

# Implementation of sonic level toroidal flow in GEM with adiabatic electrons

W. Tang (Vic Towne)

September 11, 2021

## Contents

1	Guiding center drift due to toroidal flow	3
2	Parallel acceleration due to toroidal flow	8
3	Changes in weight equation	10
4	Verification of the model	14

“You don’t have to be the chosen one. The secret is to build the resolve and spirit to enjoy the plateaus, the times when it doesn’t feel like you’re improving and you question why you’re doing this. If you’re patient, the plateaus will become springboards.”

— Steve Nash

# 1 Guiding center drift due to toroidal flow

In the right-handed coordinate system  $(R, Z, \zeta)$ , consider the toroidal flow with the form of  $\mathbf{U}(\mathbf{R}) = -\omega(r)R^2\nabla\zeta$ , where  $r$  is a flux label. In the rotating frame [1], we have  $\mathbf{v}' = \mathbf{v} - \mathbf{U}$ . Then

$$\text{Centrifugal force : } F_{cf} = m_a\omega^2 R\hat{R} \quad (1)$$

$$\text{Coriolis force : } F_{co} = 2m_av'_{\parallel}\hat{b} \times \hat{\omega} \quad (2)$$

The corresponding  $F_{cf}$  drift is

$$\frac{F_{cf} \times \hat{b}}{e_a B} = \frac{m_a\omega^2 R}{e_a B} \hat{R} \times \hat{b} = \frac{m_a U^2}{e_a B R} \hat{R} \times \hat{b} \quad (3)$$

and the  $F_{co}$  drift is

$$\frac{F_{co} \times \hat{b}}{e_a B} = \frac{2m_av'_{\parallel}}{e_a B} \hat{b} \times \hat{\omega} \times \hat{b} \quad (4)$$

$$\hat{b} \times \hat{\omega} \times \hat{b} = \hat{b} \times (\hat{\omega} \times \hat{b}) \quad (5)$$

$$\begin{aligned} \hat{\omega} \times \hat{b} &= -\frac{U}{R} \hat{Z} \times \left( \frac{f}{RB} \hat{\zeta} + \frac{\psi'_p}{RB} \left( \frac{\partial r}{\partial R} \hat{Z} - \frac{\partial r}{\partial Z} \hat{R} \right) \right) \\ &= -\frac{Uf}{R^2 B} \hat{R} - \frac{U\psi'_p}{R^2 B} \frac{\partial r}{\partial Z} \hat{\zeta} \end{aligned} \quad (6)$$

There are four drift terms needed to be added in the velocity of guiding center  $V_G$ , according to Eq. 21 in [2], i. e.

$$\frac{cm_a}{e_a B} \hat{b} \times (\mathbf{U} \cdot \nabla \mathbf{U}), \quad (7)$$

$$\frac{cm_av'_{\parallel}}{e_a B} \hat{b} \times (\hat{b} \cdot \nabla \mathbf{U}), \quad (8)$$

$$\frac{cm_av'_{\parallel}}{e_a B} \hat{b} \times (\mathbf{U} \cdot \nabla \hat{b}), \quad (9)$$

$$\frac{\hat{b} \times \nabla \Phi_1}{B}. \quad (10)$$

$$\mathbf{U} \cdot \nabla \mathbf{U} = -\frac{U^2}{R} \hat{R} \quad (11)$$

Eq. 7 is consistent with the  $F_{cf}$  drift.

$$\begin{aligned} \hat{b} \times (\mathbf{U} \cdot \nabla \mathbf{U}) &= \frac{U^2}{R} \hat{R} \times \hat{b} \\ &= \frac{U^2}{RB} \hat{R} \times \left( \frac{f}{R} \hat{\zeta} + \frac{\psi'_p(r)}{R} \left( \frac{\partial r}{\partial R} \hat{Z} - \frac{\partial r}{\partial Z} \hat{R} \right) \right) \\ &= \frac{U^2}{RB} \left( -\frac{f}{R} \hat{Z} + \frac{\psi'_p(r)}{R} \frac{\partial r}{\partial R} \hat{\zeta} \right) \end{aligned} \quad (12)$$

Then Eq. 7 becomes

$$\frac{cm_a U^2}{e_a B^2 R^2} (-f \hat{Z} + \psi'_p(r) \frac{\partial r}{\partial R} \hat{\zeta}). \quad (13)$$

Eq. 13  $\cdot \nabla x$  is

$$\frac{cm_a U^2}{e_a B^2 R^2} \cdot (-f) \frac{\partial r}{\partial Z}. \quad (14)$$

To implement this term, a new variable `ut` needed to be declared, representing the equilibrium toroidal flow. Actually, we only need to declare a new variable `omg`, then `ut=-omg*radius`, see **Appendix**.

For Eq. 13  $\cdot \nabla y$ ,

$$y = \frac{r_0}{q_0} \int_0^\theta \hat{q}(r, \theta') d\theta' - \zeta = \frac{r_0}{q_0} (q\theta_f - \zeta) \quad (15)$$

$$\nabla y = \frac{\partial y}{\partial r} \nabla r + \frac{r_0}{q_0} \hat{q} \nabla \theta - \frac{r_0}{q_0} \nabla \zeta, \quad (16)$$

where  $\hat{q} = q \frac{\partial \theta_f}{\partial \theta}$ .

$$\begin{aligned} \text{Eq. 13} \cdot \nabla y &= \frac{cm_a U^2}{e_a B^2 R^2} (-f \hat{Z} + \psi'_p(r) \frac{\partial r}{\partial R} \hat{\zeta}) \cdot \nabla y \\ &= \frac{cm_a U^2}{e_a B^2 R^2} \left( -f \frac{\partial y}{\partial r} \frac{\partial r}{\partial Z} - \frac{r_0}{q_0} \hat{q} f \frac{\partial \theta}{\partial Z} - \frac{r_0}{q_0 R} \psi'_p(r) \frac{\partial r}{\partial R} \right), \end{aligned} \quad (17)$$

$$\frac{cm_a U^2}{e_a B^2 R^2} (-f \hat{Z} \cdot \nabla y + \psi'_p(r) \frac{\partial r}{\partial R} \hat{\zeta} \cdot \nabla y)$$

So far, all the terms about Eq. 7 is finished. Let's start with Eqs. 8 and 9. Still in the  $(R, Z, \zeta)$  coordinate, one could find Eq. 8 is identical to Eq. 9 as

$$\mathbf{U} \cdot \nabla \hat{b} = \hat{b} \cdot \nabla \mathbf{U} = -\frac{Uf}{R^2 B} \hat{R} - \frac{U}{R^2 B} \psi'_p(r) \frac{\partial r}{\partial Z} \hat{\zeta}. \quad (18)$$

These two terms correspond to the Coriolis force drift.

To derive Eq. 8 or Eq. 9,

$$\begin{aligned} & \frac{cm_a v'_\parallel}{e_a B} \hat{b} \times (\mathbf{U} \cdot \nabla \hat{b}) \\ &= \frac{cm_a v'_\parallel}{e_a B} \left[ -\frac{Uf^2}{B^2 R^3} \hat{Z} + \frac{Uf\psi'_p(r)}{B^2 R^3} \frac{\partial r}{\partial R} \hat{\zeta} \right. \\ & \quad \left. - \frac{U}{B^2 R^3} \psi_p'^2(r) \frac{\partial r}{\partial R} \frac{\partial r}{\partial Z} \hat{R} - \frac{U}{B^2 R^3} \psi_p'^2(r) \left( \frac{\partial r}{\partial Z} \right)^2 \hat{Z} \right] \\ &= \frac{cm_a v'_\parallel U}{e_a B^3 R^3} \left[ -\psi_p'^2(r) \frac{\partial r}{\partial R} \frac{\partial r}{\partial Z} \hat{R} - (f^2 + \psi_p'^2(r) \left( \frac{\partial r}{\partial Z} \right)^2) \hat{Z} + f\psi'_p(r) \frac{\partial r}{\partial R} \hat{\zeta} \right]. \end{aligned} \quad (19)$$

Then,

$$\begin{aligned} \text{Eq. 19} \cdot \nabla x &= -\frac{cm_a v'_\parallel U}{e_a B^3 R^3} \left[ \psi_p'^2(r) \left( \frac{\partial r}{\partial R} \right)^2 \frac{\partial r}{\partial Z} + (f^2 + \psi_p'^2(r) \left( \frac{\partial r}{\partial Z} \right)^2) \frac{\partial r}{\partial Z} \right] \\ &= -\frac{cm_a v'_\parallel U}{e_a B^3 R^3} \left[ \psi_p'^2(r) \left( \frac{\partial r}{\partial R} \right)^2 + f^2 + \psi_p'^2(r) \left( \frac{\partial r}{\partial Z} \right)^2 \right] \frac{\partial r}{\partial Z}. \end{aligned} \quad (20)$$

Using Eq. 16,

$$\begin{aligned} \text{Eq. 19} \cdot \nabla y &= -\frac{cm_a v'_\parallel U}{e_a B^3 R^3} \left[ \psi_p'^2(r) \frac{\partial r}{\partial R} \frac{\partial r}{\partial Z} \left( \frac{\partial y}{\partial r} \frac{\partial r}{\partial R} + \frac{r_0}{q_0} \hat{q} \frac{\partial \theta}{\partial R} \right) \right. \\ & \quad \left. + (f^2 + \psi_p'^2(r) \left( \frac{\partial r}{\partial Z} \right)^2) \left( \frac{\partial y}{\partial r} \frac{\partial r}{\partial Z} + \frac{r_0}{q_0} \hat{q} \frac{\partial \theta}{\partial Z} \right) \right. \\ & \quad \left. + \frac{r_0}{q_0 R} f\psi'_p(r) \frac{\partial r}{\partial R} \right]. \end{aligned} \quad (21)$$

$$\frac{cm_a v_{||}' U}{e_a B^3 R^3} \left[ -\psi_p'^2(r) \frac{\partial r}{\partial R} \frac{\partial r}{\partial Z} \hat{R} \cdot \nabla y \right. \\ \left. - \left( f^2 + \psi_p'^2(r) \left( \frac{\partial r}{\partial Z} \right)^2 \right) \hat{Z} \cdot \nabla y \right. \\ \left. + f \psi_p'(r) \frac{\partial r}{\partial R} \hat{\zeta} \cdot \nabla y \right]$$

In the last term,  $\Phi_1$  is the electric potential determined by the charge neutrality, with  $\mathbf{E}_n = -\nabla\Phi_1$ . For a plasma with a single ion species with ion temperature  $T_i$  and electron temperature  $T_e$ ,

$$e\Phi_1 = \frac{m_i \omega^2(r)}{2(1 + T_i/T_e)} (R^2 - \langle R^2 \rangle). \quad (22)$$

Attention, here the bracket  $\langle \dots \rangle$  stands for the flux surface average.

$$\langle R^2 \rangle = \frac{\int_0^{2\pi} \int_{-\pi}^{\pi} R^2 \mathcal{J} d\theta d\zeta}{\int_0^{2\pi} \int_{-\pi}^{\pi} \mathcal{J} d\theta d\zeta} \quad (23)$$

$$\langle R^2 \rangle = \frac{\int_{-\pi}^{\pi} R^2 \mathcal{J} d\theta}{\int_{-\pi}^{\pi} \mathcal{J} d\theta} \quad (24)$$

For the  $\mathbf{E} \times \mathbf{B}$  drift due to  $\Phi_1$ ,

$$\begin{aligned} \frac{1}{B} \hat{b} \times \nabla \Phi_1 \cdot \nabla x &= \frac{1}{B} \nabla \Phi_1 \times \nabla x \cdot \hat{b} \\ &= \frac{1}{B} \left( \frac{\partial \Phi_1}{\partial r} \nabla r + \frac{\partial \Phi_1}{\partial \theta} \nabla \theta \right) \times \nabla x \cdot \hat{b} \\ &= -\frac{\partial \Phi_1}{\partial \theta} \frac{f}{RB^2} |\nabla r \times \nabla \theta| \end{aligned} \quad (25)$$

$$\begin{aligned}
\frac{1}{B} \hat{b} \times \nabla \Phi_1 \cdot \nabla y &= \frac{1}{B} \nabla \Phi_1 \times \nabla y \cdot \hat{b} \\
&= \frac{1}{B} \left( \frac{\partial \Phi_1}{\partial r} \nabla r + \frac{\partial \Phi_1}{\partial \theta} \nabla \theta \right) \times \left( \frac{\partial y}{\partial r} \nabla r + \frac{r_0}{q_0} \hat{q} \nabla \theta - \frac{r_0}{q_0} \nabla \zeta \right) \cdot \hat{b} \\
&= - \frac{\partial y}{\partial r} \frac{\partial \Phi_1}{\partial \theta} \frac{f}{RB^2} |\nabla r \times \nabla \theta| + \frac{r_0}{q_0} \hat{q} \frac{\partial \Phi_1}{\partial r} \frac{f}{RB^2} |\nabla r \times \nabla \theta| \\
&\quad - \frac{r_0}{q_0} \frac{\partial \Phi_1}{\partial r} \nabla r \times \nabla \zeta \cdot \hat{b} - \frac{r_0}{q_0} \frac{\partial \Phi_1}{\partial \theta} \nabla \theta \times \nabla \zeta \cdot \hat{b}
\end{aligned} \tag{26}$$

The remainder is  $\mathbf{U}$  itself. As  $\mathbf{U}$  is perpendicular to the  $(x, z)$  plane, we only need to add  $\mathbf{U} \cdot \nabla y = U \hat{\zeta} \cdot \nabla y$ .

## 2 Parallel acceleration due to toroidal flow

An auxiliary guiding center variable  $v_{\parallel}''$  is defined according to

$$\varepsilon = \mu \mathbf{B}_0(\mathbf{R}) + \frac{1}{2} m v_{\parallel}''^2 - \frac{1}{2} m U^2(\mathbf{R}) + q \Phi_1(\mathbf{R}) \quad (27)$$

Notice that  $v_{\parallel}''$  depends on  $(\mathbf{R}, \varepsilon, \mu)$  but not  $\gamma$ , and  $v_{\parallel}'' = v_{\parallel}' + \mathcal{O}(\delta)$ . The new parallel velocity is defined with  $\varepsilon^\mu$ . Since  $d\varepsilon^\mu/dt = \mathcal{O}(\delta^2)$ ,

$$\begin{aligned} m v_{\parallel}'' \frac{dv_{\parallel}''}{dt} &= -\mu \frac{dB(\mathbf{R})}{dt} + \frac{1}{2} m \frac{dU^2}{dt} - q \frac{d\Phi_1}{dt} \\ &= -\mu v_{\parallel}'' \mathbf{b} \cdot \nabla B + \frac{1}{2} m v_{\parallel}'' \mathbf{b} \cdot \nabla U^2 - q v_{\parallel}'' \mathbf{b} \cdot \nabla \Phi_1 + \mathcal{O}(\delta^2) \end{aligned} \quad (28)$$

We will neglect the  $\mathcal{O}(\delta^2)$  terms, which include the parallel nonlinearity.

$$\frac{dv_{\parallel}''}{dt} = -\frac{\mu}{m} \mathbf{b} \cdot \nabla B + \frac{1}{2} \mathbf{b} \cdot \nabla U^2 - \frac{q}{m} \mathbf{b} \cdot \nabla \Phi_1 + \mathcal{O}(\delta^2) \quad (29)$$

Term 1 of the r.h.s. of the above equation is the mirror force, which has been implemented in GEM. Term 2 is the centrifugal force. Term 3 is the electric force.

$$\mathbf{b} \cdot \nabla U^2 = 2U \hat{b} \cdot \nabla U = 2U \hat{b} \cdot \nabla(-\omega R) = 2\omega^2 R \hat{b} \cdot \nabla R \quad (30)$$

For any scalar  $s(r, \theta, \zeta)$ ,

$$\mathbf{b} \cdot \nabla s = \frac{1}{B} \frac{\psi_p'}{R} \frac{\partial s}{\partial \theta} \hat{\zeta} \cdot \nabla r \times \nabla \theta + \frac{f}{BR^2} \frac{\partial s}{\partial \zeta}. \quad (31)$$

$$\begin{aligned} \mathbf{b} \cdot \nabla s &= \frac{1}{B} (\nabla \zeta \times \nabla \psi_p + \frac{f}{R} \hat{\zeta}) \cdot (\frac{\partial s}{\partial r} \nabla r + \frac{\partial s}{\partial \theta} \nabla \theta + \frac{\partial s}{\partial \zeta} \nabla \zeta) \\ &= \frac{\psi_p'}{B} (\nabla \zeta \times \nabla r) \cdot (\frac{\partial s}{\partial r} \nabla r + \frac{\partial s}{\partial \theta} \nabla \theta + \frac{\partial s}{\partial \zeta} \nabla \zeta) + \frac{f}{BR^2} \frac{\partial s}{\partial \zeta} \\ &= \frac{1}{B} \frac{\psi_p'}{R} \frac{\partial s}{\partial \theta} \hat{\zeta} \cdot \nabla r \times \nabla \theta + \frac{f}{BR^2} \frac{\partial s}{\partial \zeta} \end{aligned}$$



So,

$$\mathbf{b} \cdot \nabla \Phi_1 = \frac{1}{B} \frac{\psi'_p}{R} \frac{\partial \Phi_1}{\partial \theta} \hat{\zeta} \cdot \nabla r \times \nabla \theta \quad (32)$$

with

$$\hat{\zeta} \cdot \nabla r \times \nabla \theta = |\nabla r \times \nabla \theta|. \quad (33)$$

### 3 Changes in weight equation

Let  $f = f_0 + \delta f$ , the perturbed part of distribution function

$$\delta f = -q(\phi - \mathbf{U} \cdot \mathbf{A}) \frac{f_0}{T} + h \quad (34)$$

Here,  $h$  is the non-adiabatic part of  $\delta f$ .

Write

$$h_2 = \delta f + \frac{q}{T} f_0 [(\phi - \mathbf{U} \cdot \mathbf{A}) - \langle (\phi - \mathbf{U} \cdot \mathbf{A}) \rangle + \langle \mathbf{v}' \cdot \mathbf{A} \rangle] \quad (35)$$

$$\begin{aligned} & \frac{\partial h_2}{\partial t} + \langle \dot{\mathbf{R}} \rangle \cdot \nabla h_2 + \langle \dot{\varepsilon}^u \rangle \frac{\partial h_1}{\partial \varepsilon^u} - \langle \dot{\varepsilon}^u \rangle \frac{\partial}{\partial \varepsilon^u} \left\langle \frac{q}{T} f_0 \mathbf{v}' \cdot \mathbf{A} \right\rangle \\ &= - \left\langle \frac{d\mathbf{R}}{dt} \bigg|_1 \right\rangle \cdot \frac{\partial f_0}{\partial \mathbf{R}} \\ & \quad - q \mathbf{v}_g \cdot \nabla \langle \Psi \rangle \frac{f_0}{T} \\ & \quad + q [\mathbf{U}(\mathbf{R}) \cdot \nabla \langle \Psi \rangle - \langle \mathbf{U} \cdot \nabla \Psi \rangle] \frac{f_0}{T} \\ & \quad - \frac{q}{\Omega} \left\langle (\nabla \Psi \times \mathbf{b}) \cdot \nabla \psi_p \omega' R \left( \frac{B_t}{B_0} v'_\parallel + U \right) \right\rangle \frac{f_0}{T} \\ & \quad + S_1 + S_2 + S_3 \end{aligned} \quad (36)$$

There are two terms to be added in the weight equation. For electrostatic model,  $\Psi = \phi$ .

The first term,

$$q [\mathbf{U}(\mathbf{R}) \cdot \nabla \langle \phi \rangle - \langle \mathbf{U} \cdot \nabla \phi \rangle] \frac{f_0}{T} \quad (37)$$

All right, let's proceed a further step. Write

$$\mathbf{U}(\mathbf{x}) = \mathbf{U}(\mathbf{R}) + \boldsymbol{\rho} \cdot \nabla \mathbf{U}, \quad (38)$$

then

$$\mathbf{U}(\mathbf{R}) \cdot \nabla \langle \phi \rangle - \langle \mathbf{U} \cdot \nabla \phi \rangle = - \langle \boldsymbol{\rho} \cdot \nabla \mathbf{U} \cdot \nabla \phi \rangle. \quad (39)$$

Remember,

$$\hat{\zeta} \cdot \nabla \hat{R} = \frac{1}{R} \hat{\zeta} \text{ and } \hat{\zeta} \cdot \nabla \hat{\zeta} = -\frac{1}{R} \hat{R}.$$

These two terms results from the reconvertng from the Cartesian coordinate to the cylindrical coordinate in deriving the material derivative, see Eq. 7.106 in [3].

$$\boldsymbol{\rho} = \rho(\mathbf{e}_1 \sin \gamma + \mathbf{e}_2 \cos \gamma),$$

where  $\rho = v'_\perp / \Omega = \sqrt{2\mu B / m} / (qB / m)$ ,  $\mathbf{e}_1 = \nabla r / |\nabla r|$ ,  $\mathbf{e}_2 = \mathbf{b} \times \mathbf{e}_1$  and  $\gamma$  is the gyro angle.

Let

$$\begin{aligned}\mathbf{e}_1 &= \mathbf{e}_{1R}(r, \theta) \hat{R} + \mathbf{e}_{1Z}(r, \theta) \hat{Z} \\ \mathbf{e}_2 &= \mathbf{e}_{2R}(r, \theta) \hat{R} + \mathbf{e}_{2Z}(r, \theta) \hat{Z} + \mathbf{e}_{2\zeta}(r, \theta) \hat{\zeta},\end{aligned}$$

then we have

$$\boldsymbol{\rho} = \rho \left( (\mathbf{e}_{1R} \sin \gamma + \mathbf{e}_{2R} \cos \gamma) \hat{R} + (\mathbf{e}_{1Z} \sin \gamma + \mathbf{e}_{2Z} \cos \gamma) \hat{Z} + (\mathbf{e}_{2\zeta} \cos \gamma) \hat{\zeta} \right)$$

$$\begin{aligned}\boldsymbol{\rho} \cdot \nabla \mathbf{U} &= \boldsymbol{\rho} \cdot \nabla (U \hat{\zeta}) \\ &= \boldsymbol{\rho} \cdot \nabla U \hat{\zeta} + U \boldsymbol{\rho} \cdot \nabla \hat{\zeta} \\ &= \boldsymbol{\rho} \cdot \left( \frac{U}{R} \hat{R} - \omega' R \frac{\partial r}{\partial R} \hat{R} - \omega' R \frac{\partial r}{\partial Z} \hat{Z} \right) \hat{\zeta} - \frac{U \rho_\zeta}{R} \hat{R} \\ &= \left( \frac{U \rho_R}{R} - \omega' R \frac{\partial r}{\partial R} \rho_R - \omega' R \frac{\partial r}{\partial Z} \rho_Z \right) \hat{\zeta} - \frac{U \rho_\zeta}{R} \hat{R}\end{aligned}\tag{40}$$

$$\begin{aligned}& \left( \frac{U \rho_R}{R} - \omega' R \frac{\partial r}{\partial R} \rho_R - \omega' R \frac{\partial r}{\partial Z} \rho_Z \right) \hat{\zeta} \cdot \nabla \phi \\ &= \left( \frac{U \rho_R}{R} - \omega' R \frac{\partial r}{\partial R} \rho_R - \omega' R \frac{\partial r}{\partial Z} \rho_Z \right) \hat{\zeta} \cdot \frac{\partial \phi}{\partial y} \nabla y \\ &= \left( -\frac{U \rho_R}{R} + \omega' R \frac{\partial r}{\partial R} \rho_R + \omega' R \frac{\partial r}{\partial Z} \rho_Z \right) \frac{r_0}{q_0 R} \frac{\partial \phi}{\partial y}\end{aligned}\tag{41}$$

$$\begin{aligned}-\frac{U \rho_\zeta}{R} \hat{R} \cdot \nabla \phi &= -\frac{U \rho_\zeta}{R} \hat{R} \cdot \left( \frac{\partial \phi}{\partial x} \nabla x + \frac{\partial \phi}{\partial y} \nabla y + \frac{\partial \phi}{\partial z} \nabla z \right) \\ &= -\frac{U \rho_\zeta}{R} \left( \frac{\partial \phi}{\partial x} \frac{\partial r}{\partial R} + \frac{\partial \phi}{\partial y} \left( \frac{\partial y}{\partial r} \frac{\partial r}{\partial R} + \frac{r_0}{q_0} \hat{q} \frac{\partial \theta}{\partial R} \right) + \frac{\partial \phi}{\partial z} q_0 R_0 \frac{\partial \theta}{\partial R} \right)\end{aligned}\tag{42}$$

$$\begin{aligned}
-\langle \boldsymbol{\rho} \cdot \nabla \mathbf{U} \cdot \nabla \phi \rangle &= \left( \frac{U}{R} \left\langle \rho_R \frac{\partial \phi}{\partial y} \right\rangle - \omega' R \frac{\partial r}{\partial R} \left\langle \rho_R \frac{\partial \phi}{\partial y} \right\rangle - \omega' R \frac{\partial r}{\partial Z} \left\langle \rho_Z \frac{\partial \phi}{\partial y} \right\rangle \right) \frac{r_0}{q_0 R} \\
&+ \frac{U}{R} \left( \left\langle \rho_\zeta \frac{\partial \phi}{\partial x} \right\rangle \frac{\partial r}{\partial R} + \left\langle \rho_\zeta \frac{\partial \phi}{\partial y} \right\rangle \left( \frac{\partial y}{\partial r} \frac{\partial r}{\partial R} + \frac{r_0}{q_0} \hat{q} \frac{\partial \theta}{\partial R} \right) + \left\langle \rho_\zeta \frac{\partial \phi}{\partial z} \right\rangle q_0 R_0 \frac{\partial \theta}{\partial R} \right)
\end{aligned} \tag{43}$$

$$\begin{aligned}
&\left[ \left( \omega' R \frac{\partial r}{\partial R} - \frac{U}{R} \right) \left\langle \rho_R \frac{\partial \phi}{\partial y} \right\rangle + \omega' R \frac{\partial r}{\partial Z} \left\langle \rho_Z \frac{\partial \phi}{\partial y} \right\rangle \right] \hat{\zeta} \cdot \nabla y \\
&+ \frac{U}{R} \left( \left\langle \rho_\zeta \frac{\partial \phi}{\partial x} \right\rangle \frac{\partial r}{\partial R} + \left\langle \rho_\zeta \frac{\partial \phi}{\partial y} \right\rangle \hat{R} \cdot \nabla y + \left\langle \rho_\zeta \frac{\partial \phi}{\partial z} \right\rangle \hat{R} \cdot \nabla z \right)
\end{aligned}$$

$$\rho_R = \rho(\mathbf{e}_{1R} \sin \gamma + \mathbf{e}_{2R} \cos \gamma)$$

$$\rho_Z = \rho(\mathbf{e}_{1Z} \sin \gamma + \mathbf{e}_{2Z} \cos \gamma)$$

$$\rho_\zeta = \rho \mathbf{e}_{2\zeta} \cos \gamma$$

$$\mathbf{e}_{1R} = \frac{\partial r}{\partial R} \Big/ |\nabla r| = \mathbf{s} \mathbf{r} \mathbf{b} \mathbf{r} / \mathbf{g} \mathbf{r}$$

$$\mathbf{e}_{1Z} = \frac{\partial r}{\partial Z} \Big/ |\nabla r| = \mathbf{s} \mathbf{r} \mathbf{b} \mathbf{z} / \mathbf{g} \mathbf{r}$$

$$\mathbf{e}_{2R} = -\frac{f}{RB} \mathbf{e}_{1Z}$$

$$\mathbf{e}_{2Z} = \frac{f}{RB} \mathbf{e}_{1R}$$

$$\mathbf{e}_{2\zeta} = \frac{\psi'_p}{RB} \left[ -\mathbf{e}_{1R} \frac{\partial r}{\partial R} - \mathbf{e}_{1Z} \frac{\partial r}{\partial Z} \right]$$

The gyro average of  $\rho_R$ ,  $\rho_Z$  and  $\rho_\zeta$  could be obtained by the 4-point averaging method. The 4 points are at  $\gamma = \pi/2, 3\pi/2, 0$  and  $\pi$ , respectively.

The second term,

$$\begin{aligned}
& -\frac{q}{\Omega} \left\langle (\nabla\phi \times \mathbf{b}) \cdot \nabla\psi_p \omega' R \left( \frac{B_t}{B_0} v'_{\parallel} + U \right) \right\rangle \frac{f_0}{T} \\
& = -\frac{q}{\Omega} \left\langle (\nabla\phi \times \mathbf{b}) \cdot \nabla\psi_p \right\rangle \omega' R \left( \frac{B_t}{B_0} v'_{\parallel} + U \right) \frac{f_0}{T}
\end{aligned} \tag{44}$$

Considering

$$\begin{aligned}
\mathbf{B} &= \nabla\psi \times \nabla(q\theta_f - \zeta) \\
&= \frac{q_0}{r_0} \frac{d\psi}{dx} \nabla x \times \nabla y \\
&= C(x) \nabla x \times \nabla y
\end{aligned} \tag{45}$$

then

$$\mathbf{b} = \frac{\nabla x \times \nabla y}{|\nabla x \times \nabla y|}. \tag{46}$$

So we have

$$\begin{aligned}
\nabla\phi \times \mathbf{b} \cdot \nabla\psi_p &= \nabla\psi_p \times \nabla\phi \cdot \mathbf{b} \\
&= \psi'_p \nabla x \times \nabla\phi \cdot \frac{\nabla x \times \nabla y}{|\nabla x \times \nabla y|} \\
&= \frac{\psi'_p}{|\nabla x \times \nabla y|} \left( \frac{\partial\phi}{\partial y} \nabla x \times \nabla y + \frac{\partial\phi}{\partial z} \nabla x \times \nabla z \right) \cdot \nabla x \times \nabla y \\
&= \psi'_p |\nabla x \times \nabla y| \frac{\partial\phi}{\partial y} + \frac{\psi'_p}{|\nabla x \times \nabla y|} \frac{\partial\phi}{\partial z} (\nabla x \times \nabla z) \cdot (\nabla x \times \nabla y)
\end{aligned} \tag{47}$$

$$\begin{aligned}
(\nabla x \times \nabla z) \cdot (\nabla x \times \nabla y) &= (\nabla x \times \nabla y) \times \nabla x \cdot \nabla z \\
&= (|\nabla x|^2 \nabla y - |\nabla x \cdot \nabla y| \nabla x) \cdot \nabla z \\
&= |\nabla x|^2 \nabla y \cdot \nabla z - |\nabla x \cdot \nabla y| \nabla x \cdot \nabla z \\
&= |\nabla x|^2 \nabla y \cdot \nabla z - q_0 R_0 |\nabla x \cdot \nabla y| |\nabla r \cdot \nabla \theta|
\end{aligned} \tag{48}$$

$$\begin{aligned}
\nabla y \cdot \nabla z &= \left( \frac{\partial y}{\partial r} \nabla r + \frac{r_0}{q_0} \hat{q} \nabla \theta - \frac{r_0}{q_0} \nabla \zeta \right) \cdot q_0 R_0 \nabla \theta \\
&= q_0 R_0 \frac{\partial y}{\partial r} |\nabla r \cdot \nabla \theta| + r_0 R_0 \hat{q} |\nabla \theta|^2
\end{aligned} \tag{49}$$

According to Eq. 47 - 49, Eq. 44 can be coded using existing variables. The blue parts above are small ordering terms that are NOT implemented for now.

## 4 Verification of the model

Above are all the changes for adiabatic electron model. Let's start to test the code. We'll start with the well-known Cyclone Base Case (CBC), those parameters could be found in Ref. [4].

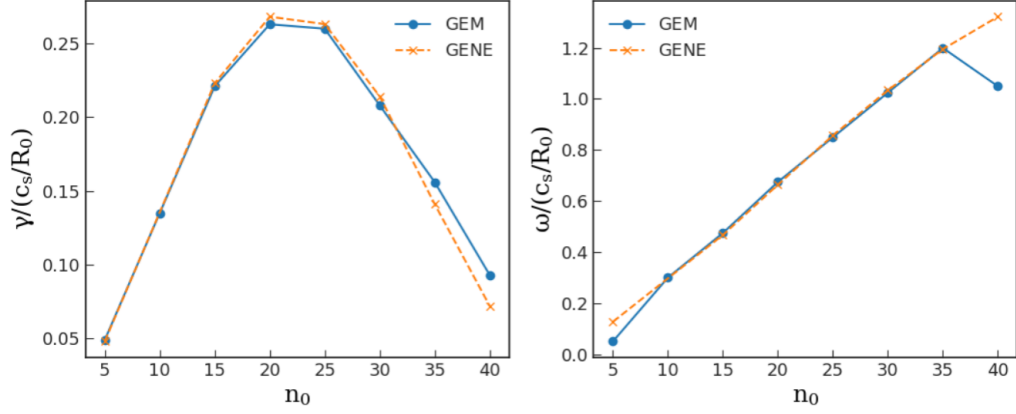


Figure 1: CBC benchmark

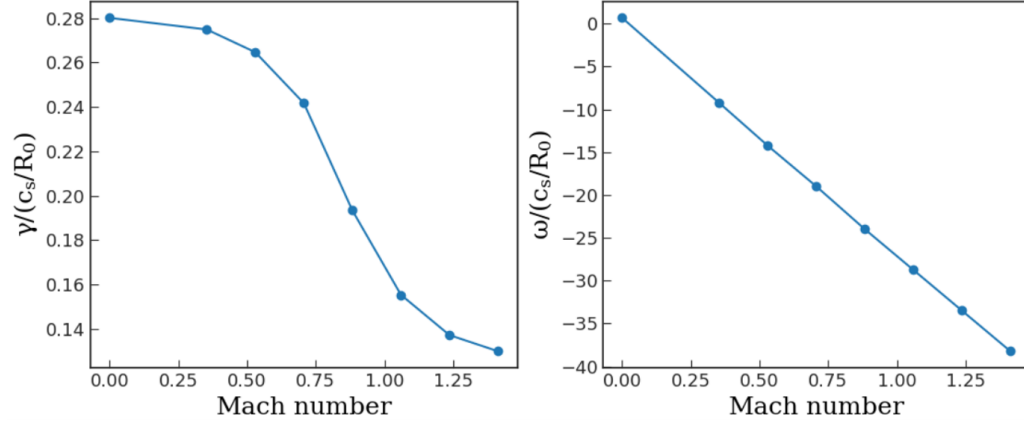


Figure 2: ITG growth rate and frequency with rigid flow.

First of all, results of ion temperature gradient (ITG) mode with adiabatic electron response are compared as a baseline case. As illustrated in figure 1, results obtained by the two codes (GENE and GEM) show a good consistency

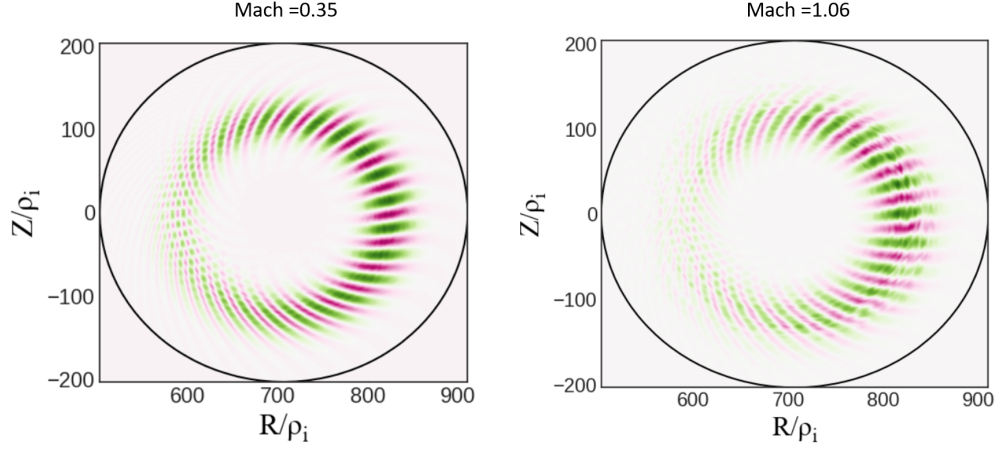


Figure 3: Mode structure.

and conformity for both ITG growth rate and frequency, from a scanning over toroidal mode number over  $n_0 \in [5, 40]$ . The GENE results correspond to table III and figure 3 in Ref. [4].

Considering a rigid flow profile  $\omega(r) = \omega_0$ , the corresponding growth rate and mode frequency are shown in figure 2 for  $n_0 = 20$ . Strong suppression effect on ITG can be found for sufficiently large toroidal flow.

## Appendix

We may need the following operator to simplify the coding process.

$$\hat{R} \cdot \nabla y = \frac{\partial y}{\partial r} \frac{\partial r}{\partial R} + \frac{r_0}{q_0} \hat{q} \frac{\partial \theta}{\partial R}$$

$$\hat{Z} \cdot \nabla y = \frac{\partial y}{\partial r} \frac{\partial r}{\partial Z} + \frac{r_0}{q_0} \hat{q} \frac{\partial \theta}{\partial Z}$$

$$\hat{R} \cdot \nabla z = q_0 R_0 \frac{\partial \theta}{\partial R}$$

$$\hat{Z} \cdot \nabla z = q_0 R_0 \frac{\partial \theta}{\partial Z}$$

$$\hat{\zeta} \cdot \nabla y = -\frac{r_0}{q_0 R}$$

New variables declared in GEM:

omg(nr)— $\omega$ , angular frequency of toroidal flow

domg(nr)— $d\omega/dr$

ut(nr,ntheta)— $U$ , toroidal flow

phil(nr,ntheta)— $\Phi_1$

dphildr(nr,ntheta)— $\partial\Phi_1/\partial r$

dphildth(nr,ntheta)— $\partial\Phi_1/\partial\theta$

bdgphil::(nr,ntheta)— $\mathbf{b} \cdot \nabla\Phi_1$

hrdgy(nr,ntheta)— $\hat{R} \cdot \nabla y$

hzdgy(nr,ntheta)— $\hat{Z} \cdot \nabla y$

hrdgz(nr,ntheta)— $\hat{R} \cdot \nabla z$

hzdgz(nr,ntheta)— $\hat{Z} \cdot \nabla z$

hztdgy(nr,ntheta)— $\hat{\zeta} \cdot \nabla y$

rhoreyp— $\langle \rho_R \frac{\partial \phi}{\partial y} \rangle$

rhozeyp— $\langle \rho_Z \frac{\partial \phi}{\partial y} \rangle$

rhoztexp— $\langle \rho_\zeta \frac{\partial \phi}{\partial x} \rangle$

rhozteyp— $\langle \rho_\zeta \frac{\partial \phi}{\partial y} \rangle$

rhoztezp— $\langle \rho_\zeta \frac{\partial \phi}{\partial z} \rangle$



## References

- [1] M. Artun and W. M. Tang. Nonlinear electromagnetic gyrokinetic equations for rotating axisymmetric plasmas. *Physics of Plasmas*, 1(8):2682–2692, 1994.
- [2] H. Sugama and W. Horton. Nonlinear electromagnetic gyrokinetic equation for plasmas with large mean flows. *Physics of Plasmas*, 5(7):2560–2573, 1998.
- [3] Bastian E. Rapp. Chapter 7 - vector calculus. In Bastian E. Rapp, editor, *Microfluidics: Modelling, Mechanics and Mathematics*, **Micro and Nano Technologies**, pages 137 – 188. Elsevier, Oxford, 2017.
- [4] T. Görler, N. Tronko, W. A. Hornsby, A. Bottino, R. Kleiber, C. Norscini, V. Grandgirard, F. Jenko, and E. Sonnendrücker. Intercode comparison of gyrokinetic global electromagnetic modes. *Physics of Plasmas*, 23(7):072503, 2016.