# Adding toroidal flow in GEM for adiabatic electron model

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#### 1 Guiding center drift due to toroidal flow

There are three terms needed to be added in the drift velocity of the guiding center  $V_G$ , according to Eq. 21 in [1], i. e.

$$\frac{cm_a}{e_a B} \hat{b} \times (\mathbf{U} \cdot \nabla \mathbf{U}),\tag{1}$$

$$\frac{cm_a v'_{\parallel}}{e_a B} \hat{b} \times (\hat{b} \cdot \nabla \mathbf{U}), \tag{2}$$

$$\frac{cm_a v'_{\parallel}}{e_a B} \hat{b} \times (\nabla \mathbf{U} \cdot \hat{b}). \tag{3}$$

In the right-handed coordinate system (R,Z, $\zeta$ ), considering the toroidal flow with the form of  $\mathbf{U}(\mathbf{R}) = -\omega_0(\psi_p)R^2\nabla\zeta$ ,

$$\mathbf{U} \cdot \nabla \mathbf{U} = -\frac{U^2}{R} \hat{R} \tag{4}$$

$$\hat{b} \times (\mathbf{U} \cdot \nabla \mathbf{U}) = \frac{U^2}{R} \hat{R} \times \hat{b}$$

$$= \frac{U^2}{RB} \hat{R} \times (\frac{f}{R} \hat{\zeta} + \frac{\psi_p'(r)}{R} (\frac{\partial r}{\partial R} \hat{Z} - \frac{\partial r}{\partial Z} \hat{R}))$$

$$= \frac{U^2}{RB} (-\frac{f}{R} \hat{Z} + \frac{\psi_p'(r)}{R} \frac{\partial r}{\partial R} \hat{\zeta})$$
(5)

Then Eq. 1 becomes

$$\frac{cm_a U^2}{e_a B^2 R^2} \left(-f\hat{Z} + \psi_p'(r) \frac{\partial r}{\partial R} \hat{\zeta}\right). \tag{6}$$

Eq.  $6 \cdot \nabla x$  is

$$\frac{cm_a U^2}{e_a B^2 R^2} \cdot (-f) \frac{\partial r}{\partial Z}.$$
 (7)

This term can be coded as -Up\*\*2\*fp\*srbzp/bfldp\*\*2/radiusp\*\*2, where U(0:nr,0:ntheta) is a new variable needed to be declared, representing the equlibrium toroidal flow. Actually, we only need to declare a new variable omega(0:nr), U(0:nr,0:ntheta)=-omega(0:nr)\*radius(0:nr,0:ntheta).

For Eq.  $6 \cdot \nabla y$ ,

$$y = \frac{r_0}{q_0} \int_0^\theta \hat{q}(r, \theta') d\theta' - \zeta) = \frac{r_0}{q_0} (q\theta_f - \zeta)$$
 (8)

$$\nabla y = \frac{\partial y}{\partial r} \nabla r + \frac{r_0}{q_0} \hat{q} \nabla \theta - \frac{r_0}{q_0} \nabla \zeta, \tag{9}$$

where  $\hat{q} = q \frac{\partial \theta_f}{\partial \theta}$ .

Eq. 
$$6 \cdot \nabla y = \frac{cm_a U^2}{e_a B^2 R^2} (-f\hat{Z} + \psi_p'(r) \frac{\partial r}{\partial R} \hat{\zeta}) \cdot \nabla y$$
  

$$= \frac{cm_a U^2}{e_a B^2 R^2} (-f \frac{\partial y}{\partial r} \frac{\partial r}{\partial Z} - \frac{r_0}{q_0} \hat{q} f \frac{\partial \theta}{\partial Z} - \frac{r_0}{q_0 R} \psi_p'(r) \frac{\partial r}{\partial R}),$$
(10)

i. e. -Up\*\*2/bfldp\*\*2/radiusp\*\*2 \* (fp\*dydrp\*srbzp + r0/q0\*qhatp\*fp \*thbzp + r0/q0/radiusp\*psipp\*srbrp).

So far, all the terms about Eq. 1 is finished. Let's start with Eqs. 2 and 3. Still in the  $(R, Z, \zeta)$  coordinate, one could find Eq. 2 is identical to Eq. 3 as

$$\mathbf{U} \cdot \nabla \hat{b} = \hat{b} \cdot \nabla \mathbf{U} = -\frac{Uf}{R^2 B} \hat{R} - \frac{U}{R^2 B} \psi_p'(r) \frac{\partial r}{\partial Z} \hat{\zeta}. \tag{11}$$

To derive Eq. 2 or Eq. 3,

$$\frac{cm_{a}v'_{\parallel}}{e_{a}B}\hat{b} \times (\mathbf{U} \cdot \nabla \hat{b})$$

$$= \frac{cm_{a}v'_{\parallel}}{e_{a}B} \left[ -\frac{Uf^{2}}{B^{2}R^{3}}\hat{Z} + \frac{Uf\psi'_{p}(r)}{B^{2}R^{3}} \frac{\partial r}{\partial R}\hat{\zeta} - \frac{U}{B^{2}R^{3}}\psi'^{2}_{p}(r) \frac{\partial r}{\partial R} \frac{\partial r}{\partial Z}\hat{R} - \frac{U}{B^{2}R^{3}}\psi'^{2}_{p}(r) (\frac{\partial r}{\partial Z})^{2}\hat{Z} \right]$$

$$= \frac{cm_{a}v_{\parallel}'U}{e_{a}B^{3}R^{3}} \left[ -\psi'^{2}_{p}(r) \frac{\partial r}{\partial R} \frac{\partial r}{\partial Z}\hat{R} - (f^{2} + \psi'^{2}_{p}(r)(\frac{\partial r}{\partial Z})^{2})\hat{Z} + f\psi'_{p}(r) \frac{\partial r}{\partial R}\hat{\zeta} \right].$$
(12)

Then,

Eq. 
$$12 \cdot \nabla x = -\frac{cm_a v_{\parallel}' U}{e_a B^3 R^3} [\psi_p'^2(r) (\frac{\partial r}{\partial R})^2 \frac{\partial r}{\partial Z} + (f^2 + \psi_p'^2(r) (\frac{\partial r}{\partial Z})^2) \frac{\partial r}{\partial Z}]$$

$$= -\frac{cm_a v_{\parallel}' U}{e_a B^3 R^3} [\psi_p'^2(r) (\frac{\partial r}{\partial R})^2 + f^2 + \psi_p'^2(r) (\frac{\partial r}{\partial Z})^2] \frac{\partial r}{\partial Z}, \tag{13}$$

which can be coded as -Up\*vpar/bfldp\*\*3/radiusp\*\*3\*(psipp\*\*2\*srbrp\*\*2 + fp\*\*2 + psipp\*\*2\*srbzp\*\*2)\*srbzp.

Using Eq. 9,

Eq. 12 · 
$$\nabla y = -\frac{cm_a v_{\parallel}' U}{e_a B^3 R^3} [\psi_p'^2(r) \frac{\partial r}{\partial R} \frac{\partial r}{\partial Z} (\frac{\partial y}{\partial r} \frac{\partial r}{\partial R} + \frac{r_0}{q_0} \hat{q} \frac{\partial \theta}{\partial R})$$
  
  $+ (f^2 + \psi_p'^2(r) (\frac{\partial r}{\partial Z})^2) (\frac{\partial y}{\partial r} \frac{\partial r}{\partial Z} + \frac{r_0}{q_0} \hat{q} \frac{\partial \theta}{\partial Z})$  (14)  
  $+ \frac{r_0}{q_0 R} f \psi_p'(r) \frac{\partial r}{\partial R}].$ 

That is, -Up\*vpar/bfldp\*\*3/radiusp\*\*3\*(psipp\*\*2\*srbrp\*srbzp\*(dydrp\*srbrp + r0/q0\*qhatp\*thbrp) + (fp\*\*2 + psipp\*\*2\*srbzp\*\*2)\*(dydrp\*srbzp + r0/q0\*qhatp\*thbzp) + r0/q0/radiusp\*fp\*psipp\*srbrp).

#### 2 Parallel acceleration due to toroidal flow

An auxiliary guiding center variable  $v_{\parallel}''$  is defined according to

$$\varepsilon = \mu \mathbf{B}_0(\mathbf{R}) + \frac{1}{2} m v_{\parallel}^{"2} - \frac{1}{2} m U^2(\mathbf{R}) + q \Phi_1(\mathbf{R})$$
 (15)

Notice that  $v_{\parallel}''$  depends on  $(\mathbf{R}, \varepsilon, \mu)$  but not  $\gamma$ , and  $v_{\parallel}'' = v_{\parallel}' + \mathcal{O}(\delta)$ . The new parallel velocity is defined with  $\varepsilon^{\mu}$ . Since  $d\varepsilon^{\mu}/dt = \mathcal{O}(\delta^2)$ ,

$$mv_{\parallel}'' \frac{dv_{\parallel}''}{dt} = -\mu \frac{dB(\mathbf{R})}{dt} + m \frac{dU^{2}}{dt} - q \frac{d\Phi_{1}}{dt}$$

$$= -\mu v_{\parallel}'' \mathbf{b} \cdot \nabla B + mv_{\parallel}'' \mathbf{b} \cdot \nabla U^{2} - qv_{\parallel}'' \mathbf{b} \cdot \nabla \Phi_{1} + \mathcal{O}(\delta^{2})$$
(16)

We will neglect the  $\mathcal{O}(\delta^2)$  terms, which include the parallel nonlinearity.

$$\frac{dv_{\parallel}''}{dt} = -\frac{\mu}{m} \mathbf{b} \cdot \nabla B + \mathbf{b} \cdot \nabla U^2 - \frac{q}{m} \mathbf{b} \cdot \nabla \Phi_1 + \mathcal{O}(\delta^2)$$
 (17)

For any scalar  $s(r, \theta, \zeta)$ ,

$$\mathbf{b} \cdot \nabla s = \frac{1}{B} \frac{\psi_p'}{R} \frac{\partial s}{\partial \theta} \hat{\zeta} \cdot \nabla r \times \nabla \theta + \frac{f}{BR^2} \frac{\partial s}{\partial \zeta}.$$
 (18)

$$\mathbf{b} \cdot \nabla s = \frac{1}{B} (\nabla \zeta \times \nabla \psi_p + \frac{f}{R} \hat{\zeta}) \cdot (\frac{\partial s}{\partial r} \nabla r + \frac{\partial s}{\partial \theta} \nabla \theta + \frac{\partial s}{\partial \zeta} \nabla \zeta)$$

$$= \frac{\psi_p'}{B} (\nabla \zeta \times \nabla r) \cdot (\frac{\partial s}{\partial r} \nabla r + \frac{\partial s}{\partial \theta} \nabla \theta + \frac{\partial s}{\partial \zeta} \nabla \zeta) + \frac{f}{BR^2} \frac{\partial s}{\partial \zeta}$$

$$= \frac{1}{B} \frac{\psi_p'}{R} \frac{\partial s}{\partial \theta} \hat{\zeta} \cdot \nabla r \times \nabla \theta + \frac{f}{BR^2} \frac{\partial s}{\partial \zeta}$$

So,

$$\mathbf{b} \cdot \nabla B = \frac{1}{B} \frac{\psi_p'}{R} \frac{\partial B}{\partial \theta} \hat{\zeta} \cdot \nabla r \times \nabla \theta \tag{19}$$

$$\mathbf{b} \cdot \nabla U^2 = \frac{2U}{B} \frac{\psi_p'}{R} \frac{\partial U}{\partial \theta} \hat{\zeta} \cdot \nabla r \times \nabla \theta, \tag{20}$$

$$\mathbf{b} \cdot \nabla \Phi_1 = \frac{1}{B} \frac{\psi_p'}{R} \frac{\partial \Phi_1}{\partial \theta} \hat{\zeta} \cdot \nabla r \times \nabla \theta \tag{21}$$

with

$$\hat{\zeta} \cdot \nabla r \times \nabla \theta = |\nabla r \times \nabla \theta|. \tag{22}$$

The  $\partial U/\partial \theta$  and  $\partial \Phi_1/\partial \theta$  will be calculated in the gem\_equil.f90. Others are all existing variables in GEM.  $\Phi_1$  is the electric potential determined by the charge-neutrality, with  $\mathbf{E_n} = -\nabla \Phi_1$ . For a plasma with a single ion species with ion temperature  $T_i$  and electron temperature  $T_e$ ,

$$e\Phi_1 = \frac{m_i \omega_0^2}{2(1 + T_i/T_e)} (R^2 - \langle R^2 \rangle).$$
 (23)

Attention, here the bracket  $\langle \cdots \rangle$  stands for the flux surface average.

$$\langle R^2 \rangle = \frac{1}{2\pi} \int_{-\pi}^{\pi} R^2(r,\theta) d\theta \tag{24}$$

Thus,

$$\partial_{\theta}(R^2 - \langle R^2 \rangle) = 2R\partial_{\theta}R - \frac{R^2}{2\pi} \tag{25}$$

#### 3 Changes in weight equation

$$\delta f = -q(\phi - \mathbf{U} \cdot \mathbf{A}) \frac{f_0}{T} + h \tag{26}$$

Here, h is the non-adiabatic part of  $\delta f$ . Write  $h = h + h_1 + h_2 \cdots$ 

$$h_2 = \delta f + \frac{q}{T} f_0[(\phi - \mathbf{U} \cdot \mathbf{A}) - \langle (\phi - \mathbf{U} \cdot \mathbf{A}) \rangle + \langle \mathbf{v}' \cdot \mathbf{A} \rangle]$$
 (27)

$$\frac{\partial h_2}{\partial t} + \langle \dot{\mathbf{R}} \rangle \cdot \nabla h_2 + \langle \dot{\varepsilon}^u \rangle \frac{\partial h_1}{\partial \varepsilon^u} - \langle \dot{\varepsilon}^u \rangle \frac{\partial}{\partial \varepsilon^u} \langle \frac{q}{T} f_0 \mathbf{v}' \cdot \mathbf{A} \rangle$$

$$= -\left\langle \frac{d\mathbf{R}}{dt} \right|_1 \right\rangle \cdot \frac{\partial f_0}{\partial \mathbf{R}}$$

$$- q \mathbf{v}_g \cdot \nabla \langle \Psi \rangle \frac{f_0}{T}$$

$$+ q [\mathbf{U}(\mathbf{R}) \cdot \nabla \langle \Psi \rangle - \langle \mathbf{U} \cdot \nabla \Psi \rangle] \frac{f_0}{T}$$

$$- \frac{q}{\Omega} \left\langle (\nabla \Psi \times \mathbf{b}) \cdot \nabla \psi_p \, \omega_0' R \left( \frac{B_t}{B_0} v_{\parallel}' + U \right) \right\rangle \frac{f_0}{T}$$

$$+ S_1 + S_2 + S_3$$
(28)

There are two terms to be added in the weight equation. For electrostatic model,  $\Psi = \phi$ .

The first term,

$$q[\mathbf{U} \cdot \nabla \langle \phi \rangle - \langle \mathbf{U} \cdot \nabla \phi \rangle] \frac{f_0}{T}$$
 (29)

$$\mathbf{U} \cdot \nabla \langle \phi \rangle = U \hat{\zeta} \cdot \left( \frac{\partial \langle \phi \rangle}{\partial x} \nabla x + \frac{\partial \langle \phi \rangle}{\partial y} \nabla y + \frac{\partial \langle \phi \rangle}{\partial z} \nabla z \right)$$

$$= U \frac{\partial \langle \phi \rangle}{\partial y} \hat{\zeta} \cdot \nabla y$$

$$= -\frac{r_0 U}{q_0 R} \frac{\partial \langle \phi \rangle}{\partial y}$$
(30)

$$\langle \mathbf{U} \cdot \nabla \phi \rangle = \left\langle -\frac{r_0 U}{q_0 R} \frac{\partial \phi}{\partial y} \right\rangle = -\frac{r_0 U}{q_0 R} \left\langle \frac{\partial \phi}{\partial y} \right\rangle \tag{31}$$

The second term,

$$-\frac{q}{\Omega} \left\langle (\nabla \phi \times \mathbf{b}) \cdot \nabla \psi_p \ \omega_0' R \left( \frac{B_t}{B_0} v_{\parallel}' + U \right) \right\rangle \frac{f_0}{T}$$

$$= -\frac{q}{\Omega} \left\langle (\nabla \phi \times \mathbf{b}) \cdot \nabla \psi_p \right\rangle \omega_0' R \left( \frac{B_t}{B_0} v_{\parallel}' + U \right) \frac{f_0}{T}$$
(32)

Considering

$$\mathbf{B} = \nabla \psi \times \nabla (q\theta_f - \zeta)$$

$$= \frac{q_0}{r_0} \frac{d\psi}{dx} \nabla x \times \nabla y$$

$$= C(x) \nabla x \times \nabla y$$
(33)

then

$$\mathbf{b} = \frac{\nabla x \times \nabla y}{|\nabla x \times \nabla y|}.\tag{34}$$

So we have

$$\nabla \phi \times \mathbf{b} \cdot \nabla \psi_{p} = \nabla \psi_{p} \times \nabla \phi \cdot \mathbf{b}$$

$$= \psi_{p}' \nabla x \times \nabla \phi \cdot \frac{\nabla x \times \nabla y}{|\nabla x \times \nabla y|}$$

$$= \frac{\psi_{p}'}{|\nabla x \times \nabla y|} \left( \frac{\partial \phi}{\partial y} \nabla x \times \nabla y + \frac{\partial \phi}{\partial z} \nabla x \times \nabla z \right) \cdot \nabla x \times \nabla y$$

$$= \psi_{p}' |\nabla x \times \nabla y| \frac{\partial \phi}{\partial y} + \frac{\psi_{p}'}{|\nabla x \times \nabla y|} \frac{\partial \phi}{\partial z} (\nabla x \times \nabla z) \cdot (\nabla x \times \nabla y)$$
(35)

$$(\nabla x \times \nabla z) \cdot (\nabla x \times \nabla y) = (\nabla x \times \nabla y) \times \nabla x \cdot \nabla z$$

$$= (|\nabla x|^2 \nabla y - |\nabla x \cdot \nabla y| \nabla x) \cdot \nabla z$$

$$= |\nabla x|^2 \nabla y \cdot \nabla z - |\nabla x \cdot \nabla y| \nabla x \cdot \nabla z$$

$$= |\nabla x|^2 \nabla y \cdot \nabla z - q_0 R_0 |\nabla x \cdot \nabla y| |\nabla r \cdot \nabla \theta|$$
(36)

$$\nabla y \cdot \nabla z = \left(\frac{\partial y}{\partial r} \nabla r + \frac{r_0}{q_0} \hat{q} \nabla \theta - \frac{r_0}{q_0} \nabla \zeta\right) \cdot q_0 R_0 \nabla \theta$$

$$= q_0 R_0 \frac{\partial y}{\partial r} |\nabla r \cdot \nabla \theta| + r_0 R_0 \hat{q} |\nabla \theta|^2$$
(37)

According to Eq. 35 - 37, Eq. 32 can be coded using existing variables.

# References

[1] H. Sugama and W. Horton. Nonlinear electromagnetic gyrokinetic equation for plasmas with large mean flows. *Physics of Plasmas*, 5(7):2560–2573, 1998.