# Text-to-SQL Semantic Parsing

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- 3. E.g we have a batch of 3 sentence and maximum sentence length is 10 then after featurizing with  $hidden_dim = 300$  we'll have a tensor of (3, 10, 300).

#### Recurrnet formulas and Attention formulas

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Attention formulas:  $Attention(Q, K, V) = softmax(\frac{QK^T}{\sqrt{d_k}})V$ .

### **Problem**

### Definition of problem

Given a natural language Q and the schema  $S = \langle \mathcal{T}, \mathcal{C} \rangle$  for a relational database, the parser needs to generate the corresponding SQL query Y. The schema consists of tables  $\mathcal{T} = \{t_1,...,t_N\}$  and fields  $\mathcal{C} = \{c_{11},...,c_{1|\mathcal{T}_1|},...,c_{n1},...,c_{N|\mathcal{T}_N|}\}$ . Each table  $t_i$  and each field  $c_{ij}$  has a textual name. Some fields are primary keys, used for uniquely indexing each data record, and some are foreign keys, used to reference a primary key in a different table. In addition, each field has a data type,  $\tau \in \{number, text, time, boolean, etc\}$ .

#### Problem

### Definition of problem: SQL Cross domain database

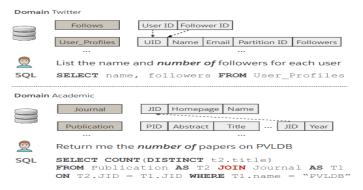


Figure 1: Two questions from the Spider dataset with similar intent resulted in completely different SQL logical forms on two DBs. In cross-DB text-to-SQL semantic parsing, the interpretation of a natural language question is strictly grounded in the underlying relational DB schema.

### Represent schema as Graph

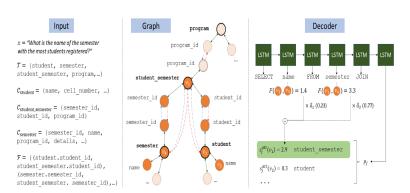


Figure 3: <u>Left</u>: DB schema and question. <u>Middle</u>: A graph representation of the schema. Bold nodes are tables, other nodes are columns. Dashed red (blue) edges are foreign (primary) keys edges, green edges are table-column edges. Right: Use of the schema by the decoder. For clarity, the decoder outputs tokens rather than grammar rules.

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- 3. For example to generate SQL query SELECT FROM *student* WHERE *student.lastname* == "Nguyen". Decoder first generates SELECT FROM then the next token must be table name according to grammar rules.

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- 2. Shaw et al.(2020) showed T5 model with 3 billion parameters achieves state of the art on spider.

#### Use DB content

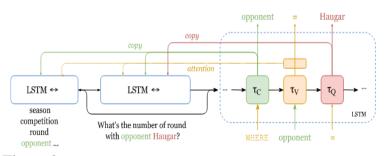
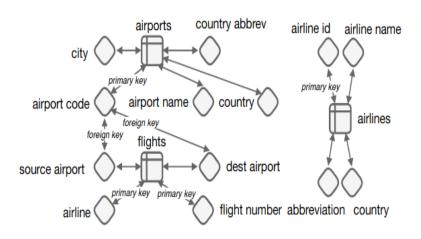


Figure 2: Overview of the base model. The model encodes table columns as well as the user question with a BiLSTM and then decodes the hidden state with a typed LSTM, where the decoding action for each cell is statically determined.

#### Use DB content- RAT SQL



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Type of x	Type of $y$	Edge label	Description
Column	Column	SAME-TABLE FOREIGN-KEY-COL-F FOREIGN-KEY-COL-R	<ul><li>x and y belong to the same table.</li><li>x is a foreign key for y.</li><li>y is a foreign key for x.</li></ul>
Column	Table	Primary-Key-F Belongs-To-F	x is the primary key of $y$ . $x$ is a column of $y$ (but not the primary key).
Table	Column	PRIMARY-KEY-R BELONGS-TO-R	y is the primary key of $x$ . y is a column of $x$ (but not the primary key).
Table	Table	FOREIGN-KEY-TAB-F FOREIGN-KEY-TAB-R FOREIGN-KEY-TAB-B	Table $x$ has a foreign key column in $y$ . Same as above, but $x$ and $y$ are reversed. x and $y$ have foreign keys in both directions.



#### Use DB content- RAT SQL

 RAT-SQL uses relational transformers to encode relations of tokens.

$$e_{ij}^{(h)} = \frac{x_i W_Q^{(h)} (x_j W_K^h + r_{ij}^K)^T}{\sqrt{d_z/H}}$$

$$z_i^{(h)} = \sum_{j=1}^n \alpha_{ij}^{(h)} (x_j W_V^{(h)} + r_{ij}^V)$$

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- 3. Encode schema and question is independent.



 Model has acess to value of each field called picklists (e.g *Property\_type\_code* can have one of the following values {" Apartment", " Field", " House", " Shop", " Other" } )
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   → protect individual data record and sensitive fields such as User IDs or credit numbers.
- Use LSTM-based pointer generator with multihead-attention as decoder

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- 5. Dense lookup features to represent meta-data of schema  $f_{pri} \in \mathbb{R}^{2 \times n}$ ,  $f_{for} \in \mathbb{R}^{2 \times n}$ ,  $f_{type} \in \mathbb{R}^{|\tau| \times n}$

### Question-schema serialization and encoding

Meta-data features and base encoding are fused via a feed-forward layer  $g(\mathbb{R}^{4n} \to \mathbb{R}^n)$ :

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3. 
$$\mathbf{h}_{S} = [\mathbf{h}^{t_1}, ..., \mathbf{h}^{t_{|\tau|}}, \mathbf{h}^{c_{11}}, ..., \mathbf{h}^{c_{N|T_N|}}] \in \mathbb{R}^{|S| \times n}$$

## **Bridging**

Anchor text, perform fuzzy matching between question Q and the picklists of each field, if found the matched values in DB, the matched field values are inserted in X, succeeding corresponding field name and seperated by token [V].

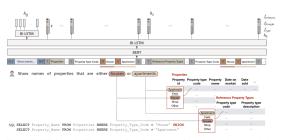


Figure 2: The BRIDGE encoder. The two phrases "houses" and "apartments" in the input question both matched to two DB fields. The matched values are appended to the corresponding field names in the hybrid sequence.



#### Decoder

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which initially has entries corresponding to all fields set to 0 Once a table  $t_i$  is decoded, all entries in  $\xi$  corresponding to that table to 1, allows the decoder to only search in the space specified by the condition in Lemma 1 with little overhead in decoding speed.



#### **Dataset**

- 1. Spider: Train/dev/test databases not overlap, test set is hidden from public
- 2. WikiSQL: 49.6% of its dev tables and 45.1% of its test tables are not found in the train set
- 3. Both databases have the ability of models to generalize to unseen schema in train set

#### **Evaluation Metrics**

- Exact Match (EM): Checks if the predicted SQL exactly matches the ground truth SQL
- 2. Exact Set Match (E-SM): Structural correctness of the predicted SQL. Check orderless set match
- 3. Execution Accuracy (EA): Execution results have the same result

### Implementation

1. Anchor Text selection: Fuzzy matching *k* from DB field picklist and exclude number due to not effectively discriminate between different fields.

### Implementation

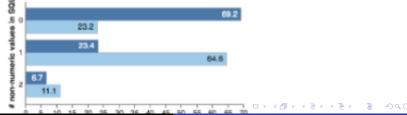
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  - ▶ Model: BERT-large + 1-layer LSTMs + 8-head attention



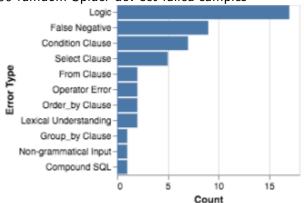
### Result (Spider database, E-SM)

Model	Dev	Test
Global-GNN (Bogin et al., 2019b)	52.7	47.4
EditSQL + BERT (Zhang et al., 2019)	57.6	53.4
GNN + Bertrand-DR (Kelkar et al., 2020)	57.9	54.6
IRNet + BERT (Guo et al., 2019)	61.9	54.7
RAT-SQL v2 (Wang et al., 2019)	62.7	57.2
RYANSQL + BERTL (Choi et al., 2020)	66.6	58.2
SmBoP + BART (Rubin and Berant, 2020)	66.0	60.5
RYANSQL v2 + BERTL	70.6	60.6
RAT-SQL v3 $+$ BERTL (Wang et al., 2019)	69.7	65.6
BRIDGE v1 (Lin et al., 2020)	65.5	59.2
BRIDGE L (ours)	70.0	65.0
BRIDGE L (ours, ensemble)	71.1	67.5

# Error analysis

#### **Evaluation**

50 ramdom Spider dev set failed samples



### Error analysis

#### **Evaluation**

4 common errors: Logical, Lexical Understanding, Commonsense,

#### Robustness

- Show the names of all of the high schooler Kyle's friends. network\_1
- X SELECT Highschooler.name FROM Friend JOIN Highschooler ON Friend.friend\_id = Highschooler.ID WHERE Highschooler.name = "Kyle"
- SELECT T3.name FROM Friend AS T1 JOIN Highschooler AS T2 ON T1.student\_id = T2.id JOIN Highschooler AS T3 ON T1.friend\_id = T3.id WHERE T2.name = "Kyle"
- What are the full names of all left handed players, in order of birth date? WTA 1
- X SELECT first\_name, last\_name FROM players ORDER BY birth\_date
- ✓ SELECT first\_name, last\_name FROM players WHERE hand = 'L' ORDER BY birth\_date
- Which address holds the most number of students currently? List the address id and all lines. student\_transcripts\_tracking
- X SELECT Addresses.line\_1, Students.current\_address\_id FROM Addresses JOIN Students ON Addresses.address\_id = Students.current\_address\_id GROUP BY Students.current\_address\_id ORDER BY COUNT(\*) DESC LINIT 1
- ✓ SELECT Addresses.address\_id , Addresses.line\_1 , Addresses.line\_2 FROM Addresses JOIN Students ON Addresses.address\_id = Students.current\_address\_id GROUP BY Addresses.address\_id ORDER BY count(\*) DESC LIMIT 1
- What is the model of the car with the smallest amount of horsepower? car 1
- SELECT cars\_data.Horsepower FROM cars\_data ORDER BY cars\_data.Horsepower LIMIT 1
- ✓ SELECT T1.Model FROM CAR\_NAMES AS T1 JOIN CARS\_DATA AS T2 ON T1.MakeId = T2.Id ORDER BY T2.horsepower ASC LINIT 1

1. Translation

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- 2. Question-answer like Siri, Alexa (integrate with speech-to-text)

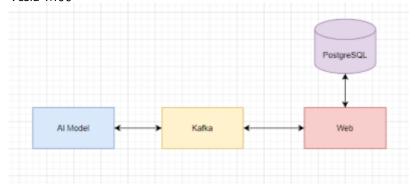
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- Question-answer like Siri, Alexa (integrate with speech-to-text)
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- 4. Extension to support user in Web 3.0 generation. Applying semantics in the Web would enable machines to decode meaning and emotions by analyzing data. Consequently, internet users will have a better experience driven by enhanced data connectivity.

#### Demo

#### Question-Answer Website

Resources: 6 cores, 56GB RAM, 380GB storage -  $1 \times NVIDIA$  Tesla M60



#### References

- 1. https://github.com/salesforce/TabularSemanticParsing
- 2. arXiv:1706.03762
- 3. Xi Victoria Lin, Richard Socher, and Caiming Xiong. 2020. Bridging Textual and Tabular Data for Cross-Domain Text-to-SQL Semantic Parsing. In Findings of the Association for Computational Linguistics: EMNLP 2020, pages 48704888, Online. Association for Computational Linguistics.
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