

## **INVESTORS COMPLAINTS DATA**

## Initial Public Offer (IPO) and Further Public Offer (FPO) including Offer for sale (OFS)

Data for month ending – September 30, 2023

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	0	1,403	1,403	0	0	1.5
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	7	5	2	0	1.4
5	Grand Total	0	1,410	1,408	2	0	2.9

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

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<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month



## Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#
1	October, 2023 <sup>(1)</sup>	NA	NA	NA	NA
2	November, 2023 <sup>(1)</sup>	NA	NA	NA	NA
3	December, 2023 <sup>(1)</sup>	NA	NA	NA	NA
4	January 2024 <sup>(1)</sup>	NA	NA	NA	NA
5	February 2024 <sup>(1)</sup>	NA	NA	NA	NA
	Grand Total	NA	NA	NA	NA

- \* Inclusive of complaints of previous months resolved in the current month.
- # Inclusive of complaints pending as on the last day of the month
- (1) Information will be updated in due course.

## Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year*	Pending at the end of the particular year#
1	2022	2	9	11	NA
2	2023(1)	NA	NA	NA	NA
3	2024 <sup>(1)</sup>	NA	NA	NA	NA
4	2025(1)	NA	NA	NA	NA
5	2026(1)	NA	NA	NA	NA
	Grand Total	NA	NA	NA	NA

- \* Inclusive of complaints of previous months resolved in the current year.
- # Inclusive of complaints pending as on the last day of the year.

  (1) Information will be updated in due course.