

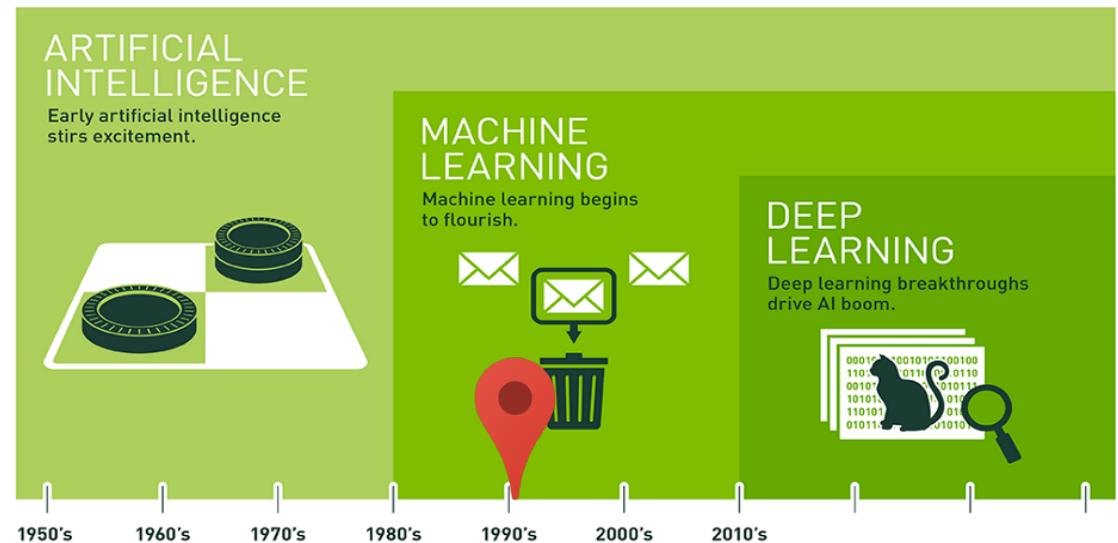
# Artificial Intelligence: ML: Decision Trees & k-means Clustering

- Russell & Norvig: Sections 18.3, 18.4

# Today

YOU ARE HERE!

1. Introduction to ML (contd.)
2. Decision Trees
3. Evaluation (contd.)
4. Unsupervised Learning: k-means Clustering



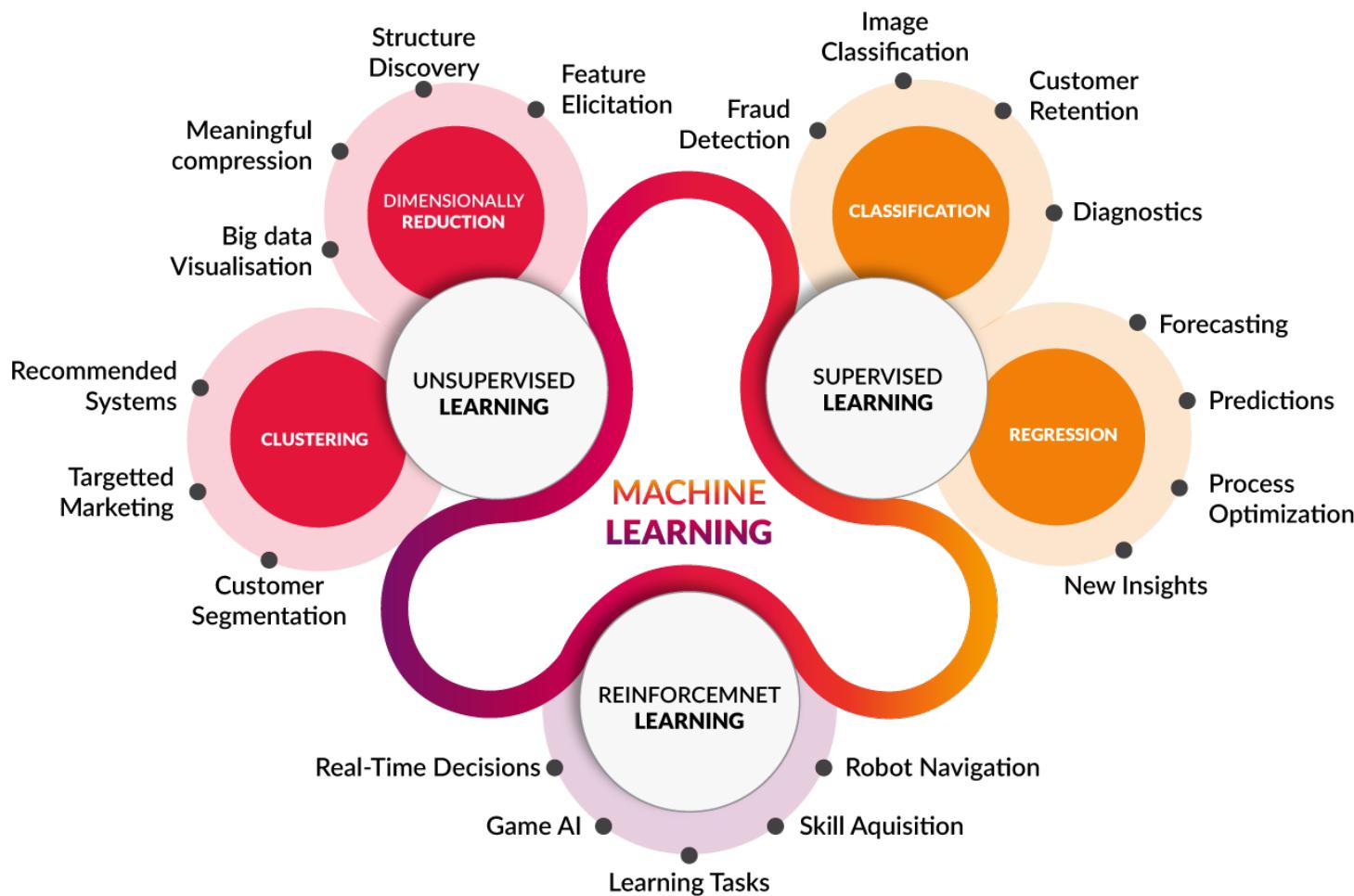
# What is Machine Learning?

- Learning = crucial characteristic of an intelligent agent
- ML
  - Constructs algorithms that learn from data
  - i.e., perform tasks that were not explicitly programmed and improve their performance the more tasks they accomplish
  - generalize from given experiences and are able to make judgments in new situations

# Applications

- Too many to list here!
  - Recommender systems (eg. Netflix)
  - Pattern Recognition (eg. Handwriting recognition)
  - Detecting credit card fraud
  - Computer vision (eg. Object recognition)
  - Discovering Genetic Causes of Diseases
  - Natural Language Processing (eg. Spam filtering)
  - Speech Recognition / Synthesis
  - Medical Diagnostics
  - Information Retrieval (eg. Image search)
  - Learning heuristics for game playing
  - ...
  - *Oh... I'm out of space*

# Types of Machine Learning



# Types of Learning

## In Supervised learning

- We are given a training set of  $(X, f(X))$  pairs

big nose	big teeth	big eyes	no moustache	$f(X) = \text{not person}$
small nose	small teeth	small eyes	no moustache	$f(X) = \text{person}$
small nose	big teeth	small eyes	moustache	$f(X) = ?$

## In Reinforcement learning

- We are not given the  $(X, f(X))$  pairs

small nose	big teeth	small eyes	moustache	$f(X) = ?$
------------	-----------	------------	-----------	------------

- But we get a reward when our learned  $f(X)$  is right, and we try to maximize the reward
- Goal: maximize the nb of right answers

## In Unsupervised learning

- We are only given the  $X$ s - not the corresponding  $f(X)$

big nose	big teeth	big eyes	no moustache	<i>not given</i>
small nose	small teeth	small eyes	no moustache	<i>not given</i>
small nose	big teeth	small eyes	moustache	$f(X) = ?$

- No teacher involved / Goal: find regularities among the  $X$ s (clustering)
- Data mining

# Logical Inference

- Inference: process of deriving new facts from a set of premises
- Types of logical inference:
  1. Deduction
  2. Abduction
  3. Induction

# Deduction

- aka Natural Deduction
- Conclusion follows necessarily from the premises.
- From  $A \Rightarrow B$  and  $A$ , we conclude that  $B$
- We conclude from the general case to a specific example of the general case
- Ex:

*All men are mortal.*

*Socrates is a man.*

---

*Socrates is mortal.*

# Abduction

- Conclusion is one hypothetical (most probable) explanation for the premises
- From  $A \Rightarrow B$  and  $B$ , we conclude  $A$
- Ex:

*Drunk people do not walk straight.*  
*John does not walk straight.*  
\_\_\_\_\_  
*John is drunk.*
- Not sound... but may be most likely explanation for  $B$
- Used in medicine...
  - in reality... disease  $\Rightarrow$  symptoms
  - patient complains about some symptoms... doctor concludes a disease

# Induction

- Conclusion about all members of a class from the examination of only a few member of the class.

From  $A \wedge C \Rightarrow B$  and  $A \wedge D \Rightarrow B$ , we conclude  $A \Rightarrow B$

- We construct a general explanation based on a specific case.
- Ex:

*All CS students in COMP 6721 are smart.*

*All CS students on vacation are smart.*

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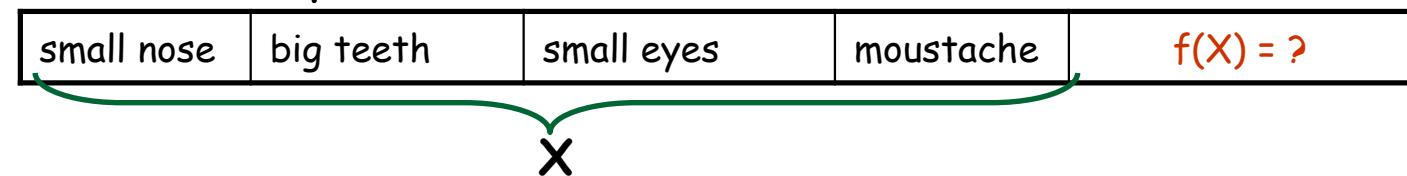
*All CS students are smart.*

- Not sound
- But, can be seen as hypothesis construction or generalisation

# Inductive Learning

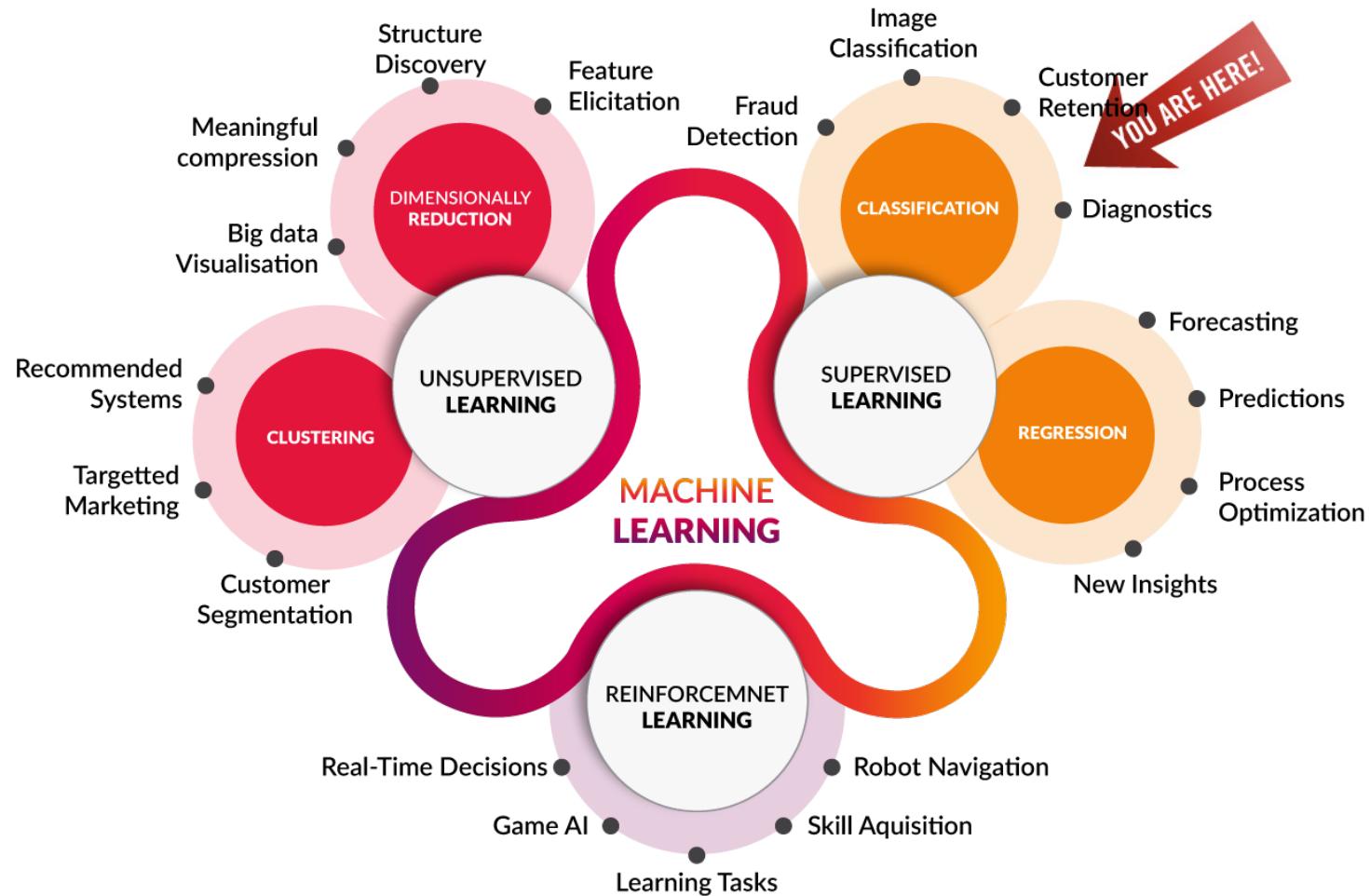
- = learning from examples
- Most work in ML
- Examples are given (positive and/or negative) to train a system in a classification (or regression) task
- Extrapolate from the training set to make accurate predictions about future examples
- Can be seen as learning a function
- Given a new instance  $X$  you have never seen
- You must find an estimate of the function  $f(X)$  where  $f(X)$  is the desired output
- Ex: 

small nose	big teeth	small eyes	moustache	$f(X) = ?$
------------	-----------	------------	-----------	------------



- $X$  = features of a face (ex. small nose, big teeth, ...)
- $f(X)$  = function to tell if  $X$  represents a human face or not

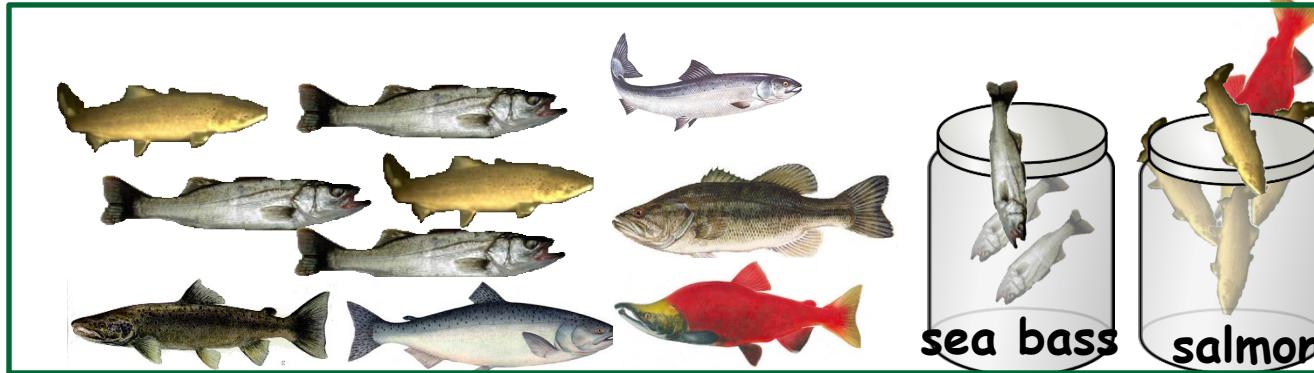
# Types of Machine Learning



# Types of Machine Learning

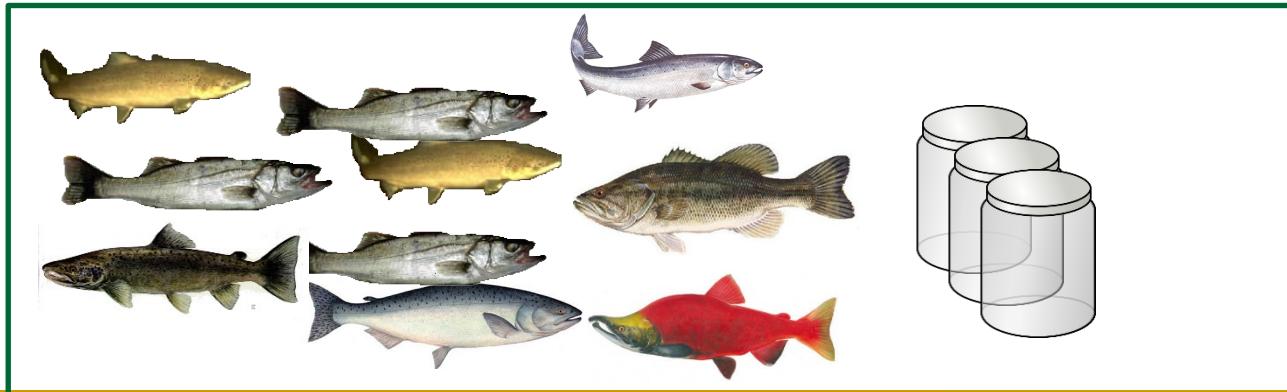
## ■ Supervised learning

- We are given a training set of  $(X, f(X))$  pairs
- $X = \langle \text{color, length} \rangle$



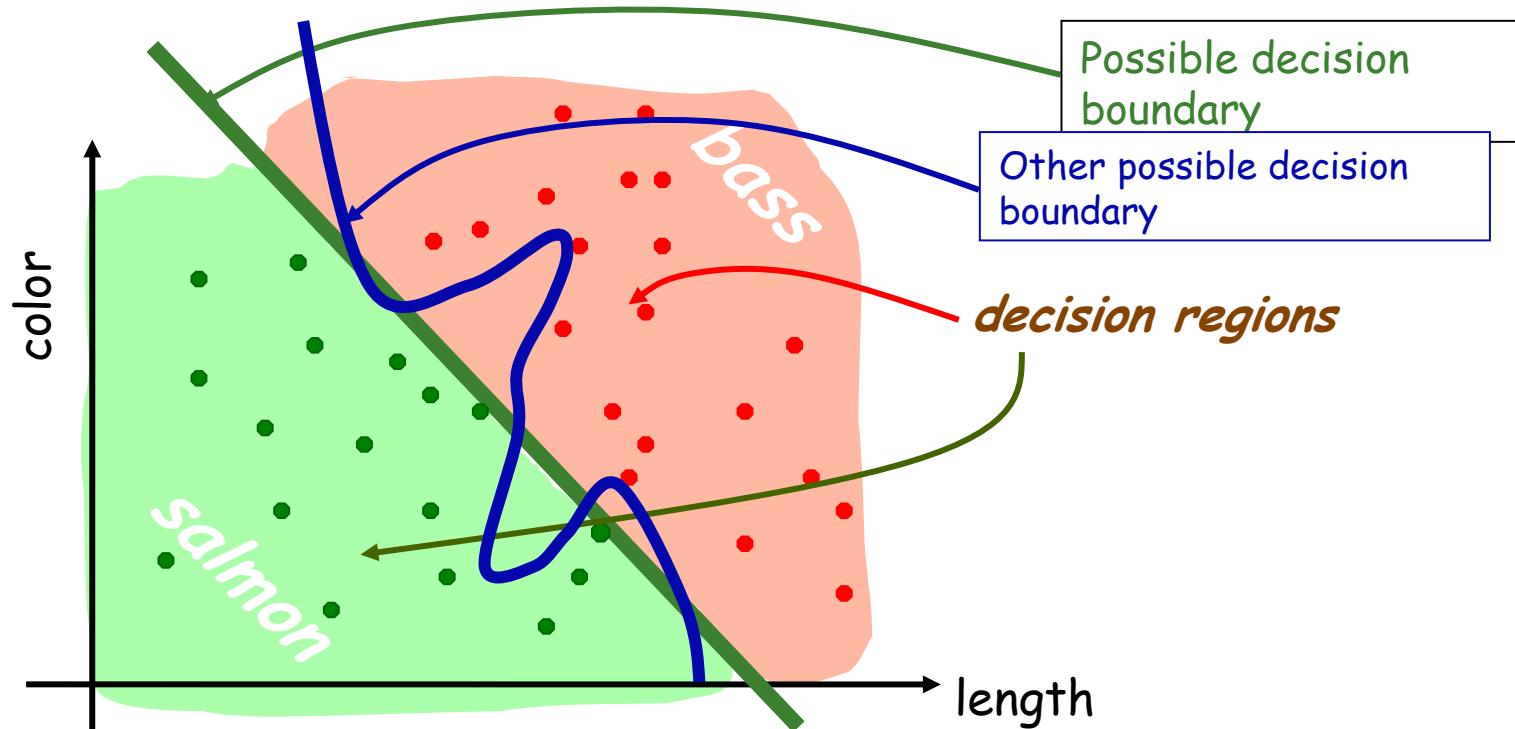
## ■ Unsupervised learning

- We are only given the  $Xs$  - not the corresponding  $f(X)$



# Example

- Given pairs  $(X, f(X))$  (the training set - the data points)
- Find a function that fits the training set well
- So that given a new  $X$ , you can predict its  $f(X)$  value



- Note: choosing one function over another beyond just looking at the training set is called **inductive bias** (eg. prefer "smoother" functions)

# Inductive Learning Framework

- Input data are represented by a **vector of features**,  $X$
- Each vector  $X$  is a list of (attribute, value) pairs.
  - Ex:  $x = [\text{nose:big}, \text{teeth:big}, \text{eyes:big}, \text{moustache:no}]$
- The number of attributes is fixed (positive, finite)
- Each attribute has a fixed, finite number of possible values
- Each example can be interpreted as a point in a  $n$ -dimensional feature space
  - where  $n$  is the number of attributes

Note: *attribute == feature*

# Example

has-hair?	has-scales?	has-feathers?	flies?	lives in water?	lays eggs?	
1	0	0	0	0	0	Dog
1	0	0	0	0	0	Cat
1	0	0	1	0	0	Bat
1	0	0	0	1	0	Whale
0	0	1	1	0	1	Canary
0	0	1	1	0	1	Robin
0	0	1	1	0	1	Ostrich
0	1	0	0	0	1	Snake
0	1	0	0	0	1	Lizard
0	1	0	0	1	1	Alligator

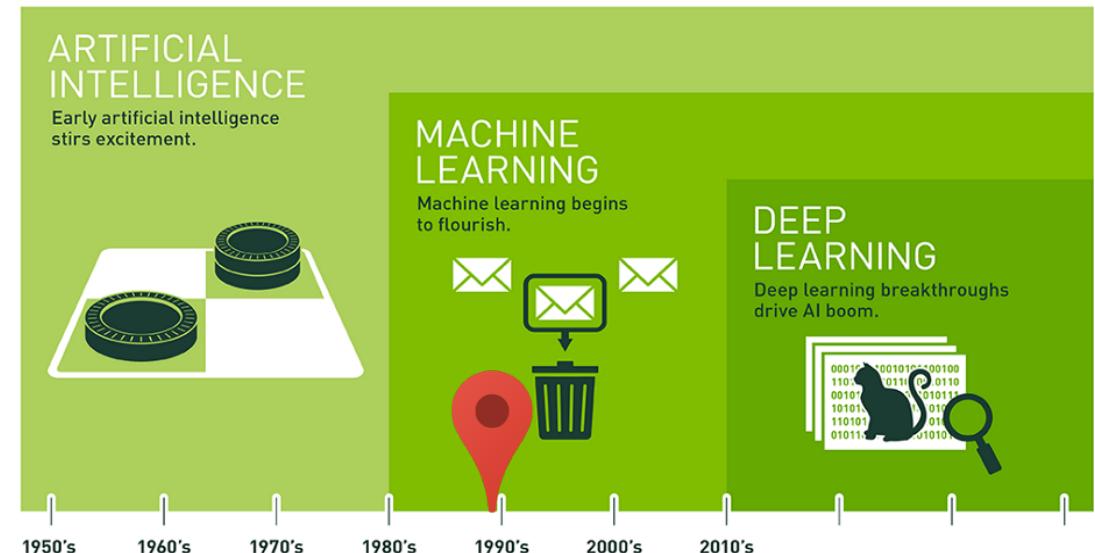
Real ML applications typically require hundreds, thousands or millions of examples

# Techniques in ML

- Probabilistic Methods
  - ex: Naïve Bayes Classifier
- Decision Trees
  - Use only discriminating features as questions in a big if-then-else tree
- Neural networks
  - Also called parallel distributed processing or connectionist systems
  - Intelligence arise from having a large number of simple computational units
- ...

# Today

1. Introduction to Machine Learning (contd.)
2. Decision Trees
3. Evaluation (contd.)
4. Unsupervised Learning: k-means Clustering



# Guess Who?



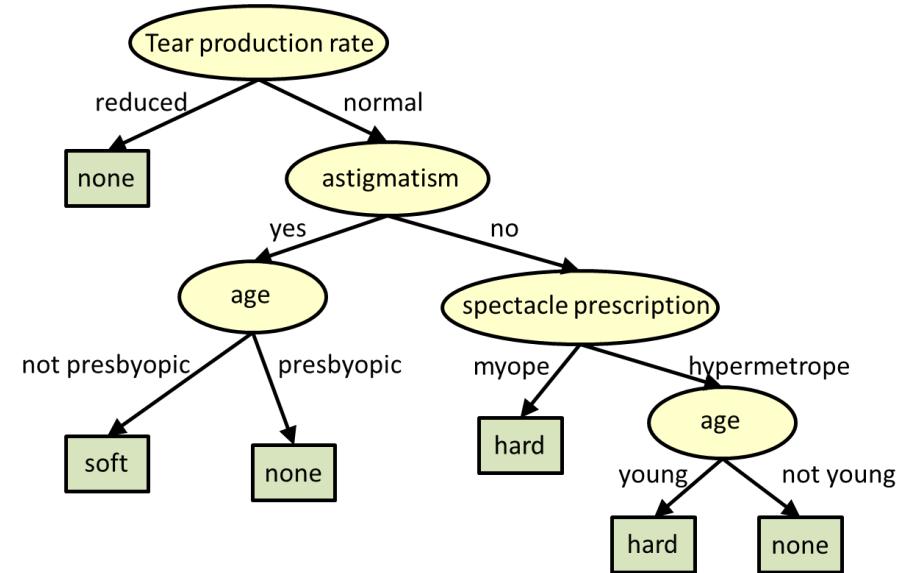
# Decision Trees

- Simple, but very successful form of learning algorithm
- Very well-known algorithm is ID3 (Quinlan, 1987) and its successor C4.5
- Look for features that are very good indicators of the result, place these features (as questions) in nodes of the tree
- Split the examples so that those with different values for the chosen feature are in a different set
- Repeat the same process with another feature

# ID3 / C4.5 Algorithm



- Top-down construction of the decision tree
- Recursive selection of the "best feature" to use at the current node in the tree
- Once the feature is selected for the current node, generate children nodes, one for each possible value of the selected attribute
- Partition the examples using the possible values of this attribute, and assign these subsets of the examples to the appropriate child node
- Repeat for each child node until all examples associated with a node are classified



# Example

Info on last year's students to determine if a student will get an 'A' this year

	Features (X)				Output f(X)
Student	'A' last year?	Black hair?	Works hard?	Drinks?	'A' this year?
X1: Richard	Yes	Yes	No	Yes	No
X2: Alan	Yes	Yes	Yes	No	Yes
X3: Alison	No	No	Yes	No	No
X4: Jeff	No	Yes	No	Yes	No
X5: Gail	Yes	No	Yes	Yes	Yes
X6: Simon	No	Yes	Yes	Yes	No

→ **Worksheet #4 (Decision Tree)**

# Example 2: The Restaurant

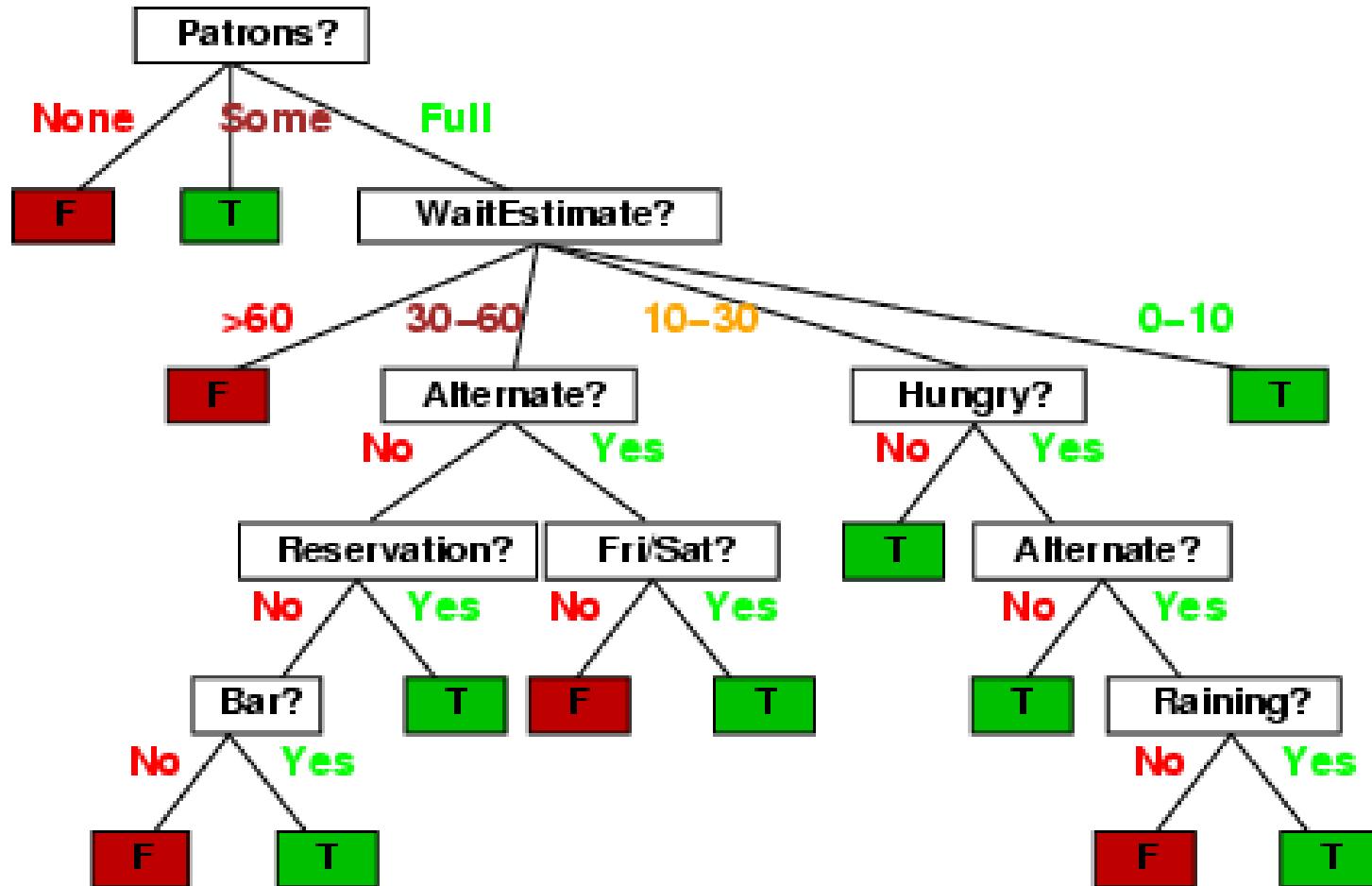
- Goal: learn whether one should wait for a table
- Attributes
  - **Alternate**: another suitable restaurant nearby
  - **Bar**: comfortable bar for waiting
  - **Fri/Sat**: true on Fridays and Saturdays
  - **Hungry**: whether one is hungry
  - **Patrons**: how many people are present (none, some, full)
  - **Price**: price range (\$, \$\$, \$\$\$)
  - **Raining**: raining outside
  - **Reservation**: reservation made
  - **Type**: kind of restaurant (French, Italian, Thai, Burger)
  - **WaitEstimate**: estimated wait by host (0-10 mins, 10-30, 30-60, >60)

# Example 2: The Restaurant

## ■ Training data:

Example	Attributes										Target Wait
	Alt	Bar	Fri	Hun	Pat	Price	Rain	Res	Type	Est	
$X_1$	T	F	F	T	Some	\$\$\$	F	T	French	0–10	T
$X_2$	T	F	F	T	Full	\$	F	F	Thai	30–60	F
$X_3$	F	T	F	F	Some	\$	F	F	Burger	0–10	T
$X_4$	T	F	T	T	Full	\$	F	F	Thai	10–30	T
$X_5$	T	F	T	F	Full	\$\$\$	F	T	French	>60	F
$X_6$	F	T	F	T	Some	\$\$	T	T	Italian	0–10	T
$X_7$	F	T	F	F	None	\$	T	F	Burger	0–10	F
$X_8$	F	F	F	T	Some	\$\$	T	T	Thai	0–10	T
$X_9$	F	T	T	F	Full	\$	T	F	Burger	>60	F
$X_{10}$	T	T	T	T	Full	\$\$\$	F	T	Italian	10–30	F
$X_{11}$	F	F	F	F	None	\$	F	F	Thai	0–10	F
$X_{12}$	T	T	T	T	Full	\$	F	F	Burger	30–60	T

# A First Decision Tree



- But is it the best decision tree we can build?

source: Norvig (2003)

# Ockham's Razor Principle

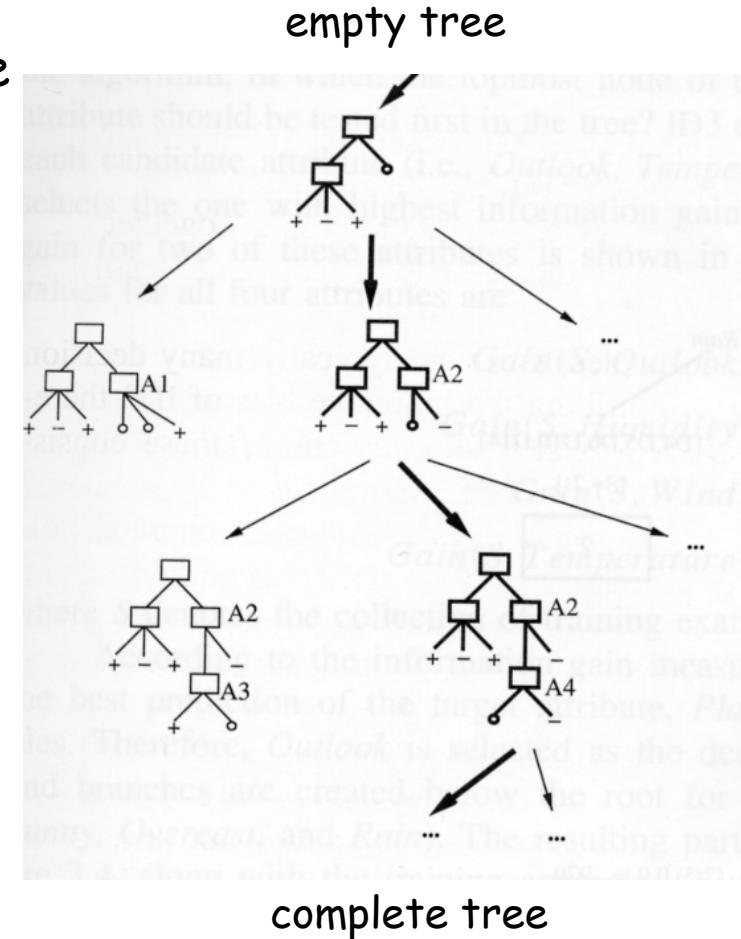
*"It is vain to do more than can be done with less... Entities should not be multiplied beyond necessity."*  
[Ockham, 1324]



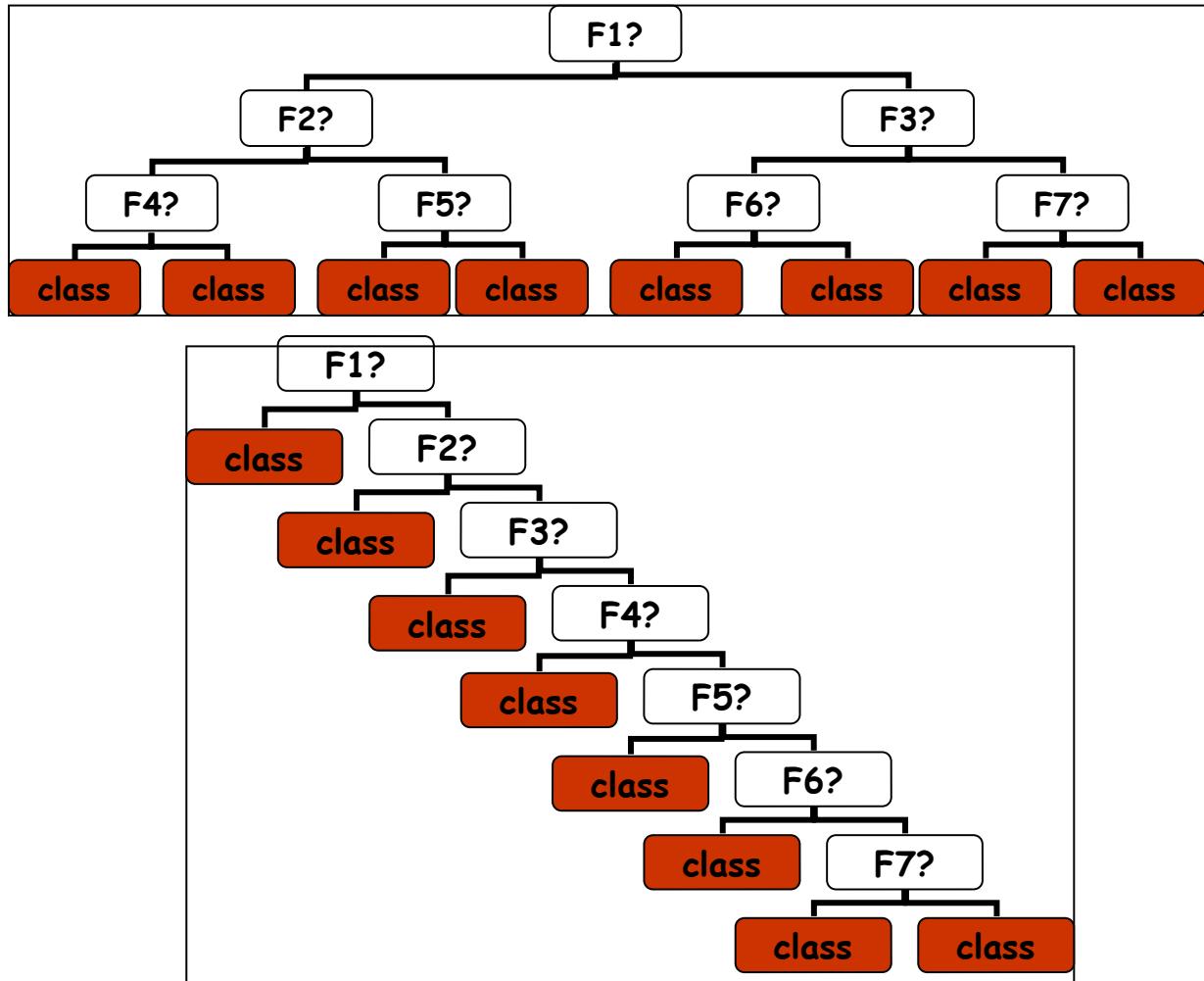
- In other words... always favor the simplest answer that correctly fits the training data
- i.e. the smallest tree on average
- This type of assumption is called **inductive bias**
  - inductive bias = making a choice beyond what the training instances contain

# Finding the Best Tree

- can be seen as searching the space of all possible decision trees
- Inductive bias: prefer shorter trees on average
- how?
- search the space of all decision trees
  - always pick the next attribute to split the data based on its "discriminating power" (information gain)
  - in effect, steepest ascent hill-climbing search where heuristic is information gain



# Which Tree is Best?



# Smaller trees are better

What's the **size** of a tree?

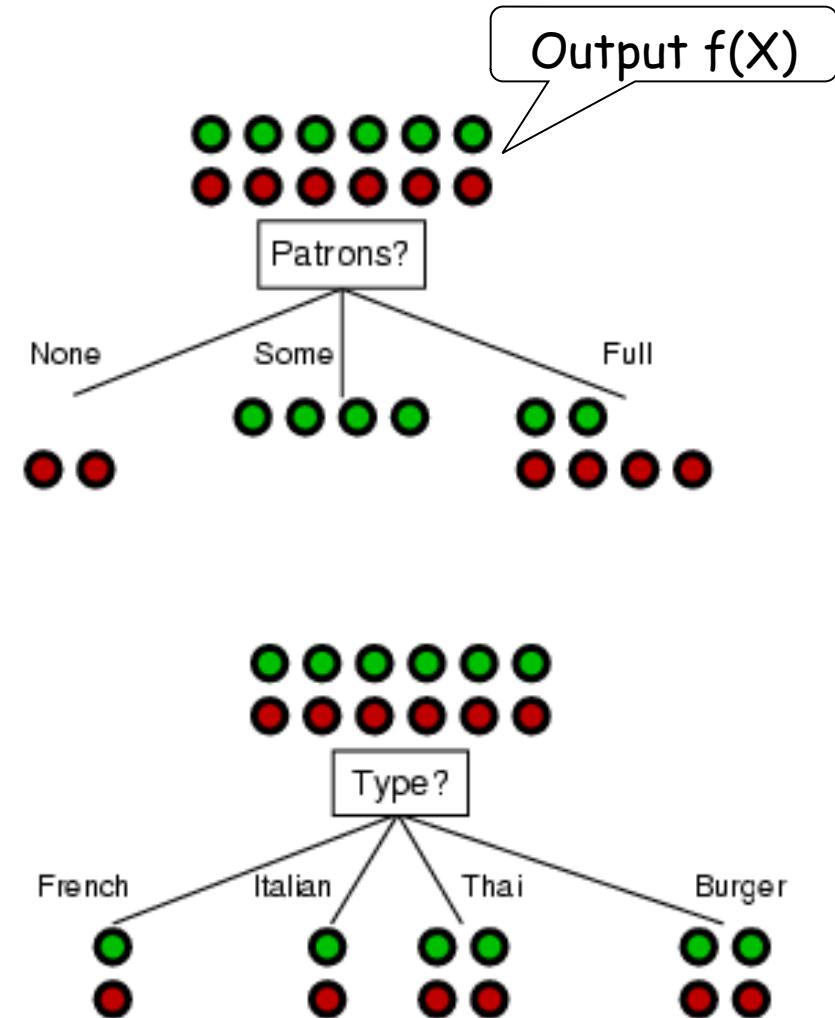
- **Number of leaves**
- **Height of the tree**
  - Longest path in the tree from the root to a leaf
- **External Path Length**
  - Start at leaf, go up to the root and count the number of edges
  - Do this for every leaf and add up the numbers
- **Weighted External Path Length**
  - Idea: not all paths are equally important/likely
  - Use the training data to compute a weighted sum

# Choosing the Next Attribute

- The key problem is choosing which feature to split a given set of examples
- ID3 uses Maximum Information-Gain:
  - Choose the attribute that has the largest information gain
    - i.e., the attribute that will result in the smallest expected size of the subtrees rooted at its children
    - information theory

# Intuitively...

- *Patron:*
  - If value is *Some*... all outputs=Yes
  - If value is *None*... all outputs=No
  - If value is *Full*... we need more tests
- *Type:*
  - If value is *French*... we need more tests
  - If value is *Italian*... we need more tests
  - If value is *Thai*... we need more tests
  - If value is *Burger*... we need more tests
- ...
- So *patron* may lead to shorter tree...

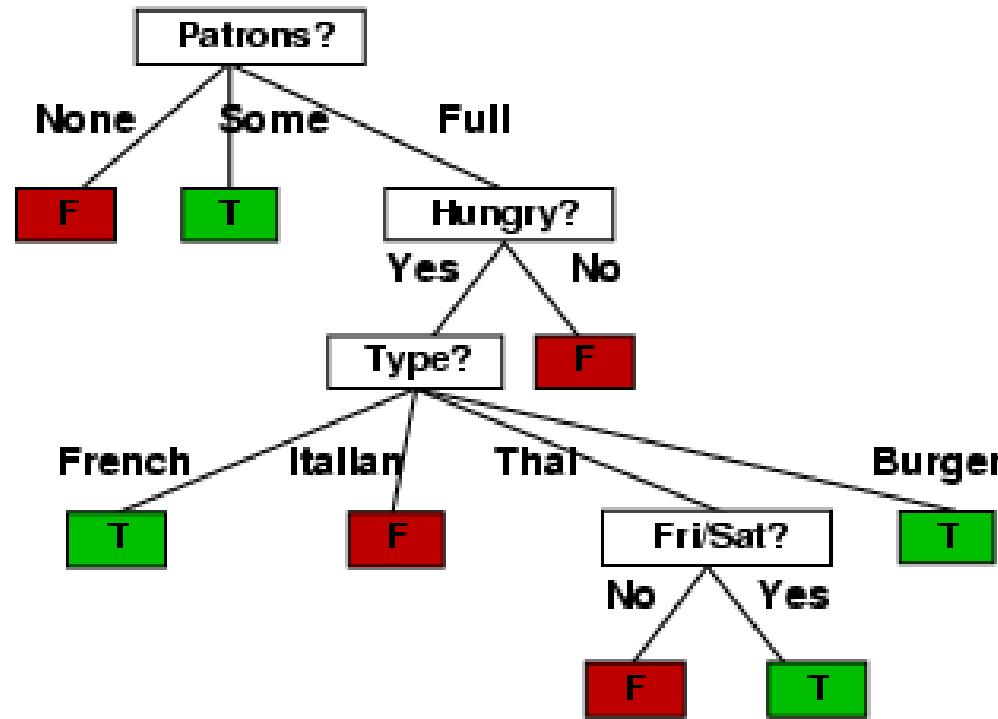


# Next Feature...

- For only data where *patron* = *Full*
- *hungry*:
  - If value is *Yes*... we need more tests
  - If value is *No*... all output = *No*
- *type*:
  - If value is *French*... all output = *No*
  - If value is *Italian*... all output = *No*
  - If value is *Thai*... we need more tests
  - If value is *Burger*... we need more tests
- ...
- So *hungry* is more discriminating (only 1 new branch)...

# A Better Decision Tree

- 4 tests instead of 9
- 11 branches instead of 21



# Essential Information Theory

- Developed by Shannon in the 1940s
- Notion of entropy (information content)
- Measure how "predictable" a RV is...
  - If you already have a good idea about the answer (e.g. 90/10 split)  
→ low entropy
  - If you have no idea about the answer (e.g. 50/50 split)  
→ high entropy

Dartmouth Conference: The Founding Fathers of AI



John McCarthy



Marvin Minsky



Claude Shannon



Ray Solomonoff

Alan Newell



Herbert Simon



Arthur Samuel



And three others...  
Oliver Selfridge  
(Pandemonium theory)  
Nathaniel Rochester  
(IBM, designed 701)  
Trenchard More  
(Natural Deduction)

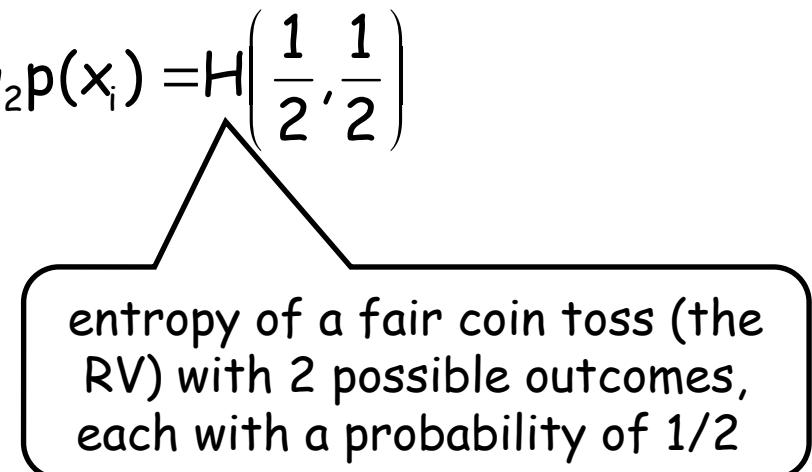
# Choosing the Next Attribute

- The key problem is choosing which feature to split a given set of examples
- Most used strategy: information theory

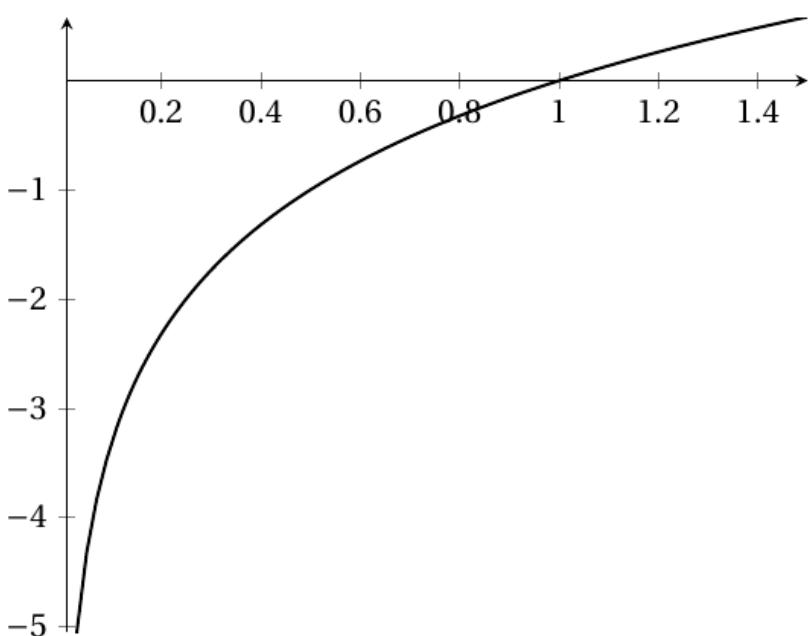
$$H(X) = - \sum_{x_i \in X} p(x_i) \log_2 p(x_i) \quad \text{Entropy (or information content)}$$

$$H(\text{fair coin toss}) = - \sum_{x_i \in X} p(x_i) \log_2 p(x_i) = H\left(\frac{1}{2}, \frac{1}{2}\right)$$

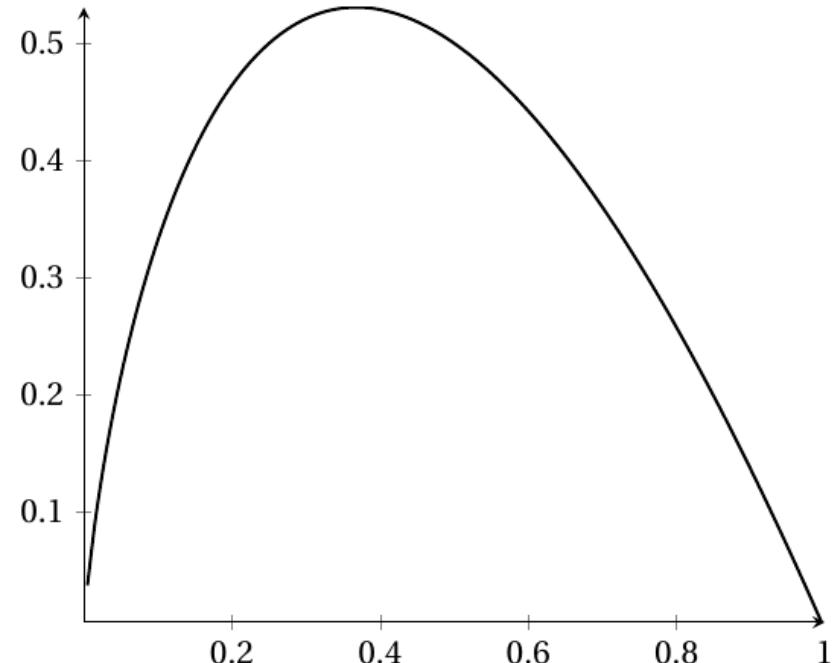
$$= \left( \frac{1}{2} \log_2 \frac{1}{2} + \frac{1}{2} \log_2 \frac{1}{2} \right) = 1 \text{ bit}$$



# Why $-p(x) \cdot \log_2(p(x))$



$$f(x) = \log_2(x)$$



$$f(x) = -x \cdot \log_2(x)$$

# Entropy

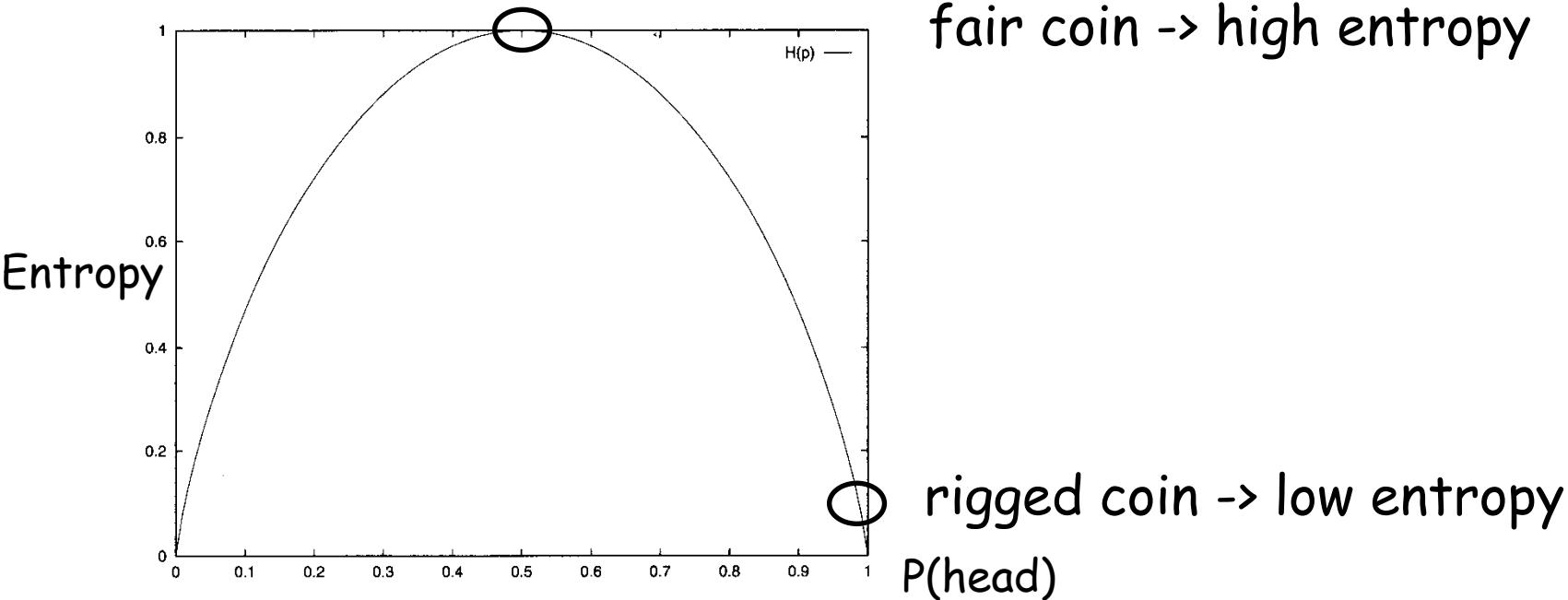
- Let  $X$  be a discrete random variable (RV) with  $n$  possible outcomes  $x_1 \dots x_n$
- Entropy (or information content)

$$H(X) = - \sum_{i=1}^n p(x_i) \log_2 p(x_i)$$

- measures the *amount of information* in a RV
  - average uncertainty* of a RV
  - the average length of the message* needed to transmit an outcome  $x_i$  of that variable
- measured in bits
- for only 2 outcomes  $x_1$  and  $x_2$ , then  $1 \geq H(X) \geq 0$

# Example: The Coin Flip

- Fair coin:  $H(X) = - \sum_{i=1}^n p(x_i) \log_2 p(x_i) = - \left( \frac{1}{2} \log_2 \frac{1}{2} + \frac{1}{2} \log_2 \frac{1}{2} \right) = 1 \text{ bit}$
- Rigged coin:  $H(X) = - \sum_{i=1}^n p(x_i) \log_2 p(x_i) = - \left( \frac{99}{100} \log_2 \frac{99}{100} + \frac{1}{100} \log_2 \frac{1}{100} \right) = 0.08 \text{ bits}$



# Choosing the Best Feature (con't)

- The "discriminating power" of an attribute  $A$  given a data set  $S$
- Let  $\text{Values}(A) = \text{the set of values that attribute } A \text{ can take}$
- Let  $S_v = \text{the set of examples in the data set which have value } v \text{ for attribute } A$  (for each value  $v$  from  $\text{Values}(A)$ )

information gain  
(or entropy reduction)

$$\begin{aligned}\text{gain}(S, A) &= H(S) - H(S|A) \\ &= H(S) - \sum_{v \in \text{values}(A)} \frac{|S_v|}{|S|} \times H(S_v)\end{aligned}$$

# Some Intuition

Size	Color	Shape	Output
Big	Red	Circle	+
Small	Red	Circle	+
Small	Red	Square	-
Big	Blue	Circle	-

- *Size* is the least discriminating attribute (i.e. smallest information gain)
- *Shape* and *color* are the most discriminating attributes (i.e. highest information gain)

# A Small Example (1)

Size	Color	Shape	Output
Big	Red	Circle	+
Small	Red	Circle	+
Small	Red	Square	-
Big	Blue	Circle	-

$$H(S) = - \left( \frac{2}{4} \log_2 \frac{2}{4} + \frac{2}{4} \log_2 \frac{2}{4} \right) = 1$$

for each v of  $\text{Values}(\text{Color})$

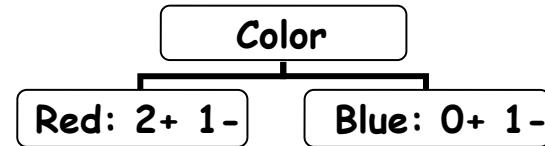
$$H(S | \text{Color} = \text{red}) = H\left(\frac{2}{3}, \frac{1}{3}\right) = - \left( \frac{2}{3} \log_2 \frac{2}{3} + \frac{1}{3} \log_2 \frac{1}{3} \right) = 0.918$$

$$H(S | \text{Color} = \text{blue}) = H(1, 0) = - \left( \frac{1}{1} \log_2 \frac{1}{1} \right) = 0$$

$$H(S | \text{Color}) = \frac{3}{4}(0.918) + \frac{1}{4}(0) = 0.6885$$

$$\text{gain}(\text{Color}) = H(S) - H(S | \text{Color}) = 1 - 0.6885 = 0.3115$$

$$\text{Values}(\text{Color}) = \{\text{red}, \text{blue}\}$$



$$\text{gain}(S, \text{Color}) = H(S) - \sum_{v \in \text{values}(\text{Color})} \frac{|S_v|}{|S|} \times H(S_v)$$

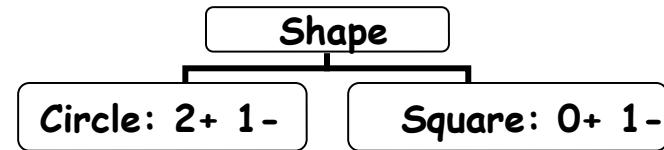
# A Small Example (2)

Size	Color	Shape	Output
Big	Red	Circle	+
Small	Red	Circle	+
Small	Red	Square	-
Big	Blue	Circle	-

$$H(S) = - \left( \frac{2}{4} \log_2 \frac{2}{4} + \frac{2}{4} \log_2 \frac{2}{4} \right) = 1$$

$$H(S|Shape) = \frac{3}{4}(0.918) + \frac{1}{4}(0) = 0.6885$$

$$\text{gain(Shape)} = H(S) - H(S|Shape) = 1 - 0.6885 = 0.3115$$

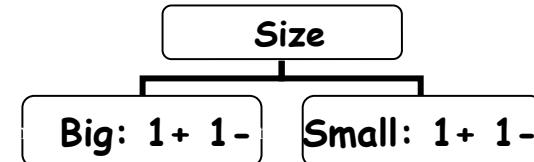


Note: by definition,

- $\log 0 = -\infty$
- $0 \log 0$  is 0

# A Small Example (3)

Size	Color	Shape	Output
Big	Red	Circle	+
Small	Red	Circle	+
Small	Red	Square	-
Big	Blue	Circle	-



$$H(S) = - \left( \frac{2}{4} \log_2 \frac{2}{4} + \frac{2}{4} \log_2 \frac{2}{4} \right) = 1$$

→ Worksheet #4 (“Information Gain”)

# A Small Example (4)

Size	Color	Shape	Output
Big	Red	Circle	+
Small	Red	Circle	+
Small	Red	Square	-
Big	Blue	Circle	-

$$\text{gain}(\text{Shape}) = 0.3115$$

$$\text{gain}(\text{Color}) = 0.3115$$

$$\text{gain}(\text{Size}) = 0$$

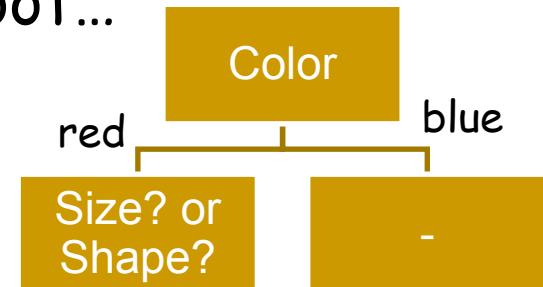
- So first separate according to either *color* or *shape* (root of the tree)

# A Small Example (5)

- Let's assume we pick *Color* for the root...

Size	Color	Shape	Output
Big	Red	Circle	+
Small	Red	Circle	+
Small	Red	Square	-
Big	Blue	Circle	-

$S_2$



$$H(S_2) = - \left( \frac{2}{3} \log_2 \frac{2}{3} + \frac{1}{3} \log_2 \frac{1}{3} \right)$$

for each v of Values(Size)

$$H(S_2 | \text{Size} = \text{big}) = H\left(\frac{1}{1}, \frac{0}{1}\right) = 0$$

$$H(S_2 | \text{Size} = \text{small}) = H\left(\frac{1}{2}, \frac{1}{2}\right) = 1$$

$$H(S_2 | \text{Size}) = \frac{1}{3}(0) + \frac{2}{3}(1)$$

$$\text{gain}(\text{Size}) = H(S_2) - H(S_2 | \text{Size})$$

for each v of Values(Shape)

$$H(S_2 | \text{Shape} = \text{circle}) = H\left(\frac{2}{2}, \frac{0}{2}\right) = 0$$

$$H(S_2 | \text{Shape} = \text{square}) = H\left(\frac{0}{1}, \frac{1}{1}\right) = 0$$

$$H(S_2 | \text{Shape})$$

$$\text{gain}(\text{Shape}) = H(S_2) - H(S_2 | \text{Shape})$$

# Back to the Restaurant

## ■ Training data:

Example	Attributes										Target Wait
	Alt	Bar	Fri	Hun	Pat	Price	Rain	Res	Type	Est	
$X_1$	T	F	F	T	Some	\$\$\$	F	T	French	0–10	T
$X_2$	T	F	F	T	Full	\$	F	F	Thai	30–60	F
$X_3$	F	T	F	F	Some	\$	F	F	Burger	0–10	T
$X_4$	T	F	T	T	Full	\$	F	F	Thai	10–30	T
$X_5$	T	F	T	F	Full	\$\$\$	F	T	French	>60	F
$X_6$	F	T	F	T	Some	\$\$	T	T	Italian	0–10	T
$X_7$	F	T	F	F	None	\$	T	F	Burger	0–10	F
$X_8$	F	F	F	T	Some	\$\$	T	T	Thai	0–10	T
$X_9$	F	T	T	F	Full	\$	T	F	Burger	>60	F
$X_{10}$	T	T	T	T	Full	\$\$\$	F	T	Italian	10–30	F
$X_{11}$	F	F	F	F	None	\$	F	F	Thai	0–10	F
$X_{12}$	T	T	T	T	Full	\$	F	F	Burger	30–60	T

# The Restaurant Example

gain(alt) =... gain(bar) =... gain(fri) =... gain(hun) =...

$$\begin{aligned}\text{gain(pat)} &= 1 - \left( \frac{2}{12} \times H\left(\frac{0}{2}, \frac{2}{2}\right) + \frac{4}{12} \times H\left(\frac{0}{4}, \frac{4}{4}\right) + \frac{6}{12} \times H\left(\frac{2}{6}, \frac{4}{6}\right) \right) \\ &= 1 - \left( \frac{2}{12} \times -\left( \frac{0}{2} \log_2 \frac{0}{2} + \frac{2}{2} \log_2 \frac{2}{2} \right) + \frac{4}{12} \times -\left( \frac{0}{4} \log_2 \frac{0}{4} + \frac{4}{4} \log_2 \frac{4}{4} \right) + \dots \right) \approx 0.541 \text{ bits}\end{aligned}$$

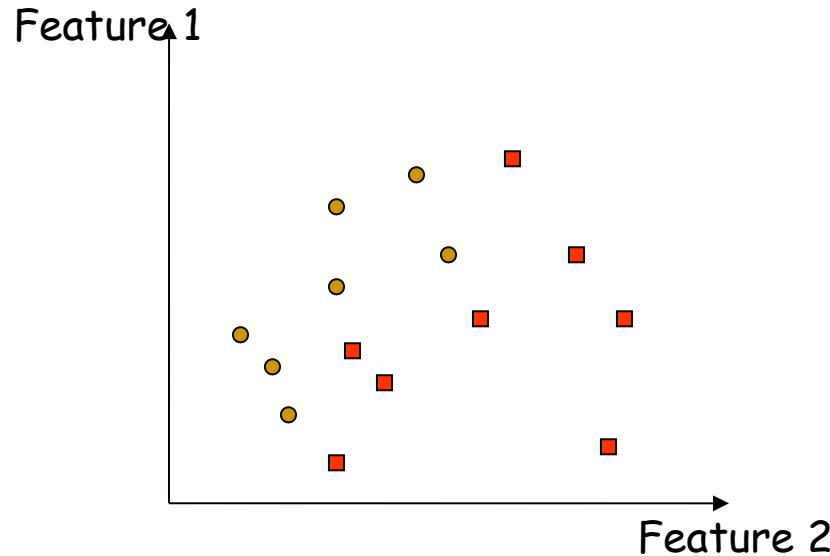
gain(price) =... gain(rain) =... gain(res) =...

$$\text{gain(type)} = 1 - \left( \frac{2}{12} \times H\left(\frac{1}{2}, \frac{1}{2}\right) + \frac{2}{12} \times H\left(\frac{1}{2}, \frac{1}{2}\right) + \frac{4}{12} \times H\left(\frac{2}{4}, \frac{2}{4}\right) + \frac{4}{12} \times H\left(\frac{2}{4}, \frac{2}{4}\right) \right) = 0 \text{ bits}$$

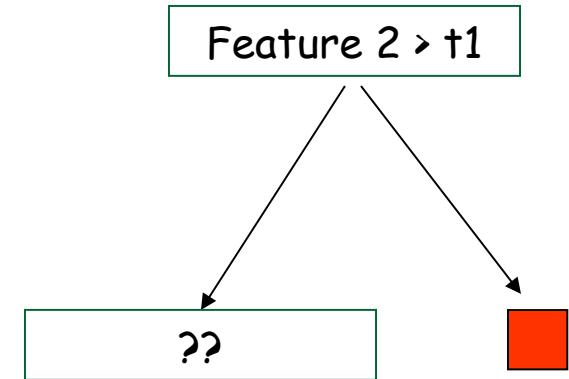
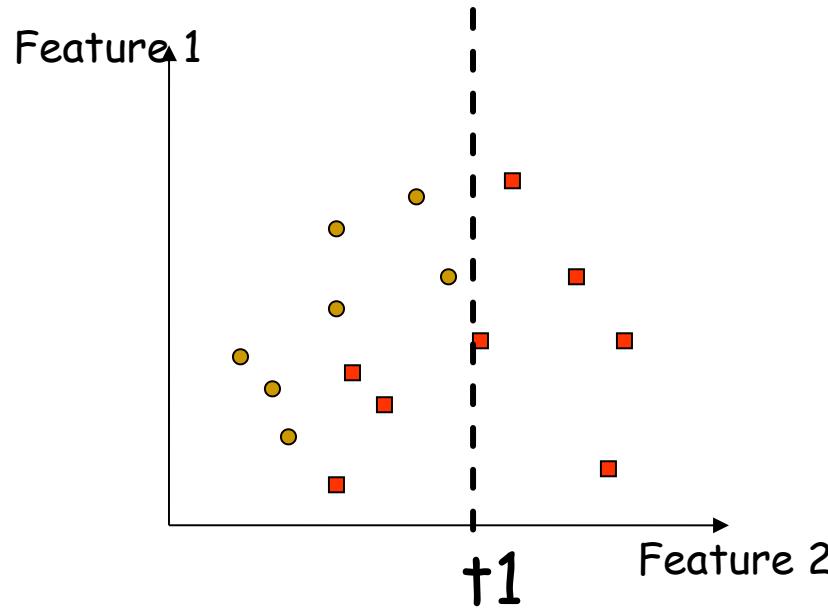
gain(est) =...

- Attribute pat (Patron) has the highest gain, so root of the tree should be attribute *Patrons*
- do recursively for subtrees

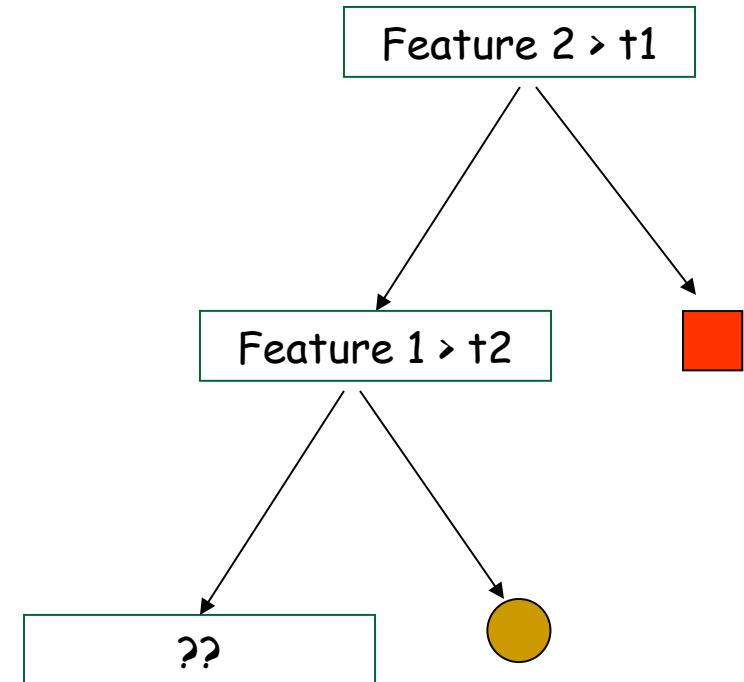
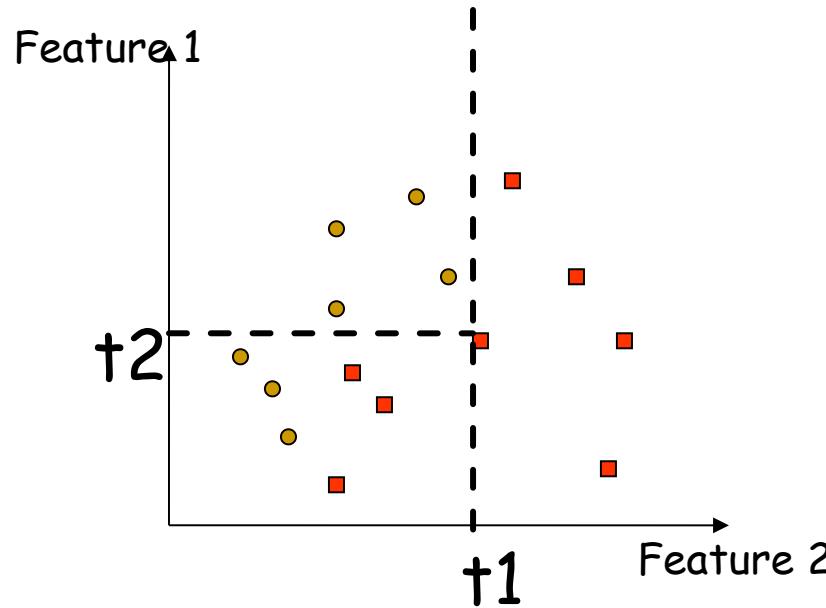
# Decision Boundaries of Decision Trees



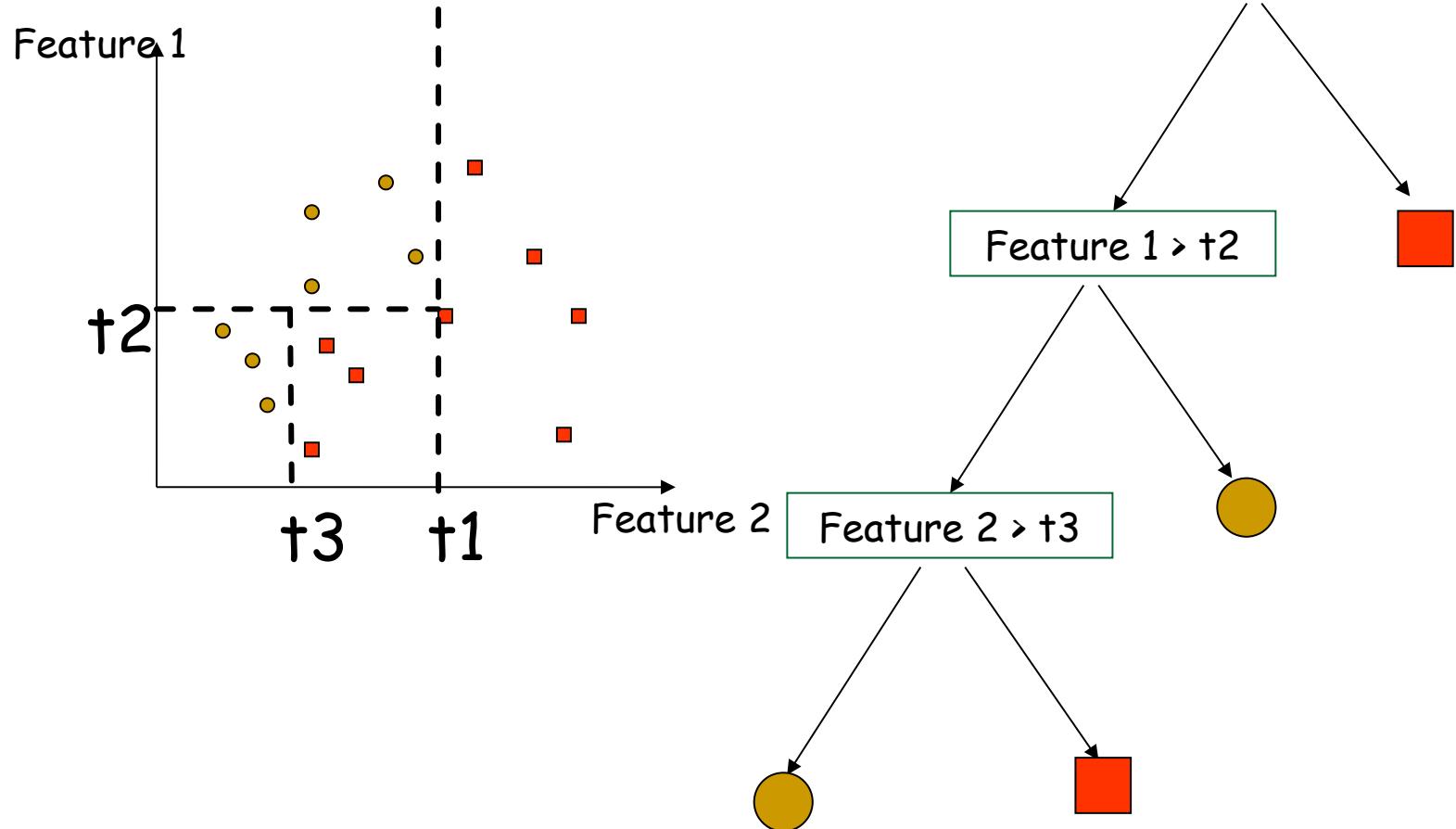
# Decision Boundaries of Decision Trees



# Decision Boundaries of Decision Trees



# Decision Boundaries of Decision Trees



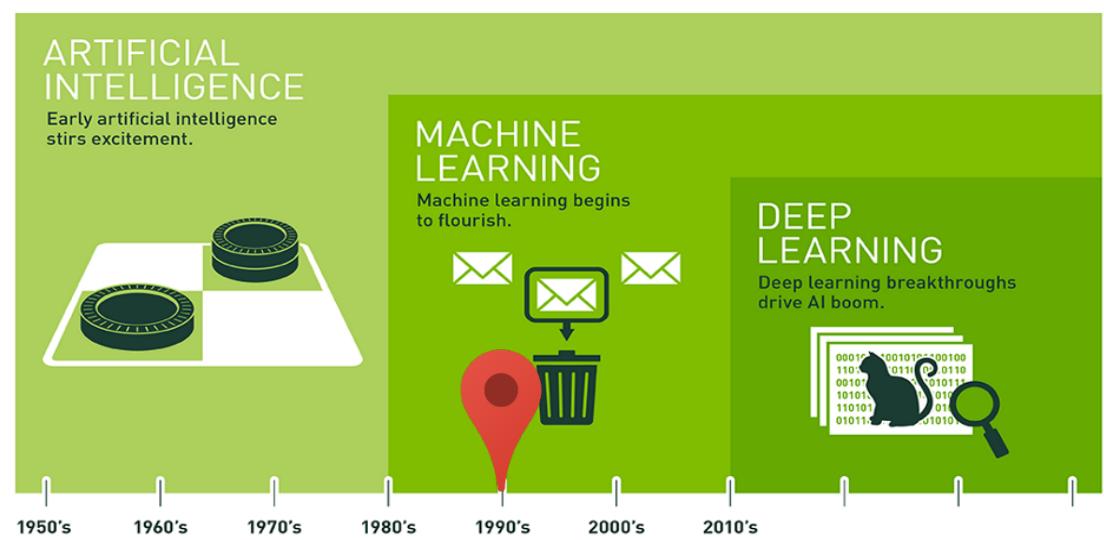
# Applications of Decision Trees

- One of the most widely used learning methods in practice
  - Fast, simple, and traceable (explainable AI!)
- Can out-perform human experts in many problems

# Today

1. Introduction to ML (contd )
2. Decision Trees
3. Evaluation (contd.)
4. Unsupervised Learning: k-means Clustering

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# Metrics, revisited

- Accuracy
  - % of instances of the test set the algorithm correctly classifies
  - when all classes are equally important and represented
- Recall, Precision & F-measure
  - when one class is more important and the others

# Confusion Matrix

- Not all errors are equal
  - Type I error (FP) might be worse than Type II error (FN) (depends on the application, e.g., spam filtering)
  - *"It is better to risk saving a guilty man than to condemn an innocent one."* (Voltaire)

Model says...	In reality, the instance is...	
	in class C	Is not in class C
instance is in class C	True Positive (TP)	False Positive (FP)
instance is NOT in class C	False Negative (FN)	True Negative (TN)

$$\text{Precision} = \frac{\text{TP}}{\text{TP} + \text{FP}}$$
$$\text{Recall} = \frac{\text{TP}}{\text{TP} + \text{FN}}$$
$$\text{Accuracy} = \frac{\text{TP} + \text{TN}}{\text{TP} + \text{TN} + \text{FP} + \text{FN}}$$

# Evaluation: A Single Value Measure

- cannot take mean of P&R

- if  $R = 50\% \quad P = 50\% \quad M = 50\%$
  - if  $R = 100\% \quad P = 10\% \quad M = 55\% \text{ (not fair)}$

- take harmonic mean

$$HM = \frac{2}{\frac{1}{R} + \frac{1}{P}}$$

HM is high only when both P&R are high  
if  $R = 50\% \text{ and } P = 50\% \quad HM = 50\%$   
if  $R = 100\% \text{ and } P = 10\% \quad HM = 18.2\%$

- take weighted harmonic mean

$w_r$ : weight of R

$w_p$ : weight of P

$a = 1/w_r$

$b = 1/w_p$

$$WHM = \frac{a+b}{\left(\frac{a}{R} + \frac{b}{P}\right)} = \frac{(a+b)/b}{\left(\frac{a}{Rb} + \frac{b}{Pb}\right)} = \frac{a/b+1}{\left(\frac{a}{bR} + \frac{1}{P}\right)}$$

- let  $\beta^2 = a/b$

$$WHM = \frac{\beta^2 + 1}{\left(\frac{\beta^2}{R} + \frac{1}{P}\right)} = \frac{(\beta^2 + 1) PR}{\beta^2 P + R}$$

... which is called the F-measure

# Evaluation: the F-measure

- A weighted combination of precision and recall

$$F = \frac{(\beta^2 + 1)PR}{(\beta^2P + R)}$$

- $\beta$  represents the relative importance of precision and recall
  - when  $\beta = 1$ , precision & recall have same importance
  - when  $\beta > 1$ , precision is favored
  - when  $\beta < 1$ , recall is favored

# Example

	<i>Target</i>	<i>system 1</i>	<i>system 2</i>	<i>system 3</i>
	X1 ✓	X1 ✗	X1 ✓	X1 ✓
	X2 ✓	X2 ✗	X2 ✗	X2 ✓
	X3 ✓	X3 ✗	X3 ✓	X3 ✓
	X4 ✓	X4 ✗	X4 ✓	X4 ✓
	X5 ✓	X5 ✗	X5 ✗	X5 ✓
	X6 ✗	X6 ✗	X6 ✗	X6 ✓
	X7 ✗	X7 ✗	X7 ✗	X7 ✓
	...	...	...	...
	...	...	...	...
	X500 ✗	X500 ✗	X500 ✗	X500 ✗
<i>Accuracy</i>		$495 / 500 = 99 \% !$	$498 / 500 = 99.6 \%$	$498 / 500 = 99.6 \%$
<i>Precision</i>		0/0	$3 / 3 = 100 \%$	$5 / 7 = 71 \%$
<i>Recall</i>		$0 / 5 = 0 \%$	$3 / 5 = 60 \%$	$5 / 5 = 100 \%$
<i>F1 -measure (B=1)</i>		Undef	$2PR / P+R =$	$2PR / P+R =$

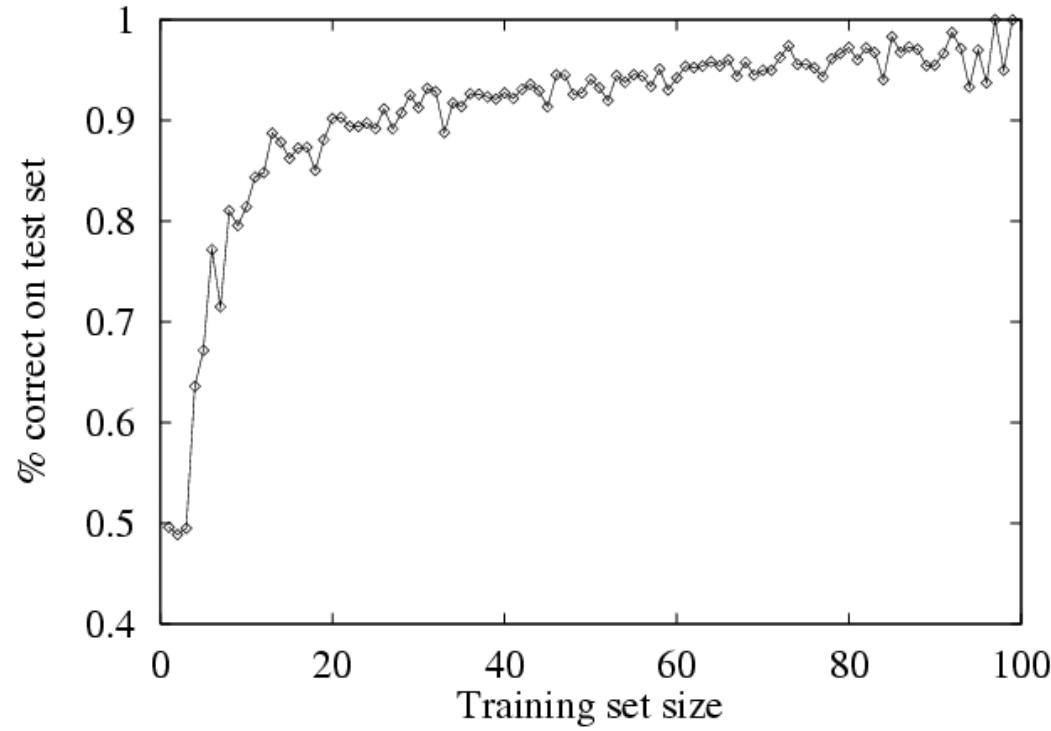
→ Worksheet #4 (F-Measure)

# Error Analysis

- Where did the learner go wrong ?
- Use a confusion matrix / contingency table

correct class (that should have been assigned)	classes assigned by the learner							Total
	C1	C2	C3	C4	C5	C6	...	
C1	94	3	0	0	3	0		100
C2	0	93	3	4	0	0		100
C3	0	1	94	2	1	2		100
C4	0	1	3	94	2	0		100
C5	0	0	3	2	92	3		100
C6	0	0	5	0	10	85		100
...								

# A Learning Curve



- Size of training set
  - the more, the better
  - but after a while, not much improvement...

# Some Words on Training

- In all types of learning... watch out for:
  - Noisy input
  - Overfitting/underfitting the training data

# Noisy Input

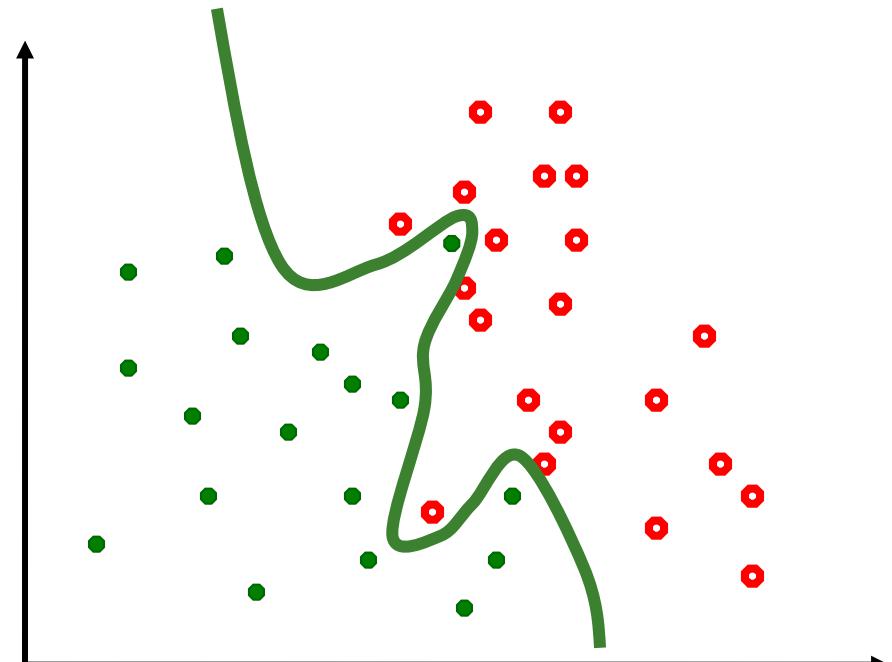
- In all types of learning... watch out for:
  - Noisy Input:
    - Two examples have the same feature-value pairs, but different outputs

Size	Color	Shape	Output
Big	Red	Circle	+
Big	Red	Circle	-

- Some values of features are incorrect or missing (ex. errors in the data acquisition)
- Some relevant attributes are not taken into account in the data set

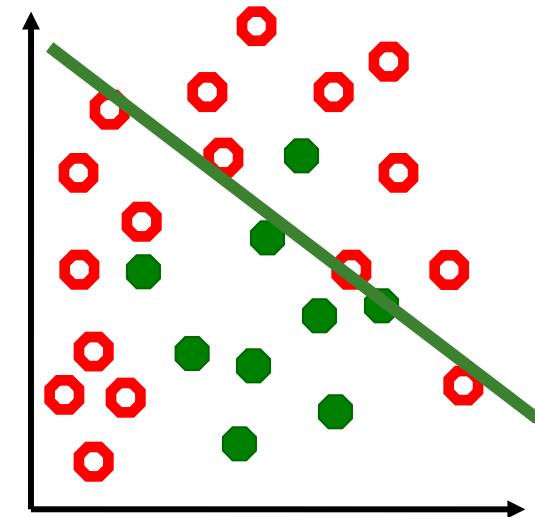
# Overfitting

- If a large number of irrelevant features are there, we may find meaningless regularities in the data that are particular to the training data but irrelevant to the problem.
- Complicated boundaries *overfit* the data
- they are too tuned to the particular training data at hand
- They do not *generalize* well to the new data
- Extreme case: “rote learning”
- Training error is low
- Testing error is high



# Underfitting

- We can also underfit data, i.e. use too simple decision boundary
- Model is not expressive enough (not enough features)
- There is no way to fit a linear decision boundary so that the training examples are well separated
- Training error is high
- Testing error is high



# Cross-validation

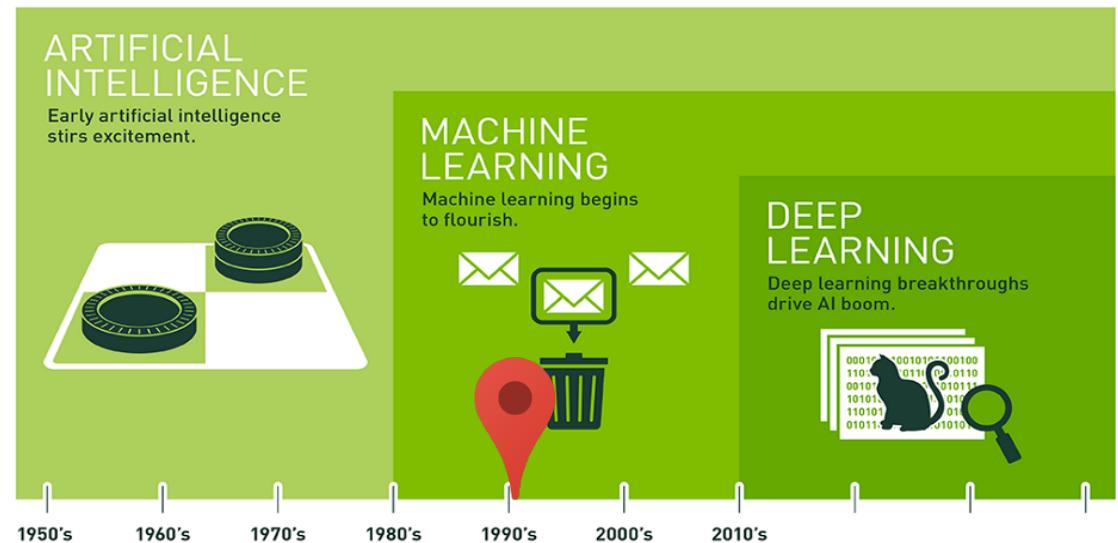
- K-fold cross-validation
  - run k experiments, each time you test on  $1/k$  of the data, and train on the rest
  - then you average the results
- ex: 10-fold cross validation
  1. Collect a large set of examples (all with correct classifications)
  2. Divide collection into two disjoint sets: **training (90%)** and **test (10% =  $1/k$ )**
  3. Apply learning algorithm to training set
  4. Measure performance with the test set
  5. Repeat steps 2-4, with the 10 different portions
  6. **Average the results of the 10 experiments**

exp1:	train								test
exp2:	train								test
exp3:	train							test	train
...	...	...	...	...	...	...	...	...	...

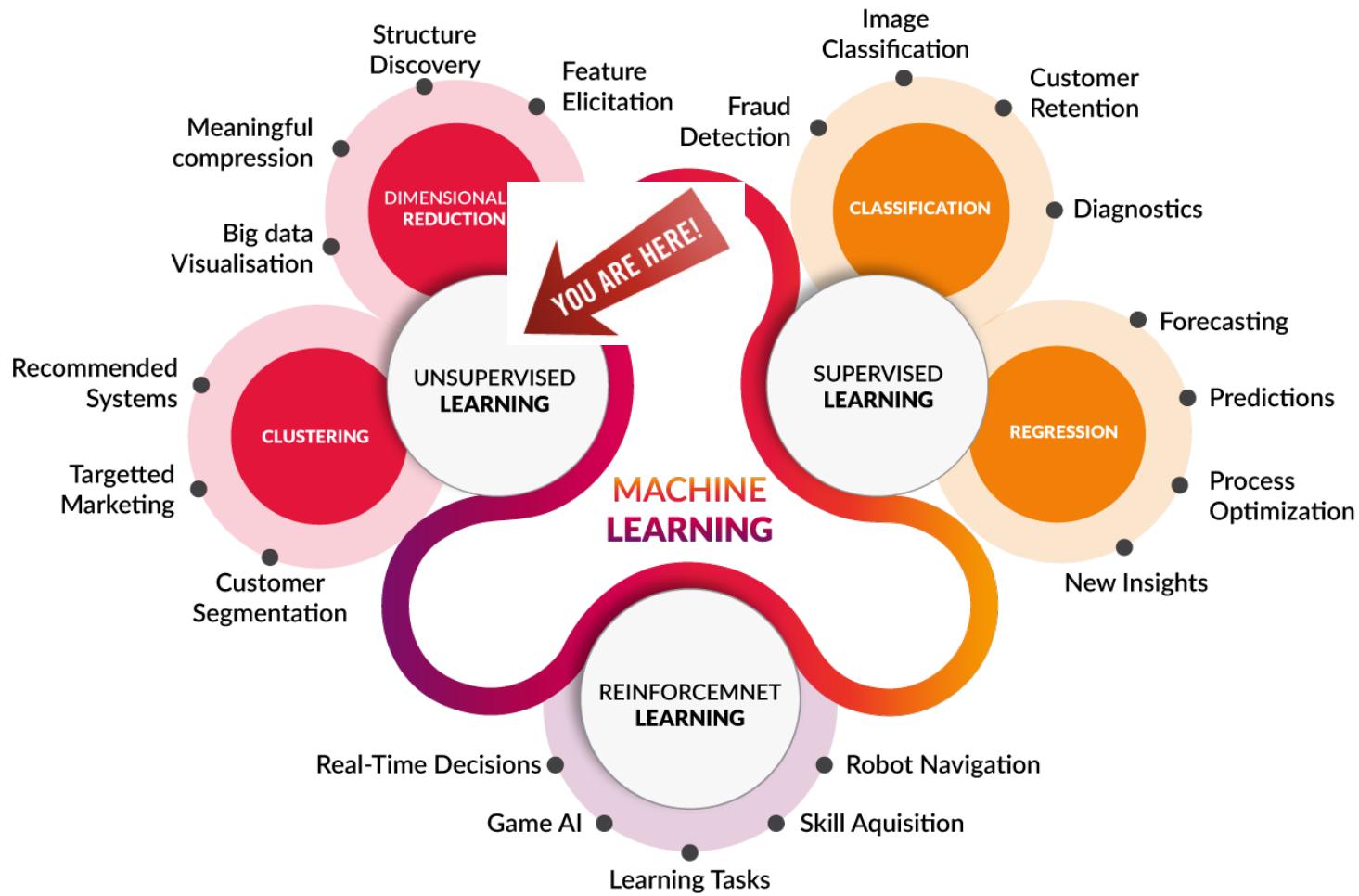
# Today

1. Introduction to ML (contd.)
2. Decision Trees
3. Evaluation (contd.)
4. Unsupervised Learning: k-means Clustering

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# Types of Machine Learning



# Remember this slide?

## Types of Learning

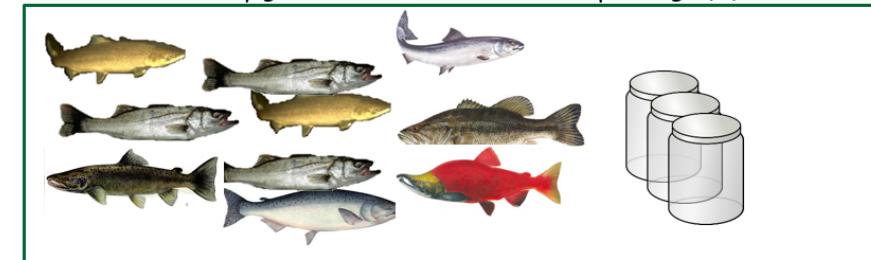
### ■ Supervised learning

- We are given a training set of  $(X, f(X))$  pairs
- $X = \langle \text{color, length} \rangle$



### ■ Unsupervised learning

- We are only given the  $X$ s - not the corresponding  $f(X)$



4

# Unsupervised Learning

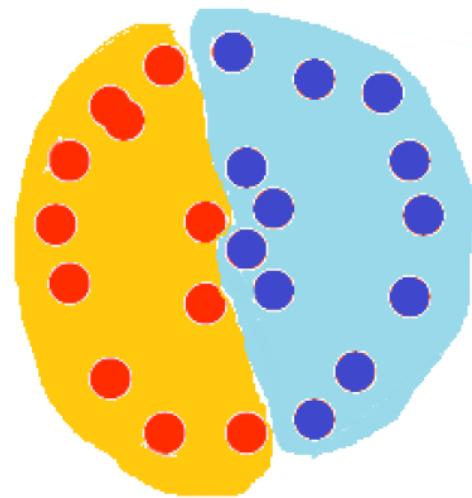
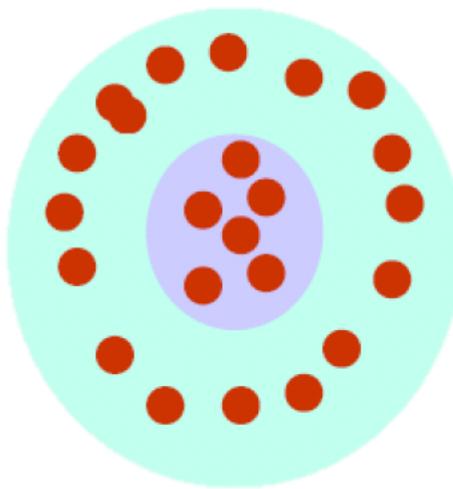


- Learn without labeled examples
  - i.e.  $X$  is given, but not  $f(X)$ 

small nose	big teeth	small eyes	moustache	$f(X) = ?$
------------	-----------	------------	-----------	------------
- Without a  $f(X)$ , you can't really identify/label a test instance
- But you can:
  - Cluster/group the features of the test data into a number of groups
  - Discriminate between these groups without actually labeling them

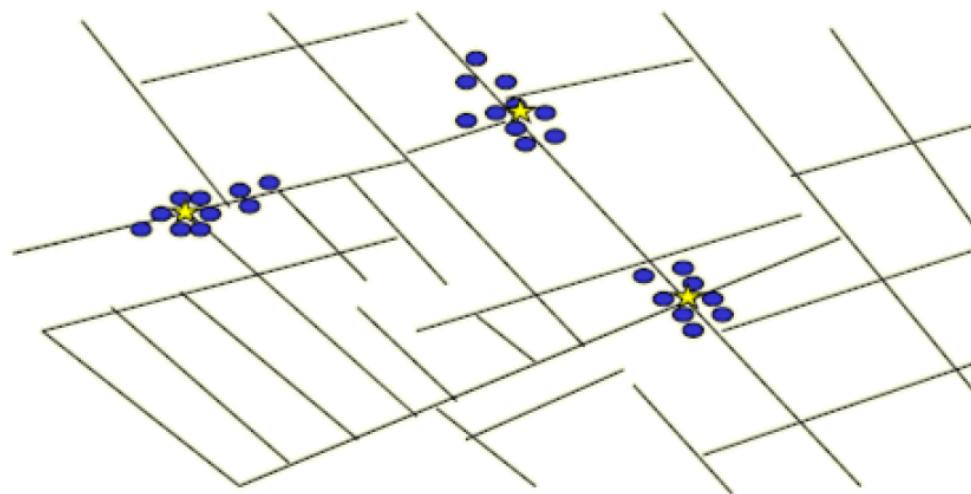
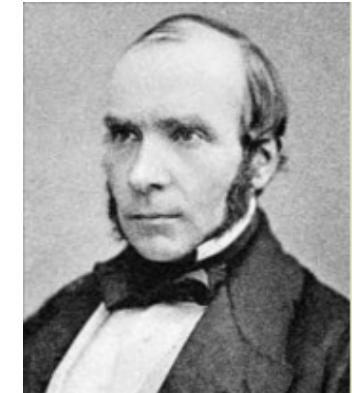
# What is Clustering

- The organization of unlabeled data into similarity groups called **clusters**.
- A cluster is a collection of data items which are “**similar**” between them, and “**dissimilar**” to data items in other clusters.



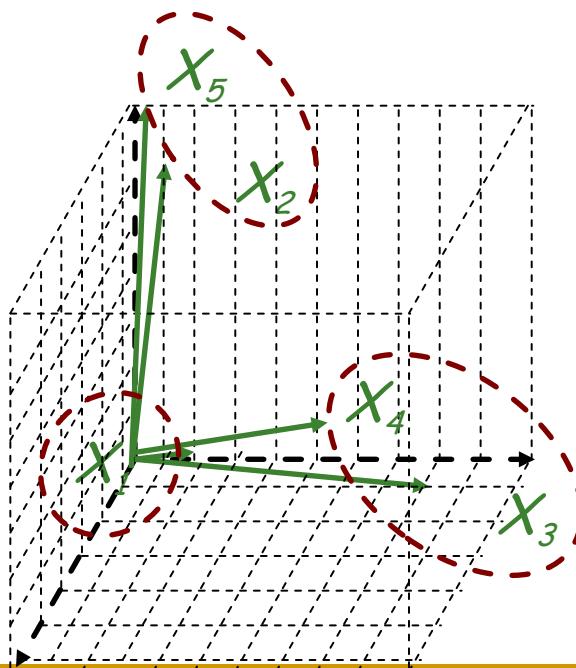
# Historic Application of Clustering

- John Snow, a London physician plotted the location of cholera on a map during an outbreak in the 1850s.
- The locations indicated that cases were clustered around certain intersections where there were polluted wells - thus exposing both the problem and the solution.



# Clustering

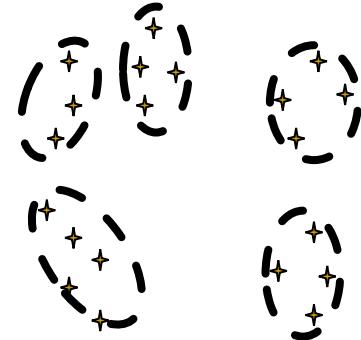
- Represent each instance as a vector  $\langle a_1, a_2, a_3, \dots, a_n \rangle$
- Each vector can be visually represented in an  $n$ -dimensional space



	$a_1$	$a_2$	$a_3$	Output
$X_1$	1	0	0	?
$X_2$	1	6	0	?
$X_3$	8	0	1	?
$X_4$	6	1	0	?
$X_5$	1	7	1	?

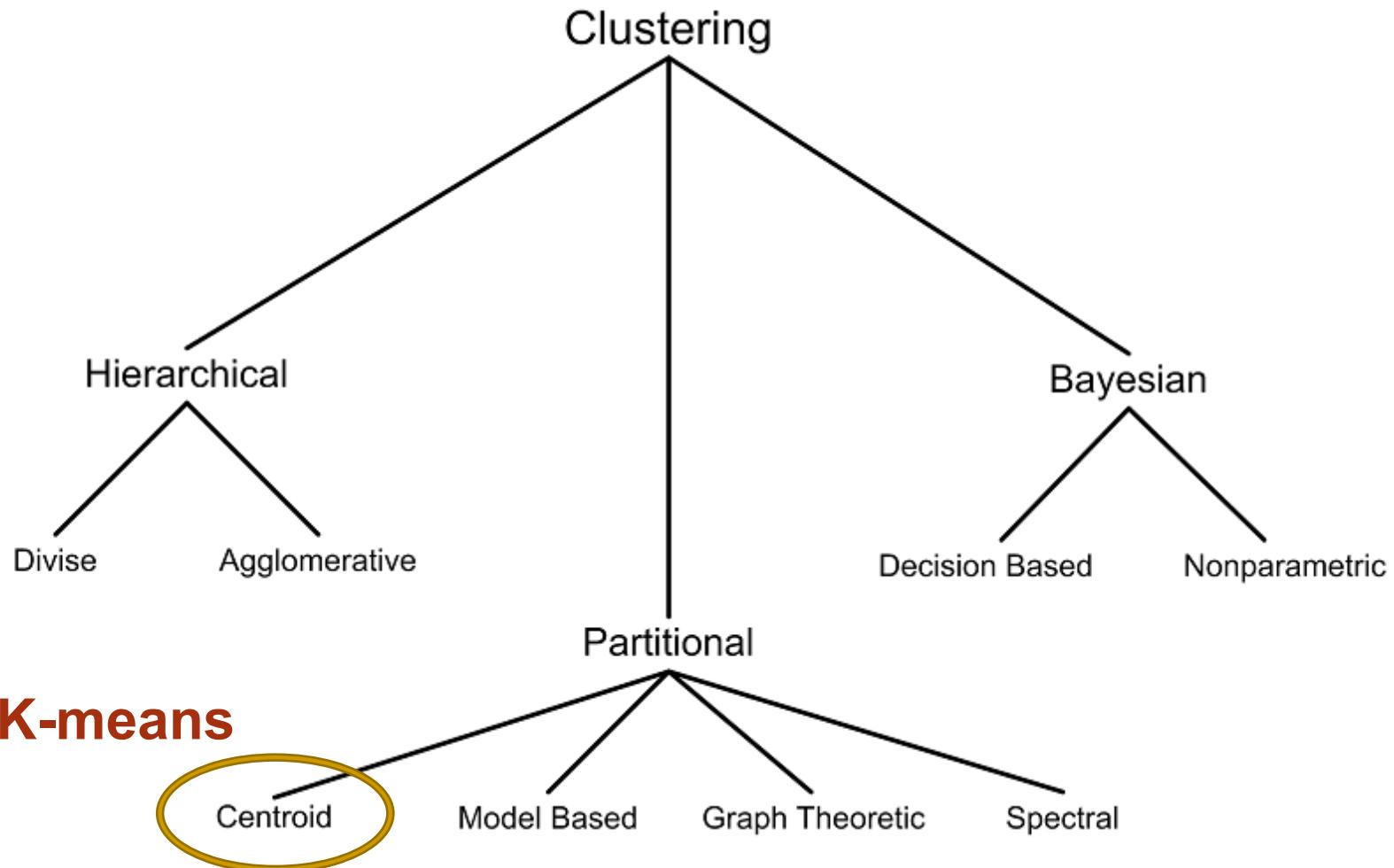
# Clustering

## ■ Clustering algorithm



- Represent test instances on a n dimensional space
- Partition them into regions of high density
  - How? ... many algorithms (ex. k-means)
- Compute the centroid of each region as the average of data points in the cluster

# Clustering Techniques

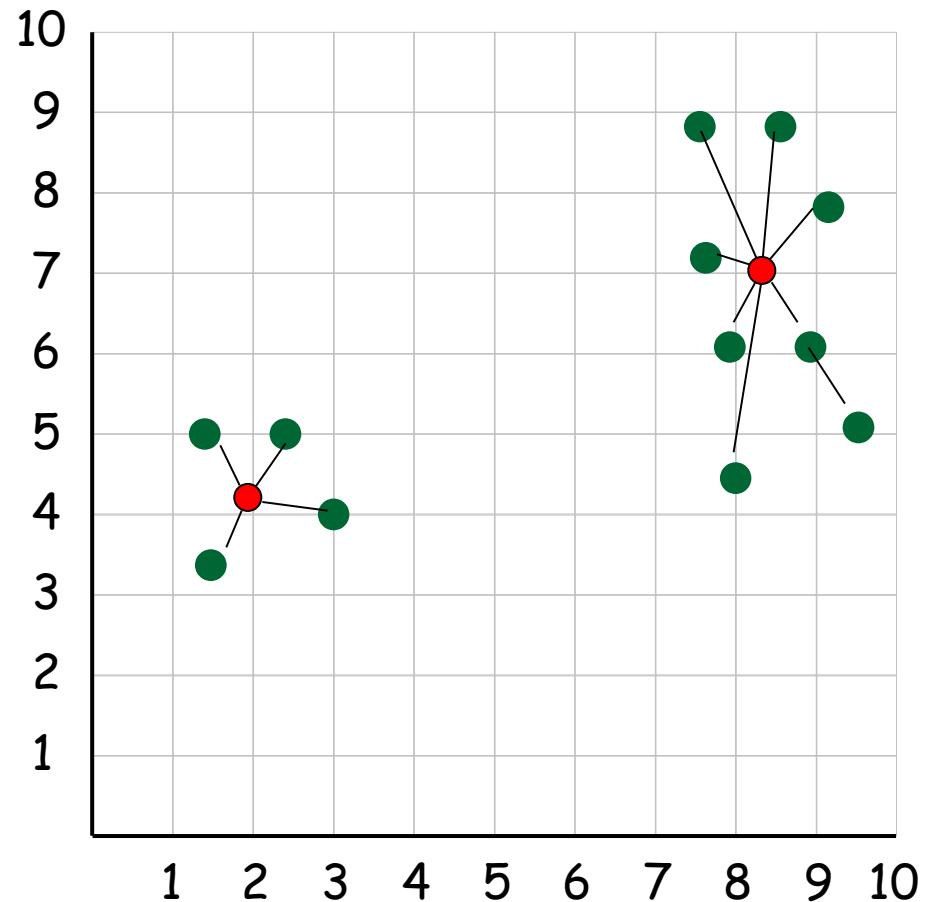


# k-means Clustering

- User selects how many clusters they want... (the value of k)
1. Place k points into the space (ex. at random).  
These points represent initial group centroids.
  2. Assign each data point  $x_n$  to the nearest centroid.
  3. When all data points have been assigned,  
recalculate the positions of the K centroids as the  
average of the cluster
  4. Repeat Steps 2 and 3 until none of the data  
instances change group.

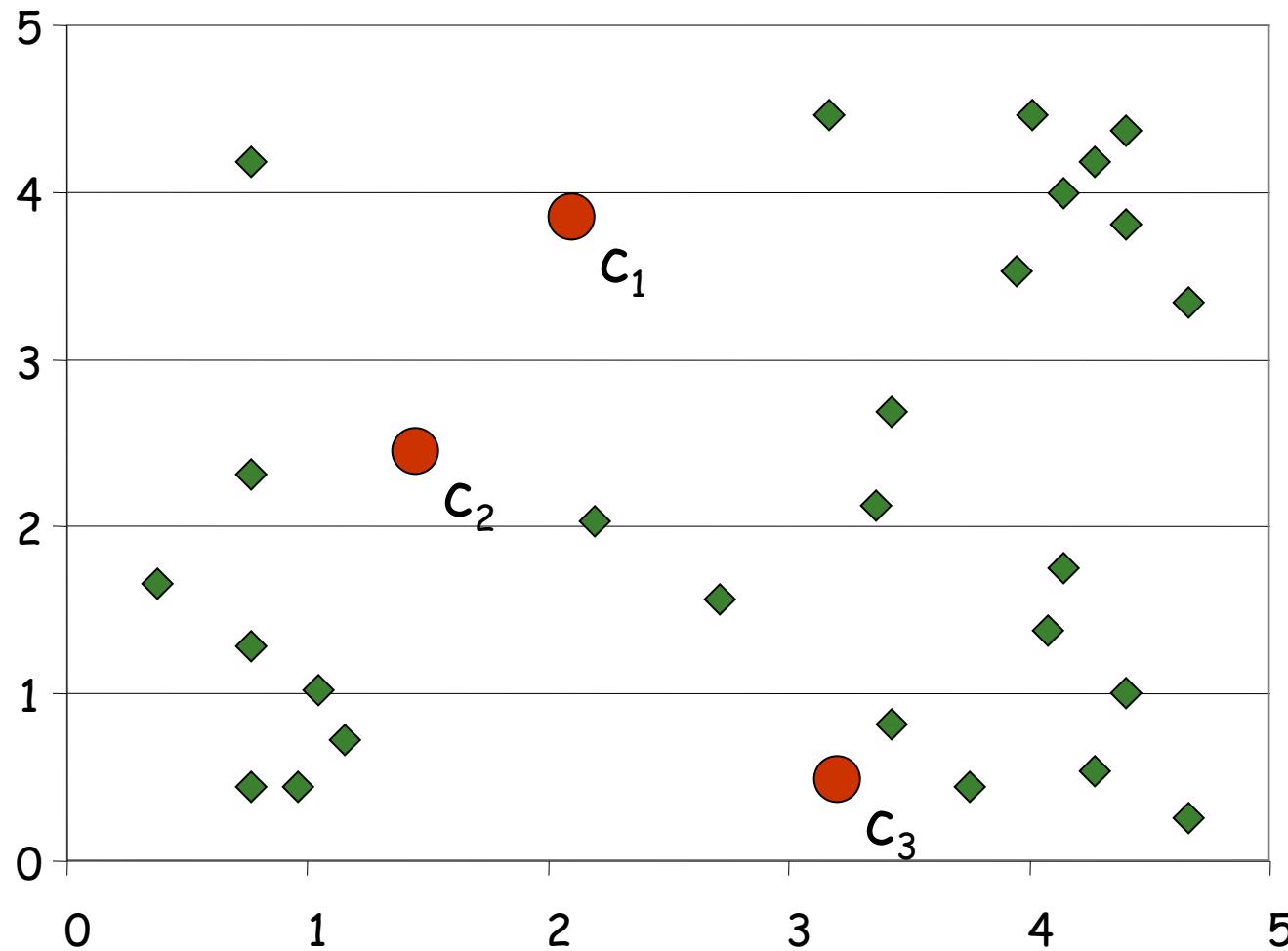
# Euclidean Distance

- To find the nearest centroid...
- a possible metric is the Euclidean distance
- distance between 2 pts  
 $p = (p_1, p_2, \dots, p_n)$   
 $q = (q_1, q_2, \dots, q_n)$
- $$d = \sqrt{\sum_{i=1}^n (p_i - q_i)^2}$$
- where to assign a data point  $x$ ?
- For all  $k$  clusters, chose the one where  $x$  has the smallest distance



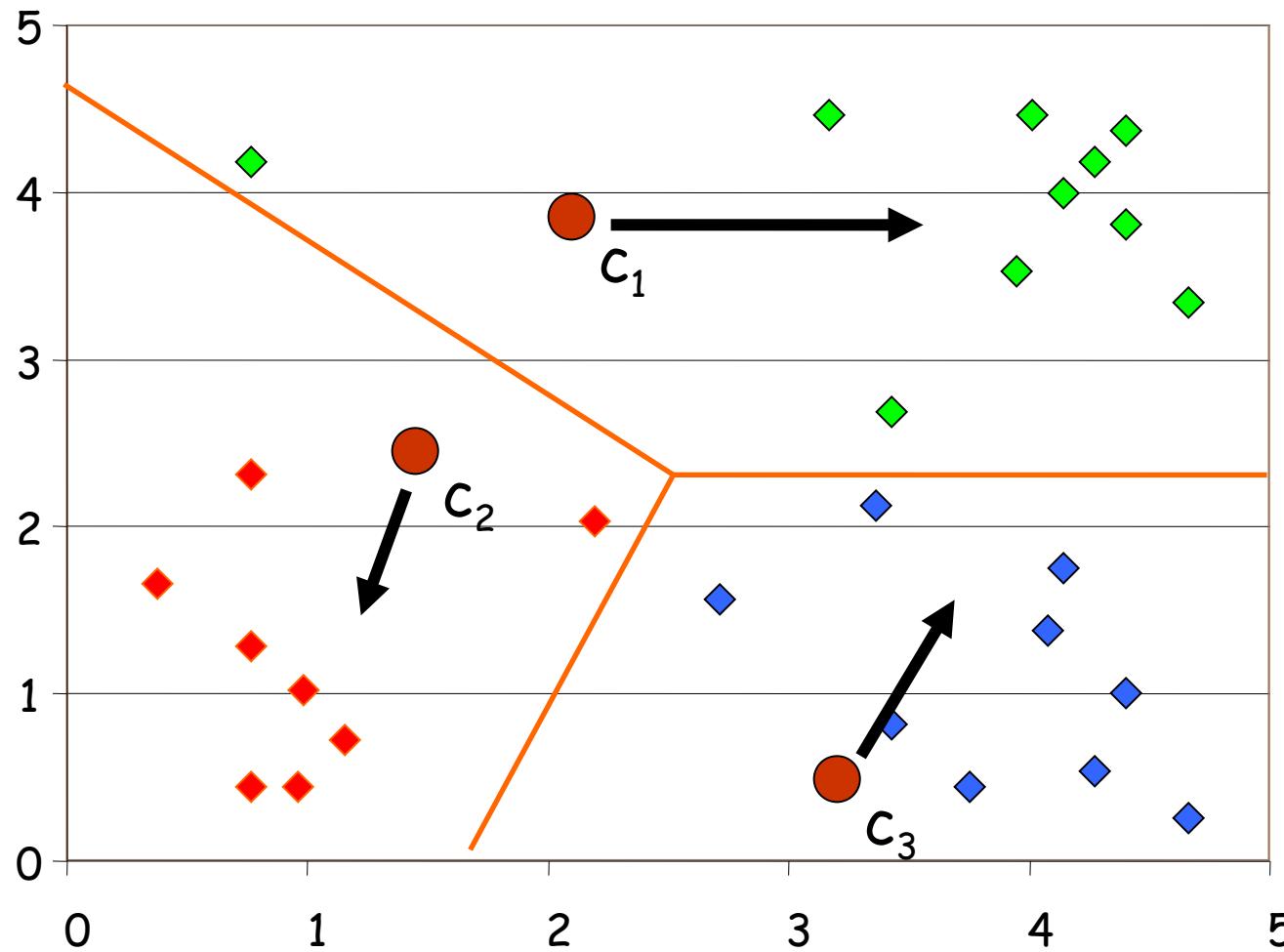
# Example (in 2-D... i.e. 2 features)

initial 3 centroids (ex. at random)



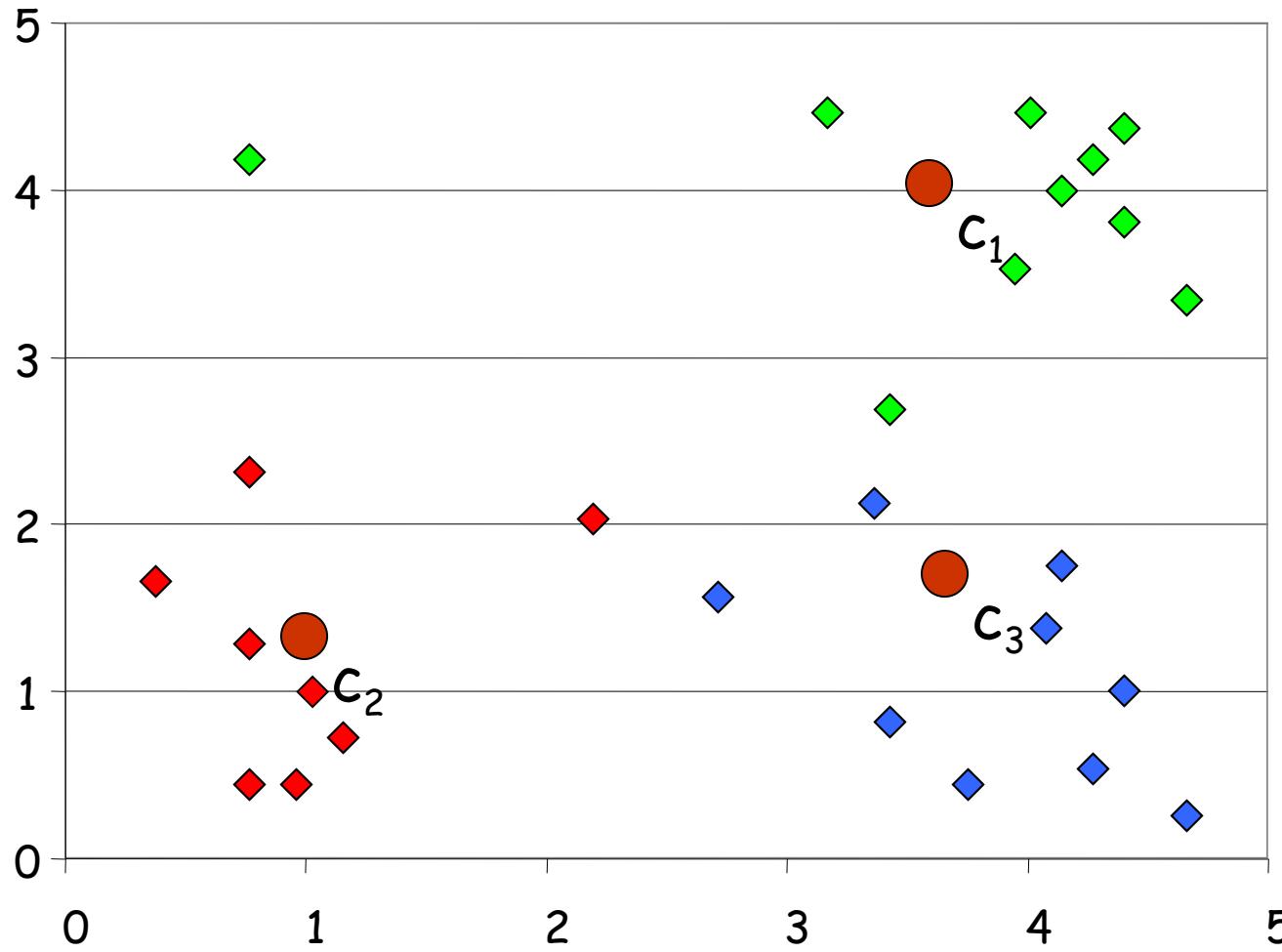
# Example

partition data points to closest centroid



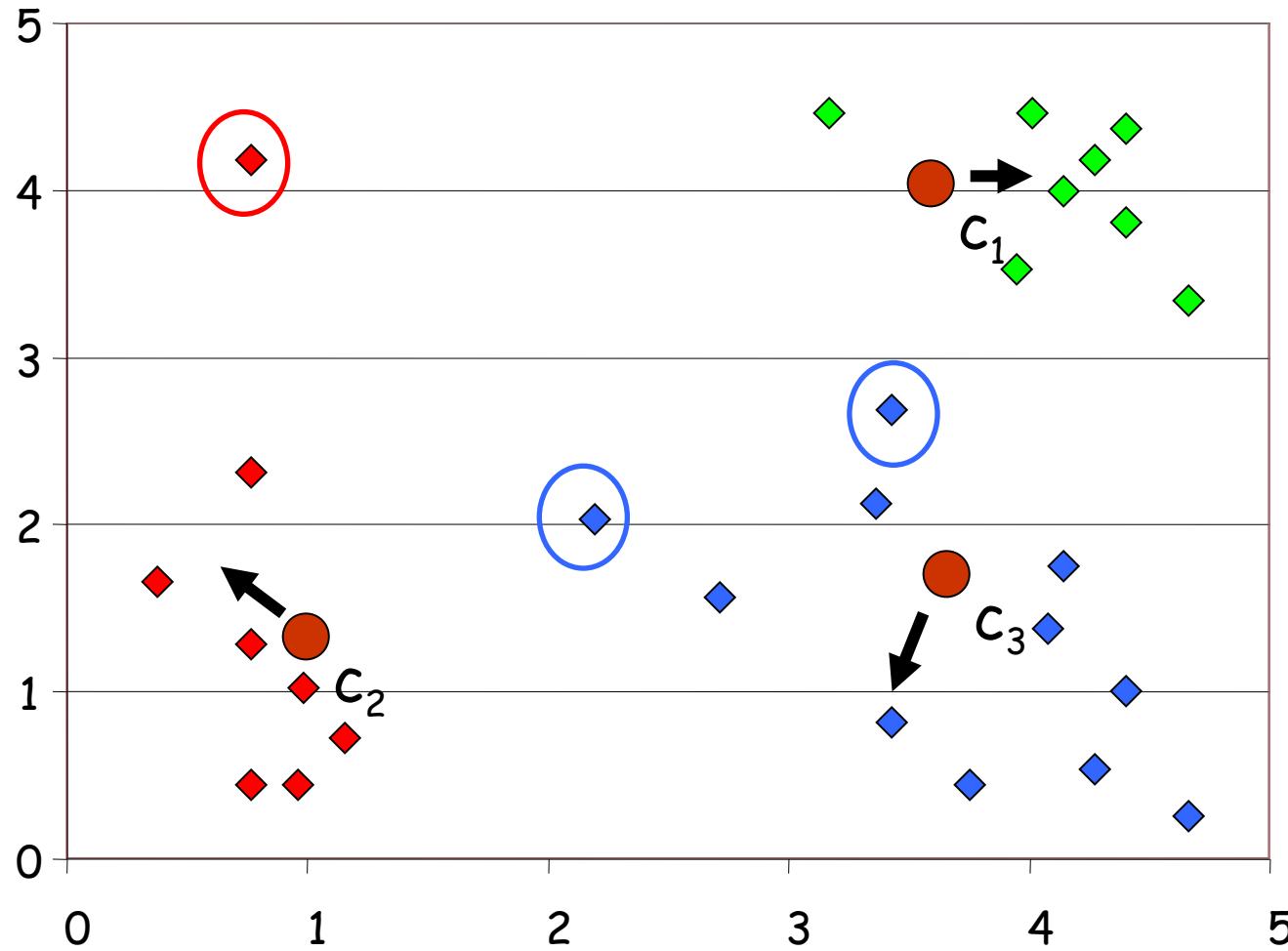
# Example

re-compute new centroids

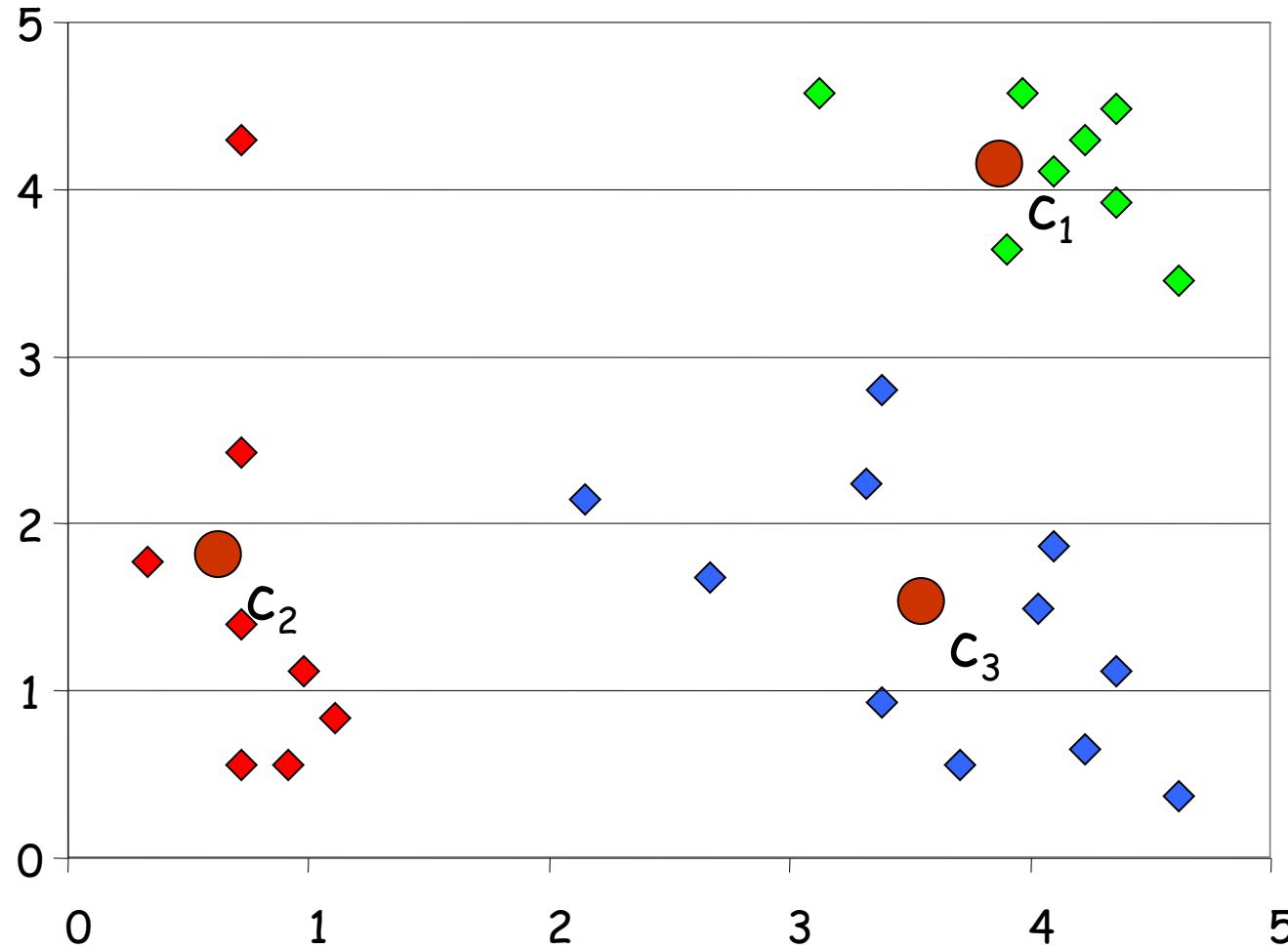


# Example

re-assign data points to new closest centroids



# Example



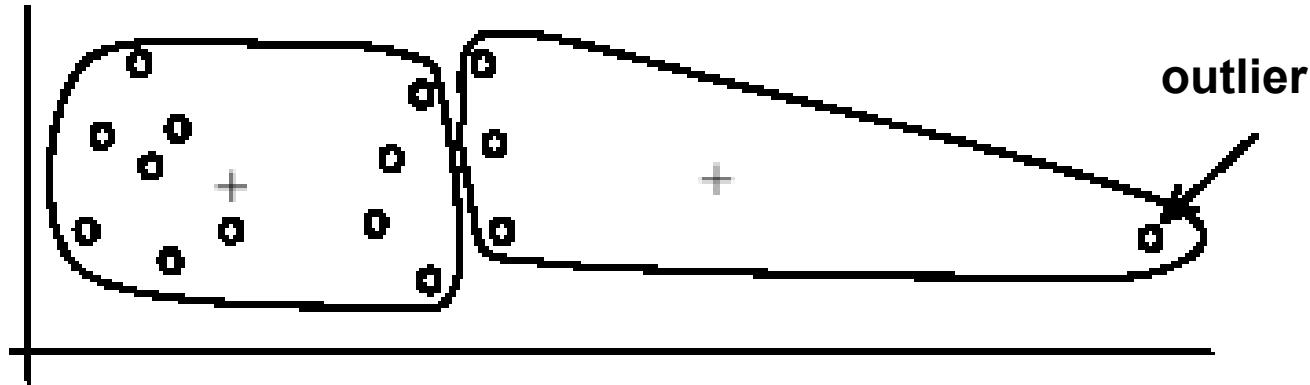
# Why use k-means?

- Strengths:
  - Simple
    - Easy to understand and implement
  - Efficient: Time complexity  $O(t \cdot k \cdot n)$ 
    - $n$  number of data points
    - $k$  number of clusters
    - $t$  number of iterations
  - With small  $k$  and  $t$ , linear performance on practical problems

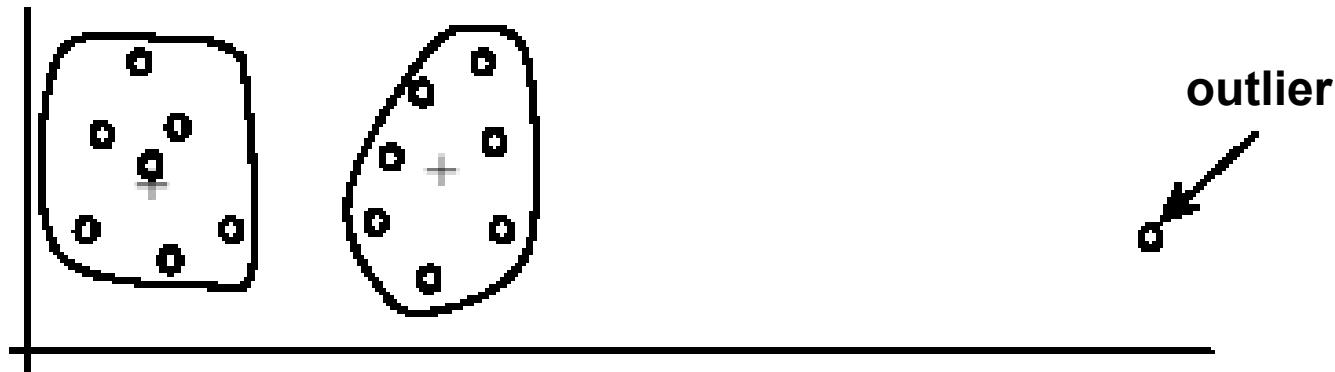
# Weakness of k-means

- User needs to specify  $k$
- Algorithm is sensitive to outliers
  - i.e., data points that are far away from others
  - Could be errors in the data or special data points with very different characteristics

# Outliers

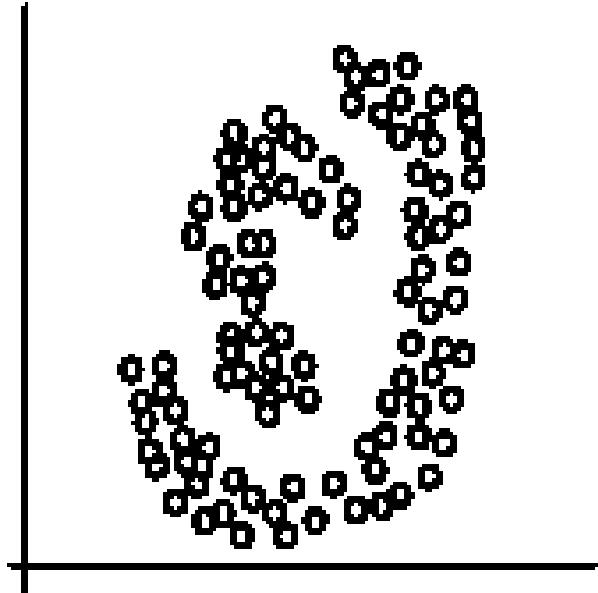


(A) Undesirable clusters

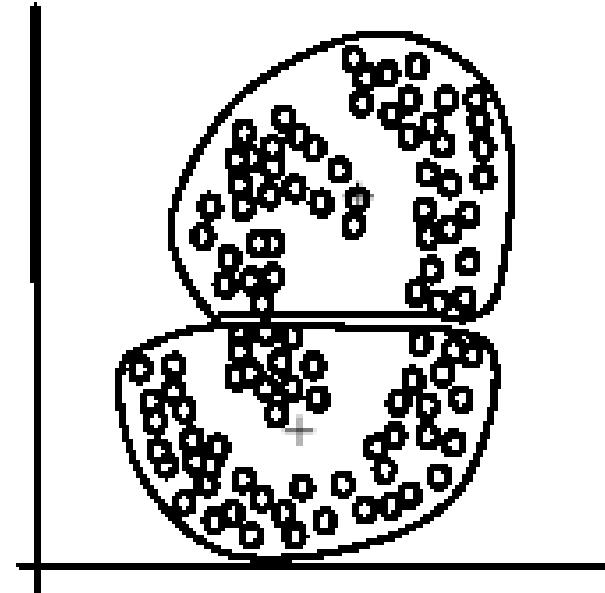


(B) Ideal clusters

# Special data structures

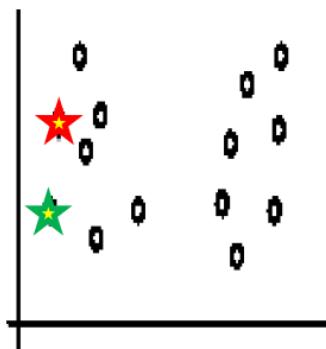


(A) Two natural clusters

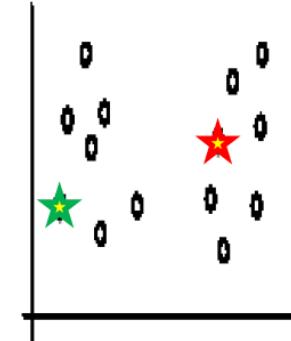


(B) k-means clusters

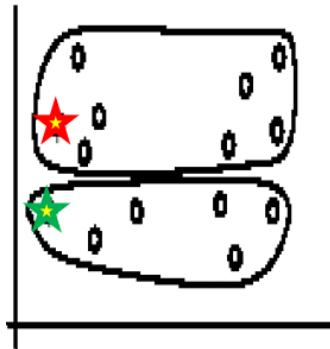
# Sensitivity to initial seeds



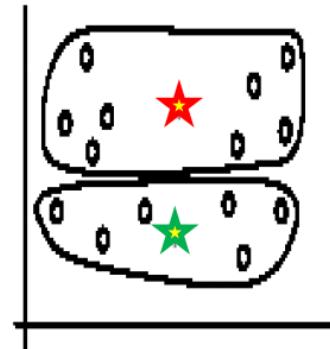
Random selection of seeds (centroids)



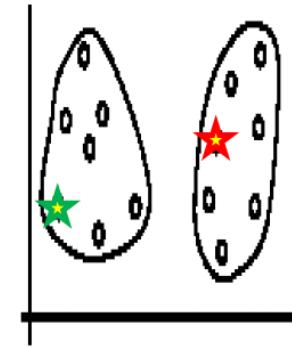
Random selection of seeds (centroids)



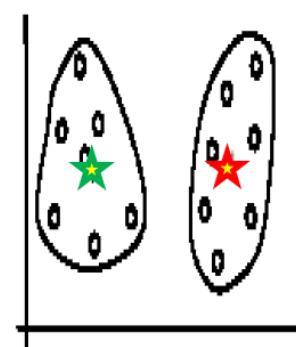
Iteration 1



Iteration 2



Iteration 1



Iteration 2

# K-means: Summary

- Despite weaknesses, k-means is still one of the most popular algorithms, due to its simplicity and efficiency
- No clear evidence that any other clustering algorithm performs better in general
- Comparing different clustering algorithms is a difficult task.  
No one knows the correct clusters!

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