (Gallagher 24 et al. [1988]; Lemaire [1998]) with similar parameterization. 25 The dependence of the plasma density outside of the nominal plasmapause location on 26 geomagnetic and solar wind conditions has not been extensively studied until the past decade 27 (Takahashi et al. [2006]; Takahashi et al. [2010]; Denton et al. [2016]). Earlier works that 28 considered profiles of ion density found a significant dependence of the plasmapause location 29 on geomagnetic activity (Chappell et al. [1970]; Maynard and Grebowsky [1977]; Carpenter 30 and Anderson [1992]), but the relationship between geomagnetic activity and density outside 31 of the plasmapause was not explicitly considered. 32 Takahashi et al. [2006] estimated magnetospheric mass density using measurements from 33 the CRRES satellite during a 73-day period in 1991 when CRRES was in an elliptical, near equatorial orbit and primarily beyond the nominal plasmapause. They found that the local average ion mass, M, had some correlation with geomagnetic activity, with more negative hourly  $D_{st}$  values corresponding to higher M and larger 1.5- and 3-day averages of  $K_p$ 37 corresponding to higher M (3-hour averages of  $K_p$  had little visual correlation with M). 38 The mass density estimates were obtained from CRRES observations in the MLT range of [12:00, 18:00] with most observations at L between 5 and 7  $R_E$ . 40 Denton et al. [2006] found a weak relationship between  $D_{st}$  and  $K_p$  and the field line 41 distribution of plasma mass density,  $\rho$ , using observations of the first three toroidal Alfvén harmonic frequencies for L in the range of 6-8  $R_E$ . A more pronounced peak in the distribution along a field line near the equator was found for lower  $D_{st}$  and larger  $K_p$ , but the equatorial value of  $\rho$  was found to be similar for  $D_{st}$  bins of [-142, -31] and [-31, 37] nT and

 $K_p$  bins of [1.5,3.4] and [3.4, 5.9].

Takahashi et al. [2010] developed a mass density dataset using measurements from the 47 Space Environment Monitor instruments on the Geostationary Operational Environmental 48 Satellites (GOES) satellites from 1980 through 1992, with most measurements in the range 49 of  $L = 6.8 \pm 0.2 R_E$ . The mass density was estimated using the Alfvén wave velocity 50 relationship,  $V_A = B/\sqrt{\mu_0\rho}$ , a magnetic field model, and a numerical solution to a wave 51 equation with an ionospheric boundary and the assumption of a zero resistance ionosphere. The equatorial mass density,  $\rho_{eq}$ , was derived from the estimated mass density using a power law dependence on the geocentric distance to the field line of the observation, R,  $\rho = \rho_{eq}(LR_E/R)^{1/2}$ . They found a high correlation (~ 0.94) between 27-day averages of F10.7 and 27-day medians of  $\rho_{eq}$ . Takahashi et al. [2010] noted that downward drops in the  $D_{st}$  index coincided with sig-57 nificant changes in  $\rho_{eq}$  for L near 6.8  $R_E$ . For five storms, two had  $\rho_{eq}$  increases after  $D_{st}$ minimum, two had  $\rho_{eq}$  spikes before the minimum, and one showed little change in  $\rho_{eq}$ . A

key result was that when daily-averaged measurements were considered, the epoch average of  $D_{st}$  for storms with a minimum  $D_{st} < -50$  nT showed an enhancement in  $\rho_{eq}$  the same day as minimum  $D_{st}$  in the epoch averages.

Yao et al. [2008] studied the relationship between  $D_{st}$  and the number density of low-energy  $O^+$  in different regions (ring current and plasma sheet) using the TEAMS and ESA instruments on the low-altitude and high-inclination orbiting FAST satellite and found that the average  $N_{O^+}$  across the sampled L-shells (2-14) had a strong correlation (0.88) with the

calculated, the data presented for four events show that the enhancements in  $N_{O^+}$  tended to appear near  $D_{st}$  minimum ( $\pm$  2 hours).

minimum  $D_{st}$  of the storm. Although the correlation at geosynchronous distances was not

The above results indicate that (1) the mass density at geosynchronous distances is best representation of the correlated with F10.7 and (2) there exists a much weaker statistical relationship between mass density and the geomagnetic activity indices  $K_p$  and  $D_{st}$ . In this work we consider the dependence of mass density estimates in the  $Takahashi\ et\ al.\ [2010]$  data set and attempt

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to identify and statistically characterize the relationship between geomagnetic activity with mass density at geosynchronous distances. In addition, we attempt to identify solar wind variables that may drive or be associated with the changes.

# 77 2 Data Preparation and Overview

The parameters  $\rho_{eq}$  and  $F_{10.7}$  are from the dataset of Takahashi et al. [2010] data are available 78 from 1980 through 1991 from GOES 2, 3, 5, 6 and 7. All other parameters used are from Kondrashov et al. [2014], which has coverage from 1972 through 2013 and are on a 1-hour time grid.  $\rho_{eq}$  is the inferred equatorial mass density based on the 3rd harmonic torodial frequency of magnetic field measurements as described in Takahashi et al. [2010]. The smallest cadence for  $\rho_{eq}$  values is 10 minutes. To compute an hourly median over the same interval for which the solar wind parameters were averaged, the median of all  $\rho_{eq}$  values in a given hour window was used. GOES 6 had the longest span of available (May 1983 to August 1991) data and the primary results presented are for GOES 6 while measurements from other satellites were used for consistency checks. The top panel of Figure 2 shows the long-term trends of  $\log_{10}(\rho_{eq})$  from GOES 6 and 88  $F_{10.7}$  computed using the median values in 27-day non-overlapping windows. A scatter plot 89 of these two lines is shown in the lower panel of Figure 2 along with that for 1-day and 1-hour medians. The linear correlation for GOES 6 using all measurements is found to 91

be 0.94, which is the same value documented by  $Takahashi\ et\ al.\ [2010]$  who used combined measurements from all satellites in the time interval of 1980 through 1991 using the constraint 06:00  $\leq MLT \leq 12:00,\ K_{p3d} \geq 1.0$  and  $D_{st} \geq -50$  nT. Our 27-day correlations for GOES 2, 5, and 7 are 0.81, 0.78, and 0.87, and the time range of coverage of measurements from these satellites is 1980-01-01/1983-05-16, 1983-01-01/1987-02-28, and 1983-05-27/1991-08-29, respectively.

### 98 3 Results

Two types of events are considered. The first is a drop in the  $D_{st}$  index below the threshold value of -50 nT. These types of events are considered in order to determine if there is a well-defined  $\rho_{eq}$  dependence on geomagnetic activity as indicated by the  $D_{st}$  index. It is expected that the plasmasphere will exhibit two responses near the time of these threshold crossings. First is the movement of the plasmapause earthward (*Lemaire* [1998]) and the second is a possible change in density due to magnetospheric processes.

The second type of event is an increase in  $\rho_{eq}$  above a threshold of 20 amu/cm<sup>3</sup>. It is expected that some of these increases will typically occur after a period of quiet geomagnetic activity. In this case, the plasmapause boundary may move outward past geosynchronous orbit and the increase will be due to measurements being made inside the plasmapause. A second possible reason for the increase could be due to the same mechanism associated with increases that occur during enhanced geomagnetic activity.

## $\boldsymbol{a}_{11}$ 3.1 $D_{st}$ Events

Our first analysis uses GOES-6 data only from the time interval 1989-1991, which corresponds to the interval used in the epoch analysis of Takahashi et al. [2010]. In this interval, there were 113 75  $D_{st}$  events where  $D_{st}$  remained below the -50 nT threshold for at least 12 hours. Only 114 events with an onset time between 06:00 and 12:00 MLT were considered. The first hour of a 115 local minimum in  $D_{st}$  following a threshold crossing defines the zero epoch time, and for each 116 event,  $4 \cdot 24$  hours were considered before and  $8 \cdot 24$  hours after onset. For multiple detected 117 threshold crossings within 24 hours of each other, the first crossing was selected as the event 118 onset because visual inspection showed the subsequent crossings to typically be from brief 119 downward deviations during the recovery period of a geomagnetic storm. To compute the 120 epoch averages on a daily time scale, the median value of all available measurements for all 121 events centered on a window of  $\pm 12$  hours of the epoch zero hour was computed, and these 122

averaging windows were shifted in increments of 24 hours. Similar results are obtained if we first reduce each event time series to have a 1-day cadence by computing medians for each event in 1-day bins and then compute the medians across the averaged event time series on each epoch day.

The stack plot in the upper panel of Figure 3 shows the epoch averages for the 75 events 127 from 1989-1991 using data from GOES 6. The minimum  $D_{st}$  median is -75 nT. Consistent 128 with Takahashi et al. [2010],  $D_{st}$  events correspond to elevated  $\rho_{eq}$  on the day of the event 129 relative to the day before and after, although the magnitude of increase observed here is 4.5 130  $amu/cm^3$  instead of the increase of  $\sim 10$   $amu/cm^3$  found in Figure 11 of Takahashi et al. 131 [2010]. The vertical green bars in the  $\rho_{eq}$  plot show the number of  $\rho_{eq}$  values that were used 132 to compute the medians and red error lines. The uncertainty is shown with error lines for 133 each parameter that have a width that is twice the standard deviation of the values used in computing the median divided by the square root of the number of values. For the non- $\rho_{eq}$ 135 parameters, the number of measurements used in computing the medians is typically equal 136 to the number of events, which is much larger than the number of  $\rho_{eq}$  values as all available 137 measurements were used instead of restricting to only values where a  $\rho_{eq}$  value also existed. 138 In the lower panel of Figure 3, it is shown that the trends seen in the analysis of 1989-139 1991 also hold for the entire span of measurements from GOES 6 (with coverage years of 140 1983-1991) - a small elevation on the day of the event relative to the day before and day 141 after, with median averages after the day of the event being slightly lower than that before. 142 A similar result is obtained for GOES 7 (1987-1991); the result is much less significant for 143 GOES 5 (1983-1987) and is much more significant for GOES 2 (1981-1983). This trend has 144 a relationship with the average value of F10.7 during each respective coverage period, with 145 the most significance found during the 1981-1983 time interval when F10.7 was consistently 146 elevated. 147

To determine if the magnitude of the density medians in the top panel of Figure 3 on epoch days -1, 0, and 1 are statistically different from each other, we used a two-population

Days	Difference $(amu/cm^3)$	%
-1 0	-4.48	$10.90\% \ge 0$
-1 1	-1.46	$34.30\% \ge 0$
1 0	-3.02	$21.50\% \ge 0$

Table 1: Results of test on of medians of  $\rho_{eq}$  shown in the top panel of Figure 3 between days of threshold crossing near (day = 1 or -1) or on the day of a  $D_{st}$  event (day = 0).

bootstrap test. A bootstrap sample of the medians for each epoch day was created by sampling all values (with replacement) used to compute the median on each epoch day.

The observed difference between the medians is shown in Table 1 along with the fraction of observations in 1000 bootstrap differences with a different sign than that observed.

If a statistically significant difference in the medians between two days existed, the fraction of bootstrap samples with a different sign is expected to be much smaller (< 5%). In terms of a hypothesis test, we cannot reject the null hypothesis that the medians are the same with a confidence higher than 100 minus the percentage value shown in the table; the highest confidence level of 86% is in the difference between days -1 and 0.

A similar hypothesis test run for the bottom panel of Figure 3 yields a maximum confidence level of  $\sim 85\%$ . For verification, the significance values were also tested using a Wilcoxon rank-sum test and were found to be similar.

These tests indicate that there is not a statistically significant difference in medians of daily-averaged  $\rho_{eq}$  on the day before and day after a threshold crossing in  $D_{st}$ . In addition, the observed differences are on the order of only 20% of the value of  $\rho_{eq}$  on the onset day, which is similar to the magnitude of variation in the observations over the displayed epoch time interval.

We have also considered hourly averages of data for the events shown in the bottom panel of Figure 3, which are shown in the top panel of Figure 4. In the time period of elevated mass density within 6 hours of the start of the event, there are only  $\sim$ 4 samples per hourly interval and the peak value of  $\sim$ 70 amu/cm<sup>3</sup> is associated with a single observation.

To increase the number of events, we have removed the constraint that the events must

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occur in the MLT range of 06:00-12:00 and the constraint that  $D_{st}$  remained below the 172 threshold value for 12 hours or longer. The result is shown in the bottom panel of Figure 4. 173 The two variations from a baseline (one downwards before onset and one upwards after 174 onset) were tested for significant deviation from two baselines, one 24-12 hours before onset, 175 one 16-48 hours after onset. The probability of the downward variation coming from the 176 same distribution as the data from the left baseline is 1.06%, whereas the probability of the 177 right upward variation coming from the same distribution of the data for the right baseline 178 is 0.17%. This significant result is also maintained for GOES 2, 5, and 7. This indicates 179 that there are statistically significant variations in  $\rho_{eq}$  within 6 hours of onset when hourly 180 averages are considered. The signficance of the upward variation result is consistent with previous observations of large mass density increases in the plasma trough region during 182 large geomagnetic storms (Yao et al. [2008]; Takahashi et al. [2010]). 183

To determine if there is an IMF  $B_z$  dependence, the epoch curves in the bottom panel 184 of Figure 4 for  $\rho_{eq}$  and  $B_z$  were separated into two parts based on the median value of  $B_z$ 185 within a window. One window covered the four hours before onset and onset and the other 186 covered onset and the following four hours; the result is shown in Figure 5. Any statistically 187 significant difference at a 95% confidence level between the two parts is indicated by a green 188 dot on the horizontal axis using a Wilcoxon rank-sum test. For the case where the separation 189 is made according to the value of  $B_z$  after onset, there is a visible and significant separation 190 between the curves from 7-11 hours after onset, with more negative  $B_z$  values corresponding 191 to lower values of  $\rho_{eq}$ . 192

The process for creating Figure 5 was repeated except using the sort variable F10.7 instead of  $B_z$ , and the result is shown in Figure 6. The difference between the epoch curves is statistically significant at all times, as expected due to the high correlation between  $\rho_{eq}$  and F10.7, but elevated F10.7 is associated with a peak in the  $\rho_{eq}$  curve approximately 5 hours after onset. The values contributing to the peak were compared to a baseline of all values 16-48 hours after onset via a Wilcoxon rank-sum test and were found to have significantly

different medians at the 99% confidence level. This indicates the possibility that there must be a high pre-existing baseline level of  $\rho_{eq}$  in order for an increase in  $\rho_{eq}$  to be observed for a  $D_{st}$  event. This result is also consistent with the analysis of Figure 3 when repeated using measurements from GOES satellites taken during different parts of the solar cycle; the significance of the differences between the days around onset were largest when the measurements were taken during time intervals when F10.7 was consistently elevated.

## 3.2 $\rho_{eq}$ Events

An alternative type of event can be defined that corresponds to large increases in  $\rho_{eq}$ . Figure 7 shows the epoch time series for events in which an increase of  $\rho_{eq}$  above 20 amu/cm<sup>2</sup> defines the start of the event. In finding  $\rho_{eq}$  events, missing values were replaced with linearly interpolated values. These events are associated with on-average positive  $B_z$  and small values of  $D_{st}$  with little variability. Approximately 12 hours after onset,  $\rho_{eq}$  slowly decays over 36 hours to near pre–onset levels.

Figure 7 can be compared with Figure 9 of  $Denton\ et\ al.\ [2016]$ , which shows daily averages of  $\rho_{eq}$  from this dataset after the onset of quiet  $K_p$  intervals. The growth shown here (using the  $\rho_m$  threshold criteria with no constraint on  $K_p$ ) is approximately linear and lasts  $\sim 12$ –18 hours whereas the 10  $K_p$  events (elevated  $K_p$  followed by 2 days with  $K_p \leq 1^+$ ) considered by  $Denton\ et\ al.\ [2016]$  showed growth that lasted for at least 48 hours.

Figure 8 shows the the events of Figure 7 when separated by  $B_z$  in the same manner as Figure 5. The largest difference occurs when the separation is performed after onset, with more positive  $B_z$  leading to a larger peak in  $\rho_{eq}$  than more negative  $B_z$ . The rate of change of  $\rho_{eq}$  also appears to be insensitive to the time windows used to determine the separation (before or after onset).

Figure 9 shows how the epoch average curve of  $\rho_{eq}$  in Figure 7 depends on F10.7. Statistically significant differences occur independent of whether the separating factor is the average of F10.7 before or after onset, as expected because the cadence of F10.7 is one day and its

time scale of variation is longer than that of  $\rho_{eq}$ . Events with high F10.7 are associated with higher  $\rho_{eq}$  before and after event onset but similar peak values. As was the case for  $B_z$ , there is an approximately 12-hour time span of linear growth of  $\rho_{eq}$  near the time of onset but because the initial starting  $\rho_{eq}$  is higher for high F10.7, the associated growth rate is lower, consistent with  $Denton\ et\ al.\ [2016]$ .

### 230 3.3 Summary and Conclusions

In recent years, various works have considered the influence of solar wind control and the geomagnetic activity relationship to the equatorial plasma mass density at geosynchronous orbit, generally using event studies and linear correlations (Takahashi et al. [2006]; Denton et al. [2006]; Yao et al. [2008]; Takahashi et al. [2010]; Denton et al. [2011]; Denton et al. [2016]). The event studies show a possible relationship between  $\rho_{eq}$  and solar wind and geomagnetic activity indicators such as  $K_p$  and  $D_{st}$  while correlation studies have generally found a very weak relationship.

This work presents an analysis of two types of events intended to provide insight into the 238 relationship between  $\rho_{eq}$  and solar wind and geomagnetic parameters by considering both 239 large geomagnetic events and large  $\rho_{eq}$  events. The two types of events were considered in 240 order to account for a difficulty with the identification of the cause in  $\rho_{eq}$  enhancements, 241 which can occur for two reasons: (1) an extended period of positive  $B_z$ , which leads to low 242 geomagnetic activity, plasmasphere refilling, and a possible expansion of the plasmasphere to 243 geosynchronous altitudes and (2) strong negative  $B_z$ , which (a) leads to the inward motion of the higher density plasmasphere and leaves geosynchronous altitudes in the lower den-245 sity plasma trough just outside of the plasmapause and (b) causes possible magnetospheric processes to enhance the mass density at geosynchronous altitudes.

On average, around the time of large geomagnetic events, there is a statistically weak enhancement of daily-averaged  $\rho_{eq}$  measurements. For hourly averages,  $\rho_{eq}$  shows enhancements over a 6-hour post-onset window that contains too few events for a statistical analysis.

When the constraint of extended geomagnetic events (greater than 12 hours below a thresh-251 old value) and the MLT of the observation of  $\rho_{eq}$  (06:00-12:00) is removed to allow for more 252 events, statistically significant variations are found, with a peak occurring approximately 253 six hours after the event onset. For the hourly-averaged measurements,  $\rho_{eq}$  averages within 254 7-11 hours after the onset time was lower when the average of  $B_z$  four hours after the onset 255 time was more positive. This result highlights the complexity of the response of  $\rho_{eq}$  to solar 256 wind driving. Negative values of  $B_z$  are generally needed for a large geomagnetic event to 257 occur and we have shown an association between large geomagnetic events and increases in 258  $\rho_{eq}$  within six hours of the event onset, but after the onset of the event, more positive values of  $B_z$  are associated with larger  $\rho_{eq}$  values.

It was shown that a key factor in determining if large  $\rho_{eq}$  enhancements will be observed is elevated F10.7. When geomagnetic events were separated according to if they occurred when F10.7 was low or high, larger enhancements in  $\rho_{eq}$  above a baseline value were only observed under high F10.7 conditions.

For  $\rho_{eq}$  events, more positive  $B_z$  after onset corresponded to higher values of subsequent  $\rho_{eq}$ , which indicates that a substantial portion of the events may be due to the apparent mass refilling mechanism described by  $Denton\ et\ al.\ [2016]$ . In order to separate this mechanism from an enhancement due to magnetospheric activity using this type of analysis, additional information about the location of the plasmapause may be required.

# <sup>270</sup> 4 Acknowledgements

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# 5 Figures

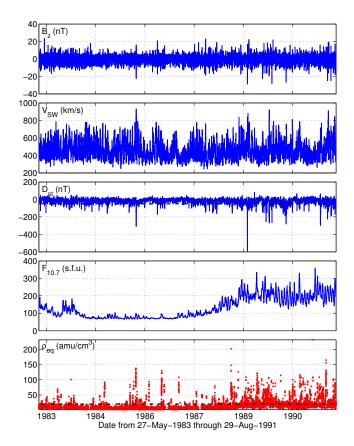


Figure 1: Overview of data used in this article. The top four panels show parameters from Kondrashov et al. [2014] and the bottom panel contains  $\rho_{eq}$  based on GOES 6 measurements from Denton [2007] after interpolation and averaging described in the text. Dashed horizontal lines in the  $D_{st}$  and  $\rho_{eq}$  panels indicate sample event cutoff thresholds of  $D_{st} = -50$  nT and  $\rho_{eq} = 20$  amu/cm<sup>3</sup> considered in Section 3.

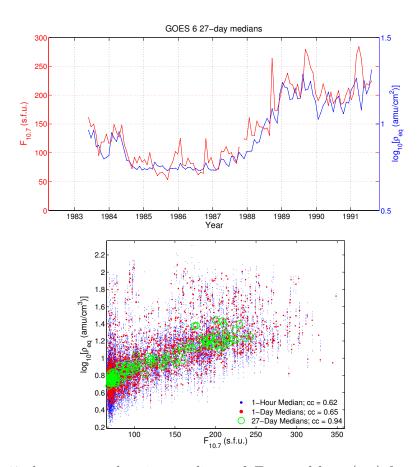


Figure 2: Top: 27-day non-overlapping medians of  $F_{10.7}$  and  $\log_{10}(\rho_{eq})$  from GOES 6. Bottom: Scatter plot of  $\log_{10}(\rho_{eq})$  and  $F_{10.7}$  using medians in non-overlapping hour, day, and 27-day windows.

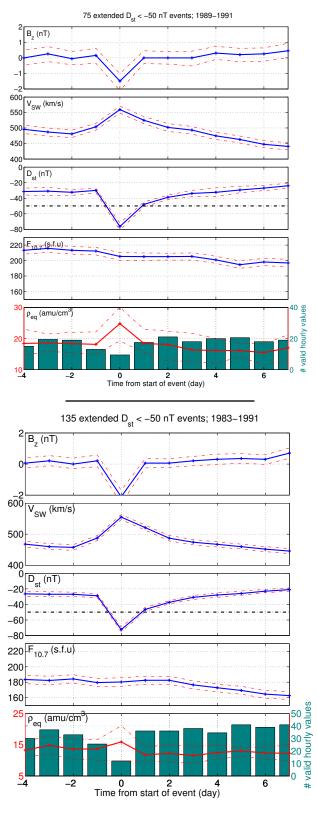


Figure 3:  $D_{st}$  events from GOES 6 using daily medians. Top: Events in the interval 1989-1991; compare to  $Takahashi\ et\ al.\ [2010]$  Figure 11. Bottom: Events in the interval 1983-1991.

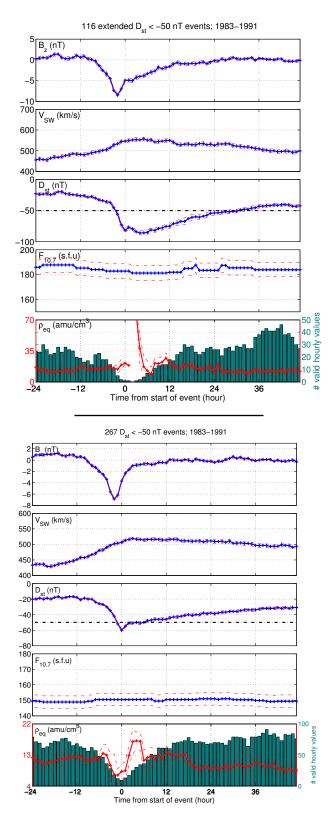


Figure 4:  $D_{st}$  events from GOES 6 using hourly medians. Top: Events with the constraint that  $D_{st}$  stayed below -50 nT for at least 12 hours after crossing below -50 nT. Bottom: Same as Top except without constraint.

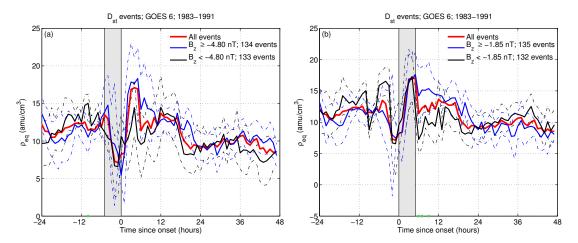


Figure 5:  $D_{st}$  events of the bottom panel of Figure 4 separated by the average  $D_{st}$  value (a) at onset and four hours before, and (b) at onset and four hours after.

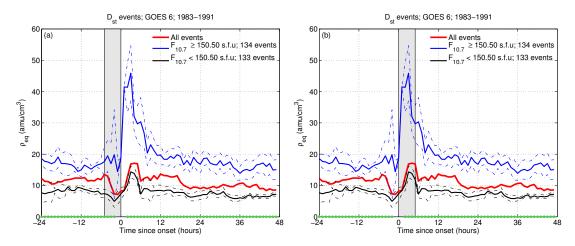


Figure 6:  $D_{st}$  events of the bottom panel of Figure 4 separated by the average F10.7 value (a) at onset and four hours before, and (b) at onset and four hours after.

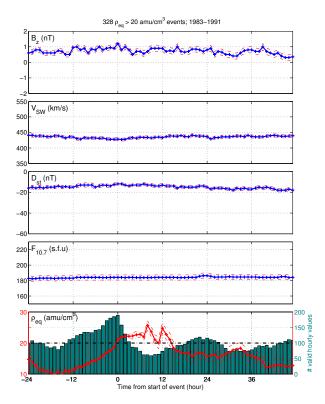


Figure 7:  $\rho_{eq} > 20$  amu/cm<sup>3</sup> events from GOES 6 using hourly medians.

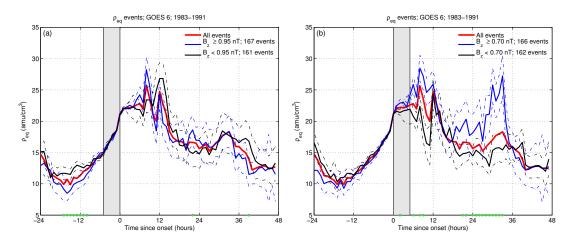


Figure 8:  $\rho_{eq}$  events of Figure 7 separated by the average  $B_z$  value (a) at onset and four hours before, and (b) at onset and four hours after.

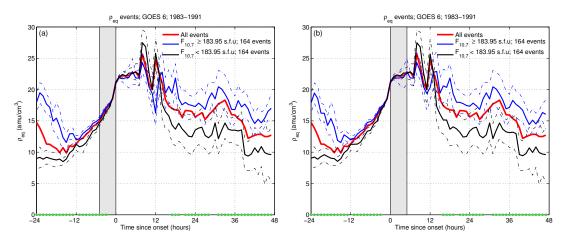


Figure 9:  $\rho_{eq}$  events of Figure 7 separated by the average F10.7 value (a) at onset and four hours before, and (b) at onset and four hours after.