### Part 2: Decision Trees (60 points)

Decision trees are a popular approach to classification of discrete labels. They're interpretable -- the natural, graphical representation makes it simple for humans to understand the model and classify test instances, as well as straightforward to implement using basic information theoretic tools.

In this part of the assignment, we'll cover the basics of computing the entropy, information gain, and information gain ratio used to generate decision trees. We'll see how decision trees work with both discrete and continuous features during prediction. Additionally, we'll consider regularization parameters such as the depth of the tree and maximum number of leaf nodes. We'll look briefly at regression trees and model trees. Finally, you'll build a decision tree classifier for a dataset of your choosing.

### **Working with Categorical Attributes**

With all the rain we've been having, mushrooms have been sprouting up all over the place! Perhaps you're entertaining the idea of going mushroom hunting. But, as we all know, many mushrooms are poisonous and can make you sick, or even die. And if you're dead, you won't be able to do Assignment 3, which would be really unfortunate.

Lucky for you, we're going to look at a dataset that helps decide whether a mushroom is edible (e) or poisonous (p). You can read about the <u>mushroom dataset</u> (http://archive.ics.uci.edu/ml/datasets/Mushroom) at the LICI Machine Learning dataset repository. The

(http://archive.ics.uci.edu/ml/datasets/Mushroom) at the UCI Machine Learning dataset repository. The attributes include everything from the odor (almond? anise? foul? musty?) to the gill color (chocolate? purple? orange? gray?). You might be bewildered by the many potential attributes used to judge mushrooms, but we'll use decision trees to sort it all out.

As usual, we'll start by importing some Python modules and loading some data. One of the attributes has missing data, which I'm filling with the attribute "missing". The book has some detailed discussion of how to handle missing values using decision trees.

# In [1]: ## Preliminaries #Show plots in the notebook %matplotlib inline from sklearn import datasets, preprocessing, cross\_validation, metr ics, tree, ensemble, feature\_extraction import numpy as np import pandas as pd import matplotlib.pyplot as plt import urllib2 #from sklearn.ensemble import RandomForestClassifier

# In [2]: #Load data shroom\_data = urllib2.urlopen("http://archive.ics.uci.edu/ml/machin e-learning-databases/mushroom/agaricus-lepiota.data") mushroom = pd.read\_csv(shroom\_data, quotechar='"', skipinitialspace =True, names=[ 'Class', 'CapShape', 'CapSurface', 'CapColor', 'Brui ses', 'Odor', 'GillAttachment', 'GillSpacing', 'GillSize', 'GillCol or', 'StalkShape', 'StalkRoot', 'StalkSurfaceAboveRing', 'StalkSurf aceBelowRing', 'StalkColorAboveRing', 'StalkColorBelowRing', 'VeilT ype', 'VeilColor', 'RingNumber', 'RingType', 'SporePrintColor', 'Po pulation', 'Habitat' ], na\_values="?") mushroom = mushroom.fillna('missing') #Separate the labels from the attributes mushroom\_labels = mushroom.loc[:,'Class'] mushroom\_attrs = mushroom.iloc[:,1:]

# **Question 1: Computing Information Measures for Categorical Data (20 points)**

For this question, you'll need to compute entropy and information gain for attributes.

- 1. Write a function to compute the entropy of a series of categorical data. What is the entropy of the labels (H(Class) for this dataset?
- 2. Write a function to compute the entropy of the label given the a particular attribute setting (e.g. given a series for StalkRoot='b', compute H(Class|StalkRoot='b'). Apply this function to the GillColor attribute. Which GillColor has the lowest entropy? Which GillColor has the highest entropy?
- 3. Write a function that computes the entropy of the label conditioned on a label. What is the entropy H(Class|GillColor)?
- 4. Write a function that computes the information gain of an attribute. Which attribute has the highest information gain?

Now that you've gotten a sense of what's involved in choosing the attributes for a decision tree, we'll look at how to get scikit-learn to do that work for us.

### **Question 1 answers:**

- 1. Entropy of the labels is 99.91%
- 2. GillColor with lowest entropy  $\rightarrow$  b, r, o, e  $\rightarrow$  0 entropy GillColor with highest entropy  $\rightarrow$  p  $\rightarrow$  0.985386661301
- 3. GillColor entropy = 0.5820903734563292
- 4. Odor has the highest information gain of 90.6%

```
In [3]: # print mushroom_attrs
# print list(mushroom_labels.value_counts())
# print list(mushroom_labels[mushroom_attrs[mushroom_attrs['GillColor']='p'].index].value_counts())
# print list(mushroom_attrs['GillColor'].value_counts().index)
# print list(mushroom_labels[mushroom_attrs[mushroom_attrs['GillColor']='p'].index].value_counts())
# mushroom_labels.shape[0]
```

```
In [4]: # Part 1 code
        import math
        def computeEnt(probabilityList):
            entropy = 0
            for i in probabilityList:
                entropy += -i * math.log(i,2)
            return entropy
        def entropy(values):
            percent = []
            total = 0
            for i in values:
                if i == 0 or len(values) == 1:
                    return 0
                else:
                    total += i
            for i in values:
                percent.append(float(i) / total)
            return computeEnt(percent)
        # Entropy of labels
        print list(mushroom labels.value counts())
        print "Label entropy: " + str(entropy(list(mushroom labels.value co
        unts())))
```

```
[4208, 3916]
Label entropy: 0.999067896872
```

```
In [5]: # Part 2 and 3 code
        def condEntCompute(attribute, value):
            return entropy(list(mushroom labels[mushroom attrs[mushroom att
        rs[attribute] == value].index].value counts()))
        def listSum(array):
            total = 0;
            for val in array:
                total += val
            return total
        total = mushroom labels.shape[0]
        def condEnt(attribute):
            totalAttributeEntropy = 0
            for value in list(mushroom attrs[attribute].value counts().inde
        x):
                entropy = condEntCompute(attribute, value)
                totalInstances = listSum(list(mushroom labels[mushroom attr
        s[mushroom attrs[attribute] == value].index].value counts()))
                infoLabel = entropy * (float(totalInstances) / total)
                totalAttributeEntropy += infoLabel
                print "Label: " + value + \
                ", Entropy: " + str(entropy)
            return totalAttributeEntropy
        condEnt('GillColor')
```

```
Label: b, Entropy: 0
Label: p, Entropy: 0.985386661301
Label: w, Entropy: 0.731148604386
Label: n, Entropy: 0.490402840468
Label: g, Entropy: 0.914708843141
Label: h, Entropy: 0.853657673279
Label: u, Entropy: 0.461216040514
Label: k, Entropy: 0.626751137027
Label: e, Entropy: 0
Label: y, Entropy: 0
Label: o, Entropy: 0
Label: r, Entropy: 0
```

Out[5]: 0.5820903734563292

```
In [6]: # Part 4 code
        gains = []
        def condEnt(attribute):
            totalAttributeEntropy = 0
            for value in list(mushroom attrs[attribute].value counts().inde
        x):
                entropy = condEntCompute(attribute, value)
                totalInstances = listSum(list(mushroom labels[mushroom attr
        s[mushroom attrs[attribute] == value].index].value counts()))
                infoLabel = entropy * (float(totalInstances) / total)
                totalAttributeEntropy += infoLabel
            return totalAttributeEntropy
        labelEnt = entropy(list(mushroom labels.value counts()))
        for attribute in mushroom attrs.columns.values:
            attrEnt = condEnt(attribute)
            gain = labelEnt - attrEnt
            gains.append(gain)
            print attribute + " " + str(gain)
        gains.index(max(gains))
        # Odor is the attribute with highest gains
```

```
CapShape 0.0487967019354
CapSurface 0.0285902327738
CapColor 0.0360492829762
Bruises 0.192379485761
Odor 0.906074977384
GillAttachment 0.0141650272506
GillSpacing 0.100883183997
GillSize 0.230154375148
GillColor 0.416977523416
StalkShape 0.00751677256966
StalkRoot 0.134817637627
StalkSurfaceAboveRing 0.284725599218
StalkSurfaceBelowRing 0.271894473393
StalkColorAboveRing 0.253845173462
StalkColorBelowRing 0.241415566528
VeilType 0.0
VeilColor 0.0238170161209
RingNumber 0.0384526692431
RingType 0.318021510794
SporePrintColor 0.480704917685
Population 0.201958019067
Habitat 0.156833604605
```

### Out[6]: 4

### **Preprocessing Data**

Before we can start using decision trees with our mushroom data, we'll need to do some preprocessing. The basic issue is that the data we've loaded is all nominal attributes expressed as strings (in this case, single letters) encoding the attribute value. Most classifiers expect their input in the form of numbers.

There are a few ways to convert categorical data into numbers. One option is to convert the values into numbers using some coding scheme (e.g. chocolate=0; pink=2; purple=3; brown=4), but the issue is that this may be interpreted as an ordinal attribute. A better approach is called *One-hot encoding* where a separate column is created for each value the attribute can take. This is what we'll use for our decision tree.

We'll use two scikit-learn modules to help convert the data from strings to numbers: <u>DictVectorizer</u> (http://scikit-

<u>learn.org/stable/modules/generated/sklearn.feature\_extraction.DictVectorizer.html#sklearn.feature\_extraction.DictVecto</u>

<u>learn.org/stable/modules/generated/sklearn.preprocessing.LabelEncoder.html</u>). The code below is heavily commented, but I'd encourage you to walk through each of the steps (transposing, dictionary-conversion, values) printing the intermediate data to better understand what's going on.

In [8]: #The classifier doesn't handle categorical data natively. #so we have to transform the string values into a series of number s. #First we create encoders which we'll use to transform categorical data into numerical data attr encoder = feature extraction.DictVectorizer(sparse=False) label encoder = preprocessing.LabelEncoder() #Next we'll turn the attributes into a dictionary - a mapping from attribute name to the attribute value ## We transpose (.T) the DataFrame (so we get a dictionary for each instance in the data) ## Then we convert the DataFrame to a dictionary (to dict()) ## Last we get convert the series of dictionaries into an array (.v alues()) ### We feed all of this into the DictVectorizer encoder mushroom attrs encoded = attr encoder.fit transform(mushroom attrs. T.to dict().values()) ## Now what you get is a big table of zeroes and ones: print mushroom attrs encoded.shape print mushroom attrs encoded ## Each column in the table corresponds to a possible nominal value an attribute can take ## We can see what those columns represent by looking at the encode print attr encoder.feature names # Labels are a bit simpler since there's only one value for each in stance ## The encoder will turn the edible label (e) into a 0, and poisono us label (p) into a 1 mushroom labels encoded = label encoder.fit transform(mushroom labe ls) ## Now that our data is properly encoded for the decision tree, w e'll do a simple train-test split of the data ## I'm using 33% of the data as a test set, and I'm also setting th e parameter 'random state' to make sure everyone gets the same resu lts. [mushroom training, mushroom testing, mushroom training labels, mus hroom testing labels] = cross validation.train test split(mushroom attrs encoded, mushroom labels encoded, test size=0.33, random stat e=20160121) print mushroom training.shape, mushroom testing.shape

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```
(8124, 117)
      1. 0. ...,
[[ 0.
                   1.
                       0.
                           1.1
[ 0.
       1. 0. ...,
                   1.
                       0.
                           1.1
[ 0.
      1. 0. ...,
                  1.
                       0.
                           1.1
 ...,
 [ 1.
      0. 0. ..., 0.
                       0. 1.]
          0. ..., 1.
                       0. 1.]
 [ 1.
      0.
[ 1.
      0.
          0. ..., 0. 0. 1.]]
['Bruises=f', 'Bruises=t', 'CapColor=b', 'CapColor=c', 'CapColor=
e', 'CapColor=g', 'CapColor=n', 'CapColor=p', 'CapColor=r', 'CapCo
lor=u', 'CapColor=w', 'CapColor=y', 'CapShape=b', 'CapShape=c', 'C
apShape=f', 'CapShape=k', 'CapShape=s', 'CapShape=x', 'CapSurface=
f', 'CapSurface=g', 'CapSurface=s', 'CapSurface=y', 'GillAttachmen
t=a', 'GillAttachment=f', 'GillColor=b', 'GillColor=e', 'GillColor
=g', 'GillColor=h', 'GillColor=k', 'GillColor=n', 'GillColor=o',
'GillColor=p', 'GillColor=r', 'GillColor=u', 'GillColor=w', 'GillC
olor=y', 'GillSize=b', 'GillSize=n', 'GillSpacing=c', 'GillSpacing
=w', 'Habitat=d', 'Habitat=g', 'Habitat=l', 'Habitat=m', 'Habitat=
p', 'Habitat=u', 'Habitat=w', 'Odor=a', 'Odor=c', 'Odor=f', 'Odor=
1', 'Odor=m', 'Odor=n', 'Odor=p', 'Odor=s', 'Odor=y', 'Population=
   'Population=c', 'Population=n', 'Population=s', 'Population=
v', 'Population=y', 'RingNumber=n', 'RingNumber=o', 'RingNumber=
t', 'RingType=e', 'RingType=f', 'RingType=l', 'RingType=n', 'RingT
ype=p', 'SporePrintColor=b', 'SporePrintColor=h', 'SporePrintColor
=k', 'SporePrintColor=n', 'SporePrintColor=o', 'SporePrintColor=
r', 'SporePrintColor=u', 'SporePrintColor=w', 'SporePrintColor=y',
'StalkColorAboveRing=b', 'StalkColorAboveRing=c', 'StalkColorAbove
Ring=e', 'StalkColorAboveRing=g', 'StalkColorAboveRing=n', 'StalkC
olorAboveRing=o', 'StalkColorAboveRing=p', 'StalkColorAboveRing=
w', 'StalkColorAboveRing=y', 'StalkColorBelowRing=b', 'StalkColorB
elowRing=c', 'StalkColorBelowRing=e', 'StalkColorBelowRing=g', 'St
alkColorBelowRing=n', 'StalkColorBelowRing=o', 'StalkColorBelowRin
g=p', 'StalkColorBelowRing=w', 'StalkColorBelowRing=y', 'StalkRoot
    'StalkRoot=c', 'StalkRoot=missing', 'StalkRoot
=r', 'StalkShape=e', 'StalkShape=t', 'StalkSurfaceAboveRing=f', 'S
talkSurfaceAboveRing=k', 'StalkSurfaceAboveRing=s', 'StalkSurfaceA
boveRing=y', 'StalkSurfaceBelowRing=f', 'StalkSurfaceBelowRing=k',
'StalkSurfaceBelowRing=s', 'StalkSurfaceBelowRing=y', 'VeilColor=
n', 'VeilColor=o', 'VeilColor=w', 'VeilColor=y', 'VeilType=p']
(5443, 117) (2681, 117)
```

```
In [9]: print mushroom_labels.shape
    print mushroom_labels_encoded.shape
```

(8124,) (8124,)

# **Decision Trees for Categorical Attributes: Growing Trees with Mushrooms**

Now we can start playing with decision trees. We'll be using the <u>DecisionTreeClassifier (http://scikit-learn.org/stable/modules/generated/sklearn.tree.DecisionTreeClassifier.html#sklearn.tree.DecisionTreeCla class from the scikit-learn library. You should read more about the <u>tree module (http://scikit-learn.org/stable/modules/tree.html)</u> so you can understand the rest of the assignment.</u>

The way you use a classifier is fairly straightforward (and general for many different classifiers). First you create a classifier object, in this case a DecisionTreeClassifier object. Next, you train the classifier using the training set of attributes and labels. This creates a predictive model for your data and changes the internal state of the classifier object. Finally, you can apply the classifier to your test set to get a series of predictions for the unseen data.

```
In [10]: dtree = tree.DecisionTreeClassifier(random state=20160121, criterio
          n='entropy')
          dtree.fit(mushroom training, mushroom training labels);
          mushroom predictions = dtree.predict(mushroom testing);
In [11]: print mushroom training
          [[ 1.
                 0.
                     0. . . . . .
                               1.
                                   0.
                                       1.1
           0.
                                   0.
                 1.
                     0. ...,
                               1.
                                       1.]
                 0.
                     0. ...,
                               1.
                                   0.
                                       1.]
           [ 1.
                 1.
                     0. ....
                                   0.
                                       1.1
           [ 0.
           [ 1.
                 0.
                     0. ...,
                               1.
                                   0.
                                       1.]
           1.
                 0.
                     0. ...,
                               1.
                                   0.
                                       1.]]
In [12]: print mushroom testing labels
          [0\ 1\ 0\ \dots,\ 1\ 1\ 1]
In [13]: print mushroom testing
          [[ 0.
                 1.
                                   0.
                                       1.1
                                   0.
                                       1.]
           [ 1.
                 0.
                     0. ...,
                               1.
           [ 1.
                 0.
                     0. ...,
                                   0.
                                       1.]
           [ 1.
                 0.
                     0. ...,
                               1.
                                   0.
                                       1.1
                 0. 0. ...,
                               1.
                                   0.
                                       1.1
           [ 1.
                 0. 0. ...,
                                   0.
                                       1.]]
```

Let's look at how the decision tree classifier worked. First, we'll convert the predictions from numbers to labels using the inverse\_transform function of the label encoder. This reverses the mapping we used earlier to get our data back into its original form. Once we have human-readable data, we'll look at a small sample of the the labels and decision tree predictions.

Next, we'll look at a simple accuracy measure for the test set predictions to evaluate how well the decision tree did on all of the data.

```
In [14]: # The easy way to do this is using the score function:
         print "Accuracy (via score):", dtree.score(mushroom testing, mushro
         om testing labels)
         # But doing it by extracting the predicted and true labels can be e
         asier to understand
         ##Reverse the mapping to get readable labels
         mushroom testing labels readable = label encoder.inverse transform
         (mushroom testing labels)
         mushroom predictions readable = label encoder.inverse transform(mus
         hroom predictions)
         ##Inspect the true labels and predictions
         labels combined = pd.DataFrame(mushroom testing labels readable, mu
         shroom predictions readable)
         print labels combined.head()
         ## Compute the accuracy
         print "Accuracy (via metrics):", metrics.accuracy score(mushroom tes
         ting labels, mushroom predictions);
```

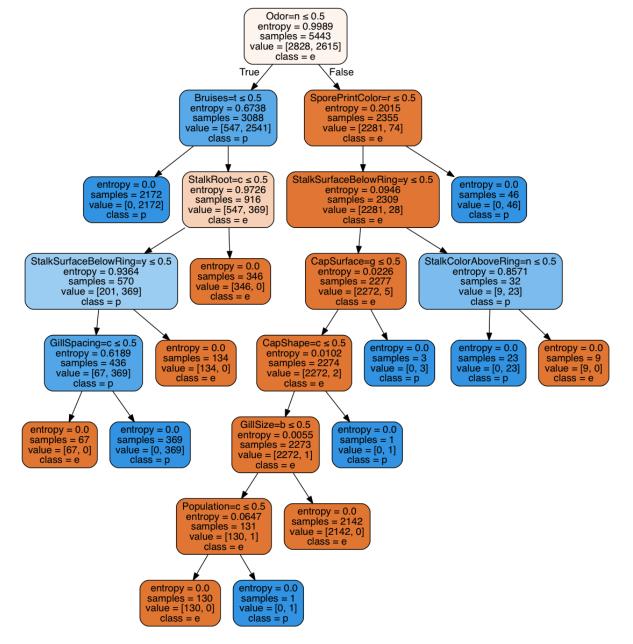
```
Accuracy (via score): 1.0

0
e e
p p
e e
p p
p p
Accuracy (via metrics): 1.0
```

Wow, what a great decision tree! 100% accuracy! Now that we know our decision tree is doing so well, we can take a closer look at the model. One of the nice things about decision trees is that we can visualize the trees to understand the rules. You could even print out the tree to take on a mushroom hunt (although I probably wouldn't do this -- the dataset might not cover all the mushrooms you'd find in Santa Cruz).

Getting the visualization might require installing some new packages. First, you'll need the pydot package. If you installed Jupyter using conda, you can easily install pydot by typing the command: conda install pydot

Next, you'll need <u>graphviz (http://www.graphviz.org/)</u>, a free, open-source library for visualizing graphs. You can download graphviz directly from the webpage. The download page also includes instructions for using popular package managers to install graphviz, such as <u>brew (http://brew.sh/)</u>, <u>MacPorts (https://www.macports.org/)</u>, and <u>Cygwin (https://www.cygwin.com/)</u> as well as pre-compiled packages for several Linux environments.



### **Question 2: Decision Tree Cross-Validation (20 points)**

One of the issues we've discussed is that a single train-test split can often provide a misleading view of the error of our approach. Ideally, we want to look at how our method performs across many different settings. To understand this process, let's perform cross-validation on the decision trees.

- 1. Split the decision tree data into four folds, allocating 2 for training, 1 for validation and 1 for testing. Set the random\_state parameter to 20160121 in the cross-validation split so that you get the same results as other students. For each cross-validation fold, train a decision tree (setting random state to 20160121 again):
  - where the max depth parameter varies from 1 to 6.
  - where the max leaf nodes ranges from 5 to 12
  - where the criterion is gini
  - where the min\_samples\_leaf has values 2, 5, and 50

In each case, compute the error on the validation set. What trend do you observe for each of these parameter settings?

- 2. Using the parameters that had the best validation set performance across the folds, learn a decision tree using the training and validation data together.
  - Report the error, F1, precision, recall, and accuracy for each fold, as well as the mean F1, precision, recall, and accuracy across folds.
  - Visualize each of the four trees. Are the trees the same?

### **Question 2 Answers**

1. The trend is that the higher the parameter number, the more accurate the prediction will be. So for max\_leaf\_nodes for example, the higher the value the more accurate the data. Also the accuracy plateaus at a certain parameter setting, after which there's no increases in accuracy. Again for the max\_leaf\_nodes, after max\_leaf\_nodes of 9, there's no increase in accuracy if the max\_leaf\_nodes go up to 12

See cell below for part 2

2. a) Mean scores precision recall f1-score support 0 0.9925 1.00 0.995 1065 1 1.00 0.9925 0.995 966 Mean error: 0.00443131462334 Mean accuracy: 0.995568685377 b) Visualizing the tree, they indeed all look the same

In [16]:	

```
# Ouestion 1 code
kfolds = cross validation. KFold(8124, n folds=4, shuffle=True, rand
om state=20160121)
np.set printoptions(threshold='nan')
all attributes = {
    'max depth': {1, 2, 3, 4, 5, 6},
    'max leaf nodes': {5, 6, 7, 8, 9, 10, 11, 12},
    'criterion': {'gini'},
    'min samples leaf': {2, 5, 50}}
fold = 0
for train total, test in kfolds:
    fold += 1
    print "Fold : " + str(fold)
    size = len(train total)
    train = train total[:2*(size / 3)]
    validation = train total[2*(size/3):]
    mushroom training fold = pd.DataFrame(mushroom attrs encoded).i
loc[train]
    mushroom training labels fold = pd.DataFrame(mushroom labels en
coded).iloc[train]
    mushroom validation fold = pd.DataFrame(mushroom attrs encode
d).iloc[validation]
    mushroom validation labels fold = mushroom labels encoded[valid
ation]
    for attr in all attributes.keys():
        for value in all attributes[attr]:
            accuracy = 0
            if attr == 'max depth':
                dtree = tree.DecisionTreeClassifier(random state=20
160121, criterion='gini', max_depth = value)
            elif attr == 'max_leaf_nodes':
                dtree = tree.DecisionTreeClassifier(random state=20
160121, criterion='gini', max leaf nodes = value)
            elif attr == 'min samples leaf':
                dtree = tree.DecisionTreeClassifier(random state=20
160121, criterion='gini', min_samples_leaf = value)
            elif attr == 'criterion':
                dtree = tree.DecisionTreeClassifier(random state=20
160121, criterion='gini')
            dtree.fit(mushroom_training_fold, mushroom_training_lab
els fold);
            mushroom predictions fold = dtree.predict(mushroom vali
dation fold);
            error = 1 - dtree.score(mushroom validation fold, mushr
```

```
Fold: 1
max leaf nodes, Val: 5 Error: 0.44854751354
max leaf nodes, Val: 6 Error: 0.0413589364845
max leaf nodes, Val: 7 Error: 0.0221565731167
max leaf nodes, Val: 8 Error: 0.0167405219104
max leaf nodes, Val: 9 Error: 0.0157557853274
max leaf nodes, Val: 10 Error: 0.0157557853274
max leaf nodes, Val: 11 Error: 0.0157557853274
max leaf nodes, Val: 12 Error: 0.0157557853274
criterion, Val: gini Error: 0.0157557853274
max depth, Val: 1 Error: 0.44854751354
max depth, Val: 2 Error: 0.0556376169375
max depth, Val: 3 Error: 0.0221565731167
max depth, Val: 4 Error: 0.423929098966
max depth, Val: 5 Error: 0.0157557853274
max depth, Val: 6 Error: 0.0157557853274
min samples leaf, Val: 50 Error: 0.44854751354
min samples leaf, Val: 2 Error: 0.0157557853274
min samples leaf, Val: 5 Error: 0.0167405219104
Fold: 2
max leaf nodes, Val: 5 Error: 0.442146725751
max leaf nodes, Val: 6 Error: 0.0324963072378
max leaf nodes, Val: 7 Error: 0.0280649926145
max leaf nodes, Val: 8 Error: 0.0113244707041
max leaf nodes, Val: 9 Error: 0.0108321024126
max leaf nodes, Val: 10 Error: 0.0108321024126
max leaf nodes, Val: 11 Error: 0.0108321024126
max leaf nodes, Val: 12 Error: 0.0108321024126
criterion, Val: gini Error: 0.0108321024126
max depth, Val: 1 Error: 0.442146725751
max depth, Val: 2 Error: 0.0482520925652
max depth, Val: 3 Error: 0.0157557853274
max depth, Val: 4 Error: 0.420974889217
max depth, Val: 5 Error: 0.0108321024126
max depth, Val: 6 Error: 0.0108321024126
min samples leaf, Val: 50 Error: 0.442146725751
min samples leaf, Val: 2 Error: 0.0108321024126
min samples leaf, Val: 5 Error: 0.0108321024126
```

```
Fold: 3
max leaf nodes, Val: 5 Error: 0.446578040374
max leaf nodes, Val: 6 Error: 0.03988183161
max leaf nodes, Val: 7 Error: 0.0221565731167
max leaf nodes, Val: 8 Error: 0.0152634170359
max leaf nodes, Val: 9 Error: 0.0147710487445
max leaf nodes, Val: 10 Error: 0.0147710487445
max leaf nodes, Val: 11 Error: 0.0147710487445
max leaf nodes, Val: 12 Error: 0.0147710487445
criterion, Val: gini Error: 0.0147710487445
max depth, Val: 1 Error: 0.446578040374
max depth, Val: 2 Error: 0.0507139340226
max depth, Val: 3 Error: 0.0221565731167
max depth, Val: 4 Error: 0.4219596258
max depth, Val: 5 Error: 0.0147710487445
max depth, Val: 6 Error: 0.0147710487445
min samples leaf, Val: 50 Error: 0.446578040374
min samples leaf, Val: 2 Error: 0.0147710487445
min samples leaf, Val: 5 Error: 0.0147710487445
Fold: 4
max leaf nodes, Val: 5 Error: 0.440669620876
max leaf nodes, Val: 6 Error: 0.0369276218612
max leaf nodes, Val: 7 Error: 0.0196947316593
max leaf nodes, Val: 8 Error: 0.0128015755785
max leaf nodes, Val: 9 Error: 0.0118168389956
max leaf nodes, Val: 10 Error: 0.0118168389956
max leaf nodes, Val: 11 Error: 0.0118168389956
max leaf nodes, Val: 12 Error: 0.0118168389956
criterion, Val: gini Error: 0.0118168389956
max depth, Val: 1 Error: 0.440669620876
max depth, Val: 2 Error: 0.0467749876908
max depth, Val: 3 Error: 0.0196947316593
max depth, Val: 4 Error: 0.416543574594
max depth, Val: 5 Error: 0.0118168389956
max depth, Val: 6 Error: 0.0118168389956
min samples leaf, Val: 50 Error: 0.440669620876
min samples leaf, Val: 2 Error: 0.0118168389956
min samples leaf, Val: 5 Error: 0.0162481536189
```

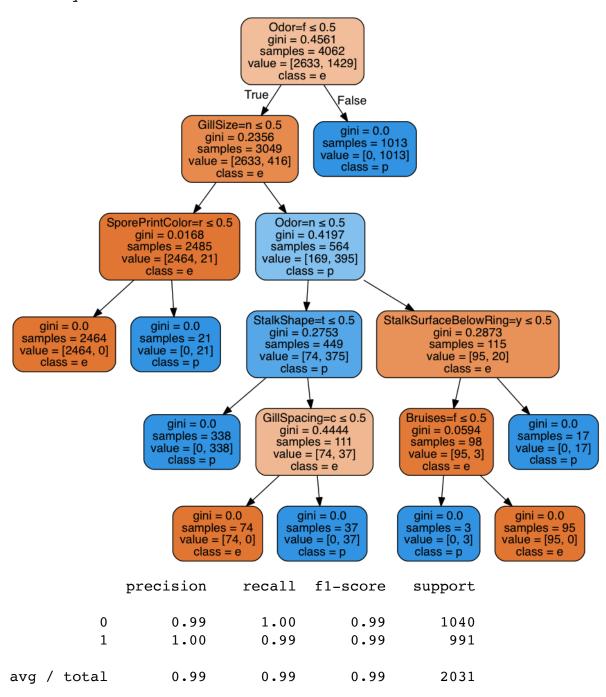
In [17]:	

```
# Using the parameters that had the best validation set performance
across the folds,
# learn a decision tree using the training and validation data toge
# Report the error, F1, precision, recall, and accuracy for each fo
ld, as well as the mean F1, precision, recall, and accuracy across
folds.
# Visualize each of the four trees. Are the trees the same?
from sklearn.metrics import classification report
kfolds = cross validation.KFold(8124, n folds=4, shuffle=True, rand
om state=20160121)
mean error = 0
mean accuracy = 0
for train total, test in kfolds:
      print ("%s %s" % (train, test))
   mushroom training fold = pd.DataFrame(mushroom attrs encoded).i
loc[train]
    mushroom training labels fold = pd.DataFrame(mushroom labels en
coded).iloc[train]
    mushroom test fold = pd.DataFrame(mushroom attrs encoded).iloc
[test]
   mushroom test labels fold = mushroom labels encoded[test]
    dtree = tree.DecisionTreeClassifier(random state=20160121, max
depth = 5, max leaf nodes = 9, min samples leaf = 2)
    dtree.fit(mushroom training fold, mushroom training labels fol
d);
   mushroom predictions fold = dtree.predict(mushroom test fold);
    accuracy = dtree.score(mushroom test fold, mushroom test labels
fold)
    mean_accuracy += accuracy
    error = 1 - accuracy
   mean error += error
    print metrics.classification report(mushroom test labels fold,
mushroom predictions fold)
    print "Error: " + str(error) + \
        "\nAccuracy: " + str(accuracy)
    dot data = StringIO()
    tree.export graphviz(dtree, out file=dot data,
                         feature names=attr encoder.feature names ,
                         class names=label encoder.classes ,
                         filled=True, rounded=True,
                         special characters=True)
    graph = pydot.graph from dot data(dot data.getvalue())
    display(Image(graph.create png()))
```

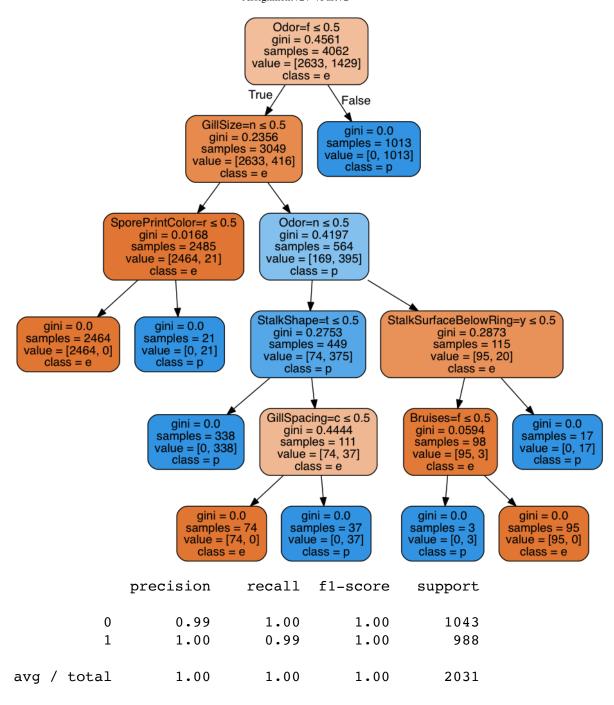
print "Mean error: " + str(mean\_error/4) + " Mean accuracy: " + str
(mean\_accuracy/4)

support	f1-score	recall	precision	
1060	1.00	1.00	1.00	0
971	1.00	1.00	1.00	1
2031	1.00	1.00	1.00	avg / total

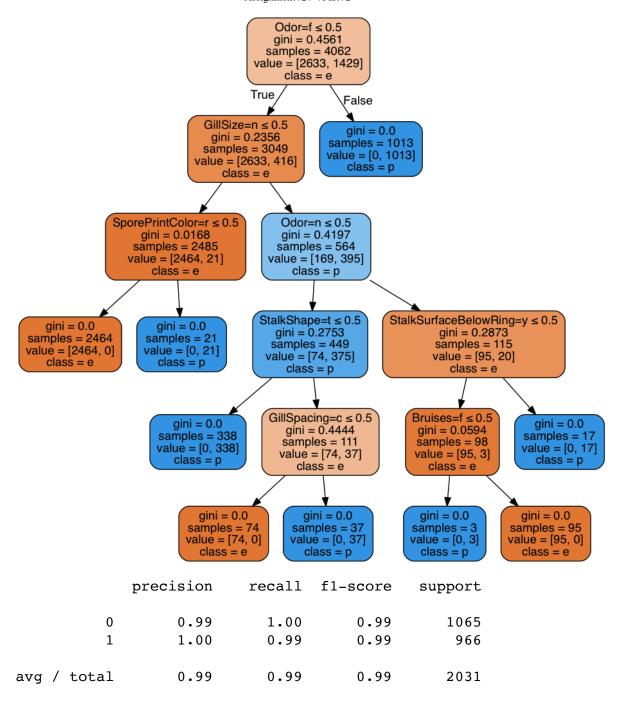
Error: 0.00196947316593 Accuracy: 0.998030526834



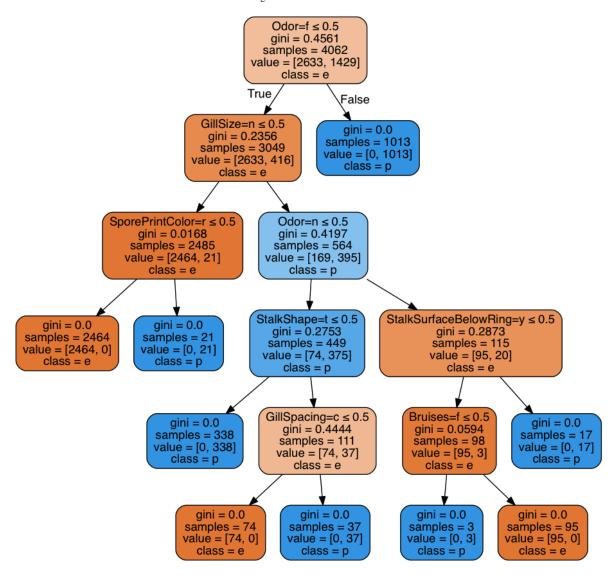
Error: 0.00689315608075 Accuracy: 0.993106843919



Error: 0.00295420974889 Accuracy: 0.997045790251



Error: 0.00590841949778 Accuracy: 0.994091580502



Mean error: 0.00443131462334 Mean accuracy: 0.995568685377

## **Decision Trees with Continuous Values: Forests and Flowers**

The decision tree example above uses only nominal values and makes categorical decision tests. Decision trees also operate on continuous values by *discretization* - converting continuous attributes into intervals or nominal attributes. In this section, you'll look at how decision trees work on continuous values. You'll use the Iris dataset we saw in the last assignment.

# Question 3: Decision Trees for Continuous Features (5 points)

- 1. Generate a decision tree for the Iris dataset, use 10-fold cross-validation (with random\_state set to 20160121) and report the mean error across folds.
- 2. Generate a visualization of the tree trained using all of the data.
- 3. What is the resubstitution error of the classifier on all of the data? How does this compare with the error estimated using 10-fold cross-validation?

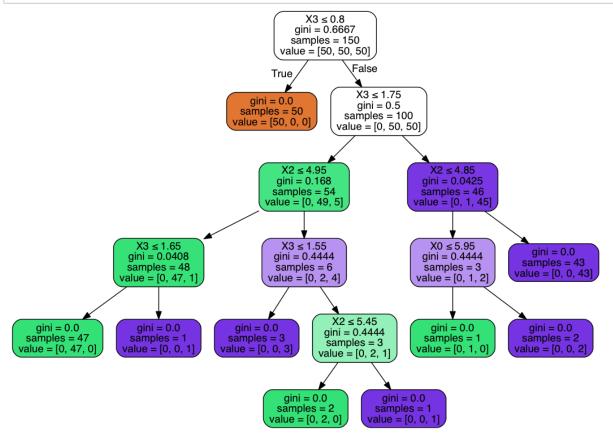
As a hint, the code for this question is very similar to what's available in the scikit-learn documentation on <u>decision trees (http://scikit-learn.org/stable/modules/tree.html)</u> and <u>cross-validated metrics (http://scikit-learn.org/stable/modules/cross\_validation.html#computing-cross-validated-metrics)</u>

### **Question 3 Answers**

- 1. Mean error across folds: 6%
- 2. See below
- 3. Resubstitution error is 0%, which is more optimistic than the 10 fold error we got of about 6%

```
In [18]: # Part 1 code
         from sklearn.cross validation import KFold
         kfolds = cross validation. KFold(150, n folds=10, shuffle=True, rand
         om state=20160121)
         dtree = tree.DecisionTreeClassifier(random state=20160121)
         iris = datasets.load iris()
         mean error = 0
         for train, test in kfolds:
             iris training = pd.DataFrame(iris.data[train])
             iris training labels = pd.DataFrame(iris.target[train])
         #
               _____
             iris testing = pd.DataFrame(iris.data[test])
             iris testing labels = pd.DataFrame(iris.target[test])
             dtree.fit(iris training, iris training labels)
             accuracy = dtree.score(iris testing, iris testing labels)
             error = 1 - accuracy
             mean error += error
         print "Mean error: " + (str(mean error / 10))
```

Mean error: 0.06



Resubstitution error: 1.0

### **Random Forests**

One extremely popular approach in machine learning is the Random Forest (https://en.wikipedia.org/wiki/Random forest) and more complex successors such as Gradient Boosted Decision Trees. The Random Forest is an example of an ensemble method, where you combine multiple, simple classifiers to get a more robust result than one, complicated classifier. The basic idea behind a random forest is to create multiple samples of the data and attributes and learn a different decision tree on each of these samples. Then, each decision tree gets a vote on the final classification of an instance, and the majority wins.

Read more about Random Forests (http://scikit-learn.org/stable/modules/ensemble.html#forest) and take a look at the random forest documentation (http://scikit-learn.org/stable/modules/generated/sklearn.ensemble.RandomForestClassifier.html#sklearn

### **Question 4: Seeing the Forests for the Trees (15 points)**

1. Choose a new dataset (one that hasn't been used in the assignments yet) with a categorical label. Load the data with meaningful column names and pre-process the data to remove any missing values.

Good places to look for data are <u>Data.gov</u> (http://www.data.gov/), <u>UCI Machine Learning Dataset</u> Repository (http://archive.ics.uci.edu/ml/index.html), <u>Data SF (http://datasf.org/)</u>, or <u>mldata.org</u> (http://mldata.org/). scikit-learn will load datasets downloaded from mldata.org directly (see the <u>datasets documentation (http://scikit-learn.org/stable/modules/classes.html#module-sklearn.datasets)</u>), but you'll need to register with the site before you can download data.

- 1. Split the data into training and test folds, or use cross-validation folds/scoring.
- 2. Train both a decision tree classifier and a random forest classifier on your training data. It may be useful to set the maximum depth or maximum leaf nodes or minimum leaf samples for the random forest. Report the test error for both classifiers. Which performs better? Perform a t-test to decide whether the means differ significantly. Is the performance difference between the classifiers significant?
- 3. Train both the decision tree and the random forest using all of the labeled data and include a visualization of the single decision tree and the trees in the random forest. (Hint: you can access the trees in your forest by iterating over the variable estimators\_ For example, if your RandomForestClassifier is called forest, you could write:

```
for dtree in forest.estimators_:
    display(Image(...))
```

### **Question 4 Answers**

- 1. see below
- 2. t-statistic=-1.4305633952771812, pvalue=0.15961920234647561) The tree classifier performs better but based on the t statistic, the difference is not significant

3.

```
In [20]: ## Preliminaries

#Show plots in the notebook
%matplotlib inline

# To start we import some prerequisites
from sklearn import datasets
import numpy as np
import pandas as pd
import matplotlib.pyplot as plt
import urllib2
```

```
In [21]: #Let's download the data using the link on the page above:
    wine_data = urllib2.urlopen("http://archive.ics.uci.edu/ml/machine-
    learning-databases/wine/wine.data")
    #we can use a module called pandas to parse and manipulate this dat
    a
    wine_dataset = pd.read_csv(wine_data, quotechar='"', skipinitialspa
    ce=True)

# By default, only a few columns are shown. Setting this option all
    ows us to see all the columns
    pd.set_option('display.max_columns', None)
    # Let's look at the first ten rows

wine_data = wine_dataset.ix[:,1:14]
    wine_labels = wine_dataset.ix[:,0]
```

```
In [22]: [wine_train_data, wine_test_data, wine_train_labels, wine_test_lab
els] = cross_validation.train_test_split(wine_data, wine_labels, te
st_size=0.25, random_state=20160121)

# Decision tree train
dtree = tree.DecisionTreeClassifier(random_state=20160121)
dtree.fit(wine_train_data, wine_train_labels);
dt_predictions = dtree.predict(wine_test_data);

# The easy way to do this is using the score function:
dt_error = 1 - dtree.score(wine_test_data, wine_test_labels)
print "Error (via score):", dt_error
```

Error (via score): 0.0

```
In [23]: from sklearn.ensemble import RandomForestClassifier
    clf = RandomForestClassifier(n_estimators=10, max_depth=None, min_s
    amples_split=1, random_state=20160121)
    clf = clf.fit(wine_train_data, wine_train_labels)
    clf_predictions = clf.predict(wine_test_data)

clf_error = 1 - clf.score(wine_test_data, wine_test_labels)
    print "Error (via score):", clf_error
```

Error (via score): 0.022222222222

```
In [24]: from scipy import stats

# Which performs better? They're both the same error
# Is the performance difference between the classifiers significan
t?
# They are not statistically different
stats.ttest_rel(dt_predictions, clf_predictions)
```

Out[24]: Ttest relResult(statistic=-1.0, pvalue=0.32277847875430932)

