

## LECTURE-12

Review: basis and dimension.

Exercise: Consider the set of  $3 \times 3$  upper triangular matrices:

$$U = \left\{ \begin{bmatrix} a & b & c \\ 0 & d & e \\ 0 & 0 & f \end{bmatrix} : a, b, c, d, e, f \in \mathbb{R} \right\}$$

(1) Show that  $U$  is a subspace of  $M_3(\mathbb{R})$

(2) Find a basis of  $U$ .

(3) What is the dimension of  $U$ ?

Usefulness of basis: Given a basis  $\{v_1, \dots, v_n\}$  of an  $n$ -dimensional vector space  $V$ , every vector in  $V$  can be uniquely expressed as a linear combination of  $v_1, \dots, v_n$ .

Example: Consider  $V = \mathbb{R}^3$ , and two bases:

$$B_1 = \{ (1, 0, 0), (0, 1, 0), (0, 0, 1) \}$$

$$B_2 = \{ (1, 0, 0), (1, 1, 0), (1, 1, 1) \}.$$

Express the vector  $(1, 2, 3)$  using basis  $B_1$  as well as basis  $B_2$ :

Solution: Using  $B_1$  as basis:

$$(1, 2, 3) = c_1(1, 0, 0) + c_2(0, 1, 0) + c_3(0, 0, 1).$$

Comparing component-wise on both sides,

$$c_1 = 1, \quad c_2 = 2, \quad c_3 = 3.$$

$$\text{So } (1, 2, 3) = 1 \cdot (1, 0, 0) + 2(0, 1, 0) + 3(0, 0, 1).$$

Using  $B_2$  as basis:

$$(1, 2, 3) = c_1(1, 0, 0) + c_2(1, 1, 0) + c_3(1, 1, 1)$$

$$\Rightarrow c_1 + c_2 + c_3 = 1$$

$$c_2 + c_3 = 2$$

$$c_3 = 3$$

$$\Rightarrow c_3 = 3,$$

$$c_2 = -1,$$

$$c_1 = -1.$$

$$\text{So } (1, 2, 3) = -1 \cdot (1, 0, 0) - 1 \cdot (1, 1, 0) + 3(1, 1, 1).$$

## Linear Transformations

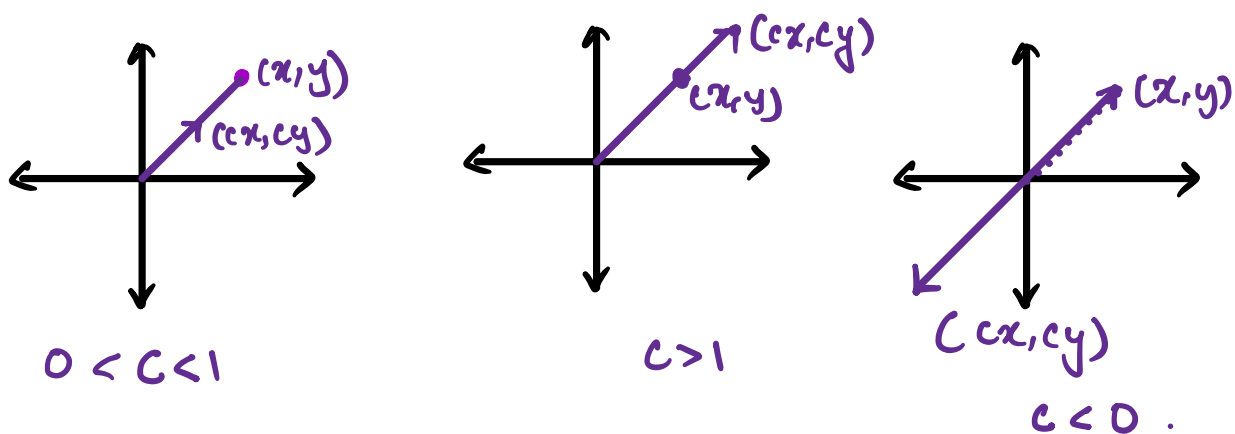
Suppose  $x \in \mathbb{R}^n$ . When we multiply  $x$  on the left by  $A$  :  $Ax$ , it transforms the vector  $x$ . Further, this happens for every point  $x \in \mathbb{R}^n$ , so we have a mapping  $T: \mathbb{R}^n \rightarrow \mathbb{R}^n$ , defined by  $T(x) = Ax$ .

Let us see four such transformations (on  $\mathbb{R}^2$ ):

1.  $A = \begin{bmatrix} c & 0 \\ 0 & c \end{bmatrix}$

Then  $A = cI$  stretches every vector by the same factor  $c$ .

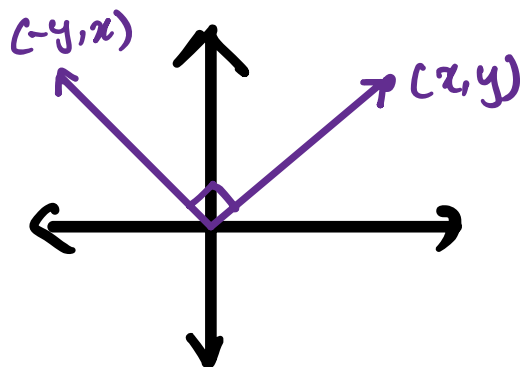
The whole of  $\mathbb{R}^2$  expands or contracts (or goes through the origin and out the opposite side)



2. A rotation matrix turns the whole space around the origin.

eg.  $A = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}$  rotates every

vector anti-clockwise by  $90^\circ$ :



3. A reflection matrix transforms every vector into its mirror image along a certain line.

eg.  $A = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$

reflects a vector  $(x, y)$  along the line  $y = x$ .

4. A projection matrix collapses the whole space onto a lower-dimensional subspace.

eg.  $A = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}$  collapses the  $y$ -coordinate

to zero for any vector  $(x, y)$ .

i.e.,  $(x, y) \mapsto (x, 0)$ .

so  $\mathbb{R}^2$  is transformed to the  $x$ -axis.

QQ Can every transformation of the plane  $\mathbb{R}^2$  be encoded using a matrix?

What about the transformation that takes  $(x, y) \mapsto (x+a, y+a)$ ?

(This is a translation in of  
the vector  $(x, y)$  by  $(a, a)$ .)

Answer: No, not every transformation can be defined using a matrix, eg. translations. The ones that can be are "linear" transformations.

Def. A linear transformation  $T$  from a vector space  $V$  into a vector space  $W$  is a map that assigns to each vector  $x \in V$  a unique vector  $T(x) \in W$  such that

$$(i) \quad T(u+v) = T(u) + T(v) \quad \forall u, v \in V$$

$$(ii) \quad T(cu) = c \cdot T(u) \quad \forall u \in V, c \in \mathbb{R}.$$

### Examples

$$(*) \quad T: \mathbb{R}^2 \rightarrow \mathbb{R}^2$$

$$T(x, y) = (y, x)$$

Let us check that this is a lin. transformation

Let  $u = (x_1, y_1)$  be any two vectors in  $\mathbb{R}^2$ .

$$v = (x_2, y_2)$$

Checking (i):  $T(u+v) = T(u) + T(v)$ :

$$\begin{aligned} \text{LHS} = T(u+v) &= T((x_1, y_1) + (x_2, y_2)) \\ &= T((x_1+x_2, y_1+y_2)) \\ &= (y_1+y_2, x_1+x_2) \\ &= (y_1, x_1) + (y_2, x_2) \\ &= T(u) + T(v) = \text{RHS}. \end{aligned}$$

Checking (ii):

$$\begin{aligned} \text{LHS} \quad T(cu) &= T(c(x_1, y_1)) \\ &= T((cx_1, cy_1)) \\ &= (cy_1, cx_1) \\ &= c(y_1, x_1) \\ &= cT(u) = \text{RHS}. \end{aligned}$$

Therefore, the given map is a linear transformation. More examples:

(B)  $T: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  defined by

$$T(x, y) = (x+2y, 3x-y) \text{ is a lin. trans.}$$

(C)  $T: \mathbb{R}^3 \rightarrow \mathbb{R}^2$  defined by

$$T(x, y, z) = (x, y+z, z+x) \text{ is a lin. trans.}$$

(D)  $T: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  defined by

$$T(x, y) = (x+1, y+2) \text{ is NOT a lin. trans.}$$

$$\begin{aligned} \text{because } T(\bar{u} + \bar{v}) &= T(x_1+x_2, y_1+y_2) \\ &= (x_1+x_2+1, y_1+y_2+2) \end{aligned}$$

$$T(\bar{u}) + T(\bar{v}) = (x_1+1, y_1+2) + (x_2+1, y_2+2)$$

$$\text{So } T(\bar{u} + \bar{v}) \neq T(\bar{u}) + T(\bar{v}). \quad = (x_1+x_2+2, y_1+y_2+4)$$

$\rightarrow$  Check that  $T(c\bar{u}) \neq cT(\bar{u})$  as well.

An important class of linear transformations:

Any matrix leads immediately to a linear transformation. Let  $A \in M_{m \times n}(\mathbb{R})$

$$\begin{aligned} \text{Then we have: } T: \mathbb{R}^n &\rightarrow \mathbb{R}^m \\ x &\mapsto Ax. \end{aligned}$$

and  $T$  can be checked to be a linear



transformation:

Let  $\bar{u}, \bar{v} \in \mathbb{R}^n$ . Then  $T\bar{u} \in \mathbb{R}^m$ ,  $T\bar{v} \in \mathbb{R}^m$

$$\text{Since } T\bar{u} = A\bar{u}$$

$$T\bar{v} = A\bar{v}.$$

$$\text{So } T(\bar{u} + \bar{v}) = A(\bar{u} + \bar{v}) = A\bar{u} + A\bar{v} = T\bar{u} + T\bar{v}.$$

Therefore, property (i) is satisfied.

$$\text{Further, } T(c\bar{u}) = A(c\bar{u}) = cA\bar{u} = cT(\bar{u})$$

So property (ii) is satisfied.

→ let us see this with an example:

Let  $T: \mathbb{R}^3 \rightarrow \mathbb{R}^2$  be defined by

$$T(x_1, x_2, x_3) = \begin{bmatrix} 1 & 2 & 3 \\ 4 & 5 & 6 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix} = \begin{bmatrix} x_1 + 2x_2 + 3x_3 \\ 4x_1 + 5x_2 + 6x_3 \end{bmatrix}$$

$A \rightarrow$

Then, for any  $c \in \mathbb{R}$ , and  $\bar{u} = (x_1, x_2, x_3)$ ,

$$c\bar{u} = (cx_1, cx_2, cx_3). \text{ So } T(c\bar{u}) = A(c\bar{u})$$

$$= \begin{bmatrix} 1 & 2 & 3 \\ 4 & 5 & 6 \end{bmatrix} \begin{bmatrix} cx_1 \\ cx_2 \\ cx_3 \end{bmatrix} = \begin{bmatrix} cx_1 + 2cx_2 + 3cx_3 \\ 4cx_1 + 5cx_2 + 6cx_3 \end{bmatrix}$$

$$= c \begin{bmatrix} x_1 + 2x_2 + 3x_3 \\ 4x_1 + 5x_2 + 6x_3 \end{bmatrix} = c T(\bar{x}).$$

$$\therefore T(c\bar{x}) = c T(\bar{x}).$$

Therefore, this is a linear transformation.

In fact, we can do the opposite: Starting with a linear transformation  $T: \mathbb{R}^n \rightarrow \mathbb{R}^m$ , can find a  $m \times n$  matrix  $A$  such that  $T$  is given by  $Tx = Ax$ !

Examples (same as before:)

$$(A) \quad T: \mathbb{R}^2 \rightarrow \mathbb{R}^2$$

$$T(x, y) = (y, x)$$

$$\Leftrightarrow \overset{\substack{\text{blue} \\ T(\bar{x}) \\ \downarrow}}{T(x, y)} = \underset{\substack{\text{blue} \\ A\bar{x} \\ \downarrow \\ A}}{\begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}} \begin{bmatrix} x \\ y \end{bmatrix}$$

$$(B) \quad T: \mathbb{R}^2 \rightarrow \mathbb{R}^2$$

$$T(x, y) = (x + 2y, 3x - y)$$

$$\Leftrightarrow T(x, y) =$$

$$\underset{\substack{\text{blue} \\ A}}{\begin{bmatrix} 1 & 2 \\ 3 & -1 \end{bmatrix}} \begin{bmatrix} x \\ y \end{bmatrix}$$

(c)

$$T: \mathbb{R}^3 \rightarrow \mathbb{R}^2$$

$$T(x, y, z) = (x+z, y+z)$$

$\Leftrightarrow$

$$T(x, y, z) = \underbrace{\begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 1 \end{bmatrix}}_A \begin{bmatrix} x \\ y \\ z \end{bmatrix}.$$

Q. How do we find the matrix  $A$  given a transformation  $T$ ?

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