**iComply Enterprise Risk Management Solutiion**

**Customer Requirements Checklist**

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| --- | --- | --- | --- | --- |
| **S/N** | **Module** | **Sub Module** | **Status ( C=Completed, P=Pending)** | **Comments** |
| 1 | **Case Manager** |  |  | - |
| 2 | **Alert Manager & Alert Automation** | * Branch Control Scheduled alerts: Hourly,Minutely, Daily,Monthly, Weekly, |  |  |
|  |  | * Alert groups |  |  |
|  |  | Control Officers |  |  |
| 3 | **Exception Manager**   * Exception Process * Exception Category |  |  |  |
| 4 | **Callover Manager** |  |  | - |
| 5 | **FX Blotter** |  |  |  |
| **6** | **Active Directory (AD)**   * **AD Authentication** * **Staff Users** |  |  |  |
| 7 | **Report Manager**   * Customer * Accounts * Branch * Overdrawn Accounts * Daily GL Report * Dormant Accounts |  |  |  |
| 8 | **Compliance**   * Branch Review * Account Opening * Cluster Review |  |  | - |
| 9 | **Admin Management:**   * Parameterized SQL Panel * Exception Manager * Exception Process Manager * Control Officers * Alert Groups |  |  | -- |
| 10 | **User Access:**   * User management * Roles, privileges, Access Rights |  |  | - |
| 12 | **AML**   * CTR * STR * FTR * STR RULES |  |  | - |
| 13 | **Regulatory Support**   * PEP Report |  |  |  |
| 15 | Alert Portal |  |  |  |