

Chapter 3 The Fundamentals: Algorithms The Integers



Objectives

- Algorithms
- The Growth of Functions
- Complexity of Algorithms
- The Integers and Division
- Primes and Greatest Common Divisors
- Integers and Algorithms



3.1- Algorithms

An algorithm is a finite set of precise instructions for performing a computation or for solving a problem.

Specifying an algorithm: natural language/ pseudocode



Properties of an algorithm

- Input
- Output
- Definiteness
- Correctness
- Effectiveness
- Generality



Finding the Maximum Element in a Finite Sequence

```
Procedure max (a_1, a_2, a_3, ..., a_n): integers)

max:=a_1

for i:=2 to n

if max < a_i then max:= a_i

{max is the largest element}
```



The Linear Search

```
Procedure linear search (x: integer, a<sub>1</sub>,a<sub>2</sub>,...,a<sub>n</sub>: distinct
   integers)
   i:=1
   while i \le n and x \ne a_i
              i:=i+1
   if i \le n then location:= i
   else location:=0
{location is the subscript of the term that equals x, or is 0 if
   x is not found}
```



The Binary Search

```
procedure binary search (x:integer, a<sub>1</sub>,a<sub>2</sub>,...,a<sub>n</sub>: increasing
   integers)
   i:=1 { i is left endpoint of search interval}
   i:=n { j is right endpoint of search interval}
   while i<j
     begin
         m := \lfloor (i+j)/2 \rfloor
         if x>a_m then i:=m+1
         else j:= m
      end
      if x=a; then location := i
   else location:= 0
{location is the subscript of the term that equals x, or is 0 if x is not
   found}
```



Sorting

- Putting elements into a list in which the elements are in increasing order.
- There are some sorting algorithms
- Bubble sort
- Insertion sort
- Selection sort (exercise p. 178)
- Binary insertion sort (exercise p. 179)
- Shaker sort (exercise p.259)
- Merge sort and quick sort (section 4.4)
- Tournament sort (10.2)



Bubble Sort

```
procedure bubble sort (a_1, a_2, ..., a_n : real numbers with n \ge 2)
for i:= 1 to n-1
for j:=1 to n- i
if a_j > a_{j+1} then interchange a_j and a_{j+1}
\{a_1, a_2, ..., a_n \text{ are sorted}\}
```



Insertion Sort

```
procedure insertion sort (a_1, a_2, ..., a_n : real numbers with <math>n \ge 2)
for j:= 2 to n { j: position of the examined element }
  begin
     { finding out the right position of a<sub>i</sub> }
                                                  a: 1 2 3 6 7 8 5 9 12 11
    i:=1
    while a_i > a_i i:= i+1
                                                  i=4
    m:=a_i \{ save a_i \}
                                                  m=5
     { moving j-i elements backward }
                                                  a: 1 2 3 6 7 8 5 9 12
    for k:=0 to j-i-1 a_{i-k}:=a_{i-k-1}
                                                  a: 1 2 3 6 6 7 8 9 12 11
    {move a<sub>i</sub> to the position i}
     a_i := m
  end
```

 $\{a_1,a_2,...,a_n\}$ are sorted It is usually not the most efficient



Greedy Algorithm

- They are usually used to solve optimization problems: Finding out a solution to the given problem that either minimizes or maximizes the value of some parameter.
- Selecting the best choice at each step, instead of considering all sequences of steps that may lead to an optimal solution.
- Some problems:
 - Finding a route between two cities with smallest total mileage (number of miles that a person passed).
 - Determining a way to encode messages using the fewest bits possible.
 - Finding a set of fiber links between network nodes using the least amount of fiber.



3.2- The Growth of Functions

- The complexity of an algorithm that acts on a sequence depends on the number of elements of sequence.
- The growth of a function is an approach that help selecting the right algorithm to solve a problem among some of them.
- Big-O notation is a mathematical representation of the growth of a function.



3.2.1-Big-O Notation

Definition:

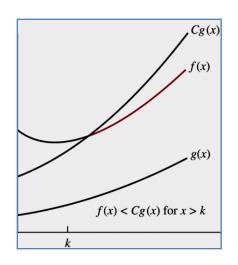
Let f and g be functions from the set of integers or the set of real numbers to the set of real numbers. We say that f(x) is

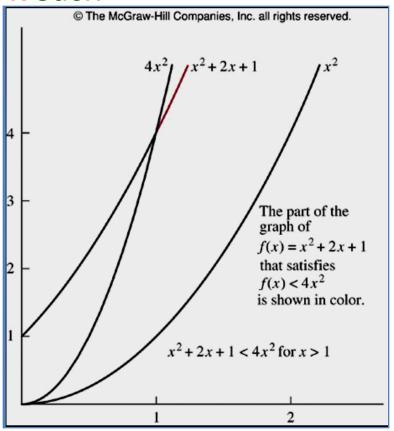
O(g(x)) if there are constants C and k such

that $|f(x)| \le C|g(x)|$ whenever x > k

Example: Show that $f(x)=x^2 + 2x + 1$ is $O(x^2)$

- Examine with x>1 → x² >x
- \rightarrow f(x)=x² + 2x +1 < x² + 2x² + x²
- \rightarrow f(x) < 4x²
- \rightarrow Let $g(x) = x^2$
- \rightarrow C=4, k=1, $|f(x)| \le C|g(x)|$
- \rightarrow f(x) is O(x²)







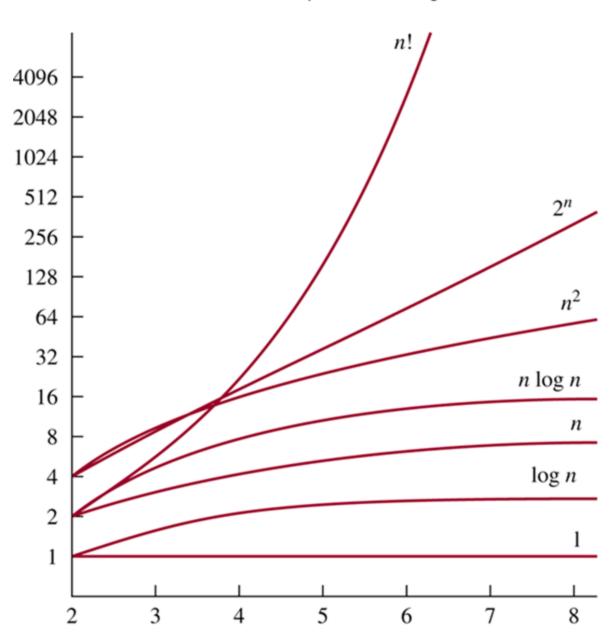
Big-O: Theorem 1

Let $f(x)=a_nx^n + a_{n-1}x^{n-1} + ... + a_1x + a_0$, where $a_0,a_1,...,a_n$ are real number, then f(x) is $O(x^n)$

```
If x>1
|f(x)| = |a_n x^n + a_{n-1} x^{n-1} + ... + a_1 x + a_0|
         \leq |a_n x^n| + |a_{n-1} x^{n-1}| + ... + |a_1 x| + |a_0|  { triangle inequality }
         \leq x^{n} (|a_{n}| + |a_{n-1}x^{n-1}/x^{n}| + ... + |a_{1}x/x^{n}| + |a_{0}/x^{n}|)
         \leq x^{n} (|a_{n}| + |a_{n-1}/x| + ... + |a_{1}/x^{n-1}| + |a_{0}/x^{n}|)
         \leq x^{n} (|a_{n}| + |a_{n-1}| + ... + |a_{1}| + |a_{0}|)
Let C = |a_n| + |a_{n-1}| + ... + |a_1| + |a_0|
|f(x)| \leq Cx^n
\rightarrow f(x) = O (x<sup>n</sup>)
```



The Growth of Combinations of Functions





Big-O: Theorems

Theorem 2:

$$f_1(x)=O(g_1(x)) \wedge f_2(x)=O(g_2(x))$$

 $\rightarrow (f_1+f_2)(x) = O(\max(|g_1(x)|,|g_2(x)|))$
Theorem 3:

Theorem 3:

$$f_1(x)=O(g_1(x)) \wedge f_2(x)=O(g_2(x))$$

 $\rightarrow (f_1f_2)(x)=O(g_1g_2(x)))$

$$f_1(x) = O(g(x)) \land f_2(x) = O(g(x)) \rightarrow (f_1 + f_2)(x) = O(g(x))$$

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3.2.2- Big-Omega and Big-Theta Notation

- Big-O does not provide the lower bound for the size of f(x)
- Big-Ω, Big- θ were introduced by Donald Knuth in the 1970s
- Big-Ω provides the lower bound for the size of f(x)
- Big- θ provides the upper bound and lower bound on the size of f(x)



Big-Omega and Big-Theta Notation

Definitions

```
\exists c>0, k \ x \ge k \land |f(x)| \ge C|(g(x)| \rightarrow |f(x)| = \Omega(g(x))

f(x)=O(g(x)) \land f(x)=\Omega(g(x)) \rightarrow f(x)=\theta(g(x))

If f(x)=\theta(g(x)) then f(x) is of order g(x)
```

```
Show that f(x)=1+2+...+n is \theta(n^2)

Examining x>0

f(x)=1+2+...+n=n(n+1)/2=(n^2+n)/2

f(x) \le (2n^2)/2

f(x) \le n^2

\Rightarrow Let c_1=1/2, c_2=1, g(x)=n^2

\Rightarrow c_1g(x) \le f(x) \le c_2g(x)

\Rightarrow f(x) = \theta(n^2) with x>0
```



Big-Omega and Big-Theta Notation

• Theorem 4

Let $f(x)=a_nx^n+a_{n-1}x^{n-1}+...+a_1x+a_0$, where $a_0,a_1,...,a_n$ are real number, then f(x) is of order x^n



3.3- Complexity of Algorithms

- Computational complexity = Time complexity + space complexity.
- Time complexity can be expressed in terms of the number of operations used by the algorithm.
 - Average-case complexity
 - Worst-case complexity
- Space complexity will not be considered.



Demo 1

Describe the time complexity of the algorithm for finding the largest element in a set:

Procedure max ($a_1, a_2, ..., a_n$: integers)

$max:=a_1$		i	Number of comparisons	
for i:=2 to n		2	2	
If $max < a_i$ then $max := a_i$		3	2	2(n-1) +1 = 2n-1
			2	comparisions
Time Complexity is $\theta(n)$		n	2	
		n+1	1, ma	ax< a _i is omitted



Demo 2

Describe the average-case time complexity of the linear-search algorithm:

Procedure linear search (x: integer, $a_1, a_2, ..., a_n$: distinct integers)

```
i:=1
while (i \le n \text{ and } x \ne a_i) i:=i+1
if i \le n then location:= i
else location:=0
```

Avg-Complexity= [(2+4+6++2n)]/n +1 +1
= [2(1+2+3++n) /n+2
= [2n(n+1)/2]/n + 2
=[n(n+1)]/n + 2
= n+1 + 2 = n+3
= θ(n)

i	Number of comparisons done
1	2
2	4
n	2n
n+1	1, x ≠ a _i is omitted

See demonstrations about the worstcase complexity: Examples 5,6 pages 195, 196

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Understanding the Complexity of Algorithms

Complexity	Terminology	Problem class
Θ(1)	Constant complexity	Tractable (dễ), class P
Θ(log n)	Logarithmic complexity	Class P
Θ(n)	Linear complexity	Class P
Θ(n logn)	n log n complexity	Class P
$\Theta(n^b)$, $b{\geq}1$,	Polynominal complexity	Intractable, class NP
integer		
Θ(b ⁿ), b>1	Exponential complexity	
Θ(n!)	Factorial complexity	

NP: Non-deterministic Polynomial time



3.4- The Integers and Division

Definition: If a and b are integers with $a \ne 0$, we say that a divides b if there is an integer c such that b=ac.

When a divides b, we say that:

a is a factor of b

b is a multiple of a

Notation: a|b : a divides b alb : a does not divide b

Example:

317 because 7/3 is not an integer

3|12 because 12/3=4, remainder=0

Corollary 1:

 $a|b \wedge a|c \rightarrow a|(mb+nc), m,n are integers$



The Division Algorithm

```
Theorem 2: Division Algorithm: Let a be an integer and d a positive
   integer. Then there are unique integers q and r, with 0 \le r < d, such
   that a=dq+r
   d: divisor, r: remainder, q: quotient (thương số)
Note: r can not be negative
Definition: a=dq+r
  a: dividend
                                     d: divisor
  q: quotient
                                 r: remainder,
  q = a div d r = a mod d
Example:
101 is divided by 11:101 = 11.9 + 2 \rightarrow q=9, r=2
-11 is divided by 3 : 3(-4)+1 \rightarrow q=-4, r=1
No OK: -11 is divided by 3 : 3(-3)-2 \rightarrow q=-3, r = -2
```



Modular Arithmetic

Definition: a, b: integers, m: positive integer. a is called *congruent* to b modulo m if m|a-b

Notations:

 $a \equiv b \pmod{m}$, a is congruent to b modulo m $a \neq b \pmod{m}$, a is not congruent to b mod m

Examples:

15 is congruent to 6 modulo 3 because 3 | 15-6 15 is **not** congruent to 7 modulo 3 because 3 | 15-7



Modular Arithmetic

Theorem 3

```
a,b: integers, m: positive integer
   a \equiv b \pmod{m} \leftrightarrow a \mod m = b \mod m
Proof
(1) a \equiv b \pmod{m} \rightarrow a \mod m = b \mod m
   a \equiv b \pmod{m} \rightarrow m \mid a-b \rightarrow a-b = km \rightarrow a=b + km
                     \rightarrow a mod m = (b + km) mod m
                     \rightarrow a mod m = b mod m { km mod m = 0 }
(2) a mod m = b mod m \rightarrow a \equiv b (mod m)
    a = k1m + c^ b=k2m + c \rightarrow a-b = (k1-k2) m { suppose a>b}
                                            = km \{ k = k1-k2 \}
                                       \rightarrow m| a-b \rightarrow a= b (mod m)
```



Modular Arithmetic...

Theorem 4

a,b: integers, m: positive integer

a and b are congruent modulo m if and only if there is an integer k such that a = b + km

Proof

(1) $a \equiv b \pmod{m} \rightarrow a = b + km$ $a \equiv b \pmod{m} \rightarrow m \mid a-b \rightarrow a-b = km \text{ from definition of division}$ (2) $a = b + km \rightarrow a \equiv b \pmod{m}$ $a = b + km \rightarrow a-b = km \rightarrow m \mid a-b \rightarrow a \equiv b \pmod{m}$



Modular Arithmetic...

Theorem 5

```
m: positive integer

a ≡ b (mod m) ^ c ≡ d (mod m) →

a+c ≡ b+d (mod m) ^ ac ≡ bd (mod m)

Proof : See page 204
```

Corollary 2:

```
m: positive integer, a,b: integers
(a+b) mod m = ((a mod m) + (b mod m)) mod m
ab mod m = ((a mod m)(b mod m)) mod m
Proof: page 205
```



Applications of Congruences

```
Hashing Function: H(k) = k \mod m
```

Using in searching data tin memory.

k: data searched, m: memory block

Examples:

H(064212848) mod 111= 14

H(037149212) mod 111= 65

Collision: $H(k_1) = H(k_2)$. For example, H(107405723) = 14



Applications of Congruences

```
Pseudo-random Numbers x_{n+1}=(ax_n+c) \mod m
a: multiplier, c: increment, x_0: seed
with 2 \le a < m, 0 \le c < m, 0 \le x_0 < m
Examples:
```

m=9 → random numbers: 0..8

 $a = 7, c = 4, x_0 = 3$

Result: Page 207



Applications of Congruences

```
Cryptography: letter 1 \rightarrow letter 2
Examples: shift cipher with k f(p) =(p+k) mod 26
```

 \rightarrow f⁻¹(p)=(p-k) mod 26

Sender: (encoding)

Message: "ABC", k=3

Using $f(p) = (p+3) \mod 26$ // 26 characters

ABC \rightarrow 012 \rightarrow 345 \rightarrow DEF

Receiver: (decoding)

DEF → 3 4 5

Using $f^{-1}(p) = (p-3) \mod 26$

3 4 5 → 0 1 2 → ABC



3.5- Primes and Greatest Common Divisors

Definition 1:

A positive integer p greater than 1 is called *prime* if the only positive factors are 1 and p

A positive integer that is greater than 1 and is *not prime* is called *composite*

Examples:

Primes: 2 3 5 7 11

Composites: 4 6 8 9

Finding very large primes: tests for supercomputers



Primes...

Theorem 1- The fundamental theorem of arithmetic:

Every positive integer greater than 1 can be written uniquely as a prime or as the product of two or more primes where the prime factors are written in order of nondecreasing size

Examples:

Primes: 37

Composite: $100 = 2.2.5.5 = 2^25^2$

 $999 = 3.3.3.37 = 3^337$



Primes...

Converting a number to prime factors

```
Examples: 7007
  Try it to 2,3,5 : 7007 can not divided by 2,3,5
   7007:7
   1001:7
     143: 11
       13: 13
  \rightarrow 7007 = 7<sup>2</sup>.11.13
```



Primes...

Theorem 2: If n is a composite, then n has a prime **divisor** less than or equal to \sqrt{n}

Proof:

n is a composite \rightarrow n = ab in which a or b is a prime If $(a > \sqrt{n} \land b > \sqrt{n}) \rightarrow ab > n \rightarrow false$

 \rightarrow Prime divisor of n less than or equal to \sqrt{n}



Primes...

Theorem 3: There are infinite many primes

Proof: page 212

Theorem 4: The prime number theorem:

The ratio of the number of primes not exceeding x and x/ln x approaches 1 and grows with bound (ln x: natural logarithm of x)

See page 213

Example:

 $x=10^{1000} \rightarrow$ The odds that an integer near 10^{1000} is prime are approximately $1/\ln 10^{1000} \sim 1/2300$



Conjectures and Open Problems About Primes

See pages: 214, 215

- 3x + 1 conjecture
- Twin prime conjecture: there are infinitely many twin primes



Definition 2:

Let a, b be integers, not both zero. The largest integer d such that d|a and d|b is called the greatest common divisor of a and b.

Notation: gcd(a,b)

Example: gcd(24,36)=?

Divisors of 24: 2 3 4 6 8 $12 = 2^3 3^1$

Divisors of 36: 2 3 4 6 9 12 $18 = 2^23^2$

 $gcd(24,36)=12=\frac{2^23^1}{Get}$ factors having minimum power



Definition 3:

The integers a, b are *relatively prime* if their greatest common divisor is 1

Example:

gcd(3,7)=1 \rightarrow 3,7 are relatively prime gcd (17,22)=1 \rightarrow 17,22 are relatively prime gcd(17,34) = 17 \rightarrow 17, 34 are **not** relatively prime



Definition 4:

The integers $a_1, a_2, a_3, ..., a_n$ are pairwise relatively prime if $gcd(a_i, a_j)=1$ whenever $1 \le i < j \le n$

Example:

7 10 11 17 23 are pairwise relatively prime

7 10 11 16 24 are **not** pairwise relatively prime

→ Adjacent number of every composite in sequence must be a prime.



Definition 5:

The Least common multiple of the positive integer a and b is the smallest integer that is divisible by both a and b

```
Notation: Icm(a,b)
```

Example:

```
lcm(12,36) = 36 lcm(7,11) = 77

lcm(2^33^57^2, 2^43^3) = 2^43^57^2

2^33^57^2, 2^43^37^0 \rightarrow 2^43^57^2 // get maximum power
```



Theorem 5:

Let a, b be positive integers then ab= gcd(a,b). lcm(a,b)

Example: $gcd(8, 12) = 4 lcm(8, 12) = 24 \rightarrow 8.12 = 4.24$

Proof: Based on analyzing a, b to prime factors to get gcd(a,b) and lcm(a,b)

 \rightarrow ab=gcd(a,b). lcm(a,b)



3.6- Integers and Algorithms

- Representations of Integers
- Algorithms for Integer Operations
- Modular Exponentiation
- Euclid Algorithm



Representations of Integers

Theorem 1:

Let b be a positive integer greater than 1. Then if n is a positive integer, it can be expressed uniquely in the form

$$n = a_k b^k + a_{k-1} b^{k-1} + ... + a_1 b + a_0$$

Where k is a nonnegative integer, $a_0, a_1, a_2, ..., a_k$ are nonnegative integers less than b and $a_k \neq 0$

Proof: page 219

Example: $(245)_8 = 2.8^2 + 4.8 + 5 = 165$

Common Bases Expansions: Binary, Octal, Decimal, Hexadecimal

Finding expansion of an integer: Pages 219, 220, 221



Algorithm 1: Constructing Base b Expansions

```
Procedure base b expansion (n: positive integer)
   q:=n
   k := 0
  while q \neq 0
  begin
     a_k := q \mod b
     q := \lfloor q/b \rfloor
     k := k + 1
  end { The base b expansion of n is (a_{k,1}a_{k,2}...a_1a_0)}
```



Algorithms for Integer Operations

Algorithm 2: Addition integers in binary format

Algorithm 3: Multiplying integers in binary format

Algorithm 4: Computing div and mod integers

Algorithm 5: Modular Exponentiation



Algorithm 2: Adding of Integers

```
Complexity: O (n)
```

```
1 1 1 0 0

1 1 1 0 (a)

+ 1 0 1 1 (b)

1 1 0 0 1 (s)
```

```
procedure add (a,b: integers)
{ a: (a_{n-1}a_{n-2}...a_1a_0)_2 b: (b_{n-1}b_{n-2}...b_1b_0)_2}
c := 0
for j:=0 to n-1
Begin
   d := \lfloor (a_i + b_i + c)/2 \rfloor // next carry of next step
 s_i = a_i + b_i + c - 2d // result bit
 > c:=d // updating new carry to next step
End
s_n = c // rightmost bit of result
{ The binary of expansion of the sum is (s_n s_{n-1} ... s_1 s_0)}
```



Algorithm 3: Multiplying Integers

```
Complexity: O (n<sup>2</sup>)
```

```
1 1 0 (a)

X 1 0 1 (b)

1 1 0

+ 0 0 0 0

1 1 0 0 0

1 1 1 1 0 (p)
```

```
procedure multiply (a,b: integer)
{ a: (a_{n-1}a_{n-2}...a_1a_0)_2 b: (b_{n-1}b_{n-2}...b_1b_0)_2}
for j = 0 to n-1
                                           Complexity: O (n)
begin
in b_i = 1 then c_i := a shifted j places
end
\{c_0, c_1, ..., c_{n-1} \text{ are the partial products}\}
p := 0
for j = 0 to n-1
    p:=p+c_i
{p is the value of ab}
```



Algorithm 4: Computing div and mod

```
procedure division (a: integer; d: positive integer)
q := 0
r:= |a|
while r \ge d {quotient= number of times of successive subtractions}
 begin
   r := r - d
   q := q+1
 end
If a<0 and r>0 then {updating remainder when a<0}
  begin
   r := d-r
   q := -(q+1)
 end
{ q = a div d is the quotient, r=a mod d is the remainder}
```



Algorithm 5: Modular Exponentiation

```
\{ b^n \mod m = ? . Ex: 3^{644} \mod 645 = 36 \}
procedure mod_ex (b: integer, n=(a_{k-1}a_{k-2}...a_1a_0)_2, m: positive integer)
x := 1
power := b mod m
for i:=0 to k-1
begin
  if a_i=1 then x:=(x.power) \mod m
  power := (power.power) mod m
end
{ x equals b<sup>n</sup> mod m }
```

```
Corollary 2: ab mod m = ((a mod m)(b mod m)) mod m b<sup>n</sup> mod m = result of successive factor; mod m
```



The Euclidean Algorithm

```
Lemma: Proof: page 228
 Let a = bq+r, where a, b, q, r are integers. Then gcd(a,b) = gcd(b,r)
Example: 287 = 91.3 + 14 \rightarrow gcd(287,91) = gcd(91,14) = 7
procedure gcd(a,b: positive integer)
x := a
y:=b
while y \neq 0
 begin
  r := x \mod y
  X:=Y
  y := r
 end {gcd(a,b) is x}
```



Summary

- Algorithms
- The Growth of Functions
- Complexity of Algorithms
- The Integers and Division
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- Integers and Algorithms



Thanks